**** WARNING ** WARNING ** WARNING ** WARNING ****
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STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

NOTICE TO BIDDERS

AND

SPECIAL PROVISIONS

FOR CONSTRUCTION ON STATE HIGHWAY IN THE CITY AND COUNTY OF SAN FRANCISCO FROM THE YERBA BUENA TUNNEL TO 0.6 KM EAST OF YERBA BUENA TUNNEL

In District 04 On Route 80

Under

Bid book dated August 11, 2008	Standard Specifications dated 1999
Project Plans approved June 23, 2008	Standard Plans dated 2004

Identified by

Contract No. 04-0120S4

04-SF-80-12.7/13.2

Bids Open: January 13, 2009 OSD

Dated: August 11, 2008 IH

****

SPECIAL NOTICES

****

- The Department moved the Amendments to the Standard Specifications to the back of the book titled "Notice to Bidders and Special Provisions."

- The Department retitled the "Proposal and Contract" book to "Bid" book and:

  1. Simplified the language
  2. Moved clauses and the contract form from the "Proposal and Contract" book into the Amendments to the Standard Specifications
  3. Standardized the forms

- The Department retitled the "Notice to Contractors" to "Notice to Bidders" and:

  1. Simplified the language
  2. Moved clauses from the "Notice to Contractors" into the Amendments to the Standard Specifications
  3. Standardized instructions for bidders' inquiries

- The Department incorporated boilerplate special provisions into the Amendments to the Standard Specifications.

- See Section 2, "Bidding," of these special provisions regarding a mandatory prebid meeting attendance to submit bids for this project.

- The anticipated period of time within which the contract may be awarded has been extended for this project. See Section 3, "Contract Award and Execution," of these Special Provisions.

- The definition of a working day has been re-defined for this project. (See Section 4 of the Special Provisions.)

- The bidder's attention is directed to "Owner Controlled Insurance Program (OCIP)," of the special provisions for special requirements for insurance

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STANDARD PLANS LIST

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S95	Roadside Single Sheet Aluminum Signs, Diamond Shape
RSP S110	Overhead Sign – Truss, Single Post Type, Structural Frame Details, Full Cantilever Changeable Message Signs, Model 500
RSP S111	Overhead Sign – Truss, Single Post Type, Plan and Upper Bolt Details, Full Cantilever Changeable Message Signs, Model 500
S112	Overhead Sign – Truss, Single Post Type, Frame Juncture Details, Full Cantilever Changeable Message Signs, Model 500
RSP S113	Overhead Sign – Truss, Single Post Type, Mounting Details, Changeable Message Signs, Model 500

RSP S114	Overhead Sign – Truss, Single Post Type, Walkway Details, Changeable Message Signs, Model 500
S115	Overhead Sign – Truss, Single Post Type, Anchorage and Base Plate Details, Changeable Message Signs, Model 500
RSP S116	Overhead Sign – Truss, Single Post Type, Foundation And Miscellaneous Details, Changeable Message Signs, Model 500
RSP ES-1A	Electrical Systems (Symbols And Abbreviations)
RSP ES-1B	Electrical Systems (Symbols And Abbreviations)
RSP ES-1C	Electrical Systems (Symbols And Abbreviations)
ES-3A	Electrical Systems (Controller Cabinet Details)
RSP ES-5A	Electrical Systems (Detectors)
ES-5B	Electrical Systems (Detectors)
RSP ES-9B	Electrical Systems (Electrical Details, Structure Installations)
RSP ES-9C	Electrical Systems (Electrical Details, Structure Installations)
RSP ES-11	Electrical Systems (Foundation Installations)
RSP ES-13A	Electrical Systems (Splicing Details)
RSP ES-13B	Electrical Systems (Wiring Details and Fuse Ratings)
RSP ES-15C	Electrical Systems (Sign Illumination Equipment)

DEPARTMENT OF TRANSPORTATION

NOTICE TO BIDDERS

Bids Open: January 13, 2009
Dated: August 11, 2008
General work description: Construct bridges, roadway and install electrical system.

The Department will receive sealed bids for CONSTRUCTION ON STATE HIGHWAY IN THE CITY AND COUNTY OF SAN FRANCISCO FROM THE YERBA BUENA TUNNEL TO 0.6 KM EAST OF YERBA BUENA TUNNEL.

District-County-Route-Kilometer Post: 04-SF-80-12.7/13.2

Contract No. 04-0120S4

Bids must be on a cost+time basis.
Complete the work within the number of working days bid. Do not bid more than 1,320 working days.
The estimated cost of the project is $180,000,000.
A mandatory prebid meeting is scheduled for this project at 10:00am, on September 18, 2008, at Treasure Island, Casa de la Vista, 271 Avenue of Palms, San Francisco, CA 94130.

The Department will receive bids until 2:00 p.m. on the bid open date at 1120 N Street, Room 0200, MS 26, Sacramento, CA 95814. Bids received after this time will not be accepted.

The Department will open and publicly read aloud the bids immediately after the specified closing time. The Department invites bidders or their authorized agents to attend.

Standard Specifications and Standard Plans may be viewed at the Department's Web site and may be purchased at:
Department of Transportation, Publications Unit
1900 Royal Oaks Drive
Sacramento, CA 95815

Special Provisions and Project Plans may be viewed at:
1. The Department's Web site
2. District office of the district in which the work is located
3. Districts 4 and 12 offices
4. Department of Transportation, Bid Documents
   1120 N Street, Room 0200, Mail Station 26
   Sacramento, CA 95814
   Telephone no.: (916) 654-4990

District office addresses are provided in the Standard Specifications.

The Bid book, Special Provisions, Project Plans, and Information Handout may be obtained at the 1120 N Street location. To expedite orders, a bidder may obtain these documents by faxing an order to (916) 654-7028. Fax orders must include credit card charge number, card expiration date, and authorizing signature.

Bidders' inquiries may be presented to the Department by following the instructions at:
http://www.dot.ca.gov/hq/esc/oe/project_status/bid_inq.html

The Department posts responses to the questions at the District Web sites.

Questions about alleged patent ambiguity of the plans, specifications, or estimate must be asked before bid opening. After bid opening, such questions will not be treated as bid protests.

The Contractor must have either a Class A license or any combination of the following Class C licenses which constitutes a majority of the work: C-8, C-50.

Submit your bid with bidder's security equal to at least 10 percent of the bid.

The DVBE Contract goal is 5 percent.

Under Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq., the Department gives preference to certified small businesses and non-small businesses who commit to 25 percent certified small business participation.

Under Pub Cont Code § 6107, the Department gives a reciprocal preference to a California company for bid comparison purposes over a nonresident contractor from any state that provides a preference to contractors from that state on construction contracts.

Prevailing wages are required on this Contract. The Director of the California Department of Industrial Relations determines the general prevailing wage rates. Obtain the wage rates at the DIR Web site, http:///www.dir.ca.gov, or from the Department's Labor Compliance Office of the district in which the work is located.

DEPARTMENT OF TRANSPORTATION

Dated August 11, 2008

RCH

COPY OF BID ITEM LIST

(NOT TO BE USED FOR BIDDING PURPOSES)

04-0120S4

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
1	014828	PHOTO SURVEY OF EXISTING FACILITY	LS	LUMP SUM
2	070012	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM
3	014829	DOCUMENT MANAGEMENT SYSTEM	LS	LUMP SUM
4	014830	ELECTRONIC MOBILE DAILY DIARY SYSTEM DATA DELIVERY	LS	LUMP SUM
5	014831	WORKING DRAWING SUBMITTAL	LS	LUMP SUM
6	070018	TIME-RELATED OVERHEAD	LS	LUMP SUM
7	071325	TEMPORARY FENCE (TYPE ESA)	M	530
8	074016	CONSTRUCTION SITE MANAGEMENT	LS	LUMP SUM
9 (S)	074018	HEALTH AND SAFETY PLAN	LS	LUMP SUM
10 (S)	074019	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM
11	074029	TEMPORARY SILT FENCE	M	410
12	074031	TEMPORARY GRAVEL BAG BERM	M	200
13	074033	TEMPORARY CONSTRUCTION ENTRANCE	EA	5
14	074034	TEMPORARY COVER	M2	1130
15	074035	TEMPORARY CHECK DAM	M	39
16 (S)	074037	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	EA	4
17	074038	TEMPORARY DRAINAGE INLET PROTECTION	EA	13
18 (S)	074040	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	M2	4500
19	074041	STREET SWEEPING	LS	LUMP SUM
20	074043	TEMPORARY CONCRETE WASHOUT BIN	EA	200

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
21	014832	TEMPORARY ACTIVE TREATMENT SYSTEM	LS	LUMP SUM
22 (S)	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM
23 (S)	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM
24 (S)	120165	CHANNELIZER (SURFACE MOUNTED)	EA	40
25 (S)	128601	TEMPORARY SIGNAL SYSTEM	LS	LUMP SUM
26 (S)	128650	PORTABLE CHANGEABLE MESSAGE SIGN	EA	8
27	129000	TEMPORARY RAILING (TYPE K)	M	410
28 (S)	129100	TEMPORARY CRASH CUSHION MODULE	EA	55
29	150206	ABANDON CULVERT	EA	1
30	150662	REMOVE METAL BEAM GUARD RAILING	M	50
31	150704	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE	M	160
32	150713	REMOVE PAVEMENT MARKING	M2	4
33	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	M	1120
34	150722	REMOVE PAVEMENT MARKER	EA	380
35	150742	REMOVE ROADSIDE SIGN	EA	10
36	150805	REMOVE CULVERT	M	210
37	150806	REMOVE PIPE	M	480
38	150820	REMOVE INLET	EA	6
39	150841	REMOVE SEWER PIPE	M	180
40	014833	REMOVE EPOXY ASPHALT CONCRETE SURFACING	M2	200

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
41	014834	RECONSTRUCT ROCK SLOPE PROTECTION (FACING, METHOD B)	M3	5
42	152386	RELOCATE ROADSIDE SIGN-ONE POST	EA	6
43	152387	RELOCATE ROADSIDE SIGN-TWO POST	EA	1
44	152430	ADJUST INLET	EA	1
45	014835	RELOCATE FIRE HYDRANT	EA	1
46	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	M2	50
47	153210	REMOVE CONCRETE	M3	54
48	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	M2	765
49	014836	PREPARE CONCRETE PAVEMENT SURFACE	M2	240
50	153229	REMOVE CONCRETE BARRIER (TYPE K)	M	600
51	157561	BRIDGE REMOVAL (PORTION), LOCATION A	LS	LUMP SUM
52	157562	BRIDGE REMOVAL (PORTION), LOCATION B	LS	LUMP SUM
53	160101	CLEARING AND GRUBBING	LS	LUMP SUM
54	190101	ROADWAY EXCAVATION	M3	4580
55	014837	ROADWAY EXCAVATION (TYPE H)	M3	460
56 (S)	190110	LEAD COMPLIANCE PLAN	LS	LUMP SUM
57 (F)	192003	STRUCTURE EXCAVATION (BRIDGE)	M3	10 485
58 (F)	014838	STRUCTURE EXCAVATION (DUCT BANK)	M3	2190
59 (F)	014839	STRUCTURE EXCAVATION (TYPE H) (DUCT BANK)	M3	451
60 (S-F)	192023	STRUCTURE EXCAVATION (TYPE H)	M3	266

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
61 (F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	M3	2442
62 (F)	014840	STRUCTURE BACKFILL (DUCT BANK)	M3	1970
63 (F)	193003	STRUCTURE BACKFILL (BRIDGE)	M3	2916
64 (F)	041499	STRUCTURE BACKFILL (BRIDGE) (CEMENT MODIFIED)	M3	4610
65 (F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	M3	1904
66 (F)	014841	STRUCTURE BACKFILL (RETAINING WALL) (CEMENT MODIFIED)	M3	210
67 (S)	014932	EROSION CONTOL (TYPE B)	M2	3790
68 (S)	203016	EROSION CONTROL (TYPE D)	HA	1
69 (S)	203018	EROSION CONTROL (NETTING)	M2	4690
70	203021	FIBER ROLLS	M	360
71 (S)	203026	MOVE-IN/MOVE-OUT (EROSION CONTROL)	EA	4
72 (S)	014842	75 MM GALVANIZED STEEL PIPE	M	130
73 (S)	014843	100 MM WATER DUCTILE IRON PIPE	M	40
74 (S)	014844	200 MM WATER DUCTILE IRON PIPE	M	56
75 (S)	014845	300 MM WATER DUCTILE IRON PIPE	M	130
76 (S)	208304	WATER METER	EA	4
77	208731	200 MM CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT	M	68
78	260301	CLASS 3 AGGREGATE BASE	M3	320
79	390132	HOT MIX ASPHALT (TYPE A)	TONN	520
80	401000	CONCRETE PAVEMENT	M3	39

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
81	490566	FURNISH STEEL PILING (HP 360 X 132)	M	9201
82 (S)	490567	DRIVE STEEL PILE (HP 360 X 132)	EA	760
83	041500	FURNISH STEEL PILING (W 360 X 196)	M	1558
84 (S)	041501	DRIVE STEEL PILE (W 360 X 196)	EA	96
85	041502	FURNISH STEEL PIPE PILING (762 MM)	M	33
86 (S)	041503	DRIVE STEEL PIPE PILE (762 MM)	EA	2
87 (S)	041504	PRESTRESSING CAST-IN-PLACE CONCRETE (LONGITUDINAL)	LS	LUMP SUM
88 (S)	041505	PRESTRESSING CAST-IN-PLACE CONCRETE (TRANSVERSE)	LS	LUMP SUM
89 (F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	M3	2876
90 (F)	510053	STRUCTURAL CONCRETE, BRIDGE	M3	32 700
91 (F)	510060	STRUCTURAL CONCRETE, RETAINING WALL	M3	296
92 (F)	041506	STRUCTURAL CONCRETE, APPROACH SLAB, (TYPE EQ (3) MOD)	M3	27
93 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	M3	98
94 (F)	041507	MINOR CONCRETE (CONCRETE BALLAST)	M3	36
95 (S-F)	041508	FURNISH PRECAST CONCRETE SLAB (BIKEPATH)	EA	34
96 (S-F)	041509	ERECT PRECAST CONCRETE SLAB (BIKEPATH)	EA	34
97 (F)	515041	FURNISH POLYESTER CONCRETE OVERLAY	M3	6
98 (F)	515042	PLACE POLYESTER CONCRETE OVERLAY	M2	280
99 (S-F)	041510	FURNISH POLYESTER CONCRETE OVERLAY (50MM)	M3	38
100 (S-F)	041511	PLACE POLYESTER CONCRETE OVERLAY (50MM)	M2	765

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
101 (S)	518051	PTFE SPHERICAL BEARING	EA	10
102 (S-F)	041512	INSTALL HINGE K BEARING ASSEMBLY	EA	4
103 (S)	519128	JOINT SEAL ASSEMBLY (MR 100 MM)	M	12
104 (S)	041513	SEISMIC JOINT (BENT W10AL)	LS	LUMP SUM
105 (S)	041514	SEISMIC JOINT (HINGE W7LA)	LS	LUMP SUM
106 (S)	041515	SEISMIC JOINT (HINGE KW)	LS	LUMP SUM
107 (S)	041516	SEISMIC JOINT (ABUT W11R)	LS	LUMP SUM
108 (S)	041517	SEISMIC JOINT (HINGE W6RB)	LS	LUMP SUM
109 (S)	041518	SEISMIC JOINT (HINGE KE)	LS	LUMP SUM
110 (S-F)	520102	BAR REINFORCING STEEL (BRIDGE)	KG	6 237 100
111 (S-F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	KG	37 262
112 (S-F)	520120	HEADED BAR REINFORCEMENT	EA	224
113 (F)	550203	FURNISH STRUCTURAL STEEL (BRIDGE)	KG	27 840
114 (F)	550204	ERECT STRUCTURAL STEEL (BRIDGE)	KG	27 840
115 (F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	KG	30 051
116 (S-F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	KG	30 051
117 (F)	562002	METAL (BARRIER MOUNTED SIGN)	KG	1597
118	566011	ROADSIDE SIGN - ONE POST	EA	7
119 (S)	590115	CLEAN AND PAINT STRUCTURAL STEEL	LS	LUMP SUM
120 (S)	041519	CLEAN AND PAINT (MISCELLANEOUS FACILITIES)	LS	LUMP SUM

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
121 (S)	597601	PREPARE AND STAIN CONCRETE	M2	85
122	620904	300 MM ALTERNATIVE PIPE CULVERT	M	26
123	620909	450 MM ALTERNATIVE PIPE CULVERT	M	360
124	620913	600 MM ALTERNATIVE PIPE CULVERT	M	18
125	681066	150 MM PLASTIC PIPE	M	3
126	014846	300 MM CORRUGATED METAL PIPE	M	43
127	703522	150 MM WELDED STEEL PIPE (3.40 MM THICK)	M	8
128	705337	600 MM ALTERNATIVE FLARED END SECTION	EA	1
129 (S)	014847	150 MM VITRIFIED CLAY PIPE	M	110
130 (S)	014848	SANITARY SEWER MANHOLE	EA	3
131	721012	ROCK SLOPE PROTECTION (BACKING NO. 3, METHOD B)	M3	0.3
132	729010	ROCK SLOPE PROTECTION FABRIC	M2	1
133	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	M3	31
134 (S-F)	750001	MISCELLANEOUS IRON AND STEEL	KG	2756
135 (S)	041520	COLUMN ISOLATION SLEEVE	KG	20 777
136 (S-F)	750498	MISCELLANEOUS METAL (RESTRAINER - CABLE TYPE)	KG	1647
137 (S-F)	750500	MISCELLANEOUS METAL	KG	200
138 (S-F)	750501	MISCELLANEOUS METAL (BRIDGE)	KG	8600
139 (S-F	750505	BRIDGE DECK DRAINAGE SYSTEM	KG	20 902
140	014849	CHAIN LINK FENCE (TYPE CL-1.2, BLACK VINYL-CLAD)	M	29

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
141	014850	CHAIN LINK FENCE, AND GATE (TYPE CL-2.4, BLACK VINYL-CLAD) WITH BARBED WIRE EXTENSION ARMS	M	50
142	820107	DELINEATOR (CLASS 1)	EA	45
143 (S)	832002	METAL BEAM GUARD RAILING (STEEL POST)	M	50
144	833080	CONCRETE BARRIER (TYPE K)	M	510
145 (S-F)	041521	BIKEPATH RAILING	M	275
146	041522	REMOVABLE CONCRETE COUNTERWEIGHT (BIKEPATH)	LS	LUMP SUM
147 (S)	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	1
148 (S)	839631	CRASH CUSHION MODULE, SAND FILLED	EA	14
149 (F)	839717	CONCRETE BARRIER (TYPE 732 MODIFIED)	M	1814
150 (S)	840515	THERMOPLASTIC PAVEMENT MARKING	M2	74
151 (S)	840561	100 MM THERMOPLASTIC TRAFFIC STRIPE	M	1510
152 (S)	840563	200 MM THERMOPLASTIC TRAFFIC STRIPE	M	270
153 (S)	840564	200 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 3.66 M - 0.92 M)	M	100
154 (S)	014851	100 MM YELLOW THERMOPLASTIC TRAFFIC STRIPE	M	1690
155 (S)	014852	75 MM BLACK THERMOPLASTIC TRAFFIC STRIPE	M	2330
156 (S)	014853	100 MM THERMOPLASTIC TRAFFIC STRIPE (BROKEN 10.28M - 4.26M)	M	5470
157 (S)	840666	PAINT PAVEMENT MARKING (2-COAT)	M2	4
158 (S)	850101	PAVEMENT MARKER (NON-REFLECTIVE)	EA	1560
159 (S)	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	640
160 (S)	014854	UNDERGROUND (ELECTRICAL DUCT BANK)	LS	LUMP SUM

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
161 (S)	014855	YBI TRANSITION STRUCTURES ROADWAY WESTBOUND	LS	LUMP SUM
162 (S)	014856	YBI TRANSITION STRUCTURES GIRDER WESTBOUND	LS	LUMP SUM
163 (S)	014857	YBI TRANSITION STRUCTURES ROADWAY EASTBOUND	LS	LUMP SUM
164 (S)	014858	YBI TRANSITION STRUCTURES GIRDER EASTBOUND	LS	LUMP SUM
165 (S)	014859	SCADA REMOTE TERMINAL UNIT SYSTEM	LS	LUMP SUM
166 (S)	014860	INSTALL STRONG MOTION DETECTION SYSTEM	LS	LUMP SUM
167 (S)	014861	INSTALL CALL BOX SYSTEM	LS	LUMP SUM
168 (S)	860461	LIGHTING (LOCATION 1)	LS	LUMP SUM
169 (S)	014862	CAMERA UNIT WITH HOUSING	EA	1
170 (S)	014863	PAN AND TILT UNIT	EA	1
171 (S)	014864	CAMERA CONTROL UNIT	EA	1
172 (S)	014865	VIDEO TRANSMITTER DUPLEX DATA	EA	1
173 (S)	014866	PERFORMED LOOP DETECTOR STATION (10 LOOP S PER STATION)	EA	4
174 (S)	014867	FIBER OPTIC DATA MODEMS	EA	7
175 (S-F)	014868	FIBER OPTIC CABLE (12-FIBER INDOOR/OUTDOOR)	M	350
176 (S-F)	014869	FIBER OPTIC CABLE (72-FIBER INDOOR/OUTDOOR)	M	2090
177 (S)	867130	FIBER OPTIC SPLICE CLOSURE	EA	8
178 (S)	867135	INSTALL LIGHT POLE AND FIXTURE	LS	LUMP SUM
179	014870	50 MM GAS PE PIPE	M	60
180	014871	100 MM GAS PE PIPE	M	30

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity
181	014872	150 MM COMPRESSED AIR PIPE	M	290
182 (S-F)	014873	SERVICE PLATFORM	EA	6
183	999990	MOBILIZATION	LS	LUMP SUM

STATE OF CALIFORNIA

DEPARTMENT OF TRANSPORTATION

SPECIAL PROVISIONS

SECTION 1 (BLANK)

SECTION 2 BIDDING
SECTION 2-1 GENERAL

2-1.01 MANDATORY PREBID MEETING

All prospective bidders are required to attend the Pre-Bid Meeting and Networking-Outreach Session, and project site tour as a condition for being eligible to bid on this contract.

1. A required Pre-Bid Meeting and Networking-Outreach will be held on the following date:

   Date: Thursday, September 18, 2008 at 10:00 am
   Location: Treasure Island, Casa de la Vista, 271 Avenue of Palms, San Francisco, CA 94130

   Immediately following the Pre-Bid Meeting, a Small Business (SB) and Disabled Veteran Business Enterprise (DVBE) Networking-Outreach Session will be conducted. Potential bidders are encouraged to meet and network with Small Businesses and DVBEs in attendance to assist in meeting Small Business and DVBE participation goals.

2. A required project site tour will be conducted on the following date, immediately after the Pre-Bid Meeting and Networking-Outreach session:

   Date: Thursday, September 18, 2008
   Time: 11:45 a.m.
   Location: Treasure Island, Casa de la Vista, 271 Avenue of Palms, San Francisco, CA 94130

Due to multiple contracts in progress on Yerba Buena island at the project site, a State provided transportation will be provided for prospective biddersto and from the project site. Prospective bidders must use the State provided transportation to and from the project site tour.

Prospective bidders are encouraged to pre-register to confirm their attendance by contacting the Duty Senior, District 04 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209.

Bidders will be required to submit their inquiries in writing to Duty Senior, District 04 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209, accompanied by an electronic copy where feasible, in order to avoid any misunderstandings. Written inquiries shall include the bidder's name, address and phone number. Written inquiries will be investigated and an addendum to the contract will be issued to the extent feasible and at the discretion of the Department. A copy of the responses to the bidder's inquiries and each addendum will be posted on the Internet at http://www.dot.ca.gov/dist4/construction/inquiries/

2-1.02 ESCROW OF BID DOCUMENTATION

The bidder's attention is directed to "Owner Controlled Insurance Program (OCIP)," of these special provisions for additional bidding requirements.

Bid documentation shall consist of all documentary and calculated information generated by the Contractor in preparation of the bid. The bid documentation shall conform to the requirements in these special provisions, and shall be submitted to the Department and held in escrow for the duration of the contract.

The escrowed bid documents will be the only documents accepted from the Contractor regarding preparation of the bid.

In signing the bid, the bidder certifies that the material submitted for escrow constitutes all the documentary information used in preparation of the bid and that he has personally examined the contents of the container and that they are complete.

The first, second, and third apparent low bidders shall present the bid documentation for escrow at the District 4 Office, 111 Grand Avenue, Oakland, CA, (510) 286-5209, on the first Tuesday between 1:00 p.m. and 2:00 p.m., following the time indicated in the "Notice to Contractors" for the opening of bids. The fourth and subsequent apparent low bidders shall present the bid documentation for escrow if requested by the Department to do so.

Nothing in the bid documentation shall be construed to change or modify the terms or conditions of the contract.

Escrowed bid documentation will not be used for pre-award evaluation of the Contractor's anticipated methods of construction, nor to assess the Contractor's qualifications for performing the work.

Bid documentation shall clearly itemize the Contractor's estimated costs of performing the work. The documentation submitted shall be complete and so detailed as to allow for an in-depth analysis of the Contractor's estimate.

The Contractor shall submit its bid documentation which shall include:

1. Quantity takeoffs
2. Rate schedules for the direct costs and the time- and nontime-related indirect costs for:

    a. Labor (by craft)
    b. Plant and equipment ownership and operation
    c. Permanent and expendable material
    d. Iinsurance and subcontracted work

3. Estimated construction schedules, including sequence and duration and development of production rates
4. Quotations, terms and limitations of quotes and subcontracts related to subcontractors, manufacturers and suppliers
5. Estimates of field and home office overhead
6. Contingency and margin for each contract item of work
7. Names of the persons responsible for preparing the bidder's estimate, and other reports, calculations, assumptions and supplemental information used by the bidder to arrive at the estimate submitted with the bid
8. Bid documentation for each subcontractor, manufacturer and supplier whose subcontract or purchase orders exceed or are expected to exceed $250,000.00. Bid documentation for other subcontractors, manufacturers, and suppliers may be submitted, if required by the Contractor, or requested by the subcontractor, manufacturer, or supplier

If required by the Contractor or requested by the subcontractor, manufacturer, or supplier, additional information may be submitted by the subcontractor, manufacturer, or supplier. Subcontractor, manufacturer and supplier bid documentation shall conform to the requirements for the Contractor’s documentation and shall be enclosed with the Contractor's submittal regardless of whether or not subcontracts or purchase orders have been executed or entered into on the date that bid documentation is submitted for escrow. If at the time that bid documentation is submitted for escrow, the subcontractor, manufacturer or supplier does not have an executed subcontract or purchase order, and a subcontract or purchase order is subsequently executed, then a copy of the executed subcontract or purchase order shall be submitted into escrow within 14 days of the execution of the respective subcontract or purchase orders. The examination of subcontractors', manufacturers' and suppliers' bid documentation will be accomplished in the same manner as for the Contractor's bid documentation. If a subcontractor, manufacturer or supplier is replaced, bid documentation for the new subcontractor, manufacturer or supplier shall be submitted for review and escrow before authorization for the substitution will be granted. Upon written request of a subcontractor, manufacturer or supplier, the bid documentation from that subcontractor, manufacturer or supplier shall be reviewed only by the subcontractor, manufacturer or supplier and the Department and shall be placed in a separate container within the Contractor's container. The written request from the subcontractor, manufacturer or supplier shall be included with the bid documentation.

If the bidder is a joint venture, the bid documentation shall include the joint venture agreement, the joint venture estimate comparison and final reconciliation of the joint venture estimate.

Copies of the bid submitted by the first, second and third low bidders will be provided to the District for inclusion in the bid documentation to be escrowed.

Bid documentation shall be submitted as a paper copy in a sealed container, clearly marked with the bidder's name, date of submittal, project contract number and the words, "Bid Documentation for Escrow."

Failure to submit the actual and complete bid documentation as specified herein within the time specified shall be cause for rejection of the bid.

Upon submittal, the bid documentation of the apparent low bidder will be examined and inventoried by the duly designated representatives of the Contractor and the Department to ensure that the bid documentation is authentic, legible, and in accordance with the terms of this section "Escrow of Bid Documentation." The examination will not include review of, nor will it constitute approval of, proposed construction methods, estimating assumptions or interpretation of the contract. The examination will not alter any conditions or terms of the contract. The acceptance or rejection by the Department that the submitted bid documents are in compliance with this section "Escrow of Bid Documentation" shall be completed within 48 hours of the time the bid documentation is submitted by the Contractor.

At the completion of the examination, the bid documents will be sealed and jointly deposited at an agreed commercial business in Oakland, CA.

Bid documentation submitted by the second and third apparent low bidders will be jointly deposited at an agreed commercial businesses in Oakland, CA. If the apparent low bid is withdrawn or rejected, the bid documentation of the second low bidder will be examined and inventoried in the manner specified above, then sealed and deposited again in escrow. If the second low bid is withdrawn or rejected, the bid documentation of the third low bidder will be examined and inventoried in the manner specified above, then sealed and deposited again in escrow. Bid documentation from subsequent bidders, if requested, will be examined and inventoried in the same manner as specified above, then sealed and deposited in escrow. Upon execution and final approval of the contract or rejection of all bids, the bid documentation will be returned to any remaining unsuccessful bidders.

Any and all components of the escrowed bid documentation may be examined by the designated representatives of both the Department and the Contractor, at any time deemed necessary by either the Department or the Contractor to assist in the negotiation of price adjustments and change orders, or to assist in the potential resolution or in the settlement of claims or disputes. Such a joint review shall be performed within 15 days of receipt of a written request to do so by either party. If the Contractor refuses to participate in the joint examination of any and all components of the escrowed bid documentation as provided herein, such refusal shall be considered as a failure by the Contractor to exhaust administrative claim remedies with respect to the particular protest, notice of potential claim, or claim. In addition, this refusal by the Contractor shall constitute a bar to future arbitration with respect to the protest, potential claim or claim as provided by Section 10240.2 of the California Public Contract Code.

If requested by a Disputes Review Board, the escrowed bid documentation may be utilized to assist the Board in its recommendations.

The bid documentation submitted by the Contractor will be held in escrow until the contract has been completed, the ultimate resolution of all disputes and claims has been achieved and receipt of final payment has been accepted by the Contractor. The escrowed bid documentation will then be released from escrow to the Contractor.

The bid documentation submitted by the bidder is, and shall remain, the property of the bidder, and is subject to only joint review by the Department and the bidder or upon written request of a subcontractor, manufacturer or supplier shall be reviewed only by the subcontractor, supplier or manufacturer and the Department unless it involves a dispute or claim. The Department stipulates and expressly acknowledges that the submitted bid documentation constitutes trade secrets and will not be deemed public records. This acknowledgment is based on the Department's express understanding that the information contained in the bid documentation is not known outside the bidder's business, is known only to a limited extent and only by a limited number of employees of the bidder, is safeguarded while in the bidder's possession, is extremely valuable to the bidder and could be extremely valuable to the bidder's competitors by virtue of it reflecting the bidder's contemplated techniques of construction. The Department acknowledges that the bid documentation includes a compilation of information used in the bidder's business, intended to give the bidder an opportunity to obtain an advantage over competitors who do not know of or use the contents of the documentation. The Department agrees to safeguard the bid documentation, and all information contained therein, against disclosure, including disclosure of subcontractor bid documentation to the Contractor and other subcontractors to the fullest extent permitted by law. However, in the event of arbitration or litigation, the bid documentation shall be subject to discovery, and the Department assumes no responsibility for safeguarding the bid documentation unless the Contractor has obtained an appropriate protective order issued by the arbitrator or the court.

Full compensation for preparing the bid documentation, presenting it for escrow and reviewing it for escrow and upon request of the Engineer shall be considered as included in the various items of work, and no additional compensation will be allowed therefor.

The direct cost of depositing the bid documentation in escrow at the agreed commercial business will be paid by the State.

SECTION 3. AWARD AND EXECUTION OF CONTRACT

Attention is directed to "Owner Controlled Insurance Program (OCIP)," of these special provisions for additional documents to be submitted following contract award.

SECTION 4. BEGINNING OF WORK, TIME OF COMPLETION, AND LIQUIDATED DAMAGES

The second through fourth paragraphs, inclusive, and the first sentence of the fifth paragraph of Section 8-1.06, "Time of Completion," of the Standard Specifications shall not apply. A working day is defined as any day, with no exceptions.

Do not start construction operations at the job site until Area PR is made available to the Contractor as specified in "Areas for Contractor's Use," of these special provisions unless otherwise approved by the Engineer.

You may start work at the job site before the availability of Area PR if the Engineer authorizes it in writing. The Department grants a time extension if a delay is beyond your control and prevents you from starting work at the job site should the areas for contractor's use not be available as specified in "Contractor's Area Use," of these special provisions.

Do not start work at the job site, except for measuring controlling field dimensions and locating utilities, until the Engineer approves your submittal for:

1. Baseline Progress Schedule (Critical Path Method)
2. Storm Water Pollution Prevention Plan (SWPPP)
3. Notification of Dispute Review Board (DRB) nominee and disclosure statement
4. Working Drawing Submittal as specified in "Working Drawing Submittal, " of these special provisions:

    a. All falsework, shoring, and excavation plans
    b. All fabrication working drawings
    c. All concrete mix designs
    d. All integrated shop drawings
    e. All pile related submittals including fabrication working drawings

Designated Portion of Work 1: All structural, electrical, mechanical, underground work required to complete westbound and eastbound Route 80 structures to the construction joint shown on the plans.

The following items are excluded from the work required to complete designated portion of work 1:

a. Hinge W7LA
b. Hinge W6RB
c. Route 80 on-ramp spine extension, concrete barriers, lighting, roadside signs and overhead sign structures, and the bike path structure.

The designated work shall be diligently prosecuted to completion before the expiration of number of days bid. starting on the 15th day after contract approval.

Liquidated damages are $50,000 per day starting on the 1st day after exceeding the above number of working days bid for completion of the designated portion of work 1

Designated Portion of Work 2: Ready for traffic on westbound Route 80, westbound on-ramp, and westbound off-ramp (Left) structures.

The work includes but is not limited to the required completion of the westbound Route 80, westbound on-ramp, and westbound off-ramp; structures and roadway, electrical and lighting system, roadside signs and overhead sign structures, call boxes, and mechanical system.

The designated work shall be diligently prosecuted to completion before the expiration of 180 working days after completion of the designated work portion 1.

Liquidated damages are $100,000 per day starting on the 1st day after exceeding the above specified number of working days for completion of the designated portion of work 2.

Designated Portion of Work 3: Ready for traffic on eastbound Route 80 and temporary eastbound on-ramp structure and roadway.

The work includes but is not limited to the required completion of the eastbound Route 80 and temporary eastbound on-ramp; structures and roadway, electrical and lighting system, roadside signs and overhead sign structures, call boxes, and mechanical system.

The designated work shall be diligently prosecuted to completion before the expiration of 180 working days after completion of the designated portion of work 2.

Liquidated damages are $100,000 per day starting on the 1st day after exceeding the above specified number of working days for completion of the designated portion of work 3.

Designated Portion of Work 4: Complete all remaining work.

The designated work shall be diligently prosecuted to completion before the expiration of 60 working days after completion of the designated portion of work 3.

Liquidated damages are $13,400 per day starting on the 1st day after exceeding the above specified number of working days for completion of the designated portion of work 4.

Should two or more liquidated damages accrue concurrently, no more than $100,000 per day will be assessed.

SECTION 5 GENERAL
SECTION 5-1 MISCELLANEOUS

5-1.01 WORKING DRAWINGS

Working drawings shall conform to the requirements in Section 5-1.02 "Plans and Working Drawings," of the Standard Specifications and these special provisions. Working drawings shall include supplements and calculations that are in addition to drawings.

Working drawings shall be submitted to the following location:

California Department of Transportation
Office of the Resident Engineer, Contract 04-0120S4
333 Burma Rd
Oakland, CA 94607

Working drawings shall conform to the following:

A. For initial review, 6 sets of the working drawings shall be submitted. After the Engineer has determined that a submittal is complete, 12 additional sets shall be submitted.
B. Drawings shall be 559 mm x 864 mm or 279 mm x 432 mm in size. Supplements and calculations shall be 216 mm x 280 mm in size.
C. For drawings, text size shall be nominally 2.8 mm high, minimum. For supplement and calculations, font size shall be 12, minimum.
D. Each working drawing sheet and each page of supplement or calculation, shall include the jobsite name of the structure as shown on the contract plans, District-County-Route-Kilometer Post, bridge number and contract number.
E. Text and details shall be legible and suitable for photocopying and reduction.
F. In addition to the paper copies of the working drawings, electronic files shall be submitted. Electronic files shall be portable document format (PDF) and shall be submitted on compact disk (CD) media. Each plan sheet shall be a separate PDF file on the CD. The electronic copy of the calculations and supplement shall be made into separate PDF files so that no more than 50 pages are included in a single file on the CD. The CD shall contain an index consisting of the file names and a description of the corresponding file contents. The files shall be listed in the sequence of: 1) index, 2) drawings, 3) supplement, and 4) calculations. If more than one CD is used for a given working drawing submittal, the index shall be included on each CD.
G. After review and approval of the working drawings, between 6 and 12 sets, as requested by the Engineer, shall be submitted to the Engineer for final approval. These sets will be the only sets stamped "Approved" and will be distributed for use during construction.
H. At the completion of the contract, one set of reduced prints on 75-g/m2 (minimum) bond paper, 279 mm x 432 mm in size, of the corrected original tracings of all approved working drawings, including all corrections and revisions shall be furnished to the Engineer. Reduced prints that are common to more than one structure shall be submitted for each structure. An index prepared specifically for the drawings for each structure containing sheet numbers and titles shall be included on the first reduced print in the set for each structure. Reduced prints for each structure shall be arranged in the order of drawing numbers shown in the index. In addition to the paper copies electronic files in PDF format on CD media shall be submitted to the Engineer.

When design calculations are required by the Standard Specifications or these special provisions, they shall be prepared and sealed by an engineer who is registered as a Civil Engineer in the State of California. Working drawings developed from these calculations should be similarly sealed by the same engineer. When independently checked calculations are required, these calculations shall be sealed and signed by an engineer who is registered as a Civil Engineer in the State of California and who did not seal and sign the drawings.

Working drawings shall be submitted sufficiently in advance of the start of the affected work to allow time for review by the Engineer and correction by the Contractor of the drawings without delaying the work. The time shall be proportional to the complexity of the work, but in no case shall the time be less than the review time as specified for the type of working drawings as required elsewhere in these special provisions.

The Engineer will review a working drawing submittal for completeness. Within 7 days of the receipt of the submittal by the Engineer, the Engineer will notify the Contractor in writing if the submittal is determined to be incomplete and the submittal will be returned. If the submittal is determined to be complete, 30 working days from the day of receipt shall be allowed for approval or return for correction, unless specified otherwise in these special provisions. The review time for a set of working drawings will be considered as starting when the Engineer has received a complete set of working drawings and all supporting data.

The review time on a set of returned drawings will be suspended on the date the drawings are date stamped by the Engineer for returnAfter a revised set of drawings have been received by the Engineer, the new review time for that set of revised drawings will be the original review time, less the time already spent under review before rejection. The revised set shall cover the same work as was originally submitted. In no case shall the review time allotted the Engineer upon receipt of a resubmittal be less than 14 days.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, the Contractor's controlling operation on the critical path is delayed by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss , and an extension of time will be granted in the same manner as provided for in the provisions in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and in "Progress Schedule (Critical Path Method)," of these special provisions. Such a delay will be considered a right of way delay and compensation will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications .

5-1.02 INTERNET DAILY EXTRA WORK REPORT

When extra work is being paid for on a force account basis, the Contractor shall submit daily extra work reports in conformance with the provisions in Section 9-1.03C, "Records," of the Standard Specifications and these special provisions.

The Contractor shall send daily extra work reports to the Engineer using the Department's Internet extra work billing system. The reports shall conform to the requirements in the "iCAS User's Guide" (Guide). The Guide is available from the Department, and is also found on the Internet at:

http://www.dot.ca.gov/hq/construc/ewb/EWB_INSTRUCTION.pdf

The Department will provide system accounts to the Contractor's authorized representatives when at least one of the representatives has received training. The Department will provide system training to at least one of the Contractor's authorized representatives within 30 days of the Contractor's request for training. The Department will assign an account and user identification to the Contractor's authorized representatives, and each Contractor's authorized representative shall maintain a unique password. A daily extra work report that the Contractor's authorized representative sends to the Department using the Internet extra work billing system will be considered signed by the Contractor. A daily extra work report that the Engineer approves using the Internet extra work billing system will be considered signed by the Engineer.

Daily extra work reports that include billing for materials shall be substantiated by a valid copy of a vendor's invoice in conformance to the requirements in Section 9-1.03C, "Records," of the Standard Specifications. Each materials invoice shall clearly identify the relative daily extra work report and the associated cost of the materials. In addition to postal service and parcel service and if approved by the Engineer, invoices may be sent by facsimile or as an electronic-mail attachment.

The Contractor shall maintain the Contractor's interface with the Department's Internet extra work billing system. If the Contractor is using the file transfer process to submit extra work reports, it shall conform to the file transfer format and process defined in the Guide.

5-1.03 PARTNERING

The State will promote the formation of a "Partnering" relationship with the Contractor in order to effectively complete the contract to the benefit of both parties. The purpose of this relationship is to maintain a cooperative communication and to mutually resolve conflicts at the lowest responsible management level.

The Contractor may request the formation of a "Partnering" relationship by submitting a request in writing to the Engineer after approval of the contract. If the Contractor's request for "Partnering" is approved by the Engineer, scheduling of a "Partnering Workshop," selecting the "Partnering" facilitator and workshop site, and other administrative details shall be as agreed to by both parties. If agreed to by the parties, additional "Partnering Workshops" will be conducted as needed throughout the life of the contract.

A one-day "Training in Partnering Concepts" session will be conducted regardless of whether the Contractor requests the formation of a "Partnering" relationship. The "Training in Partnering Concepts" session will be conducted locally for the Contractor's and the Engineer's project representatives. The Contractor shall be represented by a minimum of 2 representatives, one being the Contractor's authorized representative pursuant to Section 5-1.06, "Superintendence," of the Standard Specifications. Scheduling of the "Training in Partnering Concepts" session and selection of the trainer and training site shall be determined cooperatively by the Contractor and the Engineer. If, upon the Contractor's request, "Partnering" is

approved by the Engineer, the "Training in Partnering Concepts" session shall be conducted prior to the initial "Partnering Workshop."

The costs involved in providing the "Training in Partnering Concepts", the trainer and training site will be borne entirely by the State. The costs will be determined in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor the sum of that cost, except no markups will be allowed.

The costs involved in providing the "Partnering Workshop" facilitator and workshop site will be borne equally by the State and the Contractor. The division of cost will be made by determining the cost in providing the "Partnering Workshop" facilitator and workshop site in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost, except no markups will be allowed.

All other costs associated with "Training in Partnering Concepts" and "Partnering Workshops" will be borne separately by the party incurring the costs, such as wages and travel expenses, and no additional compensation will be allowed therefor.

The establishment of a "Partnering" relationship will not change or modify the terms and conditions of the contract and will not relieve either party of the legal requirements of the contract.

5-1.04 CORRIDOR VALUE ANALYSIS

Attention is directed to Section 10-1.06, "Cooperation," of these special provisions.

The Contractor shall attend a "SFOBB Corridor Value Analysis" workshop on a semi-annual basis until project acceptance. It is anticipated that one or more other contractors, pursuant to said Section 10-1.06, will also participate in the workshop. The purpose for having a workshop is to identify value enhancing opportunities and to consider modifications to the plans and specifications of any and all corridor projects that will reduce either the total corridor cost, time of construction or traffic congestion, without impairing, in any manner, the essential functions or characteristics of this contract or any other corridor construction contract including, but not limited to, service life, economy of operation, ease of maintenance, benefits to the travelling public, desired appearance, or design and safety standards. The workshop shall focus on potential enhancing opportunities which would result in any and all corridor construction contractors meeting their respective contractual milestones, early completion of any and all corridor construction contract's designated portions of work and project completion dates, and mitigating delays to any and all corridor construction contracts.

Scheduling of a workshop, selecting the facilitator and workshop site, and other administrative details shall be determined cooperatively by the Contractor and the Engineer. The workshop shall be conducted in conformance with the methodology described in the Department's "Value Analysis Team Guide" available at the Department's web site at:

http://www.dot.ca.gov/hq/oppd/value/

The facilitator shall be a Certified Value Specialist (CVS) as recognized by the Society of American Value Engineers (SAVE) International, which may be contacted as follows:

SAVE International, 60 Revere Drive, Northbrook, IL 60062
Telephone 1-847-480-1730, FAX 1-847-480-9282

In addition to the above provisions relative to the semi-annual "SFOBB Corridor Value Analysis" workshop, the Contractor may submit to the Engineer, in writing, a request for a project-specific "Value Analysis" workshop with no other corridor construction contractors in attendance. To maximize the potential benefits of a workshop, the request should be submitted to the Engineer early in the project after approval of the contract.

The Contractor may submit recommendations resulting from a "Value Analysis" workshop for approval by the Engineer as cost reduction incentive proposals in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications.

The costs involved in providing the "Value Analysis" facilitator and workshop site for the semi-annual "SFOBB Corridor Value Analysis" workshop shall be borne by the State in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, except no markups will be allowed.

The costs involved in providing the "Value Analysis" facilitator and workshop site for the project-specific "Value Analysis" workshop shall be borne equally by the State and the Contractor. The division of cost will be made by determining the cost in providing the "Value Analysis" facilitator and workshop site in conformance with the provisions in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, and paying to the Contractor one-half of that cost, except no markups will be allowed.

All other costs including, but not limited to, wages and travel expenses, associated with the semi-annual "SFOBB Corridor Value Analysis" workshop and "Value Analysis" workshop will be borne separately by the party incurring the costs, and no additional compensation will be allowed therefor.

5-1.05 DISPUTE REVIEW BOARD

GENERAL

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

SELECTION PROCESS, DISCLOSURE AND APPOINTMENTS

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body, considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breech or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

A. Compensation for services on this DRB.
B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
C. Service as a member of other Dispute Review Boards on other contracts.
D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.
E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the three DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

COMPENSATION

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB

expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

REPLACEMENT OF DRB MEMBERS

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

A. The State may terminate service of the State appointed member.
B. The Contractor may terminate service of the Contractor appointed member.
C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
D. Upon resignation of a member.
E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the two parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

OPERATION

The following procedure shall be used for dispute resolution:

A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.
D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.
F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.
H. There shall be no participation of either party's attorneys at DRB dispute meetings.
I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.

J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.

K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.

L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.

M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.

O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

DISPUTES INVOLVING SUBCONTRACTOR POTENTIAL CLAIMS

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.

B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.

C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.

D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.

E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

DISPUTE REVIEW BOARD AGREEMENT

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

Form 6202 Rev (09/01/02)

DISPUTE REVIEW BOARD AGREEMENT

_____
(Contract Identification)

Contract No. _____

THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT", made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ _____ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

_____ ,
(Contractor Appointee)

_____ ,
(State Appointee)

and _____
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

SECTION I DESCRIPTION OF WORK

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

SECTION II SCOPE OF WORK

The scope of work of the DRB includes, but is not limited to, the following:

A. OBJECTIVE

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

B. PROCEDURES

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.
7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

D. DRB CONSIDERATION AND HANDLING OF DISPUTES

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

E. DRB MEMBER REPLACEMENT

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

SECTION III CONTRACTOR RESPONSIBILITIES

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

SECTION IV STATE RESPONSIBILITIES

The STATE will furnish the following services and items:

A. CONTRACT RELATED DOCUMENTS

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

B. COORDINATION AND SERVICES

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

SECTION V TIME FOR BEGINNING AND COMPLETION

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

SECTION VI PAYMENT

A. ALL INCLUSIVE RATE PAYMENT

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

B. PAYMENTS

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

C. INSPECTION OF COSTS RECORDS

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

SECTION VII ASSIGNMENT OF TASKS OF WORK

The DRB members shall not assign the work of this AGREEMENT.

SECTION VIII TERMINATION OF DRB MEMBERS

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

SECTION IX LEGAL RELATIONS

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

SECTION X CONFIDENTIALITY

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

SECTION XI DISPUTES

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

SECTION XIII FEDERAL REVIEW AND REQUIREMENTS

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

By: _____

Title: _____

DRB MEMBER

By: _____

Title :_____

DRB MEMBER

By :_____

Title :_____

CONTRACTOR

By: _____

Title: _____

CALIFORNIA STATE DEPARTMENT
OF TRANSPORTATION

By: _____

Title: _____

5-1.06 COMPENSATION ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

The provisions of this section shall apply only to the following contract items:

ITEM CODE	ITEM
390132	HOT MIX ASPHALT (TYPE A)

The compensation payable for asphalt binder used in hot mix asphalt will be increased or decreased in conformance with the provisions of this section for asphalt binder price fluctuations exceeding 10 percent (Iu/Ib is greater than 1.10 or less than 0.90) which occur during performance of the work.

The adjustment in compensation will be determined in conformance with the following formulae when the item of hot mix asphalt are included in a monthly estimate:

A. Total monthly adjustment = AQ

B. For an increase in asphalt binder price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 1.10)\ Ib$$

C. For a decrease in asphalt binder price index exceeding 10 percent:

$$A = 0.90\ (1.1023)\ (Iu/Ib - 0.90)\ Ib$$

D. Where:

A = Adjustment in dollars per tonne of asphalt binder used to produce hot mix asphalt rounded to the nearest $0.01.

Iu = The California Statewide Paving Asphalt Price Index which is in effect on the first business day of the month within the pay period in which the quantity subject to adjustment was included in the estimate.

Ib = The California Statewide Paving Asphalt Price Index for the month in which the bid opening for the project occurred.

Q = Quantity in tonnes of asphalt binder that was used in producing the quantity of hot mix asphalt shown under "This Estimate" on the monthly estimate using the amount of asphalt binder determined by the Engineer.

The adjustment in compensation will also be subject to the following:

A. The compensation adjustments provided herein will be shown separately on payment estimates. The Contractor shall be liable to the State for decreased compensation adjustments and the Department may deduct the amount thereof from moneys due or that may become due the Contractor.

B. Compensation adjustments made under this section will be taken into account in making adjustments in conformance with the provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications.

C. In the event of an overrun of contract time, adjustment in compensation for asphalt binder included in estimates during the overrun period will be determined using the California Statewide Paving Asphalt Price Index in effect on the first business day of the month within the pay period in which the overrun began.

The California Statewide Paving Asphalt Price Index is determined each month on the first business day of the month by the Department using the median of posted prices in effect as posted by Chevron, ExxonMobil, and Union 76 for the Buena Vista, Huntington Beach, and Midway Sunset fields.

In the event that the companies discontinue posting their prices for a field, the Department will determine an index from the remaining posted prices. The Department reserves the right to include in the index determination the posted prices of additional fields.

The California Statewide Paving Asphalt Price Index is available on the Division of Engineering Services website at:

http://www.dot.ca.gov/hq/esc/oe/asphalt_index/astable.html

5-1.07 SUPPLEMENTAL PROJECT INFORMATION

Supplemental project information attached to the project plans is:

1. Log of Test Borings

Supplemental project information included in the Information Handout and Information Available for Inspection are:

INFORMATION HANDOUT

Structure Information Handout

1. San Francisco-Oakland Bay Bridge Design Criteria, dated July 15, 2002 by T. Y. Lin International/Moffatt & Nichol Engineers, a Joint Venture"
2. Mass Concrete Report dated January 25, 2001 by Ric Maggenti, P.E. Materials & Research Engineer of Caltrans.
3. Appendix to Mass Concrete Report: Mass Concrete Pours at Dublin 580/680 Interchange
4. Test Method for Coefficient of Linear Thermal Expansion of Concrete, dated June 1, 1988
5. Notification of California Department of Transportation Qualification Requirement for Ultrasonic Testing Personnel Form
6. Recommendations of CEB-FIP Model Code 1978 for Concrete Structures
7. NCHRP Report 402
8. Integrated Shop Drawing (ISDs) for YBI Advance Construction Contract Change Orders Nos. 64 and 75.

Geotechnical Information Handout

1. Pile Installation Demonstration Project (PIDP) Geotechnical Report: Main Text & Appendices
2. Ground Motion Report: Main Text and Appendices
3. Final Marine Geophysical Survey Report:
   Volume-1, Main Text and Appendices
4. Final Marine Geotechnical Site Characterization Report:
   Volume-1, Main Text and Illustrations. Volume-2A through Volume-2H
5. Phase-I Subcontractor Reports:
   Volume-1 through Volume-4
6. Phase-II Subcontractor Reports:
   Volume-1 through Volume-3
7. Final Yerba Buena Island Geotechnical Site Characterization Report:
   Volume-1, Main Text, Volume-2 through 4
8. Geotechnical Foundation Report for the Yerba Buena Island Approach and Self-Anchored Suspension Bridge

District Information Handout

a. Permits, Letters and Agreements, including, but not limited to:

   1. California Department of Fish and Game (CDFG), Incidental Take Permit No 2081-2001-021-01, Issued November 19, 2001
   2. California Regional Water Quality Control Board (RWQCB), Copies of the Order and the Waste Discharge Requirements
   3- United States Army Corps of Engineers(ACOE), Permit No 023013-OS, issued December 04, 2001 and Letters of Modification
   4- San Francisco Bay Conservation Development Commission (BCDC), Permit 8-01, Issued November 20, 2001, and Amendments
   5- United States Coast Guard, USCG), Bridge Permit, Bridge Permit No 3-01-11, Dated December 11, 2001
   6- United States Fish and Wildlife Service (USFWS), Biological Opinion, issued October 29, 2001
   7- National Marine Fisheries Service (NMFS), Biological Opinion, Issued October 30, 2001 and Incident Harassment Authorization issued May 1, 2007

The latest versions of environmental permits can be found at the following website:

www.biomitigation.org

a. Archaeological Survey Reports
b. Underwater Debris
c. SFOBB East Span Survey Info
d. Private Aid to Navigation Sample Form
e. Geotechnical & Material Report for YBIg. "Site Investigation Report, SFOBB East Span Seismic Safety Project, Yerba Buena Island," Geocon, June 2001.
f. "Supplemental Site Investigation Report, Yerba Buena Island Duct Bank," Geocon, March 2007.
g. Ground Penetration Report No. 6488-01, GEO Vision, November 2006.
h. Historical Maps (1917, 1932, 1933)
i. Confidentiality Agreement Form
j. OCIP Manual

INFORMATION AVAILABLE FOR INSPECTION

Supplemental project information available for inspection, upon written request, at the office of the Duty Senior at the District 4 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone (510) 286-5209 are:

1. Final Environmental Impact Statement/California Environmental Quality Act (CEQA) Statutory Exemption and Record of Decision;
2. BCDC Permit Application and permit;
3. Application for Water Quality Certification from the RWQCB;
4. RWQCB 401 Certification;
5. Waste Discharge Requirements from the RWQCB;
6. Order No. 01-100, NPDES General Permit No. CAG912002 from SFRWQCB;
7. ACOE 404 Permit Application and Permit;
8. USCG Permit Application and Permit;
9. Caltrans letters to the Dredged Material Management Office with draft disposal plan;
10. USFWS Biological Opinion for brown pelicans and least terns;
11. CDFG 2081 Incidental Take Statement;
12. As-built plans of the existing San Francisco-Oakland Bay Bridge;
13. Soil samples and rock cores.
14. Referee samples of Federal Standard colors No. 27925 and No. 27880 regarding the finish coat color for the following facilities: (1) bike path railing and all components; (2) steel barrier and all components, (3) service platform and all components except stainless steel electrical service cabinets, (4) Overhead sign structures, and (5) Changeable message sign structures
15. Project Management Integral Vision (PMIV) Sole Source Agreement
16. Cross sections are available in paper copy.

Material information and as-built plans of the existing San Francisco-Oakland Bay Bridge, existing Temporary Bypass Structure (Bridge No. 34-0006 TEMP), Route 80 Detour structures, and YBI Advance Construction Plans (Contract Change Order Nos. 64 and 75) are available for inspection, upon written request, at the Duty Senior at the District 4 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209, and fax number (510) 622-1805. Requests shall be made on company letterhead and shall include the information requested, the purpose for the information (include contract or permit numbers), and contact information.

When a request to review, inspect, or copy as built plans is approved by the Duty Senior, the Contractor shall provide photo identification and fill and sign a "Confidentiality Agreement Form" with the Department. The "Confidentiality Agreement Form" is available at the following Internet address:

http://www.dot.ca.gov/hq/esc/confidentiality_agreement.pdf

When the Contractor's work is finished, the Contractor shall return all obtained as-built plans back to the office of Duty Senior at the District 4 Office, 111 Grand Avenue, Oakland, California 94612, fax number: (510) 622-1805, email: duty_senior_district04@dot.ca.gov, telephone (510) 286-5209.

5-1.08 INTEGRATED SHOP DRAWINGS

Difficult construction is anticipated at the following locations that are highly congested with reinforcing steel, post-tensioning strand tendons, anchor bolts, and other concrete embedded items as shown on the plans. It is anticipated that various dimensional conflicts may be encountered between the planned locations of these embedded items.

A. Hinges (including Hinge K closures)
B. Column penetrations for drain pipes and electrical conduits
C. Columns
D. Bent Caps
E. Footings
F. South edge of eastbound transition structure to interface with future bike path construction.

The Contractor shall develop three-dimensional integrated shop drawings (ISD's) for all locations listed above in accordance with the details shown on the plans and the requirements of this section. ISD's shall conform to Section "Working Drawings," of these special provisions. ISD's shall be composite placing drawings to scale and in sufficient detail to show the relative positions of all items that are to be embedded in the concrete and their embedment depth as necessary to demonstrate compatibility of items within the concrete. ISD's shall be prepared under the supervision of an engineer who is registered as a Civil Engineer in the State of California.

The Contractor shall field measure locations of reinforcement at existing columns and seismic joint blockouts and utilize these measurements in the preparation of related bent cap ISD's.

Prior to commencing work on the ISD, the Contractor (including any sub-consultants hired to work on the ISD) shall attend a meeting with the Engineer to discuss the ISD work. ISD status meetings shall be held regularly, or as required by the Engineer, to discuss the progress of the ISD work.

The Contractor shall utilize commercially available software that checks for interference in three dimensions to identify any conflicts in the planned positions of embedded items in the ISD's. The software shall be compatible with the computer-aided drafting (CAD) software used to develop the ISD's. Prior to acquiring the software, the Contractor shall submit to the Engineer the product name and application features of the software for review and approval. Bar reinforcement shall be shown with deformed diameters. The Contractor shall develop CAD files using different layers for each type of embedded item such that the sequence of construction of the member or area being detailed can be shown.

Embedded items that are to be shown on the ISD's shall include but are not limited to, the following:

A. Prestressing ducts and anchorages
B. Bar reinforcing steel and splices including lap, welded, and mechanical splices
C. Anchor bolts
D. Anchor bolt plates
E. Grout vents
F. Seismic joints
G. Drainage pipe
H. Utility conduits and openings
I. Inserts, bolt sleeves and studs
J. Headed bars
K. Epoxy coated bars
L. Pipe shear keys
M. Joint seal assemblies
N. Other items, as shown on the plans or added by the Contractor

The Contractor shall use three-dimensional ISD's to indentify and propose resolution of all conflicts and interference between the planned positions of embedded items and to satisfy the concrete cover shown on the plans.

If a conflict is identified, the Contractor shall document the conflict and propose changes to the embedded items in the ISD's to resolve the conflict. Proposed changes to the embedded items shall be made by a licensed engineer practicing Civil Engineering with extensive previous experience developing ISD.

The Contractor's proposed changes in the ISD's shall comply with the following sequence of item adjustments:

1. Non structural embedded items
2. Reinforcing steel including headed bar reinforcement and epoxy coated reinforcement
3. Transverse prestressing ducts

For conflicts involving bar reinforcing steel that cannot be resolved by adjusting nonstructural embedded items, the Contractor shall apply the following sequence of adjustments in order as necessary:

A. Adjust reinforcement spacing
B. Bundle bars
C. Relocate splices
D. Change reinforcement size and number. Reduction of the total reinforcement area will not be permitted unless otherwise permitted by the Engineer
E. Change reinforcement shape
F. Move embedded inserts
G. Cut/trim reinforcement
H. Combination of all the above

The ISD's to be submitted to the Engineer shall include the following:

A. Baseline/Conflict Identification ISD: Three sets of the ISD's corresponding to the details as shown on the plans without any modifications. These ISD's shall indicate all conflicts including locations of the conflicts and items involved in the conflicts.
B. Conflict List: Three complete lists of conflicts with descriptions and the Contractor's proposed modifications for each conflict. C. Proposed Modifications ISD: Three sets of the ISD's corresponding to the details as shown on the plans with incorporation of the Contractor's proposed modifications. These ISD's shall indicate that all previous identified conflicts have been resolved and concrete cover requirements as shown on the plans are met.
D. ISD submittal shall be in two-dimensional format utilizing the three-dimensional layouts as input. ISD's shall be 260 mm x 432 mm in size and shall use colored ink to differentiate each type of embedded items.
E. Three copies of the ISD's in electronic form on compact discs or tape for use by the Engineer.

An ISD submittal that complies with all of the above requirements, in the opinion of the Engineer, will be defined as a complete ISD submittal. Isometric drawings, if submitted, shall in no way relieve the Contractor from any other working drawing submittal required by these special provisions or the Standard Specifications.

CAD files of the contract drawings will not be made available to the Contractor.

After an ISD submittal is received by the Engineer, the Contractor shall allow the Engineer 7 days to review the ISD submittal for completeness. If determined to be complete, the Engineer shall have 28 days from the day of receipt to review and approve the ISD submittal. For proposed modifications that are not approved by the Engineer, the Engineer will propose alternative modifications to the Contractor. Modifications that result in changes to the plans or specifications, as determined by the Engineer, will be made in accordance with Section 4-1.03, "Changes," of the Standard Specifications. The Contractor shall submit revised ISD's incorporating the Engineer's alternative modifications as specified in this section. If more than one ISD is submitted at one time, the time to be allowed for the review of the ISD shall not be less than the review time specified above plus 14 days for each ISD submittal still under review and the Contractor shall designate the sequence in which the submittals are to be reviewed.

Construction of the items at locations listed above and adjacent areas shall not begin until the Engineer reviews and approves the complete ISD submittal with all conflicts resolved.

No extension of time will be permitted for the Contractor's failure to identify all conflicts or to complete the ISD's as required by these special provisions.

Full compensation for preparing ISD's, including ISD status meetings, computer software as described in this section, and all revisions necessary due to conflict resolution measures described in this section, shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

5-1.09 COST REDUCTION INCENTIVE PROPOSALS

Cost Reduction Incentive Proposals (CRIP) shall conform to the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications and these special provisions.

Attention is directed to "Description of Bridge Work" of these special provisions for the description of basic design of the bridge.

The sixth paragraph of Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications shall not apply.

The Contractor shall reimburse the Department's costs for investigating and reviewing a CRIP including the preliminary concept of a CRIP regardless whether it is approved or rejected. The Contractor shall indicate acceptance thereof in writing, and that acceptance shall constitute full authority for the Department to deduct amounts payable to the Department from any moneys due or that may become due to the Contractor under the contract.

No proposals will be permitted in the following:

A. Structure Type
B. Number and Location of Hinges
C. Span Lengths
D. Slope of Exterior Girder
E. Box Girder Exterior Dimensions
F. Bent Cross-Sections
G. Pile Dimensions and Layout
H. Footing Type and Dimensions
I. Specified Pile Tip Elevations
J. Minimum Hammer Energy
K. Welding Requirements and Procedures
L. Bikepath Structure

The Contractor shall submit the preliminary concept of proposed CRIP in writing to the Engineer for approval prior to proceeding with the complete CRIP. After submitting the preliminary concept of proposed CRIP, the Contractor shall request a meeting with the Engineer to discuss the proposal in concept and to determine whether the cost reduction proposal will be considered by the Department. Items of discussion will include permit issues, impact on other projects, impact on the project schedule, traffic considerations, safety and health issues, design criteria, and review times required by the Department and other agencies. Determination by the Engineer that a cost reduction proposal will not be considered further will be deemed rejection of the proposal. The Contractor shall allow 15 working days after the meeting for the Engineer to review the conceptual submittal. Acceptance of a conceptual submittal in no way constitutes approval nor guarantees future approval of the Contractor's CRIP.

If the proposed CRIP affects the seismic performance of the structure, as determined by the Engineer, the Contractor shall present the CRIP proposal to the Seismic Safety Peer Review Panel (SSPRP). It is anticipated that this presentation to the SSPRP will require a 2-month notice and 6 meetings (occurring once a month). The meeting location(s) will be in California, and the meeting location and schedule will be determined by the SSPRP. The Engineer will not further consider said CRIP unless it is approved by the SSPRP. The Contractor's cost of preparing the SSPRP presentation and attending the SSPRP meetings and the Department's costs of investigating said proposal, presentation, meeting attendance, and compensation to the SSPRP, including any portion thereof paid by the Contractor, shall be excluded from consideration in determining the estimated new savings in construction costs.

No extension of time and no delay will be granted for the development, submittal, investigation, and review of CRIPs.

5-1.10 TIDAL CONDITIONS AND ELEVATION DATUM

Attention is directed to Section 2-1.03, "Examination of Plans, Specifications, Contract, and Site of Work," of the Standard Specifications.

Tidal conditions may present significant problems in constructing the work as depicted in the contract plans. Tidal fluctuations may be severe and different from those shown in published tidal and current data due to differences in datum, winter runoff and other causes. Strong currents exist over portions of the project site. Limited time periods of slack water may restrict diving and other underwater activities.

The Contractor is responsible for being knowledgeable of such tidal difficulties, and no payment will be made by the State for any costs incurred by the Contractor in connection with the variations in actual tidal or current conditions during the course of this contract. Any reference to Mean Higher High and Mean Lower Low tides shall be understood to be an estimate used for permit purposes, actual mean tide data shall be determined by the Contractor.

All vertical control data are based on the National Geodetic Vertical Datum NGVD of 1929.

5-1.11 AREAS FOR CONTRACTOR'S USE

Attention is directed to the requirements specified in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications, plans and these special provisions.

The highway right of way shall be used only for purposes that are necessary to perform the required work. The Contractor shall not occupy the right of way, or allow others to occupy the right of way, for purposes which are not necessary to perform the required work.

The Contractor's attention is directed to "Cooperation," of these special provisions, and the Contractor shall use only the designated areas for Contractor's use as specified in this section, and as shown on the plans and as follows:

1. **Area PR:** is not available for the 04-0120S4 Contractor's use until January 1, 2010 unless otherwise approved by the Engineer. After said date, Contractor shall permit access by others if directed by the Engineer.
2. **Area FP:** is not available for the 04-0120S4 Contractor's use until January 1, 2010 unless otherwise approved by the Engineer. After said date, Contractor shall permit access by others if directed by the Engineer.

No area is available within the contract limits for the exclusive use of the Contractor. However, temporary storage of equipment and materials on State property may be arranged with the Engineer, subject to the prior demands of State maintenance forces and to other contract requirements. Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk. The State shall not be held liable for damage to or loss of materials or equipment located within these areas.

Toll plaza parking lots shall not be used for the Contractor's employees private vehicles and the Contractor's equipment and vehicles.

The Contractor shall remove the equipment, materials, and rubbish from the work areas and other State-owned property which the Contractor occupies and shall leave the areas in a presentable condition, in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for storage of plant, equipment, and materials, or for other purposes if sufficient area is not available to the Contractor within the contract limits.

5-1.12 UTILITIES

The Contractor shall make arrangements to obtain electrical power, water or compressed air or other utilities required for the Contractor's operations and shall make and maintain the necessary service connections at the Contractor's own expense.

5-1.13 SANITARY PROVISIONS

State sanitary facilities will not be available for use by the Contractor's employees.

5-1.14 BRIDGE TOLLS

Toll-free passage on the San Francisco-Oakland Bay Bridge will be granted only for cars, trucks and special construction equipment which are clearly marked on the exterior with the Contractor's identification and which are being operated by the Contractor exclusively for the project, and which are used for the purpose of transporting materials and workers directly to and from the project site.

The Contractor shall make application to the Engineer in advance for toll-free passage. The Contractor will be held accountable for the proper use of passes issued, and upon completion of the work, shall return unused passes to the Engineer.

Attention is directed to Section 23302, "Evasion of Toll," of the Vehicle Code.

5-1.15 ACCESS TO PROJECT SITE

Prospective bidders may make arrangements to visit the project site by contacting the Duty Senior, District 04 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209.

5-1.16 PERMITS AND LICENSES

Attention is directed to Section 7-1.04, "Permits and Licenses," of the Standard Specifications and these special provisions.

The Department has obtained the permits and licenses from the following agencies for this project:

A. California Regional Water Quality Control Board (RWQCB)
B. U.S. Army Corps of Engineers (ACOE)
C. San Francisco Bay Conservation Development Commission (BCDC)
D. United States Coast Guard (USCG)
E. California Department of Fish and Game (CDFG)
F. United States Fish and Wildlife Service (USFWS)
G. National Marine Fisheries Service (NMFS)

Copies of these permits have been made on Compact Disksas part of the information handout that is available to the Contractor, as specified in "Project Information," of these special provisions that can be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490 or may be seen and are available for inspection at the Duty Senior, District 04 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209.

For the latest versions of environmental permits, the Bidders and Contractor shall visit the website:

www.biomitigation.org

Full compensation for conforming to the requirements in these permits and licenses shall be considered as included in the prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.17 FORCE ACCOUNT PAYMENT

Payment for extra work at force account will be determined by either non-subcontracted or subcontracted force account payment unless otherwise specified.

Non-Subcontracted Force Account Payment

When extra work to be paid for on a force account basis is performed by the Contractor, compensation will be determined in accordance with Section 9-1.03, "Force Account Payment," of the Standard Specifications and these special provisions.

The second, third and fourth paragraphs of Section 9-1.03A, "Work Performed by Contractor," in the Standard Specifications, shall not apply.

Attention is directed to "Time-Related Overhead" of these special provisions.

To the total of the direct costs for work performed on a force account basis, computed as provided in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications, there will be added the following markups:

Cost	Percent Markup
Labor	28
Materials	10
Equipment Rental	10

The above markups shall be applied to work performed on a force account basis, regardless of whether the work revises the current contract completion date.

The above markups, together with payments made for time-related overhead pursuant to "Time-Related Overhead" of these special provisions, shall constitute full compensation for all overhead costs for work performed on a force account basis. These overhead costs shall be deemed to include all items of expense not specifically designated as cost or equipment rental in conformance with the provisions in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental," of the Standard Specifications. The total payment made as provided above and in the first paragraph of Section 9-1.03A, "Work Performed by Contractor," of the Standard Specifications shall be deemed to be the actual cost of the work performed on a force account basis, and shall constitute full compensation therefor.

Full compensation for overhead costs for work performed on a force account basis, and for which no adjustment is made to the lump sum price bid for time-related overhead conforming to the provisions in "Time-Related Overhead" of these special provisions, shall be considered as included in the markups specified above, and no additional compensation will be allowed therefor.

Subcontracted Force Account Payment

When extra work to be paid for on a force account basis is performed by a subcontractor approved in conformance with the provisions in Section 8-1.01, "Subcontracting," of the Standard Specifications, compensation will be determined in accordance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

5-1.18 PAYMENTS

Attention is directed to Sections 9-1.06, "Partial Payments," and 9-1.07, "Payment After Acceptance," of the Standard Specifications and these special provisions.

For the purpose of making partial payments pursuant to Section 9-1.06, "Partial Payments," of the Standard Specifications, the amount set forth for the contract items of work hereinafter listed shall be deemed to be the maximum value of the contract item of work which will be recognized for progress payment purposes:

A.	Clearing and Grubbing	$ 32,300.00
B.	Progress Schedule (Critical Path Method)	$ 425,000.00
C.	Lead Compliance Plan.	$ 4,000.00
D.	Prepare Storm Water Pollution Prevention Plan	$ 11,900.00
E.	Document Management System	$ 581,600.00
F.	Working Drawings Submittal	$3,000,000.00
G.	Bridge Removal (Portion), Location A	$ 24,000.00
H.	Bridge Removal (Portion), Location B	$ 32,000.00

After acceptance of the contract pursuant to the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount, if any, payable for a contract item of work in excess of the maximum value for progress payment purposes hereinabove listed for the item, will be included for payment in the first estimate made after acceptance of the contract.

In determining the partial payments to be made to the Contractor, only the following listed materials will be considered for inclusion in the payment as materials furnished but not incorporated in the work:

A. Steel Piling
B. Prestressing steel for cast-in-place members (sealed packages only)
C. Prestress anchorages and ducts
D. Precast concrete members
E. PTFE spherical bearings
F. Seismic joints
G. Bar reinforcing steel, including epoxy coated bars
H. Headed bar reinforcement
I. Structural steel
J. Column isolation sleeve
K. Miscellaneous metal
L. Bridge deck drainage system
M. Bike path railing
N. Metal decking for service platforms
O. Service platform railing
P. Pipe shear key assemblies
Q. Bike path grating and grating attachment assemblies
R. Deck access manhole frames and covers
S. Deck utility access opening frames and covers
T. Lighting fixtures
U. Luminaires
V. Camera assemblies
W. Fiber optic cable
X. Fiber optic splice closure

Plate steel for fabrication of structural steel and fabricated elements for structural steel fabricated and stored within the United States will be eligible for partial payment if the Contractor furnishes evidence satisfactory to the Engineer that its storage is subject to or under the control of the Department and that it has been designated or fabricated specifically for this project and is of such character that is not adaptable to any other use.

5-1.19 SOUND CONTROL REQUIREMENTS

Sound control shall conform to these special provisions.

Attention is directed to "Supplemental Project Information," of these special provisions for reference to the USCG License DTCG-Z71111-03RP-002L, Amendment No. 1, Maintenance and Legistic Command Pacific.

The noise level from the Contractor's operations, between the hours of 7:00 p.m. and 7:00 a.m., shall not exceed 78 dBa at a distance of 15 m from the source. Impact-type mechanical operations, such as pile-driving and jack-hammering shall not be conducted between the hours of 7:00 p.m. and 7:00 a.m. At all times, the Contractor shall be responsible for complying with local ordinances regulating noise levels as well as the sound requirements of this section.

Noise monitoring activities will be conducted by the Department. The Contractor shall coordinate with the Department monitors and allow them access to noise monitoring locations.

The Contractor shall provide one "Type 1" sound level meter and one acoustic calibrator, which will be used by the Department during the life of the contract, at least 30 days prior to the construction start. The Contractor shall provide training by a person trained in noise monitoring to one Department employee designated by the Engineer. The sound level meter shall be calibrated and certified by the manufacturer or other independent acoustical laboratory prior to delivery to the Department. The Contractor shall provide annual recalibration by the manufacturer or other independent acoustical laboratory. All equipment shall be capable of taking measurements using the A-weighting network and the "slow" response of the sound level meter. The measurement microphone shall be fitted with an appropriate windscreen. All equipment shall be returned to the Contractor at the acceptance of the contract. Equipment damaged by actions of the Department or the public shall be paid for as extra work as provided in Section 4-1.03D for the Standard Specifications.

Each internal combustion engine, used for any purpose on the job or related to the job, shall be equipped with a muffler of a type recommended by the manufacturer. No internal combustion engine shall be operated on the project without the muffler.

The noise level requirement shall apply to the equipment on the job or related to the job, including but not limited to trucks, transit mixers or transient equipment that may or may not be owned by the Contractor. The use of loud sound signals shall be avoided in favor of light warnings except those required by safety laws for the protection of personnel.

Full compensation for conforming to the requirements of this section shall be considered as included in the prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

5-1.20 CONTAMINATED AND HAZARDOUS MATERIAL, GENERAL

Attention is directed to "Contaminated and Hazardous Material Excavation," of "Earthwork" of these special provisions regarding the removal and disposal of excavated contaminated and hazardous material described in this section.

Contaminants have been discovered through testing within the project limits. Testing consisted of collecting and analyzing in situ samples within the project limits. Summary tables of the test results are included in the "Materials Information." The complete reports titled:

A. "Site Investigation Report, SFOBB East Span Seismic Safety Project, Yerba Buena Island," Geocon, June 200.
B. "Supplemental Site Investigation Report, Yerba Buena Island Duct Bank," Geocon, March 2007.

are available for inspection at the office of the Duty Senior, 111 Grand Avenue, Oakland, CA 94612, email; duty_senior_district04@dot.ca.gov, telephone number; (510) 286-5209. These test results have been used for disposal characterization of material within the excavation limits and shall not be construed as identifying all locations within the project limits that contain contaminants.

Wherever the following terms are used in the contract documents, the meaning and intent shall be interpreted as provided below:

A. Resource Conservation and Recovery Act (RCRA) Material – Material that contains contaminants at concentrations equal to or greater than the threshold limit concentrations listed in Section 66261.24(a)(1) of Title 22 of the California Code of Regulations.
B. Non-RCRA material – Material that contains contaminants at concentrations equal to or greater than the threshold limit concentrations listed in Section 66261.24(a)(2) of Title 22 of the California Code of Regulations.
C. Class II material – Material that contains contaminants, typically petroleum hydrocarbons, at concentrations that require handling of the material as a Designated Waste as defined in Section 13173 of the California Water Code but does not contain contaminants at concentrations equal to or greater than the threshold limit concentrations listed in Section 66261.24 of Title 22 of the California Code of Regulations.

Characterization and disposal of additional material resulting from excavations performed outside of the pay limits shown on the plans, specified in the Standard Specifications, or specified or directed by the Engineer, for the Contractor's convenience, shall be at the Contractor's expense. This resultant material shall be presumed to be either RCRA material, Non-RCRA material, or Class II material if the test results for the location indicate that the material being excavated is RCRA material, Non-RCRA material, or Class II material. The Contractor shall dispose of the resultant material in conformance with the provisions in "Earthwork" of these special provisions. When the material must be removed from highway right of way, the Contractor shall furnish replacement material suitable for the purpose intended in conformance with the provisions in Section 19, "Earthwork," of the Standard Specifications.

APPLICABLE RULES AND REGULATIONS

Excavation, transport and disposal of contaminated and hazardous material shall be in conformance with the rules and regulations of the following agencies:

United States Department of Transportation (USDOT)
United States Environmental Protection Agency (USEPA)
California Environmental Protection Agency (CAL-EPA)

1. Department of Toxic Substance Control (DTSC)
2. Integrated Waste Management Board
3. Regional Water Quality Control Board, Region 2 (RWQCB)
4. State Air Resources Board

Bay Area Air Quality Management District (BAAQMD)
California Division of Occupational Safety and Health Administration (CAL-OSHA)

PERMITS AND LICENSES

The Contractor shall procure all permits and licenses, pay all charges and fees, and give all notices necessary and incident to the due and lawful prosecution of the work, including registration for transporting vehicles carrying RCRA material and Non-RCRA material, in conformance with the provisions in Section 7-1.04, "Permits and Licenses," of the Standard Specifications. Prior to transporting RCRA material and Non-RCRA material,, the Contractor shall submit a copy of the transporter's valid hazardous waste transporter registration to the Engineer.

The Engineer will obtain the Environmental Protection Agency Generator Identification Number and Board of Equalization Identification Number and sign all manifests as the Generator. The Contractor shall notify the Engineer at least 5 working days in advance of beginning hazardous waste transport and at least 24 hours in advance of subsequent loads.

5-1.21 PHOTO IDENTIFICATION SYSTEM

Photo identification system shall consist of photo identification (ID) cards, production equipment and database. The Contractor shall submit a database record of every person contemplated to work on the project jobsite, including the employees of the subcontractors, vendor and suppliers.

All employees, including subcontractor, vendors and suppliers, shall have photo ID cards when reporting to work at the jobsite. Photo ID cards shall consist of a visible badge which shall be worn plainly visible at all times and a wallet-size card which shall be available for inspection as required. The front side of the badge shall contain a visible, identifiable photograph with a minimum size of 25 mm x 25 mm, the person's last name, first name, employee ID number, issue date, expiration date and employer logo. Wallet-size cards shall contain the last name, first name, middle initial, issue date, expiration date and issuer signature. Any lost badges or cards shall be immediately reported to the Engineer prior to being replaced. Individuals who do not possess the required photo ID cards shall be removed from the work site immediately at the Engineer's request.

Production equipment shall consist of system software, camera and duplex card printer. Equipment shall have the following standard features or equivalent, as determined by the Engineer:

A. **System Software**.--ID works production software will have the following standard features:

1. Microsoft Windows XP operation;
2. Full user audit log;
3. Administrator and user security;
4. BMP, .JPG, .PCX, .PNG, and .PSD image import formats;
5. BMP, .JPG, .PCX, .TGA, .TIF , .WMF image export formats;
6. Full character recognition search (alphabets, numbers) in all fields;
7. Automatic update of database after badge production;
8. Simultaneous batch print of multiple card formats;
9. Software license key;
10. Online Help and reference library; and
11. Documentation, installation, training and Help Desk support.

B. **Camera.--** The camera used for producing employee ID badges shall be USB digital with the following specifications:

1. Compatible with Windows XP;
2. External AC power supply (auto-switch);
3. Operating Environment for humidity from 30 percent to 90 percent and for temperature from 0°C to 40°C;
4. Resolutions of 1600 x 1200, 1024 x 768, or 640 x 480 pixels;
5. Lens of sizes from 7.1 mm to 21.3 mm, F/l.8 to F/2.6 (equivalent to 40 mm to 120 mm lens for 35 mm camera);
6. Flash range appropriate for a subject 1.8 to 3 meters from camera; three 64 MB Compact Flash or SmartMedia memory cards; and
7. CE Mark, FCC Class B and UL approved.

In addition, the camera shall have the following features and components:

1. Datacard Integrated USB Digital Camera Software with controlled Auto-Crop or Manual ~p;
2. USB cable connection to PC;
3. High, Medium and Low resolution (customer selectable);
4. Built-in flash;
5. Automatic focus and exposure;
6. 3x Optical Zoom;
7. 5 Megapixel RGB CCD;
8. Country Specific Power Cords;
9. Power adapter (auto switches for the appropriate voltage);
10. Tripod, backdrop, frame and stand;
11. Installation instruction and manuals; and
12. 3 meter USB cable.

C. **Duplex Card Printer.--**The duplex card printer shall have the following features and specifications:

1. Windows 2000 or XP drivers;
2. CD ROM tutorial;
3. Operator-replaceable printhead;
4. Audio and visual error prompts;
5. Operator messages displayed on PC screen;
6. Automatic card feed;
7. "True" exception card system;
8. Full-color or monochrome imaging;
9. One-step ribbon cartridge replacement;
10. Hands-free card cleaning system;
11. In-line topcoat application;
12. Portable, desktop design;
13. Input hopper holds 100 each cards - 0.76 mm thick;
14. Output hopper holds 25 each cards - 0.76 mm thick;
15. One-year depot warranty for printer;
16. One-year printhead warranty -no prorating, no card counting;
17. Continuous-tone, full-color, with alphanumeric text and logos print capability;
18. Background patterns with 300 dots per inch print resolution, In-line ribbon application of single topcoat capability , and dual voltage-auto sensing electrical requirements ;
19. 110/120V , 50/60 Hz and 220/240 V, 50/60 Hz;
20. Parallel ECP mode or Compatible mode communications; 21. CR80-30 Plastic cards accepted:
21. PVC, with glossy overlaminate laminate surface ID cards, 86 mm x 54 mm in size and 0.8 mm in thickness;
22. Resident memory of 2 MB; and
23. UL, CSA, FCC Class A (for U.S. and Canada) approved.

A database record shall be furnished to the Engineer at least three days prior to beginning of work. It will be updated for new employees, subcontractors or suppliers daily and submitted weekly to the engineer. This database shall contain the following information:

A. Caltrans contract number;
B. Contractor/Subcontractor/Vendor/Supplier ID number;
C. Employee ID number;
D. Last name;
E. First name;
F. Middle name;
G. Labor classification;
H. Date of hire/employment date;
I. Length of employment;
J. Issue date; and
K. Expiration date.

All data shall be delivered to the Engineer electronically, on Microsoft Windows compatible 90 mm floppy disks or 700-megabyte Compact Disks. The Contractor shall provide an updated personnel information whenever there is a change or at least five working days after requested by the I Engineer. The file format for all files delivered to the Engineer shall be standard comma delimited c (CSV), plain text files. Characteristics of this type of file are:

A. All data is in the form of plain ASCII characters;
B. Each row of data is delimited by a carriage return character: and
C. Within row, each column (field) of data is delimited by a comma character.

Payment for providing photo identification system shall be included in the various items of work involved, and no separate payment will be made therefor.

In addition to photo identification system, access control measures shall be placed as directed by the Engineer. Access control measures will be paid for as extra work as provided for in Section 4-1.03D of the Standard Specifications, and will not be considered a special service as specified in Section 9-1.03D of the Standard Specifications.

5-1.22 MIGRATORY BIRD TREATY ACT

The Contractor shall know and comply with the Federal Migratory Bird Treaty Act (16 U.S.C. 703 et seq.), the Bald Eagle Protection Act of 1940, as amended (16 U.S.C. 668), Title 50 Code of Federal regulations part 10, California Department of Fish and Game Code Sections 3503, 3513, and 3800, and Federal and California Endangered Species Acts. Provisions of these regulations provide protection for birds and their parts, including eggs, nests (occupied and unoccupied), and feathers.

The Bald Eagle Protection Act provides for the protection of Bald and Golden eagles by prohibiting the taking of occupied and unoccupied nests, eggs, feathers, or trees in the vicinity of nests. Take is defined as to pursue, shoot, shoot at, poison, wound, kill, capture, trap, collect, molest or disturb. Exclusion techniques described later in this section shall not be used for Bald or Golden eagles.

The nesting period for migratory birds is between January 1 and July 31st. Areas subject to nesting by birds include, but are not limited to structures, trees, brush, and grassy areas.

The Contractor shall provide the Engineer a separate written notice 15 calendar days prior to the start of any work during the nesting period. The Contractor shall provide separate updates on the planned work areas every 15 calendar days between December 15 and April 30, and no more than 30 calendar days between May 1 and August 31.

Tree removal or clearing and grubbing shall not commence in an area until the Contractor receives approval from the Engineer.

The Department will conduct an initial nesting survey prior to the start of construction and will conduct additional nesting surveys during construction, as required.

When work occurs during the nesting period, the Contractor shall remove unoccupied nests, not protected by the Bald Eagle Protection Act, from all affected structures to remain through any portion of the construction period.

The Contractor shall use exclusion techniques, approved by the Engineer, to prevent migratory birds from nesting on the ground, on structures, or in trees, shrubs, or other vegetation within the project limits. Exclusion techniques may include, but are not limited to:

1. Clearing and grubbing areas required by the contract
2. Tree removal required by the contract
3. Netting of structures using heavy delta knotless netting, 12.7-mm square mesh

4. Mechanical removal of:

    a. Nests outside of the nesting period
    b. Nests that do not have eggs or young birds present during the nesting period

The Contractor shall implement the approved exclusion techniques immediately after the approval of the contract, or as directed by the Engineer.

Damaged netting shall be repaired or replaced the same day the damage occurs.

If evidence of bird nesting is discovered or when a bird is injured or killed as a result of construction activity, immediately stop work within 30.5 meters of the nest and notify the Engineer. Do not resume work until the Engineer provides written notification that work may resume at that location. Further work, actions, or remediation may be prescribed by the Engineer and may include work exclusion zones, modified schedules, or other methods based on the species involved. The Engineer may temporarily suspend work in accordance with Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications.

The Contractor shall be responsible for penalties assessed on the Contractor or the Department as a result of the Contractor's failure to comply with the applicable provisions of the Federal and State regulations and requirements.

Penalties as used in this section shall include fines, penalties, and damages, whether proposed, assessed, or levied against the Department or the Contractor. Penalties shall also include payments made or costs incurred in settlement for alleged violations of applicable laws, regulations, or requirements. Costs incurred could include sums spent instead of penalties, in mitigation or to remediate or correct violations.

WITHHOLDS

The Department will withhold money due the Contractor, in an amount estimated by the Department, to include the full amount of penalties and mitigation costs proposed, assessed, or levied as a result of the Contractor's violation of the Federal or State law, regulations, or requirements. Funds will be withheld by the Department until final disposition of these costs has been made. The Contractor shall remain liable for the full amount until the potential liability is finally resolved with the entity seeking the penalties. Instead of the withhold, the Contractor may provide a suitable bond in favor of the Department to cover the highest estimated liability for any disputed penalties proposed as a result of the Contractor's violation of the permits, law, regulations, or requirements.

If a regulatory agency identifies a failure to comply with the Federal or State requirements, the Department will withhold money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to withhold funds from payments which may become due to the Contractor before acceptance of the contract. Funds withheld after acceptance of the contract will be made without prior notice to the Contractor.
B. No withholds of additional amounts out of payments will be made if the amount to be withheld does not exceed the amount being withheld from partial payments in accordance with Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has withheld funds and it is subsequently determined that the State is not subject to the entire amount of the costs and liabilities assessed or proposed in connection with the matter for which the withhold was made, the Department will return the excess amount withheld to the Contractor in the progress payment following the determination. If the matter is resolved for less than the amount withheld, the Department will pay interest at a rate of 6 percent per year on the excess withhold.

PAYMENT

Exclusion techniques for which there are separate contract items of work will be measured and paid for as those contract items of work.

Except for exclusion techniques paid for as separate contract items, furnishing, installing, maintaining, and removing exclusion techniques will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

5-1.23 ENVIRONMENTAL WORK RESTRICTIONS

The project is located, in the San Francisco Bay, within the jurisdictions of the U.S. Army Corp of Engineers (ACOE), the United States Coast Guard (USCG), the San Francisco Bay Conservation and Development Commission (BCDC), the California Department of Fish and Game (CDFG), the San Francisco Bay Regional Water Control Board (RWQCB), the U.S. Fish and Wildlife Service (USFWS), and the National Marine Fisheries Service (NMFS). The Department has entered into agreements with these agencies regarding mitigation for potential impacts this project may have on biological resources and water quality. The Contractor shall be fully informed of rules, regulations, and conditions that may govern the Contractor's operations in the areas and shall conduct the work accordingly.

Attention is directed to "Supplemental Project Information," of these special provisions, regarding materials information handout available on Compact Disks for Regulation, Permits, Agreement, Consultation Letter, or Biological Opinion, and materials information available for inspection.

For the latest versions of environmental permits, the Bidders and Contractor shall visit the website:

www.biomitigation.org

The provisions in this section shall be made part of every subcontract executed pursuant to this contract.

SPECIES OF CONCERN

Attention is directed to the existence of environmental work restrictions that require special precautions to be taken by the Contractor to protect the species of concern in conforming with the provisions in "Migratory Bird Treaty Act" and "Order of Work," of these special provisions.

The Contractor shall comply with the California Endangered Species Act, the Federal Endangered Species Act, the Federal Migratory Bird Treaty Act, and the Marine Mammal Protection act, which govern the protection of marine mammals, the American peregrine falcon, California least tern, California brown pelican, double-crested cormorant, western gull, nesting birds found on Yerba Buena Island or structures, and the following fish species: Green Sturgeon, Central California Coast and Central Valley steelhead, Central Valley spring-run Chinook, Sacramento River winter-run Chinook, and Central California Coho salmon.

The Departmentwill monitor birds during construction.

The Contractor shall notify the Engineer immediately if any dead or injured species of concern listed below are encountered.

American Peregrine Falcon

American peregrine falcon movements and behavior will be monitored by USFWS authorized personnel from the Santa Cruz Predatory Bird Research Group during construction between December 1 and August 30 of each year of construction. If American peregrine falcon nesting occurs on the falsework structures, the Contractor shall immediately stop work and notify the Engineer. If necessary, the Contractor shall provide access to the nesting site as directed by the Engineer to monitor the nest site and to remove the falcon eggs and chicks.

Double-Crested Cormorant, Western Gulls, California Least Tern and California Brown Pelican

Where double-crested cormorant or western gull nests are present on the falsework structures, the Contractor shall not perform any activity within the nesting area unless the Contractor implements the following:

A. Remove existing nests from the work areas prior to the nesting season.
B. Prevent the birds from completing nests in the work area by continually washing off nest material during nesting season.

Surveys and monitoring of the activities of the California least tern and California brown pelicans will be conducted by the Department. The Contractor shall cooperate with the activities of the Department monitors. If, through monitoring, it is determined that construction activities result in a taking of a least tern or brown pelican, the Department and USFWS will work together to evaluate methods to eliminate further project-related impacts to these species. The Contractor will be informed of any changes in procedure that may affect operations and may be entitled to compensation to extent provided under Section 8-1.09, "Right of Way Delays," of the Standard Specifications if operations are delayed.

California Sea Lion, Harbor Seal and Gray Whale

Prior to commencement of driving of any in-water piles for the temporary structures, including docks and trestles, a preliminary 500-meter radius safety zone for pinnipeds (harbor seals and California sea lions) will be established around the pile-driving site. The Department will establish and conduct monitoring of the safety zone. Once pile-driving begins, the safety zone radius for pinnipeds will then be enlarged or reduced by the Department, depending on monitored sound pressure levels. A safety zone for gray whales will also be established by the Department of Transportation during the gray whale migration season from December 1 through May 31.

The Contractor shall notify the Engineer30 minutes prior to the start of any pile-driving. If marine mammals are found within the safety zone, pile-driving of the segment shall be delayed until they move out of the area. If a marine mammal is seen above water and then dives below, the Contractor shall wait 15 minutes and if no marine mammals are observed in that time it will be assumed that the animal has moved beyond the safety zone. If a marine mammal enters the safety zone after the driving of a pile segment has commenced, the driving of the segment can proceed until it has reached the prescribed tip elevation.

If pile driving of a segment ceases for 30 minutes or more and a marine mammal is sighted within the designated safety zone prior to recommencement of pile driving, the Department's marine mammal monitor will notify the Engineer and instruct the Contractor to immediately follow the mitigation requirements as outlined above.

Pacific Herring

Between December 1 and March 31, the Department will monitor for herring spawn. If spawning is observed during this time, fill and pile operations in the water that are not completely confined within a cofferdam will be suspended by the Engineer if the operation is within 200 meters of the herring spawn. The Contractor shall comply within 8 hours with the Engineer's written order to suspend the work. The work shall be suspended for a minimum of 14 days or until the State biologist determines that the herring hatch has been completed. The Engineer shall have the authority to suspend other operations that are wholly or partially in the water if it is deemed necessary to protect the herring spawn.

Dredging operations will not be allowed between December 1 and March 31.

If, in the opinion of the Engineer, completion of the work is delayed or interfered with due to herring spawning, as extension of time will be granted in the same manned as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. No additional compensation will be provided to the Contractor.

PAYMENT

Full compensation for conforming to the requirements of this section shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.24 ENVIRONMENTALLY SENSITIVE AREAS (GENERAL)

A. ENVIRONMENTALLY SENSITIVE AREAS

Environmentally sensitive areas (ESA) shall consist of areas within and near the limits of construction where access is prohibited or limited for the preservation of archeological site, historic architectural resource or existing vegetation, or protection of biological habitat as shown on the plans. The Engineer will determine the exact location of the boundaries of the ESAs. No work shall be conducted within the ESAs, except ESAs 1a and 1b:

1. ESA 1a and 1b, Environmentally Sensitive Areas
   ESA 1a consists of asphalt concrete paved area and unpaved slope area.
   ESA 1b is located within the asphalt concrete paved area.
   The boundaries of the paved areas of the ESAs 1a and 1b shall be installed with a 200-mm white thermoplastic delineation.
   Within the paved areas and delineated boundaries of the ESAs 1a and 1b, no work involving asphalt removal or earth disturbance and/or removal, including but not limited to driving posts for fencing, signing, or utility trenching, shall be allowed.. Limited access to these ESAs may be allowed for vehicle and equipment access, storage or transport of materials or equipment vehicle or equipment access, storage and stockpiling, or transport of materials, when approved in writing by the Engineer.
   Within the unpaved slope area of ESA 1a, no work involving earth disturbance, including but not limited to driving posts for fencing, or signing, shall be allowed. The Contractor shall submit to the Engineer the protection plans including the scope of the work, in conformance with the requirements in "Working Drawings," of these special provisions. The Contractor equipment access will not be allowed, unless the Contractor has obtained a written approval from the Engineer.
2. ESA 2a, 2b and 2c
   ESA 2a consists of Building No. 262 (Torpedo Factory), Historic Architectural Resource
   ESA 2b consists of the Senior Officers' Quarters Historic District (Quarters 1 through 7), Historic Architectural Resource, and grounds.
   ESA 2c consists of Quarters 8, and grounds
3. ESA 3, Quarters 10, Building 267 (garage), and grounds.
4. ESA 5, Vegetation, Environmentally Sensitive Areas, including mature vegetation screen located on slope southwest of Quarters 1 (Nimitz House), and pittosporum trees
5. ESA 6, Tidal wetlands, water-based ESA (by others), located on the north side of YBI
6. ESA 7, Eelgrass beds, water-based ESA (by others), located on the south sides of YBI
7. ESA 8, Eelgrass beds, water-based ESA (by others), located on the north sides of YBI
8. ESA 9a and 9b, concrete retaining walls and weir,Historic Architectural Resource

Attention is directed to Section 7—1.01 "Laws to be Observed," and Section 7—1.04 "Permits and Licenses," of the Standard Specifications regarding State and Federal regulations, permits, or agreements which pertain to an ESA.

Water-based ESA boundaries have been marked with USCG-approved buoys, and have been established and will be maintained by others.

Land-based ESA boundaries shall be clearly marked by either the installation of Temporary Fence (Type ESA) or thermoplastic pavement delineation as specified elsewhere in these special provisions. The boundaries shall be checked periodically and the markings repaired or replaced as necessary during construction.

Within the boundaries of land-based ESAs 1a (unpaved area), ESAs 2b and 2c, ESA 3, ESA 5, and ESA 9a and 9b and water-based ESAs 6, 7, and 8, no project related activities shall take place, without the written approval from the Engineer. This specifically prohibits construction personnel, vehicle and barge access, storage or transport of any materials, including hydrocarbon and lead contaminated material, or any other project related activities. The Contractor shall take such measures, including the posting of written notices to his employees and subcontractors, to ensure that ESAs are not entered or disturbed.

The Contractor shall mitigate damage or impacts to the ESAs caused by the Contractor's operations, at the Contractor's expense in accordance with Section 7-1.11 of the Standard Specifications. Any additional mitigation assessed to the Department will be the responsibility of the Contractor. If necessary, deductions from moneys due or to become due the Contractor will be made for the mitigation costs.

PROTECTION OF ESA 2a, BUILDING No. 262

Attention is directed to Section 7-1.12, "Preservation of Property," and Section 7-1.12, "Responsibility for Damage," of the Standard Specifications, and the provisions in "Photo Survey of Existing Facilities," and "Vibration Monitoring," of these special provisions, regarding Building No. 262, a historical building that is included in ESA 2.

Attention is directed to "Project Information," of the special provisions, regarding the as-built plans of Building No. 262.

The Contractor shall take measures to protect the building from damage caused by the Contractor's operations. The Contractor shall submit a building protection plan for the Engineer's review at least 30 days prior to the start of adjacent or overhead construction. The Contractor shall also notify the Engineer at least 48 hours prior to the start of adjacent or overhead construction.

The Contractor shall bear full liability for any damage to Building No. 262 caused by the Contractor's operations.

Should any damage to Building No. 262 occur, the Contractor shall notify the Engineer within 24 hours. The Engineer may order the suspension of construction operations until the Contractor takes all necessary measures to prevent further damage to the building, and until an approved repair is completed. The Contractor shall submit a repair plan to the Engineer for approval prior to the start of such work. The Engineer will review the plan within 90 days. All repairs must follow the Secretary of the Interior's Standards for the Treatment of Historic Properties (http://www2.cr.nps.gov/tps/standguide/index.htm), and must be undertaken by a licensed preservation architect who meets the Secretary of the Interior's Professional Qualifications Standards for Historic Architecture (http://www.cr.nps.gov/local-law/arch_stnds_9.htm). The Department may retain not less than $2,100,000 from moneys due or that may become due the Contractor under the contract, until an approved repair or reconstruction is complete.

B. AREA TO BE MONITORED (ATM)

The Department Archaeologist will monitor the delineated ATM area.

No construction activities involving asphalt removal or earth disturbance and/or removal shall be allowed within the ATM area shown on the plans, unless the Contractor has obtained a written approval from the Engineer.

The Contractor shall obtain the Engineer's approval in writing at least 20 days in advance of the beginning of the removal of the top 0.6-m in depth of roadway excavation within the ATM, in order to have the Department Archaeologist begin to mobilize

CONSTRUCTION

The Department Archaeologist shall have the authority to halt construction operations in the vicinity of an archaeological find, if significant or potentially significant cultural resources are exposed or if the find will be adversely affected by construction operations.

In the event that human skeletal material or archaeological resources should be uncovered within the ATM area and/or contract limits, the Contractor shall immediately notify the Engineer, who in turn will notify the Department Archaeologist and the State may conduct an archaeological investigation. The Contractor's construction activities within 15-m of the find shall be halted immediately and shall not be resumed until so permitted, in writing by the Engineer.

When determined by the Department Archeologist that an archeological investigation is required, and as requested by the Engineer, the Contractor shall provide construction equipment and operators and/or laborers and shall cooperate with and assist Department Archaeologist(s) in their conducting of any of the archaeological work, if necessary.

The Contractor shall provide, within 24 hours at the request of the Engineer:

1. A grading bucket with flat blade.

2. Suitable access/egress ramp or ladders or stairs in the ATM area as directed by the Engineer.

The above equipment and material items required for the archaeological work as specified in this section will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications.

If, in the opinion of the Engineer, the Contractor's operations are delayed or interfered with by reason of such discoveries, the State will compensate the Contractor for the delays to the extent provided in Section 8-1.09, "Right of Way Delays," of the Standard Specifications, and not otherwise, except as provided in Section 8-1.10, "Utility and Non-Highway Facilities," of the Standard Specifications.

C. SPECIAL CONSTRUCTION AREA (SCA)

The special construction area is designated as the landscaping restoration area to be constructed by others.

The Contractor will be allowed inside SCA area. The Contractor shall not encroach into the designated ESA area bounded by ESA 2b, the Senior Officers' Quarters Historic District (Quarters 1 through 7), as shown on the plans. Damages to the existing pavement, sidewalk and cub caused by the Contractor's operations, shall be replaced in kind at the Contractor's expenses.

PAYMENT

Full compensation for checking, repairing or replacing the land-based ESA marking shall be considered as included in the contract price paid for various items of work involved and no separate payment will be made therefor.

Full compensation for protecting and submitting the protection plan for the unpaved slope area of the ESA 1a shall be considered as included in the contract price paid for various items of work involved and no separate payment will be made therefor.

5-1.25 RELATIONS WITH CALIFORNIA DEPARTMENT OF FISH AND GAME

This project is located within the jurisdiction of the California Department of Fish and Game (CDFG). The Department of Transportation has received a California Endangered Species Act Incidental Take Permit from CDFG. The Contractor shall be fully informed of the requirements of this agreement as well as rules, regulations, and conditions that may govern the Contractor's operations in these areas and shall conduct the work accordingly. Permit shall be considered a part of, and shall become, an integral part of the special provisions and contract for this project.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone (916) 654-4490, and are available for inspection at the office of the District Director of Transportation at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

It is unlawful for any person to divert, obstruct or change the natural flow of the bed, channel or bank of a stream, river or lake without first notifying the Department of Fish and Game, unless the project or activity is noticed and constructed in conformance with conditions imposed under Fish and Game Code Section 1602.

Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.01G, "Water Pollution," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

The Contractor's attention is directed to Section "Environmental Work Restrictions," of these special provisions relating to specific protection measures required under this contract.

Modifications to the agreement between the Department of Transportation and the Department of Fish and Game which are proposed by the Contractor shall be submitted in writing to the Engineer for transmittal to the Department of Fish and Game for their consideration.

When the Contractor is notified by the Engineer that a modification to the agreement is under consideration, no work shall be performed which is inconsistent with the original agreement until the Departments take action on the proposed modifications.

The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

Modifications to any agreement between the Department of Transportation and the Department of Fish and Game will be fully binding on the Contractor. The provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

PAYMENT

Full compensation for conforming to the requirements of the relations with California Department of Fish and Game shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.26 RELATIONS WITH CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD

This project lies within the boundaries of the San Francisco Bay Regional Water Quality Control Board (RWQCB).

A RWQCB Order and Waste Discharge Requirements have been issued covering work to be performed under this contract.

Copies of the Order and the Waste Discharge Requirements may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

The State Water Resources Control Board (SWRCB) has issued to the Department a permit that governs storm water and non-storm water discharges from the Department's properties, facilities, and activities. The Department's permit is entitled "Order No. 99 - 06 - DWQ, NPDES No. CAS000003, National Pollutant Discharge Elimination System (NPDES) Permit, Statewide Storm Water Permit and Waste Discharge Requirements (WDRs) for the State of California, Department of Transportation (Caltrans)." Copies of the Department's permit are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254, and may also be obtained at:

http://www.swrcb.ca.gov/stormwtr/caltrans.html

The Department's permit references and incorporates by reference the current statewide general permit issued by the SWRCB entitled "Order No. 99-08-DWQ, National Pollutant Discharge Elimination System (NPDES) General Permit No. CAS000002, Waste Discharge Requirements (WDRs) for Discharges of Storm Water Runoff Associated with Construction Activity" that regulates discharges of storm water and non-storm water from construction activities disturbing 0.4-hectare or more of soil in a common plan of development. Sampling and analysis requirements as specified in SWRCB Resolution No. 2001-46 are added to the statewide general permit. Copies of the statewide permit and modifications thereto are available for review from the SWRCB, Storm Water Permit Unit, 1001 "I" Street, P.O. Box 1977, Sacramento, California 95812-1977, Telephone: (916) 341-5254 and may also be obtained at:

http://www.swrcb.ca.gov/stormwtr/construction.html

The NPDES permits that regulate this project, as referenced above, are collectively referred to in this section as the "permits."

This project shall conform to the permits and modifications thereto. The Contractor shall maintain copies of the permits at the project site and shall make them available during construction.

The Contractor shall know and comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non-storm water discharges from the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.11, "Preservation of Property," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications.

The Contractor shall be responsible for penalties assessed on the Contractor or the Department as a result of the Contractor's failure to comply with the provisions in "Water Pollution Control" of these special provisions or with the applicable provisions of the Federal, State, and local regulations and requirements.

Penalties as used in this section shall include fines, penalties, and damages, whether proposed, assessed, or levied against the Department or the Contractor, including those levied under the Federal Clean Water Act and the State Porter-Cologne Water Quality Control Act, by governmental agencies or as a result of citizen suits. Penalties shall also include payments made or costs incurred in settlement for alleged violations of applicable laws, regulations, or requirements. Costs incurred could include sums spent instead of penalties, in mitigation or to remediate or correct violations.

WITHHOLDS

The Department will withhold money due the Contractor, in an amount estimated by the Department, to include the full amount of penalties and mitigation costs proposed, assessed, or levied as a result of the Contractor's violation of the permits, or Federal or State law, regulations, or requirements. Funds will be withheld by the Department until final disposition of these costs has been made. The Contractor shall remain liable for the full amount until the potential liability is finally resolved with the entity seeking the penalties. Instead of the withhold, the Contractor may provide a suitable bond in favor of the Department to cover the highest estimated liability for any disputed penalties proposed as a result of the Contractor's violation of the permits, law, regulations, or requirements.

If a regulatory agency identifies a failure to comply with the permits and modifications thereto, or other Federal, State, or local requirements, the Department will withhold money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to withhold funds from payments which may become due to the Contractor before acceptance of the contract. Funds withheld after acceptance of the contract will be made without prior notice to the Contractor.
B. No withholds of additional amounts out of payments will be made if the amount to be withheld does not exceed the amount being withheld from partial payments in accordance with Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has withheld funds and it is subsequently determined that the State is not subject to the entire amount of the costs and liabilities assessed or proposed in connection with the matter for which the withhold was made, the Department will return the excess amount withheld to the Contractor in the progress payment following the determination. If the matter is resolved for less than the amount withheld, the Department will pay interest at a rate of 6 percent per year on the excess withhold.

The Contractor shall notify the Engineer immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Department shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.

PAYMENT

Full compensation for conforming to the requirements of the relations with California Regional Water Quality Control Board shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.27 RELATIONS WITH U.S. ARMY CORPS OF ENGINEERS

This project is located within the jurisdiction of the United States Army Corps of Engineers (ACOE). A permit has been issued covering work to be performed under this contract. The Contractor shall be fully informed of all rules, regulations and conditions of the permit that may govern the Contractor's operations in the project area and shall conduct the Contractor's work accordingly. The permit shall be considered a part of, and shall become, an integral part of the special provisions and contract for this project.

Copies of the permit may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

Any modifications to the permit which are proposed by the Contractor shall be submitted in writing to the Engineer for transmittal to the ACOE for their consideration. No additional time or compensation will be allowed for delays by the Contractor's proposed modifications to the agreement between the Department of Transportation and the ACOE.

When the Contractor is notified by the Engineer that a modification to the permit is under consideration, no work will be allowed on the proposed modification until the Department takes action on the proposed modification. Any modifications to any agreement between the Department and the ACOE shall be fully binding on the Contractor, and the provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

PAYMENT

Full compensation for conforming to the requirements of the relations with U.S. Army Corps of Engineers shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.28 RELATIONS WITH SAN FRANCISCO BAY CONSERVATION AND DEVELOPMENT COMMISSION

This project is located within the jurisdiction of the San Francisco Bay Conservation and Development Commission (BCDC). A permit has been issued covering work to be performed under this contract. The Contractor shall be fully informed of all rules, regulations and conditions of the permit that may govern the Contractor's operations in said areas as shown on the plan and shall conduct the Contractor's work accordingly. Said document shall be considered a part of, and shall become an integral part of the special provisions and contract for this project.

Copies of the permit may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

Any modifications to the permit, which are proposed by the Contractor, shall be submitted in writing to the Engineer for transmittal to the BCDC for their consideration. No additional time or compensation will be allowed for delays caused by the Contractor's proposed modifications to the agreement between the Department of Transportation and the BCDC.

When the Contractor is notified by the Engineer that a modification to the permit is under consideration, no work will be allowed on the proposed modification until the Department of Transportation takes action on the proposed modification. Any modifications to any agreement between the Department of Transportation and BCDC shall be fully binding on the Contractor, and the provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

For work already authorized in the BCDC permit, the Contractor shall submit to the Engineer a plan for temporary structures, including docks, trestles and temporary structures, including temporary towers and falsework within 15 working days after the approval of the contract, or six months prior to beginning construction of temporary structures, including docks, and trestles and temporary structures, including temporary towers and falsework, whichever is later. The plan shall clearly label the mean-high-tide-line, elevation 0.91 meters-1929 NGVD and the BCDC Shorline Jurisdition Band (30.5 meters/100 feet) inland from the mean-high-tide-line. The plans shall include delineation of the area covered by the temporary structures, docks, and trestles and volume of water displaced by the in-bay temporary structures, docks, and trestles. The Engineer will submit to BCDC for final plan review; the Engineer and BCDC will review and the Engineer will provide comments to the Contractor within 50 working days. The Contractor will have 10 working days to revise and resubmit.

For work not already authorized in the BCDC permit, such as temporary structures or trestles in-bay at the barge access area, located outside of and to the east of the ESA and at the north side of Pier W2 west as shown on the plans, an amendment to the BCDC permit will be required. The State will request a permit amendment from BCDC.

If the Contractor elects to develop temporary structures or trestles in-bay or from the bay to land, he shall submit to the Engineer plans for temporary structures or trestles within 15 working days after the approval of the contract. The Engineer will have 20 working days to review the plans. If revisions are required, as determined by the Engineer, the Contractor shall revise and resubmit the plans within 10 working days of receipt of the Engineer's comments. The State will submit a permit amendment request to BCDC for review.

The BCDC amendment process will take a minimum of an additional 90 calendar days, after receiving the final plans from the Contractor.

PAYMENT

Full compensation for conforming to the requirements of the relations with San Francisco Bay Conservation and Development Commission shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.29 RELATIONS WITH SAN FRANCISCO BAR PILOTS

This project is located in the Bay of San Francisco, in which the Contractor's shipping vessels and waterborne operations may be under the jurisdiction of the San Francisco Bar Pilots. Attention is directed to Division 5, "Pilots for Monterey Bay and the Bays of San Francisco, San Pablo, and Suisun" of the California Harbors and Navigation Code. The Contractor shall have knowledge of and comply with the requirements of the California Harbors and Navigation Code.

Full compensation for conforming to the requirements of the San Francisco Bar Pilots shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.30 RELATIONS WITH UNITED STATES COAST GUARD

This project is located in San Francisco Bay at Yuba Buena Island, and is under the jurisdiction of the United States Coast Guard (USCG), Eleventh District, Coast Guard Island, Alameda, California, 94501-5100.

Attention is directed to "Supplemental Project Information," and "Permits and Licenses," of these special provisions.

A USCG Bridge Permit has been issued covering work to be performed under this contract. The Contractor shall be fully informed of all rules, regulations and conditions that may govern the Contractor's operations within the construction right-of-way and shall conduct the Contractor's work accordingly. The Bridge Permit shall be considered part of an integral part of the contract special provisions.

Copies of the Bridge Permit may be obtained at the Department , Plans and Bid Documents, Room 200, Transportation Building, P.O. Box 942874, Sacramento, California 94274-0001, telephone number (916) 654-4490, and are available for inspection at the Duty Senior at the District 4 Office, 111 Grand Avenue, Oakland, CA 94612, email: duty_senior_district04@dot.ca.gov, telephone number (510) 286-5209.

The Contractor's attention is directed to Sections 7-1.01, "Laws to be Observed," 7-1.11, "Preservation of Property," and 7-1.12, "Indemnification and Insurance," of the Standard Specifications, and to the section entitled "Sound Control Requirements" of these special provisions.

The Contractor shall comply with all requirements of the USCG with regard to the manner in which he conducts his operations and disposes of material. Any restriction of the channel and all navigation and warning lights shall be in accordance with regulations and subject to the approval of the USCG.

The Contractor's attention is directed to the following conditions which are among those established by the USCG in the work authorization for this project:

Navigation.--The Contractor's operations shall conform to the USCG regulations. Work shall be such that the free navigation of the waterway, navigable depths and channel widths are not impaired, except otherwise directed by the USCG. At least 50 calendar days before anchoring barges or constructing temporary structures, including docks and trestles within the construction right-of-way, or as directed by the Engineer, the Contractor shall notify the Engineer, in writing, along with drawings, of their proposed method for anchoring barges and of the location temporary structures, including docks and trestles. The Engineer will transmit the Contractor's proposal to the USCG for approval. The Contractor shall not anchor any barges until their procedure has been approved by the USCG. In the event that the required USCG approval, in the opinion of the Engineer, delays the Contractor's operations, the Contractor will be granted a time extension commensurate with the delays. No barges can be anchored within the ESAs.

Aids to Navigation.--The Contractor shall coordinate with the USCG Commander, Eleventh Coast Guard District, Building 50-6, Coast Guard Island, Alameda, California 94501-5100, Telephone (510) 437-2983 for written authorization at least 60 calendar days prior to any relocation or temporary removal of any aids to navigation within or near any areas involved with dredging or construction. In addition, the Contractor shall not obstruct, willfully damage, make fast to, or interfere with any aid to navigation.

Navigational Obstructions.--Any debris, material, plant or machinery that are incidentally dropped into the waters of the Bay during the progress of work, which may present a hazard or which may obstruct navigation shall be promptly recovered or removed. Floating objects shall be immediately recovered or tied down and marked, so that they do not present hazards to navigation. The Contractor shall give immediate notice of in-place obstructions to the proper authorities and shall mark or buoy such obstructions until they are removed. Should the Contractor neglect or delay compliance with the above requirements, such obstructions shall be removed by the Department of Transportation and the cost of such removal will be deducted from the moneys due to the Contractor or may be recovered from their bond.

Navigational Lighting.--The Contractor shall keep proper warning lights each night between the hours of sunset and sunrise upon all floating equipment, falsework connected with the work and all buoys which are of a size and location as to endanger or obstruct navigation. The Contractor shall provide suitable navigational lighting at any time that construction operations obstruct the waterways. All floating equipment shall be marked in accordance with USCG Regulations.

Nighttime Lighting.--The Contractor shall direct lighting on to the immediate area under construction and avoid shining lights towards residences on YBI and marine traffic. The Contractor shall also not shine lights into the water at night.

Temporary Structures.--Following the completion of construction, the Contractor shall remove all temporary structures, including docks and trestles. Pilings shall be removed and shall be cut off at least 1.0 meter below the original mudline in-bay, and 1.0 meter below the original ground in-land.

The Contractor shall be aware of the USCG facility on the southeast side of Yerba Buena Island. The Contractor's activities shall not interfere with the twenty-four hour a day operations at the USCG facility. The Contractor shall not restrict land or sea access to that facility.

Attention is directed to Section 8-1.06, "Time of Completion," of the Standard Specifications. Days during which the Contractor's operations are restricted in the navigation channel by others shall be considered to be nonworking days if, in the opinion of the Engineer, these restrictions cause a delay in the current controlling operation or operations.

PAYMENT

Full compensation for conforming to the requirements of the relations with United States Coast Guard shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.31 RELATIONS WITH UNITED STATES FISH AND WILDLIFE SERVICE

This project is located within the jurisdiction of the United States Fish and Wildlife Service (USFWS). The USFWS has issued a Biological Opinion regarding several species which are protected under both the Federal Endangered Species Act and the California Endangered Species Act. The specifics of this opinion are part of an agreement which the Department of Transportation has entered into with the USFWS. The Contractor shall be fully informed of the requirements of this agreement as well as of all rules, regulations, and conditions that may govern the Contractor's operations in said area and shall conduct the Contractor's operations accordingly. Said document shall be considered a part of, and shall become, an integral part of the special provisions and contract for this project.

Copies of the agreement may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

Attention is directed to "Environmental Work Restrictions" of these special provisions relating to specific measures required under this contract.

Any modifications to any agreement between the Department and the USFWS shall be fully binding on the Contractor, and the provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

PAYMENT

Full compensation for conforming to the requirements of the relations with United States and Wildlife Service shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.32 RELATIONS WITH NATIONAL MARINE FISHERIES SERVICES

This project is located within the jurisdiction of the National Marine Fisheries Service (NMFS). The NMFS has concurred with measures developed by the Department regarding the protection of winter-run Chinook salmon habitat and critical habitat for steelhead trout, and for protection of the local California sea lion, Pacific harbor seal , harbor porpoise and gray whale. The Contractor shall be fully informed of the requirements associated with these measures as well as all rules, regulations and conditions that may govern the Contractor's operations in said area and shall conduct their operations accordingly. Said documents shall be considered a part of, and shall become, an integral part of the special provisions and contract for this project.

Attention is directed to Section "Environmental Work Restrictions" of these special provisions relating to specific measures required under this contract.

The Contractor shall restrict contract vessels from entering a no entry buffer zone of 500 meters radius measured from the harbor seal haul-out site to the southwest of Yerba Buena Island.

The NMFS has prepared advisory letters to the Department , in response to the Department's request for consultation regarding species of fish and marine mammals that may be affected by construction activities. The Contractor shall be fully informed of and abide by the recommendations of the NMFS in performing the Contractor's operations on this project.

Copies of these consultation letters may be obtained at the Department of Transportation, Plans and Bid Documents Section, MS 26, 1120 N Street, Room 200, Sacramento, CA 95814, Telephone 916-654-4490, and are available for inspection at the Department of Transportation, District 04, Construction Office Duty Senior, 111 Grand Avenue, Oakland, CA 94612, telephone number (510) 286-5209, email: duty_senior_district04@dot.ca.gov.

Any modifications to any agreement between the Department and the NMFS shall be fully binding on the Contractor, and the provisions of this section shall be made a part of every subcontract executed pursuant to this contract.

PAYMENT

Full compensation for conforming to the requirements of the relations with National Marine Fisheries Services shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

5-1.33 OWNER CONTROLLED INSURANCE PROGRAM (OCIP)

GENERAL

Section 7-1.12B, "Insurance," of the Standard Specifications and Section 3-1.025, “Insurance Policies,” of the Amendments to the Standard Specifications do not apply except as otherwise stated in these special provisions. References to the insurance requirements in Section 7-1.12, "Indemnification and Insurance," of the Standard Specifications shall be interpreted as references to "Owner Controlled Insurance Program (OCIP)" of these special provisions.

The Department has elected to implement an Owner Controlled Insurance Program (OCIP). The Department will administer OCIP with the assistance of an OCIP Administrator.

OCIP is a series of insurance polices issued by one or more insurance companies to provide certain types of coverages for the Contractor and eligible subcontractors of all tiers. These coverages include workers' compensation, general liability, and excess liability.

OCIP will include maintaining completed operations coverage. OCIP does not prohibit participants from purchasing any additional liability insurance. OCIP does not include surety insurance.

The Owner Controlled Insurance Program (OCIP) Manual describes the program and provides guidelines for participation in OCIP. This manual is referred to in these special provisions as the “OCIP Manual” and is incorporated by reference into the contract. The OCIP Manual is included in the Information Handout as specified in "Supplemental Project Information" of these special provisions. In the event of any conflict or discrepancy between any of the documents, these

special provisions supersede the OCIP Manual or other program documents other than the OCIP insurance policies issued in accordance with these special provisions.

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of the insurance described in these specifications. OCIP requirements contained in this section "Owner Controlled Insurance Program (OCIP)," do not create any contractual relationship between the subcontractors and the Department. The Contractor shall be responsible for compliance with requirements of this section including compliance by its enrolled and by its excluded subcontractors of all tiers.

Changes to any OCIP requirement or procedure shall be approved by the Department. No Contractor or subcontractor has the authority to change any OCIP requirements.

ELIGIBILITY AND ENROLLMENT IN OCIP

The Contractor and each eligible subcontractor shall be enrolled in OCIP before starting work on the job site by submitting CT OCIP Form 1, "OCIP Enrollment Form."

Eligible contractors and subcontractors are contractors who provide direct labor at the job site. Temporary labor services and employee leasing companies are to be treated as eligible contractors if the services provided are construction activities at the job site. The Contractor and each eligible subcontractor shall follow the enrollment procedures shown in the OCIP Manual.

Enrolled contractors are contractors who have completed the enrollment procedures and obtained evidence of OCIP insurance.

Excluded subcontractors include:

1. Architects, engineers, surveyors, soil testing companies, and their consultants
2. Hazardous waste transport companies
3. Suppliers that do not perform or subcontract installation, vendors, and material dealers
4. Guard services and non-construction janitorial services
5. Truckers including trucking to the project where delivery is the only scope of work performed, haulers, drivers, and others who merely transport, pick up, deliver or carry materials, personnel, parts, and equipment to or from the job site.
6. Any other parties the Department elects to exclude from OCIP even if otherwise eligible.

If an excluded subcontractor performs direct labor at the job site, it shall participate in the project safety program and comply with the requirements in "Project Safety" of these special provisions.

Excluded subcontractors are required to provide their own insurance according to requirements specified in "Contractor-Furnished Insurance," of these special provisions. OCIP insurance policies and OCIP coverages will not apply to excluded parties, even if such parties are erroneously enrolled in OCIP. The Department may exclude any subcontractor from enrollment in OCIP, even if it meets the requirements for eligibility as defined above.

The Contractor shall maintain its own insurance for coverages not provided under OCIP until contract acceptance. Subcontractors shall maintain their own insurance for coverages not provided under OCIP policies until completion of their work. Required coverage types and limits are specified in "Contractor-Furnished Insurance" of these special provisions. These are minimum requirements and are not intended to limit either the amount of insurance available to the Department as an additional insured nor to limit the obligations of the Contractor and subcontractors under the indemnity provisions of Section 7-1.12, "Indemnification and Insurance," of the Standard Specifications. Before beginning work, the Contractor shall furnish the Engineer documents showing that all required insurance are in full force.

The OCIP insurance company reserves the right to reject late OCIP enrollments. If there have been losses in a period during which a subcontractor delayed its enrollment, OCIP will not furnish coverage to the subcontractor for such losses.

INSURANCE PROVIDED UNDER OCIP

Under OCIP, the Department provides general liability, including 10-year completed operations coverage, excess liability, and workers' compensation insurance covering work performed by enrolled contractors.

The Department's election to use OCIP does not constitute any representation by the Department with respect to the adequacy of the insurance to protect the Contractor or its subcontractors against all obligations imposed by law or by this contract. The coverage, as with all insurance, is limited in scope and may not include every form of insurance protection the Contractor or its subcontractors may deem necessary. The Contractor agrees that the Department and the OCIP Administrator are not agents, partners, or guarantors of the OCIP insurer and that the Department is not responsible for any claims or disputes between or among the Contractor, the subcontractors, and any OCIP insurer.

In addition to any insurance provided under OCIP, all contractors are responsible for providing certain insurance as specified in "Contractor-Furnished Insurance" of these special provisions. It is the Contractor's responsibility to discuss OCIP with its insurance agents, brokers, or consultants and verify if any additional coverages are required.

Coverage under OCIP applies to work under this contract performed on the job site. For purposes of OCIP, job site is defined as the areas within the boundaries of the project. Areas adjacent to or nearby where incidental operations are performed may be covered only if they are solely dedicated to contract work.

Unless approved by the Engineer and accepted and endorsed on the policy by the insurer, off-site locations are not covered under OCIP even if operations are for fabrication of materials to be used at the job site or training of apprentices. Off-site locations include the regularly established workplace, plant, factory, office, shop, warehouse, yard or other property of Contractor or any subcontractor.

Off-site operations of an enrolled contractor or subcontractor, including product manufacturing or product assembling, may be covered if the Contractor requests coverage for specified operations and the operations are:

1. Solely dedicated to the performance of the contracted work
2. Approved in writing by Department
3. Approved by the OCIP insurer and endorsed onto the general liability and workers' compensation insurance policies
4. Acknowledged in writing by the OCIP Administrator

The Department assumes no obligation to provide insurance coverages other than those summarized above and set forth in the actual OCIP policies. The insurance provided under OCIP does not extend coverage for product liability to other parties such as vendors and suppliers, for any product manufactured, assembled, or worked on away from the job site.

The Contractor and all eligible subcontractors of any tier will be enrolled in OCIP upon completion and acceptance of the forms included in the OCIP Enrollment Package.

OCIP Insurance Coverage

OCIP provides the following insurance coverages for the enrolled contractor and subcontractors of all tiers as follows:

1. Workers Compensation Insurance is provided on a statutory basis. If there is an exposure of injury to the Contractor's employees under the U.S. Longshore and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations or statutes applicable to maritime employees, coverage is included for such injuries or claims. Employer's liability insurance is provided in amounts not less than:

   1.1 $1,000,000 for each accident for bodily injury by accident
   1.2 $1,000,000 policy limit for bodily injury by disease
   1.3 $1,000,000 for each employee for bodily injury by disease

2. General liability insurance: The general annual aggregate limit is annually reinstated each policy year. Defense coverage is in addition to the policy limits. Completed-operations coverage will be extended for 10 years beyond the earlier of final acceptance of the work or expiration of the final policies. A single limit applies for the 10-year period. Limits for bodily injury, including death arising from the bodily injury, and property damage are:

   2.1 $2,000,000 for each occurrence
   2.2 $4,000,000 aggregate for completed operations
   2.3 $4,000,000 general annual aggregate

3. Umbrella or Excess Liability Insurance limits are not less than $100,000,000 per occurrence and in the aggregate. Coverage is excess and following form to the commercial general liability and employer's liability policies. General aggregate limits are annually reinstated.

The Contractor and subcontractors shall consult the actual policies for coverage details. The enrolled Contractor and subcontractors will receive a separate workers' compensation policy. A copy of the primary general liability policy and the excess liability policy will be available from the OCIP administrator.

The insurance company policy limits of liability, coverage terms, and conditions will determine the scope of coverage provided by OCIP. The policies may be amended from time to time. The Contractor and enrolled subcontractors of all tiers are bound by the terms of coverage as contained in the insurance policies.

OCIP does not provide builder's risk, pollution liability, professional liability, or any other type of insurance or surety not specifically described in these special provisions.

OCIP Coverage Termination and Modifications

Except for completed operations coverage, OCIP insurance coverage for enrolled Contractor will terminate upon contract acceptance and OCIP insurance coverage for its enrolled subcontractors will terminate upon completion of work at the job site.

The Department reserves the right to terminate or modify all or part of the Department's OCIP with 30 days prior written notice. In the event of termination or modification, the Contractor and its subcontractors of all tiers shall procure and maintain insurance required by the Department. The Department will reimburse to the Contractor the cost of insurance replacement, including associated project costs that may arise due to such insurance replacement. The form, coverage, limits, cost, and insurer rating for the replacement insurance shall be subject to Department approval.

Coverage after Completion of the Work

Insurance coverage under OCIP ends at contract acceptance. If a contractor returns to the job site to perform warranty work, it must perform warranty work under its own insurance coverage. Warranty work is not covered under the insurance provided by OCIP.

Assignment of Return Premiums

The Department will pay all OCIP premiums. The Department will be the sole beneficiary of any dividends or return premiums generated by OCIP.

In consideration of the Department providing an OCIP, the Contractor and subcontractors waive any right to and shall irrevocably assign to and for the benefit of the Department, all return premiums, premium refunds, premium discounts, dividends, retentions, credits, and any other moneys due the Department in connection with the insurance that the Department will provide. The Contractor shall, if requested, promptly execute an assignment form prepared by the Department. The Contractor shall require all tiers of enrolled subcontractors to execute a similar assignment for the benefit of the Department if such form is required of Contractor.

CONTRACTOR DEDUCTIBLE ASSESSMENT

The enrolled Contractor or subcontractor primarily responsible for causing any bodily injury or property damage liability loss shall be responsible for payment of a deductible assessment. The assessment will equal the deductible under the Contractor's or subcontractor's regular (non-OCIP) commercial general liability policy up to a maximum assessment of $25,000. The minimum assessment shall be the actual loss or $5,000, whichever is less. The assessment shall be applied on the same basis as applied under the Contractor's or subcontractor's regular (non-OCIP) general liability insurance policy. The enrolled Contractor and subcontractors shall submit to the Department a copy of their commercial general liability insurance certificate for the purpose of determining the deductible assessment.

If the loss exceeds $5,000 and information necessary to determine the Contractor's deductible as stated on its commercial general insurance certificate is not available to the Department, the Department will charge the Contractor the actual loss up to a $25,000 maximum per occurrence until receipt of documentation from the Contractor's commercial general insurance policy evidencing the contractor's actual deductible. If the loss is less than $5,000, the Department will charge the actual loss. The Department will charge the Contractor deductible assessment by processing administrative deductions on the Contractor's progress payments. At the option of the Department, the contractor deductible assessment may also be processed by direct billing, construction change orders, or any other method deemed appropriate by the Department.

The deductible assessment does not apply to workers' compensation claims for Contractor's own employee.

CONTRACTOR-FURNISHED INSURANCE

Before starting work, the Contractor shall furnish certificates of insurance to the Engineer for itself and subcontractors of all tiers evidencing that coverages are in force on a primary basis for losses not covered under OCIP insurance policies.

Required Contractor-Furnished Insurance Policies

For excluded contractors, contractor-furnished insurance shall apply to all losses. For eligible, enrolled contractors, contractor-furnished insurance shall comply with the following:

1. Automobile liability insurance for on-site and off-site.
2. Workers' compensation and employer's liability insurance applies for any work performed off-site.
3. Commercial general liability insurance for any operation not covered under OCIP.
4. Umbrella or excess liability applies on the same basis as the above underlying commercial general liability, employer's liability and automobile liability policies.
5. Professional liability insurance for on-site and off-site as applicable.
6. Tools and equipment floater insurance for on-site and off-site.

The Contractor-furnished insurance shall provide that there will be no cancellations, lapse, or reduction of coverage without 30 days' prior written notice to the Department, except for 10 days' prior written notice to the Department for non-payment of premium. Certificates of contractor-furnished insurance shall set forth deductible amounts or self-insured retentions applicable to each policy.

Self-insurance programs and self-insured retentions in insurance policies must be declared and are subject to separate annual review and approval by the Department. If the Contractor uses a self-insurance program or self-insured retention, then in the event of loss covered by the Contractor's insurance or not covered by OCIP, the Contractor shall provide the Department with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws and court decisions as if the Contractor were an insurer subject to such applicable laws and court decisions.

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the Department.

If the Contractor uses a self-insurance program or self-insured retention, the contractors shall provide the Department with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor shall be bound by all laws as if the Contractor were an insurer as defined under Ins Code § 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Ins Code § 22.

Required Contractor-Furnished Insurance Limits

The documents required as evidence of contractor-furnished insurance shall show minimum coverage as follows:

1. Automobile liability insurance, including owned, non-owned and hired autos with limits no less than $1,000,000 combined single limit per accident
2. Workers' compensation (statutory) and employer's liability insurance:

   2.1. $1,000,000 for bodily injury for each accident
   2.2. $1,000,000 policy limit for bodily injury by disease
   2.3. $1,000,000 for each employee for bodily injury by disease

3. Commercial general liability insurance with coverage at least as broad as Insurance Services Office form CG 00 01 with limits no less than:

   3.1. $1,000,000 per occurrence
   3.2. $2,000,000 products and completed operations aggregate
   3.3. $2,000,000 general aggregate

4. Umbrella or excess liability insurance containing a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted. Coverage shall apply and follow form over primary coverages shown above. A $5,000,000 minimum limit requirement shall apply annually on a per occurrence and aggregate basis. No umbrella or excess liability insurance is required of an eligible and enrolled subcontractor who performs less than $100,000 of the work.
5. Professional liability insurance: If the Contractor's work requires design services, the Contractor shall obtain and maintain, or require its subcontractors responsible for performing such design services to obtain and maintain, at all times during the term of this contract, professional liability (errors and omissions) insurance for all professional services provided. This professional liability insurance shall include full prior acts coverage sufficient to cover the services under this contract, the limits of which shall be not less than $1,000,000 per claim and aggregate. Professional liability insurance shall be maintained during the term of the contract and it must include a 5 year minimum extended reporting period.
6. Contractor's tools and equipment insurance: The Contractor shall be responsible for any insurance it may deem necessary for protection against loss of owned, rented, or borrowed equipment and tools, including any tools owned by mechanics, and any tools, equipment, scaffolding, staging, trailers, cranes, towers, and forms owned, rented, or borrowed by the Contractor or its subcontractors. The Department will have no liability with respect to such equipment and tools and does not provide builder's risk or any other type of property insurance. Any policies maintained by the Contractor on their owned or rented equipment and materials shall not prohibit the insureds from waiving their rights of recovery against the Department. The Contractor hereby waives any rights of recovery against Department for damage to or loss of such equipment or tools. The Contractor shall obtain similar waivers in favor of the Department and its agents and employees from each subcontractor with whom it contracts to work on this project or any other project with the Department. Department, its agents, and employees are not liable for any

losses on owned, rented, or borrowed equipment due to the Contractor's failure to secure such insurance or to maintain adequate levels of coverage.

The State and Department, including its officers, directors, agents, and employees shall be added as additional insureds for automobile liability, general liability, and umbrella or excess liability arising out of or connected with work performed by or on behalf of the Contractor. Additional insured coverage shall be as broad as form CG 2010 for general liability and umbrella or excess liability. The endorsement must be separately attached to the certificate of insurance and must list the project number and the Department as additional insureds.

These minimum requirements do not limit in any way the Contractor's or subcontractor's obligation under any Indemnification Agreement. The Contractor shall agree that it is the intent of the parties to this contract that all available limits of contractor insurance shall apply to any loss off-site or otherwise not covered by OCIP and that these minimum requirements do not limit the coverage of umbrella or excess policies for any loss to which such insurance would otherwise apply, including coverage for additional insureds.

Maintenance of Contractor-Furnished Insurance

All required insurance shall be maintained without interruption from the start of work until contract acceptance. The insurance required shall be written on forms that conform to the requirements under the OCIP Manual and are acceptable to Department. The Contractor shall provide to the Department within 3 business days of any renewal, change, or replacement of coverage, certificates of insurance and endorsements evidencing coverage required.

If an eligible, enrolled Contractor or subcontractor chooses to have any of its own insurance policies include the job site during the construction period, coverage shall be in excess of or applicable to difference in conditions of the insurance provided under OCIP. The Department will not pay for this additional cost. Inclusion of the job site on such insurance policies does not replace OCIP coverage or otherwise affect the cost identification requirements in section "Contractor's Insurance Cost Identification and Deduction," of these special provisions.

Any type of insurance or any increase of limits of liability not described in this section, "Contractor-Furnished Insurance," that the Contractor requires for the Contractor's own protection or on account of any statute shall be the Contractor's responsibility.

Failure to Maintain Insurance

In the event the Contractor or any subcontractor fails to furnish and maintain required insurance or to furnish satisfactory evidence of the required insurance, the Department may procure and maintain the coverages for the Contractor or subcontractor. The Department will furnish all necessary information to the Contractor and deduct the cost from any monies due or to become due the Contractor. Failure to provide evidence of such insurance may result in the Contractor or subcontractor being excluded from the job site until proper coverage is verified. The cost of any resulting delay shall be borne by the Contractor.

CONTRACTOR'S INSURANCE COST IDENTIFICATION AND DEDUCTION

The Contractor shall identify the costs of its workers' compensation, general liability, and excess liability insurance. The cost of insurance includes the insurance premiums, the applicable taxes and assessments, any mark-up on the insurance premiums, any retained loss programs, self-insured programs, self-insured retentions, any deductible program, and any other cost that duplicates insurance provided by the Department.

The Contractor and each eligible subcontractor shall use CT OCIP Form 1, "OCIP Enrollment Form" to identify their workers' compensation insurance, general liability insurance, and excess liability insurance costs, along with all insurance costs which duplicate insurance provided under OCIP. This form and supporting documents shall be completed and returned to the Engineer as part of OCIP enrollment.

The Contractor and each eligible subcontractor must submit documentation that supports the deducted cost for insurance from the bid for the work. Supporting documentation may include the following pages from their workers' compensation, general liability, and excess liability policies:

1. Declarations or information page
2. Rate page
3. Deductible endorsement
4. Verification of experience modification factor for workers compensation insurance
5. 5 years of loss history for entities that retain losses
6. For contractors that self-insure workers' compensation, a copy of the Annual Report submitted to the state regulatory agency for the last 3 years or a detailed accounting of the total cost of the self-insured program for the last 3 years
7. Explanation of the method of determining the mark-up on their insurance costs
8. Explanation of the basis of the mark-up on their subcontractors' insurance costs

The Contractor shall be solely responsible for ensuring that eligible subcontractors of all tiers identify and deduct the cost of the insurance already provided under OCIP.

The Contractor's insurance cost deduction from its bid shall be based on the following insurance coverages and limits:

1. Workers' compensation insurance statutory benefits and employer's liability limits of:

    1.1. $1,000,000 for bodily injury for each accident
    1.2. $1,000,000 policy limit for bodily injury by disease
    1.3. $1,000,000 for each employee for bodily injury by disease

2. Commercial general liability insurance with coverage no less broad than that provided by Insurance Services Office form CG 00 01 10 01 with limits of:

    2.1. $2,000,000 per occurrence
    2.2. $2,000,000 completed operations aggregate
    2.3. $4,000,000 general aggregate

3. Umbrella or excess liability insurance (for Contractor only) providing total limits of $25,000,000 per occurrence and aggregate. There are no excess liability insurance requirements for subcontractors.

If the Contractor's current insurance program does not conform to these requirements because of lower total limits or a different structure for primary insurance, the Contractor may use the following factors to account for the difference in cost between the Contractor's existing program and the program described above:

1. Cost to increase primary general liability limits from $1,000,000/$2,000,000/$2,000,000 to $2,000,000/$2,000,000/$4,000,000, add 18 percent of the cost of primary general liability premium attributable to this project.
2. Cost for $25,000,000 umbrella or excess liability coverage, add 40 percent of the cost of primary general liability premium attributable to this project including any adjustment required by the calculation in a. immediately above.

As an alternative to using the factors described in items 1 and 2 above, the Contractor may obtain actual quotations from its insurers or insurance brokers for coverage as described applicable only to this project. However the Contractor shall not be required to purchase such coverage and shall not, in any case, charge the Department for such coverage.

Deduction Guidelines

The Contractor and eligible subcontractors of all tiers shall use the following guidelines to identify all costs for insurance as described in this section:

1. If a contractor's regular general liability insurance program includes a deductible or self-insured retention greater than $25,000, the contractor must provide evidence of the corresponding premium credits granted by the contractor's insurer. These credits will not be allowed as an offset to the amount to be deducted. The value of these credits will be included in the amount to be deducted for OCIP. As an alternative to providing premium credit information, the contractor may submit an insurer-provided or actuarially developed rate for the difference in cost between contractor's actual deductible (or self-insured retention) and the $25,000 deductible provided by OCIP.
2. If neither deductible credits nor an insurer or actuary-determined rate is available, the cost deduction calculation must include a rate determined by the Department. The Department has determined that the rate for the portion of coverage between the $25,000 deductible assessment and a deductible of $250,000 is one and one half percent (1.5%) of contract value. This rate will be applied proportionately to the contractor's actual deductible between $25,000 and $250,000 (by interpolation). For example, if the contractor's deductible is $100,000, the rate credit will be 0.83% (225,000-100,000/225,000 x 1.5%). This amount will be added to the contractor-identified insurance costs using the CT OCIP Form 1 and supporting documents, and will be part of the deduction.
3. Corporate allocations must include the insurance company's actual calculation of premium. Contractor must provide additional documentation for any such credits claimed.

4. Upon request, a contractor shall provide a copy of the most recently issued WCIRB or NCCI worksheet showing payroll and losses for the workers' compensation Experience Modification rating period. Workers' compensation self-insured contractors shall use a unity (1.0) modifier for purposes of calculating workers' compensation deduction credits. Self-insured contractors should use rates provided by their insurer for the cost of first-dollar workers' compensation coverage or may use WCIRB pure premium rates increased by 15 percent.

By completing and submitting CT OCIP Form 1, "OCIP Enrollment Form," and supporting documents, the Contractor and subcontractors of all tiers certify that all costs for insurance as described in this section have been correctly identified and have been deducted from the Contractor's bid for the work.

The Contractor shall ensure subcontractors of all tiers follow the requirements in this section "Contractor Insurance Cost Identification and Deduction Guidelines."

Required Recordkeeping for Cost Identification

In addition to the provisions of Section 7.1.01A(3), "Payroll Records," of the Standard Specifications, the Contractor and eligible subcontractors shall:

1. Keep and maintain accurate and properly classified records of payroll and other data necessary for the proper computation of workers' compensation premiums with respect to the insurance provided under OCIP. The Contractor's and its subcontractors' records shall be maintained to show separately by employee and class of work, or comparable information acceptable to the Department, all necessary pertinent payroll data excluding the premium portion of overtime for the purpose of developing and determining premiums and shall keep their records relating to the work performed under this contract in such a manner that the records can readily be separated from other work of the Contractor or subcontractors of all tiers.
2. Submit to the Department or to the OCIP Administrator monthly records of workers' compensation payroll and other data for itself and its subcontractors to the Department by the 15th day of the subsequent month using forms provided by the Department. Upon completion of a subcontractor's work under this contract, the Contractor shall submit to the Department or its representative all necessary data to permit complete insurance premium determination for each of its subcontractors. Certified payroll is not an acceptable substitution. The Contractor and subcontractors of all tiers shall keep and maintain accurate records by workers' compensation classifications of their payroll for work insured by OCIP.

AUDIT AND RECOVERY OF CONTRACTOR INSURANCE COST

The Contractor and subcontractors agree that, upon enrollment, the Department, the OCIP Administrator, and any OCIP insurer may inspect, copy, and audit the Contractor's and the subcontractors' payroll records, books and records, insurance policies, insurance cost data, bid documents, estimates, or any other information to confirm the accuracy of any information provided to the Department or OCIP Administrator, to verify compliance with the contract documents or to confirm that costs of general liability insurance, excess liability insurance and workers' compensation insurance are not included in the original bid. The Contractor agrees to maintain and have available the records identified above for a period of up to three years after completion of the project.

Upon contract acceptance, the Contractor shall submit to the Department all necessary data to permit complete insurance premium determination for itself and its subcontractors of all tiers. At the end of the contract and each subcontract, and at any other time as determined by the Engineer, the OCIP Administrator or the insurance company, an audit will be performed using the reported payroll and receipts furnished during the OCIP policy term.

OCCUPATIONAL SAFETY AND HEALTH EVALUATION

The Contractor and eligible subcontractors shall submit CT OCIP Form 4, "Occupational Safety and Health Evaluation," to provide the Department with the following information:

1. Serious and willful violation of Part I (commencing with Section 6300) of Division 5 of the Labor Code by the Contractor during the past 5-year period.
2. The Contractor's workers' compensation experience modification factor.
3. The Contractor's injury prevention program instituted pursuant to Section 3201.5 or 6401.7 of the Labor Code.

The Contractor shall submit their current Experience Modification as calculated by the California Workers Compensation Insurance Rating Bureau (WCIRB) or the National Council on Compensation Insurance (NCCI), whichever is applicable for the contracted work. A contractor who is self-insured shall submit its Certificate of Consent to Self-Insure in place of its Experience Modification. A newly joint venture shall submit their current Experience Modification for each and every partner.

CT OCIP Form 4, "Occupational Safety and Health Evaluation," is included in the OCIP Manual and must be submitted by the Contractor and eligible subcontractors prior to OCIP enrollment.

CONTRACTOR RESPONSIBILITIES

The Contractor shall be responsible for and require its subcontractors of all tiers to be responsible for:

1. Complying with all rules and regulations of the California Workers' Compensation Insurance Rating Bureau (CWCIRB).
2. Complying with applicable claims handling responsibilities and project safety administration as specified in sections "Claim Reporting and Participation Responsibilities" and "Project Safety" of these special provisions.
3. Complying with necessary contract, operations, and insurance information including but not limited to declarations pages, underwriting and rating information, certificates, loss histories, Cal/OSHA citations, and, if requested, complete, certified copies of insurance polices.
4. Cooperating with the Department and its representatives as regards the administration and operation of the OCIP.
5. Assuring that all subcontractors receive copies of the OCIP Manual. Failure of the Contractor to provide an OCIP Manual to subcontractors shall not relieve the Contractor or any subcontractor from the requirements of these special provisions.
6. Assuring that the terms of this section, "Owner Controlled Insurance Program (OCIP)," are included in all subcontracts of every tier, purchase orders, and agreements entered into for performance of any portion of the work.
7. Not violating or knowingly permit violation of any conditions of the policies of insurance provided under OCIP.

Without limitation upon any of the Department's other rights or remedies, any failure by the Contractor or any subcontractor to comply with this section, "Owner Controlled Insurance Program," shall entitle the Department, at its option, upon notice to the Contractor to either:

1. Suspend performance by the Contractor, without any compensation, until there is full compliance
2. Terminate this contract for cause

The Contractor shall not violate or knowingly permit to be violated any conditions of the OCIP Policies or other policies of insurance required by "Owner Controlled Insurance Program (OCIP)," or by the OCIP Manual. The Contractor shall ensure that all requirements imposed by such policies, the terms of this section, "Owner Controlled Insurance Program (OCIP)," and the OCIP Manual shall likewise be imposed on, and assumed and performed by, each subcontractor of every tier.

SUBMITTAL OF FORMS AND DOCUMENTS

The Contractor shall submit the following forms as specified:

1. **CT OCIP Form 1, "OCIP Enrollment Form."** The Contractor and eligible subcontractors of all tiers, before starting work, shall complete and submit the form to the Engineer along with copies of the Contractor's insurance policy pages that display applicable rates and premium calculations for workers' compensation, general liability, and excess or umbrella liability insurance, if applicable.
2. **CT OCIP Form 2, "Monthly Payroll Reporting Form."** The enrolled Contractor and subcontractors of all tiers shall complete and submit the form to the Engineer for the prior month's work by the 15th day of the following month. This form must be submitted showing "0" payroll even if the enrolled Contractor or subcontractor was not on site that month. This form shall be submitted monthly until a CT OCIP Form 3, "Contractor's Notice of Work Termination Form," is submitted, even if there was no on-site work performed. This form shall be submitted in addition to any payroll records required by Section 7-1.01A(3), "Payroll Records," of the Standard Specifications.
3. **CT OCIP Form 3, "Contractor's Notice of Work Termination."** The Contractor and enrolled subcontractors of all tiers shall, upon completion of its work, complete and submit this form to the Engineer by the 5th business day after the last day of the month during which work was completed, including punch list items under the contract. The Contractor shall ensure enrolled subcontractors of all tiers complete this form.
4. **CT OCIP Form 4, "Occupational Safety and Health Evaluation."** The Contractor and eligible subcontractors of all tiers, before starting work, shall complete and submit CT OCIP Form 4 to the Engineer in order to be enrolled in the OCIP.

Failure of the Contractor to submit complete OCIP documents to the Engineer will result in a withhold in the amount of $500 for each day any of the required forms are not submitted within the above time frames. The Department will not compensate the Contractor for any delays or costs resulting from failure to comply with these requirements.

WAIVER OF RIGHT OF RECOVERY

Contractor hereby waives all rights of recovery against the Department, consultants, OCIP Administrator, and any other Contractor, subcontractor and consultant performing OCIP work or rendering OCIP services in connection with the project, including without limitation, the officers, directors, agents, shareholders and employees of each of them. The waivers provided for in this paragraph shall be deemed effective as to any individual or entity even if such individual or entity:

1. Would otherwise have a duty of indemnification, contractual or otherwise
2. Did not pay the insurance premium directly or indirectly

CLAIM REPORTING AND PARTICIPATION RESPONSIBILITIES

The Contractor shall adhere to and perform all reporting requirements as set forth in the Claims Procedures part of the OCIP Manual. The Contractor has a right to participate and provide input in all workers' compensation claim review meetings involving claims made by that contractor's employees including the annual review prior to any unit statistical filings.

PROJECT SAFETY

The Contractor shall maintain total control of safety to ensure that its employees and the general public will be provided an environment free of recognized hazards during construction activities. In carrying out this policy, the only accepted level of performance is to be incident free on this project each and every day.

The ultimate responsibility for providing a safe place to work rests with the Contractor. The Contractor shall develop its own written Site-Specific Safety Program (SSSP).

Without diminishing the Contractor's responsibility for safety, the Department through its OCIP will provide an OCIP site safety manager to assist the Engineer in monitoring the safety, health, and environmental performance of the Contractor and its subcontractors of all tiers. The Contractor and its subcontractors of all tiers shall be monitored for effectiveness and application of their respective safety programs at the work site.

SECTION 6. (BLANK)

SECTION 7. (BLANK)

SECTION 8. MATERIALS

SECTION 8-1. MISCELLANEOUS

8-1.01 SUBSTITUTION OF NON-METRIC MATERIALS AND PRODUCTS

Only materials and products conforming to the requirements of the specifications shall be incorporated in the work. When metric materials and products are not available, and when approved by the Engineer, and at no cost to the State, materials and products in the United States Standard Measures which are of equal quality and of the required properties and characteristics for the purpose intended, may be substituted for the equivalent metric materials and products, subject to the following provisions:

A. Materials and products shown on the plans or in the special provisions as being equivalent may be substituted for the metric materials and products specified or detailed on the plans.
B. Before other non-metric materials and products will be considered for use, the Contractor shall furnish, at the Contractor's expense, evidence satisfactory to the Engineer that the materials and products proposed for use are equal to or better than the materials and products specified or detailed on the plans. The burden of proof as to the quality and suitability of substitutions shall be upon the Contractor and the Contractor shall furnish necessary information as required by the Engineer. The Engineer will be the sole judge as to the quality and suitability of the substituted materials and products and the Engineer's decision will be final.
C. When the Contractor elects to substitute non-metric materials and products, including materials and products shown on the plans or in the special provisions as being equivalent, the list of sources of material specified in Section 6-1.01, "Source of Supply and Quality of Materials," of the Standard Specification shall include a list of substitutions to be made and contract items involved. In addition, for a change in design or details, the Contractor shall submit plans and working drawings in conformance with the provisions in"Working Drawings," of these special provisions. The plans and working drawings shall be submitted at least 7 days before the Contractor intends to begin the work involved.

Unless otherwise specified, the following substitutions of materials and products will be allowed:

SUBSTITUTION TABLE FOR PLAIN WIRE REINFORCEMENT
ASTM Designation: A 82

METRIC SIZE SHOWN ON THE PLANS mm^2	SIZE TO BE SUBSTITUTED $inch^2$ x 100
MW9	W1.4
MW10	W1.6
MW13	W2.0
MW15	W2.3
MW19	W2.9
MW20	W3.1
MW22	W3.5
MW25	W3.9, except W3.5 in piles only
MW26	W4.0
MW30	W4.7
MW32	W5.0
MW35	W5.4
MW40	W6.2
MW45	W6.5
MW50	W7.8
MW55	W8.5, except W8.0 in piles only
MW60	W9.3
MW70	W10.9, except W11.0 in piles only
MW80	W12.4
MW90	W14.0
MW100	W15.5

SUBSTITUTION TABLE FOR BAR REINFORCEMENT

METRIC BAR DESIGNATION NUMBER[1] SHOWN ON THE PLANS	BAR DESIGNATION NUMBER[2] TO BE SUBSTITUTED
10	3
13	4
16	5
19	6
22	7
25	8
29	9
32	10
36	11
43	14
57	18

[1]Bar designation numbers approximate the number of millimeters of the nominal diameter of the bars.
[2]Bar numbers are based on the number of eighths of an inch included in the nominal diameter of the bars.
No adjustment will be required in spacing or total number of reinforcing bars due to a difference in minimum yield strength between metric and non-metric bars.

SUBSTITUTION TABLE FOR SIZES OF:

(1) STEEL FASTENERS FOR GENERAL APPLICATIONS (ASTM Designation: A 307 or AASHTO Designation: M 314, Grade 36 or 55), and

(2) HIGH STRENGTH STEEL FASTENERS (ASTM Designation: A 325 or A 449)

METRIC SIZE SHOWN ON THE PLANS mm	SIZE TO BE SUBSTITUTED inch
6 or 6.35	1/4
8 or 7.94	5/16
10 or 9.52	3/8
11 or 11.11	7/16
13, 12.70, or M12	1/2
14 or 14.29	9/16
16, 15.88, or M16	5/8
19, 19.05, or M20	3/4
22, 22.22, or M22	7/8
24, 25, 25.40, or M24	1
29, 28.58, or M27	1-1/8
32, 31.75, or M30	1-1/4
35 or 34.93	1-3/8
38, 38.10, or M36	1-1/2
44 or 44.45	1-3/4
51 or 50.80	2
57 or 57.15	2-1/4
64 or 63.50	2-1/2
70 or 69.85	2-3/4
76 or 76.20	3
83 or 82.55	3-1/4
89 or 88.90	3-1/2
95 or 95.25	3-3/4
102 or 101.60	4

SUBSTITUTION TABLE FOR NOMINAL THICKNESS OF SHEET METAL

UNCOATED HOT AND COLD ROLLED SHEETS		HOT-DIPPED ZINC COATED SHEETS (GALVANIZED)	
METRIC THICKNESS SHOWN ON THE PLANS mm	GAGE TO BE SUBSTITUTED inch	METRIC THICKNESS SHOWN ON THE PLANS mm	GAGE TO BE SUBSTITUTED inch
7.94	0.3125	4.270	0.1681
6.07	0.2391	3.891	0.1532
5.69	0.2242	3.510	0.1382
5.31	0.2092	3.132	0.1233
4.94	0.1943	2.753	0.1084
4.55	0.1793	2.372	0.0934
4.18	0.1644	1.994	0.0785
3.80	0.1495	1.803	0.0710
3.42	0.1345	1.613	0.0635
3.04	0.1196	1.461	0.0575
2.66	0.1046	1.311	0.0516
2.28	0.0897	1.158	0.0456
1.90	0.0747	1.006 or 1.016	0.0396
1.71	0.0673	0.930	0.0366
1.52	0.0598	0.853	0.0336
1.37	0.0538	0.777	0.0306
1.21	0.0478	0.701	0.0276
1.06	0.0418	0.627	0.0247
0.91	0.0359	0.551	0.0217
0.84	0.0329	0.513	0.0202
0.76	0.0299	0.475	0.0187
0.68	0.0269	--------	--------
0.61	0.0239	--------	--------
0.53	0.0209	--------	--------
0.45	0.0179	--------	--------
0.42	0.0164	--------	--------
0.38	0.0149	--------	--------

SUBSTITUTION TABLE FOR WIRE

METRIC THICKNESS SHOWN ON THE PLANS mm	WIRE THICKNESS TO BE SUBSTITUTED inch	GAGE NO.
6.20	0.244	3
5.72	0.225	4
5.26	0.207	5
4.88	0.192	6
4.50	0.177	7
4.11	0.162	8
3.76	0.148	9
3.43	0.135	10
3.05	0.120	11
2.69	0.106	12
2.34	0.092	13
2.03	0.080	14
1.83	0.072	15
1.57	0.062	16
1.37	0.054	17
1.22	0.048	18
1.04	0.041	19
0.89	0.035	20

SUBSTITUTION TABLE FOR PIPE PILES

METRIC SIZE SHOWN ON THE PLANS mm x mm	SIZE TO BE SUBSTITUTED inch x inch
PP 360 x 4.55	NPS 14 x 0.179
PP 360 x 6.35	NPS 14 x 0.250
PP 360 x 9.53	NPS 14 x 0.375
PP 360 x 11.12	NPS 14 x 0.438
PP 406 x 12.70	NPS 16 x 0.500
PP 460 x T	NPS 18 x T"
PP 508 x T	NPS 20 x T"
PP 559 x T	NPS 22 x T"
PP 610 x T	NPS 24 x T"
PP 660 x T	NPS 26 x T"
PP 711 x T	NPS 28 x T"
PP 762 x T	NPS 30 x T"
PP 813 x T	NPS 32 x T"
PP 864 x T	NPS 34 x T"
PP 914 x T	NPS 36 x T"
PP 965 x T	NPS 38 x T"
PP 1016 x T	NPS 40 x T"
PP 1067 x T	NPS 42 x T"
PP 1118 x T	NPS 44 x T"
PP 1219 x T	NPS 48 x T"
PP 1524 x T	NPS 60 x T"

The thickness in millimeters (T) represents an exact conversion of the thickness in inches (T").

SUBSTITUTION TABLE FOR CIDH CONCRETE PILING

METRIC SIZE SHOWN ON THE PLANS	ACTUAL AUGER SIZE TO BE SUBSTITUTED inches
350 mm	14
400 mm	16
450 mm	18
600 mm	24
750 mm	30
900 mm	36
1.0 m	42
1.2 m	48
1.5 m	60
1.8 m	72
2.1 m	84
2.4 m	96
2.7 m	108
3.0 m	120
3.3 m	132
3.6 m	144
4.0 m	156

SUBSTITUTION TABLE FOR STRUCTURAL TIMBER AND LUMBER

METRIC MINIMUM DRESSED DRY, SHOWN ON THE PLANS mm x mm	METRIC MINIMUM DRESSED GREEN, SHOWN ON THE PLANS mm x mm	NOMINAL SIZE TO BE SUBSTITUTED inch x inch
19x89	20x90	1x4
38x89	40x90	2x4
64x89	65x90	3x4
89x89	90x90	4x4
140x140	143x143	6x6
140x184	143x190	6x8
184x184	190x190	8x8
235x235	241x241	10x10
286x286	292x292	12x12

SUBSTITUTION TABLE FOR NAILS AND SPIKES

METRIC COMMON NAIL, SHOWN ON THE PLANS Length, mm Diameter, mm	METRIC BOX NAIL, SHOWN ON THE PLANS Length, mm Diameter, mm	METRIC SPIKE, SHOWN ON THE PLANS Length, mm Diameter, mm	SIZE TO BE SUBSTITUTED Penny-weight
50.80 2.87	50.80 2.51	_____	6d
63.50 3.33	63.50 2.87	_____	8d
76.20 3.76	76.20 3.25	76.20 4.88	10d
82.55 3.76	82.55 3.25	82.55 4.88	12d
88.90 4.11	88.90 3.43	88.90 5.26	16d
101.60 4.88	101.60 3.76	101.60 5.72	20d
114.30 5.26	114.30 3.76	114.30 6.20	30d
127.00 5.72	127.00 4.11	127.00 6.68	40d
_____	_____	139.70 7.19	50d
_____	_____	152.40 7.19	60d

SUBSTITUTION TABLE FOR IRRIGATION COMPONENTS

METRIC WATER METERS, TRUCK LOADING STANDPIPES, VALVES, BACKFLOW PREVENTERS, FLOW SENSORS, WYE STRAINERS, FILTER ASSEMBLY UNITS, PIPE SUPPLY LINES, AND PIPE IRRIGATION SUPPLY LINES SHOWN ON THE PLANS DIAMETER NOMINAL (DN) mm	NOMINAL SIZE TO BE SUBSTITUTED inch
15	1/2
20	3/4
25	1
32	1-1/4
40	1-1/2
50	2
65	2-1/2
75	3
100	4
150	6
200	8
250	10
300	12
350	14
400	16

Unless otherwise specified, substitutions of United States Standard Measures standard structural shapes corresponding to the metric designations shown on the plans and in conformance with the requirements in ASTM Designation: A 6/A 6M, Annex 2, will be allowed.

8-1.02 PREQUALIFIED AND TESTED SIGNING AND DELINEATION MATERIALS

The Department maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of Prequalified and Tested Signing and Delineation Materials.

The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each type of traffic product supplied.

For those categories of materials included on the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included on the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the Standard Specifications.

Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.

PAVEMENT MARKERS, PERMANENT TYPE

Retroreflective With Abrasion Resistant Surface (ARS)

1. Apex, Model 921AR (100 mm x 100 mm)
2. Ennis Paint, Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and C80FH
3. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm) and ARC Round Shoulder (100 mm x 100 mm)
4. 3M Series 290 (89 mm x 100 mm)
5. 3M Series 290 PSA
6. Glowlite, Inc Model 988AR (100 mm x 100 mm)

Retroreflective With Abrasion Resistant Surface (ARS)

(for recessed applications only)

1. Ennis Paint, Model 948 (58 mm x 119 mm)
2. Ennis Paint, Model 944SB (51 mm x 100 mm)*
3. Ray-O-Lite, Model 2002 (51 mm x 117 mm)
4. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)*

*For use only in 114 mm wide (older) recessed slots

Non-Reflective, 100-mm Round

1. Apex Universal (Ceramic)
2. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
3. Glowlite, Inc. (Ceramic) and PP (Polypropylene)
4. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)
5. Interstate Sales, "Diamond Back" (Polypropylene)
6. Novabrite Models Cdot (White) Cdot-y (Yellow), Ceramic
7. Novabrite Models Pdot-w (White) Pdot-y (Yellow), Polypropylene
8. Three D Traffic Works TD10000 (ABS), TD10500 (Polypropylene)

PAVEMENT MARKERS, TEMPORARY TYPE

Temporary Markers For Long Term Day/Night Use (180 days or less)

1. Vega Molded Products "Temporary Road Marker" (75 mm x 100 mm)

Temporary Markers For Short Term Day/Night Use (14 days or less)

(For seal coat or chip seal applications, clear protective covers are required)

1. Apex Universal, Model 932
2. Filtrona Extrusion, Models T.O.M., T.R.P.M., and "HH" (High Heat)
3. Hi-Way Safety, Inc., Model 1280/1281
4. Glowlite, Inc., Model 932

STRIPING AND PAVEMENT MARKING MATERIAL

Permanent Traffic Striping and Pavement Marking Tape

1. Advanced Traffic Marking, Series 300 and 400
2. Brite-Line, Series 1000
3. Brite-Line, "DeltaLine XRP"
4. Swarco Industries, "Director 35" (For transverse application only)
5. Swarco Industries, "Director 60"
6. 3M, "Stamark" Series 380 and 5730
7. 3M, "Stamark" Series 420 (For transverse application only)

Temporary (Removable) Striping and Pavement Marking Tape (180 days or less)

1. Advanced Traffic Marking, Series 200
2. Brite-Line, Series 100
3. Garlock Rubber Technologies, Series 2000
4. P.B. Laminations, Aztec, Grade 102
5. Swarco Industries, "Director-2"
6. Trelleborg Industries, R140 Series
7. 3M Series 620 "CR", and Series A750

8. 3M Series A145, Removable Black Line Mask
   (Black Tape: for use only on Hot mix asphalt surfaces)
9. Advanced Traffic Marking Black "Hide-A-Line"
   (Black Tape: for use only on Hot mix asphalt surfaces)
10. Brite-Line "BTR" Black Removable Tape
    (Black Tape: for use only on Hot mix asphalt surfaces)
11. Trelleborg Industries, RB-140
    (Black Tape: for use only on Hot mix asphalt surfaces)

Preformed Thermoplastic (Heated in place)

1. Flint Trading Inc., "Hot Tape"
2. Flint Trading Inc., "Premark Plus"
3. Ennis Paint Inc., "Flametape"

Ceramic Surfacing Laminate, 150 mm x 150 mm

1. Highway Ceramics, Inc.

CLASS 1 DELINEATORS

One Piece Driveable Flexible Type, 1700-mm

1. Filtrona Extrusion, "Flexi-Guide Models 400 and 566"
2. Carsonite, Curve-Flex CFRM-400
3. Carsonite, Roadmarker CRM-375
4. FlexStake, Model 654 TM
5. GreenLine Model CGD1-66

Special Use Type, 1700-mm

1. Filtrona Extrusion, Model FG 560 (with 450-mm U-Channel base)
2. Carsonite, "Survivor" (with 450-mm U-Channel base)
3. Carsonite, Roadmarker CRM-375 (with 450-mm U-Channel base)
4. FlexStake, Model 604
5. GreenLine Model CGD (with 450-mm U-Channel base)
6. Impact Recovery Model D36, with #105 Driveable Base
7. Safe-Hit with 200-mm pavement anchor (SH248-GP1)
8. Safe-Hit with 380-mm soil anchor (SH248-GP2) and with 450-mm soil anchor (SH248-GP3)

Surface Mount Type, 1200-mm

1. Bent Manufacturing Company, Masterflex Model MF-180EX-48
2. Carsonite, "Channelizer"
3. FlexStake, Models 704, 754 TM, and EB4
4. Impact Recovery Model D48, with #101 Fixed (Surface-Mount) Base
5. Three D Traffic Works "Channelflex" ID No. 522248W

CHANNELIZERS

Surface Mount Type, 900-mm

1. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)
2. Filtrona Extrusion, Flexi-Guide Models FG300PE, FG300UR, and FG300EFX
3. Carsonite, "Super Duck" (Round SDR-336)
4. Carsonite, Model SDCF03601MB "Channelizer"
5. FlexStake, Models 703, 753 TM, and EB3
6. GreenLine, Model SMD-36
7. Hi-way Safety, Inc. "Channel Guide Channelizer" Model CGC36
8. Impact Recovery Model D36, with #101 Fixed (Surface-Mount) Base
9. Safe-Hit, Guide Post, Model SH236SMA and Dura-Post, Model SHL36SMA
10. Three D Traffic Works "Boomerang" 5200 Series

Lane Separation System

1. Filtrona Extrusion, "Flexi-Guide (FG) 300 Curb System"
2. Qwick Kurb, "Klemmfix Guide System"
3. Dura-Curb System
4. Tuff Curb

CONICAL DELINEATORS, 1070-mm

(For 700-mm Traffic Cones, see Standard Specifications)

1. Bent Manufacturing Company "T-Top"
2. Plastic Safety Systems "Navigator-1070 mm
3. TrafFix Devices "Grabber"
4. Three D Traffic Works "Ringtop" TD7000, ID No. 742143
5. Three D Traffic Works, TD7500
6. Work Area Protection Corp. C-42

OBJECT MARKERS

Type "K", 450-mm

1. Filtrona Extrusion, Model FG318PE
2. Carsonite, Model SMD 615
3. FlexStake, Model 701 KM
4. Safe-Hit, Model SH718SMA

Type "K-4" / "Q" Object Markers, 600-mm

1. Bent Manufacturing "Masterflex" Model MF-360-24
2. Filtrona Extrusion, Model FG324PE
3. Carsonite, "Channelizer"
4. FlexStake, Model 701KM
5. Safe-Hit, Models SH824SMA_WA and SH824GP3_WA
6. Three D Traffic Works ID No. 531702W and TD 5200
7. Three D Traffic Works ID No. 520896W

CONCRETE BARRIER MARKERS AND
TEMPORARY RAILING (TYPE K) REFLECTORS

Impactable Type

1. ARTUK, "FB"
2. Filtrona Extrusion, Models PCBM-12 and PCBM-T12
3. Duraflex Corp., "Flexx 2020" and "Electriflexx"
4. Hi-Way Safety, Inc., Model GMKRM100
5. Plastic Safety Systems "BAM" Models OM-BARR and OM-BWAR
6. Three D Traffic Works "Roadguide" Model TD 9304

Non-Impactable Type

1. ARTUK, JD Series
2. Plastic Safety Systems "BAM" Models OM-BITARW and OM-BITARA
3. Vega Molded Products, Models GBM and JD
4. Plastic Vacuum Forming, "Cap-It C400"

METAL BEAM GUARD RAIL POST MARKERS

(For use to the left of traffic)

1. Filtrona Extrusion, "Mini" (75 mm x 254 mm)
2. Creative Building Products, "Dura-Bull, Model 11201"
3. Duraflex Corp., "Railrider"
4. Plastic Vacuum Forming, "Cap-It C300"

CONCRETE BARRIER DELINEATORS, 400-mm

(For use to the right of traffic)

1. Filtrona Extrusion, Model PCBM T-16
2. Safe-Hit, Model SH216RBM

CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)

1. Stinson Equipment Company "SaddleMarker"

GUARD RAILING DELINEATOR

(Place top of reflective element at 1200 mm above plane of roadway)

Wood Post Type, 686-mm

1. Filtrona Extrusion, FG 427 and FG 527
2. Carsonite, Model 427
3. FlexStake, Model 102 GR
4. GreenLine GRD 27
5. Safe-Hit, Model SH227GRD
6. Three D Traffic Works "Guardflex" TD9100
7. New Directions Mfg, NDM27

Steel Post Type

1. Carsonite, Model CFGR-327

RETROREFLECTIVE SHEETING

Channelizers, Barrier Markers, and Delineators

1. Avery Dennison T-6500 Series (For rigid substrate devices only)
2. Avery Dennison WR-7100 Series
3. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
4. Reflexite, PC-1000 Metalized Polycarbonate
5. Reflexite, AC-1000 Acrylic
6. Reflexite, AP-1000 Metalized Polyester
7. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
8. 3M, High Intensity

Traffic Cones, 100-mm and 150-mm Sleeves

1. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
2. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
3. 3M Series 3840
4. Avery Dennison S-9000C

Drums

1. Avery Dennison WR-6100
2. Nippon Carbide Industries, Flexible Ultralite Grade (ULG) II
3. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
4. 3M Series 3810

Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Nippon Carbide Industries, CN8117
2. Avery Dennison, W 1100 series
3. 3M Series CW 44

Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Avery Dennison, W-2100 Series

Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)

1. Avery Dennison, T-2500 Series
2. Nippon Carbide Industries, Nikkalite 18000

Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)

1. Avery Dennison, T-5500A and T-6500 Series
2. Nippon Carbide Industries, Nikkalite Brand Ultralite Grade II
3. 3M 3870 and 3930 Series

Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)

1. Avery Dennison, T-6500 Series
2. Nippon Carbide Industries, Crystal Grade, 94000 Series
3. Nippon Carbide Industries, Model No. 94847 Fluorescent Orange
4. 3M Series 3930 and Series 3924S

Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive

1. Avery Dennison, WU-6014
2. Novabrite LLC, "Econobrite"
3. Reflexite "Vinyl"
4. Reflexite "SuperBright"
5. Reflexite "Marathon"
6. 3M Series RS20

Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)

1. 3M Series 3924S, Fluorescent Orange
2. 3M LDP Series 3970

Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)

1. Avery Dennison, T-7500 Series
2. Avery Dennison, T-7511 Fluorescent Yellow
3. Avery Dennison, T-7513 Fluorescent Yellow Green
4. Avery Dennison, W-7514 Fluorescent Orange
5. Nippon Carbide Industries, Nikkalite Crystal Grade Series 92800
6. Nippon Carbide Industries, Nikkalite Crystal Grade Model 92847 Fluorescent Orange

Signs: Type IX, Very-High-Intensity (Typically Unmetallized Microprismatic Element)

1. 3M VIP Series 3981 Diamond Grade Fluorescent Yellow
2. 3M VIP Series 3983 Diamond Grade Fluorescent Yellow/Green
3. 3M VIP Series 3990 Diamond Grade
4. Avery Dennison T-9500 Series
5. Avery Dennison, T9513, Fluorescent Yellow Green
6. Avery Dennison, W9514, Fluorescent Orange
7. Avery Dennison, T-9511 Fluorescent Yellow

SPECIALTY SIGNS

1. Reflexite "Endurance" Work Zone Sign (with Semi-Rigid Plastic Substrate)

ALTERNATIVE SIGN SUBSTRATES

Fiberglass Reinforced Plastic (FRP) and Expanded Foam PVC

1. Fiber-Brite (FRP)
2. Sequentia, "Polyplate" (FRP)
3. Inteplast Group "InteCel" (13 mm for Post-Mounted CZ Signs, 1200 mm or less)(PVC)

Aluminum Composite, Temporary Construction Signs and Permanent Signs up to 1400 mm.

1. Alcan Composites "Dibond Material, 2 mm"
2. Mitsubishi Chemical America, Alpolic 350

8-1.03 STATE-FURNISHED MATERIALS

Attention is directed to Section 6-1.02, "State-Furnished Materials," of the Standard Specifications and these special provisions.

The following materials will be furnished to the Contractor:

A. Model 170 controller assemblies, as shown on the plans, including controller unit, completely wired controller cabinet with a power distribution assembly, and an input file.
B. Model 500 changeable message sign panels with control cable harnesses (4 and 5) and controller interface assembly.
C. Call Boxes
D. Strong Motion Detection System with detection sensors and recorders, Force Balance Accelerometer (FBA) pigtails and seismic sensor mounting plates for strong motion detection system. The Contractor shall notify the Engineer in writing not less than 20 working days in advance when wants the DMG to deliver the State-furnished materials to the Contractor.
E. Hinge K bearing assemblies. The locations of State-furnished Hinge K bearing assemblies to be installed on the project will be furnished to the Contractor within 25 km of the San Francisco-Oakland Bay Bridge Toll Plaza.

F. Lighting poles and fixtures shall include base plates, poles, luminaires, luminaire lowering device systems for poles over 12-m in height, and pole mounted marker lights Type YAM. The locations of State-furnished roadway assemblies to be installed on the project will be furnished to the Contractor within 25 km of the San Francisco-Oakland Bay Bridge Toll Plaza.

Model 170 controller assemblies including the controller unit and completely wired cabinet, will be furnished to the Contractor at the Caltrans Maintenance Station, 30 Rickard Street, San Francisco, CA 94134, telephone number (415) 330-6500.

Model 500 changeable message sign, wiring harness, and controller interface assembly, will be furnished to the Contractor at the Caltrans Maintenance Station, 30 Rickard Street, San Francisco, CA 94134, telephone number(415) 330-6500.

The Contractor shall notify the Engineer not less than 48 hours before State-furnished material is to be picked up by the Contractor. A full description of the material and the time the material will be picked up shall be provided with notification.

8-1.04 ENGINEERING FABRICS

Engineering fabrics shall conform to the provisions in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

Filter fabric for this project shall be ultraviolet (UV) ray protected.

8-1.05 ASPHALTIC EMULSION

Asphaltic emulsion shall conform to the provisions in Section 94, "Asphaltic Emulsions," of the Standard Specifications and these special provisions.

When tested in conformance with the "Residue and Oil Distallate by Distallation" test of AASHTO Designation: T 59, the composition of SS1 asphaltic emulsion shall be a minimum 57 percent of residue.

SECTION 8-2. CONCRETE

8-2.01 PORTLAND CEMENT CONCRETE

Portland cement concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

Cementitious material to be used in Portland cement concrete shall conform to the provisions for cement and supplementary cementitious materials in Section 90-2, "Materials," of the Standard Specifications, and shall be a combination of Type II portland cement modified as per Section 90-2.01A, "Cement," of the Standard Specifications and supplementary cementitious materials.

The Department maintains a list of sources of fine and coarse aggregate that have been approved for use with a reduced amount of supplementary cementitious material in the total amount of cementitious material to be used. A source of aggregate will be considered for addition to the approved list if the producer of the aggregate submits to the Transportation Laboratory certified test results from a qualified testing laboratory that verify the aggregate complies with the requirements. Before the testing starts, the aggregate test shall be registered with the Department. A registration number can be obtained by calling (916) 227-7228. The registration number shall be used as the identification for the aggregate sample in correspondence with the Department. Upon request, a split of the tested sample shall be provided to the Department. Approval of aggregate will depend upon compliance with the specifications, based on the certified test results submitted,

together with any replicate testing the Department may elect to perform. Approval will expire 3 years from the date the most recent registered and evaluated sample was collected from the aggregate source.

Qualified testing laboratories shall conform to the following requirements:

1. Laboratories performing ASTM Designation: C 1293 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Concrete Proficiency Sample Program and shall have received a score of 3 or better on each test of the previous 2 sets of concrete samples.
2. Laboratories performing ASTM Designation: C 1260 shall participate in the Cement and Concrete Reference Laboratory (CCRL) Pozzolan Proficiency Sample Program and shall have received a score of 3 or better on the shrinkage and soundness tests of the previous 2 sets of pozzolan samples.

Aggregates on the list shall conform to one of the following requirements:

1. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1293, the average expansion at one year shall be less than or equal to 0.040 percent; or
2. When the aggregate is tested in conformance with the requirements in California Test 554 and ASTM Designation: C 1260, the average of the expansion at 16 days shall be less than or equal to 0.15 percent.

If the aggregates used in the concrete are on the Department's list, the minimum amount of supplementary cementitious material shall conform to the following:

1. If fly ash or natural pozzolan conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 15 percent by mass of the total cementitious material; or
2. If silica fume conforming to the provisions in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials," of the Standard Specifications is used, the minimum amount of supplementary cementitious material shall be 7 percent by mass of the total cementitious material.

The limitation on tricalcium silicate (C_3S) content in Type II cement specified in Section 90-2.01A, "Cement," of the Standard Specifications shall not apply.

8-2.02 CORROSION CONTROL FOR PORTLAND CEMENT CONCRETE

Permanent structures with portland cement concrete in contact with soil or rock including footings, retaining walls and columns, are considered to be in a corrosive environment and shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

Cementitious material to be used in portland cement concrete shall conform to the provisions in Section 90-2, "Materials," of the Standard Specifications, and shall be a combination of Type II portland cement and supplementary cementitious material.

Concrete in a corrosive environment shall contain not less than 400 kg of cementitous material per cubic meter.

Reduction in the cementitious material content specified or ordered, in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," of the Standard Specifications, is not permitted for concrete in a corrosive environment.

Unless otherwise specified, for concrete in a corrosive environment, the amount of portland cement shall be 75 percent by mass, and the amount of supplementary cementitious material shall be 25 percent by mass, of the total amount of cementitious material to be used in the concrete mix.

Supplementary cementitious material for concrete in a corrosive environment shall be either flyash or natural pozzolan. At the option of the Contractor, this concrete may conform to A, B, C, or D below.

The amount of free water used in concrete in a corrosive environment shall not exceed 160 kg/ m^3, plus 40 kg for each 100 kg of cementitious material in excess of 400 kg/ m^3.

Full compensation for conforming to the above requirements shall be considered as included in the contract prices paid for the various contract items of work and no additional compensation will be allowed therefor.

8-2.03 PRECAST CONCRETE QUALITY CONTROL

GENERAL

Precast concrete quality control shall conform to these special provisions.

Unless otherwise specified, precast concrete quality control shall apply when any precast concrete members are fabricated in conformance with the provisions in Section 49, "Piling," or Section 51, "Concrete Structures," of the Standard Specifications.

Quality Control (QC) shall be the responsibility of the Contractor. The Contractor's QC inspectors shall perform inspection and testing prior to precasting, during precasting, and after precasting, and as specified in this section and additionally as necessary to ensure that materials and workmanship conform to the details shown on the plans and specifications.

Quality Assurance (QA) is the prerogative of the Engineer. Regardless of the acceptance for a given precast element by the Contractor, the Engineer will evaluate the precast element. The Engineer will reject any precast element that does not conform to the approved Precast Concrete Quality Control Plan (PCQCP), the details shown on the plans, and these special provisions.

The Contractor shall designate in writing a precast Quality Control Manager (QCM) for each precasting facility. The QCM shall be responsible directly to the Contractor for the quality of precasting, including materials and workmanship, performed by the Contractor and all subcontractors. The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall not be employed or compensated by any subcontractor, or other persons or entities hired by subcontractors, or suppliers, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Prior to submitting the PCQCP required herein, a meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing precast concrete operations for this project, shall be held to discuss the requirements for precast quality control.

QC Inspectors shall either be 1) licensed as Civil Engineers in the State of California, or 2) have a current Plant Quality Personnel Certification, Level II, from the Precast/Prestressed Concrete Institute. A QC Inspector shall witness all precast concrete operations.

PRECAST CONCRETE QUALIFICATION AUDIT

Unless otherwise specified, no Contractors or subcontractors performing precast concrete operations for the project shall commence work without having successfully completed the Department's Precast Fabrication Qualification Audit, hereinafter referred to as the audit. The Engineer will perform the audit, and copies of the audit form, along with procedures for requesting and completing the audit, are available at the Transportation Laboratory or the following website:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

An audit that was previously approved by the Engineer no more than three years prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the audit is for the same type of work that is to be performed on this contract.

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

PRECAST CONCRETE QUALITY CONTROL PLAN

Prior to performing any precasting operations, the Contractor shall submit to the Engineer, in conformance with the provisions in "Working Drawings," of these special provisions, 3 copies of a separate PCQCP for each item of work which is to be precast. A separate PCQCP shall be submitted for each facility. As a minimum, each PCQCP shall include the following:

A. The name of the precasting firm, the concrete plants to be used, and any concrete testing firm to be used;
B. A manual prepared by the precasting firm that includes equipment, testing procedures, safety plan, and the names, qualifications, and documentation of certifications for all personnel to be used;
C. The name of the QCM and the names, qualifications, and documentation of certifications for all QC inspection personnel to be used;
D. An organizational chart showing all QC personnel and their assigned QC responsibilities;
E. The methods and frequencies for performing all required quality control procedures, including all inspections, material testing, and any required survey procedures for all components of the precast elements including prestressing systems, concrete, grout, reinforcement, steel components embedded or attached to the precast member, miscellaneous metal, and formwork;
F. A system for identification and tracking of required precast element repairs, and a procedure for the re-inspection of any repaired precast element. The system shall have provisions for a method of reporting nonconforming precast elements to the Engineer; and
G. Forms to be used for Certificates of Compliance, daily production logs, and daily reports.

The Engineer shall have 4 weeks to review the PCQCP submittal after a complete plan has been received. No precasting shall be performed until the PCQCP is approved in writing by the Engineer.

A PCQCP that was previously approved by the Engineer no more than one year prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the PCQCP is for the same type of work that is to be performed on this contract.

An amended PCQCP or addendum shall be submitted to, and approved in writing by the Engineer, for any proposed revisions to the approved PCQCP. An amended PCQCP or addendum will be required for any revisions to the PCQCP, including but not limited to changes in concrete plants or source materials, changes in material testing procedures and testing labs, changes in procedures and equipment, changes in QC personnel, or updated systems for tracking and identifying precast elements. The Engineer shall have 2 weeks to complete the review of the amended PCQCP or addendum, once a complete submittal has been received. Work that is affected by any of the proposed revisions shall not be performed until the amended PCQCP or addendum has been approved.

After final approval of the PCQCP, amended PCQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of each of these approved documents.

It is expressly understood that the Engineer's approval of the Contractor's PCQCP shall not relieve the Contractor of any responsibility under the contract for the successful completion of the work in conformance with the requirements of the plans and specifications. The Engineer's approval shall neither constitute a waiver of any of the requirements of the plans and specifications nor relieve the Contractor of any obligation thereunder, and defective work, materials, and equipment may be rejected notwithstanding approval of the PCQCP.

REPORTING

The QC Inspector shall provide reports to the QCM on a daily basis for each day that precasting operations are performed.

A daily production log for precasting shall be kept by the QCM for each day that precasting operations, including setting forms, placing reinforcement, setting prestressing steel, casting, curing, post tensioning, and form release, are performed. The log shall include the facility location, and shall include specific description of casting or related operations, any problems or deficiencies discovered, any testing or repair work performed, and the names of all QC personnel and the specific QC inspections they performed that day. The daily report from each QC Inspector shall also be included in the log. This daily log shall be available for viewing by the Engineer, at the precasting facility.

All reports regarding material tests and any required survey checks shall be signed by the person that performed the test or check, and then submitted directly to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures.

The Engineer shall be notified immediately in writing when any precasting problems or deficiencies are discovered and also of the proposed repair or process changes required to correct them. The Engineer shall have 4 weeks to review these procedures. No remedial work shall begin until the Engineer approves these procedures in writing.

The following items shall be included in a Precast Report that is to be submitted to the Engineer following the completion of any precast element:

A. Reports of all material tests and any required survey checks;
B. Documentation that the Contractor has evaluated all tests and corrected all rejected deficiencies, and all repairs have been re-examined with the required tests and found acceptable; and
C. Daily production log.

At the completion of any precast element, and if the QCM determines that element is in conformance with these special provisions, the QCM shall sign and furnish to the Engineer, a certificate of compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. This certificate of compliance shall be submitted with the Precast Report. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans and the provisions of the Standard Specifications and these special provisions.

PAYMENT

In the event the Engineer fails to complete the review of 1) a PCQCP, 2) an amended PCQCP or addendum, or 3) a proposed repair or process change, within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

All required repair work or process changes required to correct precasting operation deficiencies, whether discovered by the QCM, QC Inspector, or by the Engineer, and any associated delays or expenses to the Contractor caused by performing these repairs, shall be at the Contractor's expense.

Full compensation for conforming to the requirements of this section shall be considered as included in the contract prices paid for the various items of work involved, and no additional compensation will be allowed therefor.

SECTION 8-3. WELDING

8-3.01 WELDING

GENERAL

Flux cored welding electrodes conforming to the requirements of AWS A5.20 E6XT-4 or E7XT-4 shall not be used to perform welding for this project.

Wherever reference is made to the following AWS welding codes in the Standard Specifications, on the plans, or in these special provisions, the year of adoption for these codes shall be as listed:

AWS Code	Year of Adoption
D1.1	2006
D1.4	2005
D1.5	2002
D1.6	1999

Requirements of the AWS welding codes shall apply unless otherwise specified in the Standard Specifications, on the plans, or in these special provisions. Wherever the abbreviation AWS is used, it shall be equivalent to the abbreviations ANSI/AWS or AASHTO/AWS.

Section 6.1.1.1 of AWS D1.5 is replaced with the following:

Quality Control (QC) shall be the responsibility of the Contractor. As a minimum, the Contractor shall perform inspection and testing of each weld joint prior to welding, during welding, and after welding as specified in this section and as necessary to ensure that materials and workmanship conform to the requirements of the contract documents.

Unless otherwise specified, Sections 6.1.3 through 6.1.4.3 of AWS D1.1, Section 7.1.2 of AWS D1.4, and Sections 6.1.1.2 through 6.1.3.3 of AWS D1.5 are replaced with the following:

The QC Inspector shall be the duly designated person who acts for and on behalf of the Contractor for inspection, testing, and quality related matters for all welding.

Quality Assurance (QA) is the prerogative of the Engineer. The QA Inspector is the duly designated person who acts for and on behalf of the Engineer.

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship, and shall be currently certified as an AWS Certified Welding Inspector (CWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors."

The QC Inspector may be assisted by an Assistant QC Inspector provided that this individual is currently certified as an AWS Certified Associate Welding Inspector (CAWI) in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors." The Assistant QC Inspector may perform inspection under the direct supervision of the QC Inspector provided the assistant is always within visible and audible range of the QC Inspector. The QC Inspector shall be responsible for signing all reports and for determining if welded materials conform to workmanship and acceptance criteria. The ratio of QC Assistants to QC Inspectors shall not exceed 5 to 1.

When the term "Inspector" is used without further qualification, it shall refer to the QC Inspector.

When any work is welded in conformance with the provisions in Section 75, "Miscellaneous Metal," of the Standard Specifications, not including Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications, Section 6.1.4 of AWS D1.1 is replaced with the following:

The QC Inspector shall be responsible for quality control acceptance or rejection of materials and workmanship and shall be currently certified as an AWS CWI in conformance with the requirements in AWS QC1, "Standard for AWS Certification of Welding Inspectors," or as a Welding Inspector Specialist (WIS) in conformance with the requirements in AWS B5.2, "Specification for the Qualification of Welding Inspector Specialists and Welding Inspector Assistants."

Section 6.14.6, "Personnel Qualification," of AWS D1.1, Section 7.8, "Personnel Qualification," of AWS D1.4, and Section 6.1.3.4, "Personnel Qualification," of AWS D1.5 are replaced with the following:

Personnel performing nondestructive testing (NDT) shall be qualified and certified in conformance with the requirements of the American Society for Nondestructive Testing (ASNT) Recommended Practice No. SNT-TC-1A and the Written Practice of the NDT firm. The Written Practice of the NDT firm shall meet or exceed the guidelines of the ASNT Recommended Practice No. SNT-TC-1A. Individuals who perform NDT, review the results, and prepare the written reports shall be either:

A. Certified NDT Level II technicians, or;
B. Level III technicians who hold a current ASNT Level III certificate in that discipline and are authorized and certified to perform the work of Level II technicians.

Section 6.5.4 of AWS D1.5 is replaced with the following:

The QC Inspector shall inspect and approve each joint preparation, assembly practice, welding technique, joint fit-up, and the performance of each welder, welding operator, and tack welder to make certain that the applicable requirements of this code and the approved Welding Procedure Specification (WPS) are met. The QC Inspector shall examine the work to make certain that it meets the requirements of Sections 3 and 6.26. The size and contour of all welds shall be measured using suitable gages. Visual inspection for cracks in welds and base metal, and for other discontinuities shall be aided by strong light, magnifiers, or such other devices as may be helpful. Acceptance criteria different from those specified in this code may be used when approved by the Engineer.

Section 6.6.5, "Nonspecified NDT Other than Visual," of AWS D1.1, Section 7.6.5 of AWS D1.4 and Section 6.6.5 of AWS D1.5 shall not apply.

For any welding, the Engineer may direct the Contractor to perform NDT that is in addition to the visual inspection or NDT specified in the AWS or other specified welding codes, in the Standard Specifications, or in these special provisions. Except as provided for in these special provisions, additional NDT required by the Engineer, and associated repair work, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. Prior to release of welded material by the Engineer, if testing by NDT methods other than those originally specified discloses an attempt to defraud or reveals a gross nonconformance, all costs associated with the repair of the deficient area, including NDT of the weld and of the repair, and any delays caused by the repair, shall be at the Contractor's expense. A gross nonconformance is defined as the sum of planar type rejectable indications in more than 20 percent of the tested length.

When less than 100 percent of NDT is specified for any weld, it is expected that the entire length of weld meet the specified acceptance-rejection criteria. Should any welding deficiencies be discovered by additional NDT directed or performed by the Engineer that utilizes the same NDT method as that originally specified, all costs associated with the repair of the deficient area, including NDT of the weld and of the weld repair, and any delays caused by the repair, shall be at the Contractor's expense.

Repair work to correct welding deficiencies discovered by visual inspection directed or performed by the Engineer, and any associated delays or expenses caused to the Contractor by performing these repairs, shall be at the Contractor's expense.

The Engineer shall have the authority to verify the qualifications or certifications of any welder, QC Inspector, or NDT personnel to specified levels by retests or other means approved by the Engineer.

Inspection and approval of all joint preparations, assembly practices, joint fit-ups, welding techniques, and the performance of each welder, welding operator, and tack welder shall be documented by the QC Inspector on a daily basis for each day welding is performed. For each inspection, including fit-up, Welding Procedure Specification (WPS) verification, and final weld inspection, the QC Inspector shall confirm and document compliance with the requirements of the AWS or other specified code criteria and the requirements of these special provisions on all welded joints before welding, during welding, and after the completion of each weld.

In addition to the requirements specified in the applicable code, the period of effectiveness for a welder's or welding operator's qualification shall be a maximum of 3 years for the same weld process, welding position, and weld type. If welding will be performed without gas shielding, then qualification shall also be without gas shielding. Excluding welding of fracture critical members, a valid qualification at the beginning of work on a contract will be acceptable for the entire period of the contract, as long as the welder's or welding operator's work remains satisfactory.

In addition to the requirements of AWS D1.1, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.1, Section 4.1.1, shall be consistent for each pass in a weld joint and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.

B. When a nonstandard weld joint is to be made using a combination of WPSs, a single test may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 4.5.

In addition to the requirements of AWS D1.5, Section 5.12 or 5.13, welding procedures qualification for work welded in conformance with that code shall conform to the following requirements:

A. Unless considered prequalified, fillet welds shall be qualified in each position. The fillet weld soundness test shall be conducted using the essential variables of the WPS as established by the Procedure Qualification Record (PQR).
B. For qualification of joints that do not conform to Figures 2.4 and 2.5 of AWS D1.5, a minimum of two WPS qualification tests are required. The tests shall be conducted using both Figure 5.1 and Figure 5.3. The test conforming to Figure 5.1 shall be conducted in conformance with AWS D1.5, Section 5.12 or 5.13. The test conforming to Figure 5.3 shall be conducted using the welding electrical parameters that were established for the test conducted conforming to Figure 5.1. The ranges of welding electrical parameters established during welding per Figure 5.1 in conformance with AWS D1.5, Section 5.12, shall be further restricted according to the limits in Table 5.3 during welding per Figure 5.3.
C. Multiple zones within a weld joint may be qualified. The travel speed, amperage, and voltage values that are used for tests conducted per AWS D1.5 Section 5.13 shall be consistent for each pass in a weld joint, and shall in no case vary by more than ±10 percent for travel speed, ±10 percent for amperage, and ±7 percent for voltage as measured from a predetermined target value or average within each weld pass or zone. The travel speed shall in no case vary by more than ±15 percent when using submerged arc welding.
D. For a WPS qualified in conformance with AWS D1.5 Section 5.13, the values to be used for calculating ranges for current and voltage shall be based on the average of all weld passes made in the test. Heat input shall be calculated using the average of current and voltage of all weld passes made in the test for a WPS qualified in conformance with Section 5.12 or 5.13.
E. Macroetch tests are required for WPS qualification tests, and acceptance shall be per AWS D1.5 Section 5.19.3.
F. When a nonstandard weld joint is to be made using a combination of WPSs, a test conforming to Figure 5.3 may be conducted combining the WPSs to be used in production, provided the essential variables, including weld bead placement, of each process are limited to those established in Table 5.3.
G. Prior to preparing mechanical test specimens, the PQR welds shall be inspected by visual and radiographic tests. Backing bar shall be 75 mm in width and shall remain in place during NDT testing. Results of the visual and radiographic tests shall comply with AWS D1.5 Section 6.26.2, excluding Section 6.26.2.2. Test plates that do not comply with both tests shall not be used.

WELDING QUALITY CONTROL

Welding quality control shall conform to the requirements in the AWS or other specified welding codes, the Standard Specifications, and these special provisions.

Unless otherwise specified, welding quality control shall apply when any work is welded in conformance with the provisions in Section 49, "Piling," Section 52, "Reinforcement," Section 55, "Steel Structures," or Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications.

All welding will require inspection by the Engineer. The Contractor shall request inspection at least 3 working days prior to the beginning of welding for locations within California and 5 working days for locations outside of California. The Contractor shall request inspection at:

http://www.dot.ca.gov/hq/esc/Translab/smbforms.htm

Continuous inspection shall be provided when any welding is being performed. Continuous inspection, as a minimum, shall include having a QC Inspector within such close proximity of all welders or welding operators so that inspections by the QC Inspector of each welding operation at each welding location does not lapse for a period exceeding 30 minutes.

When joint weld details that are not prequalified to the details of Section 3 of AWS D1.1 or to the details of Figure 2.4 or 2.5 of AWS D1.5 are proposed for use in the work, the joint details, their intended locations, and the proposed welding parameters and essential variables, shall be approved by the Engineer. The Contractor shall allow the Engineer 2 weeks to complete the review of the proposed joint detail locations. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Upon approval of the proposed joint detail locations and qualification of the proposed joint details, welders and welding operators using these details shall perform a qualification test plate using the WPS variables and the joint detail to be used in production. The test plate shall have the maximum thickness to be used in production and a minimum length of

460 mm. The test plate shall be mechanically and radiographically tested. Mechanical and radiographic testing and acceptance criteria shall be as specified in the applicable AWS codes.

The Engineer will witness all qualification tests for WPSs that were not previously approved by the Department. Unless otherwise specified, an approved independent third party will witness the qualification tests for welders or welding operators. The independent third party shall be a current CWI and shall not be an employee of the contractor performing the welding. The Contractor shall allow the Engineer 2 weeks to review the qualifications and copy of the current certification of the independent third party. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. The Contractor shall notify the Engineer one week prior to performing any qualification tests. Witnessing of qualification tests by the Engineer shall not constitute approval of the intended joint locations, welding parameters, or essential variables.

The Contractor shall designate in writing a welding Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for the quality of welding, including materials and workmanship, performed by the Contractor and subcontractors.

The QCM shall be the sole individual responsible to the Contractor for submitting, receiving, reviewing, and approving all correspondence, required submittals, and reports to and from the Engineer. The QCM shall be a registered professional engineer or shall be currently certified as a CWI.

Unless the QCM is hired by a subcontractor providing only QC services, the QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for the following conditions:

A. The work is welded in conformance with AWS D1.5 and is performed at a permanent fabrication or manufacturing facility that is certified under the AISC Quality Certification Program, Category Cbr, Major Steel Bridges and Fracture Critical endorsement F, when applicable.
B. The welding is performed on pipe pile material at a permanent pipe manufacturing facility authorized to apply the American Petroleum Institute (API) monogram for API 5L pipe.

For welding performed at such facilities, the inspection personnel or NDT firms may be employed or compensated by the facility performing the welding provided the facility maintains a QC program that is independent from production.

Prior to submitting the Welding Quality Control Plan (WQCP) required herein, a prewelding meeting between the Engineer, the Contractor's QCM, and a representative from each entity performing welding or inspection for this project, shall be held to discuss the requirements for the WQCP.

The Contractor shall submit to the Engineer, in conformance with the provisions in Section "Working Drawings," of these special provisions, 2 copies of a separate WQCP for each subcontractor or supplier for each item of work for which welding is to be performed.

The Contractor shall allow the Engineer 2 weeks to review the WQCP submittal after a complete plan has been received. No welding shall be performed until the WQCP is approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

An amended WQCP or any addendum to the approved WQCP shall be submitted to, and approved in writing by the Engineer, for proposed revisions to the approved WQCP. An amended WQCP or addendum will be required for revisions to the WQCP, including but not limited to a revised WPS; additional welders; changes in NDT firms, QC, or NDT personnel or procedures; or updated systems for tracking and identifying welds. The Engineer shall have 10 working days to complete the review of the amended WQCP or addendum. Work affected by the proposed revisions shall not be performed until the amended WQCP or addendum has been approved. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Information regarding the contents, format, and organization of a WQCP, is available at the Transportation Laboratory and at:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

After final approval of the WQCP, amended WQCP, or addendum, the Contractor shall submit 7 copies to the Engineer of the approved documents. A copy of the Engineer approved document shall be available at each location where welding is to be performed.

A daily production log for welding shall be kept for each day that welding is performed. The log shall clearly indicate the locations of all welding. The log shall include the welders' names, amount of welding performed, any problems or deficiencies discovered, and any testing or repair work performed, at each location. The daily report from each QC Inspector shall also be included in the log.

The following items shall be included in a Welding Report that is to be submitted to the Engineer within 2 weeks following the performance of any welding:

A. A daily production log.
B. Reports of all visual weld inspections and NDT.
C. Radiographs and radiographic reports, and other required NDT reports.
D. A summary of welding and NDT activities that occurred during the reporting period.
E. Reports of each application of heat straightening.
F. A summarized log listing the rejected lengths of weld by welder, position, process, joint configuration, and piece number.
G. Documentation that the Contractor has evaluated all radiographs and other nondestructive tests and corrected all rejectable deficiencies, and that all repaired welds have been reexamined using the required NDT and found acceptable.

The following information shall be clearly written on the outside of radiographic envelopes: name of the QCM, name of the nondestructive testing firm, name of the radiographer, date, contract number, complete part description, and all included weld numbers, report numbers, and station markers or views, as detailed in the WQCP. In addition, all interleaves shall have clearly written on them the part description and all included weld numbers and station markers or views, as detailed in the WQCP. A maximum of 2 pieces of film shall be used for each interleave.

Reports of all visual inspections and NDT shall be signed by the inspector or technician and submitted daily to the QCM for review and signature prior to submittal to the Engineer. Corresponding names shall be clearly printed or typewritten next to all signatures. Reports of all NDT, whether specified, additional, or informational, performed by the Contractor shall be submitted to the Engineer.

The Engineer will review the Welding Report to determine if the Contractor is in conformance with the WQCP. Unless otherwise specified, the Engineer shall be allowed 2 weeks to review the report and respond in writing after the complete Welding Report has been received. Prior to receiving notification from the Engineer of the Contractor's conformance with the WQCP, the Contractor may encase in concrete or cover welds for which the Welding Report has been submitted. However, should the Contractor elect to encase or cover those welds prior to receiving notification from the Engineer, it is expressly understood that the Contractor shall not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase or cover welds pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

In addition to the requirements in AWS D1.1 and AWS D1.5, second-time excavations of welds or base metal to repair unacceptable discontinuities, regardless of NDT method, and all repairs of cracks require prior approval of the Engineer.

The Engineer shall be notified immediately in writing when welding problems, deficiencies, base metal repairs, or any other type of repairs not submitted in the WQCP are discovered, and also of the proposed repair procedures to correct them. For requests to perform second-time repairs or repairs of cracks, the Contractor shall include an engineering evaluation of the proposed repair. The engineering evaluation, at a minimum, shall address the following:

A. What is causing each defect?
B. Why the repair will not degrade the material properties?
C. What steps are being taken to prevent similar defects from happening again?

The Contractor shall allow the Engineer one week to review these procedures. No remedial work shall begin until the repair procedures are approved in writing by the Engineer. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The QCM shall sign and furnish to the Engineer, a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each item of work for which welding was performed. The certificate shall state that all of the materials and workmanship incorporated in the work, and all required tests and inspections of this work, have been performed in conformance with the details shown on the plans, the Standard Specifications, and these special provisions.

WELDING FOR OVERHEAD SIGN AND POLE STRUCTURES

The Contractor shall meet the following requirements for any work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

Welding inspection personnel or NDT firms to be used in the work shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project, except for when the welding is performed at a permanent fabrication or manufacturing facility which is certified under the AISC Quality Certification Program, Category Sbd, Conventional Steel Building Structures.

Welding Qualification Audit

Contractors or subcontractors performing welding operations for overhead sign and pole structures shall not deliver materials to the project without having successfully completed the Department's "Manufacturing Qualification Audit for Overhead Sign and Pole Structures," hereinafter referred to as the audit, not more than one year prior to the delivery of the materials. The Engineer will perform the audit. Copies of the audit form, and procedures for requesting and completing the audit, are available at the Transportation Laboratory or at:

http://www.dot.ca.gov/hq/esc/Translab/smbresources.htm

An audit that was approved by the Engineer no more than one year prior to the beginning of work on this contract will be acceptable for the entire period of this contract, provided the Engineer determines the audit was for the same type of work that is to be performed on this contract.

Successful completion of an audit shall not relieve the Contractor of the responsibility for furnishing materials or producing finished work of the quality specified in these special provisions and as shown on the plans.

Welding Report

For work welded in conformance with the provisions in Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, a Welding Report shall be submitted in conformance with the provisions in "Welding Quality Control" of these special provisions.

PAYMENT

Full compensation for conforming to the requirements of "Welding" shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

SECTION 9. DESCRIPTION OF BRIDGE WORK

The bridge work to be done consists, in general, of constructing the following structures as shown on the plans:

SAN FRANCISCO OAKLAND BAY BRIDGE EAST SPAN
YERBA BUENA ISLAND (YBI) TRANSITION STRUCTURES
(Bridge No. 34-0006 L/R)

This bridge consists of two parallel ten-span structures, westbound and eastbound, each approximately 450 meters long and 25 meters wide. The superstructures consist of prestressed concrete box girders. The substructures consist of reinforced concrete columns, and pile supported concrete footings for westbound Bents W8L to W10AL, eastbound Bents W8R to W10R and abutment W11R. All piles are driven steel piles.

The eastbound bridge includes a bike path approximately 6 meters wide extending from Hinge W6RB to station 54+27.150. The bike path consists of transverse reinforced concrete beams supporting precast concrete slabs.

SAN FRANCISCO OAKLAND BAY BRIDGE EAST SPAN
YERBA BUENA ISLAND (YBI) EB ON-RAMP STRUCTURE (INITIAL)
(FOOTINGS AND COLUMNS FOR BENTS W8 AND W9 ONLY)
(Bridge No. 34-0172S)

This bridge consists of five-span box girder bridge approximately 175 meters long and 12 meters wide. The superstructure consists of a prestressed concrete box girder. The substructure consists of reinforced concrete columns and footings supported by driven steel piles. Only footings and columns for Bents W8 and W9 will be constructed under this contract.

SAN FRANCISCO OAKLAND BAY BRIDGE EAST SPAN
YBI TEMPORARY EB ON-RAMP STRUCTURE
(Bridge No. 34-0171S)

This bridge consists of a structure approximately 18 meters long and 4.5 meters wide. The superstructure consists of reinforced concrete deck, steel girders, and concrete bent cap. The substructures consist of driven steel piles and pile supported concrete footings. Bridge abutment was constructed under a separate contract.

SECTION 10. CONSTRUCTION DETAILS

SECTION 10-1. GENERAL

10-1.01 ORDER OF WORK

Order of work shall conform to the provisions in Section 5-1.05, "Order of Work," of the Standard Specifications and these special provisions.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, regarding the designated portion of works, incentives, and liquidated damages.

The contractor shall coordinate separate traffic control systems with the Department and the 04-0120F4 Contractor, 04-0120L4 Contractor, 04-0120M4 Contractor, and 04-0120N4 Contractor to open westbound Route 80, and Eastbound Route 80 to traffic, as specified in "Beginning of Work, Time of Completion and Liquidated Damages," of these special provisions.

Attention is directed to "Migratory Bird Treaty Act" of these special provisions regarding restrictions and requirements around nesting areas.

Attention is directed to "Environmentally Sensitive Areas (General)" and "Temporary Fence (Type ESA)" of these special provisions. Prior to beginning work, the boundaries of the Environmentally Sensitive Areas (ESA) shall be clearly delineated in the field. The boundaries shall be delineated by the installation of temporary fence (Type ESA).

Attention is directed to "Migratory Bird Treaty Act," of these special provisions regarding restrictions and requirements around nesting areas

Attention is directed to "Move-in/Move-out (Temporary Erosion Control)" and "Move-in/Move-out (Erosion Control)," of these special provisions regarding the mobilization of equipment and materials for erosion control work. Application of temporary erosion control and erosion control may require several move in/ move outs of erosion control equipment.

Attention is directed to "Water Pollution Control," of these special provisions regarding permanent water pollution control items of work.

The first order of work shall be to place the order for the electrical equipment, and sign panels. The Engineer shall be furnished a statement from the vendor that the order for the electrical equipment, and sign panels have been received and accepted by the vendor.

The uppermost layer of new pavement shall not be placed until all underlying conduits and loop detectors have been installed.

Prior to commencement of the traffic signal functional test at any location, all items of work related to signal control shall be completed and all roadside signs, pavement delineation, and pavement markings shall be in place at that location.

Attention is directed to "Maintaining Traffic" and "Temporary Pavement Delineation" of these special provisions and to the stage construction sheets of the plans.

Attention is directed to "Progress Schedule (Critical Path Method)" of these special provisions regarding the submittal of a general time-scaled logic diagram within 10 days after approval of the contract. The diagram shall be submitted prior to performing any work that may be affected by any proposed deviations to the construction staging of the project.

The work shall be performed in conformance with the stages of construction shown on the plans. Nonconflicting work in subsequent stages may proceed concurrently with work in preceding stages, provided satisfactory progress is maintained in the preceding stages of construction.

In each stage, after completion of the preceding stage, the first order of work shall be the removal of existing pavement delineation as directed by the Engineer. Pavement delineation removal shall be coordinated with new delineation so that lane lines are provided at all times on traveled ways open to public traffic.

Before obliterating any pavement delineation (traffic stripes, pavement markings, and pavement markers) that is to be replaced on the same alignment and location, as determined by the Engineer, the pavement delineation shall be referenced by the Contractor, with a sufficient number of control points to reestablish the alignment and location of the new pavement delineation. The references shall include the limits or changes in striping pattern, including one- and 2-way barrier lines, limit lines, crosswalks and other pavement markings. Full compensation for referencing existing pavement delineation shall be considered as included in the contract prices paid for new pavement delineation and no additional compensation will be allowed therefor.

Prior to applying hot mix asphalt concrete surfacing or polyester concrete overlay, the Contractor shall cover all manholes, valve and monument covers, grates, or other exposed facilities located within the area of application, using a plastic or oil resistant construction paper secured to the facility being covered by tape or adhesive. The covered facilities shall be referenced by the Contractor, with a sufficient number of control points to relocate the facilities after the hot mix asphalt concrete surfacing or polyester concrete overlay has been placed. After completion of the hot mix asphalt concrete surfacing or polyester concrete overlay operation, all covers shall be removed and disposed of in a manner satisfactory to the Engineer. Full compensation for covering manholes, valve and monument covers, grates, or other exposed facilities, referencing, and removing temporary cover shall be considered as included in the contract price paid per ton for hot mix asphalt concrete surfacing or polyester concrete overlay, or per square meter for polyester concrete overlay of the work involved, and no additional compensation will be allowed therefor.

At those locations exposed to public traffic where guard railings or barriers are to be constructed, reconstructed, or removed and replaced, the Contractor shall schedule operations so that at the end of each working day there shall be no post holes open nor shall there be any railing or barrier posts installed without the blocks and rail elements assembled and mounted thereon.

At least 60 days before applying seeds, furnish the Engineer a statement from the vendor that the order for the seed required for this contract has been received and accepted by the vendor. The statement from the vendor must include the names and quantity of seed ordered and the anticipated date of delivery.

The Engineer designates ground locations of erosion control by directing the placing of stakes or other suitable markers before application of erosion control materials as specified under "Erosion Control (Type D)," of these special provisions.

10-1.02 HEALTH AND SAFETY PLAN

The Contractor shall prepare a detailed Health and Safety Plan for all site personnel, including State personnel, that identifies potential health and safety hazards associated with existing hazardous substances and specifies work practices that will be used to protect workers from those hazards in conformance with the DTSC and CAL-OSHA regulations. At a minimum, the Health and Safety Plan shall identify key site safety personnel, describe risks associated with the work, describe training requirements, describe appropriate personal protective equipment, describe any site-specific medical surveillance requirements, describe any periodic air monitoring requirements, define appropriate site work zones, and describe any decontamination requirements. The Health and Safety Plan shall be submitted at least 15 working days prior to beginning work that may expose personnel to hazardous substances for review and acceptance by the Engineer. Prior to submittal, the Contractor shall have the Health and Safety Plan approved by an industrial hygienist certified by the American Board of Industrial Hygiene.

SAFETY TRAINING

Prior to performing work that may expose personnel to hazardous substances, all personnel, including State personnel, shall complete a safety training program that communicates the potential health and safety hazards associated with work on the site and instructs the personnel in procedures for doing the work safely. The level of training provided shall be consistent with the personnel's job function and conform to CAL-OSHA regulations. The safety training shall be given after acceptance of the Health and Safety Plan by the Engineer. The training, including subsequent training required until completion of the project, shall be provided by the Contractor. The Contractor shall provide a certification of completion of the Safety Training Program to all personnel. Personal protective equipment required by State personnel to inspect the work shall be provided by the Contractor. The number of State personnel requiring the above mentioned safety training program and personal protective equipment will be 15.

PAYMENT

The contract lump sum price paid for health and safety plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing and implementing the health and safety plan, including safety training, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.03 WORKING DRAWINGS SUBMITTAL

The following submittals shall be submitted and approved by the Engineer prior to start of work at the job site except for measuring controlling field dimensions and locating utilities.

1. All falsework, shoring, and excavation plans
2. All fabrication working drawings
3. All concrete mix designs
4. All integrated shop drawings
5. All pile related submittals including fabrication working drawings

Requirements for these submittals are found elsewhere in the Standard Specifications and these special provisions.

Submit within 30 days of contract award, for approval by the Engineer, a schedule of costs detailing the breakdown of the contract lump sum item. The schedule of costs shall be proportionate to the work involved and shall detail the costs and payment schedule for each cost item associated with the process entailed in obtaining approval on all approved working drawing as specified herein. When requested by the Engineer, the Contractor shall furnish any cost data, which might assist the Engineer in verifying one-time partial payments and establishing a suitable schedule of costs. The schedule of costs will be used to determine progress payments during the progress of the work.

Payment will be made in accordance with "Payments," of these special provisions. Payments will not be made for partial submittals, although partial submittals may be accepted, as determined by the Engineer. For a submittal to be considered complete, all items listed under the individual submittal requirements shall be completed and all facets of the operation/element fully developed. Payment will be made in the estimate following approval of each submittal set of the working drawings. Submittals other than those listed herein will not receive early payments, and shall be considered as included in the various contract items involved.

The contract lump sum price paid for working drawings submittal shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in facilitating early resolution of construction working drawings and preparing all the above specified working drawings, in conformance with the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.04 WATER POLLUTION CONTROL

GENERAL

Water pollution control work shall conform to the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications, section of these special provisions entitled "Relations With California Regional Water Quality Control Board," and these special provisions.

The Contractor may obtain other National Pollutant Discharge Elimination System (NPDES) permits that apply to activities and mobile operations within or outside of the project limits including hot mix asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards, or access roads.

The Contractor shall perform water pollution control work in conformance with the requirements in the "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" and its addenda in effect on the day the Notice to Contractors is dated. This manual is referred to as the "Preparation Manual." Copies of the Preparation Manual may be obtained from:

State of California
Department of Transportation
Publication Distribution Unit
1900 Royal Oaks Drive
Sacramento, California 95815
Telephone: (916) 445-3520

The Preparation Manual and other references for performing water pollution control work are available from the Department's Construction Storm Water and Water Pollution Control web site at:

http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm

Before the start of job site activities, the Contractor shall provide training for project managers, supervisory personnel, and employees involved with water pollution control work. The training shall include:

A. Rules and regulations
B. Implementation and maintenance for:

    1. Temporary Soil Stabilization
    2. Temporary Sediment Control
    3. Tracking Control
    4. Wind Erosion Control

The Contractor shall designate in writing a Water Pollution Control Manager (WPCM). The Contractor shall submit a statement of qualifications describing the training, work history, and expertise of the proposed WPCM. The qualifications shall include either:

A. A minimum of 24 hours of Department approved storm water management training described at Department's Construction Storm Water and Water Pollution Control web site.
B. Certification as a Certified Professional in Erosion and Sediment Control (CPESC).

The WPCM shall be:

A. Responsible for water pollution control work.
B. The primary contact for water pollution control work.
C. Have authority to mobilize crews to make immediate repairs to water pollution control practices.

The Contractor may designate one manager to prepare the SWPPP and a different manager to implement the plan. The WPCP preparer shall meet the training requirements for the WPCM.

STORM WATER POLLUTION PREVENTION PLAN

The Contractor shall submit a Storm Water Pollution Prevention Plan (SWPPP) to the Engineer for approval. The SWPPP shall conform to the requirements in the Preparation Manual, the NPDES permit, and these special provisions. The SWPPP shall be submitted in place of the water pollution control program required by the provisions in Section 7-1.01G, "Water Pollution," of the Standard Specifications.

The SWPPP shall include water pollution control practices:

A. For storm water and non-storm water from areas outside of the job site related to construction activities for this contract such as:

    1. Staging areas.
    2. Storage yards.
    3. Access roads.

B. Appropriate for each season as described in "Implementation Requirements" of these special provisions.
C. For activities or mobile operations related to all NPDES permits.

The SWPPP shall include a schedule that:

A. Describes when work activities that could cause water pollution will be performed.
B. Identifies soil stabilization and sediment control practices for disturbed soil area.
C. Includes dates when these practices will be 25, 50, and 100 percent complete.
D. Shows 100 percent completion of these practices before the rainy season.

The SWPPP shall include the following temporary water pollution control practices and their associated contract items of work as shown on the plans or specified in these special provisions:

A. Temporary Soil Stabilization

    1. Temporary Fence (Type ESA)
    2. Temporary Hydraulic Mulch (Bonded Fiber Matrix)
    3. Temporary Cover
    4. Move-In/Move-Out (Temporary Erosion Control)

B. Temporary Sediment Control

1. Temporary Silt Fence
2. Temporary Drainage Inlet Protection
3. Temporary Check Dam
4. Temporary Gravel Bag Berm
5. Street Sweeping

C. Tracking Control

1. Temporary Construction Entrance

D. Wind Erosion Control

1. Temporary Cover

E. Non-Storm Water Management

1. Construction Site Management

F. Waste Management and Materials Pollution Control

1. Construction Site Management
2. Temporary Concrete Washout (Bin)

The SWPPP shall include the following contract items of work for permanent water pollution control as shown on the plans or as specified in these special provisions:

A. Erosion Control (Type D)
B. Move-In/Move-Out (Erosion Control)
C. Erosion Control (Netting)
D. Erosion Control (Type B)
E. Fiber Rolls

Within 20 days after contract approval, the Contractor shall submit 5 copies of the SWPPP and Dewatering Discharge Plan (DDP) to the Engineer. The Contractor shall allow 50 days for the Engineer's review. Attention is directed to " Construction Site Management" of these special provisions of preparation of DDP. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the SWPPP within 15 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete SWPPP and DDP are resubmitted. When the Engineer approves the SWPPP and DDP, the Contractor shall submit 6 copies of the approved SWPPP and DDP to the Engineer for regulatory agency final review and approval. The Engineer will provided final approval from the regulatory agencies within 50 days. After approval, the Engineer will submit one copy of the approved SWPPP and DDP to the San Francisco Bay RWQCB and the SWPPP to San Francisco Bay Conservation Development Commission (BCDC) for their review and comment. If the San Francisco Bay RWQCB and BCDC provide comments to the SWPPP and DDP, the Contractor shall amend the SWPPP and DDP. Construction activities shall begin no sooner than 45 days after the Engineer approves the SWPPP and DDP. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or interfered with because of the Engineer's or the RWQCB's review, the Contractor will be compensated for resulting losses, and an extension of time will be granted, as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The SWPPP shall include, but not limited to, a copy of the following permits, amendments and letters from the following regulatory agencies:

1. Regional Water Quality Control Board, (RWQCB), Order No 010120 Water Quality Certification2. US Army Corps of Engineers (ACOE), Permit No 023013-OS, Issued December 04, 2001 and Letters of Modification
2. San Francisco Bay Conservation and Development Commission (BCDC), Permit 8-01 Issued November 20, 2001, up to current Amendment
3. United States Coast Guard (USCG), Bridge Permit No 3-01-11, Dated December 11, 2001
4. California Department of Fish and Game (CDFG), Incidental Take Permit No 2081-2001-021-01, Issued November 19, 2001

5. US Fish and Wildlife Services (USFWS) Biological Opinion, Issued October 29, 2001
6. National Marine Fisheries Service (NMFS), Biological Opinion Issued October 30, 2001 and Incidental Harassment Authorization Issued May 1, 2007

The Contractor shall not perform work that may cause water pollution until the SWPPP has been approved by the Engineer. The Engineer's review and approval shall not waive any contract requirements and shall not relieve the Contractor from complying with Federal, State and local laws, regulations, and requirements.

The Contractor shall amend the SWPPP annually and shall resubmit it to the Engineer 25 days before the defined rainy season.

If there is a change in construction schedule or activities, the Contractor shall prepare an amendment to the SWPPP to identify additional or revised water pollution control practices. The Contractor shall submit the amendment to the Engineer for review within a time agreed to by the Engineer not to exceed the number of days specified for the initial submittal of the SWPPP. The Engineer will review the amendment within the same time allotted for the review of the initial submittal of the SWPPP.

If directed by the Engineer or requested in writing by the Contractor and approved by the Engineer, changes to the water pollution control work specified in these special provisions will be allowed. Changes may include addition of new water pollution control practices. The Contractor shall incorporate these changes in the SWPPP. Additional water pollution control work will be paid for as extra work in accordance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

The Contractor shall keep a copy of the approved SWPPP at the job site. The SWPPP shall be made available when requested by a representative of the Regional Water Quality Control Board, State Water Resources Control Board, United States Environmental Protection Agency, or the local storm water management agency. Requests from the public shall be directed to the Engineer.

SAMPLING AND ANALYSIS

The Contractor shall include a Sampling and Analysis Plan (SAP) in the SWPPP to monitor the effectiveness of the water pollution control practices. The Contractor shall prepare the SAP in conformance with the Preparation Manual.

The Contractor shall designate trained personnel to collect water quality samples. The personnel and training shall be documented in the SAP. Training shall consist of the following elements:

A. SAP review,
B. Health and safety review, and
C. Sampling simulations.

In the SAP the Contractor shall describe the following water quality sampling procedures:

A. Sampling preparation,
B. Collection,
C. Quality assurance and quality control,
D. Sample labeling,
E. Collection documentation,
F. Sample shipping,
G. Chain of custody,
H. Sample numbering, and
I. Precautions from the construction site health and safety plan.

The Contractor shall document sample collection during precipitation.

Samples to be analyzed in the field shall be taken by the Contractor's designated sampling personnel using collection and analysis methods, and equipment calibration specified by the manufacturer of the sampling equipment. Samples to be analyzed by a laboratory, shall be sampled, preserved, and analyzed by a State-certified laboratory in conformance with the requirements in 40 CFR Part 136, "Guidelines Establishing Test Procedures for the Analysis of Pollutants." The Contractor shall identify the State-certified laboratory, sample containers, preservation requirements, holding times, and analysis method in the SAP. A list of State-certified laboratories that are approved by the Department is available at:

http://www.dhs.ca.gov/ps/ls/ELAP/html/lablist.htm

Non-Visible Pollutants

This project has the potential to discharge non-visible pollutants in storm water from the construction site. The Contractor shall include in the SAP a description of the sampling and analysis strategy to be implemented on the project for monitoring non-visible pollutants.

In the SAP the Contractor shall identify potential non-visible pollutants that will be present on the construction site associated with the following:

A. Construction materials and wastes;
B. Existing contamination due to historical site usage; or
C. Application of soil amendments, including soil stabilization products, with the potential to alter pH or contribute toxic pollutants to storm water.

The Contractor shall show the locations planned for storage and use of the potential non-visible pollutants on the SWPPP Water Pollution Control Drawings.

The Contractor shall include in the SAP the following list of conditions that require sampling when observed during a storm water inspection:

A. Materials or wastes containing potential non-visible pollutants are not stored under watertight conditions.
B. Materials or wastes containing potential non-visible pollutants are stored under watertight conditions, but:

    1. A breach, leakage, malfunction, or spill is observed;
    2. The leak or spill has not been cleaned up before precipitation; and
    3. There is the potential for discharge of non-visible pollutants to surface waters or drainage system.

C. Construction activities; such as application of fertilizer, pesticide, herbicide, methyl methacrylate concrete sealant, or non-pigmented curing compound; have occurred during precipitation or within 24 hours preceding precipitation, and have the potential to discharge pollutants to surface waters or drainage system.
D. Soil amendments, including soil stabilization products, with the potential to alter pH levels or contribute toxic pollutants to storm water runoff have been applied, and have the potential to discharge pollutants to surface waters or drainage system (unless independent test data are available that demonstrate acceptable concentrations of non-visible pollutants in the soil amendment).
E. Storm water runoff from an area contaminated by historical usage of the site has the potential to discharge pollutants to surface waters or drainage system.

The Contractor shall describe in the SAP the schedule for collecting a sample downhill from each non-visible pollutant source and an uncontaminated control sample, during the first 2 hours of discharge from precipitation during daylight hours that result in enough discharge for sample collection. If discharge flows to the non-visible pollutant source, a sample shall be collected immediately downhill from where the discharge enters the Department's right of way. If precipitation occurs again after at least 72 hours of dry weather the Contractor shall take new samples.

In the SAP the Contractor shall identify sampling locations for collecting downstream and control samples, and the reason for their selection. The control sampling location shall be selected so the sample does not come into contact with materials, wastes or areas associated with potential non-visible pollutants or disturbed soil areas. The Contractor shall show non-visible pollutant sampling locations on the SWPPP Water Pollution Control Drawings.

The Contractor shall identify in the SAP the analytical method to be used for downhill and control samples for potential non-visible pollutants on the project.

Analytical Results and Evaluation

The Contractor shall submit a hard copy and electronic copy of water quality analytical results, and quality assurance and quality control data to the Engineer within 5 days of sampling for field analyses, and within 30 days for laboratory analyses. The Contractor shall also provide an evaluation of whether the downhill samples show levels of the tested parameter higher than in the control sample. If downhill or downstream samples show increased levels, the Contractor will assess the water pollution control measures, site conditions, and surrounding influences to determine the probable cause for the increase. As determined by the assessment, the Contractor will repair or modify water pollution control measures to address increases and amend the SWPPP as necessary. Electronic results (in one of the following file formats: .xls, .txt, .csv, .dbs, or .mdb) shall have the following information:

A. Sample identification number.
B. Contract number.
C. Constituent.
D. Reported value.
E. Analytical method.
F. Method detection limit.
G. Reported limit.

The Contractor shall maintain the water quality sampling documentation and analytical results with the SWPPP on the project site.

If construction activities or knowledge of site conditions change such that discharges or sampling locations change, the Contractor shall amend the SAP in conformance with this section, "Water Pollution Control."

IMPLEMENTATION REQUIREMENTS

The Contractor's responsibility for SWPPP implementation shall continue throughout any temporary suspension of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications.

If the Contractor or the Engineer identifies a deficiency in the implementation of the approved SWPPP, the deficiency shall be corrected immediately, unless an agreed date for correction is approved in writing by the Engineer. The deficiency shall be corrected before the onset of precipitation. If the Contractor fails to correct the deficiency by the agreed date or before the onset of precipitation, the Department may correct the deficiency and deduct the cost of correcting deficiencies from payments.

If the Contractor fails to conform to the provisions of this section, "Water Pollution Control," the Engineer may order the suspension of work until the project complies with the requirements of this section.

Year-Round

The Contractor shall monitor the National Weather Service weather forecast on a daily basis during the contract. The Contractor may use an alternative weather forecasting service if approved by the Engineer. Appropriate water pollution control practices shall be in place before precipitation.

The Contractor may discontinue earthwork operations for a disturbed area for up to 21 days and the disturbed soil area will still be considered active. When earthwork operations in the disturbed area have been completed, the Contractor shall implement appropriate water pollution control practices within 15 days, or before predicted precipitation, whichever occurs first.

Rainy Season

The Contractor shall provide soil stabilization and sediment control practices during the rainy season between October 15 and April 15.

The Contractor shall implement soil stabilization and sediment control practices a minimum of 10 days before the start of the rainy season.

During the defined rainy season, the active disturbed soil area of the project site shall be not more than 2.0 hectares. The Engineer may approve expansions of the active disturbed soil area limit if requested in writing. The Contractor shall maintain soil stabilization and sediment control materials on site to protect disturbed soil areas.

INSPECTION AND MAINTENANCE

The WPCM shall inspect the water pollution control practices identified in the SWPPP as follows:

A. Before a forecasted storm,
B. After precipitation that causes site runoff,
C. At 24-hour intervals during extended precipitation,
D. On a predetermined schedule, a minimum of once every 2 weeks outside of the defined rainy season, and
E. On a predetermined schedule, a minimum of once a week during the defined rainy season.

The WPCM shall oversee the maintenance of the water pollution control practices.

The WPCM shall use the Storm Water Quality Construction Site Inspection Checklist provided in the Preparation Manual or an alternative inspection checklist provided by the Engineer. A copy of the completed site inspection checklist shall be submitted to the Engineer within 24 hours of finishing the inspection.

REPORTING REQUIREMENTS

If the Contractor identifies discharges into surface waters or drainage systems causing or potentially causing pollution, or if the project receives a written notice or order from a regulatory agency, the Contractor shall immediately inform the Engineer. The Contractor shall submit a written report to the Engineer within 7 days of the discharge, notice or order. The report shall include the following information:

A. The date, time, location, and nature of the operation, type of discharge and quantity, and the cause of the notice or order.
B. The water pollution control practices used before the discharge, or before receiving the notice or order.
C. The date of placement and type of additional or altered water pollution control practices placed after the discharge, or after receiving the notice or order.
D. A maintenance schedule for affected water pollution control practices.

Annual Certifications

By June 15 of each year, the Contractor shall complete and submit to the Engineer an Annual Certification of Compliance, as contained in the Preparation Manual.

PAYMENT

During each estimate period the Contractor fails to conform to the provisions in this section, "Water Pollution Control," or fails to implement the water pollution control practices shown on the plans or specified elsewhere in these special provisions as items of work, the Department will withhold 25 percent of the progress payment.

Withholds for failure to perform water pollution control work will be in addition to all other withholds provided for in the contract. The Department will return performance-failure withholds in the progress payment following the correction of noncompliance.

The contract lump sum price paid for prepare storm water pollution prevention plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing, obtaining approval of, and amending the SWPPP and inspecting water pollution control practices as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for prepare storm water pollution prevention plan will be made as follows:

A. After the SWPPP has been approved by the Engineer, 50 percent of the contract item price for prepare storm water pollution prevention plan will be included in the monthly progress estimate.
B. Forty percent of the contract item price for prepare storm water pollution prevention plan will be paid over the life of the contract.
C. After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, payment for the remaining 10 percent of the contract item price for prepare storm water pollution prevention plan will be made in conformance with the provisions in Section 9-1.07A, "Payment Prior to Proposed Final Estimate."

Storm water sampling and analysis will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications. No payment will be made for the preparation, collection, analysis, and reporting of storm water samples where appropriate water pollution control practices are not implemented before precipitation or if a failure of a water pollution control practice is not corrected before precipitation.

Implementation of water pollution control practices in areas outside the highway right of way not specifically provided for in the SWPPP or in these special provisions will not be paid for.

Water pollution control practices for which there are separate contract items of work will be measured and paid for as those contract items of work.

10-1.05 CONSTRUCTION SITE MANAGEMENT

Construction site management shall consist of controlling potential sources of water pollution before they come in contact with storm water systems or watercourses. The Contractor shall control material pollution and manage waste and non-storm water existing at the construction site by implementing effective handling, storage, use, and disposal practices.

Attention is directed to "Water Pollution Control" of these special provisions regarding the Contractor's appointment of a water pollution control manager (WPCM) for the project.

The Contractor shall train all employees and subcontractors regarding:

A. Material pollution prevention and control;
B. Waste management;
C. Non-storm water management;
D. Identifying and handling hazardous substances; and
E. Potential dangers to humans and the environment from spills and leaks or exposure to toxic or hazardous substances.

Training shall take place before starting work on this project. New employees shall receive the complete training before starting work on this project. The Contractor shall have regular meetings to discuss and reinforce spill prevention and control; material delivery, storage, use, and disposal; waste management; and non-storm water management procedures.

Instructions for material and waste handling, storage, and spill reporting and cleanup shall be posted at all times in an open, conspicuous, and accessible location at the construction site.

Nonhazardous construction site waste and excess material shall be recycled when practical or disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, unless otherwise specified.

Vehicles and equipment at the construction site shall be inspected by the WPCM on a frequent, predetermined schedule, and by the operator each day of use. Leaks shall be repaired immediately, or the vehicle or equipment shall be removed from the construction site.

SPILL PREVENTION AND CONTROL

The Contractor shall implement spill and leak prevention procedures when chemicals or hazardous substances are stored. Spills of petroleum products; substances listed under CFR Title 40, Parts 110, 117, and 302; and sanitary and septic waste shall be contained and cleaned up as soon as is safe.

Minor spills involve small quantities of oil, gasoline, paint, or other material that can be controlled by the first responder upon discovery of the spill. Cleanup of minor spills includes:

A. Containing the spread of the spill,
B. Recovering the spilled material using absorption,
C. Cleaning the contaminated area, and
D. Disposing of contaminated material promptly and properly.

Semi-significant spills are those that can be controlled by the first responder with the help of other personnel. Cleanup of semi-significant spills shall be immediate. Cleanup of semi-significant spills includes:

A. Containing the spread of the spill;
B. Recovering the spilled material using absorption if the spill occurs on paved or an impermeable surface;
C. Containing the spill with an earthen dike and digging up contaminated soil for disposal if the spill occurs on dirt;
D. Covering the spill with plastic or other material to prevent contaminating runoff if the spill occurs during precipitation; and
E. Disposing of contaminated material promptly and properly.

Significant or hazardous spills are those that cannot be controlled by construction personnel. Notifications of these spills shall be immediate. The following steps shall be taken:

A. Construction personnel shall not attempt to cleanup the spill until qualified staff have arrived;
B. Notify the Engineer and follow up with a written report;
C. Obtain the services of a spills contractor or hazardous material team immediately;
D. Notify the local emergency response team by dialing 911 and county officials at the emergency phone numbers kept on the construction site;
E. Notify the Governor's Office of Emergency Services Warning Center at (805) 852-7550;
F. Notify the National Response Center at (800) 424-8802 regarding spills of Federal reportable quantities in conformance with CFR Title 40, Parts 110, 119, and 302;
G. Notify other agencies as appropriate, including:

    1. Fire Department,
    2. Public Works Department,
    3. Coast Guard,
    4. Highway Patrol,
    5. City Police or County Sheriff Department,
    6 Department of Toxic Substances,

7. California Division of Oil and Gas,
8. Cal OSHA, or
9. Regional Water Resources Control Board.

The WPCM shall oversee and enforce proper spill prevention and control measures. Minor, semi-significant, and significant spills shall be reported to the Contractor's WPCM who shall notify the Engineer immediately.

The Contractor shall prevent spills from entering storm water runoff before and during cleanup. Spills shall not be buried or washed with water.

The Contractor shall keep material or waste storage areas clean, well organized, and equipped with enough cleanup supplies for the material being stored. Plastic shall be placed under paving equipment when not in use to catch drips.

MATERIAL MANAGEMENT

Material shall be delivered, used, and stored for this contract in a manner that minimizes or eliminates discharge of material into the air, storm drain systems, or watercourses.

The Contractor shall implement the practices described in this section when taking delivery of, using, or storing the following materials:

A. Hazardous chemicals including:

   1. Acids,
   2. Lime,
   3. Glues,
   4. Adhesives,
   5. Paints,
   6. Solvents, and
   7. Curing compounds;

B. Soil stabilizers and binders;
C. Fertilizers;
D. Detergents;
E. Plaster;
F. Petroleum products including:

   1. Fuel,
   2. Oil, and
   3. Grease;

G. Asphalt components and concrete components; and
H. Pesticides and herbicides.

The Contractor shall supply the Material Safety Data Sheet to the Engineer for material used or stored. The Contractor shall keep an accurate inventory of material delivered and stored at the construction site.

Employees trained in emergency spill cleanup procedures shall be present when hazardous materials or chemicals are unloaded.

The Contractor shall use recycled or less hazardous products when practical.

Material Storage

The Contractor shall store liquids, petroleum products, and substances listed in CFR Title 40, Parts 110, 117, and 302 in containers or drums approved by the United States Environmental Protection Agency, and place them in secondary containment facilities.

Secondary containment facilities shall be impervious to the materials stored there for a minimum contact time of 72 hours.

Throughout the rainy season secondary containment facilities shall be covered during non-working days and when precipitation is predicted. Secondary containment facilities shall be adequately ventilated.

The Contractor shall keep the secondary containment facility free of accumulated rainwater or spills. After precipitation, or in the event of spills or leaks, accumulated liquid shall be collected and placed into drums within 24 hours. These liquids shall be handled as hazardous waste in accordance with the provisions in "Hazardous Waste" of these special provisions, unless testing determines them to be nonhazardous.

Incompatible materials, such as chlorine and ammonia, shall not be stored in the same secondary containment facility.

Materials shall be stored in the original containers with the original product labels maintained in legible condition. Damaged or illegible labels shall be replaced immediately.

The secondary containment facility shall have the capacity to contain precipitation from a 24-hour-long, 25-year storm; and 10 percent of the aggregate volume of all containers, or all of the volume of the largest container within the facility, whichever is greater.

The Contractor shall store bagged or boxed material on pallets. Throughout the rainy season, bagged or boxed material shall be protected from wind and rain during non-working days and when precipitation is predicted.

The Contractor shall provide sufficient separation between stored containers to allow for spill cleanup or emergency response access. Storage areas shall be kept clean, well organized, and equipped with cleanup supplies appropriate for the materials being stored.

The Contractor shall repair or replace perimeter controls, containment structures, covers, and liners as needed. Storage areas shall be inspected before and after precipitation, and at least weekly during other times.

Stockpile Management

The Contractor shall reduce or eliminate potential air and water pollution from stockpiled material including soil, paving material, or pressure treated wood. Stockpiles shall be located out of floodplains when possible, and at least 15 m from concentrated flows of storm water, drainage courses, or inlets unless written approval is obtained from the Engineer.

The Contractor may discontinue adding or removing material for up to 21 days and a stockpile will still be considered active.

The Contractor shall protect active stockpiles with plastic or geotextile cover, soil stabilization measures, or with linear sediment barrier when precipitation is predicted. Active stockpiles of cold mix asphalt concrete shall be placed on an impervious surface and covered with plastic when precipitation is predicted.

The Contractor shall protect inactive soil stockpiles with a plastic or geotextile cover, or with soil stabilization measures at all times during the rainy season. A linear sediment barrier around the perimeter of the stockpile shall also be used. During the non-rainy season soil stockpiles shall be covered and protected with a linear sediment barrier when precipitation is predicted. The Contractor shall control wind erosion during dry weather as provided in Section 10, "Dust Control," of the Standard Specifications.

Stockpiles of portland cement concrete rubble, asphalt concrete (AC), hot mix asphalt (HMA), AC and HMA rubble, aggregate base, or aggregate subbase shall be covered with plastic or geotextile, or protected with a linear sediment barrier at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of cold mix asphalt concrete shall be placed on and covered with impermeable material at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

Stockpiles of pressure treated wood shall be covered with impermeable material and placed on pallets at all times during the rainy season, and when precipitation is predicted during the non-rainy season.

The Contractor shall repair or replace linear sediment barriers and covers as needed or as directed by the Engineer to keep them functioning properly. Sediment shall be removed when it accumulates to 1/3 of the linear sediment barrier height.

WASTE MANAGEMENT

Solid Waste

The Contractor shall not allow litter or debris to accumulate anywhere on the construction site, including storm drain grates, trash racks, and ditch lines. The Contractor shall pick up and remove trash and debris from the construction site at least once a week. The WPCM shall monitor solid waste storage and disposal procedures on the construction site. The Contractor shall provide enough dumpsters of sufficient size to contain the solid waste generated by the project. Dumpsters shall be emptied when refuse reaches the fill line. Dumpsters shall be watertight. The Contractor shall not wash out dumpsters on the construction site. The Contractor shall provide additional containers and more frequent pickup during the demolition phase of construction

Solid waste includes:

A. Brick,
B. Mortar,
C. Timber,
D. Metal scraps,
E. Sawdust,
F. Pipe,
G. Electrical cuttings,
H. Non-hazardous equipment parts,
I. Styrofoam and other packaging materials,

J. Vegetative material and plant containers from highway planting, and
K. Litter and smoking material, including litter generated randomly by the public.

Trash receptacles shall be provided and used in the Contractor's yard, field trailers, and locations where workers gather for lunch and breaks.

Hazardous Waste

The Contractor shall implement hazardous waste management practices when waste is generated on the construction site from the following substances:

A. Petroleum products,
B. Asphalt products,
C. Concrete curing compound,
D. Pesticides,
E. Acids,
F. Paints,
G. Stains,
H. Solvents,
I. Wood preservatives,
J. Roofing tar, and
K. Materials classified as hazardous by California Code of Regulations, Title 22, Division 4.5; or listed in CFR Title 40, Parts 110, 117, 261, or 302.

Nothing in these special provisions shall relieve the Contractor of the responsibility for compliance with Federal, State, and local laws regarding storage, handling, transportation, and disposal of hazardous wastes.

Hazardous material existing on the construction site before mobilization shall be handled and disposed of in accordance with "Contaminated and Hazardous Material Excavation," of these special provisions.

The WPCM shall oversee and enforce hazardous waste management practices. Production of hazardous materials and hazardous waste on the construction site shall be kept to a minimum. Perimeter controls, containment structures, covers, and liners shall be repaired or replaced when damaged.

The Contractor shall have a laboratory certified by the Department of Health Services (DHS) sample and test waste when hazardous material levels are unknown to determine safe methods for storage and disposal.

The Contractor shall segregate potentially hazardous waste from nonhazardous waste at the construction site. Hazardous waste shall be handled, stored, and disposed of as required in California Code of Regulations, Title 22, Division 4.5, Section 66262.34; and in CFR Title 49, Parts 261, 262, and 263.

The Contractor shall store hazardous waste in sealed containers constructed and labeled with the contents and date accumulated as required in California Code of Regulations, Title 22, Division 4.5; and in CFR Title 49, Parts 172, 173, 178, and 179. Hazardous waste containers shall be kept in temporary containment facilities conforming to the provisions in "Material Storage" of these special provisions.

There shall be adequate storage volume and containers shall be conveniently located for hazardous waste collection. Containers of hazardous waste shall not be overfilled and hazardous wastes shall not be mixed. Containers of dry waste that are not watertight shall be stored on pallets. The Contractor shall not allow potentially hazardous waste to accumulate on the ground. Hazardous waste shall be stored away from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall clean water based or oil based paint from brushes or equipment within a contained area and shall not contaminate soil, watercourses, or storm drain systems. Paints, thinners, solvents, residues, and sludges that cannot be recycled or reused shall be disposed of as hazardous waste. When thoroughly dry, latex paint and paint cans, used brushes, rags, absorbent materials, and drop cloths shall be disposed of as solid waste.

The Contractor shall dispose of hazardous waste within 90 days of being generated. Hazardous waste shall be disposed of by a licensed hazardous waste transporter using uniform hazardous waste manifest forms and taken to a Class I Disposal Site. A copy of the manifest shall be provided to the Engineer.

Contaminated Soil

The Contractor shall identify contaminated soil from spills or leaks by noticing discoloration, odors, or differences in soil properties. Soil with evidence of contamination shall be sampled and tested by a laboratory certified by DHS. If levels of contamination are found to be hazardous, the soil shall be handled and disposed of as hazardous waste.

The Contractor shall prevent the flow of water, including ground water, from mixing with contaminated soil by using one or a combination of the following measures:

A. Berms,
B. Cofferdams,
C. Grout curtains,
D. Freeze walls, or
E. Concrete seal course.

If water mixes with contaminated soil and becomes contaminated, the water shall be sampled and tested by a laboratory certified by the DHS. If levels of contamination are found to be hazardous, the water shall be handled and disposed of as hazardous waste.

Concrete Waste

The Contractor shall implement practices to prevent the discharge of portland cement concrete, AC, or HMA waste into storm drain systems or watercourses.

Portland cement concrete, AC, or HMA waste shall be collected at the following locations and disposed of:

A. Where concrete material, including grout, is used;
B. Where concrete dust and debris result from demolition;
C. Where sawcutting, coring, grinding, grooving, or hydro-concrete demolition of portland cement concrete, AC, or HMA creates a residue or slurry; or
D. Where concrete trucks or other concrete-coated equipment is cleaned at the construction site.

Sanitary and Septic Waste

Wastewater from sanitary or septic systems shall not be discharged or buried within the Department right of way. The WPCM shall inspect sanitary or septic waste storage and monitor disposal procedures at least weekly. Sanitary facilities that discharge to the sanitary sewer system shall be properly connected and free from leaks.

The Contractor shall obtain written approval from the local health agency, city, county, and sewer district before discharging from a sanitary or septic system directly into a sanitary sewer system, and provide a copy to the Engineer. The Contractor shall comply with local health agency requirements when using an on-site disposal system.

Liquid Waste

The Contractor shall not allow construction site liquid waste, including the following, to enter storm drain systems or watercourses:

A. Drilling slurries or fluids,
B. Grease-free or oil-free wastewater or rinse water,
C. Dredgings,
D. Liquid waste running off a surface including wash or rinse water, or
E. Other non-storm water liquids not covered by separate permits.

The Contractor shall hold liquid waste in structurally sound, leak proof containers such as:

A. Sediment traps,
B. Roll-off bins, or
C. Portable tanks.

Liquid waste containers shall be of sufficient quantity and volume to prevent spills and leaks. The containers shall be stored at least 15 m from storm drains, watercourses, moving vehicles, and equipment.

The Contractor shall remove and dispose of deposited solids from sediment traps as provided in "Solid Waste" of these special provisions, unless determined infeasible by the Engineer.

Liquid waste may require testing to determine hazardous material content before disposal.

Drilling fluids and residue shall be disposed of outside the highway right of way. If the Engineer determines that an appropriate location is available, fluids and residue exempt under California Code of Regulations, Title 23, Section 2511(g) may be dried by infiltration and evaporation in a leak proof container. The remaining solid waste may be disposed of as provided in "Solid Waste" of these special provisions.

NON-STORM WATER MANAGEMENT

Water Control and Conservation

The Contractor shall prevent erosion or the discharge of pollutants into storm drain systems or watercourses by managing the water used for construction operations. The Contractor shall obtain the Engineer's approval before washing anything on the construction site with water that could discharge into a storm drain system or watercourse. Discharges shall be reported to the Engineer immediately.

The Contractor shall implement water conservation practices when water is used on the construction site. Irrigation areas shall be inspected and watering schedules shall be adjusted to prevent erosion, excess watering, or runoff. The Contractor shall shut off the water source to broken lines, sprinklers, or valves, and they shall be repaired as soon as possible. When possible, water from waterline flushing shall be reused for landscape irrigation. Paved areas shall be swept and vacuumed, not washed with water.

Construction water runoff, including water from water line repair, shall be directed to areas to infiltrate into the ground and shall not be allowed to enter storm drain systems or watercourses. Spilled water shall not be allowed to escape water truck filling areas. When possible, the Contractor shall direct water from off-site sources around the construction site, or shall minimize contact with the construction site.

Illegal Connection and Discharge Detection and Reporting

The Contractor shall inspect the construction site and the site perimeter before beginning work for evidence of illegal connections, discharges, or dumping. Subsequently, the construction site and perimeter shall be inspected on a frequent, predetermined schedule.

The Contractor shall immediately notify the Engineer when illegal connections, discharges, or dumping are discovered. The Contractor shall take no further action unless directed by the Engineer. Unlabeled or unidentifiable material shall be assumed to be hazardous.

The Contractor shall look for the following evidence of illegal connections, discharges, or dumping:

A. Debris or trash piles,
B. Staining or discoloration on pavement or soils,
C. Pungent odors coming from drainage systems,
D. Discoloration or oily sheen on water,
E. Stains or residue in ditches, channels or drain boxes,
F. Abnormal water flow during dry weather,
G. Excessive sediment deposits,
H. Nonstandard drainage junction structures, or
I. Broken concrete or other disturbances near junction structures.

Vehicle and Equipment Cleaning

The Contractor shall limit vehicle and equipment cleaning or washing on the construction site to that necessary to control vehicle tracking or hazardous waste. Vehicles and equipment shall not be cleaned on the construction site with soap, solvents, or steam until the Engineer has been notified. The resulting waste shall be contained and recycled, or disposed of as provided in "Liquid Waste" or "Hazardous Waste" of these special provisions, whichever is applicable. The Contractor shall not use diesel to clean vehicles or equipment, and shall minimize the use of solvents.

The Contractor shall clean or wash vehicles and equipment in a structure equipped with disposal facilities. If using a structure is not possible, vehicles and equipment shall be cleaned or washed in an outside area with the following characteristics:

A. Located at least 15 m from storm drainage systems or watercourses,
B. Paved with AC, HMA or portland cement concrete,
C. Surrounded by a containment berm, and
D. Equipped with a sump to collect and dispose of wash water.

When washing vehicles or equipment with water, the Contractor shall use as little water as possible. Hoses shall be equipped with a positive shutoff valve.

Wash racks shall discharge to a recycle system or to another system approved by the Engineer. Sumps shall be inspected regularly, and liquids and sediments shall be removed as needed.

Vehicle and Equipment Fueling and Maintenance

The Contractor shall fuel or perform maintenance on vehicles and equipment off the construction site whenever practical. When fueling or maintenance must be done at the construction site, the Contractor shall designate a site, or sites, and obtain approval from the Engineer before using. The fueling or maintenance site shall be protected from storm water, shall be on level ground, and shall be located at least 15 m from drainage inlets or watercourses. The WPCM shall inspect the fueling or maintenance site regularly. Mobile fueling or maintenance shall be kept to a minimum.

The Contractor shall use containment berms or dikes around the fueling and maintenance area. Adequate amounts of absorbent spill cleanup material and spill kits shall be kept in the fueling and maintenance area and on fueling trucks. Spill cleanup material and kits shall be disposed of immediately after use. Drip pans or absorbent pads shall be used during fueling or maintenance unless performed over an impermeable surface.

Fueling or maintenance operations shall not be left unattended. Fueling nozzles shall be equipped with an automatic shutoff control. Vapor recovery fueling nozzles shall be used where required by the Air Quality Management District. Nozzles shall be secured upright when not in use. Fuel tanks shall not be topped-off.

The Contractor shall recycle or properly dispose of used batteries and tires.

Material and Equipment Used Over Water

Drip pans and absorbent pads shall be placed under vehicles or equipment used over water, and an adequate supply of spill cleanup material shall be kept with the vehicle or equipment. Drip pans or plastic sheeting shall be placed under vehicles or equipment on docks, barges, or other surfaces over water when the vehicle or equipment will be idle for more than one hour.

The Contractor shall provide watertight curbs or toe boards on barges, platforms, docks, or other surfaces over water to contain material, debris, and tools. Material shall be secured to prevent spills or discharge into water due to wind.

Structure Removal Over or Adjacent to Water

The Contractor shall not allow demolished material to enter storm water systems or watercourses. The Contractor shall use covers and platforms approved by the Engineer to collect debris. Attachments shall be used on equipment to catch debris on small demolition operations. Debris catching devices shall be emptied regularly and debris shall be handled as provided in "Waste Management" of these special provisions.

The WPCM shall inspect demolition sites within 15 m of storm water systems or watercourses every day.

Paving, Sealing, Sawcutting, and Grinding Operations

The Contractor shall prevent the following material from entering storm drain systems or water courses:

A. Cementitious material,
B. Asphaltic material,
C. Aggregate or screenings,
D. Grinding or sawcutting residue,
E. Pavement chunks, or
F. Shoulder backing.

The Contractor shall cover drainage inlets and use linear sediment barriers to protect downhill watercourses until paving, sealing, sawcutting, or grinding operations are completed and excess material has been removed. Drainage inlets and manholes shall be covered during the application of seal coat, tack coat, slurry seal, or fog seal.

During the rainy season or when precipitation is predicted, paving, sawcutting, and grinding operations shall be limited to places where runoff can be captured. Seal coat, tack coat, slurry seal, or fog seal operations shall not begin if precipitation is predicted for the application or the curing period. The Contractor shall not excavate material from existing roadways during precipitation.

The Contractor shall vacuum up slurry from sawcutting operations immediately after the slurry is produced. Slurry shall not be allowed to run onto lanes open to public traffic or off the pavement.

The Contractor shall collect residue from portland cement concrete grinding operations with a vacuum attachment on the grinding machine. The residue shall not be left on the pavement or allowed to flow across the pavement.

Material excavated from existing roadways may be stockpiled as provided in "Stockpile Management" of these special provisions if approved by the Engineer. AC or HMA chunks used in embankment shall be placed above the water table and covered by at least 0.3-m of material.

Substances used to coat asphalt trucks and equipment shall not contain soap, foaming agents, or toxic chemicals.

Thermoplastic Striping and Pavement Markers

Thermoplastic striping and preheating equipment shutoff valves shall work properly at all times when on the construction site. The Contractor shall not preheat, transfer, or load thermoplastic within 15 m of drainage inlets or watercourses. The Contractor shall not fill the preheating container to more than 150 mm from the top. Truck beds shall be cleaned daily of scraps or melted thermoplastic.

The Contractor shall not unload, transfer, or load bituminous material for pavement markers within 15 m of drainage inlets or watercourses. All pressure shall be released from melting tanks before removing the lid to fill or service. Melting tanks shall not be filled to more than 150 mm from the top.

The Contractor shall collect bituminous material from the roadway after marker removal.

Pile Driving

The Contractor shall keep spill kits and cleanup material at pile driving locations. Pile driving equipment shall be parked over drip pans, absorbent pads, or plastic sheeting where possible. When not in use, pile driving equipment shall be stored at least 15 m from concentrated flows of storm water, drainage courses, or inlets. The Contractor shall protect pile driving equipment by parking it on plywood and covering it with plastic when precipitation is predicted. The WPCM shall inspect the pile driving area every day for leaks and spills.

The Contractor shall use vegetable oil instead of hydraulic fluid when practical.

Concrete Curing

The Contractor shall not overspray chemical curing compound. Drift shall be minimized by spraying as close to the concrete as possible. Drainage inlets shall be covered before applying curing compound.

The Contractor shall minimize the use and discharge of water by using wet blankets or similar methods to maintain moisture when curing concrete.

Concrete Finishing

The Contractor shall collect and dispose of water and solid waste from high-pressure water blasting. Drainage inlets within 15 m shall be covered before sandblasting. The nozzle shall be kept as close to the surface of the concrete as possible to minimize drift of dust and blast material. Blast residue may contain hazardous material.

Containment structures for concrete finishing operations shall be inspected for damage before each day of use and before predicted precipitation. Liquid and solid waste shall be removed from the containment structure after each work shift.

PAYMENT

The contract lump sum price paid for construction site management shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in spill prevention and control, material management, waste management, non-storm water management, and dewatering and identifying, sampling, testing, handling, and disposing of hazardous waste, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.06 TEMPORARY ACTIVE TREATMENT SYSTEM

GENERAL

Summary

This work includes designing, placing, operating, monitoring, maintaining, and later removing a temporary active treatment system. The active treatment system shall be used to remove sediment, turbidity, and other pollutants from uncontaminated groundwater, stormwater or both and then discharge the treated water.

The SWPPP must describe and include the use of temporary active treatment system as a water pollution control practice for non-stormwater management and materials pollution control.

Your attention is directed to the Department's "Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual" (Preparation Manual) and "Field Guide to Construction Site Dewatering" (Dewatering Guide) for documents referenced in this section.

A Non-Stormwater Information Package (Information Package) has been prepared for this contract and is available as described in "Supplemental Project Information" of these special provisions. This Information Package includes the following information: information on the estimated groundwater seepage rates in the project areas; and Publicly Owned Treatment Works (POTW) facility information. Copies of the Information Package are available for inspection at the office of the District Construction Duty Senior at 111 Grand Avenue Oakland, CA 94612, Telephone (510) 286-5209 or email dutysenior-district 04@dot.ca.gov.

If you do not use an active treatment system, then you must discharge to a Publicly Owned Treatment Works (POTW) system. If uncontaminated groundwater, stormwater or both are discharged to a POTW, then you must obtain a municipal batch discharge permit. You are responsible for all costs and requirements related to obtaining the municipal batch discharge permit and discharging the water.

Submittals

At least 70 days before dewatering operations start, submit:

1. A dewatering and discharge plan (DDP):

    1.1 Title sheet
    1.2 Table of contents
    1.3 Certification and approval sheet (Section 100 of the Preparation Manual)
    1.4 Amendment log and format (Section 200 of the Preparation Manual)
    1.5 Description and schedule of the dewatering and discharge operations
    1.6 Discharge alternatives, including: reuse of treated water for dust control, irrigation, fill compaction, concrete batch plant; percolation; storm sewers; and surface waters
    1.7 Treatment system description and components
    1.8 Anticipated flow rates
    1.9 Operation and system maintenance procedures and example maintenance log
    1.10 Field-recorded data, visual inspection, and calibration procedures and example logs
    1.11 Measuring equipment descriptions
    1.12 Working drawings of dewatering and discharge operations showing:

        1.12.1 Section and plan views of storm water effluent treatment systems
        1.12.2 Location of sampling points for water quality measurements
        1.12.3 Flow path and placement of pipes, hoses, pumps, holding tanks, and other equipment used to convey water
        1.12.4 General position of treatment dewatering and discharge components relative to excavations or other operations requiring dewatering
        1.12.5 Point of storm water discharge

2. Daily Inspection Report (DIR). The DIR form shall:

    2.1 Be approved by the Engineer prior to use
    2.2 Include discharge volumes
    2.3 Include water quality monitoring records
    2.4 Include discharge point information for:

        2.4.1 Date and time
        2.4.2 Weather conditions including wind direction and velocity
        2.4.3 The presence or absence of water fowl or aquatic wildlife
        2.4.4 The color and clarity of the effluent discharge
        2.4.5 Erosion or ponding downstream of the discharge site
        2.4.6 Photographs labeled with the time, date, and location

3. POTW municipal batch discharge permit, if used

4. If you use chemical coagulants, in-line flocculants or both, in the treatment system, then submit a Coagulant Prevention Plan (CPP) with the DDP. The CPP must include:

    4.1 A description of the best management practices (BMPs) to prevent accidental spillage, overfeeding into the treatment system, or other mishandling of coagulant agents
    4.2 A monitoring plan for all coagulant or flocculant agents to be used
    4.3 A description of the agent (chemical and trade name description)
    4.4 A determination of acute and chronic toxicity for aquatic organisms conforming to EPA methods for the agents
    4.5 A monitoring proposal to detect residual agent at concentrations at or below established acute toxicity levels for freshwater and marine conditions for that agent

4.6 A copy of the documentation showing RWQCB approval of the CPP and approval of the chemical coagulants or in-line flocculants proposed

The CPP must be approved by the RWQCB. You have complete responsiblity for securing approval of the CPP from the RWQCB. If the RWQCB does not approve your proposal to use chemical coagulants or in-line flocculants, you must propose an alternative treatment system component to comply with the receiving water and effluent discharge limitations.

Within 15 days after contract approval, the Contractor shall submit 3 copies of the DDP to the Engineer. The Contractor shall allow 15 days for the Engineer's review. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the DDP within 7 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete DDP is resubmitted. When the Engineer approves the DDP, the Contractor shall submit 4 copies of the approved DDP to the Engineer. After approval, the Engineer will submit one copy of the approved DDP to the California Regional Water Quality Control Board (RWQCB) for their review and comment. If the RWQCB provides comments to the DDP, the Contractor shall amend the DDP. Construction activities shall begin no sooner than 30 days after the Engineer approves the DDP. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or interfered with because of the Engineer's or the RWQCB's review, the Contractor will be compensated for resulting losses, and an extension of time will be granted, as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Quality Control and Assurance

Retain and submit records of temporary active treatment system including:

1. Delivery and removal of temporary active treatment system components
2. Daily Inspection Report (DIR). All information and recorded data collected or submitted as part of the DIR shall be certified as true and accurate and signed by those who gather the information

MATERIALS

Active Treatment System

You must design and implement an appropriate water treatment system for the site conditions and anticipated flow rate.

1. Active treatment system must include:

   1.1 Treatment system
   1.2 Collection and conveyance system
   1.3 Discharge method

Treatment System

Size treatment systems to remove sediment and turbidity-producing suspended solids. Primary and secondary treatment may be required, or the design of the treatment system may require combined use of the various treatment components in series to achieve effective treatment.

1. Treatment system components to remove sediment and turbidity-producing suspended solids may include:

   1.1 Desilting basins
   1.2 Settling tanks
   1.3 Sediment traps
   1.4 Gravity bag filters
   1.5 Sand media filters
   1.6 Pressurized bag filters
   1.7 Cartridge filters
   1.8 Chemical coagulants including in-line flocculants
   1.9 Temporary holding tanks
   1.10 A combination of these systems to provide primary and secondary treatment

2. Treatment system components to adjust pH or dissolved oxygen may include:

   2.1 Treatment for water with high pH may include the addition of sulfuric, phosphoric, citric, or nitric acid in conformance with the supplier's specifications

2.2 Treatment for water with low pH may include filtration through a limestone bed or the addition of sodium hydroxide
2.3 Treatment for water with low dissolved oxygen may include aeration

Collection and Conveyance System

Provide pumps and piping to convey the water from the point of dewatering to the treatment system and to the point of discharge.

Use a flow meter to measure all discharges from dewatering operations.

Materials shall conform to the provisions in Section 6, "Control of Materials," Section 7-1.16, "Contractor's Responsibility for the Work and Materials," and Section 74-2, "Drainage Pump Equipment," of the Standard Specifications and these special provisions.

Discharge Method

Provide a method for discharging treated water and include a discharge location.

1. Discharge treated water:

   1.1 For dust control in active work areas
   1.2 To land where the grade allows sheet flow and the soil allows infiltration
   1.3 In a manner that does not cause erosion or scour. If scour occurs, install a velocity dissipator

2. Do not discharge treated water in a manner that impacts natural bedding or aquatic life

CONSTRUCTION

Placement

Place active treatment system components at the project site:

1. Prior to dewatering work
2. In the immediate area of the dewatering work as approved by the Engineer
3. Away from construction traffic or public access areas

Operation

Divert stormwater away from excavations that would require dewatering.

If observations and measurements confirm that the water quality limits are exceeded, then:

1. Stop the discharge immediately
2. Notify the Engineer
3. Begin corrective measures to modify, repair, or replace the equipment used for the discharge

Obtain approval from the Engineer before resuming dewatering and discharge operations. The Engineer will inspect the corrective work prior to granting approval to resume the discharge. Once the Engineer's approval has been granted, you may begin startup-phase sampling requirements before the regular-phase sampling requirements.

Sediments removed from uncontaminated areas during maintenance of the treatment system shall be dried and distributed uniformly at a location within the project limits approved by the Engineer.

Relocate active treatment system as needed for dewatering work.

Monitoring

While the active treatment system is operated, perform:

1. Flow rate monitoring:

   1.1 To record daily discharge volumes
   1.2 To compute average daily volumes

Conform with the manufacturer's instructions for all calibrations of the flow meter. Perform calibrations in the presence of the Engineer.

2. Receiving water limitations monitoring. In the receiving storm water drainage system, the discharge shall not cause:

   2.1 The downstream turbidity to increase to more than 50 Nephelometric Turbidity Units (NTU) when the natural background turbidity is less than 50 NTU
   2.2 The downstream turbidity to increase more than 10 percent above the natural background turbidity when the natural background turbidity is 50 NTU or greater
   2.3 The normal ambient temperature to be altered more than 5 degrees Fahrenheit
   2.4 The normal ambient pH to fall below 6.5, exceed 8.5, or change more than 0.5 units
   2.5 The dissolved oxygen concentration to fall below 5.0 mg/L

3. Discharge effluent limitations monitoring. The water to be discharged (effluent) shall not exceed the following limits:

   3.1 The discharged water turbidity shall not be greater than 50 Nephelometric Turbidity Units (NTU)
   3.2 The pH of the discharged water shall not be less than 6.5 nor greater than 8.5
   3.3 The discharged water shall not contain chlorine in excess of 0.02 mg/L (instantaneous maximum)

Use these procedures to do water quality measurements for the parameters listed under "Receiving Water Limitations" and "Discharge Effluent Limitations":

1. For discharges of water that exceed 4 hours in duration occurring within a 24-hr period:

   1.1 When the discharge has the potential to affect the receiving body of water in a storm water drainage system, take measurements at the background and receiving water sampling locations no more than one hour before discharging treated water
   1.2 Startup-phase sampling includes stormwater runoff, background, and receiving water measurements taken during the first 3 days of discharge. Begin start-up phase sampling 10 to 30 minutes after measurable runoff occurs during a storm. Take samples on regular intervals during the storm. Take at least 4 samples for each discharge lasting 4 hours or more. The time between sampling shall not exceed 4 hours.
   1.3 Regular-phase sampling includes effluent, background, and receiving water measurements that occur after the third day of operation. Do regular-phase sampling at least twice daily. Take samplese at regular intervals.
   1.4 If there is a noticeable change in color or clarity in the receiving body of water then take additional effluent, background, and downstream measurements
   1.5 If an initial measurement shows that water quality limits are exceded, then you must take an additional measurement no less than 15 minutes and no more than one hour after the initial measurement
   1.6 If the second test confirms that the limits were exceeded, the Contractor shall revert to the startup-phase sampling requirements before resuming the regular-phase sampling
   1.7 For cofferdam maintenance dewatering, regular-phase monitoring may be discontinued after 10 days if, in the opinion of the Engineer, the effluent and receiving water measurements are consistently below the water quality limits

2. For discharges of water of less than 4 hours in duration occurring within a 24-hr period:

   2.1 When the discharge has the potential to affect the receiving body of water in a storm water drainage system, take measurements at the background and receiving water sampling locations no more than one hour before discharging treated water
   2.2 Take effluent, background, and receiving water measurements between 10 and 30 minutes after initiating discharge. Continue to take measurements every hour.
   2.3 If an initial measurement shows that water quality limits are exceded, then you must take an additional measurement no more than 15 minutes after the initial measurement
   2.4 If there is a noticeable change in color or clarity in the receiving body of water then take additional effluent, background, and downstream measurements

3. For all discharges of water:

   3.1 Measure for stormwater effluent turbidity and pH at the end of the outfall or inline sampling port
   3.2 Measure receiving water turbidity, pH, and dissolved oxygen at a point within 15 feet (5 m) downstream of the discharge point

3.3 Measure for natural background turbidity, dissolved oxygen, and pH at a location that is 9 to 15 feet (3 m to 5 m) upstream of the discharge point. If there is other construction activity, then measure at least 150 feet (50 m) upstream of the discharge point

3.4 If the discharge is made into a surface body of water, or into a stormwater drainage system that produces an observable effect on a surface body of water, then the receiving water shall be monitored.

4. For receiving water and natural background measurements:

4.1 If the receiving water is deeper than 3 feet (1.0 m), then the measurement shall be depth-averaged

4.2 Obtain depth-averaged measurements by taking samples from 3 points within the water column and averaging the 3 measurements: one at 12 inches (0.3 m) below the surface, one at mid depth, and one at 12 inches (0.3 m) above the bottom

4.3 If the receiving water is less than 3 feet (1.0 m) in depth, then only one measurement shall be taken at 12 inches (0.3 m) below the surface

Conform with the manufacturer's instructions for use and calibrations of meters and devices for taking water quality measurements. Perform calibrations in the presence of the Engineer.

Inspection

Inspect temporary active treatment system:

1. Daily if dewatering work occurs daily
2. Weekly if dewatering work does not occur daily

Reporting

If observations and measurements confirm that the water quality limits are exceeded, then:

1. Submit a Notice of Discharge Report as shown in the Preparation Manual within 3 working days of exceeding the limits
2. Document the reasons and corrective work performed to prevent a reoccurrence in the Notice of Discharge

Maintenance

Maintain the various components to prevent leaks and provide proper function. If a component of the dewatering equipment is not functioning properly, discontinue the dewatering operation and repair or replace the component.

Removal

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary active treatment system must be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

PAYMENT

The contract lump sum price paid for temporary active treatment system includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in designing, implementing, monitoring, maintaining, and later removing the temporary active treatment system, including disposal of accumulated sediment, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.07 STREET SWEEPING

Street sweeping shall be conducted where sediment is tracked from the job site onto paved roads, as described in the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and as directed by the Engineer.

Street sweeping shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of street sweeping. Street sweeping shall be performed in accordance with Section 4, SC-7 in the Construction Site Best Management Practices Manual of the Caltrans Storm Water Quality Handbooks.

The number of street sweepers shall be as designated in the approved SWPPP. The Contractor shall maintain at least one sweeper on the job site at all times during the period that sweeping work is required. Sweepers shall be self-loading, motorized, and shall have spray nozzles. Sweepers may include a vacuum apparatus.

Street sweeping shall start at the beginning of clearing and grubbing and shall continue until completion of the project, or as directed by the Engineer. Street sweeping shall be performed immediately after soil disturbing activities occur or offsite tracking of material is observed. Street sweeping shall be performed so that dust is minimized. If dust generation is excessive or sediment pickup is ineffective as determined by the Engineer, the use of water or a vacuum will be required.

At the option of the Contractor, collected material may be temporarily stockpiled in accordance with the approved SWPPP. Collected material shall be disposed of at least once per week.

Material collected during street sweeping operations shall be disposed of in conformance with Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

MEASUREMENT AND PAYMENT

The contract lump sum price paid for street sweeping shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in street sweeping, including disposal of collected material, as shown on the plans, as specified in the Standard Specifications, these special provisions, and as directed by the Engineer.

10-1.08 TURBIDITY CONTROL

Turbidity control work shall conform to the Standard Specifications, the plans, these special provisions, and with all regulatory permits and waste discharge requirements pertaining to any work that has the potential to cause turbidity within the project limits. Turbidity control work shall consist of implementing control measures to limit sediment disturbance. Except as specified in the Standard Specifications and these special provisions, compliance monitoring for turbidity will be performed by the Engineer in conformance with regulatory permits, waste discharge requirements and a turbidity monitoring program developed by the Department.

Attention is directed to "Environmentally Sensitive Areas (General)" of these special provisions.

The Contractor shall be responsible for the costs and for liabilities imposed by law as a result of the Contractor's failure to comply with the provisions set forth in this section "Turbidity Control", including but not limited to, compliance with the applicable provisions of Permits, and Federal, State and local regulations. Costs and liabilities include, but are not limited to, fines, penalties, and damages whether assessed against the State or the Contractor, including those levied under the Federal Clean Water Act and the State Porter Cologne Water Quality Act.

In addition to the remedies authorized by law, money due the Contractor under the contract, in an amount determined by the Department, may be retained by the State of California until disposition has been made of the costs and liabilities.

When a regulatory agency or other third party identifies a failure to comply with the permit or any other local, State, or federal requirement, the Engineer may retain money due the Contractor, subject to the following:

A. The Department will give the Contractor 30 days notice of the Department's intention to retain funds from partial payments which may become due to the Contractor prior to acceptance of the contract. Retention of funds from payments made after acceptance of the contract may be made without prior notice to the Contractor.
B. No retention of additional amounts out of partial payments will be made if the amount to be retained does not exceed the amount being withheld from partial payments pursuant to Section 9-1.06, "Partial Payments," of the Standard Specifications.
C. If the Department has retained funds and it is subsequently determined that the State is not subject to the costs and liabilities in connection with the matter for which the retention was made, the Department shall be liable for interest on the amount retained for the period of the retention, and the rate of interest payable shall be 6 percent per annum.

Conformance with the provisions of this section "Turbidity Control" shall not relieve the Contractor from the Contractor's responsibilities, as provided in Section 7, "Legal Relations and Responsibilities," of the Standard Specifications.

Turbidity is defined as the condition that prevails when sediment and debris are suspended in water, resulting in diminished water clarity. Turbidity will be measured using an optical backscatter meter providing a minimum of 30-second weighted average turbidity reading in mg/liter or nephelometric turbidity units (NTU).

In the event that the Contractor chooses to access the work area from marine environments, within 20 days after contract approval, the Contractor shall submit 3 copies of a Turbidity Control Plan to the Engineer. The Turbidity Control Plan shall address all work that has the potential to cause turbidity shall be submitted to the Engineer for review and approval. The Contractor shall allow 30 days for the Engineer's review. If revisions are required, the Engineer will provide comments and specify the date that the review stopped. The Contractor shall revise and resubmit the Turbidity Control Plan within 15 days of receipt of the Engineer's comments. The Engineer's review will resume when the complete Turbidity Control Plan is resubmitted. When the Engineer approves the Turbidity Control Plan, the Contractor shall submit 4 copies of the approved Turbidity Control Plan to the Engineer. After approval, the Engineer will submit one copy of the approved Turbidity Control Plan to the San Francisco Bay RWQCB and BCDC for their review and comment. If the San Francisco Bay RWQCB and BCDC provides comments on the Turbidity Control Plan, the Contractor shall amend the Turbidity Control Plan.

Construction activities shall begin no sooner than 45 days after the Engineer approves the Turbidity Control Plan. If the Engineer fails to complete the review within the time allowed and if, in the opinion of the Engineer, completion of the work is delayed or interfered with because of the Engineer's or the RWQCB's review, the Contractor will be compensated for resulting losses, and an extension of time will be granted, as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

All work that has the potential to cause turbidity within 100 meters of a non-land-based ESA boundary as shown on the plans shall have turbidity control measures implemented to conform with regulatory permits and to protect the ESA. The following control measures, as a minimum, shall be installed and maintained within this 100 meter zone:

A. Install an engineered turbidity curtain along the ESA boundary or around the perimeter of the work area to reduce sediment transport to the ESA. The curtain materials and connections shall be able to withstand 0.8-m/s (1.5-kt) currents (flood and ebb), wind, waves, and vessel wakes. At least one ends of the curtain shall be anchored on shore above the highest expected tide elevation. Both ends of the curtain shall be anchored on shore if possible. The intermediate sections of the curtain shall be anchored in a manner that does not submerge the top of the curtain at high tide.

In addition, if the control measures fail to adequately control turbidity in accordance with regulatory permits, the following additional control measures shall be implemented in conjunction with those listed above to enhance turbidity control:

A. Modify construction practices to minimize sediment disturbance and drift;
B. Modify size and type of marine equipment employed; and
C. Conduct work during tidal periods that result in sediment transport away from all ESA.

The tide time period shall be in accordance with the time period and tidal fluctuation outlined in the National Oceanic Atmospheric Administration (NOAA) Tide Station at Yerba Buena Island.

All removed control measures shall be disposed of in accordance with section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The following increases in natural background turbidity of the receiving water within the ESA will be allowed:

Natural Background Turbidity	Allowed Increase
0 to 49 NTU	Receiving water turbidity may be increased to 50 NTU
50 NTU and greater	Receiving water turbidity may be increased to 10 percent above background

In the event that these allowable increases are exceeded for a continuous period of 4 hours; or for 8 hours or more during any one (1) week period from October 1 - March 31;or for 16 hours or more in any one (1) week period from April 1 - September 31, the work causing the increase shall be suspended until turbidity levels have dropped below the allowable limit for a minimum of 4 consecutive hours. The Engineer shall decide if additional control measures are needed.

Temporary suspension of work shall conform to the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. If the Contractor fails to conform to the provisions of "Turbidity Control", the Engineer may order the suspension of specific aquatic construction operations. No further work shall be performed on the ongoing operation until the turbidity control measures are adequate and, if required by the Engineer, a revised turbidity control plan has been accepted.

If the Contractor or the Engineer identifies a deficiency in any aspect of the implementation of the approved Turbidity Control plan or amendments, the deficiency shall be corrected immediately. The deficiency may be corrected at a later date and time if requested by the Contractor and approved by the Engineer in writing.

If the Contractor fails to correct the identified deficiency by the date agreed upon, the project shall be in noncompliance. The Engineer will notify the Contractor in writing when the project is out of compliance with the turbidity control plan.

The State will not be liable to the Contractor for failure to accept all or any portion of an originally submitted or revised turbidity control plan, nor for any delays to the work due to the Contractor's failure to submit an acceptable turbidity control plan.

The Contractor is directed to Section 5-1.01, "Authority of the Engineer," of the Standard Specifications and the payment section of these special provisions for possible noncompliance penalties.

The Engineer will retain an amount equal to 25 percent of the estimated value of the contract work performed during estimate periods in which the Contractor fails to conform to the provisions of this section "Turbidity Control" as determined by the Engineer.

Retention for failure to conform to the provisions in this section "Turbidity control" shall be in addition to the other retention provided for in the contract and to any retentions due to a failure to comply with the permit or any other local, State, or federal requirement.

The amounts retained for failure of the Contractor to conform to the provisions in this section will be released for payment on the next monthly estimate for partial payment, in conformance with Section 9-1.06, "Partial Payments," and Section 9-1.07, "Payment After Acceptance," of the Standard Specifications, following the date that an approved Turbidity Control Plan has been implemented and maintained, and turbidity is adequately controlled, as determined by the Engineer.

PAYMENT

Full compensation for turbidity control, including development and submittal of the turbidity control plan and removal and disposal of all measures, is included in the contract price for the contract items of work, and no additional compensation will be allowed therefor.

10-1.09 TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)

GENERAL

Summary

This work includes applying, maintaining, and removing temporary hydraulic mulch (bonded fiber matrix). Hydraulic mulch uses a mixture of fiber, tackifier, and water to stabilize active and nonactive disturbed soil areas.

The SWPPP must describe and include the use of temporary hydraulic mulch (bonded fiber matrix) as a water pollution control practice for soil stabilization.

Submittals

At least 5 business days before applying hydraulic mulch, submit:

1. Material Safety Data Sheet for the tackifier.
2. Product label describing the tackifier as an erosion control product.
3. List of pollutant indicators and potential pollutants for the use of temporary hydraulic mulch. Pollutant indicators are described under "Sampling and Analysis Plan for Non-Visible Pollutants" in the Preparation Manual.
4. Determination of acute and chronic toxicity for aquatic organisms conforming to EPA methods for the tackifier.
5. Composition of ingredients including chemical formulation.

Submit a Certificate of Compliance as specified in Section 6-1.07, "Certificates of Compliance" of the Standard Specifications for:

1. Tackifier
2. Fiber

Quality Control and Assurance

Retain and submit records of temporary hydraulic mulch applications including:

1. Compliance with specified rates
2. Application area
3. Application time
4. Quantity

MATERIALS

Tackifier

The tackifier must be:

1. Nonflammable
2. Nontoxic to aquatic organisms
3. Free from growth or germination inhibiting factors
4. Bonded to the fiber or prepackaged with the fiber by the manufacturer
5. At least 10 percent of the weight of the dry fiber and include the weight of the activating agents and additives
6. Organic, high viscosity colloidal polysaccharide with activating agents, or a blended hydrocolloid-based binder

Fiber

Fiber must be:

1. Long strand, whole wood fibers, thermo-mechanically processed from clean, whole wood chips
2. Not made from sawdust, cardboard, paper, or paper byproducts
3. At least 25 percent of fibers 10 mm long
4. At least 50 percent held on a 710 μm sieve
5. Free from lead paint, printing ink, varnish, petroleum products, seed germination inhibitors, or chlorine bleach
6. Free from synthetic or plastic materials
7. At most 7 percent ash

Coloring Agent

Use a biodegradable nontoxic coloring agent free from copper, mercury, and arsenic to ensure the hydraulic mulch contrasts with the application area.

CONSTRUCTION

Application

Apply temporary hydraulic mulch when an area is ready to receive temporary erosion control under "Move-in/Move-out (Temporary Erosion Control)."

Dilute hydraulic mulch with water to spread the mulch evenly.

Use hydroseeding equipment to apply hydraulic mulch.

Apply hydraulic mulch:

1. In the proportions indicated in the table below. Successive applications or passes may be needed to achieve the required proportion rate:

Material	Application Rate kg/ha
Bonded Fiber (includes fiber and tackifier material)	4,500

2. To form a continuous mat with no gaps between the mat and the soil surface.
3. From 2 or more directions to achieve a continuous mat.
4. In layers to avoid slumping and to aid drying.
5. During dry weather or at least 24 hours before predicted rain.

Do not apply hydraulic mulch if:

1. Water is standing on or moving across the soil surface
2. Soil is frozen
3. Air temperature is below 4 ºC during the tackifier curing period unless allowed by the tackifier manufacturer and approved by the Engineer

Do not over-spray hydraulic mulch onto the traveled way, sidewalks, lined drainage channels, or existing vegetation.

Maintenance

Reapply hydraulic mulch within 24 hours of discovering visible erosion unless the Engineer approves a longer period.

Removal

Remove hydraulic mulch by mechanically blending it into the soil with track laying equipment, disking, or other approved method.

Temporary hydraulic mulch disturbed or displaced by your vehicles, equipment, or operations must be reapplied at your expense.

Cleanup, repair, removal, disposal, or replacement due to improper installation or your negligence are not included in the cost for performing maintenance.

MEASUREMENT AND PAYMENT

Temporary hydraulic mulch (bonded fiber matrix) is measured by the square meter from measurements along the slope of the areas covered by the hydraulic mulch.

The contract price paid per square meter for temporary hydraulic mulch (bonded fiber matrix) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying temporary hydraulic mulch, complete in place, including removal of hydraulic mulch, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The State and you share the cost of maintaining the temporary hydraulic mulch. The State determines the maintenance cost under Section 9-1.03, "Force Account Payment," of the Standard Specifications and pays you one-half of that cost.

10-1.10 TEMPORARY COVER

Temporary cover shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary cover shall be one of the water pollution control practices for soil stabilization. The SWPPP shall include the use of temporary cover.

MATERIALS

Temporary Cover Fabric

Temporary cover fabric shall be either a geotextile (engineering fabric) or a geomembrane (plastic sheeting) conforming to the following requirements:

1. Geotextile shall be a woven, slit film fabric which is also known as woven tape. The fabric shall be nonbiodegradable, resistant to deterioration by sunlight, and inert to most soil chemicals. Edges of the film fabric shall be selvage or serge to prevent unraveling. The film fabric shall also conform to the following requirements:

Specification	Requirements
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Elongation at break, percent min. ASTM Designation: D4632*	15
Toughness, kilonewtons, min. (percent elongation x grab tensile strength)	13.3
Permittivity, 1/sec, max. (liters per minute per square meter) ASTM Designation: D 4491	0.08 (244)
Ultraviolet light stability, percent tensile strength retained after 500 hours, min. ASTM Designation: D 4355 (xenon arc lamp method)	70

* or appropriate test method for specific polymer

2. The geomembrane shall consist of 0.25-mm thick, single-ply material in conformance with the requirements in ASTM Designation: D 5199.

Temporary cover fabric shall be manufactured from polyethylene, polypropylene, or comparable polymers. The polymer materials may be virgin, recycled, or a combination of virgin and recycled materials. The polymer materials shall not contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance with the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Restrainers

Restrainers for securing the temporary cover fabric on slopes and stockpiles shall consist of one or a combination of the following:

1. Gravel-filled bags used as restrainers shall be knotted, roped, and placed at a maximum of 2 m apart on the temporary cover fabric as shown on the plans. Gravel-filled bags shall be between 13 kg and 22 kg in mass, between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width. Gravel bag fabric shall be nonwoven polypropylene geotextile with a minimum unit weight of 270 g/m^2. The fabric shall have a minimum

grab tensile strength (25-mm grip) of 0.89-kN in conformance with the requirements in ASTM Designation: D 4632, and an ultraviolet (UV) stability of 70 percent tensile strength retained after 500 hours in conformance with the requirements in ASTM Designation: D 4355, xenon arc lamp method. Gravel shall consist of noncohesive material between 10 mm and 20 mm in diameter, free of clay balls, organic matter, and other deleterious material. The openings of gravel-filled bags shall be secured to prevent escape of gravel.

2. Restrainers consisting of a steel anchor with a wooden lath shall be fabricated and placed as shown on the plans. Wooden lath shall conform to the provisions in Section 20-2.12, "Lumber," of the Standard Specifications and shall be fir or pine, 38 mm x 89 mm in size, and 2.4 m in length. The wooden lath shall be secured to the temporary cover with steel anchors placed 1.2 m apart along the lath.

The Contractor may use an alternative restrainer if approved by the Engineer in writing. The Contractor shall submit details for an alternative restrainer to the Engineer before installation. The alternative restrainer shall be installed and maintained in conformance with these special provisions.

INSTALLATION

Temporary cover shall be installed as follows:

1. Temporary cover fabric shall be placed and anchored as shown on the plans.
2. Abutting edges of the temporary cover fabric shall overlap a minimum of 600 mm. Nonabutting edges shall be embedded in the soil a minimum of 150 mm.
3. Restrainers shall be placed at the overlap area and along the toe of the slope. Restrainers outside the overlap areas shall be placed at a maximum spacing of 2.4 m.
4. Steel anchors shall be installed to allow the leg of the steel anchor to pierce through the temporary cover fabric into the slope with the crown section securing the wooden lath firmly against the slope.
5. Earthen berm, a linear sediment barrier, shall be constructed adjacent to the toe of the slope with a minimum height of 200 mm and a minimum width of 940 mm. The earthen berm shall be hand or mechanically compacted. Alternative linear sediment barrier may be used if approved by the Engineer in writing.

If the Contractor removes the temporary cover in order to facilitate other work, the temporary cover shall be replaced and secured.

When no longer required as determined by the Engineer, temporary cover shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbances, including holes and depressions, caused by the installation and removal of the temporary cover shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

The Contractor shall maintain the temporary cover throughout the contract to prevent displacement or migration of the material on the slope or stockpiled.

Temporary cover shall be maintained to minimize exposure of the protected area. Restrainers shall be relocated and secured as needed to restrain the temporary cover fabric in place. Temporary cover that breaks free shall be immediately secured. Holes, tears, and voids in the temporary cover fabric shall be patched, repaired, or replaced. When patches or repairs are unacceptable as determined by the Engineer, the temporary cover shall be replaced.

Temporary cover shall be repaired or replaced on the same day when the damage occurs. Damage to the temporary cover resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

The quantity of temporary cover to be paid for will be measured by the square meter for the actual area covered.

The contract price paid per square meter for temporary cover shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary cover, complete in place, including trench excavation and backfill, maintenance, and removal of temporary cover, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.11 TEMPORARY CONCRETE WASHOUT BIN

GENERAL

Summary

This work includes removal and disposal of concrete waste by furnishing, maintaining, and removing temporary concrete washout bins.

The SWPPP must describe and include the use of temporary concrete washout bins as a water pollution control practice for waste management and materials pollution control.

Submittals

At least 5 business days before concrete operations start, submit:

1. Location of the washout bins
2. Name and location of the off-site concrete waste disposal facility to receive concrete waste
3. Copy of the permit issued by RWQCB for the off-site commercial disposal facility
4. Copy of the license for the off-site commercial disposal facility
5. Copy of the permit issued by the state or local agency having jurisdiction over the disposal facility if the disposal site is located outside of the State of California

Quality Control and Assurance

Retain and submit records of disposed concrete waste including:

1. Weight tickets
2. Delivery and removal of concrete washout bins

MATERIALS

Concrete Washout Bin

Concrete washout bin must:

1. Be a commercially available watertight container
2. Have sufficient capacity to contain all liquid and concrete waste generated by washout operations without seepage or spills
3. Be not less than 4.2 cubic meters of capacity
4. Be a roll-off bin and may include folding steel ramps
5. Be labeled for the exclusive use as a concrete waste and washout facility

Concrete Washout Sign

Concrete washout sign must:

1. Comply with the provisions in Section 12-3.06B, "Portable Signs" of the Standard Specifications
2. Be approved by the Engineer
3. Consist of a base, framework and a sign panel
4. Be made out of plywood
5. Be a minimum size of 610 mm by 1200 mm
6. Read "Concrete Washout" with black letters, 75 mm high, on a white background

CONSTRUCTION

Placement

Place concrete washout bins at the job site:

1. Before concrete placement activities begin
2. In the immediate area of the concrete work as approved by the Engineer
3. No closer then 15 m from storm drain inlets, open drainage facilities, ESAs, or watercourses
4. Away from construction traffic or public access areas

Install a concrete washout sign adjacent to each temporary concrete washout bin location.

Operation

Use concrete washout bins for:

1. Washout from concrete delivery trucks
2. Slurries containing portland cement concrete or hot mix asphalt from sawcutting, coring, grinding, grooving, and hydro-concrete demolition
3. Concrete waste from mortar mixing stations

Relocate concrete washout bins as needed for concrete construction work.
Replace concrete washout bins when filled to capacity. Do not fill higher then 150 mm below rim.
Your WPCM must inspect concrete washout bins:

1. Daily if concrete work occurs daily
2. Weekly if concrete work does not occur daily

Maintenance

When relocating or transporting a concrete washout bin within the project site, secure the concrete washout bin to prevent spilling of concrete waste material. If any spilled material is observed, remove the spilled material and place it into the concrete washout bin.

Removal

Dispose of concrete waste material at a facility specifically licensed to receive solid concrete waste, liquid concrete waste, or both. Remove and dispose of concrete waste within 2 days of the concrete washout bin becoming filled to capacity.

MEASUREMENT AND PAYMENT

Temporary concrete washout bin is measured by the actual count of concrete washout bins in place.

The contract unit price paid for temporary concrete washout bin includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing, maintaining, and removing the concrete washout bin, including removal and disposal of concrete waste, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.12 TEMPORARY CHECK DAM

Temporary check dams shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary check dams shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary check dams.

Temporary check dams shall be either Type 1 (fiber roll) or Type 2 (gravel bag).

MATERIALS

Fiber Roll

Fiber rolls shall be one of the following:

1. Constructed with a premanufactured blanket consisting of one material or a combination of materials consisting of wood excelsior, rice or wheat straw, or coconut fibers. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a photodegradable plastic netting or biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. Rolls shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length and shall weigh at least 1.6 kg/m. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the rolls.

Stakes

Wood stakes shall be a minimum of 19 mm x 38 mm x 450 mm. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots or other defects which would render them unfit for the purpose intended. Metal stakes may be used as an alternative. The Contractor shall submit a sample of the metal stake for the Engineer's approval before installation. The tops of the metal stakes shall be bent at a 90-degree angle.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

Gravel-filled Bag

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used in construction of the gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

INSTALLATION

Temporary check dams shall be installed as follows:

1. Temporary check dam (Type 1): Rope and notched stakes shall be used to restrain the fiber rolls against the surface of the unlined ditch or swale. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope so that the rope will hold the fiber roll tightly to the slope. Furrows will not be required. If metal stakes are used, the rope may be laced and knotted on the bend at the top of the metal stakes.
2. Temporary check dam (Type 2): A single layer of gravel bags shall be placed in lined or unlined ditches with ends abutted tightly and not overlapped.
3. The bedding area for the temporary check dam shall be cleared of obstructions including, rocks, clods, and debris greater than 25 mm in diameter before installation.
4. The temporary check dam shall be installed across and approximately perpendicular to the centerline of a ditch or drainage line.
5. The temporary check dam shall be installed with sufficient spillway depth to prevent flanking of concentrated flow around the ends of the check dam.
6. The temporary check dam shall be installed in an unlined ditch or swale before the application of other temporary erosion control or soil stabilization material in the same unlined ditch or swale.

Details for an alternative temporary check dam shall be submitted to the Engineer for approval at least 7 days before installation.

When the temporary check dam is no longer required, as determined by the Engineer, it shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbances including holes and depressions caused by the installation and removal of the temporary check dam shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary check dams shall be maintained to provide sediment holding capacity and to reduce runoff velocities. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out. Locations where rills and other evidence of concentrated runoff have occurred beneath the check dams shall be corrected.

When sediment exceeds 1/3 of the height of the check dam above ground, or when directed by the Engineer, sediment shall be removed. The removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water.

Temporary check dams shall be repaired or replaced the same day damage occurs. Washouts or scour beneath the temporary check dam shall be repaired. Temporary check dams damaged during the progress of work or resulting from the Contractor's vehicles, equipment, or operations shall be repaired or replaced at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary check dams to be paid for will be determined by the meter measured along the centerline of the installed check dam.

The contract price paid per meter for temporary check dam shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary check dams, complete in place, including maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.13 TEMPORARY SILT FENCE

Temporary silt fence shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary silt fence shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary silt fence.

MATERIALS

Temporary silt fence shall either be prefabricated or constructed with silt fence fabric, posts, and fasteners.

Silt Fence Fabric

Silt fence fabric shall be geotextile manufactured from woven polypropylene or polymer material. Silt fence fabric may be virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled polymer materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Silt fence fabric shall conform to the following requirements:

Specification	Requirements
Width, mm, min.	900
Grab tensile strength (25-mm grip), kilonewtons, min. in each direction ASTM Designation: D 4632*	0.55
Elongation, percent minimum in each direction ASTM Designation: D 4632*	15
Permittivity, 1/sec., min. ASTM Designation: D 4491	0.05
Flow rate, liters per minute per square meter, min. ASTM Designation: D 4491	400
Ultraviolet stability, percent tensile strength retained after 500 hours, min. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	70

* or appropriate test method for specific polymer

Posts

Posts for temporary silt fence shall be one of the following:

1. Untreated fir or pine, a minimum of 34 mm x 40 mm in size, and 1.2 m in length. One end of the post shall be pointed.
2. Steel and have a "U," "T," "L," or other cross sectional shape that can resist failure from lateral loads. The steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.2 m. One end of the steel posts shall be pointed and the other end shall be capped with an orange or red plastic safety cap which fits snugly to the steel post. The Contractor shall submit to the Engineer for approval a sample of the capped steel post before installation.

Fasteners

Fasteners for attaching silt fence fabric to posts shall be as follows:

1. When prefabricated silt fence is used, posts shall be inserted into sewn pockets.
2. Silt fence fabric shall be attached to wooden posts with nails or staples as shown on the plans or as recommended by the manufacturer or supplier. Tie wire or locking plastic fasteners shall be used to fasten the silt fence fabric to steel posts. Maximum spacing of fasteners shall be 200 mm along the length of the steel post.

INSTALLATION

Temporary silt fence shall be installed parallel with the slope contour in reaches not to exceed 150 m. A reach is considered a continuous run of temporary silt fence from end to end or from an end to an opening, including joined panels. Each reach shall be constructed so that the elevation at the base of the fence does not deviate from the contour more than 1/3 of the fence height.

The silt fence fabric shall be installed on the side of the posts facing the slope. The silt fence fabric shall be anchored in a trench as shown on the plans. The trench shall be backfilled and mechanically or hand tamped to secure the silt fence fabric in the bottom of the trench.

Mechanically pushing 300 mm of the silt fence fabric vertically through the soil may be allowed if the Contractor can demonstrate to the Engineer that the silt fence fabric will not be damaged and will not slip out of the soil resulting in sediment passing under the silt fence fabric.

The maximum post spacing may be increased to 3 m if the fence is reinforced by a wire or plastic material by prefabrication or by field installation. The field-assembled reinforced temporary silt fence shall be able to retain saturated sediment without collapsing.

Temporary silt fence shall be joined as shown on the plans. The tops of the posts shall be tied together by minimum of 2 wraps of tie wire of a minimum 1.5-mm diameter. The silt fence fabric shall be attached to the posts at the joint as specified in these special provisions.

When no longer required as determined by the Engineer, temporary silt fence shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Trimming the silt fence fabric and leaving it in place will not be allowed.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary silt fence shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary silt fence shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the silt fence fabric above ground. When sediment exceeds this height or when directed by the Engineer, sediment shall be removed. The removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water.

Temporary silt fence shall be repaired or replaced the same day the damage occurs. Damage to the temporary silt fence resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary silt fence to be paid for will be determined by the meter, measured parallel with the ground slope along the line of the installed temporary silt fence, deducting the widths of openings.

The contract price paid per meter for temporary silt fence shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary silt fence, complete in place, including trench excavation and backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.14 TEMPORARY FENCE (TYPE ESA)

Temporary fence (Type ESA) shall be furnished, installed, maintained, and later removed in conformance with the details shown on the plans, as specified in these special provisions and as directed by the Engineer.

MATERIALS

Used materials may be installed provided the used materials conform to these special provisions. Materials for temporary fence (Type ESA) shall conform to the following:

High Visibility Fabric

High visibility fabric shall be machine produced, orange colored mesh manufactured from polypropylene or polyethylene. High visibility fabric may be made of recycled materials. Materials shall not contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. High visibility fabric shall be fully stabilized ultraviolet resistant, shall be a minimum of 1.22 m in width with a maximum mesh opening of 50 mm x 50 mm. High visibility fabric shall be furnished in one continuous width and shall not be spliced to conform to the specified width dimension.

Posts

Posts for temporary fence (Type ESA) shall be of one of the following:

A. Wood posts shall be fir or pine, shall have a minimum cross section of 50 mm x 50 mm, and a minimum length of 1.6 m. The end of the post to be embedded in the soil shall be pointed. Wood posts shall not be treated with wood preservative.
B. Steel posts shall have a "U", "T", "L" or other cross sectional shape that resists failure by lateral loads. Steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.6 m. One end of the steel post shall be pointed and the other end shall have a high visibility colored top.

Fasteners

Fasteners for attaching high visibility fabric to the posts shall be as follows:

A. The high visibility fabric shall be attached to wooden posts with commercial quality nails or staples, or as recommended by the manufacturer or supplier.
B. Tie wire or locking plastic fasteners shall be used for attaching the high visibility fabric to steel posts. Maximum spacing of tie wire or fasteners shall be 600 mm along the length of the steel post.

INSTALLATION

Temporary fence (Type ESA) shall be installed as follows:

A. All fence construction activities shall be conducted from outside the ESA as shown on the plans or as staked.
B. Posts shall be embedded in the soil a minimum of 380 mm. Post spacing shall be 2.5 m maximum from center to center and shall at all times support the fence in a vertical position.
C. Temporary fence (Type ESA) shall be constructed prior to clearing and grubbing work, shall enclose the foliage canopy (drip line) of protected plants, and shall not encroach upon visible roots of the plants.
D. Temporary fence (Type ESA) shall be located so that it is visible, as determined by the Engineer.

When Type ESA temporary fence is no longer required, as determined by the Engineer, the temporary fence shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, except when reused as provided in this section.

Holes caused by the removal of temporary fence (Type ESA) shall be backfilled in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary fence (Type ESA) that is damaged during the progress of the work shall be repaired or replaced by the Contractor the same day the damage occurs.

MEASUREMENT AND PAYMENT

Temporary fence (Type ESA) shall be measured and paid for in the same manner specified for permanent fence as provided in Section 80, "Fences," of the Standard Specifications.

Full compensation for maintaining, removing, and disposing of temporary fence (Type ESA) shall be considered as included in the contract price paid per meter for temporary fence (Type ESA) and no additional compensation will be allowed therefor.

10-1.15 TEMPORARY GRAVEL BAG BERM

Temporary gravel bag berms shall be furnished, installed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary gravel bag berms shall be one of the water pollution control practices for sediment control. The Storm Water Pollution Prevention Plan shall include the use of temporary gravel bag berms.

MATERIALS

Gravel-filled Bags

Gravel bag fabric shall be nonwoven polypropylene geotextile (or comparable polymer) and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

INSTALLATION

Temporary gravel bag berms shall be installed as follows:

A. A single layer of gravel bags shall be placed with ends abutted tightly and not overlapped.
B. The bedding area for the temporary gravel bag berm shall be cleared of obstructions, including rocks, clods, and debris greater than 25 mm in diameter, prior to installation.
C. Temporary gravel bag berms shall be installed approximately parallel to the slope contour.
D. The last 2 m of the temporary gravel bag berm shall be angled up-slope.

When no longer required, as determined by the Engineer, temporary gravel bag berm shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary gravel bag berm shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MAINTENANCE

Temporary gravel bag berms shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the gravel bag berm above the ground. When sediment exceeds this height, or when directed by the Engineer, sediment shall be removed. Removed sediment shall be deposited within the project limits in such a way that the sediment is not subject to erosion by wind or by water.

Temporary gravel bag berms shall be repaired or replaced on the same day the damage occurs. Damage to the temporary gravel bag berm resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

Gravel bags shall be replaced when the bag material is ruptured or when the yarn has failed, allowing the bag contents to spill out.

MEASUREMENT AND PAYMENT

Quantities of temporary gravel bag berm to be paid for will be determined by the meter, measured along the centerline of the installed temporary gravel bag berm.

The contract price paid per meter for temporary gravel bag berm shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing temporary gravel bag berm, complete in place, including backfill, maintenance, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.16 TEMPORARY CONSTRUCTION ENTRANCE

Temporary construction entrances shall be constructed, maintained, and later removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in conformance with "Water Pollution Control" of these special provisions, and in conformance with details shown on the plans and these special provisions.

Temporary construction entrances shall be one of the water pollution control practices for tracking control. The SWPPP shall include the use of temporary construction entrances.

Temporary construction entrances shall be either Type 1 or Type 2.

MATERIALS

Temporary Entrance Fabric

Temporary entrance fabric shall be manufactured from polyester, nylon, or polypropylene material, or any combination thereof. Temporary entrance fabric shall be a nonwoven, needle-punched fabric, free of needles which may have broken off during the manufacturing process. Temporary entrance fabric shall be permeable and shall not act as a wicking agent.

Temporary entrance fabric shall be manufactured from virgin, recycled, or a combination of virgin and recycled polymer materials. No virgin or recycled materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204 (Fourier Transformed Infrared Spectroscopy-FTIR).

Temporary entrance fabric shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, min. ASTM Designation: D 5261	235
Grab tensile strength (25-mm grip), kilonewtons, min. ASTM Designation: D4632*	0.89
Elongation at break, percent min. ASTM Designation: D4632*	50
Toughness, kilonewtons, min. (percent elongation x grab tensile strength)	53

* or appropriate test method for specific polymer

Rocks

Rocks shall conform to the material quality requirements in Section 72-2.02, "Materials," of the Standard Specifications for shape and for apparent specific gravity, absorption, and durability index. Rocks used for the temporary entrance shall conform to the following sizes:

Square Screen Size (mm)	Percentage Passing	Percentage Retained
150	100	0
75	0	100

Corrugated Steel Panels

Corrugated steel panels shall be prefabricated and shall be pressed or shop welded, with a slot or hooked section to facilitate coupling at the ends of the panels.

INSTALLATION

Temporary construction entrances shall be installed as follows:

1. Before placing the temporary entrance fabric, the areas shall be cleared of all trash and debris. Vegetation shall be removed to the ground level. Trash, debris, and removed vegetation shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.
2. A sump shall be constructed within 6 m of each temporary construction entrance as shown on the plans.
3. Before placing the temporary entrance fabric, the ground shall be graded to a uniform plane. The relative compaction of the top 0.5-m shall be not less than 90 percent. The ground surface shall be free of sharp objects that may damage the temporary entrance fabric, and shall be graded to drain to the sump as shown on the plans.
4. Temporary entrance fabric shall be positioned longitudinally along the alignment of the entrance, as directed by the Engineer.
5. The adjacent ends of the fabric shall be overlapped a minimum length of 300 mm.
6. Rocks to be placed directly over the fabric shall be spread in the direction of traffic, longitudinally and along the alignment of the temporary construction entrance.
7. During spreading of the rocks, vehicles or equipment shall not be driven directly on the fabric. A layer of rocks a minimum 150 mm thick shall be placed between the fabric and the spreading equipment to prevent damage to the fabric.
8. For Type 2 temporary construction entrances, a minimum of 6 coupled panel sections shall be installed for each temporary construction entrance. Before installing the panels, the ground surface shall be cleared of all debris to ensure uniform contact with the ground surface.

Fabric damaged during rock placement shall be repaired by placing a new piece of fabric over the damaged area. The piece of fabric shall be large enough to cover the damaged area and provide a minimum 450-mm overlap on all edges.

Details for a proposed alternative temporary construction entrance or alternative sump shall be submitted to the Engineer for approval at least 7 days before installation. The Contractor may eliminate the sump if approved in writing by the Engineer.

When no longer required as determined by the Engineer, temporary construction entrances shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Ground disturbance, including holes and depressions, caused by the installation and removal of the temporary construction entrance, including the sumps, shall be backfilled and repaired in conformance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

While the temporary construction entrance is in use, pavement shall be cleaned and sediment removed at least once a day, and as often as necessary when directed by the Engineer. Soil and sediment or other extraneous material tracked onto existing pavement shall not be allowed to enter drainage facilities.

MAINTENANCE

The Contractor shall maintain temporary construction entrances throughout the contract or until removed. The Contractor shall prevent displacement or migration of the rock surfacing or corrugated steel panels. Significant depressions resulting from settlement or heavy equipment shall be repaired by the Contractor, as directed by the Engineer.

Temporary construction entrances shall be maintained to minimize tracking of soil and sediment onto existing public roads.

If buildup of soil and sediment deter the function of the temporary construction entrance, the Contractor shall immediately remove and dispose of the soil and sediment, and install additional corrugated steel panels and spread additional rocks to increase the capacity of the temporary construction entrance.

Temporary construction entrances shall be repaired or replaced on the same day the damage occurs. Damage to the temporary construction entrance resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

MEASUREMENT AND PAYMENT

Quantities of temporary construction entrances will be determined from actual count in place.

The contract unit price paid for temporary construction entrance shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing temporary construction entrance, complete in place, including excavation and backfill, and removal, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The cost of maintaining the temporary construction entrance will be borne equally by the State and the Contractor. The division of cost will be made be determining the cost of maintaining temporary construction entrance in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications and paying to the Contractor one-half of that cost.

Cleanup, repair, removal, disposal, or replacement due to improper installation or the Contractor's negligence will not be considered as included in the cost for performing maintenance.

10-1.17 MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)

Move-in/move-out (temporary erosion control) shall include moving onto the project when an area is ready to receive temporary hydraulic mulch (bonded fiber matrix) as determined by the Engineer, setting up required personnel and equipment for the application of erosion control materials, and moving out all personnel and equipment when temporary erosion control in that area is completed. Temporary erosion control shall consist of any temporary soil stabilization practice specified elsewhere in these special provisions.

When areas are ready to receive applications of temporary hydraulic mulch (bonded fiber matrix), as determined by the Engineer, the Contractor shall begin erosion control work in that area within 5 working days of being notified by the Engineer.

Attention is directed to the requirements of temporary hydraulic mulch (bonded fiber matrix) specified elsewhere in these special provisions.

Quantities of move-in/move-out (temporary erosion control) will be determined as units from actual count. A move-in followed by a move-out will be considered one unit.

The contract unit price paid for move-in/move-out (temporary erosion control) shall include full compensation for furnishing all labor, materials (excluding temporary hydraulic mulch (bonded fiber matrix) materials), tools, equipment, and incidentals and for doing all the work involved in moving in and removing from the project all personnel and equipment necessary for application of temporary hydraulic mulch (bonded fiber matrix), as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.18 TEMPORARY DRAINAGE INLET PROTECTION

Temporary drainage inlet protection shall be constructed, maintained, and removed at the locations shown on the approved Storm Water Pollution Prevention Plan (SWPPP) in accordance with "Water Pollution Control" of these special provisions, and in accordance with the details shown on the plans and these special provisions.

Temporary drainage inlet protection shall be one of the water pollution control practices for sediment control. The SWPPP shall include the use of temporary drainage inlet protection.

The Contractor shall select the appropriate drainage inlet protection in accordance with the details to meet the conditions around the drainage inlet. Throughout the duration of the contract, the Contractor shall provide protection to meet the changing conditions around the drainage inlet.

Temporary drainage inlet protection shall be Type 1,3A,3B,4A,4B or 5.

MATERIALS

Erosion Control Blanket

The erosion control blanket shall be a rolled erosion control product (RECP) and shall be classified either as temporary and degradable or long-term and nondegradable, and shall conform to one of the following:

A. Temporary and degradable:

1. Machine produced mats consisting of curled wood excelsior with 80 percent of the fiber 150 mm or longer. The excelsior blanket shall be of consistent thickness with wood fiber evenly distributed over the entire area of the blanket. The top surface of the blanket shall be covered with extruded photodegradable plastic netting or lightweight nonsynthetic netting. The blanket shall be smolder resistant without the use of chemical additives and shall be nontoxic and noninjurious to plant and animal life. The excelsior blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.

2. Machine produced mats consisting of 70 percent straw and 30 percent coconut fiber with extruded photodegradable plastic netting or lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The straw and coconut shall adhere to the netting using thread or glue strip. The straw and coconut blanket shall be of consistent thickness, and straw and coconut fiber shall be evenly distributed over the entire area of the blanket. The straw and coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
3. Machine produced mats that are 100 percent coir consisting of coconut fiber with extruded photodegradable plastic netting or lightweight nonsynthetic netting on the top and bottom surfaces of the blanket. The coconut fiber shall adhere to the netting using thread or glue strip. The coconut blanket shall be of consistent thickness, with coconut fiber evenly distributed over the entire area of the blanket. The coconut fiber blanket shall be furnished in rolled strips with a minimum mass per unit area of 0.27-kg/m^2.
4. Machine woven netting that is 100 percent spun coir consisting of coconut fiber with an average open area of 63 percent to 70 percent. Coconut coir netting shall be furnished in rolled strips with a minimum mass per unit area of 0.40-kg/m^2.

B. Long-term and nondegradable:

1. Geotextile blanket shall conform to the provisions for rock slope protection fabric (Type A) in Section 88-1.04, "Rock Slope Protection Fabric," of the Standard Specifications.

Staples

Staples shall be as shown on the plans. An alternative attachment device such as geotextile pins or plastic pegs may be used instead of staples. The Contractor shall submit a sample of the alternative attachment device for the Engineer's approval before installation.

Rocks

Rocks shall conform to the requirements in Section 72-2.02, "Materials," of the Standard Specifications except that grading shall conform to the following sizes:

Square Screen Size (mm)	Percentage Passing	Percentage Retained
150	100	0
75	0	100

Fiber Roll

A fiber roll shall be one of the following:

A. Constructed with a premanufactured blanket made of one material or a combination of materials consisting of wood excelsior, rice or wheat straw, or coconut fibers. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a photodegradable plastic netting or biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
B. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The rolls shall be between 200 mm and 250 mm in diameter, between 3 m and 6 m in length, and shall weigh at least 1.6 kg/m. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll.

Wood Stakes

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or shall be a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

Gravel-filled Bags

Gravel-filled bag fabric shall be nonwoven polypropylene geotextile or polymer material and shall conform to the following requirements:

Specification	Requirements
Mass per unit area, grams per square meter, minimum. ASTM Designation: D 5261	270
Grab tensile strength (25-mm grip), kilonewtons, minimum. ASTM Designation: D4632*	0.89
Ultraviolet stability, percent tensile strength retained after 500 hours, ASTM Designation: D4355, xenon arc lamp method	70

* or appropriate test method for specific polymer

Gravel-filled bags shall be between 600 mm and 800 mm in length, and between 400 mm and 500 mm in width.

Yarn used for binding gravel bags shall be as recommended by the manufacturer or bag supplier and shall be of a contrasting color.

Gravel shall be between 10 mm and 20 mm in diameter, and shall be clean and free from clay balls, organic matter, and other deleterious materials. The opening of gravel-filled bags shall be secured to prevent gravel from escaping. Gravel-filled bags shall be between 13 kg and 22 kg in mass.

Silt Fence

At the Contractor's option, temporary silt fence shall be prefabricated or constructed with silt fence fabric, posts, and fasteners.

Silt fence fabric shall conform to the following requirements:

Specification	Requirements
Width, mm, min.	900
Grab tensile strength (25-mm grip), kilonewtons, minimum. in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	0.55
Elongation, percent minimum in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	15
Permittivity, 1/sec., minimum. ASTM Designation: D 4491	1.5
Flow rate, liters per minute per square meter, minimum. ASTM Designation: D 4491	400
Ultraviolet stability, percent tensile strength retained after 500 hours, minimum. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	70

Silt fence fabric shall be geotextile manufactured from woven polypropylene or polymer material. Silt fence fabric may be made of recycled materials. No materials shall contain biodegradable filler materials that can degrade the physical or chemical characteristics of the finished fabric. The Engineer may order tests to confirm the absence of biodegradable filler materials in conformance to the requirements in ASTM Designation: E 204.

Posts for temporary silt fences shall be one of the following:

A. Posts shall be untreated fir, redwood, cedar, or pine, shall be cut from sound timber, and shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Wood post shall be a minimum of 34 mm x 40 mm in size, and 4 feet in length. The end of the post to be embedded in the soil shall be pointed.
B. Posts shall be steel and have a "U," "T," "L," or other cross sectional shape that can resist failure from lateral loads. The steel posts shall have a minimum mass per length of 1.1 kg/m and a minimum length of 1.2 m. One end of the steel posts shall be pointed and the other end shall be capped with an orange or red plastic safety cap which fits snugly to the steel post. The Contractor shall submit to the Engineer for approval a sample of the capped steel post before installation.

Fasteners for attaching silt fence fabric to posts shall be as follows:

A. When prefabricated silt fence is used, posts shall be inserted into sewn pockets.
B. Silt fence fabric shall be attached to wooden posts with nails or staples as shown on the plans or as recommended by the manufacturer or supplier. Tie wire or locking plastic fasteners shall be used to fasten the silt fence fabric to steel posts. Maximum spacing of fasteners shall be 200 mm along the length of the steel post.

Foam Barriers

The foam barrier fabric cover and skirt shall be a woven polypropylene fabric with a minimum tensile strength of 0.44-kN, conforming to ASTM Designation: D 4632. The prefabricated fabric shall be high visibility orange in color that is integral to the fabric; painting shall not be allowed. The fabric shall have an ultraviolet stability exceeding 70 percent.

The foam core shall be urethane foam and shall be shaped and dimensioned as shown on the plans.

Adhesive for foam barriers shall be a solvent-free rubber modified asphalt emulsion. The color of the emulsion shall be brown when wet and shall have a drying period of not more than 3 hours.

Anchoring nails or spikes for foam barriers shall be a minimum of 25 mm in length and capable of penetrating concrete or asphalt surfaces.

Sediment Filter Bag

Sediment filter bag fabric shall be geotextile manufactured from woven polypropylene or polymer material. Sediment filter bag fabric may be made from recycled polymer materials. Polymer materials shall not contain biodegradable filler materials and shall conform to the requirements in ASTM Designation: E 204.

Sediment filter bag fabric shall conform to the following requirements:

Specification	Requirements
Grab tensile strength (25-mm grip), kilonewtons, minimum. in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	1.35
Elongation, percent minimum in each direction ASTM Designation: D 4632 or appropriate test method for specific polymer	15
Permittivity, 1/sec., minimum. ASTM Designation: D 4491	1.5
Flow rate, liters per minute per square meter, minimum. ASTM Designation: D 4491	8140
Ultraviolet stability, percent tensile strength retained after 500 hours, minimum. ASTM Designation: D 4355 (xenon-arc lamp and water spray weathering method)	80

The sediment filter bag shall be sized to fit the catch basin or drainage inlet and shall be complete with lifting loops and dump straps attached at the bottom to facilitate emptying of the sediment filter bag. The sediment filter bags shall have a restraint cord approximately halfway up the bag to keep the sides away from the catch basin walls.

INSTALLATION

Temporary drainage inlet protection shall be installed at drainage inlets in paved and unpaved areas as follows:

A. Temporary drainage inlet protection shall be installed such that ponded runoff does not encroach on the traveled way or overtop the curb or dike. Gravel-filled bags shall be placed to control ponding and prevent runoff from overtopping the curb or dike.
B. The bedding area for the temporary drainage inlet protection shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
C. A temporary linear sediment barrier shall be installed up-slope of the existing drainage inlet and parallel with the curb, dike, or flow line to prevent sediment from entering the drainage inlet.

Erosion Control Blanket and Geotextile Fabric

The erosion control blanket and geotextile fabric shall be secured to the surface of the excavated sediment trap with staples and embedded in a trench adjacent to the drainage inlet. The perimeter edge of the erosion control blanket and geotextile fabric shall be anchored in a trench.

Silt Fence

Silt fence shall be installed along the perimeter of the erosion control blanket or geotextile fabric, with the posts facing the drainage inlet. The trench shall be backfilled and tamped to secure the silt fence fabric in the bottom of the trench.

Gravel-filled Bags

Gravel-filled bags shall be stacked to form a gravel bag barrier. The gravel-filled bags shall be placed so that the bags are tightly abutted and overlap the joints in adjacent rows. A spillway shall be created by removing one or more gravel-filled bags from the upper layer of the gravel bag barrier.

Gravel-filled bags shall only be used within shoulder areas when placed behind temporary railing (Type K).

Fiber Rolls

Fiber rolls shall be placed over the erosion control blanket or geotextile fabric with the ends of the fiber roll abutted tightly together. Fiber rolls shall be secured with stakes installed along the length of the fiber rolls. Stakes shall not be installed within 300 mm of the end of the rolls.

Foam Barriers

Foam barriers shall be installed in individual sections adjacent to existing drainage inlets. Foam barriers shall be securely attached to the pavement according to the angle and spacing shown on the plans. Foam barriers shall be installed flush against the sides of concrete, asphalt concrete, or hot mix asphalt curbs, dikes, and pavement with the inner material and fabric cover cut smoothly and evenly to provide a tight flush joint.

Sediment Filter Bags

Sediment filter bags shall be installed by removing the drainage inlet grate, placing the sediment bag in the opening, and replacing the grate to secure the sediment filter bag in place.

MAINTENANCE

Temporary drainage inlet protection shall be maintained to provide sediment holding capacity and to reduce runoff velocities. Temporary drainage inlet protection shall be repaired or replaced immediately after the damage occurs.

Sediment deposits, trash, and debris shall be removed from temporary drainage inlet protection as needed or when directed by the Engineer. Removed sediment shall be deposited within the project limits so that the sediment is not subject to erosion by wind or by water. Trash and debris shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

At locations where rills and other evidence of concentrated runoff have occurred beneath the drainage inlet protection, the protection shall be adjusted to prevent another occurrence.

Temporary silt fence shall be repaired or replaced when silt fence fabric becomes split, torn, or unraveled. Sagging or slumping silt fence shall be repaired with additional stakes or replaced. Broken or split stakes shall be replaced. Temporary silt fence shall be maintained to provide a sediment holding capacity of approximately 1/3 the height of the silt fence fabric above ground.

Sediment in excess of 50 mm above the surface of the erosion control blanket or geotextile fabric shall be removed.

Sediment deposits shall be removed when the deposit is 1/3 the height of the gravel bag barrier or one half the height of the spillway; whichever is less.

Gravel-filled bags shall be replaced when the bag material ruptures or when the binding fails.

Split, torn, unraveling, sagging, or slumping fiber rolls shall be replaced or repaired.

Foam barriers shall be repaired or replaced when the geotextile fabric cover becomes split, torn, or unraveled. Foam barriers that become detached or dislodged shall be reattached to the pavement. Sediment deposits shall be removed when the deposit reaches 1/3 of the foam barrier height.

Sediment filter bags shall be emptied when the restraint cords are no longer visible. Sediment filter bags shall be emptied by placing 25 mm steel reinforcing bars through the lifting loops. The bag shall be emptied of its contents and rinsed before replacement in the drainage inlet.

REMOVAL

When the temporary drainage inlet protection is no longer required the protection materials shall be removed and disposed of in accordance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Holes, depressions, or other ground disturbance caused by the removal of the temporary drainage inlet protection shall be backfilled and repaired in accordance with the provisions in Section 15-1.02, "Preservation of Property," of the Standard Specifications.

MEASUREMENT

Quantities of temporary drainage inlet protection will be determined from actual count in place. The protection will be measured one time only and no additional measurement will be recognized.

PAYMENT

The contract unit price paid for temporary drainage inlet protection shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the temporary drainage inlet protection, complete in place, including maintenance, removal of materials, including cleanup and disposal of retained sediment and debris, and backfilling and repairing holes, depressions and other ground disturbance, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No additional compensation will be made if the temporary drainage inlet protection changes during the course of construction.

10-1.19 TEMPORARY SHUTTLE VAN SERVICE

The Contractor shall provide a temporary shuttle van service for transporting general public and passengers on Yerba Buena Island (YBI) to reduce number of personal vehicles and to facilitate the construction of the bridge, as directed by the Engineer. The service will operate as follows:

A. The Contractor shall contract temporary shuttle van service with a vendor who will provide 16-passenger ADA compliant/lift-equipped vans and qualified and experienced operators, as required. The Contractor shall contract temporary shuttle van service with a vendor who will provide 16-passenger ADA compliant/lift-equipped vans and qualified and experienced operators. The shuttle van service vendor shall maintain the required insurances and a current Charter Party permit or Passenger Stage permit issued by the State of California Public Utilities Commission applicable to operate as required, and provide a copy to the Engineer.
B. The shuttle route and the planned stops shall be along Macalla Road and Treasure Island Road, as shown on the plans and as approved by the Engineer. The shuttle will service passengers on YBI and depart from the Treasure Island (TI) Main Gate, proceeding along Macalla Road, with proposed turnaround point noted as the U.S. Coast Guard Station Gate at the end of Macalla Road. A round trip is approximately 2.3 miles long and takes approximately 16 minutes.
C. The shuttle route and the schedule shall connect and conform to the existing San Francisco Municipal Railway (Muni) Route 108 motor coach service, which operates a bus service on YBI and TI from the Transbay Terminal in downtown San Francisco. Based on the existing Muni Route 108 schedule, it is estimated that two vans will be needed when 15 minute service is provided on Muni Route 108 and one van would be needed at all other times. The Contractor shall coordinate with San Francisco MUNI's Service Planning Department at (415) 934-3999, at 15 working days prior to commencing service.
D. Any schedule changes in the SF Muni Route 108 Motor Coach Service shall be incorporated in the Temporary Shuttle Van Service provided by the Contractor.
E. The shuttle shall provide a timed transfer with Muni Route 108 at the TI Main Gate.
F. The service shall operate 24 hours a day, seven days a week, once Southgate Road is closed to general public traffic simultaneously with the closure of eastbound 80 off-ramp, or at the discretion of the Engineer.
G. The service shall be free to the public/passengers.
H. Costs for providing temporary shuttle van service will be made in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

10-1.20 COOPERATION

It is anticipated that work by another contractor may be in progress adjacent to or within the limits of this project during progress of the work on this contract. The following table lists contracts anticipated to be in progress during this contract.

Contract No.	Co-Rte-KP	Location	Type of Work
04-0120F4	04-SF-80-KP 13.2/KP 13.9	Yerba Buena Island	Construct Self-Anchored Suspension Bridge Superstructure
04-0120L4	04-Ala-80- KP 1.6 and KP 2.7	San Francisco-Oakland Bay Bridge Toll Plaza, in Oakland,	Construct Oakland Touchdown westbound roadway and eastbound structures and roadway
04-0120M4	04-Ala-80- KP 1.6/ KP 2.7	San Francisco-Oakland Bay Bridge Toll Plaza, in Oakland,	Construct Oakland Touchdown westbound roadway and eastbound structures and roadway
04-0120N4	04-SF-80-SF/Ala-KP 12.6/ KP 2.7	Yerba Buena Island	Install electrical systems
04-0120R4	04-SF-80- KP 12.6/ KP 13.2	Yerba Buena Island	Construct Temporary Bypass Structure
04-0120T4	04-SF-80-KP 12.9/KP 13.2	Yerba Buena Island	Constructing Yerba Buena Island Structures (portion), and reconstruct ramps and roadways
04-014034	04-Ala-80-KP 12.9/KP 13.2	Maintenance Yard	Construct Substation in Maintenance
04-0435V4	04-SF-80-KP 7.9/KP 9.5	From West Anchorage San Francisco-Oakland Bay Bridge to 5th Street On/Off-Ramps	Seismic Retrofit West Anchorage San Francisco-Oakland Bay Bridge

Comply with Section 7-1.14, "Cooperation," of the Standard Specifications.

10-1.21 PHOTO SURVEY/PUBLIC RELATIONS

The Contractor shall provide time-lapse video and still photography to document pre-construction conditions, and progress and completion of the work, and facilitate public relation activities as directed by the Engineer. Photography will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications, and will not be considered a special service as specified in Section 9-1.03B of the Standard Specifications.

10-1.22 PROGRESS SCHEDULE (CRITICAL PATH METHOD)

Progress schedules will be required for this contract. Progress schedules shall utilize the Critical Path Method (CPM). Attention is directed to "Cooperation," and "Obstructions" of these special provisions. Nothing in these special provisions shall be construed as relieving the Contractor from the responsibilities specified in Section 7, "Legal Relations and Responsibility," of the Standard Specifications. All schedules are required to reflect a reasonable plan to execute the contract scope of work. The Contractor shall be solely responsible for the content of the schedules and the execution of all contract requirements.

The provisions in Section 8-1.04, "Progress Schedule," of the Standard Specifications shall not apply.

DEFINITIONS

The following definitions apply to this section "Progress Schedule (Critical Path Method)":

A. Activity: A task, event or other project element on a schedule that contributes to completing the project. Activities have a description, start date, finish date, duration and one or more logic ties.
B. Bar Chart (Gantt Chart): A graphic display of scheduled-related information, activities or other project elements are listed down the left side of the chart, dates are shown across the top, and activity durations are shown as date-placed horizontal bars.
C. Baseline Schedule: The initial CPM schedule representing the Contractor's original work plan, as accepted by the Engineer.
D. Contract Completion Date: The current extended date for completion of the contract shown on the weekly statement of working days furnished by the Engineer in accordance with Section 8-1.06, "Time of Completion," of the Standard Specifications.

E. Controlling Operation: The activity considered at the time by the Engineer, within that series of activities defined as the critical path, which if delayed or prolonged, will delay the time of completion of the contract.
F. Critical Path: The series of activities, which determines the earliest completion of the contract (Forecast Completion Date). This is the longest path of activities having the least amount of float.
G. Critical Path Method: A network based planning technique using activity durations and the relationships between activities to mathematically calculate a schedule for the entire project.
H. Data date: The day after the date through which a schedule is current. Everything occurring earlier than the data date is "as-built" and everything on or after the data date is "planned."
I. Delay: The time period during which some part of the construction project has been extended beyond what was originally planned due to unanticipated circumstances. A delay occurs when the respective activity or group of activities, requiring additional time, impacts the completion of the successor construction activity and also extend the scheduled contract completion date. Concurrent Delay: Two or more delays occurring simultaneously or overlapping. Each delay when analyzed separately impacts the contract completion date.
J. Early Completion Time: The difference in time between the current contract completion date and the Contractor's scheduled early forecast completion date as shown on the accepted baseline schedule, or schedule updates and revisions.
K. Float: The amount of time between the early start date and the late start date, or the early finish date and the late finish date, of any activity or group of activities in the network.
L. Hammock Activity: An activity added to the network to span an existing group of activities for summarizing purposes.
M. Milestone: An event activity that has zero duration and is typically used to represent the beginning or end of a certain stage of the project.
N. Narrative Report: A document submitted with each schedule that discusses topics related to project progress and scheduling.
O. Near Critical Path: A chain of activities having 30 days or less of total float.
P. Revision: A change in the future portion of the schedule that modifies logic, adds or deletes activities, or alters activities, sequences, or original durations.
Q. Scheduled Completion Date: The planned project finish date shown on the current accepted schedule.
R. State Owned Float Activity: The activity documenting time saved on the critical path by actions of the State. It is the last activity prior to the scheduled completion date.
S. Time Impact Analysis: A schedule evaluation and narrative report developed specifically to demonstrate what effect a proposed change or delay has on the current scheduled completion date.
T. Time Scaled Logic Diagram: A schematic display of the logical relationships of project activities, drawn from left to right to reflect project chronology with the positioning and length of the activity representing its duration.
U. Total Float: The amount of time that an activity may be delayed before extending the project completion.
V. Update Schedule: The current schedule based on the baseline or subsequent schedule through a regular review to incorporate actual progress to-date by activity and to reflect the current plan to complete the project.

PRECONSTRUCTION SCHEDULING CONFERENCE

The Engineer will schedule and conduct a Preconstruction Scheduling Conference with the Contractor's Project Manager and Construction Scheduler within 1 week after the bidder has received the contract for execution. At this meeting, the requirements of this section of the special provisions will be reviewed with the Contractor. The Contractor shall be prepared to discuss its schedule methodology, proposed sequence of operations, the activity identification system for labeling all work activities, the schedule file numbering system, and any deviations it proposes to make from the Stage Construction Plans.

The Contractor shall propose to the Engineer for approval a set of logical project codes, filters, and layouts, to group and organize the work activities. The Engineer may submit a scheduling shell project displaying an activity code dictionary consisting of fields populated with the Caltrans scheduling codes, filters, layouts, reports formats, and a resource dictionary to be incorporated into the schedule. Periodically, the Engineer may request the Contractor to utilize additional filters, layouts, or activity codes to further group or summarize work activities.

The Engineer and the Contractor shall review the requirements for all submittals applicable to the contract and discuss their respective preparation and review durations.

GENERAL SCHEDULE ITEMS

The Contractor shall be responsible for assuring that all work sequences are logical and the network shows a coordinated plan for complete performance of the work consistent in all respects to the time and order of work requirements of the contract. Failure of the Contractor to include any element of work required for the performance of the contract in the network shall not relieve the Contractor from completing all work within the time limit specified for completion of the contract. If the Contractor fails to define any element of work, activity or logic, the Contractor shall correct such errors on the

next update or revision schedule and shall include an explanation in the schedule narrative. Any impacts as a result of this error or omission shall be mitigated by the Contractor at their own expense.

The following items are applicable to all schedules:

A. The schedule shall identify all project characteristics, salient features, or interfaces, including those with outside entities that could affect time of completion.
B. Schedule activities shall identify project start date, scheduled completion date, and project milestones
C. Activity descriptions are not to be revised when the scope of the activity is changed. The existing activity shall be deleted and a new activity shall be added.
D. The schedule shall be constructed using the Precedence Diagramming Method (PDM) technique.
E. Scheduled activities shall show the identification of Work performed by the Contractor, subcontractors, fabricators and suppliers.
F. All activities shall be assigned a new and unique Activity Identification Number.
G. All construction activities shall have durations of not more than 20 days, unless otherwise accepted by the Engineer. All activities should be of appropriate duration to progress the Work. The Engineer may request contractor to provide an additional detail of activities, including shop drawings or fabrication activities, in order to properly monitor the progress of Work.
H. All task activities shall be assigned to a project calendar. Each calendar shall identify a workweek, and holidays. Different calendars shall be used for work activities that occur on different work schedules. Activities for the preparation and the review of submittals; offsite fabrication, and material/equipment deliveries are to be assigned to the same calendar unless accepted by the Engineer. All non-activity periods for environmental work restrictions shall be identified with the appropriate calendars.
I. All out of sequence activities identified on the scheduling and leveling report shall be reviewed and their relationships changed.
J. The Contractor shall not add weather days to the project calendar without approval by the Engineer. All activities, with the exception of the first and last activities, shall have a minimum of one predecessor and of one successor.
K. Negative lags shall not be used.
L. Contractor shall used retained logic when establishing schedule calculation.
M. Once the Baseline Schedule is accepted, there shall be no modifications to the Project Calendar unless approved by the Engineer.
N. The Contractor shall provide to the Engineer two copies of all schedules on electronic medium, together with printed copies of the network diagrams or bar charts and tabular reports described under "Project Schedule Reports", and the Schedule Narrative Report.
O. Use of additional logic ties to represent resource sequencing should be kept to a minimum.
P. Activities and milestones representing the interface with neighboring contractors, agencies, or other outside entities and as stated by these Special Provisions, shall be reflected in the schedule.
Q. Submittals, reviews, deferred submittal review periods, and outside agency submittal review periods shall be included in the schedule with sufficient duration being assigned to each activity. The Contractor shall be responsible for all impacts resulting from any re-submittal, the effects of partial or incomplete submittal.
R. Codes for responsibility, stage, work shifts, location and contract pay items numbers shall be utilized.
S. State owned float shall be considered a resource for the exclusive use of the State. The Engineer may accrue State owned float by the early completion of review of any type of required submittal when it saves time on the critical path. The Contractor shall document State owned float by updating the State owned float activity on the next schedule update. State owned float shall be the second to last activity in the schedule of which the successor is the Scheduled Completion Date. No other activity shall be scheduled to occur during the Stated Owned Float Activity. The Contractor shall include a log of the action on the State owned float activity and include a discussion of the actions in the narrative report. The Engineer may use State owned float to mitigate past or future State delays by offsetting potential time extensions.
T. Testing and settlement periods, utility notification and relocations, major traffic stage switches, and any other activity affecting the Work shall be identified on the project schedule.
U. The baseline schedule shall be resource loaded.

The Engineer's review and acceptance of schedules shall not waive any contract requirements and shall not relieve the Contractor of any obligation there under or responsibility for submitting complete and accurate information. Schedules that are rejected shall be corrected by the Contractor and resubmitted to the Engineer within 5 days of notification by the Engineer, at which time a new review will begin.

INTERIM BASELINE SCHEDULE

Within 2 weeks after approval of the contract or at the pre-construction conference, whichever is later, the Contractor shall submit to the Engineer an Interim Baseline Project Schedule which will serve as the progress schedule for the first 120 days of the project, or until the Baseline Schedule is accepted, whichever is sooner. The Interim Baseline Schedule shall utilize the critical path method of scheduling and be performed on the same software as the Baseline Schedule. The Interim Baseline Schedule shall depict how the Contractor plans to perform the work for the first 120 days of the contract. Additionally, the Interim Baseline Schedule shall show all required submittals working drawings, and review periods, and shall provide for all permits, and other non-work activities necessary to begin the work. Beyond the first 120 days of the project, the Contractor shall depict the remainder of the project in a summary form, reflecting the duration of the contract, grouped by major project component. The summary schedule portion is for information purposes only and is to be used as a reference until the Baseline Schedule is accepted. The Interim Baseline Schedule submittal shall include the data files used to generate the schedule on electronic medium.

The Engineer shall be allowed 2 weeks to review the schedule and to provide comments, including the Contractor's application of the supplied activity codes. All comments are to be implemented into the Baseline Schedule. Re-submittal of the Interim Baseline Schedule is not required. Late review of the Interim Baseline Schedule shall not restrain the submittal of the Baseline Schedule. No contract payments shall be made to the Contractor until an Interim Baseline Schedule is submitted in accordance with the above requirements.

BASELINE SCHEDULE

Within 6 weeks of approval of the contract, Contractor shall submit to the Engineer a Baseline Project Schedule in accordance with the Contract Provisions. The Baseline Schedule shall have a data date of the day prior to the first working day of the contract. The schedule shall not include any actual start dates, actual finish dates, or constraint dates (except for Contract Milestone dates, NTP, and Project Completion) and activities scheduled to start or finish between the data date and the run date shall reflect dates that can be attained. The Project Completion Activity may have a finish-no-later-than constraint. The Baseline Schedule shall meet interim milestone dates, contract milestone dates, stage construction requirements, internal time constraints, show logical sequence of activities, and must not extend beyond the number of days originally provided for in the contract.

The Baseline Schedule shall depict how the Contractor plans to complete the whole work involved, and shall show all activities that define the critical path. Multiple critical paths and near-critical paths shall be kept to a minimum, as determined by the Engineer.

The Baseline schedule submitted to the Engineer shall comply with all limits imposed by the contract, with all specified intermediate milestone and contract completion dates, and with all constraints, restraints or sequences included in the contract. The degree of detail shall include the general requirements as stated above including, but not limited to:

A. All purchases, submittals, submittal reviews, manufacture, fabrication, tests, delivery, and installation activities for all major materials and equipment, including submittal of requests for audits of manufacturers and fabricators in conformance with "Manufacturing and Fabrication Qualification Audit for Materials" of these special provisions;
B. Identification of interfaces and dependencies with preceding, concurrent and follow-on contractors, railroads, and utilities as shown on the plans or specified in the specifications;
C. Identification of each utility relocation and interface as a separate activity, including activity description and responsibility coding that identifies the type of utility and the name of the utility company involved;
D. Actual tests, submission of test reports, and approval of test results;
E. All start-up, testing, training, and assistance required under the Contract;
F. Punchlist and final clean-up;
G. Identification of any manpower, material, or equipment restrictions, as well as any activity requiring unusual shift work, such as double shifts, 6 or 7-day weeks, specified overtime, or work at times other than regular days or hours. Any unusual shift periods will be specified in the Contract otherwise all activities shall be scheduled as a regular Working Day.
H. Identification of each and every ramp closing and opening event as a separate one day activity, including designation by activity coding and description that it is a north-bound, south-bound, east-bound, west-bound, and entry or exit ramp activity;

The Engineer will be allowed 2 weeks to review and accept or reject the baseline project schedule submitted. Rejected schedules shall be resubmitted to the Engineer within 1 week, at which time a new 2 week review period by the Engineer will begin. The baseline schedule submittal is not complete until the scheduling equipment and software are provided.

EARLY AND LATE COMPLETION SCHEDULES

The Contractor may show early completion time on any schedule provided that the requirements of the contract are met. Early completion time shall be considered a resource for the exclusive use of the Contractor. The Contractor may increase early completion time by improving production, reallocating resources to be more efficient, performing sequential activities concurrently or by completing activities earlier than planned. The Contractor may also submit for approval a cost reduction incentive proposal in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications that will reduce time of construction.

After approval of the Baseline Schedule, should Contractor show a scheduled completion date that is later than the contract completion the Contractor shall provide a detailed explanation for the scheduled completion date in the schedule narrative. During any period in which the schedule shows negative float, the Engineer may require a recovery schedule depicting how the Contractor intends to bring the project back to the approved project completion date. This recovery schedule is in addition to the updated schedule.

The Engineer may adjust contract working days for ordered changes that affect the scheduled completion date, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications. The Contractor shall prepare a time impact analysis to determine the effect of the change in conformance with the provisions in "Time Impact Analysis" specified herein, and shall include the impacts acceptable to the Engineer in the next update schedule.

SCHEDULE RESOURCE ALLOCATIONS/LOADING

The Baseline Schedule shall be supplemented with resource allocations for every major task activity to a level of detail that facilitates report generation based on jobhours for labor craft, equipment class, fabricators, and suppliers. The Contractor shall also submit to the Engineer time-scaled resource histograms of the labor crafts and major equipment to be utilized on the contract. The Contractor shall not create hammock activities for the purpose of crew size, material and resource loading unless approved by the Engineer.

The Contractor shall optimize labor to reflect a reasonable plan for accomplishing the work of the contract and to assure that resources are not over committed in concurrent activities.

The Baseline schedule submitted to the Engineer shall include:

A. Separate resource graphs for the Contractor's labor, equipment and critical path labor, with an accompanying analysis of each.
B. Equipment and labor shall be differentiated by a cost account code within the resource dictionary.

Added or changed activities included in Update, Revision, and other schedules require, at a minimum, the same resource requirements as the baseline schedule.

PROJECT SCHEDULE TABULAR REPORT

A Tabular Schedule Report shall be produced for each schedule update on 8 ½ x 11 medium. This tabular report shall show the following information and is to be produced by the Scheduling Software:

A. Activity number and description;
B. Original, actual and remaining durations;
C. Early start date (by calendar date);
D. Early finish date (by calendar date);
E. Actual start date (by calendar date);
F. Actual finish date (by calendar date);
G. Late start date (by calendar date);
H. Late finish date (by calendar date);
I. Identify activity calendar ID;
J. Total Float; and
K. Percent complete.

PROJECT SCHEDULE NARRATIVE

All schedules submitted to the Engineer will be accompanied by a Schedule Narrative Report. The report shall describe the physical progress during the report period, plans for continuing the work during the forthcoming report period, actions planned to correct any negative float, and an explanation of potential delays or problems and their estimated impact on performance, milestone completion dates, forecast completion date, and the overall project completion date. In addition, alternatives for possible schedule recovery to mitigate any potential delay or cost increases shall be included for consideration by the Engineer.

The report shall follow the outline set forth below:

A. Work completed during the period;
B. Description of the current critical path;
C. Description of current problem areas;

- Current and anticipated delays;
- Cause of the delay;
- Corrective action and schedule adjustments to correct the delay; and
- Impact of the delay on other activities, milestones, and completion dates;

D. Changes in construction sequences;
E. Pending items and status thereof;

- Permits;
- Change Orders;
- Time Extensions; and
- Non-Compliance Notices;
- Notice of Potential Claims

F. Contract completion date(s) status;
G. Ahead of schedule and number of days; and
H. Behind schedule and number of days; and
I. Response to Previous Schedule Comments
J. Reconciliation to key contract dates including Contract Change Orders (CCO's), weather days, and time extensions

PROJECT SCHEDULE NETWORK DIAGRAM (BAR CHART)

Network diagrams or bar charts shall be sorted and grouped in a format requested by the Engineer reflecting the breakdown per the activity codes. They shall show a continuous flow of information from left to right per the project sorting and grouping codes. The primary paths of criticality shall be clearly and graphically identified on the diagrams or charts. The network diagram or bar chart shall be prepared on E-size sheets (914-mm x 1219-mm), shall have a title block in the lower right-hand corner, and a timeline on each page. The critical path shall be depicted in Red. Portions of the network diagram on which all activities are complete need not be reprinted and submitted in subsequent updates. However, the submitted schedule and the related reports shall constitute a clear record of progress of the work from award of contract to final completion.

MONTHLY UPDATE SCHEDULE

The Contractor shall submit a Monthly Updated Schedule and related reports to the Engineer once in each month within 1 week of the data date. The proposed update schedule prepared by the Contractor shall include all information available as of the 20th day of the month, or other data date as established by the Engineer.

The Monthly Updated Schedule shall show the status of the work actually completed to date and the work yet to be performed as planned. Actual activity start dates, percent complete, finish dates must be shown as applicable. Duration for work that has been completed must be shown on the updated schedule as the work actually occurred, including Engineer submittal review and contractor resubmittal times.

The Monthly Update Schedule may include modifications to the future plan such as adding or deleting activities or changing activity constraints, durations or logic that do not (1) alter the critical path or near critical paths or (2) extend the scheduled completion date compared to that shown on the current accepted schedule. The reasons for the modifications shall be justified in the Narrative report submitted with the Monthly Schedule Update. If any proposed modification in planned work will result in (1) or (2) above, then a Revision Schedule shall be submitted.

On a date determined by the Engineer, the Contractor shall meet with the Engineer to review the monthly update schedule. At the monthly progress meeting, the Contractor and the Engineer shall review the updated schedule and shall discuss the content of the Narrative Report. The Engineer will be allowed 2 weeks after the meeting to review and accept or reject the update schedule submitted. Rejected schedules shall be resubmitted to the Engineer within 1 week, at which time a new 1 week review period by the Engineer will begin. All efforts shall be made between the Engineer and the Contractor to complete the review and the acceptance process prior to the next update schedule data date. To expedite the process, a second meeting between the Engineer and the Contractor may be held.

SUBMITTAL REQUIREMENTS

The Baseline and Monthly Schedule Updates shall include, at a minimum, the following reports in the quantities as shown:

A. Contractor's Transmittal Letter;
B. 2 copies of the Project Schedule Tabular Report (8.5 in x 11 in size);
C. 2 copies of the Project Schedule Narrative Report;
D. 1 set of the Project Schedule Network Diagrams (Bar Charts) (E-Size-color);
E. 2 copies of the schedule on electronic medium, compressed into a single file, with access restriction removed, sent to the Engineer. This is to be submitted on CD-ROM;
F. 1 copy of the schedule e-mailed to the Engineer.

WEEKLY SCHEDULE PROGRESS MEETINGS

The Engineer and the Contractor shall hold weekly scheduling meetings to discuss the near term schedule activities, to address any long-term schedule issues, address the weekly controlling operation, and to discuss any relevant technical issues. This weekly meeting can be a part of the Weekly Progress Meeting held with the Contractor.

The Contractor shall develop a rolling 4-weeks schedule identifying the previous week worked and a 3-week look ahead. The 4-week rolling schedule form and software shall be approved by the Engineer. It shall provide sufficient detail to include the actual and planned activities of the Contractor and all the subcontractors for offsite and construction activities, addressing all activities to be performed and to identify issues requiring engineering action or input.

Each activity in the 4 week rolling schedule should be identified by an associated CPM schedule activity ID numbering system as indicated in the Baseline schedule or the last accepted update schedule. This schedule should not be hand written.

REVISION SCHEDULE

If the Contractor desires to make a change to the accepted schedule, the Contractor shall request permission from the Engineer in writing, stating the reasons for the change, and proposed revisions to activities, logic and duration. A detailed list of all proposed schedule changes such as logic, duration, lead/lag, forecast completion date, additions and deletions shall be submitted with the revision schedule. The revised schedule shall also include a narrative explanation of the revisions and their impact to the schedule. The Contractor shall submit for acceptance an analysis showing the effect of the revisions on the entire project. The analysis shall include:

A. An updated schedule not including the revisions. The schedule shall have a data date just prior to implementing the proposed revisions and includes a project completion date;
B. A revised schedule that includes the proposed revisions. The schedule will have the same data date as the updated schedule and include a project completion date;
C. The Contractor should add resources for all new activities, also adjust resources for those activities that their remaining duration were changed;
D. A narrative explanation of the revisions and their impact to the schedule;
E. Computer files of the updated schedule and the revised schedule sequentially numbered or renamed for archive (record) purposes.

The Engineer will provide a response within 2 weeks to Contractor's proposed schedule revisions. Within 3 weeks, the Contractor shall submit a revision schedule for acceptance. In addition, a revision schedule shall be submitted when requested by the Engineer, or when any of the following occurs:

A. There is a significant change in the Contractor's operations that will affect the critical path;
B. The current updated schedule indicates that the contract progress is 4 weeks or more behind the planned schedule, as determined by the Engineer; or
C. The Engineer determines that an approved or anticipated change will impact the critical path, milestone or completion dates, contract progress, or work by other contractors.

The Engineer shall be allowed 2 weeks to review and accept or reject a revision schedule. Rejected revision schedules shall be revised and resubmitted to the Engineer within 2 weeks, at which time a new 2 week review period by the Engineer will begin. Only upon acceptance of a change by the Engineer shall it be reflected in the next schedule update submitted by the Contractor.

TIME IMPACT ANALYSIS

When the Contractor requests a time adjustment due to contract change orders or delayed activities or if the Contractor or the Engineer considers that an approved or anticipated change will impact the critical path or contract progress, the Contractor shall submit to the Engineer a written Time Impact Analysis illustrating the impact of each change or delay to the current contract completion date or milestone completion date, utilizing the current accepted schedule. Each Time Impact Analysis shall include a schedule update (an accepted schedule with a data date within the previous month of the event) reflecting the "before conditions", and revision schedule reflecting the "after condition", both with the same data dates, demonstrating how the Contractor proposes to incorporate the change order or delay into the current schedule. The revision schedule shall include the sequence of activities and any revisions to the existing activities to demonstrate the impact of the delay, or change into the schedule. The Time Impact Analysis shall also include proposed mitigation measures or work arounds including but not limited to alternate work calendars, re-sequencing of other activities, or performing work activities out-of-sequence to minimize the impact of the change order or the delayed activities.

Each Time Impact Analysis shall demonstrate the estimated or actual time impact based on the events of delay, the estimated or actual date of the contract change order work performance, the status of construction at that point in time, and the event time computation of all activities affected by the change or delay. The event times used in the analysis shall be those included in the latest accepted update of the current schedule in effect at the time the change or delay was encountered.

Time extensions will be granted only to the extent that equitable time adjustments for the activity or activities affected exceed the total or remaining float along the critical path of activities from the time of actual delay, or from the time the contract change order work is performed. Mitigation measures shall be included in the schedule analysis. The Time Impact Analysis shall also consider the use of State owned float as a mitigation measure. Time extensions will not be granted nor will delay damages be paid unless:

A. The delay is beyond the control and without the fault or negligence of the Contractor and its subcontractors or suppliers, at any tier; and
B. The delay extends the actual performance of the work beyond the current contract completion date.
C. The delay impacts a fabrication or construction activity – delays to the Contractor's submittal or shop drawing process must impact a successor fabrication or construction activity. The Time Impact Analysis shall be based on the impact to fabrication or construction activities.

Time Impact Analyses shall be submitted within 3 weeks after the start of the activity initiating the delay occurs or after initiation of the contract change order. The schedule files will be submitted on electronic medium along with the Time Impact Analysis, which shall include a narrative description of the delay, its impact on contract completion or milestone dates and proposed mitigation measures. Mitigation measures utilized to minimize the impact of the change order or delay shall include but are not limited to work arounds, re-sequencing of work, alternate work calendars, increased resources, expedited procurement and use of State owned float.

A response to each Time Impact Analysis by the Engineer will be made within 3 weeks after receipt of the Time Impact Analysis. The Engineer's review shall utilize actual data unless it is appropriate to use estimated data and shall consider the effects of concurrent delays. Resolution of each Time Impact Analysis by the Engineer shall be completed after all effects of the disruption are documented, which may include mitigation measures. A copy of the Time Impact Analysis accepted by the Engineer shall be returned to the Contractor and the accepted revision schedule illustrating the impact of the contract change orders or delays shall be incorporated into the project schedule during the first update after acceptance. The Engineer may, at his option, construct and utilize the project as-built schedule or other method to determine adjustments in contract time.

FINAL SCHEDULE UPDATE

Within 3 weeks after the acceptance of the contract by the Director, the Contractor shall submit a final update of the schedule with actual start and actual finish dates for all activities. This schedule submission shall be accompanied by a certification, signed by an officer of the company and the Contractor's Project Manager stating "To the best of my knowledge, the enclosed final update of the project schedule reflects the actual start and completion dates of the activities contained herein."

EQUIPMENT AND SOFTWARE

The Contractor shall provide for the State's exclusive possession and use computer equipment and software for schedule purposes. Before delivery and setup of the computer equipment and software, the Contractor shall submit to the Engineer for approval a detailed list of all computer hardware and software the Contractor proposes to furnish. The minimum computer system to be furnished shall include the following:

A. A color-ink-jet plotter with a minimum 36 Megabytes RAM, capable of 300 dots per inch color, 600 dots per inch monochrome, or equivalent. Capable of printing fully legible, time scaled charts, and network diagrams, in four colors, with a minimum size of 36 in by 48 in (E size) and is compatible with the selected system. Plotter paper and ink cartridges will be provided throughout the contract. HP Designjet 1055 CM, equivalent or later. Contractor shall provide all necessary maintenance, ink and supplies for the printer throughout the duration of the project.
B. Scheduler Analyzer Pro – a suite of programs to assist in schedule analysis, the latest version for Windows XP
C. CPM software shall be the latest Primavera Project Planner Version 3.1

The Contractor shall furnish schedule software and all original software instruction manuals to the Engineer with submittal of the baseline schedule. The State will compensate the Contractor in conformance with the provisions in Section 4 1.03, "Extra Work," of the Standard Specifications for replacement of software which is damaged, lost or stolen after delivery to the Engineer.

The Contractor shall instruct the Engineer in the use of the software and provide software support until the contract is accepted. Within 20 working days of contract approval, the Contractor shall provide a commercial 8 hour training session for 2 Department employees in the use of the software at a location acceptable to the Engineer. It is recommended that the Contractor also send at least 2 employees to the same training session to facilitate development of similar knowledge and skills in the use of the software.

PAYMENT

Progress schedule (critical path method) will be paid for at a lump sum price. The contract lump sum price paid for progress schedule (critical path method) shall include full compensation for all labor, materials (including computer hardware and software), tools, equipment, paper, plotter ink, and incidentals; and for doing all the work involved in preparing, furnishing, updating and revising CPM progress schedules. Also for maintaining and repairing the computer hardware and training the Engineer in the use of the computer hardware and software as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Payments for progress schedule (critical path method) will be made as follows:

A. Interim baseline schedule accepted, then 10 percent payment for progress schedule (critical path method) will be made.
B. Baseline schedule accepted, then 10 percent (payment for progress schedule (critical path method) will be made.
C. Monthly update schedules accepted, then 75 percent payment for progress (critical path method) will be made equally for each update.
D. Final schedule update accepted, then 5 percent payment for progress schedule (critical path method) will be made.

The Department will retain an amount equal to 25 percent of the monthly pay estimate for each estimate period in which the Contractor fails to conform to the provisions of this section, including failure to submit an interim baseline (if required), baseline, revision or updated CPM, or TIA conforming to the requirements of this section, as determined by the Engineer. Retentions for failure to submit acceptable CPM schedules shall be in additio~~nal~~ to all other retentions provided for in the contract. The retention for failure to submit acceptable CPM schedules will be released for payment on the next monthly estimate for partial payment following the date that acceptable CPM schedules are submitted to the Engineer.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications, shall not apply to the item of progress schedule (critical path method). Adjustments in compensation for the project schedule will not be made for any increased or decreased work ordered by the Engineer in furnishing project schedules.

10-1.23 TIME-RELATED OVERHEAD

The Contractor will be compensated for time-related overhead as described below and in conformance with "Force Account Payment" of these special provisions. The Contractor will not be compensated for time-related overhead for delays to the controlling operations caused by the Engineer that occur prior to the first working day, but will be compensated for actual overhead costs incurred, as determined by an independent Certified Public Accountant audit examination and report.

Attention is directed to "Beginning of Work, Time of Completion and Liquidated Damages," "Force Account Payment," and "Progress Schedule (Critical Path Method)" of these special provisions.

The provisions in Section 9-1.08, "Adjustment of Overhead Costs," of the Standard Specifications shall not apply.

Time-related overhead shall consist of those overhead costs, including field and home office overhead, that are in proportion to the time required to complete the work. Time-related overhead shall not include costs that are not related to time, including but not limited to, mobilization, licenses, permits, and other charges incurred only once during the contract and costs for insurance coverages provided by the OCIP as primary insurance for work performed at the job site as specified in "Owner Controlled Insurance Program (OCIP)" of these special provisions. Time-related overhead shall not apply to subcontractors of any tier, suppliers, fabricators, manufacturers, or other parties associated with the Contractor.

Field office overhead expenses include time-related costs associated with the normal and recurring operations of the construction project, and shall not include costs directly attributable to the work of the contract. Time-related costs of field office overhead include, but are not limited to, salaries, benefits, and equipment costs of project managers, general superintendents, field office managers and other field office staff assigned to the project, and rent, utilities, maintenance, security, supplies, and equipment costs of the project field office.

Home office overhead or general and administrative expenses refer to the fixed costs of operating the Contractor's business. These costs include, but are not limited to, general administration, insurance, personnel and subcontract administration, purchasing, accounting, and project engineering and estimating. Home office overhead costs shall exclude expenses specifically related to other contracts or other businesses of the Contractor, equipment coordination, material deliveries, and consultant and legal fees.

The amount of time-related overhead associated with a reduction in contract time for cost reduction incentive proposals accepted and executed in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications shall be considered a construction cost attributable to the resultant estimated net savings due to the cost reduction incentive.

If the final increased amount of time-related overhead exceeds 149 percent of the contract lump sum price bid, the Contractor shall, within 60 days of the Engineer's written request, submit to the Engineer an audit examination and report performed by an independent Certified Public Accountant of the Contractor's actual overhead costs. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.

Independent Certified Public Accountant's audit examinations shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. Audit examinations and reports shall determine if the rates of field office overhead and home office overhead are:

A. Allowable in conformance with the requirements of the Federal Acquisition Regulations, 48 CFR, Chapter 1, Part 31.
B. Adequately supported by reliable documentation.
C. Related solely to the project under examination.

Within 20 days of receipt of the Engineer's written request, the Contractor shall make its financial records available for audit by the State for the purpose of verifying the actual rate of time-related overhead specified in the audit submitted by the Contractor. The actual rate of time-related overhead specified in the audit, submitted by the Contractor, will be subject to approval by the Engineer.

If the Engineer requests the independent Certified Public Accountant audit, or if it is requested in writing by the Contractor, the contract lump sum payment for time-related overhead, in excess of 149 percent of the lump sum price bid, will be adjusted to reflect the actual rate.

The cost of performing an independent Certified Public Accountant audit examination and submitting the report, requested by the Engineer, will be borne equally by the State and the Contractor. The division of the cost will be made by determining the cost of providing an audit examination and report in conformance with the provisions of Section 9-1.03B, "Work Performed by Special Forces or Other Special Services" of the Standard Specifications, and paying to the Contractor one-half of that cost. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report for overhead claims other than for the purpose of verifying the actual rate of time-related overhead shall be entirely borne by the Contractor. The cost of performing an audit examination and submitting the independent Certified Public Accountant audit report to verify actual overhead costs incurred prior to the first working day shall be entirely borne by the Contractor.

Time-related overhead will be paid for at a lump sum price. The contract lump sum price bid for time-related overhead will be increased or decreased only as a result of suspensions or adjustments of contract time which revise the current contract completion date and which satisfy any of the following criteria:

A. Suspensions of work ordered in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications, except:

    1. Suspensions ordered due to weather conditions being unfavorable for the suitable prosecution of the controlling operation or operations.
    2. Suspensions ordered due to the failure on the part of the Contractor to carry out orders given, or to perform the provisions of the contract.

3. Suspensions ordered due to factors beyond the control of and not caused by the State or the Contractor, for which the Contractor is granted extensions of time in conformance with the provisions of the third paragraph of Section 8-1.07, "Liquidated Damages," of the Standard Specifications.
4. Other suspensions that mutually benefit the State and the Contractor.

B. Extensions of contract time granted by the State in conformance with the provisions in the fifth paragraph in Section 8-1.07, "Liquidated Damages," of the Standard Specifications and set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.
C. Reductions in contract time set forth in approved contract change orders, in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.

For each day the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions, is increased or decreased due to suspensions or adjustments of contract time as specified above, the lump sum price for time-related overhead will be increased or decreased by an amount equal to the contract lump sum price bid for time-related overhead divided by the number of working days bid to complete the contract.

In the event an early completion progress schedule, as defined in "Progress Schedule (Critical Path Method)" of these special provisions, is submitted by the Contractor and approved by the Engineer, the amount of time-related overhead eligible for payment will be based on the total number of working days for the project, in conformance with the provisions in "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, rather than the Contractor's early completion progress schedule.

The contract lump sum price paid for time-related overhead shall include full compensation for time-related overhead, including the Contractor's share of costs of an independent Certified Public Accountant audit of overhead costs requested by the Engineer, as specified in these special provisions, and as directed by the Engineer.

The provisions in Sections 4-1.03B, "Increased or Decreased Quantities," and 4-1.03C, "Changes in Character of the Work," of the Standard Specifications shall not apply to the contract item of time-related overhead.

Full compensation for additional overhead costs incurred during days of inclement weather when the contract work is extended into additional construction seasons due to delays caused by the State shall be considered as included in the time-related overhead paid during the contract working days, and no additional compensation will be allowed therefor.

Full compensation for additional overhead costs involved in performing additional contract item work that is not a controlling operation shall be considered as included in the contract items of work involved, and no additional compensation will be allowed therefor.

Full compensation for overhead, other than time-related overhead measured and paid for as specified above, and other than overhead costs included in the markups specified in "Force Account Payment" of these special provisions, shall be considered as included in the various items of work and no additional compensation will be allowed therefor.

Overhead costs incurred by subcontractors of any tier, suppliers, fabricators, manufacturers, and other parties associated with the Contractor shall be considered as included in the various items of work and as specified in Section 9-1.03, "Force Account Payment," of the Standard Specifications.

For the purpose of making partial payments pursuant to the provisions in Section 9-1.06, "Partial Payments," of the Standard Specifications, the amount of time-related overhead in each monthly partial payment will be based on the number of working days that occurred during that monthly estimate period, including compensable suspensions and right of way delays. Working days granted by contract change order due to extra work or changes in character of work, will be compensated upon completion of the contract. The amount earned per working day for time-related overhead shall be the lesser of the following amounts:

A. The contract lump sum price for time-related overhead, divided by the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions.
B. Twenty percent of the original total contract amount, divided by the number of working days bid to complete the contract, in conformance with the provisions in "Beginning Of Work, Time Of Completion And Liquidated Damages," of these special provisions.

After acceptance of the contract in conformance with the provisions in Section 7-1.17, "Acceptance of Contract," of the Standard Specifications, the amount of the contract lump sum price for time-related overhead not yet paid, will be included for payment in the first estimate made after acceptance of the contract in conformance with the provisions in Section 9-1.07, "Payment After Acceptance," of the Standard Specifications.

10-1.24 ELECTRONIC MOBILE DAILY DIARY SYSTEM DATA DELIVERY

Attention is directed to Sections 5-1.10, "Equipment and Plants," and 7-1.01A(3), "Payroll Records," of the Standard Specifications, and these special provisions.

The Contractor shall submit to the Engineer a list of each piece of equipment and its identifying number, type, make, model and rate code in accordance with the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rate" which is in effect on the date the work is performed, and the names, labor rates and work classifications for all field personnel employed by the Contractor and all subcontractors in connection with the public work, together with such additional information as is identified below. This information shall be updated and submitted to the Engineer weekly through the life of the project.

This personnel information will only be used for this mobile daily diary computer system and it will not relieve the Contractor and subcontractors from the payroll records requirements as required by Section 7-1.01A(3), "Payroll Records," of the Standard Specifications.

The Contractor shall provide the personnel and equipment information not later than 11 days after the contract award for its own personnel and equipment, and not later than 5 days before start of work by any subcontractor for the labor and equipment data of that subcontractor.

The minimum data to be furnished shall comply with the following specifications:

DATA CONTENT REQUIREMENTS

A. The Contractor shall provide the following basic information for itself and for each subcontractor that will be used on the contract:

Caltrans contract ID	Alphanumeric; up to 15 characters.
Company name.	Alphanumeric; up to 30 characters.
Federal tax ID	Alphanumeric; up to 10 characters.
State contractor license	Alphanumeric; up to 20 characters.
Company type (prime or sub)	Alphanumeric; up to 10 characters.
Address (line 1).	Alphanumeric; up to 30 characters.
Address (line 2).	Alphanumeric; up to 30 characters.
Address (city).	Alphanumeric; up to 30 chars.
Address (2-letter state code).	Alphanumeric; up to 2 characters.
Address (zip code)	Alphanumeric; up to 14 characters.
Contact First Name.	Alphanumeric; up to 15 characters
Contact Last Name	Alphanumeric; up to 20 characters
Telephone number (with area code).	Alphanumeric; up to 20 characters.
Company code: short company name.	Alphanumeric; up to 10 characters.
Type of work (Department-supplied codes)	Alphanumeric; up to 30 characters
DBE status (Department-supplied codes)	Alphanumeric; up to 20 characters.
Ethnicity for DBE status (Department-supplied codes).	Alphanumeric; up to 20 characters.
List of laborers to be used on this contract (detail specified below).	
List of equipment to be used on this contract (detail specified below).	

For example, one such set of information for a company might be:

04-072359
XYZ CONSTRUCTION, INC.
94-2991040
AL1649T
SUB
1240 9TH STREET
SUITE 600
OAKLAND
CA
94612
JOHN
SMITH
(510) 834-9999
XYZ
PAVING
MBE
BLACK

B. The Contractor shall provide the following information for each laborer who will be used on the contract:

Caltrans contract ID	Alphanumeric; up to 15 characters.
Company code (as defined above).	Alphanumeric; up to 10 characters.
Employee ID	Alphanumeric; up to 10 characters.
Last name.	Alphanumeric; up to 20 characters.
First name.	Alphanumeric; up to 15 characters.
Middle name.	Alphanumeric; up to 15 characters.
Suffix	Alphanumeric; up to 15 characters
Labor trade (Department-provided codes).	Alphanumeric; up to 10 characters.
Labor classification (Department-provided codes).	Alphanumeric; up to 10 characters.
Regular hourly rate.	Alphanumeric; up to (6,2)
Overtime hourly rate.	Alphanumeric; up to (6,2)
Doubletime hourly rate	Alphanumeric; up to (6,2)
Standby hourly rate.	Alphanumeric; up to (6,2)
Ethnicity (Department-provided codes).	Alphanumeric; up to 20 characters.
Gender.	Alphanumeric; up to 1 characters.

For example, one such set of information might be:

04-072359
XYZ
1249
GONZALEZ
HECTOR
VINCENT
JR.
OPR
JNY
12.50
18.75
25.00
0.00
HISPANIC
M

C. The Contractor shall provide the following information for each piece of equipment that will be used on the contract:

Caltrans contract ID	Alphanumeric; up to 15 characters.
Company code (as defined above).	Alphanumeric; up to 10 characters.
Company's equipment ID number.	Alphanumeric; up to 10 characters.
Company's equipment description.	Alphanumeric; up to 60 characters.
Equipment type (from Department ratebook).	Alphanumeric; up to 60 characters.
Equipment make (from Department ratebook).	Alphanumeric; up to 60 characters.
Equipment model (from Department ratebook).	Alphanumeric; up to 60 characters.
Equipment rate code (from Department ratebook).	Alphanumeric; up to 10 characters
Regular hourly rate.	Alphanumeric; up to (6,2)
Overtime hourly rate.	Alphanumeric; up to (6,2)
Standby hourly rate	Alphanumeric; up to (6,2)
Idle hourly rate.	Alphanumeric; up to (6,2)
Rental flag.	Alphanumeric; up to 1 character.

For example, one such set of information might be:

04-072359
XYZ
B043
CAT TRACTOR D-6C
TRACC
CAT
D-6C
3645
75.00
75.00
0.00
0.00
N

DATA DELIVERY REQUIREMENTS

A. All data described in "Data Requirements" of this section shall be delivered to the Department electronically, on 700-megabyte Compact Disks compatible with the Microsoft Windows operating system. The Contractor shall provide a weekly disk and hard copy of the required correct updated personnel and equipment information for the Contractor and all the subcontractors and verified correct by the Engineer.

B. Data of each type described in the previous section (contractor, labor, and equipment information) shall be delivered separately, each type in one or more files on floppy disk. Any given file may contain information from one contractor or from multiple contractors, but only one type of data (contractor, labor, or equipment information).

C. The file format for all files delivered to Caltrans shall be standard comma-delimited, plain text files. This type of file (often called "CSV") is the most standard type for interchange of formatted data; it can be created and read by all desktop spreadsheet and desktop database applications. Characteristics of this type of file are:

1. All data is in the form of plain ASCII characters.
2. Each row of data (company, person, equipment) is delimited by a carriage return character.
3. Within rows, each column (field) of data is delimited by a comma character.

D. The files shall have the following columns (i.e., each row shall have the following fields):

1. Contractor info: 17 columns (fields) as specified in "Data Requirements #1", above.
2. Labor info: 15 columns (fields) as specified in "Data Requirements #2", above.
3. Equipment info: 13 columns (fields) as specified in "Data Requirements #3", above.

For every one type of file, columns (fields) must be in the order specified under "Data Requirements", above. All columns (fields) described under "Data Requirements" must be present for all rows, even if some column (field) values are empty. The first row of each file must contain column headers (in plain text).

E. Column (field) contents shall conform to the data type and length requirements described in the "Data Requirement" section, above. In addition, column (field) data must conform to the following restrictions:

1. All data shall be uppercase.
2. Company type shall be either "PRIME" or "SUB".
3. Labor trade and classification codes must conform to a list of standard codes that will be supplied by Department.
4. Contractor type of work codes and DBE status codes must conform to a list of standard codes that will be supplied by Department.
5. Ethnicity codes must conform to standard codes that will be supplied by Department.
6. Data in the "gender" column must be either "M" or "F".
7. Data in the "rental equipment" column must be either "Y" or "N".
8. Equipment owner's description may not be omitted. (The description, together with the equipment number, is how the equipment will be identified in the field.) Include manufacturer, rated capacity & trade description.
9. Equipment type, make, model, and ratebook code shall conform to the Department of Transportation Publication entitled "Labor Surcharge and Equipment Rental Rate", which is in effect on the date the work is performed. If the equipment in question does not have an entry in the book then alternate, descriptive entries may be made in these fields as directed by the Engineer.

F. The name of each file shall indicate its contents, e.g., "labor.csv" for laborers, "equipment.csv" for equipment, and "contractor.csv" for contractors. Each floppy disk supplied to Caltrans must be accompanied by a printed list of the files it contains with a brief description of the contents of each file.

PAYMENT

The contract lump sum price paid for electronic mobile daily diary computer system data delivery shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in electronic mobile daily diary computer system data delivery as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The lump sum bid price for electronic mobile daily diary computer system data delivery will be made according to the following schedule:

The Contractor will receive not more than 5 per cent per month of the total bid price for electronic mobile daily diary computer system data delivery . After the completion of the work, 100 per cent payment will be made for electronic mobile daily diary computer system data delivery less the permanent deduction, if any, for failure to deliver complete weekly electronic mobile daily diary computer system data in each month.

The Department will retain an amount equal to 25 percent of the estimated value of the work performed during the first estimate period in which the Contractor fails to submit electronic mobile daily diary computer system data delivery conforming to the requirements of this section, as determined by the Engineer. Thereafter, on subsequent successive estimate periods the percentage the Department will retain will be increased at the rate of 25 percent per estimate period in which acceptable electronic mobile daily diary computer system data have not been submitted to the Engineer. Retentions for failure to submit acceptable electronic mobile daily diary computer system data shall be additional to all other retentions provided for in the contract. The retention for failure to submit acceptable electronic mobile daily diary computer system data will be released for payment on the next monthly estimate for partial payment following the date that acceptable electronic mobile daily diary computer system data is submitted to the Engineer.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications, shall not apply to the item of electronic mobile daily diary computer system data delivery. Adjustments in compensation for electronic mobile daily diary computer system data delivery will not be made for any increased or decreased work ordered by the Engineer in furnishing electronic mobile daily diary computer system data.

10-1.25 DOCUMENT MANAGEMENT SYSTEM

The Contractor shall provide complete computer systems (latest hardware and software), including one system for the State's exclusive possession and use, specifically capable of a Document Management System (DMS) that is the latest version of "Project Management by Integral Vision (PMIV)" to facilitate efficient document management and control.

The DMS (latest hardware and software) shall be obtained from the following vendor:

Integral Vision
3176 Lucas Drive, Suite 200
Lafayette, CA 94549
Telephone: (925) 284-8302 - Fax: (925) 284-1905
info@integralvision.com

Pursuant to Public Contract Code section 10129(b), PMIV is designated by specific brand name to match other products in use on the San Francisco-Oakland East Span contracts and obtain a necessary item that is only available from one source.

Attention is directed to Section "Supplemental Information Available for Inspection," in "Project Information," of these special provisions. The Project Management by Integral Vision (PMIV) Sole Source Agreement, from the vendor is available for the Contractor's inspection.

Arrangements have been made to ensure that any successful bidder can obtain the DMS from the vendor. The system components, as specified in the vendor's cost break down, shall include the following:

For the Department:

1. One computer system or supplemental equipment compatible with the existing Department system as required to support the PMIV software as approved by the Engineer,
2. A color network copier (copier/laser printer/scanner) compatible with the PMIV software including maintenance for the life of the Contract,
3. Twenty-five software licenses with two annual maintenance renewals,
4. Six flashdrives compatible to the PMIV software,
5. Delivery, setup and maintenance of the computer system and weekly off-site backup,
6. Five training sessions,
7. Five hundred and fifty (550) hours of technical support.

For the Contractor:

1. One computer system compatible with PMIV software as approved by the Engineer,
2. A color network copier (copier/laser printer/scanner) compatible with the PMIV software including maintenance for the life of the Contract,
3. Twenty five software licenses with two annual maintenance renewals. Any unused licenses/renewals will be available to the Department,
4. Six flashdrives compatible to the PMIV software,

5. Delivery, setup, and maintenance of the computer system,
6. Five training sessions,
7. Four hundred and twenty hours of technical support. Any unused technical support hours will be available to the Department.

The price quoted by the vendor for the above listed components of the DMS is 581,514.20 including sales tax. The Contractor will be required to enter into a service agreement and an end user license agreement with Integral Vision. The Contractor will also be required to enter into a sublicense agreement with the Department. The sublicense agreement shall be acceptable to both the Department and Integral Vision.

The above price will be firm for orders placed on or before May 29, 2009 provided delivery is accepted within 90 days after the order is placed.

The Contractor shall use the Document Management System for all documents created and received during the life of the project, which may include, but are not limited to, submittals, transmittals, shop drawings and calculations, Request for Information (RFI), letters, memorandums and plan sheets. The use of the document management system is in addition to the requirements specified in "Working Drawings," of these special provisions.

Attention is directed to "Payments" of Section 5 of these special provisions, regarding partial payments for Document Management System.

PRE-CONSTRUCTION CONFERENCE

The Contractor shall schedule a pre-construction conference with the Engineer and the Contractor's project manager within 5 days of the approval of the contract. At this meeting the Contractor and the vendor shall be prepared to discuss the delivery, setup, and maintenance plan for the DMS.

DELIVERY, SETUP, AND MAINTENANCE

Upon approval of the proposed delivery, setup, and maintenance plan by the Engineer, the Contractor, through the vendor, shall furnish, install, set up, and maintain the computer system ready-for-use. The hardware and software shall be installed and ready for use on the first working day of the contract. Hardware and software maintenance, including licensing and other fees shall be maintained for the duration of the project until 30 days after receiving the proposed final estimate by the Contractor. Repairs shall be made within 48 hours of notification to the vendor, under normal use of the equipment. Replacement equipment shall be furnished and installed by the vendor, until repairs have been completed. Any misuse of the equipment will void the maintenance by the Vendor.

Computer hardware and software furnished shall remain the property of the vendor and shall not be removed until 30 days after the Contractor has received the proposed final estimate.

The Contractor, through the vendor, shall furnish software and all original software instruction manuals to the Engineer.

TRAINING

After approval of the Document Management System by the Engineer and prior to the first working day of the contract, the Contractor, through the vendor, shall provide an initial 8-hour training session to the Department in the use of the hardware and software. All training and the session trainer shall be provided by the Contractor, through the vendor.

The Contractor, through the vendor, shall provide a total of 10 training sessions, at 4 hours per session during the duration of the contract. The Contractor can expect subsequent training sessions to be distributed throughout the duration of the project until the total number of sessions has been completed.

Each training session shall include accommodation for up to 15 Employees of this project. Training sessions shall be at a location, date and time acceptable to the Engineer or the Contractor.

DATA DELIVERY REQUIREMENTS

All data shall be delivered to the Engineer electronically on flash drives provided by the Contractor, through the vendor, and shall be compatible with the DMS hardware and software and with general hardware running the latest Microsoft Windows operating system utilized by the Department. The electronic files shall conform to the following requirements:

A. The flash drive shall contain electronic copies of the documents in searchable Adobe Portable Document Format (PDF). All documents transmitted by flash drive shall be created by one of two methods:

   1. Searchable PDF documents shall be created from the original, native format of the document.
   2. Any non-electronic documents shall be scanned to their original size in a PDF format and shall be made searchable using OCR (Optical Character Recognition) software

B. The minimum resolution for a scanned electronic PDF shall be 300 dots per inch and the minimum color depth shall be 256 colors.
C. Each plan sheet or shop drawing shall consist of one electronic PDF file. The original native format of the drawing shall be converted to a searchable PDF format.

The Document Management System shall also provide a method of exporting all data to the relational database, Microsoft Access, keeping all the existing relationships intact. This export function should be accessible by the user and should be able to be performed at any time by the user.

SCHEDULE OF VALUES

The Contractor shall submit, for approval by the Engineer, a schedule of values detailing the cost break down of the contract lump sum item for Document Management System.

The schedule of values shall include the vendor's cost break down and reflect the items, work, quantities and costs required to furnish, and maintain the DMS for the duration of the project until 30 days after the Contractor has received the proposed final estimate. The Contractor shall be responsible for the accuracy of the quantities and cost used in the schedule of values. Partial payments for the item of Document Management System will not be made until the schedule of values is approved by the Engineer.

The approved schedule of values will be used to determine partial payments during the progress of the work and as the basis for calculating the adjustment in compensation for the item of Document Management System due to increases or decreases of quantities ordered by the Engineer. When an ordered change increases or decreases the quantities of an approved cost break-down item, the adjustment in compensation will be determined in accordance with vendor's cost break down and the provisions in Section 4-1.03, "Changes," of the Standard Specifications. For purposes of extra work the vendor shall be considered a specialist as provided for in Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications, except that 10 percent in lieu of 15 percent will be added to the specialist invoice price. If an ordered change requires a new item which is not on the approved schedule of values, the adjustment in compensation will be determined in the same manner specified for extra work in conformance with Section 4-1.03D, "Extra Work," and Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications. If requested by the Contractor and approved by the Engineer, changes to the DMS listed in the approved schedule of values, including addition of new computer hardware and software, will be allowed. The net cost increase to the item of Document Management System will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," and Section 9-1.03B, "Work Performed by Special Forces or Other Special Services," of the Standard Specifications.

If requested by the Contractor and approved by the Engineer, changes to the DMS listed in the approved schedule of values, including addition of new computer hardware and software, will be allowed. The net cost increase to the item of Document Management System will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

PAYMENT

The contract lump sum price paid for Document Management System shall include full compensation for furnishing all labor, material, tools, equipment, and incidentals, and for doing all the work involved in providing and maintaining the Document Management System, as specified in the Standard Specifications and these special provisions.

The contract lump sum bid price paid for Document Management System shall be made according to the approved schedule of values.

The Department will retain an amount equal to $500,000 for each estimate period in which the Contractor fails to provide the Document Management System or maintain the Document Management System conforming to the requirements of these special provisions, as determined by the Engineer. Retentions held in conformance with this section shall be in addition to all other retentions provided for in the contract. The retention for failure to provide or maintain an acceptable Document Management System will be released for payment on the next monthly estimate for partial payment following the date that an acceptable Document Management System has been provided or maintained. Upon completion of all contract work, any remaining withheld funds associated with the Document Management System will be released for payment. No interest will be due the Contractor on withheld amounts.

If the Contractor fails to complete any of the work in providing and maintaining the Document Management System required by this section, the Engineer shall make an adjustment in compensation in conformance with the provisions in Section 4-1.03C, "Changes in Character of Work," of the Standard Specifications for the work not performed. Adjustments in compensation for Document Management System will not be made for any increased or decreased work ordered by the Engineer in furnishing Document Management System.

10-1.26 OBSTRUCTIONS

Attention is directed to Section 8-1.10, "Utility and Non-Highway Facilities," and Section 15, "Existing Highway Facilities," and Section 51-1.19, "Utility Facilities," of the Standard Specifications and these special provisions.

Attention is directed to the existence of certain underground facilities that may require special precautions be taken by the Contractor to protect the health, safety and welfare of workers and of the public. Facilities requiring special precautions include, but are not limited to: conductors of petroleum products, oxygen, chlorine, and toxic or flammable gases; natural gas in pipelines greater than 150 mm in diameter or pipelines operating at pressures greater than 415 kPa (gage); underground electric supply system conductors or cables, with potential to ground of more than 300 V, either directly buried or in a duct or conduit which do not have concentric grounded or other effectively grounded metal shields or sheaths.

The Contractor shall notify the Engineer and the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to performing any excavation or other work close to any underground pipeline, conduit, duct, wire or other structure. Regional notification centers include, but are not limited to, the following:

Notification Center	Telephone Number
Underground Service Alert	811

Installation of the water mains will require coordination with the Contractor's operations. The Contractor shall make the necessary arrangements with the San Francisco Public Utilities Commission/Water Department (SFWD), through the Engineer, and shall submit a schedule of work, verified by a representative of the utility company, to the Engineer. The schedule of work shall provide not less than the following number of working days, as defined in Section 8-1.06, "Time of Completion," of the Standard Specifications for the utility company to complete their work:

Utility (address)	Work Performed by the SFWD	Working Days
Water Main	Making a connection to an existing main	5
	Chlorination test including laboratory results	5
	Service change over services larger than 50-mm (per each service)	5
	Service change over services less than 50 mm (for up to 6 services)	1
	Excavation Safety Plans review	15

The Contractor shall notify in writing the Engineer and SFWD, at least 15 working days in advance before any work to be performed by SFWD forces for disconnecting, and connecting of the water main, and disinfection. The Contractor shall confirm the scheduled work with the Engineer, and SFWD at (415)550-4956, at least 3 working days before the actual field work by SFWD.

The Contractor shall notify in writing the Engineer and SFWD, at least 10 working days in advance before any work affecting local steet lighting system The Contractor shall confirm the scheduled work with the Engineer, and SFWD at (415)550-4956, at least 3 working days before the actual field work by SFWD.

In the event that the utility facilities mentioned above are not removed or relocated by the date specified and, if in the opinion of the Engineer, the Contractor's operations are delayed or interfered with by reason of the utility facilities not being removed or relocated by the date specified, the State will compensate the Contractor for the delays to the extent provided in Section 8-1.09, "Right of Way Delays," of the Standard Specifications, and not otherwise, except as provided in Section 8-1.10, "Utility and Non-Highway Facilities," of the Standard Specifications.

The existing electrical 12kV, 4.1kV, and 2.4kV (NAVY) along Macalla Road near US Coast Guard Facility, and 2.4 kV crossing Macalla Road, north of Building 267 as shown on the plans, will remain in service for the duration of the contract. The Contractor shall notify the Engineer, and SFPUC at (415) 274-0333, at least 10 working days before excavation and piling work is begun. The Contractor shall not perform any excavation close to or crossing the electrical (high risk) without representative from SFPUC.

Full compensation for protecting the existing_ existing electrical 12kV, 4.1kV, and 2.4kV (NAVY) shall be considered as included in the contract prices paid for various items of work and no separate payment will be made therefor.

The existing ground seismographic station, and sanitary sewer lift pump station, including pump station, underground vault and sanitary main system, will remain in service for the duration of this contract. The Contractor shall notify the Engineer and City and County of San Francisco Public Utilities Commission at (415) 648-6882 x 1290, and the Berkeley Seismology Specialist at (510) 486-7314 or 882-9816, at least 10 working days before excavation and piling work is begun. The Contractor shall field verify the location of the cable and ensure that the monitoring cable shall not damaged.

Full compensation for protecting the existing ground seismographic station and monitoring cable, and sanitary sewer lift pump station, including pump station, underground vault and sanitary main system, shall be considered as included in the contract prices paid for various items of work and no separate payment will be made therefor.

10-1.27 MOBILIZATION

Mobilization shall conform to the provisions in Section 11, "Mobilization," of the Standard Specifications.

10-1.28 EMERGENCY ACCCES ROAD CONTINGENCY PLAN

Attention is directed to the local streets, Treasure Island Road and Southgate Road which serve local traffic between the Treasure Island and Yerba Buena Island.

Attention is also directed to the closure of Southgate Road during the construction period of the contract. Should the Treasure Island Road Viaduct become unusable due to instability or major damage, a minimum of one unpaved traffic lane, at the vicinity of Southgate Road for use by public traffic will be required within 24 hours.

The Contractor shall stage the work such that the contingency plan to provide an emergency access road is possible with minimal impact to the work within 24 hours at any time. Should the viaduct become unusable, the Contractor will receive a written notice to implement the contingency plan from the Engineer. Minimal impact to the work as used herein is defined to mean that major operations such as excavations and concrete pours are not prevented from continuing by local traffic using the emergency access road.

The Contractor shall prepare a written contingency plan to provide the emergency access road through the work during all phases of the work. The plan shall show anticipated traffic routes, variations as the work progresses, and the equipment, materials, and general work necessary to implement the emergency access road. The Contractor shall submit the contingency plan in conformance with "Working Drawings," of these special provisions for the Engineer's approval 30 working days prior to the start of any closure of the portion of Southgate road within the contract limits. The Contractor will not be required to implement any part of the plan except as directed by the Engineer.

The emergency access road may be an unpaved roadway and shall conform to the following requirements:

1. A minimum 3.6-meter wide traffic lane
2. A minimum vertical clearance of 3.7-meter.
3. A finished profile grade of not to exceed 10%.
4. Temporary railing (Type K) along the edge of traveled way to shield fixed objects and drop offs

Full compensation for staging the work and providing a contingency plan for the emergency access road through the work within 24 hours shall be considered as included in the prices paid for the various contract items of work and no separate payment will be made therefor.

Should any portion of the plan be implemented at the written direction of the Engineer, such work will be paid for as extra work as provided in Section 4-1.03D "Extra Work" of the Standard Specifications.

If, in the opinion of the Engineer, completion of the work is delayed or interfered with due to the implementation of the emergency access road, an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. No additional compensation will be provided to the Contractor.

10-1.29 CONSTRUCTION AREA TRAFFIC CONTROL DEVICES

Flagging, signs, and temporary traffic control devices furnished, installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Category 1 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices. These devices shall be certified as crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 temporary traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.

If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 temporary traffic control devices at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use. Self-certification shall be provided by the manufacturer or Contractor and shall include the following:

A. Date,
B. Federal Aid number (if applicable),
C. Contract number, district, county, route and kilometer post of project limits,
D. Company name of certifying vendor, street address, city, state and zip code,
E. Printed name, signature and title of certifying person; and

F. Category 1 temporary traffic control devices that will be used on the project.

The Contractor may obtain a standard form for self-certification from the Engineer.

Category 2 temporary traffic control devices are defined as small and lightweight (less than 45 kg) devices that are not expected to produce significant vehicular velocity change, but may cause potential harm to impacting vehicles. Category 2 temporary traffic control devices include barricades and portable sign supports.

Category 2 temporary traffic control devices shall be on the Federal Highway Administration's (FHWA) list of Acceptable Crashworthy Category 2 Hardware for Work Zones. This list is maintained by FHWA and can be located at:

http://safety.fhwa.dot.gov/roadway_dept/road_hardware/listing.cfm?code=workzone

The Department also maintains this list at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/pdf/Category2.pdf

Category 2 temporary traffic control devices that have not received FHWA acceptance shall not be used. Category 2 temporary traffic control devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer. The label shall be readable and permanently affixed by the manufacturer. Category 2 temporary traffic control devices without a label shall not be used.

If requested by the Engineer, the Contractor shall provide a written list of Category 2 temporary traffic control devices to be used on the project at least 5 days before beginning any work using the devices or within 2 days after the request if the devices are already in use.

Category 3 temporary traffic control devices consist of temporary traffic-handling equipment and devices that weigh 45 kg or more and are expected to produce significant vehicular velocity change to impacting vehicles. Temporary traffic-handling equipment and devices include crash cushions, truck-mounted attenuators, temporary railing, temporary barrier, and end treatments for temporary railing and barrier.

Type III barricades may be used as sign supports if the barricades have been successfully crash tested, meeting the NCHRP Report 350 criteria, as one unit with a construction area sign attached.

Category 3 temporary traffic control devices shall be shown on the plans or on the Department's Highway Safety Features list. This list is maintained by the Division of Engineering Services and can be found at:

http://www.dot.ca.gov/hq/esc/approved_products_list/HighwaySafe.htm

Category 3 temporary traffic control devices that are not shown on the plans or not listed on the Department's Highway Safety Features list shall not be used.

Full compensation for providing self-certification for crashworthiness of Category 1 temporary traffic control devices and for providing a list of Category 2 temporary traffic control devices used on the project shall be considered as included in the prices paid for the various items of work requiring the use of the Category 1 or Category 2 temporary traffic control devices and no additional compensation will be allowed therefor.

10-1.30 CONSTRUCTION AREA SIGNS

Construction area signs for temporary traffic control shall be furnished, installed, maintained, and removed when no longer required in conformance with the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Attention is directed to "Furnish Sign" of these special provisions.

Attention is directed to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. Type II retroreflective sheeting shall not be used on construction area sign panels. Type III, IV, VII, VIII, or IX retroreflective sheeting shall be used for stationary mounted construction area sign panels.

Unless otherwise shown on the plans or specified in these special provisions, the color of construction area warning and guide signs shall have black legend and border on orange background, except W10-1 or W47(CA) (Highway-Rail Grade Crossing Advance Warning) sign shall have black legend and border on yellow background.

Repair to construction area sign panels will not be allowed, except when approved by the Engineer. At nighttime under vehicular headlight illumination, sign panels that exhibit irregular luminance, shadowing or dark blotches shall be immediately replaced at the Contractor's expense.

The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least 2 business days, but not more than 14 days, prior to commencing excavation for construction area sign posts. The regional notification centers include, but are not limited to, the following:

Notification Center	Telephone Number
Underground Service Alert	811

Excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes. The post hole diameter, if backfilled with portland cement concrete, shall be at least 100 mm greater than the longer dimension of the post cross-section.

Construction area signs placed within 4.6 m from the edge of the travel way shall be mounted on stationary mounted sign supports as specified in "Construction Area Traffic Control Devices" of these special provisions.

The Contractor shall maintain accurate information on construction area signs. Signs that are no longer required shall be immediately covered or removed. Signs that convey inaccurate information shall be immediately replaced or the information shall be corrected. Covers shall be replaced when they no longer cover the signs properly. The Contractor shall immediately restore to the original position and location any sign that is displaced or overturned, from any cause, during the progress of work.

10-1.31 MAINTAINING TRAFFIC

Maintaining traffic shall conform to the provisions in Sections 7-1.08, "Public Convenience," Section 7-1.09, "Public Safety," and Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, "Public Safety" of these special provisions and these special provisions.

Closure is defined as the closure of a traffic lane or lanes, including shoulder, ramp or connector lanes, within a single traffic control system.

Closures shall conform to the provisions in "Traffic Control System for Lane Closure" of these special provisions.

In addition to the provisions set forth in "Public Safety" of these special provisions, whenever work, including the work of installing, maintaining, and removing temporary railing (Type K) or concrete barrier (Type K) is to be performed on the freeway within 1.8 m of the adjacent traffic lane, the adjacent traffic lane shall be closed.

At locations where falsework pavement lighting is designated, falsework lighting shall be installed in conformance with the provisions in Section 86-6.11, "Falsework Lighting," of the Standard Specifications.

Openings shall be provided through bridge falsework for the use of public traffic at each location where falsework is constructed over the streets or routes listed in the following table. The type, minimum width, height, and number of openings at each location, and the location and maximum spacing of falsework lighting, if required for each opening, shall conform to the requirements in the table. The width of vehicular openings shall be the clear width between temporary railings or other protective work. The spacing shown for falsework pavement lighting is the maximum distance center to center in meters between fixtures.

Buena Island Yerba Westbound and Eastbound Transition Structures
(Bridge No. 34-0006L/R)
Over USCG Access Road

	Number	Width	Height
Vehicle Openings	2	9.6	4.6

	Location	Spacing
Falsework Pavement Lighting	R and L	7 Staggered 1/2 Space

(Width and Height in meters)
(R = Right side of traffic. L = Left side of traffic)
(C = Centered overhead)

The exact location of openings will be determined by the Engineer.

Closures are only allowed during the hours shown in the lane requirement charts included in this section "Maintaining Traffic," except for work required under Sections 7-1.08, "Public Convenience," and Section 7-1.09, "Public Safety."

The full width of the traveled way shall be open for use by public traffic when construction operations are not actively in progress.

The Contractor shall notify the United States of Coast Guard Officer, at (415) 399-3504, at least 5 business days before work begins. The Contractor shall cooperate with United States of Coast Guard to handle traffic on Wendy Way, Torpedo Factory Rd. and Macalla Rd., which lead to USCG Access Rd., through the work area and shall make arrangements to keep the work area clear of parked vehicles.

Attention is directed to "Bridge Tolls" of these special provisions. The access of the contractor's trucks hauling material and surplus materials to and from the project site, from westbound Route 80, westbound and eastbound on and off-ramps to and from Treasure Island/Yerba Buena Island, shall not be allowed, during the peak periods from 5:00 a.m. to 10:00 a.m., and 3:00 p.m. to 7:00 p.m., on weekdays. Furthermore, the access of the contractor's trucks hauling materials to the project site from westbound Route 80 through the bus and carpool lanes, at San Francisco-Oakland Bay Bridge toll plaza, shall not be allowed, during the peak periods from 5:00 a.m. to 10:00 a.m., and 3:00 p.m. to 7:00 p.m., on weekdays.

The Contractor is encouraged to organize carpool, vanpool, boat, or other modes of mass transit for transport of manpower, materials and equipment to the maximum extent, practical, from San Francisco/Oakland to and from the project site.

Attention is directed to "Cooperation," of these special provisions.

The Contractor shall provide access and maintain Macalla Rd., Wendy Way, and Torpedo Factory Rd., which are the primary access to United States Coast Guard (USCG), United States Navy facilities, University of California-Berkeley (UCB) Seismographic Stations, and other contractors to various project sites on Yerba Buena Island, in the vicinity of the contract, at all times

The Contractor shall provide and maintain a 3.6 m lane access road to Sanitary Sewer Lift Pump Station, at all time. The Contractor shall submit a written request for an approval from San Francisco Public Utilities Commission through the Engineer at least 96 hours in advance for any construction operation that may block the access road to the sanitary sewer lift pump station.

Full compensation for providing and maintaining the above access shall be considered as included in the contract price paid for various items of work involved and no additional compensation will be allowed therefor.

Personal vehicles of the Contractor's employees shall not be parked on the traveled way or shoulders including sections closed to public traffic.

Personal vehicles of the Contractor's employees shall not be parked within the right of way, on the traveled way or shoulders including any section closed to public traffic, except in the area proposed by the Contractor and approved by the Engineer. Vehicles outside areas designated as Temporary Construction Easements will be ticketed by local parking authorities.

When work vehicles or equipment are parked on the shoulder within 1.8 m of a traffic lane, the shoulder area shall be closed as shown on the plans.

If minor deviations from the lane requirement charts are required, a written request shall be submitted to the Engineer at least 15 days before the proposed date of the closure. The Engineer may approve the deviations if there is no significant increase in the cost to the State and if the work can be expedited and better serve the public traffic.

Designated legal holidays are: January 1st, the third Monday in February, the last Monday in May, July 4th, the first Monday in September, November 11th, Thanksgiving Day, and December 25th. When a designated legal holiday falls on a Sunday, the following Monday shall be a designated legal holiday.

Special Days are:_ the third Monday in January, February 12th, March 31st, the second Monday in October, and any day on which a major event, as determined by the Engineer, is scheduled at Monster (Candlestick) Park, AT&T Park, downtown San Francisco, Treasure Island, Networks Associates Coliseum, or downtown Oakland.

Full compensation for furnishing, erecting, maintaining, and removing and disposing of the C43(CA), SC6-3(CA), SC6-4(CA), W20-1, W21-5b, and C24(CA) signs shall be considered as included in the contract lump sum price paid for construction area signs and no additional compensation will be allowed therefor.

Lane Closure Restriction for Designated Legal Holidays and Special Days

Thu	Fri	Sat	Sun	Mon	Tues	Wed	Thu	Fri	Sat	Sun
x	**H** xx	xx	xx							
	SD xx									
x	xx	**H** xx	xx							
		SD xx								
	x	xx	**H** xx	xx						
			SD xx							
	x	xx	xx	**H** xx						
	x	xx	xx	**SD** xx						
				x	**H** xx					
				x	**SD** xx					
					x	**H** xx				
						SD xx				
						x	**H** xx	xx		xx
							SD xx			

Legends:

	Refer to lane closure charts
x	The full width of the traveled way shall be open for use by public traffic after 4:30a.m..
xx	The full width of the traveled way shall be open for use by public traffic.
H	Designated Legal Holiday
SD	Special Day

Chart No. 1
Freeway/Expressway Lane Requirements

County: San Francisco/Alameda | Route/Direction: 80 Westbound | KP: 12.7/13.2 | PM:

Closure Limits: Between east end of SFOBB and Treasure Island on-ramp (KP 12.3)

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	2	1	1	1	2																4	4	4	3	
Fridays	2	1	1	1	2																	4	4	3	
Saturdays	2	2	1	1	1	2	3	4																4	
Sundays	2	2	1	1	1	2	2	3	3													4	4	3	

Legend:

1	Provide at least one through freeway lane open in direction of travel
2	Provide at least two adjacent through freeway lanes open in direction of travel
3	Provide at least three adjacent through freeway lanes open in direction of travel
4	Provide at least four adjacent through freeway lanes open in direction of travel
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.
2. All lane closures shall be coordinated in advance with the Toll Collection Lieutenant at the San Francisco/Oakland Bay Bridge.
3. All lanes that merge into any open lanes on the SFOBB shall remain open to traffic.

Chart No. 2
Freeway/Expressway Lane Requirements

County: San Francisco/Alameda | Route/Direction: 80 Westbound | KP: 12.7/13.2 | PM:

Closure Limits: Between east end of SFOBB and Treasure Island on-ramp

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	S	S	S	S	S																S	S	S	S	
Fridays	S	S	S	S	S																	S	S	S	
Saturdays	S	S	S	S	S	S	S	S																S	
Sundays	S	S	S	S	S	S	S	S	S													S	S	S	

Legend:

S	Shoulder closure permitted
	Shoulder closure permitted

Chart No. 3
Freeway/Expressway Lane Requirements

County: San Francisco/Alameda	Route/Direction: 80 Eastbound	KP: 12.4/13.2	PM:

Closure Limits: Between Treasure Island and left off-ramp (KP 12.4) and SFOBB Toll Plaza

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	2	2	1	1	1	2	4																		3
Fridays	3	2	2	1	1	2	4																		
Saturdays	4	4	3	3	1	2	2	3	3																
Sundays	4	3	3	2	1	1	2	2	3	4														4	3

Legend:

1	Provide at least one through freeway lane open in direction of travel
2	Provide at least two adjacent through freeway lanes open in direction of travel
3	Provide at least three adjacent through freeway lanes open in direction of travel
4	Provide at least four adjacent through freeway lanes open in direction of travel
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.

Chart No. 4
Freeway/Expressway Lane Requirements

County: San Francisco/Alameda	Route/Direction: 80 Eastbound	KP: 12.7/13.2	PM:

Closure Limits: Between the Yerba Buena Island on-ramp and the SFOBB Toll Plaza

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	S	S	S	S	S	S	S																		S
Fridays	S	S	S	S	S	S	S																		
Saturdays	S	S	S	S	S	S	S	S	S																
Sundays	S	S	S	S	S	S	S	S	S	S															

Legend:

S	Shoulder closure permitted
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.

Chart No. 5
Complete Ramp Closure Hours/Ramp Lane Requirements

County: San Francisco	Route/Direction: 80 Westbound	KP: 12.7	PM:

Closure Limits: Bay Bridge Westbound off-ramp to Treasure Island

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																C	C	C	C	
Fridays	C	C	C	C	C																	C	C	C	
Saturdays	C	C	C	C	C	C	C	C																C	
Sundays	C	C	C	C	C	C	C	C	C													C	C	C	

Legend:

C	Ramp may be closed completely
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.
2. Simultaneous closure of two consecutive on-ramps or two consecutive off-ramps shall not be permitted
3. Closure of this ramp shall not be permitted during the same periods that any lane or roadway closures are scheduled to occur along the route onto which traffic from this closed ramp will be detoured. For detour, see Construction Area Signs plans.

Chart No. 6
Complete Ramp Closure Hours/Ramp Lane Requirements

County: San Francisco	Route/Direction: 80 Westbound	KP: 12.7	PM:

Closure Limits: Bay Bridge Westbound on-ramp from Treasure Island

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C																C	C	C	C	
Fridays	C	C	C	C	C																	C	C	C	
Saturdays	C	C	C	C	C	C	C	C																C	
Sundays	C	C	C	C	C	C	C	C	C													C	C	C	

Legend:

C	Ramp may be closed completely
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.
2. Simultaneous closure of two consecutive on-ramps or two consecutive off-ramps shall not be permitted
3. Closure of this ramp shall not be permitted during the same periods that any lane or roadway closures are scheduled to occur along the route onto which traffic from this closed ramp will be detoured. For detour, see Construction Area Signs plans.

Chart No. 7
Complete Ramp Closure Hours/Ramp Lane Requirements

County: San Francisco	Route/Direction: 80 Eastbound	KP: 12.7	PM:

Closure Limits: Bay Bridge Eastbound on-ramp from Treasure Island

FROM HOUR TO HOUR	24	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
Mondays through Thursdays	C	C	C	C	C	C	C																		C
Fridays	C	C	C	C	C	C	C																		
Saturdays	C	C	C	C	C	C	C	C	C																
Sundays	C	C	C	C	C	C	C	C	C	C														C	C

Legend:

C	Ramp may be closed completely
	Work permitted within project right of way where shoulder or lane closure is not required.

REMARKS:

1. See Lane Closure Restriction for Designated Legal Holidays and Special Days table in Maintain Traffic of these special provisions for additional closure restrictions.
2. Simultaneous closure of two consecutive on-ramps or two consecutive off-ramps shall not be permitted
3. Closure of this ramp shall not be permitted during the same periods that any lane or roadway closures are scheduled to occur along the route onto which traffic from this closed ramp will be detoured. For detour, see Construction Area Signs plans.

Precast concrete members shall not be cast within the right of way of Route 80.

During steel girder erection for the temporary eastbound on-ramp, public traffic in the lanes over which girders are being placed shall be detoured or stopped as specified in this section, "Maintaining Traffic."

Erection and removal of falsework at locations where falsework openings are required shall be undertaken one location at a time. During falsework erection and removal, public traffic in the lanes over which falsework is being erected or removed shall be detoured or stopped as specified in this section, "Maintaining Traffic." Falsework erection shall include adjustments or removal of components that contribute to the horizontal stability of the falsework system**.** Falsework removal shall include lowering falsework, blowing sand from sand jacks, turning screws on screw jacks, and removing wedges.

The Contractor shall have necessary materials and equipment on the site to erect or remove the girders and falsework in any one span or over any one opening before detouring or stopping public traffic.

10-1.32 CLOSURE REQUIREMENTS AND CONDITIONS

Closures shall conform to the provisions in "Maintaining Traffic" of these special provisions and these special provisions.

The Engineer will have the authority to disapprove any closure schedule request, deny or abort any closure on any portion of the traveled way, when deemed necessary for the safe and efficient operation of public traffic or when necessary to resolve conflicts in closure schedules' among Contractors or other State forces performing work within the State right of way

Attention is directed to "Cooperation," of these special provisions, regarding other Contractors' activities, and State forces during the progress of the work under this contract. The Contractor must coordinate with the 04-0120M4 Contractor to prepare the traffic control plan (TCP) for a nighttime closure to complete the required work on WB and EB-80, in order to open WB and EB-80 to traffic, as shown on stage construction plans.

The Contractor shall submit the TCP, for the Engineer's review and approval, at least two weeks, before the scheduled opening days for the westbound, and eastbound Route 80. The TCP must provide and maintain the eastbound off-ramp (Left) and on-ramp (Right), and westbound off-ramp (Left) open to traffic.

Full compensation for coordination the TCP with the 04-0120M4 Contractor, preparing and submitting the TCP for the Engineer's review and approval, and providing and maintaining the above accesses shall be considered as included in the contract lump sump price paid for traffic control system and no additional compensation will be allowed therefor.

CLOSURE SCHEDULE

By noon Monday, the Contractor shall submit a written schedule of planned closures for the following week period, defined as Sunday noon through the following Sunday noon. Closures involving work (temporary barrier placement and paving operations) that will reduce horizontal clearances, traveled way inclusive of shoulders, to 2 lanes or less shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation. Closures involving work (pavement overlay, overhead sign installation, falsework and girder erection) that will reduce the vertical clearances available to the public, shall be submitted not less than 25 days and not more than 125 days before the anticipated start of operation.

The Closure Schedule shall show the locations and times of the proposed closures. The Closure Schedule request forms furnished by the Engineer shall be used. Closure Schedules submitted to the Engineer with incomplete or inaccurate information will be rejected and returned for correction and resubmittal. The Contractor will be notified of disapproved closures or closures that require coordination with other parties as a condition of approval.

Closure Schedule amendments, including adding additional closures, shall be submitted by noon to the Engineer, in writing, at least 3 business days in advance of a planned closure. Approval of Closure Schedule amendments will be at the discretion of the Engineer.

The Engineer shall be notified of cancelled closures 2 business days before the date of closure.

Closures that are cancelled due to unsuitable weather may be rescheduled at the discretion of the Engineer.

CONTINGENCY PLAN

A detailed contingency plan shall be prepared for reopening closures to public traffic. If required by "Beginning of Work, Time of Completion and Liquidated Damages" of these special provisions, the contingency plan shall be submitted to the Engineer before work at the job site begins. Otherwise, the contingency plan shall be submitted to the Engineer within one business day of the Engineer's request.

LATE REOPENING OF CLOSURES

If a closure is not reopened to public traffic by the specified time, work shall be suspended in conformance with the provisions in Section 8-1.05, "Temporary Suspension of Work," of the Standard Specifications. No further closures are to be made until the Engineer has accepted a work plan, submitted by the Contractor, that will insure that future closures will be reopened to public traffic at the specified time. The Engineer will have 2 business days to accept or reject the Contractor's proposed work plan. The Contractor will not be entitled to compensation for the suspension of work resulting from the late reopening of closures.

For each 10-minute interval, or fraction thereof past the time specified to reopen the closure, the Department will deduct $8,500 per interval from moneys due or that may become due the Contractor under the contract.

COMPENSATION

The Engineer shall be notified of delays in the Contractor's operations due to the following conditions, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of those conditions, and the Contractor's loss due to that delay could not have been avoided by rescheduling the affected closure or by judicious handling of forces, equipment and plant, the delay will be considered a right of way delay and will be compensated in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications:

1. The Contractor's proposed Closure Schedule is denied and his planned closures are within the time frame allowed for closures in "Maintaining Traffic" of these special provisions, except that the Contractor will not be entitled to compensation for amendments to the Closure Schedule that are not approved.
2. The Contractor is denied a confirmed closure.

Should the Engineer direct the Contractor to remove a closure before the time designated in the approved Closure Schedule, delay to the Contractor's schedule due to removal of the closure will be considered a right of way delay and compensation for the delay will be determined in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

10-1.33 TRAFFIC CONTROL SYSTEM FOR LANE CLOSURE

A traffic control system shall consist of closing traffic lanes and ramps in conformance with the details shown on the plans, the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications, the provisions under "Maintaining Traffic" and "Construction Area Signs" of these special provisions, and these special provisions.

The provisions in this section will not relieve the Contractor of responsibility for providing additional devices or taking measures as may be necessary to comply with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

During traffic stripe operations and pavement marker placement operations using bituminous adhesive, traffic shall be controlled, at the option of the Contractor, with either stationary or moving lane closures. During other operations, traffic shall be controlled with stationary lane closures. Attention is directed to the provisions in Section 84-1.04, "Protection From Damage," and Section 85-1.06, "Placement," of the Standard Specifications.

If components in the traffic control system are displaced or cease to operate or function as specified, from any cause, during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.

STATIONARY LANE CLOSURE

When lane and ramp closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations, designated by the Engineer within the limits of the highway right of way.

Each vehicle used to place, maintain and remove components of a traffic control system on multilane highways shall be equipped with a Type II flashing arrow sign which shall be in operation when the vehicle is being used for placing, maintaining or removing the components. Vehicles equipped with Type II flashing arrow sign not involved in placing, maintaining or removing the components when operated within a stationary type lane closure shall only display the caution display mode. The sign shall be controllable by the operator of the vehicle while the vehicle is in motion. The flashing arrow sign shown on the plans shall not be used on the vehicles which are doing the placing, maintaining and removing of components of a traffic control system and shall be in place before a lane closure requiring the sign's use is completed.

The traffic cones shown to be placed transversely across closed traffic lanes and shoulders on the plans entitled "Traffic Control System for Lane Closures on Freeways and Expressways" and "Traffic Control System for Lane and Complete Closures on Freeways and Expressways" shall not be placed.

MOVING LANE CLOSURE

Flashing arrow signs used in moving lane closures shall be truck-mounted. Changeable message signs used in moving lane closure operations shall conform to the provisions in Section 12-3.12, "Portable Changeable Message Signs," of the Standard Specifications, except the signs shall be truck-mounted and the full operation height of the bottom of the sign may be less than 2.1 m above the ground, but should be as high as practicable.

Truck-mounted attenuators (TMA) for use in moving lane closures shall be any of the following approved models, or equal:

1. Hexfoam TMA Series 3000, Alpha 1000 TMA Series 1000, and Alpha 2001 TMA Series 2001, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

    1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
    1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

2. Cal T–001 Model 2 or Model 3, manufacturer and distributor: Hexcel Corporation, 11711 Dublin Boulevard, P.O. Box 2312, Dublin, CA 94568, telephone (925) 551–4900
3. Renco Rengard Model Nos. CAM 8–815 and RAM 8–815, manufacturer and distributor: Renco Inc., 1582 Pflugerville Loop Road, P.O. Box 730, Pflugerville, TX 78660–0730, telephone (800) 654–8182

Each TMA shall be individually identified with the manufacturer's name, address, TMA model number, and a specific serial number. The names and numbers shall each be a minimum 13 mm high and located on the left (street) side at the lower front corner. The TMA shall have a message next to the name and model number in 13 mm high letters which states, "The bottom of this TMA shall be _____ mm ± _____ mm above the ground at all points for proper impact performance." Any TMA which is damaged or appears to be in poor condition shall not be used unless recertified by the manufacturer. The Engineer shall be the sole judge as to whether used TMAs supplied under this contract need recertification. Each unit shall be certified by the manufacturer to meet the requirements for TMA in conformance with the standards established by the Transportation Laboratory.

Approvals for new TMA designs proposed as equal to the above approved models shall be in conformance with the procedures (including crash testing) established by the Transportation Laboratory. For information regarding submittal of new designs for evaluation contact: Transportation Laboratory, 5900 Folsom Boulevard, Sacramento, California 95819.

New TMAs proposed as equal to approved TMAs or approved TMAs determined by the Engineer to need recertification shall not be used until approved or recertified by the Transportation Laboratory.

PAYMENT

The contract lump sum price paid for traffic control system shall include full compensation for furnishing all labor, materials (including signs), tools, equipment, and incidentals, and for doing all the work involved in placing, removing, storing, maintaining, moving to new locations, replacing and disposing of the components of the traffic control system shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The adjustment provisions in Section 4-1.03, "Changes," of the Standard Specifications shall not apply to the item of traffic control system. Adjustments in compensation for traffic control system will be made only for increased or decreased traffic control system required by changes ordered by the Engineer and will be made on the basis of the cost of the increased or decreased traffic control necessary. The adjustment will be made on a force account basis as provided in Section 9-1.03, "Force Account Payment," of the Standard Specifications for increased work and estimated on the same basis in the case of decreased work.

Traffic control system required by work which is classed as extra work, as provided in Section 4-1.03D of the Standard Specifications, will be paid for as a part of the extra work.

10-1.34 TEMPORARY PAVEMENT DELINEATION

Temporary pavement delineation shall be furnished, placed, maintained, and removed in conformance with the provisions in Section 12-3.01, "General," of the Standard Specifications and these special provisions. Nothing in these special provisions shall be construed as reducing the minimum standards specified in the California MUTCD or as relieving the Contractor from the responsibilities specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

GENERAL

When the work causes obliteration of pavement delineation, temporary or permanent pavement delineation shall be in place before opening the traveled way to public traffic. Laneline or centerline pavement delineation shall be provided for traveled ways open to public traffic.

The Contractor shall perform the work necessary to establish the alignment of temporary pavement delineation, including required lines or markers. Surfaces to receive application of paint or removable traffic tape temporary pavement delineation shall be dry and free of dirt and loose material. Temporary pavement delineation shall not be applied over existing pavement delineation or other temporary pavement delineation. Temporary pavement delineation shall be maintained until superseded or replaced with a new pattern of temporary pavement delineation or permanent pavement delineation, or as determined by the Engineer.

Temporary pavement markers, including underlying adhesive, and removable traffic tape that are applied to the final layer of surfacing or existing pavement to remain in place or that conflicts with a subsequent or new traffic pattern for the area shall be removed when no longer required for the direction of public traffic, as determined by the Engineer.

TEMPORARY LANELINE AND CENTERLINE DELINEATION

When lanelines or centerlines are obliterated and temporary pavement delineation to replace the lines is not shown on the plans, the minimum laneline and centerline delineation to be provided for that area shall be temporary pavement markers placed at longitudinal intervals of not more than 7.3 m. The temporary pavement markers shall be the same color as the laneline or centerline the pavement markers replace. Temporary pavement markers shall be, at the option of the Contractor, one of the temporary pavement markers listed for short term day/night use (14 days or less) or long term day/night use (180 days or less) in "Prequalified and Tested Signing and Delineation Materials" of these special provisions. The temporary pavement markers shall be placed in conformance with the manufacturer's instructions. Temporary pavement markers for long term day/night use (180 days or less) shall be cemented to the surfacing with the adhesive recommended by the manufacturer, except epoxy adhesive shall not be used to place the temporary pavement markers in areas where removal of the temporary pavement markers will be required.

Temporary laneline or centerline delineation consisting entirely of temporary pavement markers listed for short term day/night use (14 days or less), shall be placed on longitudinal intervals of not more than 7.3 m and shall be used for a maximum of 14 days on lanes opened to public traffic. Before the end of the 14 days the permanent pavement delineation shall be placed. If the permanent pavement delineation is not placed within the 14 days, the Contractor shall replace the temporary pavement markers and provide additional temporary pavement delineation and shall bear the cost thereof. The additional temporary pavement delineation to be provided shall be equivalent to the pattern specified for the permanent pavement delineation for the area, as determined by the Engineer.

TEMPORARY PAVEMENT MARKING (PAINT)

Temporary pavement marking consisting of painted pavement marking shall be applied and maintained at the locations shown on the plans. The painted temporary pavement marking shall be complete in place at the location shown before opening the traveled way to public traffic. Removal of painted temporary pavement marking will not be required.

Temporary painted pavement marking shall conform to the provisions in "Paint Traffic Stripe and Pavement Marking" of these special provisions, except for payment. At the option of the Contractor, either one or 2 coats shall be applied regardless whether on new or existing pavement.

At the Contractor's option, temporary removable pavement marking tape or permanent pavement marking tape listed in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be used instead of painted temporary pavement markings. When pavement marking tape is used, regardless of which type of tape is placed, the tape will be measured and paid for by the square meter as temporary pavement marking (paint).

MEASUREMENT AND PAYMENT

Temporary pavement marking shown on the plans will be measured and paid for in the same manner specified for paint pavement marking in Section 84-3.06, "Measurement," and Section 84-3.07, "Payment," of the Standard Specifications.

10-1.35 PORTABLE CHANGEABLE MESSAGE SIGN

Portable changeable message signs shall be furnished, placed, operated, and maintained at locations shown on the plans or where designated by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions. Messages displayed on the portable changeable message signs shall be as specified on the plans and shall conform to Section 12-3.12 "Portable Changeable Message Signs," of the Standard Specifications and “Maintaining Traffic” of these special provisions."

A portable changeable message sign shall be placed in advance of the first warning sign for each stationary lane closure.

A portable changeable message sign shall be placed during speed zone reductions. When used in conjunction with a lane closure, use one portable changeable message sign, with both the speed zone reduction and the lane closure messages.

10-1.36 TEMPORARY SIGNAL SYSTEM

The temporary signal system (TSS) shall consist of installing and maintaining temporary traffic signal for traffic control in conformance with the details shown on the plans, the provisions in "Maintaining Traffic" of these special provisions, the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications, and these special provisions.

The provisions in this section shall not relieve the Contractor from the responsibility to provide the additional devices or take the measures as may be necessary to conform to the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

All materials and equipment for a temporary signal system including, but not limited to, flashing beacons, signal heads, mast arms, luminaires, wood poles, conductors, and hardware shall be furnished by the Contractor.

Materials and equipment to be used in the temporary signal system shall be either new or used suitable for the intended use.

Each signal face shall be oriented to be clearly visible to traffic approaching from the direction which the signal is intended to control.

OPERATION

Temporary signal system shall operate at nominal 120 VAC. Lighting shall operate at 120 VAC or 240 VAC.

Unless otherwise directed by the Engineer, the system shall be operated on a continuous 24-hour basis except for the periods when it is necessary to control traffic by flaggers.

Timing of a temporary signal system will be performed by State forces.

MAINTAINING TEMPORARY SIGNAL SYSTEM

Maintaining a temporary signal system shall be the sole responsibility of the Contractor.

If components in the temporary signal system are damaged, displaced or cease to operate or function as specified, from any cause during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location. Components shall include signs, generator, flashing beacons, and signal equipment.

In the event the temporary signal system is out of operation, for any reason, the Contractor shall provide flaggers, at the Contractor's expense, to maintain traffic control until the traffic signals are returned to service.

BONDING AND GROUNDING

Signal heads, standards with metal bases and the controller cabinet shall be mechanically and electrically secure to form a continuous system effectively grounded by the grounding conductor.

Generator neutral grounding shall conform to the provisions for multiple service points in Section 86-2.10, "Bonding and Grounding," of the Standard Specifications.

SERVICE

The following method shall be used to provide power for the TSS:

A. A generator system with an additional generator as a backup.

GENERATOR

Generators shall be 120-V or 120/240-V, 60 Hz, 2.5 kW minimum, continuous duty type. Generators may be powered by gasoline, LPG or diesel engines operating at approximately 1800 revolutions per minute. Engines shall be provided with automatic oil feed. Generator system shall be equipped to provide automatic start-stop operation, with 12-V starting system. Generator output circuit shall have overcurrent protection with a maximum setting of 15 A or as shown on the plans.

Fuel storage shall be sufficient for periods of time during which the generator system will be operated unattended.

Engines shall be equipped with approved spark arresters.

GENERATOR OPERATION

Two generators shall be provided. A single generator shall operate the system. In the event of a failure to supply voltage for the system, the second generator shall start automatically and transfer the system load upon reaching operating voltage.

All temporary signal system materials and equipment shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Materials Outside the Highway Right of Way," of the Standard Specifications. Pole holes shall be backfilled.

Conductors placed in slots across paved areas as specified herein, when no longer required, shall be abandoned in place when determined by the Engineer. Direct buried conductors, installed 300 mm or more below the ground surface, and conduit may be abandoned in place.

PAYMENT

The contract lump sum price paid for temporary signal system shall include full compensation for furnishing all labor, materials (except State-furnished materials), tools, equipment, and incidentals, and for doing all the work involved in installing, maintaining, and removing the temporary traffic signal as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.37 TEMPORARY RAILING

Temporary railing (Type K) shall be placed as shown on the plans, as specified in the Standard Specifications or these special provisions or where ordered by the Engineer and shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Reflectors on temporary railing (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Temporary railing (Type K) placed in conformance with the provisions in "Public Safety" of these special provisions will be neither measured nor paid for.

10-1.38 CHANNELIZER

Channelizers shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the Standard Specifications and these special provisions.

Channelizers shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

When no longer required for the work as determined by the Engineer, channelizers and underlying adhesive used to cement the channelizer bases to the pavement shall be removed. Removed channelizers and adhesive shall become the property of the Contractor and shall be removed from the site of work.

10-1.39 TEMPORARY CRASH CUSHION MODULE

This work shall consist of furnishing, installing, and maintaining sand filled temporary crash cushion modules in groupings or arrays at each location shown on the plans, as specified in these special provisions or where designated by the Engineer. The grouping or array of sand filled modules shall form a complete sand filled temporary crash cushion in conformance with the details shown on the plans and these special provisions.

Attention is directed to "Public Safety" and "Temporary Railing" of these special provisions.

Temporary crash cushions shall be secured in place prior to commencing work for which the temporary crash cushions are required.

Whenever the work or the Contractor's operations establishes a fixed obstacle, the exposed fixed obstacle shall be protected with a sand filled temporary crash cushion. The sand filled temporary crash cushion shall be in place prior to opening the lanes adjacent to the fixed obstacle to public traffic.

Sand filled temporary crash cushions shall be maintained in place at each location, including times when work is not actively in progress. Sand filled temporary crash cushions may be removed during a work period for access to the work provided that the exposed fixed obstacle is 4.6 m or more from a lane carrying public traffic and the temporary crash cushion is reset to protect the obstacle prior to the end of the work period in which the fixed obstacle was exposed. When no longer required, as determined by the Engineer, sand filled temporary crash cushions shall be removed from the site of the work.

At the Contractor's option, the modules for use in sand filled temporary crash cushions shall be either Energite III Inertial Modules, Fitch Inertial Modules or TrafFix Sand Barrels manufactured after March 31, 1997, or equal:

1. Energite III and Fitch Inertial Modules, manufactured by Energy Absorption Systems, Inc., 35 East Wacker Drive, Suite 1100, Chicago, IL 60601:

    1.1. Northern California: Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, telephone (800) 884-8274, FAX (916) 387-9734
    1.2. Southern California: Traffic Control Service, Inc., 1818 E. Orangethorpe, Fullerton, CA 92831-5324, telephone (800) 222-8274, FAX (714) 526-9501

2. TrafFix Sand Barrels, manufactured by TrafFix Devices, Inc., 220 Calle Pintoresco, San Clemente, CA 92672, telephone (949) 361-5663, FAX (949) 361-9205

    2.1. Northern California: United Rentals, Inc., 1533 Berger Drive, San Jose, CA 95112, telephone (408) 287-4303, FAX (408) 287-1929
    2.2. Southern California: Statewide Safety & Sign, Inc., P.O. Box 1440, Pismo Beach, CA 93448, telephone (800) 559-7080, FAX (805) 929-5786

Modules contained in each temporary crash cushion shall be of the same type at each location. The color of the modules shall be the standard yellow color, as furnished by the vendor, with black lids. The modules shall exhibit good workmanship free from structural flaws and objectionable surface defects. The modules need not be new. Good used undamaged modules conforming to color and quality of the types specified herein may be utilized. If used Fitch modules requiring a seal are furnished, the top edge of the seal shall be securely fastened to the wall of the module by a continuous strip of heavy duty tape.

Modules shall be filled with sand in conformance with the manufacturer's directions, and to the sand capacity in kilograms for each module shown on the plans. Sand for filling the modules shall be clean washed concrete sand of commercial quality. At the time of placing in the modules, the sand shall contain not more than 7 percent water as determined by California Test 226.

Modules damaged due to the Contractor's operations shall be repaired immediately by the Contractor at the Contractor's expense. Modules damaged beyond repair, as determined by the Engineer, due to the Contractor's operations shall be removed and replaced by the Contractor at the Contractor's expense.

Temporary crash cushion modules may be placed on movable pallets or frames. Comply with dimensions shown on the plans. The pallets or frames shall provide a full bearing base beneath the modules. The modules and supporting pallets or frames shall not be moved by sliding or skidding along the pavement or bridge deck.

A Type R or P marker panel shall be attached to the front of the crash cushion as shown on the plans, when the closest point of the crash cushion array is within 3.6 m of the traveled way. The marker panel, when required, shall be firmly fastened to the crash cushion with commercial quality hardware or by other methods determined by the Engineer.

At the completion of the project, temporary crash cushion modules, sand filling, pallets or frames, and marker panels shall become the property of the Contractor and shall be removed from the site of the work. Temporary crash cushion modules shall not be installed in the permanent work.

Temporary crash cushion modules will be measured by the unit as determined from the actual count of modules used in the work or ordered by the Engineer at each location. Temporary crash cushion modules placed in conformance with the provisions in "Public Safety" of these special provisions and modules placed in excess of the number specified or shown will not be measured nor paid for.

Repairing modules damaged by public traffic will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications. Modules damaged beyond repair by public traffic, when ordered by the Engineer, shall be removed and replaced immediately by the Contractor. Modules replaced due to damage by public traffic will be measured and paid for as temporary crash cushion module.

If the Engineer orders a lateral move of the sand filled temporary crash cushions and the repositioning is not shown on the plans, moving the sand filled temporary crash cushion will be paid for as extra work as provided in Section 4-1.03D of the Standard Specifications and these temporary crash cushion modules will not be counted for payment in the new position.

The contract unit price paid for temporary crash cushion module shall include full compensation for furnishing all labor, materials (including sand, pallets or frames and marker panels), tools, equipment, and incidentals, and for doing all the work involved in furnishing, installing, maintaining, moving, and resetting during a work period for access to the work, and removing from the site of the work when no longer required (including those damaged by public traffic) sand filled temporary crash cushion modules, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.40 BARRIER TRUCK

This work shall consist of furnishing, placing, operating, maintaining, and removing barrier trucks as specified in these special provisions and as directed by the Engineer.

The Contractor shall provide a barrier truck to protect the equipment and personnel, operating the equipment, in the closed lane.

Barrier trucks weight shall be a minimum of 9000 kilograms and shall be equipped with truck-mounted attenuators (TMA).

Truck-mounted attenuators (TMA) shall meet the requirements as specified in these special provisions.

The Contractor shall park one barrier truck 50 meters in advance of the equipment being operated in the closed lane unless otherwise directed by the Engineer. The barrier truck shall be equipped with a manual transmission, the transmission set in second gear, the emergency brakes engaged, and the ignition off. The barrier truck shall be unoccupied and positioned so that it will shield the equipment and personnel and will intercept errant vehicles. The barrier truck shall be parked on clean pavement with the front of the truck pointed away from traffic to prevent secondary collisions if the barrier truck is hit and pushed ahead. The front wheels shall be turned away from the lanes open to the traffic.

Barrier trucks, when no longer required for the work, as determined by the Engineer, shall become the property of the Contractor.

Truck-mounted attenuators (TMA) for use in moving lane closures shall be any of the following approved models, or equal:

A. Hexfoam TMA Series 3000, Alpha 1000 TMA Series 1000 and Alpha 2001 TMA Series 2001, manufactured by Energy Absorption Systems, Inc., One East Wacker Drive, Chicago, IL 60601-2076, Telephone (312) 467-6750.

   1. Distributor (Northern): Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, Telephone 1-800-884-8274, FAX (916) 387-9734.
   2. Distributor (Southern): Traffic Control Service, Inc., 1881 Betmor Lane, Anaheim, CA 92805, Telephone 1-800-222-8274.

B. Cal T-001 Model 2 or Model 3, manufacturer and distributor: Hexcel Corporation, 11711 Dublin Boulevard, P.O. Box 2312, Dublin, CA 94568, Telephone (510) 828-4200.
C. Renco Rengard Model Nos. CAM 8-815 and RAM 8-815, manufacturer and distributor: Renco Inc., 1582 Pflugerville Loop Road, P.O. Box 730, Pflugerville, TX 78660-0730, Telephone 1-800-654-8182.

Each TMA shall be individually identified with the manufacturer's name, address, TMA model number, and a specific serial number. The names and numbers shall each be a minimum 13 mm high and located on the left (street) side at the lower front corner. The TMA shall have a message next to the name and model number in 13 mm high letters which states, "The bottom of this TMA shall be _____ mm ± _____ mm above the ground at all points for proper impact performance." Any TMA which is damaged or appears to be in poor condition shall not be used unless recertified by the manufacturer. The Engineer shall be the sole judge as to whether used TMAs supplied under this contract need recertification. Each unit shall be certified by the manufacturer to meet the requirements for TMA in conformance with the standards established by the Transportation Laboratory.

Approvals for new TMA designs proposed as equal to the above approved models shall be in conformance with the procedures (including crash testing) established by the Transportation Laboratory. For information regarding submittal of new designs for evaluation contact: Transportation Laboratory, 5900 Folsom Boulevard, Sacramento, California 95819.

New TMAs proposed as equal to approved TMAs or approved TMAs determined by the Engineer to need recertification shall not be used until approved or recertified by the Transportation Laboratory.

PAYMENT

Full compensation for furnishing, placing, operating, maintaining, and removing barrier trucks shall be considered as included in the contract lump sum price paid for traffic control system and no additional compensation will be allowed therefor.

10-1.41 EXISTING HIGHWAY FACILITIES

The work performed in connection with various existing highway facilities shall conform to the provisions in Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

Attention is directed to Section 7-1.06, "Safety and Health Provisions," of the Standard Specifications. Work practices and worker health and safety shall conform to the California Division of Occupational Safety and Health Construction Safety Orders Title 8, of the California Code of Regulations including Section 5158, "Other Confined Space Operations."

Existing footing concrete that is below ground and outside of the footing limits shown on the contract plans or original contract plans shall be removed as directed by the Engineer and will be paid in conformance with Section 4-l.03D, "Extra Work," of the Standard Specifications.

Additional exploratory work of bridge members for unforeseen damage shall be done when directed by the Engineer and will be paid in conformance with Section 4-1.03D, "Extra Work," of the Standard Specifications.

ABANDON SEWER

Existing sewers, where shown on the plans to be abandoned, shall be abandoned in place or, at the option of the Contractor, the sewers shall be removed and disposed of. Resulting openings into existing structures that are to remain in place shall be plugged with concrete conforming to the provisions in Section 90-10 "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Abandoning sewers in place shall conform to the following:

1. Sewers that intersect the side slopes shall be removed to a depth of not less than one meter measured normal to the plane of the finished side slope, before being abandoned.
2. Sewers 300 mm in diameter and larger, shall, at the Contractor's option, be backfilled with either sand, controlled low strength material or slurry cement backfill conforming to the provisions in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications by any method acceptable to the Engineer that completely fills the pipe. Sand backfill material shall be clean, free draining, and free from roots and other deleterious substances.
3. The ends of sewers shall be securely closed by a 150 mm thick tight fitting plug or wall of commercial quality concrete.

Sewers shall not be abandoned until their use is no longer required. The Contractor shall notify the Engineer in advance of any intended culvert or pipeline abandonment.

If the Contractor elects to remove and dispose of a sewer which is specified to be abandoned, as provided herein, backfill specified for the pipe will be measured and paid for in the same manner as if the sewer has been abandoned in place.

Full compensation for concrete plugs, pipe removal, structure excavation, and backfill (including sand, controlled low strength material or slurry cement backfill) shall be considered as included in the contract unit price paid for abandon culvert and pipeline and no additional compensation will be allowed therefor.

ABANDON CULVERT

Existing culverts,, where shown on the plans to be abandoned, shall be abandoned in place or, at the option of the Contractor, the culverts shall be removed and disposed of. Resulting openings into existing structures that are to remain in place shall be plugged with concrete conforming to the provisions in Section 90-10 "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Abandoning culverts in place shall conform to the following:

1. Culverts that intersect the side slopes shall be removed to a depth of not less than one meter measured normal to the plane of the finished side slope, before being abandoned.
2. Culverts 300 mm in diameter and larger, shall, at the Contractor's option, be backfilled with either sand, controlled low strength material or slurry cement backfill conforming to the provisions in Section 19-3.062, "Slurry Cement Backfill," of the Standard Specifications by any method acceptable to the Engineer that completely fills the pipe. Sand backfill material shall be clean, free draining, and free from roots and other deleterious substances.
3. The ends of culverts and pipelines shall be securely closed by a 150 mm thick tight fitting plug or wall of commercial quality concrete.

Culverts shall not be abandoned until their use is no longer required. The Contractor shall notify the Engineer in advance of any intended culvert or pipeline abandonment.

If the Contractor elects to remove and dispose of a culvert which is specified to be abandoned, as provided herein, backfill specified for the pipe will be measured and paid for in the same manner as if the culvert has been abandoned in place.

Full compensation for concrete plugs, pipe removal, structure excavation, and backfill (including sand, controlled low strength material or slurry cement backfill) shall be considered as included in the contract unit price paid for abandon culvert and no additional compensation will be allowed therefor.

ABANDON INLET

Existing concrete drainage inlets, where shown on the plans to be abandoned, shall be abandoned.

The top portion of the inlets shall be removed to a depth of 0.3-m below finished grade.

Removed frames and grates shall be disposed of.

RECONSTRUCT ROCK SLOPE PROTECTION

The work shall consist of reconstructing rock slope protection Facing, Method B and shall be constructed in conformance with the provisions in Section 72, "Slope Protection," of the Standard Specifications, and these special provisions.

Rock slope protection fabric shall be woven or nonwoven type fabric, Type A or Type B, at the option of the Contractor.

MATERIALS

The rock slope materials shall be free from vegetable matter and other unsuitable material as determined by the Engineer.

MEASUREMENT AND PAYMENT

Reconstruct rock slope protection will be measured by cubic meter. Quantities shall be determined from the dimensions shown on the plans

No allowance will be made for reconstruct rock slope protection placed outside the dimensions shown on the plans unless otherwise order by the Engineer.

The contract price paid per cubic meter for reconstruct rock slope protection shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in reconstructing the rock slope protection, complete in place, including excavation for the placement of reconstruct rock slope protection, and removing and stockpiling the existing rock slope protection, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

RELOCATE FIRE HYDRANT

The work shall consist of relocating fire hydrant, including components and accessories such as 150mm ductile iron pipe , service pipe, bell bend, riser, bury, restraining rings, and tie rod, as shown on the plans, and as specified in "Water Mains," of the special provisions, and these special provisions.

The Contract shall notify the Engineer and the City and County of San Francisco, the San Francisco Public Utilities Commission/Water Department (SFWD) at (415)550-4956, at least 10 working days in advance before any work to be performed by SFWD forces for disconnecting, and connecting of the water main, for the relocation of the fire hydrant.

Hydrant bury shall be secured by installing lugs, restraining ring assembly, bell band and tie rod bolts as shown on the plans and/or directed by the Engineer. Concrete thrust block, of such size as the Engineer may direct, shall be 21 MPa strength and poured against undisturbed ground in the bottom and side of the trench. The backfill around the block shall be thoroughly tamped.

Hydrant shall be set exactly plumb and at the proper elevation on a block of reinforced concrete or as directed by the Engineer. Contractor shall backfill where underground installation is complete. Backfilling shall be in accordance with the applicable requirements of the Standard Specifications. In completing the backfill, hydrant shall be kept plumb and adequate support to prevent future movement shall be provided. Any hydrant which is out of plumb or not firmly supported shall be properly reset by the Contractor at his sole expense.

The contract unit price paid for relocate fire hydrant shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in relocating fire hydrant, complete in place, including cleaning and testing fire hydrant, excavation and backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

REMOVE METAL BEAM GUARD RAILING

Existing metal beam guard railing, where shown on the plans to be removed, shall be removed and disposed of.

Existing concrete anchors or steel foundation tubes shall be completely removed and disposed of. Full compensation for removing concrete anchors shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

Full compensation for removing cable anchor assemblies, terminal anchor assemblies or steel foundation tubes shall be considered as included in the contract price paid per meter for remove metal beam guard railing and no separate payment will be made therefor.

REMOVE SIGN STRUCTURE

Existing sign structures, where shown on the plans to be removed, shall be removed and disposed of.

Overhead sign structure removal shall consist of removing posts, frames, portions of foundations, sign panels, walkways with safety railings, and sign lighting electrical equipment.

Bridge mounted sign structure removal shall consist of removing sign panels and frames, sign lighting electrical equipment, walkways with safety railings, structural braces and supports, and hardware.

A sign structure shall not be removed until the structure is no longer required for the direction of public traffic.

Concrete foundations may be abandoned in place, except that the top portion, including anchor bolts, reinforcing steel, and conduits shall be removed to a depth of not less than one meter below the adjacent finished grade. The resulting holes shall be backfilled and compacted with material equivalent to the surrounding material.

Electrical wiring shall be removed to the nearest pull box. Fuses within spliced connections in the pull box shall be removed and disposed of.

Electrical equipment, where shown on the plans, shall be salvaged.

REMOVE PAVEMENT MARKER

Existing pavement markers, including underlying adhesive, when no longer required for traffic lane delineation as determined by the Engineer, shall be removed and disposed of.

REMOVE TRAFFIC STRIPE

Traffic stripe shall be removed at the locations shown on the plans and as directed by the Engineer.

Attention is directed to "Water Pollution Control" of these special provisions.

Waste from removal of yellow thermoplastic contains lead chromate in average concentrations greater than or equal to 5 mg/L Soluble Lead or 1000 mg/kg Total Lead. Yellow thermoplastic exists as shown on the plans. Residue produced from the removal of yellow thermoplastic contains heavy metals in concentrations that exceed thresholds established by the California Health and Safety Code and Title 22 of the California Code of Regulations. The Contractor shall assume that the residue is not regulated under the Federal Resource Conservation and Recovery Act (RCRA). Yellow thermoplastic may produce toxic fumes when heated.

The removed yellow thermoplastic and shall be disposed of at a Class 1 disposal facility in conformance with the requirements of the disposal facility operator within 90 days after accumulating residue and dust. The Contractor shall make necessary arrangements to test the yellow thermoplastic residue as required by the disposal facility and these special provisions. Testing shall include, at a minimum, (1) Total Lead by EPA Method 6010B and Chromium by EPA Method 7000 series, (2) Soluble Lead and Chromium by California Waste Extraction Test, and (3) Soluble Lead and Chromium by Toxicity Characteristic Leaching Procedure. From the first 840 L of waste or portion thereof, if less than 840 L of waste are produced, a minimum of four randomly selected samples shall be taken and analyzed individually. Samples shall not be composited. From each additional 3360 L of waste or portion thereof, if less than 3360 L are produced,

a minimum of one additional random sample shall be taken and analyzed. Each sample shall be homogenized prior to analysis by the laboratory performing the analyses. A sample aliquot sufficient to cover the amount necessary for the total and the soluble analyses shall then be taken. This aliquot shall be homogenized a second time and the total and soluble (if necessary) run on this aliquot. The homogenization process shall not include grinding of the samples. The Contractor shall submit the name and location of the disposal facility and analytical laboratory along with the testing requirements to the Engineer not less than 5 days prior to the start of removal of yellow thermoplastic. The analytical laboratory shall be certified by the Department of Health Services Environmental Laboratory Accreditation Program for all analyses to be performed. Test results shall be provided to the Engineer for review prior to signing a waste profile as requested by the disposal facility, prior to issuing an EPA identification number, and prior to allowing removal of the waste from the site.

The Contractor shall prepare a project specific Lead Compliance Plan to prevent or minimize worker exposure to lead while handling removed yellow thermoplastic residue. Attention is directed to Title 8, California Code of Regulations, Section 1532.1, "Lead," for specific Cal-OSHA requirements when working with lead.

The Lead Compliance Plan shall contain the elements listed in Title 8, California Code of Regulations, Section 1532.1(e)(2)(B). Before submission to the Engineer, the Lead Compliance Plan shall be approved by an Industrial Hygienist certified in Comprehensive Practice by the American Board of Industrial Hygiene. The Plan shall be submitted to the Engineer at least 7 days prior to beginning removal of yellow thermoplastic.

Prior to removing yellow thermoplastic, personnel who have no prior training, including State personnel, shall complete a safety training program provided by the Contractor that meets the requirements of Title 8, California Code of Regulations, Section 1532.1, "Lead," and the Contractor's Lead Compliance Program.

Personal protective equipment, training, and washing facilities required by the Contractor's Lead Compliance Plan shall be supplied to State personnel by the Contractor. The number of State personnel will be 3.

Where grinding or other methods approved by the Engineer are used to remove yellow thermoplastic, the removed residue, including dust, shall be contained and collected immediately. Collection shall be by a high efficiency particulate air (HEPA) filter equipped vacuum attachment operated concurrently with the removal operations or other equally effective methods approved by the Engineer. The Contractor shall submit a written work plan for the removal, storage, and disposal of yellow thermoplastic to the Engineer for approval not less than 15 days prior to the start of the removal operations. Removal operations shall not be started until the Engineer has approved the work plan.

The removed yellow thermoplastic residue shall be stored and labeled in covered containers. Labels shall conform to the provisions of Title 22, California Code of Regulations, Sections 66262.31 and 66262.32. Labels shall be marked with date when the waste is generated, the words "Hazardous Waste," composition and physical state of the waste (for example, asphalt grindings with thermoplastic or paint), the word "Toxic," the name and address of the Engineer, the Engineer's telephone number, contract number, and Contractor or subcontractor. The containers shall be a type approved by the United States Department of Transportation for the transportation and temporary storage of the removed residue. The containers shall be handled so that no spillage will occur. The containers shall be stored in a secured fenced enclosure at a location within the project limits until disposal, as approved by the Engineer.

If the yellow thermoplastic residue is transported to a Class 1 disposal facility as a hazardous waste, a manifest shall be used, and the transporter shall be registered with the California Department of Toxic Substance Control. The Contractor shall submit a written request for the United States Environmental Protection Agency Identification Number (US EPA ID Number) to the Engineer. The Engineer will obtain the US EPA ID Number and sign all manifests as the generator within 2 working days of receiving sample test results, approving the test methods, and receiving the written request for the US EPA ID Number from the Contractor. The Contractor shall submit receiving landfill documentation of proper disposal to the Engineer.

Nothing in these special provisions shall relieve the Contractor of the Contractor's responsibilities as specified in Section 7-1.09, "Public Safety," of the Standard Specifications.

The contract lump sum price paid for Lead Compliance Plan shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in preparing the Lead Compliance Plan, including paying the Certified Industrial Hygienist, and for providing personnel protective equipment, training, air monitoring, and medical surveillance, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for providing a written work plan for the removal, storage, and disposal of yellow thermoplastic and for providing receiving landfill documentation of proper disposal of yellow thermoplastic shall be considered as included in the contract prices paid per meter for remove yellow thermoplastic traffic stripe and no separate payment will be made therefor.

REMOVE DRAINAGE FACILITY

Existing culvert, and inlet where shown on the plans to be removed, shall be completely removed and disposed of.

REMOVE PIPE LINE

Existing utility pipelines, which consist of water lines and service gas lines for Navy, are under San Francisco Public Utilities Commission/Water Department (SFWD).

Existing utility pipelines, where shown on the plans to be removed, shall be completely removed and disposed of.

The Contractor shall notify in writing the Engineer and SFWD, at least 15 working days in advance before any work to be performed by SFWD forces for disconnecting, and connecting of the water line, and gas line. Furthermore, the Contractor shall confirm the scheduled work with the Engineer, and SFWD at (415)550-4956, at least 3 working days before the actual field work by SFWD.

REMOVE ROADSIDE SIGN

Existing roadside signs, at those locations shown on the plans to be removed, shall be removed and disposed of.

Existing roadside signs shall not be removed until replacement signs have been installed or until the existing signs are no longer required for the direction of public traffic, unless otherwise directed by the Engineer.

RELOCATE ROADSIDE SIGN

Existing roadside signs shall be removed and relocated to the new locations shown on the plans.

Each roadside sign shall be installed at the new location on the same day that the sign is removed from its original location.

Two holes shall be drilled in each existing post as required to provide the breakaway feature shown on the plans.

ADJUST INLET

Existing pipe inlets and concrete drainage inlets shall be adjusted as shown on the plans.

Concrete shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Where inlets are located in areas to be paved or surfaced, no individual structure shall be constructed to final grade until the paving or surfacing has been completed immediately adjacent to the structure.

COLD PLANE ASPHALT CONCRETE PAVEMENT

Existing asphalt concrete pavement shall be cold planed at the locations and to the dimensions shown on the plans.

Planing asphalt concrete pavement shall be performed by the cold planing method. Planing of the asphalt concrete pavement shall not be done by the heater planing method.

Cold planing machines shall be equipped with a cutter head not less than 750 mm in width and shall be operated so that no fumes or smoke will be produced. The cold planing machine shall plane the pavement without requiring the use of a heating device to soften the pavement during or prior to the planing operation.

The depth, width, and shape of the cut shall be as shown on the plans or as designated by the Engineer. The final cut shall result in a uniform surface conforming to the plans. The outside lines of the planed area shall be neat and uniform. Planing asphalt concrete pavement operations shall be performed without damage to the surfacing to remain in place.

Planed widths of pavement shall be continuous except for intersections at cross streets where the planing shall be carried around the corners and through the conform lines. Following planing operations, a drop-off of more than 45 mm will not be allowed between adjacent lanes open to public traffic.

Where transverse joints are planed in the pavement at conform lines no drop-off shall remain between the existing pavement and the planed area when the pavement is opened to public traffic. If Hot Mix Asphalt (HMA) has not been placed to the level of existing pavement before the pavement is to be opened to public traffic a temporary HMA taper shall be constructed. HMA for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 1:30 (Vertical: Horizontal) or flatter to the level of the planed area.

HMA for temporary tapers shall be the same quality as the HMA used elsewhere on the project or shall conform to the material requirements for minor HMA. HMA for tapers shall be compacted by any method that will produce a smooth riding surface. Temporary HMA tapers shall be completely removed, including the removal of loose material from the underlying surface, before placing the permanent surfacing. The removed material shall be disposed of outside the highway right of way in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Operations shall be scheduled so that not more than 7 days shall elapse between the time when transverse joints are planed in the pavement at the conform lines and the permanent surfacing is placed at the conform lines.

The material planed from the roadway surface, including material deposited in existing gutters or on the adjacent traveled way, shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications. Removal operations of cold planed material shall be concurrent with planing operations and follow within 15 m of the planer, unless otherwise directed by the Engineer.

Cold plane asphalt concrete pavement will be measured by the square meter. The quantity to be paid for will be the actual area of surface cold planed irrespective of the number of passes required to obtain the depth shown on the plans.

The contract price paid per square meter for cold plane asphalt concrete pavement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in cold planing asphalt concrete surfacing and disposing of planed material, including furnishing the HMA for and constructing, maintaining, removing, and disposing of temporary HMA tapers, as specified in the Standard Specifications and these special provisions and as directed by the Engineer.

BRIDGE REMOVAL (PORTION)

Removing bridges or portions of bridges shall conform to the provisions in Section 15-4, "Bridge Removal," of the Standard Specifications and these special provisions.

Location A – YBI Transition Structures

This work consists in general of removing portion of existing concrete, reinforcement and embedded items at the east end of the existing viaduct replacement structure to provide blockout for seismic joint hardware at Bent W10AL, as shown on the plans.

Location B –YBI Eastbound On-Ramp Structure (Initial)

This work consists in general of removing portions of the existing retaining wall at Bent W8 as shown on the plans.

Removed materials that are not to be salvaged or used in the reconstruction shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

The Contractor shall submit a complete bridge removal plan to the Engineer for each location listed above, detailing procedures, sequences, and all features required to perform the removal in a safe and controlled manner.

Each bridge removal plan shall include, but not be limited to the following:

A. The removal sequence, including staging of removal operations.
B. Equipment locations on the structure during removal operations.
C. Temporary support shoring or temporary bracing.
D. Locations where work is to be performed over traffic or utilities.
E. Details, locations, and types of protective covers to be used.
F. Measures to assure that people, property, utilities, and improvements will not be endangered.
G. Details and measures for preventing material, equipment, and debris from falling onto public traffic.

The bridge removal plan shall conform to the provisions in "Working drawings," of these special provisions. Calculations and working drawings shall be stamped by an engineer who is registered as a Civil Engineer in the State of California. The number of sets of drawings, design calculations, and unless otherwise specified in the following table, the time for reviewing bridge removal plans shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

REMOVE EPOXY ASPHALT CONCRETE SURFACING

Existing epoxy asphalt concrete surfacing shall be removed to the top of existing portland cement concrete pavement as shown on the plans and as described in these special provisions.

The method of removal shall be selected by the Contractor. Equipment or procedures that damage the remaining concrete surface, as determined by the Engineer, shall not be used.

Cold milling equipment may be used to remove epoxy asphalt concrete surfacing.

If the Contractor elects to use cold milling equipment, the cold milling equipment shall have the capability to 1) remove concrete a minimum depth of 6 mm, 2) provide a surface relief of no more than 6 mm, and 3) maintain a 4-mm grade tolerance; and shall have the following features:

A. 3 or 4 riding tracks.
B. An automatic grade control system with an electronic averaging system having 3 sensors on each side of the equipment.
C. A conveyer system that leaves no debris on the bridge.
D. A drum that operates in an up-milling direction.
E. Bullet tooth tools with tungsten carbide steel cutting tips.
F. A 16-mm maximum tool spacing.
G. A maximum operating mass of 25400 kg.

The Contractor shall select which sensors are activated during the milling operation to produce the profile required as shown on the plans.

The cold milling equipment shall have a complete set of new tooth tools at the beginning of the job, and the tooth tools shall be replaced as necessary to perform the work satisfactorily.

The Contractor shall provide personnel on each side of the milling drum to monitor the milling operation and maintain radio communication with the operator at all times during the milling operation.

The outline of the epoxy asphalt concrete to be removed shall be cut with a power-driven saw to a depth of not less than 50 mm before removing the surfacing. Surfacing shall be removed without damage to surfacing that is to remain in place. Any damage to pavement which is to remain in place shall be repaired to a condition satisfactory to the Engineer, or the damaged pavement shall be removed and replaced when ordered by the Engineer. Repairing or removing and replacing pavement damaged outside the limits of surfacing to be removed shall be at the Contractor's expense and will not be measured or paid for.

All removed materials shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Remove epoxy asphalt concrete surfacing will be measured by the square meter.

The contract price paid per square meter for remove epoxy asphalt concrete surfacing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in removing epoxy asphalt concrete surfacing including saw cutting of epoxy asphalt concrete, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

PREPARE CONCRETE PAVEMENT SURFACE

This work shall consist of cleaning the portland cement concrete pavement surface by using steel shot-blasting and blowing clean the pavement surface, as shown on the plans and as described in these special provisions.

All laitance and surface contaminants including, but not limited to, rust, oil, paint, joint material, and other foreign material shall be cleaned from the surface of the existing concrete deck.

If the surface becomes contaminated at any time prior to placing the primer for the overlay, the surface shall be cleaned by abrasive blasting.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. Removal of the residue shall be performed by a vacuum attachment operating concurrently with the abrasive blasting operation.

Nothing in these special provisions shall relieve the Contractor from the responsibility to conform with the provisions in Section 7-1.09, "Public Safety," of the Standard Specifications.

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices, as necessary, to prevent oil or other deleterious material from being deposited on the pavement.

All removed materials shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Preparing concrete pavement surface will be measured by the square meter of surface which is prepared to receive the overlay, based on dimensions shown on the plans.

The contract price paid per square meter for prepare concrete pavement surface shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in preparing the concrete pavement surface, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

PREPARE CONCRETE BRIDGE DECK SURFACE

This work shall consist of cleaning the portland cement concrete deck surface on the Self Anchored Suspension (SAS) structures from the end of the expansion joint blockouts at hinge K to Station W56+06.560 on the westbound roadway and to Station E55+97.761 on the eastbound roadway by using steel shot-blasting and blowing clean the deck surface, as shown on the plans and as described in these special provisions.

The Contractor shall submit to the Engineer for review plans and procedures for protecting the seismic joints at hinge K in place during deck cleaning operations.

All laitance and surface contaminants including, but not limited to, rust, oil, paint, joint material, curing compound, and other foreign material shall be cleaned from the surface of the existing concrete deck.

If the surface becomes contaminated at any time prior to placing the primer for the overlay, the surface shall be cleaned by abrasive blasting.

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices, as necessary, to prevent oil or other deleterious material from being deposited on the deck.

Equipment or procedures that leave fractured aggregate or otherwise damage the concrete surface which is to remain shall not be used.

All removed materials shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Preparing concrete bridge deck surface will be measured by the square meter of surface which is prepared to receive the overlay, based on dimensions shown on the plans.

The contract price paid per square meter for prepare concrete bridge deck surface shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in preparing the concrete bridge deck surface, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

REMOVE CONCRETE BARRIER (TYPE K)

Concrete barrier (Type K), where shown on the plans to be removed, shall be removed, and become the property of the contractor.

Removing concrete barrier (Type K) will be measured by the meter before removal operations.

At the Contractor's option, removed concrete barrier (Type K) may be used as temporary railing (Type K) in conforming with the provisions in "Temporary Railing (Type K)," of these special provisions.

PROTECT ELECTRICAL UNDERGROUND DUCT BANK

Existing electrical underground duct bank shown on the plans to remain in place shall be protected in conformance with the provisions in Section 15, "Existing Highway Facilities," of the Standard Specifications and these special provisions.

When construction work is to be performed over or adjacent to the underground duct bank, the Contractor shall take appropriate measures to protect the facility from damage. Approximate location of the duct bank is shown on the plans.

The maximum allowable uniform construction load at the existing duct bank is 96 kN/m^2. This load can only be applied on the existing soil cover above the duct bank and shall not be applied directly on top of the duct bank.

The maximum allowable construction load at the existing duct bank from a crane crawler is 230 kN/m^2 applied along the length of a crawler. This load can only be applied on the existing soil cover above the duct bank and shall not be applied directly on top of the duct bank.

The Contractor shall not impose loads on the duct bank that exceed the allowable construction loads. If the construction loads to be imposed, including equipment loads, exceed the allowable duct bank construction loads, the Contractor shall design and construct a load carrying structure or shall take other measures as necessary to ensure the duct bank allowable construction loads are not exceeded and to protect the duct bank from damage, as approved by the Engineer.

The Contractor shall submit to the Engineer a duct bank protection plan for approval at least 30 days prior to constructing the work. The plan shall include the types of loads and values of all loads to be imposed, and methods, equipment, details and calculations for the Contractor's plan to protect the duct bank from damage. Fifteen days shall be allowed for the Engineer's review of the duct bank protection plan. Work shall not begin until the Engineer has approved the duct bank protection plan.

Full compensation for protecting the existing electrical underground duct bank from damage as specified herein, including the duct bank protection plan, shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

10-1.42 TREATED-WOOD WASTE

GENERAL

Summary

This work includes handling, storing, transporting, and disposing treated-wood waste.

Wood removed from roadside signs is treated with creosote, pentachlorophenol, copper azole, copper boron azole, chromated copper arsenate, amrnoniacal copper zinc arsenate, copper naphthenate, or alkaline copper quaternary. Treated-wood waste must be disposed in an approved treated-wood-waste facility. A list of currently approved treated-wood-waste facilities may be viewed at:

http://www.dtsc.ca.gov/HazardousWaste/upload/TWW_Confirmed_Landfill_List.pdf

Manage treated-wood waste under 22 CA Code of Regulations, Division 4.5, Chapter 34.

Definitions

treated wood: Wood that has been treated with a chemical preservative registered under the Federal Insecticide, Fungicide, and Rodenticide Act to protect the wood from insects, microorganisms, fungi and other environmental conditions that can lead to wood decay.

treated-wood waste: Treated wood removed from existing facilities.

Submittals

Prepare and submit safety and health work practices for handling treated-wood waste for acceptance by the Engineer. Before submittal, the safety and health work practices must be reviewed and approved by an industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

Quality Control and Assurance

Personnel who handle treated-wood waste or may contact treated-wood waste must receive training that includes:

1. All applicable requirements of 8 CA Code of Regulations
2. Procedures for identifying and segregating treated-wood waste
3. Safe handling practices
4. Requirements of 22 CA Code of Regulations, Division 4.5, Chapter 34
5. Proper disposal methods

CONSTRUCTION

Store treated-wood waste before disposal using any of the following methods:

1. Elevated on blocks above a reasonably foreseeable run-on elevation and protected from precipitation
2. Placed in water-resistant containers designed for shipping or solid waste collection
3. Placed on a containment surface protected from run-on and precipitation

Prevent unauthorized access to treated-wood waste using a secured enclosure such as a locked chain link fenced area or a lockable shipping container. The enclosure must be located within the project limits.

Resizing or segregating treated-wood waste must be done at a location where debris from the operation including sawdust and chips can be contained. The debris must be collected and managed as treated-wood waste.

Provide water-resistant labels to clearly mark and identify treated-wood waste. Labels on treated-wood waste and accumulation areas must comply with 22 CA Code of Regulations, Division 4.5, Chapter 34, § 67386.5. The label must include:

1. In treated wood waste handler area:

    1.1. Caltrans, District number, Construction, contract number
    1.2 District office address
    1.3. Engineer's name, address, and telephone number
    1.4. Contractor's contact name and telephone number

Before transporting treated-wood waste, obtain agreement from the receiving facility that the treated-wood waste will be accepted. Protect shipments of treated-wood waste from loss and exposure to precipitation. Request a generator identification number from the Engineer at least 5 days before the first shipment. Each shipment must be accompanied by a shipping record such as a manifest or bill of lading that includes:

1. Caltrans, District number, Construction, contract number, generator identification number
2. District office address
3. Engineer name, address, and telephone number
4. Contractor contact name and telephone number
5. Receiving facility name and address
6. Waste description: Treated wood waste (preservative type if known or unknown/mixture)
7. Project location
8. Estimated quantity of shipment by weight or volume
9. Date of transport
10. Date of receipt
11. Weight of shipment as measured by the receiving treated-wood-waste facility

The shipping document must be at least a 4-part carbon or carbonless 216 mm x 280 mm form to allow retention of copies by the Engineer, transporter, and disposal facility. Submit a copy of each completed shipping record and weight receipt to the Engineer.

Dispose of treated-wood waste within:

1. 90 days of generation if stored on blocks
2. 90 days of filling a container if containerized
3. 180 days of generation if stored on a containment surface

MEASUREMENT AND PAYMENT

Full compensation for handling, storing, transporting, and disposing treated-wood waste, including preparation of safety and health work practices and personnel training, is included in the contract price paid for remove roadside sign and no additional compensation will be allowed therefor.

10-1.43 PHOTO SURVEY OF EXISTING FACILITIES

The work shall consist of performing photo surveys, pre-construction and post-construction of the following existing facilities and other improvements, which might be damaged by the operations of the Contractor, during construction:

Navy Sundial Plaza/Planted Area inside SCA

The Contractor shall perform the pre-construction photo survey prior to performing pile driving, installation and removal of temporary supports and falsework in the vicinity of the above facilities. Photo surveys shall be conducted in conformance with the requirements in these special provisions. The scope of the examination will include cracks in structures, settlement, leakage, and the like. Crack monitoring shall be performed on all existing cracks with initial crack-gauge installation as part of the photo survey project, as directed by the Engineer. Such monitoring shall include recording gauge readings once or twice a week as determined by the Engineer. A report detailing such readings shall be provided to the Engineer.

Pre-construction photo survey of the listed existing facilities shall be completed prior to start of pile driving operations, installation of temporary supports and falsework, and a post-construction photo survey shall be completed after removal of temporary supports and falsework.

The Contractor shall submit to the Engineer for approval a complete description of the work to be completed for each surveyed location. The work to be completed shall consist of records of observations, video tapes, and photographs.

The photograph prints shall be 12.7 cm x 17.8 cm. All negatives shall be provided. All photos shall be identified by date, location, orientation, and labeled with a detailed description. All photos shall be submitted in a 3-ring binder and shall include protective photo sleeves, building layout (including layout of each floor as necessary), and a summary sheet indexing all photos.

The pre-construction survey records and the documentation specified above shall be submitted to the Engineer prior to commencement of any significant impact work as defined above. The Engineer shall have 5 working days to review the submittal for adequacy. No work shall take place until the Engineer approves the pre-construction survey records.

The package of the post-construction survey documents shall be submitted within 10 working days after the impact work has been completed adjacent to each of the specified facilities.

The photo survey package may be submitted in portions based on Stage Construction, if in the opinion of the Engineer, detrimental actions can be avoided in the subsequent stages. The submittal package shall include all records, documents, photos, videotapes, and observations. The Engineer shall have five working days to review the submittal for adequacy.

The Contractor shall provide the Engineer with 6 copies of approved photo survey records.

The above records, video tapes and photographs are intended for use as indisputable evident in ascertaining the extent damage which may occur as a result of the Contractor's operations and are for the protection of the listed property owners, the Contractor, and the State, and will be means of determining whether and to what extend damage, resulting from the Contractor's operations occurred during the contract work.

PAYMENT

The contract lump sum price paid for photo survey of existing facilities, shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in conducting photo surveys as specified in these special provisions, and as directed by the Engineer, complete in place, including crack monitoring, and furnishing 6 sets of the approved photo survey records, as specified in these special provisions, and as directed by the Engineer.

Additional photo surveys of existing facilities other than those facilities listed under this special provisions will be paid for as extra work as provided in Section 4-l.03D of the Standard Specifications.

10-1.44 CLEARING AND GRUBBING

Clearing and grubbing shall conform to the provisions in Section 16, "Clearing and Grubbing," of the Standard Specifications and these special provisions.

Attention is directed to "Contaminated and Hazardous Material Excavation," of these special provisions.

Clearing and Grubbing operations shall result in no visible dust.

Attention is directed to "Order of Work," and "Environmental Work Restrictions,"and "Migratory Bird Treaty Act," of these special provisions, regarding restrictions and requirements around nesting areas, and preconstruction predisturbance surveys to be performed by the biologist.

Vegetation shall be cleared and grubbed only within the excavation and embankment slope lines.

10-1.45 EARTHWORK

Earthwork shall conform to the provisions in Section 19, "Earthwork," of the Standard Specifications and these special provisions.

Attention is directed to "Contaminated and Hazardous Material Excavation," of these special provisions.

The grading plane of embankments beneath structure approach slabs and beneath the thickened portion of sleeper slabs shall not project above the grade established by the Engineer.

Surplus excavated material shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Where a portion of the existing surfacing is to be removed, the outline of the area to be removed shall be cut on a neat line with a power-driven saw to a minimum depth of 50 mm before removing the surfacing. Full compensation for cutting the existing surfacing shall be considered as included in the contract price paid per cubic meter for roadway excavation and no additional compensation will be allowed therefor.

Reinforcement or metal attached to reinforced concrete rubble placed in embankments shall not protrude above the grading plane. Prior to placement within 0.6-m below the grading plane of embankments, reinforcement or metal shall be trimmed to no greater than 20 mm from the face of reinforced concrete rubble. Full compensation for trimming reinforcement or metal shall be considered as included in the contract prices paid per cubic meter for the types of excavation shown in the Engineer's estimate, or the contract prices paid for furnishing and placing imported borrow or embankment material, as the case may be, and no additional compensation will be allowed therefor.

COMPACTION FOR DUCT BANK BACKFILL

Duct bank backfill shall conform to the provisions in Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications. Backfill placed in duct bank trenches which are to be outside of slope lines and not under pavement shall be compacted to a relative compaction of not less than 90 percent. Backfill on slopes and in areas where pavement is to be constructed shall be compacted to a relative compaction of not less than 95 percent.

If structure excavation or structure backfill for bridges is not otherwise designated by type and payment for the structure excavation or structure backfill has not otherwise been provided for in the Standard Specifications or these special provisions, the structure excavation or structure backfill will be measured and paid for as structure excavation (bridge) or structure backfill (bridge), respectively.

Structure excavation designated as (Type H), for footings at the locations shown on the plans, will be measured and paid for as structure excavation (Type H). Hazardous materials are expected to be encountered during excavation.

CONTAMINATED AND HAZARDOUS MATERIAL EXCAVATION

Contaminated and hazardous material excavation shall consist of excavating, stockpiling, hauling, and disposing of RCRA material, Non-RCRA material, and Class II material as shown on the plans in conformance with the provisions in the Standard Specifications and these special provisions.

Excavation classified as Type H on the plans or in the Engineer's Estimate shall involve excavation of Non-RCRA material.

Excavated contaminated and hazardous material shall be managed as follows:

A. RCRA material – Haul and dispose of the material at a permitted hazardous waste management facility in conformance with the provisions of Section 2521 of Title 23 of the California Code of Regulations, Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, and these special provisions.
B. Non-RCRA material - Haul and dispose of the material at a permitted hazardous waste management facility in conformance with the provisions of Section 2521 of Title 23 of the California Code of Regulations, Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, and these special provisions.

C. Class II material – Haul and dispose of the material at a permitted Class II waste management facility in conformance with Section 20210 of Title 27 of the California Code of Regulations, Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, and these special provisions.

Excavated hazardous material that must be disposed of as a hazardous waste is not eligible for an exemption to the Board of Equalization disposal fees as provided under Health and Safety Code Sections 25174.1 and 25174.7.

RCRA material and Non-RCRA material shall be transferred directly from the excavation to a registered transport vehicle, a storage container approved for transport of hazardous waste by the United States Department of Transportation, or a stockpile location approved by the Engineer. Class II material shall be transferred directly from the excavation to a transport vehicle, a storage container, or a stockpile location approved by the Engineer. Stockpile locations for Class II material shall be maintained in conformance with the provisions in "Water Pollution Control" of these special provisions. Stockpile locations for RCRA material and Non-RCRA material shall be maintained as follows:

A. The material shall not contain free liquids that separate readily from the material. The presence or absence of free liquids shall be demonstrated by United States Environmental Protection Agency Method 9095 as modified by Section 66264.314 of Title 22 of the California Code of Regulations.
B. The material shall be stored on undamaged 1.5-mm high-density polyethylene or an equivalent impermeable barrier unless the stockpiling location is on a paved surface. If the location is on a paved surface the thickness of the barrier can be reduced to 0.5-mm high-density polyethylene or its equivalent. The dimensions of the barrier shall exceed the dimensions of the stockpile at all times. Any seams in the barrier shall be sealed to prevent leakage.
C. At the end of each day or prior to a storm event the material shall be covered with undamaged 0.3-mm polyethylene or an equivalent impermeable barrier to prevent windblown dispersion and precipitation run-off and run-on. When more than one sheet is required to cover the material, the sheets shall be overlapped a minimum of 0.45-m in a manner that prevents water from flowing onto the material. The cover shall be secured in a manner that keeps it in place at all times. Driven anchors shall not be used except at the perimeter of the stockpile. The cover shall be inspected and maintained in conformance with the provisions in "Water Pollution Control" of these special provisions.

These stockpiling requirements apply to temporary storage outside of an excavation or a transport container including, but not limited to, staging of excavated material next to the excavation prior to pick up by loading equipment, accumulating material for full transport loads, and awaiting test results required by a disposal facility. The removal of RCRA material and Non-RCRA material stockpiles shall begin within 90 days of accumulating any quantity of material. After final removal has occurred the Contractor shall be responsible for any cleanup deemed necessary by the Engineer.

Contaminated and hazardous material on exteriors of transport vehicles shall be removed and placed either into the current transport vehicle or the excavation prior to the vehicle leaving the loading area. The cargo shall be covered to prevent spillage or dust. No contaminated or hazardous material shall be deposited on public roads. The Contractor shall clean up significant spillage during the transport of the contaminated and hazardous material to the satisfaction of the appropriate administering agency.

The Contractor shall apply water to control dust at all times while performing clearing and grubbing and earthwork operations in work areas containing contaminated and hazardous material. Water shall be applied in conformance with the provisions in Section 17, "Watering," of the Standard Specifications.

Attention is directed to "Contaminated and Hazardous Material, General" of these special provisions.

Sampling and Analysis

The Contractor shall test the material to be disposed for any additional acceptance requirements of the disposal facility and may perform additional tests on the material to be excavated for confirmation of classification specified on the plans and in these special provisions. Sampling and analysis shall be performed using procedures approved by the Engineer and the disposal facility.

Sampling and analysis shall be based on guidelines in the following publications:

USEPA, SW 846, "Test Methods for Evaluating Solid Waste, Volume II: Field Manual Physical/Chemical Methods,"
ASTM, D 1452, "Soil Investigation and Sampling by Auger Borings,"
ASTM, D 1586, "Penetration Test and Split-Barrel Sampling of Soils," and
ASTM, D 1587, "Thin-Walled Tube Sampling of Soils for Geotechnical Purposes."

The Contractor shall submit, for approval by the Engineer, a Sampling and Analysis Plan that describes the objective and scope of the investigation; the name, address, and Environmental Laboratory Accreditation Program certification number of the testing laboratory; the drilling and sampling methods; analytical methods; and quality assurance/quality control procedures. The Sampling and Analysis plan shall be submitted 15 working days prior to beginning any sampling for

additional disposal facility requirements, reclassification of material, or characterization of material outside of the excavation pay limits shown on the plans. The Sampling and Analysis Plan shall be prepared under the guidance of a California registered professional engineer or California registered professional geologist experienced in site characterization.

The Engineer will make the final decision on reclassification or characterization of material after review of the test data. Ten working days shall be allowed for review of test data. Changes in classification of materials will be handled in conformance with the provisions in Section 4-1.03, "Changes," of the Standard Specifications.

Operations shall be conducted in a manner that prevents increases in the quantities of RCRA material, Non-RCRA material, or Class II material resulting from mixing with material containing lower contaminant concentrations. No additional payment will be made for material requiring reclassification due to failure to segregate the material after excavation.

PAYMENT

The contract unit price paid per cubic meter for structure excavation (Type H), structure excavation (Type H) (Duct Bank), and roadway excavation (Type H) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in excavating, stockpiling, loading, sampling and analyzing, backfilling, hauling, and disposing of Non-RCRA material, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for all excavation work involving loading, stockpiling, hauling, and disposing of RCRA material, Non-RCRA material, and Class II material, which is not otherwise designated by type or class, and is not otherwise paid for under separate contract items, shall be considered as included in the contract price paid for the work involved and no additional compensation will be allowed therefor.

TEMPORARY SHORING

Temporary shoring for footing excavations at the locations shown in the table below shall conform to the requirements in Section 5-1.02A, "Excavation Safety Plans," of the Standard Specifications and these special provisions.

Attention is directed to Section "Geotechnical Information Handout" in "Supplemental Project Information" of these special provisions.

STRUCTURE	BENT	FOOTING
YBI EB On-Ramp	W9, W8	All
YBI Transition Structures	W9R	All
YBI Transition Structures	W9L	South
YBI Transition Structures	W8R	All
YBI Transition Structures	W8L	All

The Contractor shall submit detailed plans and design calculations for temporary shoring in conformance with the provisions in "Working Drawings" of these special provisions. Working drawings shall be prepared and sealed by an engineer who is registered as a Civil Engineer in the State of California. The Contractor shall allow 6 weeks for the Engineer's review of the working drawings after a complete submittal has been received.

Temporary shoring shall meet the following additional requirements:

A. Soil passive pressure calculations used to resist lateral loads shall include a reduction factor due to the presence of sloped surfaces.
B. Drainage systems shall include provisions to ensure there is no build-up of water pressure behind the shoring. In addition, provisions shall be made for surficial slope stabilization and drainage of surface water away from the excavations.

Temporary shoring left in place shall meet the following condition: Hydrostatic pressures on both sides of the shoring shall be equalized. This may require removal of portions of temporary shoring.

Full compensation for furnishing and constructing temporary shoring, including subsequent removal, shall be considered as included in the contract price paid per cubic meter for the type of structure excavation involved, and no additional compensation will be allowed therefor.

10-1.46 STRUCTURE BACKFILL (BRIDGE) (CEMENT MODIFIED)

Structure backfill (bridge) (cement modified) shall consist of soil material, portland cement, and water uniformly mixed, compacted, finished, and cured in accordance with these special provisions.

Portland cement used in structure backfill (bridge) (cement modified) shall conform to the provisions in Section 90-2.01A, "Cement," of the Standard Specifications.

Water used for structure backfill (bridge) (cement modified) shall be free from oil, salts and other impurities which would have an adverse effect on the quality of the backfill material.

Soil material for structure backfill (bridge) (cement modified) shall conform to the provisions in Section 19-3.06 "Structure Backfill," of the Standard Specifications.

Soil cement mixture shall contain not less than six percent cement by weight.

No soil-cement mixture shall be placed when air temperature is less than 5 degrees Celsius in the shade.

The operations of adding cement and water to the soil, mixing, hauling, spreading, compacting, and finishing shall be continuous and completed in daylight. Compaction shall be started within one hour and completed within two hours after the addition of cement.

Mixing may be accomplished in a transverse rotary mixer or other similar equipment approved by the Engineer. Blending shall continue until a uniform color of the mixture is achieved.

The moisture content of the backfill material shall be between optimum and two percent above optimum as determined by ASTM Designation: D1557 immediately before mixing.

Backfill material shall be compacted to a relative compaction of not less than 90 percent of maximum dry density as determined by ASTM Designation: D558, except within 1.0-m of column isolation sleeves where relative compaction shall be limited to no more than 90 percent..

After compaction, the exposed surface receiving the next lift shall be roughened with a broom.

Successive lifts shall be placed not more than two hours after finishing of the previous lift. The daylighting portion of the lift shall be stepped to retain the topsoil as shown on the plans.

Exposed backfill shall be protected against drying by curing in conformance with the water method or waterproof membrane method specified in Section 90-7.01, "Methods of Curing," of the Standard Specifications for a minimum of 72 hours after the final lift is placed. Curing shall commence as soon as practicable after placement and compaction, and shall in no case be delayed until after drying becomes evident or graying of the surface occurs.

Structure backfill (bridge) (cement modified) will be measured in cubic meters from the limits shown on the plans.

The contract price paid per cubic meter for structure backfill (bridge) (cement modified) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in structure backfill (bridge) (cement modified) complete in place, including mixing, placing and compacting backfill as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.47 EROSION CONTROL (NETTING)

Erosion control (netting) shall conform with the details as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

Erosion control (netting) work shall consist of furnishing, installing, and maintaining erosion control (netting) in ditches or swales, on embankment slopes, excavation slopes and other locations as shown on the plans.

Following the installation of erosion control (netting), erosion control materials shall be applied onto the netting face as specified in "Erosion Control (Type D)," of these special provisions.

MATERIALS

Materials for the erosion control (netting) shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Erosion Control Netting

Erosion control netting shall consist of 100 percent spun coir fiber and shall conform to the following:

Specification	Requirement
Weight, grams per square meter ASTM Designation: D 3776	400
Minimum Tensile Strength, kilonewtons, ASTM Designation: D 4595	9.0 to 11.3 kN/m in longitudinal direction (dry) 5.0 to 10.7 kN/m in cross-direction (dry) 6.0 to 9.8 kN/m in longitudinal direction (wet) 4.0 to 9.4 kN/m in cross- direction (wet)
Roll Width, meters, min.	4
Area/Roll, square meters, min.	200
Open Area, percent	63-70

Staples

Staples shall be as shown on the plans.

INSTALLATION

Erosion control (netting) shall be installed in ditches or swales, on embankment slopes, or excavation slopes as follows:

A. Erosion control (netting) strips shall be placed loosely along the ditch or swale with the longitudinal edges and joints parallel to the centerline of the ditch or swale. Longitudinal joints of netting shall be overlapped and stapled. Transverse joints of netting shall be secured in intermediate joint trenches. Staples shall be driven perpendicular to the slopes. Ends of the netting shall be secured in place in key trenches.
B. Erosion control (netting) strips shall be placed loosely on the embankment or excavation slope with the longitudinal joints perpendicular to the slope contour lines. Longitudinal and transverse joints of netting shall be overlapped and stapled. Staples shall be driven perpendicular to the slopes. Ends of the netting shall be secured in place in key trenches.

MAINTENANCE

Damaged netting shall be replaced on the same day the damage occurs. Washouts between joints or beneath the netting shall be repaired on the same day damaged occurs. Erosion control (netting) damaged by the Contractor's vehicles, equipment, or operations shall be replaced or repaired by the Contractor at the Contractor's expense.

MEASUREMENT AND PAYMENT

The quantity of erosion control (netting) will be measured by the square meter as determined from actual slope measurements of the areas covered by the erosion control (netting) excluding overlaps.

The contract price paid per square meter for erosion control (netting) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing erosion control (netting), complete in place, including trench excavation and backfill, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.48 MOVE-IN/MOVE-OUT (EROSION CONTROL)

Move-in/move-out (erosion control) shall include moving onto the project when an area is ready to receive erosion control as determined by the Engineer, setting up all required personnel and equipment for the application of erosion control materials and moving out all personnel and equipment when erosion control in that area is completed.

When areas are ready to receive applications of erosion control (Type D), as determined by the Engineer, the Contractor shall begin erosion control work in that area within 5 working days of the Engineer’s notification to perform the erosion control work.

Attention is directed to the requirements of erosion control (Type D) elsewhere in these special provisions.

Quantities of move-in/move-out (erosion control) will be determined as units from actual count as determined by the Engineer. For measurement purposes, a move-in followed by a move-out will be considered as one unit.

The contract unit price paid for move-in/move-out (erosion control) shall include full compensation for furnishing all labor, materials (excluding erosion control materials), tools, equipment, and incidentals and for doing all the work involved in moving in and removing from the project all personnel and equipment necessary for application of erosion control (Type D), as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

No adjustment of compensation will be made for any increase or decrease in the quantities of move-in/move-out (erosion control) required, regardless of the reason for the increase or decrease. The provisions in Section 4-1.03B, "Increased or Decreased Quantities," of the Standard Specifications shall not apply to the item of move-in/move-out (erosion control).

10-1.49 EROSION CONTROL (TYPE B)

Erosion control (Type B) shall conform to the plans, the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Prior to installing the erosion control (Type B), prune existing plants and perform clearing and grubbing work in accordance with Section 16 "Clearing and Grubbing," of the Standard Specifications.

Erosion control (Type B) work shall consist of installing erosion control netting, twisted wire mesh and securing the netting and twisted wire mesh to the slope surface with slope anchors and rope restraints at locations shown on the plans.

Following the installation of erosion control (Type B), erosion control materials shall be applied onto the netting face, and will be measured and paid for, as specified in "Erosion Control (Type D)," of these special provisions, except straw will not be required.

Following the installation of erosion control (Type B), fiber rolls shall be installed as shown on the plans and in accordance with "Fiber Rolls," of these special provisions.

MATERIALS

Materials shall conform to the provisions in Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Erosion Control Netting

Erosion control netting shall consist of 100 percent spun coir fiber and shall conform to the following:

Specification	Requirement
Weight, grams per square meter ASTM Designation: D 3776	400
Minimum Tensile Strength, kilonewtons, ASTM Designation: D 4595	9.0 to 11.3 kN/m in longitudinal direction (dry) 5.0 to 10.7 kN/m in cross-direction (dry) 6.0 to 9.8 kN/m in longitudinal direction (wet) 4.0 to 9.4 kN/m in cross- direction (wet)
Roll Width, meters, min.	4
Area/Roll, square meters, min.	200
Open Area, percent	63-70

Staples

Staples for erosion control netting shall be as shown on plans.

Twisted Wire Mesh

Twisted wire mesh, shall be zinc coated (237 grams per square meter), 12-gage, and polyvinyl chloride coated (15 mils minimum film thickness). Twisted-mesh wires shall form a uniform hexagonal pattern and shall be formed with a nonraveling twist. The area of the hexagonal opening shall not exceed 100 mm along any axis. Individual wires of twisted wire mesh shall conform to the definitions and requirements in ASTM Designation: A 641/A 641M.

Twisted wire mesh panels shall be approximately 3.66 m wide.

Twisted wire mesh shall be coated nonconductive material, primarily polyvinyl chloride (PVC). The twisted wire mesh shall be coated with the PVC material after the zinc coating is applied.

The PVC coating shall be evaluated by infrared spectral scan. The scan must closely match those of tested known acceptable products already on file at the Transportation Laboratory. The PVC costing shall be resistant to degradation by ultraviolet (UV) radiation. The color of the PVC shall be black or dark brown and be approved by the Engineer prior to installation.

The minimum thickness of PVC which covers the wire shall be 0.38 mm, measured radially at any cross-section transverse to the wire length.

The PVC coating shall be complete by visual inspection. There shall be no nicks, cuts, holidays or abraded areas in the PVC coating of the mesh. Minor cuts, nicks, and other minor imperfections due to manufacturing, will be permitted along selvage-wrapped edges of twisted mesh. PVC will not be required to coat the ends of the mesh where the PVC has been trimmed along wire or panel edges during the normal manufacturing process.

PVC coating shall be resistant to degradation by ultraviolet (UV) radiation. A suitable, UV-resistant additive shall be blended with the PVC. This additive shall be identified on the Certificate of Compliance.

The color of the PVC shall be gray. The color shall be resistant to fading when exposed to natural sunlight.

Individual wires of twisted wire mesh shall meet the following minimum requirements:

WIRE MESH MATERIAL PROPERTIES

Characteristic	Test Designation	Requirement
Minimum tensile strength	ASTM A 370	414 MPa
Wire Size Wire Diameter (Minimum) Galvanizing, Zinc	USA Steel Wire Gage ASTM A 641/A 641M ASTM A 641/A 641M ASTM A 641/A 641M, Class 3 and ASTM A90/A90M	12 2.69 mm 2.59 mm229 g/m2
Wire Size Wire Diameter (Minimum) Galvanizing, Zinc	USA Steel Wire Gage ASTM A 641/A 641M ASTM A 641/A 641M ASTM A 641/A 641M, Class 3 and ASTM A 90/A 90M	11 3.05 mm 2.95 mm 244 g/m2
Wire Size Wire Diameter (Minimum) Galvanizing, Zinc	USA Steel Wire Gage ASTM A 641/ A641M ASTM A 641/A 641M ASTM A 641/A 641M, Class 3 and ASTM A 90/A 90M	9 3.76 mm 3.66 mm 259 g/m2

Wire rope shall be galvanized in accordance with the requirements of Federal Specification: RR-W-410D.

Tie wires used to fasten the mesh to wire rope or to adjacent panels shall be galvanized and 13.5-gage minimum.

Slope Anchors

Slope anchors installed in soil shall be made of ductile iron or aluminum with minimum yield strength of 16 kN. The anchor shall be designed to pivot once embedded into the ground. The anchor pivoting below ground while the anchor is being set achieves holding capacity and pull out resistance. The anchor rod shall be hot dipped galvanized and shall be equipped with a forged screw zinc coated anchor rod. The anchor rod shall be a minimum diameter of 9.5 mm and an overall length of 1.2 m. The entire rod shall be threaded.

Slope anchors installed in rock shall be galvanized metal rod with a minimum diameter of 25.4 mmand an overall length of 1.2 m. The top 50 mm of each rod shall be threaded.

Anchor Plate

Anchor plates shall be metal and treated with a corrosion resistant coating. The center of the anchor plate shall be drilled with a hole sufficient to accommodate the diameter of the anchor rod. Metal plate corners shall be chamfered as shown on the plans.

Grout

Grout shall conform to the provisions in Section 50-1.09, "Bonding and Grouting" of the Standard Specifications. California Test 541 will not be required nor will the grout be required to pass through the screen with a 1.8 mm maximum clear opening prior to being introduced into the grout pump.

Fine aggregate may be added to the grout mixture of portland cement and water drilled in holes 150 mm in diameter or greater, but only to the extent that the cement content of the grout is not less than 502 kilograms per cubic meter of grout. Fine aggregate, if used, shall conform to the provisions in Section 90-2 "Materials" and section 90-3, "Aggregate Grading," of the Standard Specifications.

Rope Restraint

Rope restraint shall be a 15.8 mm minimum diameter hemp rope that is multi-strand and braided.

Miscellaneous Material

All miscellaneous hardware such as thimbles, bolts, nuts, etc., shall be galvanized and conform to Section 75, "Miscellaneous Metals," of the Standard Specifications.

All structural steel components shall comply with ASTM Designation A 36.

All bolts, nuts, and washers shall comply with ASTM Designation A 325.

INSTALLATION

Temporary slope ladders, may be required during installation to prevent sloughing of material.

Erosion control netting strips shall be placed loosely on the slope with the vertical joints perpendicular to the slope contour lines. Vertical and transverse joints of each strip shall be overlapped a minimum of 100 mm with adjacent strips and stapled. Wire staples shall be driven perpendicular to the slope, and shall be located and spaced in a triangular pattern 610 mm on center and along netting edge. Staples shall be driven such that the top of the staple is flush with the ground surface. All ends of the netting shall be buried in place as shown on the plans.

Twisted wire mesh shall be placed over the erosion control (netting) with the vertical joints perpendicular to the slope contour lines and staggered between sections of the erosion control netting to avoid overlap of joints.

Twisted wire mesh shall be secured to the slope with slope anchor assemblies as shown on the plans. Each twisted wire mesh panel shall overlap the adjacent panel by 100 mmand shall be fastened together with tie wires 150 mm apart along the overlapped edged. Due to irregular slope surface, individual panels may not overlap each other along the panel edges. In order to mold each panel to lie flush with the slope face and to maintain as perpendicular as possible the alignment of each panel, some gaps between panels may be necessary. Gaps shall be filled by installing filler panels fitted to provide the specified overlap requirement shall be attached by either threading and lacing a tie wire along the perimeter edge or installing ties at a maximum spacing of 150 mm along the perimeter.

The anchor rod shall be tensioned to set the anchor in accordance with the manufacturer's instructions. Once the rod has been driven into the soil to its maximum depth, the anchor rod shall be drawn back at least 100 mm or a torque of 2.0 Nm is achieved to ensure that the anchor has reached full pivot. A torque wrench may be required to verify pull out resistance. The Engineer will randomly test installed slope anchors to verify adequate pull out strength.

Once the twisted wire mesh panels are laid down, the anchor plate and rope restraints shall be installed to secure all sections of twisted wire mesh together. Anchor plates shall be located and spaced as shown on the plans. Slope anchor assemblies shall be located at the overlapped edge portion of adjoining panels or at a closer interval that conforms to the existing topography, as shown on the plans.

Drilled holes for metal anchor rods installed in rock shall be a minimum of two times the diameter of the anchor rod and filled with grout prior to insertion of the rod. The length of the drill hole shall be long enough to accept the full length of the metal rod. All anchors installed in drilled holes shall have at least 2 centralizers equally spaced to adequately support and center the rod in the drilled hole.

The top portion of the anchor rods shall be exposed above grade to ensure a sufficient length of the threaded portion of all slope anchors is above the plane of the slope to accept the metal anchoring plate, rope restraint, washers and nuts.

A wire rope restraint shall be installed over the twisted wire mesh at the top perimeter by threading the restraint through the top edge of each panel and securing the restraint to the anchor assemblies as shown on the plans. The top of the twisted wire mesh shall be folded over the wire rope restraint, fastened and the panel laid flush on the slope.

CERTIFICATE OF COMPLIANCE

The contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in accordance with the provisions of Section 6-1.07 "Certificate of Compliance," of the Standards.

MEASUREMENT AND PAYMENT

The quantity of erosion control (Type B) will be determined by the square meter from actual measurement of the area covered by the erosion control netting and twisted wire mesh excluding overlapped portions.

The contract price paid per square meter for erosion control (Type B) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing and securing erosion control netting and twisted wire mesh, including furnishing temporary ladders, complete in place as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.50 EROSION CONTROL (TYPE D)

Erosion control (Type D) includes applying erosion control materials to embankment and excavation slopes, unlined ditches or swales, areas of erosion control (netting), areas of erosion control (Type B) and other areas disturbed by construction activities. Erosion control (Type D) must comply with Section 20-3, "Erosion Control," of the Standard Specifications and these special provisions.

Apply erosion control (Type D) when an area is ready to receive erosion control as determined by the Engineer and under "Move-in/Move-out (Erosion Control)" of these special provisions.

Before applying erosion control materials, prepare soil surface under Section 19-2.05, "Slopes," of the Standard Specifications, except that rills and gullies exceeding 50 mm in depth or width must be leveled. Remove vegetative growth, temporary erosion control materials, and other debris from areas to receive erosion control.

Before applying erosion control materials, the Engineer designates the ground location of erosion control (Type D) in increments of one hectare or smaller for smaller areas. Place stakes or other suitable markers at the locations designated by the Engineer. Furnish all tools, labor and materials required to adequately indicate the various locations.

MATERIALS

Materials must comply with Section 20-2, "Materials," of the Standard Specifications and these special provisions.

Seed

Seed must comply with Section 20-2.10, "Seed," of the Standard Specifications. Seed not required to be labeled under the California Food and Agricultural Code shall be tested for purity and germination by a seed laboratory certified by the Association of Official Seed Analysts or by a seed technologist certified by the Society of Commercial Seed Technologists. Measure and mix individual seed species in the presence of the Engineer.

Seed must contain at most 1.0 percent total weed seed by weight.

Deliver seed to the job site in unopened separate containers with the seed tag attached. Containers without a seed tag attached are not accepted. The Engineer takes a sample of approximately 30 g or 60 ml of seed for each seed lot greater than 1 kg.

Seed must comply with the following:

Seed

Botanical Name (Common Name)	Percent Germination (Minimum)	Kilograms Pure Live Seed Per Hectare (Slope Measurement)
Achillea millefolium (White Yarrow)	40	1.0
Elymus glaucus (Blue Wild Rye)	40	10.0
Eschscholzia californica (California poppy)	35	2.0
Festuca idahoensis (Idaho fescue)	35	8.0
Hordeum californicum (California Barley)	40	8.0
Lupinus albifrons (Silver bush lupine)	35	3.0
Lupinus bicolor (Pygmy-Leaf Lupine)	40	6.0
Nassella cernua (Nodding Needlegrass)	35	8.0
Nassella pulchra (Purple Needlegrass)	35	10.0
Poa secunda* (Native Pine Bluegrass)	30	3.0
Trifolium tridentatum (Tomcat Clover)	50	5.0
Vulpia microstachys (Three Weeks Fescue)	45	6.0

*Seed produced in California only.

Seed Sampling Supplies

At the time of seed sampling, provide the Engineer a glassine lined bag and custody seal tag for each seed lot sample.

Commercial Fertilizer

Commercial fertilizer must comply with Section 20-2.02, "Commercial Fertilizer," of the Standard Specifications and have a guaranteed chemical analysis within 2 percent of 7 percent nitrogen, 2 percent phosphoric acid and 3 percent water soluble potash.

Compost

The compost producer must be fully permitted as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.

The compost producer must be a participant in United States Composting Council's Seal of Testing Assurance program.

Compost may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Compost feedstock materials to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3

Compost must not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Compost must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Compost must not possess objectionable odors.

Metal concentrations in compost must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Compost must comply with the following:

Physical/Chemical Requirements

Property	Test Method	Requirement
pH	*TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0-10.0
Moisture Content	TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis	N/A
Organic Matter Content	TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A, Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	95% Passing 16 mm 70% Passing 9 mm
Pathogen	TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Plastic, Glass and Metal, % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction	None Detected

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Before compost application, provide the Engineer with a copy of the compost producer's compost technical data sheet and a copy of the compost producers Seal of Testing Assurance certification. The compost technical data sheet includes:

1. Laboratory analytical test results
2. Directions for product use
3. List of product ingredients

Before compost application, provide the Engineer with a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Stabilizing Emulsion

Stabilizing emulsion must comply with Section 20-2.11, "Stabilizing Emulsion," of the Standard Specifications and these special provisions.

Stabilizing emulsion:

1. Must be in a dry powder form
2. Must be a processed organic adhesive used as a soil tackifier
3. May be reemulsifiable

APPLICATION

Apply erosion control materials in separate applications in the following sequence:

1. Apply the following mixture with hydroseeding equipment at the rates indicated within 60 minutes after the seed has been added to the mixture:

Material	Kilograms Per Hectare (Slope Measurement)
Seed	320
Fiber	70
Commercial Fertilizer	560

Material	Cubic Meter Per Hectare (Slope Measurement)
Compost	16

2. Compost may be dry applied at the total of the rates specified in the preceding table instead of including it as part of the hydro-seeding operations. In areas where the compost is dry applied, all compost for that area must be applied before the next operation.
3. Apply the following mixture with hydro-seeding equipment at the corresponding rates:

Material	Kilograms Per Hectare (Slope Measurement)
Fiber	320
Stabilizing Emulsion (Solids)	225

The ratio of total water to total stabilizing emulsion in the mixture must be as recommended by the manufacturer.

Hydraulic application of materials for erosion control (netting) areas shall be applied by hose, from the ground. Erosion control (Type D) materials shall be applied onto the slope face such that the materials are well integrated into the erosion control (netting) and in contact with ground surface. Application shall be perpendicular to the slope face such that erosion control (netting) materials are not damaged or displaced. Erosion control (netting) damaged by the Contractor's operations shall be replaced by the Contractor at the Contractor's expense.The Engineer may change the rates of erosion control materials to meet field conditions.

MEASUREMENT AND PAYMENT

Erosion control (Type D) will be measured by the square meter or by the hectare, whichever is designated in the Engineer's Estimate. The area will be calculated on the basis of actual or computed slope measurements.

The contract price paid per square meter or hectare for erosion control (Type D) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying erosion control (Type D) complete in place, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.51 FIBER ROLLS

Fiber rolls shall be furnished and installed in conformance with details shown on the plans and these special provisions and as directed by the Engineer.

Fiber rolls shall be installed on excavation and embankment slopes and other disturbed soil areas plans.

At the option of the Contractor, fiber rolls shall be Type 1 or Type 2.

MATERIALS

Fiber Roll

Fiber roll shall be either:

1. Constructed with a premanufactured blanket consisting of either wood excelsior, rice or wheat straw, or coconut fibers or a combination of these materials. The blanket shall be between 2.0 m and 2.4 m in width and between 20 m and 29 m in length. Wood excelsior shall be individual fibers, of which 80 percent shall be 150 mm or longer in length. The blanket shall have a biodegradable jute, sisal, or coir fiber netting on at least one side. The blanket shall be rolled along the width and secured with jute twine spaced 2 m apart along the full length of the roll and placed 150 mm from the ends of each roll. The finished roll shall be between 200 mm and 250 mm in diameter, a minimun of 6 m in length, and shall weigh at least 0.81-kg/m. More than one blanket may be required to achieve the finished roll diameter. When more than one blanket is required, blankets shall be jointed longitudinally with an overlap of 150 mm along the length of the blanket.
2. A premanufactured roll of rice or wheat straw, wood excelsior, or coconut fiber encapsulated within a photodegradable plastic or biodegradable jute, sisal, or coir fiber netting. The netting shall have a minimum durability of one year after installation. The netting shall be secured tightly at each end of the roll. Rolls shall be between 200 mm and 300 mm in diameter. Rolls between 200 mm and 250 mm in diameter shall have a minimum weight of 1.6 kg/m and a minimum length of 6 m. Rolls between 250 mm and 300 mm in diameter shall have a minimum weight of 4.5 kg/m and a minimum length of 3 m.

Stakes

Wood stakes shall be a minimum of 19 mm x 19 mm x 450 mm in size for Type 1 installation, or a minimum of 19 mm x 38 mm x 450 mm in size for Type 2 installation. Wood stakes shall be untreated fir, redwood, cedar, or pine and cut from sound timber. They shall be straight and free of loose or unsound knots and other defects which would render them unfit for the purpose intended. Metal stakes shall not be used.

Rope

Rope shall be biodegradable, such as sisal or manila, with a minimum diameter of 6.35 mm.

INSTALLATION

Fiber rolls shall be installed as follows:

1. Fiber rolls (Type 1): Furrows shall be constructed to a depth between 50 mm and 100 mm, and to a sufficient width to hold the fiber roll. Stakes shall be installed 600 mm apart along the length of the fiber rolls and stopped at 300 mm from each end of the rolls. Stakes shall be driven to a maximum of 50 mm above, or flush with the top of the roll.
2. Fiber rolls (Type 2): Rope and notched stakes shall be used to restrain the fiber rolls against the slope. Stakes shall be driven into the slope until the notch is even with the top of the fiber roll. Rope shall be knotted at each stake and laced between stakes. After installation of the rope, stakes shall be driven into the slope such that the rope will hold the fiber roll tightly to the slope. Furrows will not be required.
3. Fiber rolls shall be placed as shown on plans.
4. The bedding area for the fiber rolls shall be cleared of obstructions including rocks, clods, and debris greater than 25 mm in diameter before installation.
5. Fiber rolls shall be installed approximately parallel to the slope contour.
6. Fiber rolls shall be installed after application of erosion control (netting) and erosion control (Type B).

If the intended function of the fiber rolls to disperse concentrated water runoff and to reduce runoff velocities is impaired, the Contractor shall take action to repair or replace the fiber rolls. Split, torn, or unraveling rolls shall be repaired or replaced. Broken or split stakes shall be replaced. Sagging or slumping fiber rolls shall be repaired with additional stakes or replaced. Locations where rills and other evidence of concentrated runoff have occurred beneath the rolls shall be corrected. Fiber rolls shall be repaired or replaced within 24 hours of identifying the deficiency.

MEASUREMENT AND PAYMENT

Quantities of fiber rolls to be paid for will be determined by the meter measured along the centerline of the installed roll. Where fiber rolls are joined and overlapped, the overlap will be measured as a single installed roll.

The contract price paid per meter for fiber roll shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing fiber rolls, complete in place, including furrow excavation and backfill, repairing or replacing fiber rolls as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Damage to fiber rolls resulting from the Contractor's vehicles, equipment, or operations shall be repaired at the Contractor's expense.

10-1.52 IRRIGATION CROSSOVERS

Irrigation crossovers shall conform to the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications and these special provisions.

Conduits shall be placed in open trenches in conformance with the provisions in Section 20-5.03B, "Conduit for Irrigation Crossovers," of the Standard Specifications.

Conduits shall be corrugated high density polyethylene (CHDPE) pipe. Corrugated high density polyethylene pipe shall conform to the requirements in ASTM Designation: F 405 or F 667, or AASHTO Designation: M 252 or M 294 and shall be Type S. Couplings and fittings shall be as recommended by the pipe manufacturer.

Water line crossovers shall conform to the provisions in Section 20-5.03C, "Water Line Crossovers," of the Standard Specifications.

Sprinkler control crossovers shall conform to the provisions in Section 20-5.027D, "Sprinkler Control Crossovers," of the Standard Specifications.

Installation of pull boxes shall conform to the provisions in Section 20-5.027I, "Conductors, Electrical Conduit and Pull Boxes," of the Standard Specifications. When no conductors are installed in electrical conduits, pull boxes for irrigation crossovers shall be installed on a foundation of compacted soil.

10-1.53 WATER METER

Water meters for the irrigation systems will be furnished and installed by the serving utility at the locations shown on the plans, and in conformance with the provisions in Section 20-5, "Irrigation Systems," of the Standard Specifications.

The Contractor shall make the arrangements and pay the costs and fees required by the serving utility.

The San Francisco Public Utilities Commission has established a fee of $_6212_ for furnishing and installing a 50mm water meter. If, at the time of installation, this fee has been changed, the State will take a credit for the reduction in the fee, or the State will pay the difference for the increase in the fee. The credit or payment will be taken or paid on the first monthly progress payment made after the meter is installed. The Contractor shall furnish the Engineer with a copy of the invoice for the installation fee.

Attention is directed to Section 20-4.06, "Watering," of the Standard Specifications. The Contractor shall make the arrangements for furnishing and applying water until the water meters have been installed by the serving utility.

The quantity of water meters will be measured by the unit as determined from actual count in place.

The contract unit price paid for water meter shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing water meters, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.54 AGGREGATE BASE

Aggregate base must comply with Section 26, "Aggregate Bases," of the Standard Specifications and these special provisions.

Aggregate base must be Class 3.

Aggregate may include processed glass. Place aggregate base with glass only where the material is to be permanently covered.

Do not store reclaimed asphalt concrete or aggregate base with reclaimed asphalt concrete within 30 m measured horizontally of any culvert, watercourse, or bridge.

Class 3 aggregate base must comply with the following table. At the option of the Contractor, the grading for either the 19-mm maximum or 37.5-mm maximum shall be used:

Grading (Percentage Passing)

Sieve Sizes	19-mm Maximum	
	Operating Range	Contract Compliance
50-mm	--	--
37.5-mm	--	--
25-mm	100	100
19-mm	90-100	87-100
4.75-mm	35-60	30-65
600-µm	10-30	5-35
75-µm	2-11	0-14

Grading (Percentage Passing)

Sieve Sizes	37.5-mm Maximum	
	Operating Range	Contract Compliance
50-mm	100	100
37.5-mm	90-100	87-100
25-mm	--	--
19-mm	50-85	45-90
4.75-mm	25-45	20-50
600-µm	10-25	6-29
75-µm	2-11	0-14

Quality

Tests	Operating Range	Contract Compliance
Sand Equivalent		
Resistance (R-value)		
Durability Index	---	35 Min.

Do not treat aggregate with lime, cement, or other chemical material before the Department performs the Durability Index test. The Engineer does not consider any untreated reclaimed asphalt concrete and portland cement concrete to be treated with lime, cement, or other chemical material for purposes of performing the Durability Index test.

If tests show grading or sand equivalent does not comply with the "Operating Range" specifications but complies with the "Contract Compliance" specifications, you may place aggregate base for the remainder of that day. Do not start another day's work until tests or other information indicate the next day's material complies with the "Operating Range" specifications.

If tests show grading or sand equivalent does not comply with the "Contract Compliance" specifications, remove the aggregate base represented by the tests. If you request and the Engineer approves, that aggregate base may remain in place and the Department reduces payment by $3.25 per cubic meter for that aggregate base. If the base remains in place and both the grading and sand equivalent do not comply with "Contract Compliance" specifications, the Department only makes one payment reduction.

No single grading or sand equivalent test may represent more than the smaller of 400 m^3 or one day's production.

10-1.55 HOT MIX ASPHALT

GENERAL

Summary

This work includes producing and placing hot mix asphalt (HMA) Type A using the Standard process.

Comply with Section 39, "Hot Mix Asphalt," of the Standard Specifications.

MATERIALS

Asphalt Binder

The grade of asphalt binder mixed with aggregate for HMA Type A must be PG 64-10.

Aggregate

The aggregate for HMA Type A must comply with the 19-mm grading.

CONSTRUCTION

Vertical Joints

Do not leave a vertical joint more than 45 mm high between adjacent lanes open to public traffic.

Place HMA on adjacent traveled way lanes so that at the end of each work shift, the distance between the ends of HMA layers on adjacent lanes is between 1.5 m and 3.0 m. Place additional HMA along the transverse edge at each lane's end and along the exposed longitudinal edges between adjacent lanes. Hand rake and compact the additional HMA to form temporary conforms. You may place Kraft paper or another approved bond breaker under the conform tapers to facilitate the taper removal when paving operations resume.

Conform Tapers

Place shoulder conform tapers concurrently with the adjacent lane's paving.

10-1.56 JOINTED PLAIN CONCRETE PAVEMENT

GENERAL

Jointed plain concrete pavement shall be constructed in conformance with the provisions in Section 40, "Portland Cement Concrete Pavement," of the Standard Specifications and these special provisions, and as shown on the plans.

Insert method for forming joints in pavement shall not be used.

PREPAVING CONFERENCE

Supervisory personnel of the Contractor and subcontractors who are to be involved in the concrete paving work shall meet with the Engineer at a prepaving conference, at a mutually agreed time, to discuss methods of accomplishing the paving work.

The Contractor shall provide a facility for the prepaving conference within 5 km of the construction site or at a nearby location agreed to by the Engineer. Attendance at the prepaving conference is mandatory for the Contractor's project superintendent, paving construction foreman, subcontractor's workers, including foremen and personnel performing saw cutting, joint sealing, concrete plant manager, and concrete plant operator. Conference attendees shall sign an attendance sheet provided by the Engineer. Production and placement shall not begin nor proceed unless the above-mentioned personnel have attended the mandatory prepaving conference.

JUST-IN-TIME TRAINING

Attending a 4-hour Just-In-Time Training (JITT) shall be mandatory, and consist of a formal joint training class on portland cement concrete and paving techniques. Construction operations for portland cement concrete paving shall not begin until the Contractor's and the Engineer's personnel have completed the mandatory JITT. The Contractor's personnel included in the list of participants for the prepaving conference as well as the Engineer's representatives shall attend JITT. JITT shall be in addition to the prepaving conference.

The JITT class will be conducted for not less than 4 hours on portland cement concrete pavement and paving techniques. The training class may be an extension of the prepaving conference and shall be conducted at a project field location convenient for both the Contractor and the Engineer. The JITT class shall be completed at least 15 days, not including Saturdays or holidays, prior to the start of portland cement concrete paving operations. The class shall be held during normal working hours.

The JITT instructor shall be experienced in the construction methods, materials, and test methods associated with construction of portland cement concrete pavement and paving techniques. The instructor shall not be an employee of the Contractor or a member of the Engineer's field staff. A copy of the course syllabus, handouts, and presentation material shall be submitted to the Engineer at least 7 days before the day of the training. The Contractor and the Engineer shall mutually agree to course instructor, the course content, and training site. The instructor shall issue a certificate of completion to the participants upon completion of the class. The certificate of completion shall include the course title, date and location of the class, the name of the participant, instructor's name, location and telephone number.

The Contractor's or Engineer's personnel involved with portland cement concrete paving operations will not be required to attend JITT if they have completed equivalent training within the previous 12 months of the date of the JITT for this project. The Contractor shall provide a certificate of class completion as described above for each staff member to be excluded from the JITT class. The Engineer will provide the final determination for exclusion of staff member's participation. Attendees of the JITT shall complete, and submit to the Engineer, an evaluation of the training. The Engineer will provide the course evaluation form.

Just-In-Time Training shall not relieve the Contractor of responsibility under the contract for the successful completion of the work in conformance with the requirements of the plans and specifications.

MATERIALS

Concrete

Attention is directed to Section 90, "Portland Cement Concrete," of the Standard Specifications, regarding mix proportions for concrete being determined by the Contractor.

Primary aggregate gradings shall conform to the gradation requirements of Section 90-3, "Aggregate Gradings," of the Standard Specifications. When combined in the proportions determined by the Contractor, the percent passing the 9.5 mm sieve and retained on the 2.36 mm sieve shall not be less than 16 percent of the total aggregate.

The cementitious material content shall not exceed 400 kg/m^3.

An air-entraining admixture conforming to the provisions in Section 90-4, "Admixtures," of the Standard Specifications shall be added to the concrete pavement in the amount required to result in an air content of 4 ± 1.5 percent in the freshly mixed concrete.

Silicone Joint Sealant

Low modulus silicone joint sealant shall be furnished in a one-part silicone formulation. Acid cure sealant shall not be used. The compound shall be compatible with the surface to which it is applied and shall conform to the following requirements:

Property	Test Method	Requirement
Tensile stress, 150% elongation, 7-day cure at 25°± 1°C and 45% to 55% R.H.[e]	ASTM D 412 (Die C)	310 kPa max.
Flow at 25° ± 1°C	ASTM C 639[a]	Shall not flow from channel
Extrusion Rate at 25° ± 1°C	ASTM C 603[b]	75-250 g/min.
Specific Gravity	ASTM D 792 Method A	1.01 to 1.51
Durometer Hardness, at –18°C, Shore A, cured 7 days at 25° ± 1°C	ASTM C 661	10 to 25
Ozone and Ultraviolet Resistance, after 5000 hours	ASTM C 793	No chalking, cracking or bond loss
Tack free at 25° ± 1°C and 45% to 55% R.H.[e]	ASTM C 679	Less than 75 minutes
Elongation, 7 day cure at 25° ± 1°C and 45% to 55% R.H.[e]	ASTM D 412 (Die C)	500 percent min.
Set to Touch, at 25° ± 1°C and 45% to 55% R.H.[e]	ASTM D 1640	Less than 75 minutes
Shelf Life, from date of shipment	—	6 months min.
Bond, to concrete mortar-concrete briquettes, air cured 7 days at 25° ± 1°C	AASHTO T 132[c]	345 kPa min.
Movement Capability and Adhesion, 100% extension at –18°C after, air cured 7 days at 25° ± 1°C, and followed by 7 days in water at 25° ± 1°C	ASTM C 719[d]	No adhesive or cohesive failure after 5 cycles

Notes:

a. ASTM Designation: C 639 Modified (15 percent slope channel A).

b. ASTM Designation: C 603, through 3-mm opening at 345 kPa.

c. Mold briquettes in conformance with AASHTO Designation: T 132, sawed in half and bonded with a 1.5 mm maximum thickness of sealant and tested in conformance with AASHTO Designation: T 132. Briquettes shall be dried to constant mass at 100 ± 5° C.

d. Movement Capability and Adhesion: Prepare 305 mm x 25 mm x 75 mm concrete blocks in conformance with ASTM Designation: C 719. A sawed face shall be used for bond surface. Seal 50 mm of block leaving 12.5 mm on each end of specimen unsealed. The depth of sealant shall be 9.5 mm and the width 12.5-mm.

e. R.H. equals relative humidity.

The silicone joint sealant shall be formulated to cure rapidly enough to prevent flow after application on grades of up to 15 percent.

A Certificate of Compliance for the silicone sealant shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate shall also be accompanied with a certified test report of the results of the required tests performed on the sealant material within the previous 12 months prior to proposed use. The Certificate and accompanying test report shall be provided for each lot of silicone joint sealant prior to use on the project.

PAVEMENT CONCRETE MIX PROPORTIONS

The Contractor shall determine the mix proportions for pavement concrete. The laboratory used to develop the mix proportions shall meet the requirements of ASTM Designation: C 1077, and shall have current AASHTO accreditation for test methods AASHTO Designation: T 97 or ASTM Designation: C 78, and AASHTO Designation: T 126 or ASTM Designation: C 192.

The minimum cementitious materials content or the maximum water to cementitious materials ratio shall be determined in conformance with the requirements in California Test 559. Trial mixtures shall be made no more than 24 months before field qualification. The minimum cementitious materials content or the maximum water to cementitious materials ratio shall be that determined from the trial mixtures curve to produce a minimum modulus of rupture of 3.9 MPa at 28 days age and 4.5 MPa at 42 days age. To account for variances in materials, production of concrete, and modulus of rupture testing, the Contractor shall include as part of the proposed mix proportions an increase to the cementitious material content or a decrease to the water to cementitious materials ratio, determined from trial mixtures, to ensure that portland cement concrete produced during paving operations conforms to the requirements in "Modulus of Rupture," in this section.

At least 15 days prior to field qualification, the Contractor shall submit the proposed pavement concrete mix proportions with laboratory test reports. Laboratory test reports shall include modulus of rupture determined for each trial mixture at ages of 10, 21, 28 and 42 days in conformance with the applicable portions of California Test 559.

Field Qualification

Field qualification of proposed mix proportions will be required prior to placement of pavement concrete. The Contractor shall perform field qualification and submit certified test data to the Engineer. Field qualification data shall be based upon the proposed use of materials, mix proportions, mixing equipment, procedures and size of batch.

Proposed concrete mix proportions will be field qualified when the test results of five beams from a single batch of concrete indicate the average modulus of rupture is at least 3.9 MPa with no single beam lower than 3.8 MPa at an age of the Contractor's choice but not later than 28 days. Beams shall be tested for modulus of rupture at a minimum of 10, 21, and 28 days of age. Test specimens shall be made and tested in conformance with the requirements in California Test 523.

The certified field qualification test data reports shall include the following:

1. Date of mixing,
2. Mixing equipment and procedures used,
3. Volume of batch in cubic meters and the mass or volume,
4. Type and source of ingredients used,
5. Penetration and slump of the concrete,
6. The air content of the concrete, and
7. The age at time of testing and strength of concrete specimens tested.

Field qualification test data reports shall be signed by a certified representative in charge of the laboratory that performed the tests.

If the Contractor changes a source of supply or proportions, the Contractor shall submit a new proposed mix design and furnish samples from the new source, or sources, at least 60 days prior to their intended use. The new mix proportions shall be trial batched and field qualified, unless, the Engineer determines the change is not substantive. No extension of contract time will be allowed for the time required to perform the sampling, testing, preparing and qualifying new mix proportions for new aggregate sources proposed by the Contractor.

MODULUS OF RUPTURE

The Engineer will test portland cement concrete pavement for modulus of rupture in conformance with the requirements in California Test 523. Acceptance will be on a lot basis. Each lot shall not to exceed 750 m^3 of concrete pavement. The Engineer will determine sample locations. A minimum of six beam specimens shall be made from each sample. Beam specimens will be tested for modulus of rupture at 10, 21, and 28 days. The modulus of rupture for each lot will be calculated by averaging the results of two beams representing that lot tested at 28 days of age. The difference in modulus of rupture between each individual beam result shall not exceed 0.44-MPa.

The Contractor shall perform sampling and testing of beam specimens to determine if concrete pavement has achieved a modulus of rupture of 2.4 MPa when requesting early use of concrete pavement in conformance with the provisions in Section 90-8.03, "Protecting Concrete Pavement," of the Standard Specifications. Beam specimens shall be made and tested in conformance with the requirements in California Test 523.

LIQUID JOINT SEALANT INSTALLATION

The joint sealant detail for transverse, as shown on the plans, shall apply only to weakened plane joints. Weakened plane joints shall be constructed by the sawing method. Should grinding or grooving be required over or adjacent to joints after sealant has been placed, the joint materials shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications, and replaced at the Contractor's expense. Immediately after sawing, a water wash using less than 0.7 MPa pressure shall be used to remove the slurry from the sawing operation.

Transverse weakened plane joints shall be Type A1 or B as shown on the plans.

Seven days after the concrete pavement placement and not more than 4 hours before placing backer rods and joint sealant materials, the joint walls shall be cleaned by the dry sand blast method and other means as necessary to remove from the joint objectionable material such as soil, asphalt, curing compound, paint and rust. Sand blasting shall be performed in at least 2 passes, one for each side of the joint, with the nozzle held at an angle to the joint within 25 mm to 51 mm of the pavement. After cleaning the joint, traces of sand, dust and loose material shall be removed from and near the joint for a distance along the pavement surfaces of at least 50 mm on each side of the joint by the use of a vacuum device. Surface moisture or dampness shall be removed at the joints by means of compressed air or moderate hot compressed air or other means approved by the Engineer. Drying procedures that leave a residue or film on the joint wall shall not be used. Sandblasting equipment shall have a maximum nozzle diameter size of 6 ± 1-mm and a minimum pressure of 0.62-MPa.

Backer rods shall be installed when the temperature of the portland cement concrete pavement is above the dew point of the air and when the air temperature is 4°C or above. Backer rod shall be installed when the joints to be sealed have been properly patched, cleaned and dried, as determined by the Engineer. Methods of placing backer rod that leave a residue or film on joint walls shall not be used.

Immediately after placement of the backer rod, joint sealant shall be placed in the clean, dry, prepared joints as shown on the plans. The joint sealant shall be applied using a mechanical device with a nozzle shaped to fit inside the joint to introduce the sealant from inside the joint. Adequate pressure shall be applied to the sealant to ensure that the sealant material is extruded evenly and that full continuous contact is made with the joint walls. After application of the sealant, the surface of the sealant shall be recessed as shown on the plans.

Failure of the joint material in either adhesion or cohesion will be cause for rejection of the joint. The finished surface of joint sealant shall conform to the dimensions and allowable tolerances shown on the plans. Rejected joint materials or joint material whose finished surface does not conform to the dimensions shown on the plans, as determined by the Engineer, shall be repaired or replaced, at the Contractor's expense, with joint material that conforms to the requirements.

After each joint is sealed, surplus joint sealer on the pavement surface shall be removed. Traffic shall not be permitted over the sealed joints until the sealant is tack free and set sufficiently to prevent embedment of roadway debris into the sealant.

An installation machine specifically designed for the installation of preformed compression joint seals shall be used to install the seal at the specified depth without cutting, nicking, or twisting the seal. The installation machine shall install the seal with no more than 4 percent stretch in the installed seal. Hand installation methods of installing seals will not be permitted.

The percentage of stretch shall be determined by laying a length of the preformed compression joint seal material cut to the exact length of the pavement joint to be sealed. The length shall then be measured. The cut length of preformed compression joint seal material shall then be installed in the joint. Excess amount of seal material remaining at the end of the joint shall be measured as the amount of stretch. The measured amount of stretch shall be divided by the original measured length to determine the percentage of stretch.

The completed seal shall not be twisted or have deformities that prevent the seal from making complete continuous contact with the joint walls. Seals installed that are twisted or deformed, or do not make continuous contact with joint walls or with greater than 4 percent stretch of the joint material will be rejected and removed.

CONSTRUCTING TRANSVERSE CONTACT JOINTS

A transverse contact (construction) joint shall be constructed, at the end of each day's work or where concrete placement is interrupted for more than 30 minutes, to coincide with the next weakened plane joint location.

If sufficient concrete has not been mixed to form a slab to match the next weakened plane joint, when an interruption occurs, the excess concrete shall be removed and disposed of back to the last preceding joint. The cost of removing and disposing of excess concrete shall be at the Contractor's expense. Excess material shall become the property of the Contractor and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

A metal or wooden bulkhead (header) shall be used to form the joint. The bulkhead shall be designed to accommodate the installation of dowel bars.

PROFILE INDEX

The pavement surface shall be profiled, by the Contractor not more than 10 days following concrete placement, in the presence of the Engineer, using a California Profilograph or equivalent in conformance with the requirements in California Test 526, except a blanking band of zero (null) shall be used to determine the Profile Index. Two profiles shall be made within each traffic lane, one meter from and parallel with each lane line.

Profiled pavement shall conform to the following Profile Index requirements:

1. Pavement on tangent alignment and pavement on horizontal curves having a centerline radius of curve 600 m or more shall have a Profile Index of 64 mm or less for each 0.1-km.
2. Pavement on horizontal curves having a centerline radius of curve 300 m or more but less than 600 m and pavement within the superelevation transition of those curves shall have a Profile Index of 128 mm or less for each 0.1-km.

Individual high points in excess of 7.5 mm, as determined by measurements of the profilogram in conformance with the requirements in California Test 526, except using a blanking band of zero (null), shall be reduced by grinding in conformance with the requirements in Section 40-1.10, "Final Finishing," of the Standard Specifications until the high points as indicated by reruns of the profilograph do not exceed 7.5 mm.

Pavement grinding shall not be performed before 10 days have elapsed after concrete placement, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa.

CONSTRUCTING WEAKENED PLANE JOINTS (EARLY ENTRY SAW METHOD)

The Contractor may construct weakened plane joints using lighter weight concrete saws (early entry saws) specifically designed for sawing fresh concrete without the use of water. The early entry saws shall be capable of sawing joints within 2 hours of cure time after placement of the concrete pavement without ravelling or tearing, as defined in Section 40-1.08B(1), "Sawing Method," of the Standard Specifications. Joints sawed with early entry saws that develop random cracking shall be removed to the nearest controlled joint and replaced with concrete pavement containing dowel bars and tie bars in conformance with these special provisions and as shown on the plans. The removal and replacement work shall be at the Contractor's expense. Weakened plane joints not sawed within 2 hours of placing concrete pavement shall be sawed by conventional power driven wet-type concrete saws in conformance with the requirements of Section 40-1.08B(1), "Sawing Method," of the Standard Specifications.

Sawed grooves shall be cut to a maximum of 3 mm in width for longitudinal and transverse weakened plane joints made with early entry saws. The minimum depth of cut shall be calculated utilizing the formula in Section 40-1.08B(1), "Sawing Method," of the Standard Specifications except $d = t/4$.

MEASUREMENT AND PAYMENT

Sealing transverse weakened plane joints, in portland cement concrete pavement will be measured by the meter. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the sealed pavement joints will be measured and paid for as seal pavement joint.

The contract price paid per meter for seal pavement joint shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in sealing pavement joints complete in place, including sawing, cleaning and preparing the joints in the concrete pavement, furnishing and installing backer rod, repairing and patching spalled or raveled sawed joints, and replacing or repairing rejected joints, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Concrete pavement will be measured by the cubic meter in conformance with the provisions in Section 40-1.13, "Measurement," of the Standard Specifications. No deduction will be made for the volume of epoxy-coated dowel bars, epoxy-coated tie bars and, when used, tie bar baskets with fasteners and dowel bar baskets with fasteners, in the concrete pavement. When a test strip conforms to the specifications for concrete pavement and remains a part of the project paving surface, the concrete will be measured and paid for as concrete pavement.

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount determined by the Contractor), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement complete in place, submittal to the Engineer all test data for determination of mix proportions of concrete for concrete pavement and for providing the facility, Contractor personnel and all the work involved in arranging and holding the prepaving conference, for constructing and repairing all joints; for performing all profile checks for Profile Index and furnishing final profilograms to the Engineer; for grooving and grinding required for final finishing; and for removing, and replacing pavement for deficient thickness, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Costs for providing JITT will be determined in conformance with the provisions in Section 9-1.03, "Force Account Payment," of the Standard Specifications, except no markups shall be added, and the Contractor will be paid for one half of the JITT cost. Costs for providing JITT shall include training materials, class site, and the JITT instructor including the JITT instructor's travel, lodging, meals and presentation materials. All costs incurred by the Contractor or Engineer for attending JITT shall be borne by the party incurring the costs.

10-1.57 PILING

GENERAL

Piling shall conform to the provisions in Section 49, "Piling," of the Standard Specifications, and these special provisions.

Unless otherwise specified, welding of any work performed in conformance with the provisions in Section 49, "Piling," of the Standard Specifications, shall be in conformance with the requirements in AWS D1.1.

Epoxy coated reinforcement for pile anchorages shall conform to "Epoxy-Coated Prefabricated Reinforcement," of these special provisions.

Foundation recommendations are included in the "Information Handout" available to the Contractor as provided for in Section 2-1.03, "Examination of Plans, Specifications, Contract, and Site of Work," of the Standard Specifications.

When at any location the foundation excavation extends below the planned bottom of footing elevation, the Contractor shall notify the Engineer who may determine that adjustments to pile tip elevations are required. Pile tip elevation adjustments resulting from Contractor's overexcavation are considered to be for Contractors convenience and any additional pile length shall be at Contractor's expense.

Attention is directed to "Welding" of these special provisions.

If at any time during pile driving the blow count exceeds three times the required blow count as determined from Section 49, "Piling," of the Standard Specifications, the Contractor shall discontinue driving. If the pile tip is less than 1.5-m above the specified tip elevation it will be accepted; if the pile tip is more than or equal to 1-5 m above the specified tip elevation, the Contractor shall notify the Engineer who may direct that remedial measures be undertaken.. Driving shall not resume until directed by the Engineer.

Difficult pile installation is anticipated due to cemented/dense soils, sloping ground, the presence of caving soils, cobbles and boulders, rock, subsurface concrete debris and underground utilities. .

Driving System Submittal

Prior to installing driven piling, the Contractor shall provide a driving system submittal, including driveability analysis, in conformance with the provisions in "Working Drawings," of these special provisions. A submittal shall be made for each control location shown below. All proposed driving systems (i.e., each hammer that may be brought onto the site) shall be included in the submittal.

Bridge Number	Control Location
34-0006L/R	Transition Structures, Bents W8, W9, W10, W10AL, Abut W11R
	EB On-Ramp (Initial), Bents W8, W9
	Temporary EB On-Ramp, Bent 2

The driving system submittal shall contain an analysis showing that the proposed driving systems will install piling to the specified tip elevation and specified bearing. Driving systems shall generate sufficient energy to drive the piles with stresses not more than 95 percent of the specified yield strength of the steel pile or unfilled steel shell. Submittals shall include the following:

A. Complete description of soil parameters used, including soil quake and damping coefficients, skin friction distribution, ratio of shaft resistance to nominal compression resistance, assumptions made regarding the formation of soil plugs, and assumptions made regarding drilling through the center of open ended steel shells.
B. List of all hammer operation parameters assumed in the analysis, including fuel settings, stroke limitations, and hammer efficiency.

C. Driveability studies that are based on a wave equation analysis using a computer program that has been approved by the Engineer. Driveability studies shall model the Contractor's proposed driving systems, including the hammers, capblocks, and pile cushions, as well as determine driving resistance and pile stresses for assumed site conditions. Separate analyses shall be completed at elevations above the specified tip elevations where difficult driving is anticipated. Studies shall include plots for a range of pile compression capacities above and below the nominal compression resistance shown on the plans. Plots shall include the following:

1. Pile compressive stress versus blows per 0.30-m.
2. Pile tensile stress versus blows per 0.30-m.
3. Nominal compression resistance versus blows per 0.30-m.

When the driveability analysis hammers indicate that open ended pipe pile and steel shell penetration rates are less than 0.30-m per 200 blows and the driving stresses will exceed 80 percent of the specified yield strength of the pipe and steel shell, the study shall include assumptions for drilling through the center of open ended pipe piles and steel shells.

D. Copies of all test results from any previous pile load tests, dynamic monitoring, and all driving records used in the analyses.
E. Completed "Pile and Driving Data Form," which is shown in these special provisions.

The driving system submittal shall be stamped and signed by an engineer who is registered as a Civil Engineer in the State of California. Prior to installing piling, the Contractor shall allow the Engineer 15 working days to review a driving system submittal after a complete set, as determined by the Engineer, has been received. Should the Engineer fail to complete his review within the time allowance, and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in the driving system submittal review, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays" of the Standard Specifications.

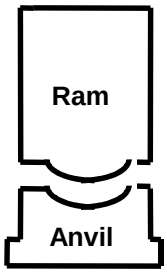
The Contractor shall use the driving system and installation methods described in the approved driving system submittal for a given control location. Any change in hammers from those submitted and approved by the Engineer shall also meet the requirements for driving system submittals. Revised and new driving system submittals shall be approved by the Engineer prior to using corresponding driving systems on production piling. The Contractor shall allow the Engineer 15 working days to review each revised and each new driving system submittal after a complete set, as determined by the Engineer, has been received.

Approval of pile driving equipment will not relieve the Contractor of his responsibility to drive piling, free of damage, to the specified penetration and to pile acceptance criteria.

CALIFORNIA DEPARTMENT OF TRANSPORTATION
TRANSPORTATION LABORATORY

PILE AND DRIVING DATA FORM

Structure Name :_____ Contract No.:_____
_____ Project:_____
Structure No.:_____ Pile Driving Contractor or
Dist./Co./Rte./kilo.post:_____ Subcontractor_____(Pile Driven By)



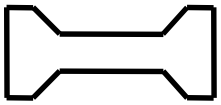
Hammer

Manufacturer:_____ Model:_____
Type:_____Serial No.:_____
Rated Energy:_____ at _____ Length of Stroke

Modifications: _____
_____
_____
_____
_____

Capblock (Hammer Cushion)

Material:_____
Thickness:_____mm Area:_____mm^2
Modulus of Elasticity - E:_____MPa
Coefficient of Restitution - e:_____



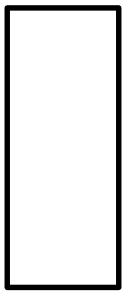
Pile Cap

Helmet
Bonnet
Anvil Block
Drivehead

Mass: k

Pile

Material:_____
Thickness:_____mm Area: _____mm^2
Modulus of Elasticity - E:_____MPa
Coefficient of Restitution - e:_____



Pile

Pile Type:_____
Length (In
Leads):_____m
kg/m.:_____Taper:_____
Wall Thickness:_____mm
Cross Sectional Area:_____ mm^2
Design Pile Capacity:_____kN
Description of Splice:_____
_____
Tip Treatment Description:_____

DISTRIBUTE one copy

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- ☐ Translab, Geotechnical Design
- ☐ Resident Engineer

Note: If mandrel is used to drive the pile, attach separate manufacturer's detail sheet(s) including mass (kg) and dimensions.

Submitted By:_____ Date:_____

Jetting and Drilling

Jetting to obtain the specified penetration in conformance with the provisions in Section 49-1.05, "Driving Equipment," of the Standard Specifications shall not be used for driven type piles.

Drilling to obtain the specified penetration in conformance with the provisions in Section 49-1.05, "Driving Equipment," of the Standard Specifications shall not be used for driven type piles except where obstructions are encountered. Should such obstructions be encountered, the Contractor shall provide special driving tips or heavier pile sections, subexcavate below the bottom of footing, predrill or core holes not greater than the least dimension of the pile to the proper depth, spud the piles, or take other measures to prevent damage to the pile during driving. Predrilling, if used, shall be terminated above the pile tip at all times.

Materials resulting from drilling holes shall be disposed of in conformance with the provisions in Section 19-2.06, "Surplus Material," of the Standard Specifications.

STEEL PIPE PILING

General

Steel pipe piling shall consist of unfilled steel pipe piling. Steel pipe piling shall conform to the provisions in Section 49-5, "Steel Piles," of the Standard Specifications and these special provisions.

Steel pipe piles shall conform to the requirements in designation: ASTM A53, Grade B, except hydrostatic testing will not be required.

Wherever reference is made to the American Petroleum Institute (API) specification 5L in the Standard Specifications, on the project plans, or in these special provisions, the year of adoption shall be 2000. All requirements of that code shall apply unless specified otherwise in the Standard Specifications, on the plans, or in these special provisions.

Only longitudinal and spiral seam welds in steel pipe piles may be made by the electric resistance welding method. Those welds shall be welded in conformance with the requirements in API 5L and any amendments to API 5L in the Standard Specifications or these special provisions.

Steel Pipe piling shall either conform to the requirements in API 5L or AWS D1.1, and the provisions specified in Section 49-5, "Steel Piles," of the Standard Specifications and these special provisions.

Handling devices may be attached to steel pipe piling. Welds attaching these devices shall be aligned parallel to the axis of the pile and shall conform to the requirements for field welding specified herein. Permanent bolted connections shall be corrosion resistant. Prior to making attachments, the Contractor shall submit a plan to the Engineer that includes the locations, handling and fitting device details, and connection details. Attachments shall not be made to the steel pipe piling until the plan is approved in writing by the Engineer. The Contractor shall allow the Engineer 7 days for the review of the plan. In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

For steel pipe piling, including bar reinforcement in the piling, the Contractor shall allow the Engineer 48 hours to review the Welding Report, specified in "Welding Quality Control" of these special provisions, and respond in writing after the required items have been received. No field welded steel pipe piling shall be installed, and no reinforcement in the piling shall be encased in concrete until the Engineer has approved the above requirements in writing. In the event the Engineer fails to complete the review and provide within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Steel Pipe in Conformance with API 5L

Steel pipe piling conforming to the requirements in API 5L shall conform to the following additional requirements:

A. Each length of steel pipe piling shall be marked with the API monogram.
B. The product shall be capable of meeting the fit-up requirements of AWS D1.1, Section 5.22.3.1, "Girth Weld Alignment (Tubular)," when the project requires the material to be spliced utilizing a girth weld.
C. Welds made at a permanent facility shall be made by submerged arc welding or an electric resistance welding process.
D. Except for tack welding, the gas metal arc welding process (GMAW) shall not be used for welding of pipe pile material. When GMAW is used for tacking, the electrode shall not be deposited by short circuiting transfer.
E. The joining of pipe sections in a permanent facility utilizing a circumferential or jointer weld shall conform to the requirements in AWS D1.1.

Steel Pipe in Conformance with AWS D1.1

Steel pipe piling conforming to the requirements in AWS D1.1 shall conform to the following additional requirements:

A. Weld filler metal shall conform to the requirements in AWS D1.5 for the welding of ASTM Designation: A709/A709M, Grade 345 steel, except that the qualification, pretest, and verification test requirements need not be conducted if certified test reports are provided for the consumables to be used.
B. Except for tack welding, GMAW shall not be used for welding of pipe pile material. When GMAW is used for tacking, the electrode shall not be deposited by short circuiting transfer.
C. Pipe piling designated as ASTM Designation A252, which has a yield strength of less than or equal to 450 MPa, shall be treated as ASTM Designation A572/A572M, Grade 345 material for the purpose of welding and pre-qualification of base metal, in conformance with the requirements in AWS D1.1.
D. Each length of steel pipe piling shall be marked in conformance with the requirements in ASTM Designation: A252.
E. The outside circumference of the steel pipe piling end shall not vary by more than 10 mm from that corresponding to the diameter shown on the plans.

Field Welding

Field welding of steel piling is defined as welding performed after the certificate of compliance has been furnished by the manufacturer or fabricator and shall conform to the following requirements:

A. Match marking of pipe ends at the manufacturing or fabrication facility is recommended for piling to ensure weld joint fit-up. Prior to positioning any 2 sections of steel pipe to be spliced by field welding, including those that have been match marked at the manufacturing or fabrication facility, the Contractor shall equalize the offsets of the pipe ends to be joined and match mark the pipe ends.
B. Welds made in the flat position or vertical position (where the longitudinal pipe axis is horizontal) shall be single-vee or double-vee groove welds. Welds made in the horizontal position (where the longitudinal pipe axis is vertical) shall be single-bevel groove welds. Joint fit-ups shall conform to the requirements in AWS D1.1, Section 5.22.3.1, "Girth Weld Alignment (Tubular)," and these special provisions.
C. The minimum thickness of the backing ring shall be 6 mm, and the ring shall be continuous. Splices in the backing ring shall be made by complete penetration welds. These welds shall be completed, including visual inspection and any required nondestructive testing (NDT), prior to final insertion into a pipe end. The attachment of backing rings to pipe ends shall be done using the minimum size and spacing of tack welds that will securely hold the backing ring in place. Tack welding shall be done in the root area of the weld splice. Cracked tack welds shall be removed and replaced prior to subsequent weld passes. The gap between the backing ring and the steel pipe piling wall shall be no greater than 2 mm. One localized portion of the backing ring fit-up, that is equal to or less than a length that is 20 percent of the outside circumference of the pipe, as determined by the Engineer, may be offset by a gap equal to or less than 6 mm provided that this localized portion is first seal welded using shielded metal arc E7016 or E7018 electrodes. The Contractor shall mark this localized portion so that it can be referenced during any required NDT. Backing rings shall have a minimum width of 1 1/2 times the thickness of the pile to be welded or 65 mm, whichever is greater, so that the backing ring will not interfere with the interpretation of the NDT.
D. For steel pipe with an outside diameter greater than 1.1 m, and with a wall thickness greater than 25.4 mm, the root opening tolerances may be increased to a maximum of 5 mm over the specified tolerances.
E. Weld filler metal shall conform to the requirements shown in AWS D1.5 for the welding of ASTM Designation: A709/A709M, Grade 345 steel, except that the qualification, pretest, and verification test requirements need not be conducted if certified test reports are provided for the consumables to be used.
F. For field welding limited to attaching backing rings and handling devices, the preheat and interpass temperature shall be in conformance with the requirements in AWS D1.1, Section 3.5, "Minimum Preheat and Interpass Temperature Requirements," and with Table 3.2, Category C.
G. The minimum preheat and interpass temperature for production splice welding and for making repairs shall be 66°C, regardless of the pipe pile wall thickness or steel grade. In the event welding is disrupted, preheating to 66°C must occur before welding is resumed.
H. Welds shall not be water quenched. Welds shall be allowed to cool unassisted to ambient temperature.
I. Pipe piling designated as ASTM Designation A252, which has a yield strength of less than or equal to 450 MPa, shall be treated as ASTM Designation A572/A572M, Grade 345 material for the purposes of welding and prequalification of base metal, in conformance with the requirements in AWS D1.1.

At the Contractor's option, a steel pipe pile may be re-tapped to prevent pile set-up provided the field welded splice remains at least one meter above the work platform until that splice is approved in writing by the Engineer.

NONDESTRUCTIVE TESTING FOR STEEL PIPE PILING

Steel pipe piling for the temporary eastbound on-ramp shall receive nondestructive testing (NDT) in conformance with these special provisions.

Nondestructive Testing of Welds made at a Permanent Facility

Nondestructive testing of welding performed in conformance with the requirements of API 5L shall conform to the following criteria:

A. The manufacturer shall provide to the Engineer a DVD or VHS videocassette recording of the actual product testing, when radiological testing is utilized, or the actual radiographic film when film radiography is utilized. This videocassette or film submittal shall be provided to the Engineer for review prior to shipment of the product from the manufacturing facility.
B. Ultrasonic testing of seam welds produced by the electric resistance welding process (ERW) shall comply with API 5L, SR17 utilizing a type V10 notch, N10 notch, or a 3.2 mm drilled hole.
C. The ultrasonic equipment shall utilize transducers oscillating at frequencies between 2 and 5 megahertz.
D. When the pipe ends of seam welds produced by the submerged arc welding process (SAW) are inspected by ultrasonic methods in accordance with API 5L Paragraph 9.7.4, the acceptance criteria shall be based on a type N5 notch or a 1.6 mm drilled hole.
E. When film radiography is utilized to inspect pipe ends or repairs, the transmitted film density shall be 2.0 to 4.0 in the area of interest (weld, base metal, and IQI).
F. Repaired defects shall be re-inspected utilizing the NDT method that originally detected the defect, except that film radiography may be utilized for inspection of repairs when the defect was originally detected utilizing real time imaging or radiological testing.

Nondestructive testing of welding performed in conformance with AWS D1.1 shall be in conformance with the following criteria:

A. Twenty-five percent of each longitudinal, circumferential, or spiral weld made at a permanent fabrication facility shall receive NDT. If repairs are required in a portion of the tested weld, the repaired portion shall receive NDT, and additional NDT shall be performed on untested portions of the weld. The additional NDT shall be made on both sides of the repair area for a length equal to 10 percent of the length of the pipe's outside circumference. After this additional 20 percent of NDT is performed, and if more repairs are required, the total cumulative repair lengths from all NDT shall be determined and documented. If the cumulative weld repair length is determined to be equal to or more than 10 percent of the length of the pipe outside circumference, then the entire weld shall receive NDT.
B. Circumferential or longitudinal welds shall receive NDT by either radiographic, real time imaging systems, or ultrasonic methods that are in conformance with the requirements in AWS D1.1.
C. The acceptance and repair criteria for ultrasonic testing (UT) shall conform to the requirements in AWS D1.1, Section 6, Table 6.3 for cyclically loaded nontubular connections. The acceptance and repair criteria for radiographic or real time image testing shall conform to the requirements of AWS D1.1 for tensile stress welds.

Nondestructive Testing of Field Welds

Nondestructive testing of field welds shall be in conformance with these special provisions.

Personnel performing ultrasonic testing (UT) for field welds will be required to verify their qualifications prior to performing nondestructive testing by both written and practical exams. Information regarding these exams is available at the Transportation Laboratory.

At the option of the Contractor, either ultrasonic testing (UT) or radiographic testing (RT) shall be used as the method of NDT for splices made by field welding steel pipe piling. This NDT shall be used for each field weld, including welds that are made onto a portion of the steel pipe piling that has been installed and any repair made to a splice weld. Testing shall be done at locations selected by the Engineer. The length of a splice weld, not including repairs, where NDT is to be performed, shall have a cumulative weld length that is equal to 25 percent of the pipe outside circumference. The Engineer may select several locations on a given splice for NDT. The cover pass shall be ground smooth at the locations to be tested. The acceptance and repair criteria for UT shall conform to the requirements in AWS D1.1, Section 6, Table 6.3 for cyclically loaded nontubular connections. The acceptance and repair criteria for radiographic or real time image testing shall conform to the requirements of AWS D1.1 for tensile stress welds. If repairs are required in a portion of the tested weld, the repaired portion shall receive NDT, and additional NDT shall be performed on untested portions of the weld. The additional NDT shall be made on both sides of the repair area for a length equal to 10 percent of the length of the pipe's outside circumference. After this additional 20 percent of NDT is performed, and if more repairs are required, the total cumulative repair lengths from all NDT shall be determined and documented. If the cumulative weld repair length is determined to be equal to or more than 10 percent of the length of the pipe outside circumference, then the entire weld shall receive NDT.

When backing rings are used, the backing ring complete joint penetration splice welds shall be inspected by RT or UT for material of thickness equal to or greater than 8 mm, or by RT for material of thickness less than 8 mm. The acceptance criteria for splice welds in backing rings shall be AWS D1.1, Section 6 and Figure 6.5 for RT, or Table 6.3 for UT.

MEASUREMENT AND PAYMENT (PILING)

Measurement and payment for the various types and classes of piles shall conform to the provisions in Sections 49-6.01, "Measurement," and 49-6.02, "Payment," of the Standard Specifications and these special provisions.

Full compensation for conforming to the provisions in "Steel Pipe Piling" and "Nondestructive Testing" of these special provisions shall be considered as included in the contract prices paid for the various items of work involved, and no additional compensation will be allowed therefor.

Full compensation for driving system submittals shall be considered as included in the contract unit price paid for drive pile, and no additional compensation will be allowed therefor.

Full compensation for constructing concrete plug in pipe piling shall be considered as included in the contract price paid per meter for furnish steel pipe piling of the diameter indicated in the Engineer's estimate and no additional compensation will be allowed therefor.

Full compensation for epoxy coated anchor reinforcement at pileheads shall be considered as included in the contract price paid per meter for furnish steel piling of the types shown in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for providing special tips, heavier sections, or for subexcavating, predrilling, coring, spudding or employing other measures to prevent damage to the piles shall be considered as included in the contract unit price paid for drive steel pile of the sizes shown in the Engineer's Estimate, and no additional compensation will be allowed therefor.

10-1.58 PRESTRESSING CONCRETE

Prestressing concrete shall conform to the provisions in Section 50, "Prestressing Concrete," of the Standard Specifications and these special provisions.

GENERAL

Attention is directed to Sections "Working Drawings," "Order of Work," and "Falsework," of these special provisions. Prestressing concrete shall consist of the following items as designated in the Engineer's Estimates:

A. Prestressting cast-in-place Concrete (Longitudinal)
   Prestressing cast-in-place girders as shown on the plans.
B. Prestressing cast-in-place Concrete (Transverse)
   Prestressing cast-in-place bent caps as shown on the plans.

The contract lump sum price paid for prestressing concrete of the types shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all work involved in furnishing, placing and tensioning the prestressing in cast-in-place concrete structures, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The details shown on the plans for cast-in-place prestressed box girder bridges are based on a bonded full length draped tendon prestressing system. For these bridges the Contractor may, in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications, propose an alternative prestressing system utilizing bonded partial length tendons provided the proposed system and associated details meet the following requirements:

A. The proposed system and details shall provide moment and shear resistances at least equal to those used for the design of the structure shown on the plans and the Contractor shall demonstrate that tensile cracking will not be induced in the structure at the termination points of partial length tendons.
B. The concrete strength shall not be less than that shown on the plans.
C. Not less than 35 percent of the total prestressing force at any section shall be provided by full length draped tendons.
D. Anchorage blocks for partial length tendons shall be located so that the blocks will not interfere with the placement of the utility facilities shown on the plans or of any future utilities to be placed through openings shown on the plans.
E. Temporary prestressing tendons, if used, shall be detensioned, and the temporary ducts shall be filled with grout before completion of the work. Temporary tendons shall be either removed or fully encased in grout before completion of the work.
F. All details of the proposed system, including supporting checked calculations, shall be included in the drawings submitted in conformance with "Working Drawings," of these special provisions. Calculations and working drawings shall be stamped by an engineer who is registered as a Civil Engineer in the State of California.

10-1.59 CONCRETE STRUCTURES

Portland cement concrete structures shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Precast Concrete Quality Control" of these special provisions.

Shotcrete shall not be used as an alternative construction method for reinforced concrete members unless otherwise specified.

Where existing reinforcement is incorporated into new concrete, any existing wrapping or grease shall be removed from reinforcement and both existing concrete and reinforcement shall be cleaned of all loose material by abrasive blasting prior to casting new concrete.

Forms used to support the deck of cast-in-place box girders of structure depth less than 1400 mm may remain in place, provided the portions of the forms that obstruct access openings or conflict with utility facilities are removed, the forming system employed leaves no sharp projections into the cells or voids, and forms between hinges and 1.5 m beyond access openings adjacent to hinges are removed.

Materials for access opening covers in soffits of new cast-in-place concrete box girder bridges shall conform to the provisions for materials in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications.

Plastic pipe located at vertical drains used behind retaining walls,, including horizontal or sloping drains down slopes and across sidewalk areas, shall be polyvinyl chloride (PVC) plastic pipe, Schedule 80, conforming to the provisions for pipe for edge drains and edge drain outlets in Section 68-3.02, "Materials," of the Standard Specifications. The vertical drain pipe shall be rigidly supported in place during backfilling operations.

DECK CRACK TREATMENT

When methacrylate resin work is to be conducted within 31 meters of a residence, business, or public space, including sidewalks under a structure, the Contractor shall notify the public at least 7 days before starting work and monitor airborne emissions during the work. Public notification and monitoring of airborne emissions shall conform to the following:

A. The public safety plan required in Section 51-1.17A, "Deck Crack Treatment," of the Standard Specifications shall include a copy of the notification letter and a list of addresses and locations where the letter will be delivered and posted. The letter shall state the methacrylate resin work locations, dates, times, and what to expect. The letter shall be delivered to each residence and each business within 31 meters of the methacrylate resin work. The letter shall be delivered to local fire and police responders, and it shall be posted at the job site.
B. The public safety plan shall include an airborne emissions monitoring plan prepared by a certified industrial hygienist and a copy of the hygienist's certification. Airborne emissions shall be monitored at a minimum of 4 points including the point of mixing, the point of application, and the point of nearest public contact, as determined by the Engineer. At the completion of methacrylate resin work, a report by the certified industrial hygienist with results of the airborne emissions monitoring plan shall be submitted to the Engineer.

AGGREGATE GRADINGS

The aggregate grading of concrete for footings, columns, and bent caps shall be the 12.5 mm maximum combined aggregate grading and shall conform to the requirements in Section 90-3, "Aggregate Gradings," of the Standard Specifications.

SPECIAL REQUIREMENTS FOR YBI TRANSITION STRUCTURES

The following special requirements shall apply to the superstructure concrete in frame 2 of the eastbound and westbound Yerba Buena Island (YBI) transition structures. The concrete mix for the superstructure concrete shall be designed to provide the following additional properties:

1. Modulus of Elasticity: The modulus of elasticity of portland cement concrete shall be at least 32,000 MPa at 28 days when tested in accordance with the requirements in California Test 522. The samples shall be moist-cured for 7 days, followed by air drying at 23°C and 50% relative humidity until test age. The modulus shall also be reported at 3, 7, 56 and 90 days. Test specimen size shall be same as for compressive strength tests. Test results shall be based on the average of three test specimens at each age.
2. Creep: The specific creep coefficient, as determined in accordance with the requirements in ASTM Designation: C 512, after 365 days of loading, shall not exceed 75 millionths/MPa. Test specimens shall be 152 x 305 mm cylinders and shall be moist-cured for 7 days, followed by air drying at 23°C and 50% relative humidity. Test cylinders shall be loaded at 28 days to a stress of 20% to 40% of the design compressive strength shown on the plans. Creep coefficients after 28, 56, 90 and 180 days of loading shall be reported along with a predicted 365 day creep coefficient. The Contractor shall predict the coefficient at 365 days based on the procedures of CEB-FIP

Model Code for Concrete Structures, by the Comite Euro-International de Beton. Mix design approval shall be contingent upon the predicted 365 day creep coefficient satisfying the stated requirement, however, the Contractor shall still report the 365 day creep coefficient determined from test results when available.

3. Shrinkage: The shrinkage strain of portland cement concrete shall not exceed 0.045% after 180 days of drying in accordance with the requirements in ASTM Designation: C 157. Sample size shall be 100 x 100 x 285 mm. Samples shall be moist-cured for 7 days followed by air drying at 23°C and 50% relative humidity. Shrinkage strain shall be calculated as the change in strain from the beginning of drying at 7 days.
4. Concrete density tests in accordance with ASTM Designation: C1040 shall be performed to determine the unit weight of concrete to be utilized for the above referenced frames.

Attention is directed to "Concrete Structures" and "Removable Concrete Counterweight (Bike Path)" of these special provisions.

The Contractor's submittals shall conform to the provisions in "Working Drawings" of these special provisions. Calculations and working drawings shall be stamped by an engineer who is registered as a Civil Engineer in the State of California. The number of sets of drawings and design calculations shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

Submittals shall include the following:

1. Modulus of elasticity, creep, shrinkage and density test results for each proposed concrete mixure.
2. Detailed construction schedule including the placement of all counterweights, closure pours, construction equipment and ancillary items placed on the above referenced frames.
3. Calculations and working drawings for the Hinge K counterweights.
4. Results of deflection monitoring of the cantilevers.

Concrete creep and shrinkage testing shall start no later than 60 days after contract award.

Concrete creep and shrinkage testing shall be performed by the Contractor's independent test laboratory. The laboratory shall be accredited or inspected for conformance to the requirements in ASTM Designation: C 1077 and shall be approved by the Engineer. All testing shall be performed by ACI certified technicians.

Modulus of Elasticity, Creep and shrinkage and density test results shall be submitted to the Engineer for each proposed mix. The test results shall be submitted in both printed form and electronic media. Electronic results shall be presented in a tab-delimited format on 90 mm diskette with a capacity of at least 1.4 megabytes. The Engineer will notify the Contractor in writing when the test results are determined to be complete. Once the Engineer has determined the test results to be complete, the Contractor shall allow the Engineer 25 working days to review the test results. Superstructure concrete shall not be placed for the frames referenced above until the Engineer has reviewed the test reports and responded in writing.

Prior to submission of test results, Contractor shall submit a detailed construction schedule for the above referenced frames. This schedule shall indicate the timing for the placement of the various concrete pours, prestressing, placement of counterweights, placement of Hinge K closure pour concrete, and engagement of Hinge K bearings. This schedule will be utilized by the Engineer in the evaluation of superstructure camber values.

Superstructure camber values shown on the plans are calculated based on estimated modulus of elasticity and time-dependent creep and shrinkage values together with an assumed construction schedule. After approval of the modulus of elasticity, creep and shrinkage and density test results, the Engineer reserves the right to modify the camber values for the above referenced frames during the review period specified above.

The removable concrete counterweight (bike path) and the Hinge K counterweights, as shown on the plansshall be placed on the superstructures after prestressing.

The design and configuration of the Hinge K counterweight shall be the responsibility of the Contractor. It shall be capable of providing a dead load ranging from 8780 kN to 9020 kN at each frame. These dead loads are based on the center of gravity of the Hinge K counterweight being located across the full deck width and 2.0 m from the cantilever end of the superstructure. These counterweights are also based on being constructed in heavyweight concrete having a unit weight if 4,000 kilograms per cubic meter in conformance with American Concrete Institute's "Standard Practice for Selecting Proportions for Normal, Heavyweight and Mass Concrete (ACI 211.1-91)". If located otherwise an adjustment to the dead load may be required as directed by the Engineer.

The Hinge K counterweights shall be designed by a Civil Engineer registered in the State of California.

The Contractor shall measure the deflection of the cantilever end at Hinge K to the nearest millimeter each working day and each time additional loading is placed on, or removed from, the cantilever from the time prestressing of the frames is completed until the Hinge K bearings are engaged. The Contractor shall submit the measured values, along with a record of the weight placed on the cantilever at Hinge K after prestressing, to the Engineer no less than once every seven days.

During the deflection monitoring period the Engineer may require an increase or decrease in the dead load of the Hinge K counterweight. The counterweight shall be constructed in modules not exceeding 90 kN in order to accommodate such adjustments. It is anticipated such adjustments will occur three times during the deflection monitoring period.

The Contractor shall provide a suitable means approved by the Engineer, for measuring the actual weight and location of the Hinge K counterweight, removable concrete counterweight (bike path), and any other dead loads placed on the cantilever at Hinge K at all times during the deflection monitoring period.

The Contractor shall notify the Engineer at least 10 working days prior to placing Hinge K closures. The Engineer may order a delay in the closure placements. Such a delay, if considered to affect the current controlling operation, will be considered a delay in conformance with the provisions in Section 8-1.06, "Time of Completion," of the Standard Specifications. The Contractor will not be entitled to any compensation other than an adjustment of contract time due to the delay in the Hinge K closure placement ordered by the Engineer.

The Contractor shall submit complete details of the Hinge K counterweight for the Engineer's review including sequence of installation and all features required to perform the installation and removal operations.

Full compensation for conforming to the above requirements including concrete testing, design and construction of the Hinge K counterweights and deflection monitoring shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

MASS CONCRETE

Mass concrete shall conform to the requirements of Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions. Mass concrete construction shall include modeling, providing temperature control and monitoring during placement.

Components listed in the table below shall be considered mass concrete:

Location	Mass Concrete Components
Bents W3L, W4L, W5L, W6L, W7L, W8L, W3R, W4R, W5R, W6R, W7R, W8R	Bent Caps
W10AL, Both	Footings
W8L North, W9L North	Footings

The Contractor shall control the internal and surface temperature of mass concrete during curing in accordance with the Thermal Control Plan specified herein. Temperature modeling and temperature monitoring is required for the placement of mass concrete. The maximum internal temperature of the mass concrete once placed shall not exceed 65°C and the maximum temperature difference between any surface of the mass concrete and the calculated hottest point will be controlled as described in the Thermal Control Plan.

The concrete temperature shall be monitored by thermocouples or other temperature sensors placed into the concrete, as required in the Thermal Control Plan. Use of ice, liquid nitrogen, insulated curing blankets, insulated forms, cooling pipes and other measures may be necessary to satisfy the temperature requirements.

Materials

Cementitious material for mass concrete shall conform to Section 90-2.01, "Cementitious Materials," of the Standard Specifications.

If fly ash or natural pozzolan is used, the minimum amount of portland cement shall not be less than 48 percent by mass of the specified minimum cementitious material content, and the total amount of fly ash or natural pozzolan shall not exceed 52 percent by mass of the total amount of cementitious material to be used in the mix.

Whenever the cementitious material content of mass concrete is composed of more than 35% supplementary cementitious materials by mass as provided in these special provisions, an additional 62 days will be allowed to obtain the specified compressive strength shown on the plans.

Thermal Control Plan

Prior to commencing any mass concrete placement, the Contractor shall submit a Thermal Control Plan with design calculations in conformance with the provisions in "Working Drawings," of these special provisions to the Engineer for approval for each mass concrete structure component. The Thermal Control Plan shall be based on the design assumption that cracking of the concrete as a result of heat of hydration shall not occur. Analysis shall be performed to determine the maximum allowable temperature differentials between the hottest point of the concrete and the exterior faces. The Thermal Control Plan shall include the following:

A. Mix design.
B. Duration and method of curing.
C. Procedures to control concrete temperature at time of placement.
D. Methods of controlling temperature differentials.

E. Temperature sensor types and locations.
F. Temperature monitoring and recording system.
G. Dimensions of each typical mass concrete placement, including all locations in the structure to be represented by that placement.
H. Types and dimensions of materials to be used for mass concrete forms and insulation, and time frames for when the concrete forms and insulation will be removed, including time periods for removal and reinstallation of insulation where required as part of the thermal control plan
I. Assumptions for average ambient air and average surface rock temperature for time period of placement and curing of each typical mass concrete element.
J. If multiple lifts with time delay are proposed, provide lift height and define time delay between lifts.
K. Include a placing diagram showing the typical mass concrete placement sequence and construction joint locations, if any.
L. Identify areas where steep cooling gradients may occur, and how cracking will be avoided.
M. Predict peak temperature, peak differential temperatures and at what approximate times they will occur.
N. Define allowable time periods for placing or removing insulation and or forms.
O. A summary of the modeling assumptions used in the analysis.
P. Field measurements to ensure conformance with the maximum concrete temperature and temperature differential requirements.
Q. Identify contingency operations to be implemented to control the internal temperature of the concrete should the maximum allowable or the maximum allowable differential temperature be exceeded. For post cooling systems after the peak internal temperature is reached, include the maximum cooling rate at which cracking will not occur.

Temperature modeling for each typical placement shall be included with each thermal control plan submittal. As a minimum, the modeling for each typical placement shall consist of performing a two-dimensional finite-difference analysis (see ACI 207.1R-96). The analysis shall be based on the Contractor's actual mix designs. The coefficient of thermal expansion of the concrete used in the modeling shall be determined by testing of the proposed mixes in accordance with US Army Corps of Engineer's Method CRD-C39-81, "Test Method of Coefficient of Linear Thermal Expansion of Concrete." The heat of hydration used in the modeling for the cementitious blend used in the proposed mix designs shall be tested at 1, 3, 7, and 28 days in accordance with the requirements in ASTM Designation: C 186, "Heat of Hydration of Hydraulic Cement".

Construction

Prior to mass concrete placement, an engineer for the Contractor who is registered as a Civil Engineer in the State of California shall inspect and test the temperature monitoring and recording system. The Contractor's registered engineer shall be present at the jobsite when the mass concrete operation is in progress and shall report to the Engineer in writing on a daily basis the progress of the operation. A copy of the daily report shall be available at the jobsite.

If the Contractor elects to use a mechanical cooling system, the mechanical cooling system shall be designed in conformance with the Thermal Control Plan and the following requirements:

A. The mechanical cooling system shall be embedded within mass concrete elements and surface connections to cooling pipes shall be removable to a depth of 100 mm from the surface.
B. Aluminum, copper, or any other dissimilar metal that can cause a corrosion cell with the steel reinforcing shall not be used as cooling pipes.
C. Forms shall be designed so that removal of the forms shall not disrupt the cooling or temperature monitoring.
D. Cooling pipes shall not break or deform during mass concrete placement and shall be secured to prevent movement. Damaged cooling pipes shall be removed and replaced immediately.
E. The mechanical cooling system shall be pressure tested in the presence of the Engineer at 120 percent of the maximum service pressure. The test pressure shall be held for 15 minutes. All leaks shall be repaired and the cooling pipe system shall be retested by the Contractor until the system is free of leaks.
F. After cooling is completed the cooling water shall be discharged and the cooling pipes shall be thoroughly flushed with potable water. Cooling water and wash water shall be discharged in conformance with "Non-Storm Water Discharges," of these special provisions.
G. After the mechanical cooling system is no longer needed the cooling pipes shall be fully grouted under pressure with a nonshrink grout mixture in conformance with ASTM Designation: C 1107 and ASTM Designation: C 827 for 0.0 percent shrinkage, and 0.0 percent minimum and 4.0 percent maximum expansion. The placement of nonshrink grout shall be in conformance with the manufacturer's recommendations.
H. After surface connections to the cooling pipes are removed, the holes shall be reamed and filled with mortar conforming to Section 51-1.135, "Mortar," of the Standard Specifications.

The Contractor may use seawater as cooling water provided that the final temperature of the seawater before discharging back into the bay does not exceed the permit requirements. Holding tanks may be used to cool the water to the required temperature before discharging back into the bay.

The Contractor shall house the pump intake in a manner that prevents injury to fish or other aquatic species and prevent fish entrapment.

Temperature sensors shall be located such that the maximum temperature difference within a mass concrete element can be monitored. As a minimum, the concrete temperature shall be monitored at the calculated hottest point of the concrete, on at least two vertical faces, two corners, and at the center of the top and bottom faces of each pour. For all mass concrete placements, air temperature shall be measured and recorded. If a post-cooling system is used, inlet and outlet water temperatures shall be measured and recorded. The outlet sensors shall be placed within 75 mm of the concrete surface.

Temperature readings shall be automatically recorded on an hourly basis. A redundant set of sensors shall be installed near the primary set. Provision shall be made for recording the redundant set, but records of the redundant sensors need not be made if the primary set is operational. Temperature monitoring may be discontinued when the maximum internal temperature is falling, the maximum allowable temperature difference is greater than the difference between the interior concrete temperature and the average daily temperature for three consecutive days, and there are no mass concrete elements to be cast adjacent.

Methods of concrete consolidation shall prevent damage to the temperature monitoring and recording system. Wiring from thermocouples that must be cast into the concrete shall be protected to prevent movement. Wire runs shall be as short as possible. The ends of the temperature sensors shall not come into contact with either a support or concrete form, or bar reinforcing steel. Temperature monitoring equipment shall be capable of printing and storing data and shall be able to download monitoring data to a computer. Data shall be downloaded and submitted daily to the Engineer.

After the mass concrete pour has been topped out and finished, it shall be revibrated and refinished. Revibration shall extend below the top mat of reinforcement and shall be done as late as the concrete will again respond to vibration. For concrete pours without top reinforcement, revibration shall extend to a depth of 150 mm.

During monitoring, should the specified maximum internal temperature of the mass concrete be exceeded or the maximum allowable temperature difference between any surface of the mass concrete and the hottest point be exceeded, the Contractor shall take immediate measures to correct the situation as specified in the Thermal Control Plan. At the completion of monitoring, the actual readings for the mass concrete element shall be compared with those predicted by the modeling and a summary report shall be prepared by the Contractor's engineer. The report shall include all supplementary or contingency measures implemented and suggested corrections to any future modeling or monitoring to be performed. The summary report shall contain all the temperature data collected for each instrument, both in hard copy and in digital form on diskette. Digital data shall be in Microsoft Excel format or as otherwise approved by the Engineer. The summary report shall also have the data shown in a graphical format with all instruments for a given mass concrete element shown on the same page with time as the horizontal axis. The summary report shall be submitted within one week of completing the monitoring of the mass concrete element.

Acceptance

Mass concrete shall conform to the concrete acceptance criteria and the following temperature requirements:

A. The maximum allowable temperature of mass concrete shall not exceed 65°C.
B. The maximum temperature differential of mass concrete shall not exceed the requirement as determined in the Thermal Control Plan.

If the Contractor fails to conform to any of the temperature requirements above, the mass concrete elements will be rejected. The rejected mass concrete shall be removed at the Contractor's expense. The Contractor shall modify the Thermal Control Plan and design calculations to correct the problem and resubmit the revised Thermal Control Plan.

The Contractor shall allow the Engineer 2 weeks for review and approval of the revised Thermal Control Plan. Mass concrete placement shall not begin until the Engineer has approved the revised Thermal Control Plan. No extension of time or compensation will be made for any rejected mass concrete element or revisions of the Thermal Control Plan.

The Contractor shall remove all equipment and materials from the mass concrete element and clean the surface for the Engineer to measure the crack intensity. Surface crack intensity will be determined after monitoring shows the maximum internal temperature has dropped to within 5°C of the outer concrete temperature. Cracking shall be considered excessive if a surface crack intensity on any face of a concrete surface where cracks greater than 0.15 mm in width measure more than 1.0 m in cumulative length within any 2 m square area or where individual cracks greater than 0.15 mm in width measure more than 300 mm in length.

In case of excessive cracking, the Contractor shall suspend further work on members of similar size and configuration, submit a written explanation of the thermal cracking and additional steps to be taken in future to eliminate excessive cracking, and submit proposed modifications in writing to the Engineer for review. Concrete placement shall not be resumed until the Engineer approves the proposed modifications.

Cracks greater than 0.15 mm in width shall be repaired. Cracks greater than 0.15 mm in width and longer than 300 mm shall be filled with pressure-injected epoxy. Cracks to be filled shall be cleaned and filled by pressure injection methods so that all portions of the crack are completely filled with epoxy. Horizontal surfaces may repaired with high molecular weight methacrylate as approved by the Engineer. No repairs shall begin until the Engineer has approved the repair plan.

Core drilling may be necessary, as determined by the Engineer and at the Contractor's expense, to sample and examine the extent of the cracking and crack filling. The minimum depth of core sampling for mass concrete shall be 0.6 m and the number of cores taken per mass concrete element shall be in accordance with ASTM Designation: C 823. Prior to coring, the Contractor shall identify the location of the main reinforcing steel. The holes shall be cored by methods that will not shatter or damage the concrete adjacent to the holes. Water for core drilling operations shall be fresh water. The coring water shall be disposed in conformance with the requirements in "Construction Site Management," of these special provisions. Immediately after coring, the concrete cores shall be identified by the Contractor with a description of the core locations and submitted to the Engineer for inspection.

If any reinforcement is cut during coring, coring operations shall be terminated, and the Contractor shall submit to the Engineer for approval, the procedure proposed to repair the cut reinforcement and to prevent further cutting of reinforcement. All cored holes shall be filled with nonshrink grout. Cracks not showing full penetration with epoxy shall be reinjected.

At the Contractor's option, for the footings listed in the table above, the above mass concrete requirements may be waived provided the following mass concrete requirements are met:

The temperature of mixed concrete, immediately before placing, shall be not more than 27°C. Use of ice or chilled water may be necessary to satisfy the above temperature requirements.

Concrete shall contain a maximum of 400 kg of cementitious material per cubic meter. A minimum of 192 kg and a maximum of 260 kg of the cementitious material shall be portland cement or a combination of portland cement and Ground Granulated Blast Furnace Slag (GGBFS). Up to 60 percent of GGBFS may be used in the portland cement and GGBFS portion of the mix. The amount of fly ash shall be a minimum of 35 percent to a maximum of 52 percent of the cementitious material. If more than 350 kg of cementitious material per cubic meter of concrete is used, the minimum fly ash content shall be 40 percent of the cementitious material.

Methods of concrete consolidation shall prevent damage to any temperature monitoring and recording system that may be installed by the Engineer.

After the mass concrete pour has been topped out and finished, it shall be revibrated and refinished. Revibration shall extend below the top mat of reinforcement and shall be done as late as the concrete will again respond to vibration. For concrete pours without top reinforcement, revibration shall extend to a depth of 150 mm.

The mass concrete shall be water cured for a minimum of 3 days after placement. Exposed concrete surface shall be insulated with a minimum R-2 to a maximum R-3 insulation one day after placement and shall remain in place for a minimum of one day but no more than 2 days. Insulation of concrete surface may be waived if the ambient air temperature exceeds 30°C at any time during the first 72 hours after placement.

After curing, the Contractor shall remove all equipment and materials from the mass concrete element and clean the surface for the Engineer's inspection.

Full compensation for conforming to the above requirements shall be considered as included in the contract prices paid for the various contract items of work involved and no additional compensation will be allowed therefor.

FALSEWORK

Falsework shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

In addition to the provisions in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications, the time to be provided for the Engineer's review of the working drawings for specific structures, or portions thereof, shall be as follows:

Structure or Portion of Structure	Total Review Time - Weeks
WB Transition Structure	6
EB Transition Structure	6

Temporary crash cushion modules, as shown on the plans and conforming to the provisions in "Temporary Crash Cushion Module" of these special provisions, shall be installed at the approach end of temporary railings which are located less than 4.6 m from the edge of a traffic lane. For 2-way traffic openings, temporary crash cushion modules shall be installed at the departing end of temporary railings which are located less than 1.8 m from the edge of a traffic lane.

The Contractor's engineer who signs the falsework drawings shall also certify in writing that the falsework is constructed in conformance with the approved drawings and the contract specifications prior to placing concrete. This certification shall include performing any testing necessary to verify the ability of the falsework members to sustain the stresses required by the falsework design. The engineer who signs the drawings may designate a representative to perform this certification. Where falsework contains openings for railroads, vehicular traffic, or pedestrians, the designated representative shall be qualified to perform this work, shall have at least three years of combined experience in falsework design or supervising falsework construction, and shall be registered as a Civil Engineer in the State of California. For other falsework, the designated representative shall be qualified to perform this work and shall have at least three years of combined experience in falsework design or supervising falsework construction. The Contractor shall certify the experience of the designated representative in writing and provide supporting documentation demonstrating the required experience if requested by the Engineer.

Welding and Nondestructive Testing

Welding of steel members, except for previously welded splices and except for when fillet welds are used where load demands are less than or equal to 175 N/mm for each 3 mm of fillet weld, shall conform to AWS D1.1 or other recognized welding standard. The welding standard to be utilized shall be specified by the Contractor on the working drawings. Previously welded splices for falsework members are defined as splices made prior to the member being shipped to the project site.

Splices made by field welding of steel beams at the project site shall undergo nondestructive testing (NDT). At the option of the Contractor, either ultrasonic testing (UT) or radiographic testing (RT) shall be used as the method of NDT for each field weld and any repair made to a previously welded splice in a steel beam. Testing shall be performed at locations selected by the Contractor. The length of a splice weld where NDT is to be performed, shall be a cumulative weld length equal to 25 percent of the original splice weld length. The cover pass shall be ground smooth at the locations to be tested. The acceptance criteria shall conform to the requirements of AWS D1.1, Section 6, for cyclically loaded nontubular connections subject to tensile stress. If repairs are required in a portion of the weld, additional NDT shall be performed on the repaired sections. The NDT method chosen shall be used for an entire splice evaluation including any required repairs.

For all field welded splices, the Contractor shall furnish to the Engineer a letter of certification which certifies that all welding and NDT, including visual inspection, are in conformance with the specifications and the welding standard shown on the approved working drawings. This letter of certification shall be signed by an engineer who is registered as a Civil Engineer in the State of California and shall be provided prior to placing any concrete for which the falsework is being erected to support.

For previously welded splices, the Contractor shall determine and perform all necessary testing and inspection required to certify the ability of the falsework members to sustain the stresses required by the falsework design. This welding certification shall (1) itemize the testing and inspection methods used, (2) include the tracking and identifying documents for previously welded members, (3) be signed by an engineer who is registered as a Civil Engineer in the State of California, (4) and shall be provided prior to erecting the members.

COST REDUCTION INCENTIVE PROPOSALS FOR CAST-IN-PLACE PRESTRESSED BOX GIRDER BRIDGES

Except as provided herein, cast-in-place prestressed box girder bridges shall be constructed in conformance with the details shown on the plans and the provisions in Section 50, "Prestressing Concrete," and Section 51, "Concrete Structures," of the Standard Specifications.

If the Contractor submits cost reduction incentive proposals for cast-in-place prestressed box girder bridges, the proposals shall be in conformance with the provisions in Section 5-1.14, "Cost Reduction Incentive," of the Standard Specifications and these special provisions.

The Engineer may reject any proposal which, in the Engineer's judgment, may not produce a structure which is at least equivalent to the planned structure.

At the time the cost reduction incentive proposal (CRIP) is submitted to the Engineer, the Contractor shall also submit 4 sets of the proposed revisions to the contract plans, design calculations, and calculations from an independent checker for all changes involved in the proposal, including revisions in camber, predicted deck profile at each construction stage, and falsework requirements to: California Department of Transportation, Office of the Resident Engineer, Contract 04-0120S4, 333 Burma Road, Oakland, CA 94607. When notified in writing by the Engineer, the Contractor shall submit 12 sets of the CRIP plan revisions and calculations to the Office of Structure Design for final approval and use during construction. The calculations shall verify that all requirements are satisfied. The CRIP plans and calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California.

The CRIP plans shall be either 279 mm x 432 mm, or 559 mm x 864 mm in size. Each CRIP plan sheet and calculation sheet shall include the State assigned designations for the contract number, bridge number, full name of the structure as shown on the contract plans, and District-County-Route-Kilometer Post. Each CRIP plan sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.

Within 3 weeks after final approval of the CRIP plan sheets, one set of the corrected good quality prints on 75-g/m^2 (minimum) bond paper, 559 mm x 864 mm in size, of all CRIP plan sheets prepared by the Contractor for each CRIP shall be furnished to the Office of Structure Design, Documents Unit.

Each CRIP shall be submitted prior to completion of 25 percent of the contract working days and sufficiently in advance of the start of the work that is proposed to be revised by the CRIP to allow time for review by the Engineer and correction by the Contractor of the CRIP plans and calculations without delaying the work. The Contractor shall allow a minimum of 12 weeks for the review of a CRIP. In the event that several CRIPs are submitted simultaneously, or an additional CRIP is submitted for review before the review of a previously submitted CRIP has been completed, the Contractor shall designate the sequence in which the CRIPs are to be reviewed. In this event, the time to be provided for the review of any proposal in the sequence shall be not less than the review time specified herein for that proposal, plus 2 weeks for each CRIP of higher priority which is still under review.

Should the review not be complete by the date specified in the Contractor's CRIP, or such other date as the Engineer and Contractor may subsequently have agreed to in writing and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in review of CRIP plans and calculations, an extension of time commensurate with the delay in completion of the work thus caused will be granted as provided in Section 8-1.07, "Liquidated Damages," of the Standard Specifications except that the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications shall not apply.

Permits and approvals required of the State have been obtained for the structures shown on the plans. Proposals which result in a deviation in configuration may require new permits or approvals. The Contractor shall be responsible for obtaining the new permits and approvals before the Engineer will reach a decision on the proposal. Delays in obtaining permits and approvals will not be reason for granting an extension of contract time.

All proposed modifications shall be designed in conformance with the bridge design specifications and procedures currently employed by the Department. The proposal shall include all related, dependent or incidental changes to the structure and other work affected by the proposal. The proposal will be considered only when all aspects of the design changes are included for the entire structure. Changes, such as but not limited to, additional reinforcement and changes in location of reinforcement, necessary to implement the CRIP after approval by the Engineer, shall be made at the Contractor's expense.

Modifications may be proposed in (1) the thickness of girder stems and deck slabs, (2) the number of girders, (3) the amount and location of reinforcing steel, and (4) the amount and location of prestressing force in the superstructure. The strength of the concrete used may be increased but the strength employed for design or analysis shall not exceed 48 MPa.

Modifications proposed to the minimum amount of prestressing force which must be provided by full length draped tendons are subject to the provisions in "Prestressing Concrete" of these special provisions.

No modifications will be permitted in (1) the foundation type, (2) the span lengths or (3) the exterior dimensions of columns or bridge superstructure. Fixed connections at the tops and bottoms of columns shown on the plans shall not be eliminated.

The Contractor shall be responsible for determining construction camber and obtaining the final profile grade as shown on the plans.

The Contractor shall reimburse the State for the actual cost of investigating CRIPs for cast-in-place prestressed box girder bridges submitted by the Contractor. The Department will deduct this cost from any moneys due, or that may become due the Contractor under the contract, regardless of whether or not the proposal is approved or rejected.

SLIDING BEARINGS

Sliding bearings consisting of elastomeric bearing pads lubricated with grease and covered with sheet metal shall conform to the following requirements:

A. Grease shall conform to the requirements of Military Specification: MIL-S-8660. A uniform film of grease shall be applied to the upper surface of the pads prior to placing the sheet metal.
B. Sheet metal shall be commercial quality galvanized sheet steel. The sheet metal shall be smooth and free of kinks, bends, or burrs.
C. Construction methods and procedures shall prevent grout or concrete seepage into the sliding bearing assembly.

ELASTOMERIC BEARING PADS

Elastomeric bearing pads shall conform to the provisions in Section 51-1.12H, "Elastomeric Bearing Pads," of the Standard Specifications.

MEASUREMENT AND PAYMENT

Measurement and payment for concrete in structures shall conform to the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for furnishing and installing access opening covers in soffits of new cast-in-place box girder bridges shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge and no separate payment will be made therefor.

Full compensation for furnishing and installing plastic pipe located at vertical drains used behind retaining walls and bridge abutments, including horizontal including excavation and backfill involved in placing the plastic pipe, shall be considered as included in the contract price paid per cubic meter for the various items of concrete work involved and no separate payment will be made therefor.

Full compensation for forming blockouts for seismic joints shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge and no separate payment will be made therefor.

Full compensation for public notification and airborne monitoring for deck crack treatment shall be considered as included in the contract price paid per cubic meter for structural concrete, bridge, and no additional compensation will be allowed therefor.

Full compensation for furnishing and placing 100 mm thick PCC slab at abutment W11R, including furnishing all labor, materials, tools, equipment, and incidentals, shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab Type EQ (3) Mod and no additional compensation will be allowed therefore.

Full compensation for cleaning existing concrete and reinforcement prior to placing new concrete shall be considered as included in the contract price paid per cubic meter for structural concrete (bridge) and no additional compensation will be allowed therefor.

Full compensation for placing and dissolving expanded polystyrene by suitable means approved by the Engineer shall be considered as included in the contract price paid per cubic meter for Structural Concrete (Bridge) and no additional compensation will be allowed therefore.

10-1.60 MINOR CONCRETE (CONCRETE BALLAST)

Minor concrete (concrete ballast) shall conform to the provisions in Section 51, "Concrete Structure," of the Standard Specifications and these special provisions

Minor concrete (concrete ballast) shall be placed within the Hinge K closure portion of the box girder after engagement of Hinge K bearings and prior to removal of Hinge K counterweight.

A bond-breaker shall be provided between the soffit slab of the box girder and the concrete ballast. The ballast shall be sectionalized into areas not larger than 1.0 meter square with plastic joint strips to facilitate possible future removal of the concrete ballast.

The Contractor shall provide suitable means, approved by the Engineer, for measuring the weight of concrete ballast before placement inside the box girder. A record of the weight of concrete ballast placed shall be available to the Engineer at all times during the work involving Hinge K construction and bearing engagement. The Engineer shall have access to the weight measuring means at all times to allow verification of the weight of ballast placed.

Six weeks prior to commencing work of placing concrete ballast inside the box girder cells, the Contractor shall submit a plan for doing the work and means of measuring the weight of ballast. The Contractor's submittal shall conform to the provisions in Section "Working Drawings" of these special provisions. The number of sets of drawings and design calculations shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

Minor concrete (concrete ballast) will be measured by the cubic meter in the completed work.

The contract price paid per cubic meter for minor concrete (concrete ballast) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in measuring and placing concrete ballast including providing bond breaker, plastic joint strips and means for measuring the weight of the ballast complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.61 INJECTABLE CONCRETE

Injectable concrete shall be used to construct walls of the On-Ramp Spine Extension at locations where the tops of new concrete elements are to be placed in contact with the underside of concrete elements. Injectable concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications and these special provisions.

At least two weeks before starting the work the Contractor shall submit, to the Engineer for approval, details of the procedure to be used to assure that placement of the injectable concrete will provide complete bearing at the previously constructed concrete surface.

The nominal slump of injectable concrete shall be from 180-mm to 230-mm with a maximum value of 250-mm. The nominal and maximum slumps given shall be used in lieu of the slumps listed in the table in Section 90-6.06, "Amount of Water and Penetration" of the Standard Specifications. Type F or Type G chemical admixtures may be required to achieve the specified slump. When admixtures are used in accordance with the requirements in Section 90-4, "Admixtures," the slump of the concrete will be measured after the admixture is added.

Injectable concrete will be measured and paid for as structural concrete, (bridge).

10-1.62 SEISMIC JOINT

Seismic joints shall consist of steel deck plates with channel assemblies, support plates, fast setting hydraulic cement concrete, elastomeric concrete, self-consolidating concrete, sealing elements, gutter, and anchorage components and shall be fabricated and installed in joints in bridge decks in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of Standard Specifications, and these special provisions.

Attention is directed to "Cooperation" of these special provisions.

Unless otherwise directed by the Engineer, prior to submitting working drawings, the Contractor shall inspect the hinge blockouts in the adjacent structures constructed by others in Contract No. 04-0120F4. The Contractor shall submit to the Engineer an inspection report for review and approval. This inspection report shall be titled "Blockouts Inspection Report (Hinges KW & KE)" and shall include the actual blockout sizes; potential interference with the blockout reinforcement and seismic anchorages shown on the plans; any conflicts with the details shown on the plans; and recommendations to resolve the conflicts. The Contractor shall allow the Engineer 10 days to review and approve the "Blockouts Inspection Report (HingesKW & KE)."

WORKING DRAWINGS

The Contractor shall submit working drawings in conformance with the provisions in "Working Drawings," of these special provisions, and the requirements specified herein.

Working drawings shall be submitted no later than 90 days after contract award.

Working drawings shall include complete details, information, and drawings of the seismic joints and anchorage components and the method, materials, equipment, and procedures for fabrication and installation that the Contractor proposes to use.

Working drawing submittals shall include the following:

A. Fabrication plans including all details, elevations, and sections of the seismic joint as shown on the plans. All dimensions and design tolerances shall be specified. Fabrication plans for the sizes of deck plates and support plates shall consider roadway super elevations and longitudinal gaps between the plates for sealing elements. Unless otherwise directed by the Engineer, fabrication plans shall conform to the actual blockout sizes and recommendations specified in the approved "Blockouts Inspection Report (Hinges KW & KE)."
B. All ASTM or other material designations.
C. Sections showing all materials comprising the seismic joint with complete details of all individual components including welded splices and connections, welding procedures, and the measures to be taken to prevent steel plate from distortion due to the heat of traction welding.
D. Joint installation plans for strip seals including methods, materials and equipment to be used.
E. Joint installation plans including methods, materials, equipment, sequence, lifting mechanisms and locations, details of temporary anchorage during setting, temperature adjustment devices, method for maintaining full contact between deck plates and support plates during and after installation, installation details at curbs, seal installation details, and other procedures that the Contractor proposes to use for installation of the seismic joints.
F. Fast setting hydraulic cement concrete mix design and placement procedures. The Contractor's proposed fast setting hydraulic cement concrete mix design submittals shall be as specified in "Fast Setting Hydraulic Cement Concrete" in these special provisions.
G. Elastomeric concrete technical information. Technical information shall be furnished from the manufacturer elastomeric concrete proposed for use in the work.
H. Self-consolidating concrete mix design and placement procedures. The Contractor's proposed self-consolidating concrete mix design submittals shall include test results for slump flow, slump flow time to a 500 mm diameter, stability of the concrete mixture, and compressive strengths at 7 and 28 days.
I. Details and procedures of the mock-up construction to demonstrate self-consolidating concrete.
J. List of coating system for seismic joints identifying cleaning and painting of all steel components as specified in "Clean and Paint (Seismic Joints, Joint Seal Assemblies, and PTFE Bearings)" of these special provisions.
K. Anchorage components including concrete blockout details and any additions or rearrangements of the reinforcing steel from that shown on the plans.

L. Storage plans for manufacture storage, interim storage, and on-site storage details including temporary support for the seismic joints.
M. Shipping plans including handling of the seismic joints during transportation. Each shipment of the seismic joint materials shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-l.07, "Certificates of Compliance," of the Standard Specifications. The certificate shall state that the materials and fabrication involved comply in all respects to the specifications and data submitted in obtaining approval.

The final approval of the Contractor's mix design for self-consolidating concrete is contingent upon the successful mock-up construction as specified in "Demonstration Pours" herein.

MATERIALS

Attention is directed to "Welding" of these special provisions. Welding of seismic joint shall conform to AWS D1.5.

Structural steel, including anchor studs, shall conform to "Steel Structures" of these special provisions. Structural steel shall conform to the requirements of ASTM Designation: A 709M, Grade 345. High strength bolts shall conform to the requirements of ASTM Designation: A 449, Type 1. Nuts and washers shall conform to the requirements in Section 75, "Miscellaneous Metal," of the Standard Specifications.

Concrete for filling blockouts shall conform to the requirements in "Fast Setting Hydraulic Cement Concrete," "Elastomeric Concrete," and "Self-Consolidating Concrete" of these special provisions.

Neoprene sheet shall conform to Section 51-1.145, "Strip Waterstops," of the Standard Specifications.

Elastomeric washers shall conform to the requirements specified in Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads," of the Standard Specifications.

Sealing elements shall conform to the requirements in "Sealing Elements" of these special provisions.

Reinforcing steel shall conform to the provisions in "Reinforcement" of these special provisions.

Gutter shall conform to the provisions in "Miscellaneous Metal (Bridge)" of these special provisions.

Fast Setting Hydraulic Cement Concrete

Fast setting hydraulic cement concrete shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

Fast Setting Hydraulic Cement Concrete (FSHCC) shall consist of hydraulic cement, conforming to the requirements below, aggregate, and any admixtures used. The combined aggregate grading used in FSHCC shall be any of the maximum size grading limits specified in Section 90-3.04, "Combined Aggregate Gradings," of the Standard Specifications. The minimum 3 hour compressive strength shall be 14 MPa and the minimum 56 day compressive strength shall be 35 MPa.

FSHCC shall conform to the provisions in Section 90, "Portland Cement Concrete," of the Standard Specifications, except that any cement meeting the requirements specified in ASTM Designation: C 219 and conforming to the following requirements may be used.

TEST DESCRIPTION	REQUIREMENT	TEST METHOD
Contraction in Air	0.053 %, max.	California Test 527, W/C Ratio = 0.39 ± 0.010
Mortar Expansion in Water	0.04 %, max.	ASTM Designation: C 1038
Soluble Chloride*	0.05 %, max.	California Test 422
Soluble Sulfates*	0.30 %, max.	California Test 417
Thermal Stability	90 %, min.	California Test 553
Compressive Strength @ 3 days	17 MPa	ASTM Designation: C 109

*Test is to be done on a cube specimen, fabricated in conformance with the requirements in ASTM Designation: C 109, cured at least 14 days and then pulverized to 100% passing the 300-µm sieve

Type C accelerating chemical admixtures conforming to the provisions in Section 90-4, "Admixtures," of the Standard Specifications may be used. In addition to the admixtures listed on the Department's current list of approved admixtures, citric acid or borax may be used if requested in writing by the cement manufacturer and a sample is submitted to the Engineer. Chemical admixtures, if used, shall be included in the testing for requirements listed in the table above.

Penetration requirements in Section 90-6.06, "Amount of Water and Penetration," of the Standard Specifications shall not apply.

The Contractor shall submit mix designs that include the following:

A. Compressive Strength, at 3 hours, 24 hours, 3 days, 7 days, 28 days and 56 days.
B. Proposed aggregate grading.
C. Mix proportions of hydraulic cement, aggregate and water.
D. Types and amounts of chemical admixtures, if used.

E. Initial and Final set time of a 300mm x 300mm x 138mm concrete block curing at 21 ± 5ºC ambient temperature.
F. Range of ambient temperatures over which the mix design will achieve the required minimum 3 hour and 56 day compressive strengths.

At the Contractor's option, more than one mix design may be submitted to account for ambient temperature variations that exceed the ranges specified herein

FSHCC shall be proportioned and placed by volumetric continuous mixers capable of proportioning cement, water, aggregate and admixtures by volume. Identifying numbers or letters of volumetric continuous mixers shall be located on the front or rear of the equipment. Calibration of the volumetric continuous mixers shall be performed demonstrating that the equipment is capable of delivering the proportioned concrete material per the approved mix design. The volumetric continuous mixers shall produce a log of production data, at no less then 20 minute intervals, showing the proportions of cement, aggregate and water dispatched.

The Contractor shall furnish aggregate moisture determinations per California Test Method 223. During actual placement the percent fine aggregate moisture content to be used shall be limited to a maximum value of 2% more then moisture content determined during calibration.

The aggregate and cement proportioning calibrations shall be determined by weight.

The first 3 volumetric continuous mixers to be used during actual production at the site shall be loaded with all material at least 4 hours prior to placement. A trial mix to be disposed of by the Contractor shall be batched for the Engineer's inspection. The mix size shall be from a minimum 20 second operation of the mixer. At 3 hours after discharge the batched material shall be visibly hard indicating at least a final set.

After the calibration and acceptance of the use of a volumetric continuous mixer, water to account for any allowable change in fine aggregate moisture content may be adjusted just prior to placement. Only the water reducer admixtures, if used, may be adjusted during actual placement.

A Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished with each delivery of aggregate, cement, and admixtures used for calibration tests and shall be submitted to the Engineer with a certified copy of the mass of each delivery.

The concrete curing method shall be as recommended by the manufacturer of the cement and as approved by the Engineer.

Elastomeric Concrete

Elastomeric concrete shall be a polymer binder material mixed with fiberglass fibers and natural sand. The polymer binder shall be polyurethane, or epoxy, or a combination of epoxy and polyurethane. Elastomeric concrete shall formulated to be flexible, have high load bearing capacity and be resistant to spalling and cracking.

The Contractor shall furnish and install elastomeric concrete as shown on the plans and in conformance with these special provisions.

Elastomeric concrete shall be mixed, placed, and cured as recommended by the manufacturer, and as approved by the Engineer. A representative of the elastomeric concrete manufacturer shall be present during mixing and placement of elastomeric concrete.

The elastomeric concrete (binder and aggregate) shall have the following physical properties:

ELASTOMERIC CONCRETE (BINDER AND AGGREGATE)		
PROPERTY	REQUIREMENT	TEST METHOD
* Modulus of Elasticity	2000 MPa, maximum, at 24 hours at 21°± 2°C followed by 13 days at 38°± 2°C	California Test 551
* Compressive Stress	7.0 MPa, minimum, at 24 hours at 21°± 2°C followed by 13 days at 38°± 2°C	California Test 551 (Machine crosshead speed @1.3mm per minute.)
* Impact	4 intervals with no cracks, minimum	Ball Drop*
PCC Saturated Surface-Dry Bond Strength	2.1 MPa, minimum at 24 hours and 21 ± 1°C	California Test 551
* Test specimen is a cast disk 63.5 mm in diameter and 9.5 mm thick. Specimens are conditioned four hours at test temperatures of: 40 C (± 2 C), 20 C (± 2 C), 10 C (± 2 C), & 0 C (± 2 C). A 0.45 kg steel ball is dropped onto the center of the specimen through a plastic guiding tube from an initial height of 1500 mm. The drop height is increased by 150 mm intervals.		

The polymer binder shall have the following physical properties:

POLYMER BINDER		
PROPERTY	REQUIREMENT	TEST METHOD
Tensile Strength	3.45 MPa, minimum, at 7 days at 38°± 1°C	ASTM D 412
	3.45 MPa s , minimum, at 24 hours at 21°± 1°C followed by 13 days at 70°± 2°C	ASTM D 412
Elongation	180 percent, minimum, at 7 days at 38°± 1°C	ASTM D 412
	180 percent, minimum, at 24 hours at 21°± 1°C followed by 13 days at 70°± 2°C	ASTM D 412
Hardness, Type D Durometer, points	45, minimum, at 7 days at 38°± 1°C	ASTM D 2240
	45 points, minimum, at 24 hours at 21°± 1°C followed by 13 days at 70°± 2°C	ASTM D 2240

Elastomeric concrete shall not be used until the Engineer has approved the elastomeric concrete submittal in writing, has inspected the materials, and the manufacturer's technical representative has instructed the Contractor and Engineer in the surface preparation, mixing and application of the elastomeric concrete.

At a minimum, cleaning of the contact surfaces of existing concrete shall be accomplished by abrasive blast cleaning. The concrete and any exposed reinforcing steel shall be free of all rust, paint, grease, asphalt or other foreign materials. Steel contact surfaces shall be cleaned by methods approved by the Engineer to remove all rust, paint, grease, or other foreign materials. Immediately prior to placing the elastomeric concrete, the surfaces shall be re-cleaned by sweeping and pressure jetting, or by other approved means, as necessary to remove any debris which has accumulated during construction or after abrasive blast cleaning. The surface temperature of the areas to be covered shall be within the manufacturer's recommendations when the elastomeric concrete is placed. Methods proposed to heat said surfaces are subject to approval by the Engineer.

Self-Consolidating Concrete

Self-consolidating concrete shall be flowing concrete capable of spreading without segregation to a level state without the use of internal or external vibrators. Self-consolidating concrete shall conform to "Concrete Structures" of these special provisions and the requirements specified herein.

In addition to the chemical admixtures listed on the Department's current list of approved brands of admixtures that may be used, the Contractor may use a viscosity modifying admixture made by a chemical admixture manufacturer for the purpose of producing a self-consolidating concrete. The use of the viscosity modifying admixture shall be in accordance with the manufacturer's recommendations. The combined aggregate grading shall be any of the four maximum size grading limits specified in Section 90-3.04, "Combined Aggregate Gradings," of the Standard Specifications.

The Contractor's proposed mix design shall be pre-qualified for use in the demonstration pour by trial batch reports in conformance with Section 90-9 "Compressive Strength," of the Standard Specifications except that the consistency shall be measured by the slump flow test and bleeding shall be measured by ASTM Designation, C 232, Method A. The slump flow test shall conform to the requirements in ASTM Designation: C 143 except the following:

A. The cone shall be filled in one lift without rodding. The cone shall be placed on a flat, moist, nonabsorbent, rigid base plate that is at least 700mm x 700 mm. The base plate shall have concentric circle marks showing 200-mm and 500-mm diameter circles.
B. Measure the time it takes for the concrete to reach 500 mm diameter circle. Report this as "Slump Flow Time" to the nearest 0.5 seconds.
C. After the concrete ceases to flow, measure the diameter in two perpendicular directions. Report this as "Slump Flow" to the nearest 5 mm.
D. Visually inspect the concrete spread to observe the distribution of coarse aggregate throughout the spread. Measure and record the radial width of any mortar ring without coarse aggregate. If no mortar ring without coarse aggregate exists, report as zero.

Consistency of the self-consolidating concrete shall be determined using the slump flow test method. The self-consolidating concrete shall have a minimum slump flow of 550 mm without segregation. The slump flow shall be selected by the Contractor based on the concrete constituent materials and placement procedures as specified in the approved working drawings.

The percent bleeding shall not exceed 1.5% when determined by ASTM Designation, C 232, Method A except that the container shall be filled in one lift without rodding.

Amendment to California Test 540

The following amendments to California Test 540, "Method of Making, Handling, and Storing Concrete Compressive Test Specimens in the Field," shall only apply to self-consolidating concrete. The Items "a" and "b" under "2. Test Specimen Fabrication" of "C. Preparation of Test Specimens" of California Test 540 shall be amended to read:

a. Place test molds on a firm, flat surface to prevent distortion of the bottom surface. When more than one specimen is to be made from the same batch, make all specimens simultaneously. Fill the mold in one lift with a circular motion of the scoop to distribute the concrete evenly in the mold. Pat sides of the mold lightly by hand, or jig by rocking the mold from side to side.
b. After the sides of the mold have been patted, strike off the surface of the concrete even with the top edge of the mold. Wipe the sides of the mold free of excess concrete and press the lid on to prevent evaporation.

Demonstration Pours

Prior to placing self-consolidating concrete, the Contractor shall construct at least one mock-up to demonstrate that the concrete will flow for the distance required by the proposed construction procedure. The placement of the self-consolidating concrete in the mock-up shall be witnessed by the Engineer.

The mock-up shall have a depth and length equal to that of the blockout detailed on the plans. The width of the mock-up shall be selected by the Contractor based on the distance that the concrete is required to flow according to the proposed construction procedure but shall not be less than 2 meters. The mock-up shall include concrete, reinforcement, and all concrete embedments as shown on the plans and approved working drawings, except that all reinforcement and embedments shall stop 300 mm from both longitudinal ends of the blockout so that concrete can be removed later and tested for segregation. The mock-up shall be covered with removable 27 mm plus or minus 3 mm thick transparent plastic top plate. The Contractor may submit a proposal for approval using multiple transparent plastic top plates to cover the mock-up instead of a single top plate provided that the plates are adequately tied together and are watertight at the vertical edges where joined together as determined by the Engineer. The plastic top plate shall have vent holes of the same size and spacing as those in the support plate.

Acceptance criteria of the self-consolidating concrete shall be as follows:

A. Self-consolidating concrete shall flow under the plastic top plate and shall completely fill the void in the blockout.
B. After consolidation against the plastic top plate is verified, the Contractor shall remove the plastic top plate and shall take a sample of at least 45 kg of concrete from each end of the blockout to check for segregation by comparing coarse aggregate content with mix design values. The coarse aggregate content of each sample shall be determined using California Test 529 and shall not differ from the mix design value by more than 110 kg/m^3.
C. The percent bleeding shall not exceed 1.5% when determined by ASTM Designation, C 232, Method A except that the container shall be filled in one lift without rodding.

If the concrete fails to meet any of the acceptance criteria as determined by the Engineer, additional mock-ups shall be constructed at the Contractor's expense.

The mock-up shall not be part of the permanent structure and shall become the property of the Contractor. The mock-up shall be removed from the work site and shall be disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Highway Right of Way," of the Standard Specifications.

Drill and Bond Dowels

Drilling and bonding dowels shall conform to the details shown on the plans, the provisions in Section 83-2.02D(1), "General," of the Standard Specifications, and these special provisions.

Dowels shall conform to the provisions for bar reinforcement in "Reinforcement" of these special provisions.

If reinforcement is encountered during drilling before the specified depth is attained, the Engineer shall be notified. Unless the Engineer approves coring through the reinforcement, the hole will be rejected and a new hole, in which reinforcement is not encountered, shall be drilled adjacent to the rejected hole to the depth shown on the plans.

Sealing Elements

Sealing elements shall conform to the details shown on the plans and shall be continuous without splices.

Silicone sealing elements shall be 2 component silicone sealant that meets the requirements of Type A and AL seal in Section, 51-1.12F(3), "Materials and Installation," of the Standard Specifications.

Strip seal shall consist of a sealing membrane mechanically locked between two steel members and shall be either of the following systems:

Proprietary Strip Sealing System	Address and Phone Number
WaboCrete Strip Seal System (Type E)	Watson Bowman Acme Corporation 95 Pineview Drive Amherst, N.Y. 14228 (800) 677-4922 www.wbacorp.com
Steelflex Strip Seal Expansion Joint System (Type SSE2)	The D. S. Brown Company 300 E. Cherry Street North Baltimore, OH 45872 (419) 257-3561 www.dsbrown.com

FABRICATION

The seismic joint shall be fabricated in conformance with the details, dimensions, material specifications, and procedures shown in the approved working drawings.

The surfaces between deck plates and support plates shall be in full contact. The contact surfaces between deck plates and support plates shall be within one millimeter in 300 mm and within 2 mm over the longest dimension of the plate measured before applying coatings to steel surfaces.

All plate welds shall be ground smooth. The welds shall not protrude into the sliding surface of the deck plates or support plates.

Sealing compound for caulking between gutter and concrete, as shown on the plans, shall be non-sag or gunnable sealant conforming to the requirements in ASTM Designation: C 920 and shall be Type NS. The sealing compound shall cure as recommended by the manufacturer prior to painting.

Cleaning and painting of all new metal surfaces of the seismic joints, except where embedded in concrete shall conform to the requirements specified in "Clean and Paint (Seismic Joints, Joint Seal Assemblies, and PTFE Bearings)" of these special provisions.

Damage to the seismic joint during shipping or handling shall be cause for rejection of the seismic joint.

Damage to the corrosion protection system shall be repaired to the satisfaction of the Engineer prior to installation.

INSTALLATION

Each seismic joint shall be installed in accordance with the approved working drawings.

The maximum gap between sliding surfaces shall not exceed 2 mm in any unloaded position.

Each seismic joint deck plate shall be installed such that there is no offset in alignment between adjacent plates along the edge surface where strip seal is to be placed.

Each installed seismic joint shall match the finished roadway profile and grades as shown on the plans.

The Contractor shall protect the seismic joint from damage. The Contractor shall protect concrete blockouts and support systems from damage and construction traffic prior to installation of the seismic joints.

Sealing elements not fully bonded to the steel extrusions shall be replaced by the Contractor at the Contractor's expense.

PAYMENT

The contract lump sum price paid for seismic joint at the locations listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in fabricating, furnishing, transporting, storing, and installing the seismic joints, including fast setting hydraulic cement concrete, self-consolidating concrete, elastomeric concrete, bar reinforcing steel, neoprene sheets, gutter, elastomeric washers, anchorage components, mock-up construction, protecting, repairing, cleaning and painting, and sealing elements, including silicone seals and strip seals, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

If a portion or all of the structural steel for use in the seismic joint is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the structural steel for the seismic joint from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000 or by an amount computed at $0.044 per kilogram of structural steel fabricated, whichever is greater, or in the case of each fabrication site located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced $8000 or by $0.079 per kilogram of structural steel fabricated, whichever is greater.

10-1.63 PTFE SPHERICAL BEARING

PTFE spherical bearings, consisting of polytetrafluoro-ethylene (PTFE) and stainless steel bearing surfaces, structural steel plates and anchors, shall conform to the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

PTFE spherical bearings shall be of the expansion type with spherical and sliding bearing surfaces. The manufacturer of the PTFE spherical bearings shall show evidence that PTFE spherical bearings furnished by the same manufacturer and used in conditions similar to this application have had at least 3 years of satisfactory service at each of 2 projects.

A qualified representative of the manufacturer shall be present during installation of the first bearing and shall be available for advice during any remaining installations.

The Contractor shall submit working drawings of the PTFE spherical bearings to the Engineer for approval in conformance with the provisions in "Working Drawings," of these special provisions.

The working drawings for PTFE spherical bearings shall include a description of the method of mechanical interlocking of the PTFE fabric to the metallic substrate for the PTFE bearing sole plate during concrete placement.

Working drawings shall be submitted sufficiently in advance of the start of the affected work to allow time for review by the Engineer and correction by the Contractor of the drawings without delaying the work. The time shall be proportional to the complexity of the work but in no case shall the time be less than 6 weeks after complete drawings and all support data are submitted.

The edge of the corrected original tracing image shall be clearly visible and visually parallel with the edges of the page. A clear, legible symbol shall be provided as near to the upper left side of each page as is feasible within the original print to show the amount of reduction and a horizontal and vertical scale shall be provided on each reduced print to facilitate enlargement to original scale.

PTFE spherical bearings shall be installed on surfaces prepared in conformance with the provisions in Section 55-3.19, "Bearings and Anchorages," of the Standard Specifications.

The manufacturer shall furnish Certificates of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for all material used in the PTFE spherical bearings. The certification shall be supported by a copy of the results of all proof tests performed on the bearings.

PTFE surfaces of PTFE spherical bearings shall be unfilled PTFE fabric made from virgin PTFE oriented multifilament and other fibers. The resin in the filaments shall be virgin PTFE material (not reprocessed) in conformance with the requirements of ASTM Designation: D 4441.

At the highest point of substrate and after compression, the PTFE fabric shall have a minimum thickness of 1.6 mm and a maximum thickness of 3.2 mm.

Flat stainless steel surfaces shall be a weld overlay on structural steel plate, or solid or sheet stainless steel conforming to the requirements of ASTM Designation: A 240, Type 316 with a minimum thickness of 3.2 mm.

Curved stainless steel surfaces shall be solid stainless steel conforming to the requirements of ASTM Designation: A 240, 316.

Curved stainless steel surfaces with dimensions shown on the plans exceeding 101.6 mm in thickness shall be either a weld overlay on structural steel plate or solid stainless steel conforming to the requirements of ASTM Designation: A 240, Type 316. Stainless steel sheet will not be allowed.

When a weld overlay is used for stainless steel surfacing, the overlay shall be placed by submerged arc welding using Type 309L electrodes. The finished overlay shall have a 2.38 mm minimum thickness after welding, grinding, and polishing.

When stainless steel sheets are used for stainless steel surfacing, the sheets shall be attached by perimeter arc welding using Type 309L electrodes. After completion of the weld operation, the stainless steel surface shall be smooth and free from waves.

Steel plates, except stainless steel, shall conform to the requirements of ASTM Designation: A 709/A 709M, Grade 36 [250], 50 [345], or 50W [345W].

Stud connectors shall conform to the provisions in Section 55-2, "Materials," of the Standard Specifications.

Welding of structural steel shall conform to the requirements of AWS D1.1. Welding of structural steel to stainless steel shall conform to the requirements of AWS D1.6.

Convex plate radius dimension tolerances shall be 0.000 to -250 µm. Concave plate radius dimensions shall be +250 to 0.000 µm.

The bearing manufacturer shall have full size convex and concave metal templates for the 2 spherical surfaces of each bearing radius. The templates shall be available to the inspector during all bearing inspections.

The PTFE fabric on spherical or sliding bearing surfaces shall be epoxy bonded and mechanically interlocked to the steel substrate. All bonding shall be done under controlled factory conditions. The mechanical interlock on the spherical concave surface must be integrally machined into the steel substrate. Welded retention grids will not be allowed on the concave surface. Any edges other than the selvage shall be oversown or recessed so that no cut fabric edges are exposed.

After completion of the bonding operation the PTFE surface shall be smooth and free from bubbles.

The surface of the bearing elements shall be controlled such that upon completion of the bearing assembly the PTFE to stainless steel interface shall be in full bearing.

The mating surface of the flat stainless steel with the PTFE surfacing shall have a minimum #8 mirror finish as determined in conformance with the requirements in ANSI Standard B46.1. The mating surface of the curved stainless steel with the PTFE surfacing shall have a finish of less than 0.4 µm root-mean-square (rms), as determined in conformance with the requirements in ANSI Standard B46.1.

Metal surfaces of bearings exposed to the atmosphere and in contact with the structure of the completed work, except stainless steel surfaces, shall be cleaned and painted in conformance with the provisions in "Clean and Paint (Seismic Joints, Joint Seal Assemblies, and PTFE Bearings)," of these special provisions.

PTFE spherical bearing assemblies shall be assembled at the factory. Each assembly shall have a minimum of 4 temporary steel straps that are bolted to threaded holes in the masonry and sole plates so that the entire assembly is shipped as a unit and remains intact when uncrated and installed. Welding of the steel straps will not be allowed. Straps must be adequate for vertical lifting purposes. Bearing dismantling will only be allowed under the direction and in the presence of the Engineer.

During fabrication, the maximum temperature of bonded PTFE surfaces shall be 150°C.

Damaged bearings and bearings with scratched mating surfaces shall be returned to the factory for replacement or resurfacing.

PTFE spherical bearing sole plates shall be temporarily supported during concrete placement. Temporary supports shall prevent the rotation or displacement of the bearing during concrete placing operations. Temporary supports shall not inhibit the functioning of the PTFE spherical bearing after concrete is placed. Temporary supports shall not restrict the movement at bridge joints due to temperature changes and shortening from prestress forces. Materials for temporary supports within the limits for placing concrete shall conform to the requirements for form fasteners.

PTFE spherical bearings shall have a first movement static coefficient of friction not exceeding 0.06.

Prior to proof testing, all bearings shall be permanently die-stamped on 2 of 4 sides with markings consisting of bearing number and contract number. Each bearing shall have a unique bearing number and match marks on plate edges to insure correct assembly at the job site.

Full sized PTFE spherical bearings shall be proof tested and evaluated for compression and coefficient of friction in the presence of the Engineer. The proof tests shall be performed on samples randomly selected by the Engineer from the production bearings to be used in the work. Proof testing shall be performed by the Contractor at the manufacturer's plant or at an approved laboratory. If proof tests cannot be performed at the specified load, the Contractor shall submit to the Engineer for review and approval a testing plan listing additional physical tests. These tests shall be performed in the presence of the Engineer and shall demonstrate that the requirements for proof testing at the specified load are satisfied. The Contractor shall give the Engineer at least 7 days notice before beginning proof testing. Proof testing of PTFE spherical bearings shall conform to the following requirements:

A. One bearing per lot of production bearings shall be proof tested. A lot is defined as 25 bearings or fraction thereof of the same type, within a load category. Bearings in 2 load categories with vertical load capacities within 800 kN of each other will be considered in one load category for testing.
B. Expansion type bearings shall be proof tested for compression and coefficient of friction.
C. A load category shall consist of bearings of differing vertical load capacity within a range defined as follows:

    1. Bearings with less than or equal to 2225 kN maximum vertical load capacity.
    2. Bearings with greater than 2225 kN but less than or equal to 8900 kN maximum vertical load capacity.
    3. Bearings with more than 8900 kN maximum vertical load capacity.

D. Proof tests for compression: The bearing shall be held at the design rotation or 0.02 radians, whichever is greater, for one hour at 1.5 times the maximum vertical load shown on the plans for the bearing. The device shall be in a rotated position during the test. The rotation may be imposed on the bearing by inserting a beveled plate between the bearing and the restraining surface prior to loading.
E. Proof tests for coefficient of friction: The tests shall be performed at the minimum vertical load shown on the plans for the bearing with the test load applied for 12 hours prior to friction measurement and the following:

    1. The tests shall be arranged to allow measurement of the static coefficient of friction on the first movement of the bearing.
    2. The first movement static and dynamic coefficients of friction shall be measured at a sliding speed not exceeding 25 millimeters per minute and shall not exceed the specified coefficient of initial static friction.
    3. The test bearings shall be subjected to a minimum of 100 movements of at least 25 mm of relative movement at a sliding speed not exceeding 300 millimeters per minute. After cycling, the first movement static and dynamic coefficients of friction shall be measured again at a sliding speed not exceeding 25 millimeters per minute and shall not exceed the specified coefficient of initial static friction.

F. The bearing surfaces shall be cleaned prior to proof testing.
G. Proof testing of bearings shall be done after conditioning specimens for 12 hours at 21°±8°C.
H. The proof tested bearings shall show no visible sign of: (1) bond failure of bearing surfaces, (2) separation or lift-off of plates from each other or from PTFE surfaces, or (3) other defects. When a proof tested bearing fails to comply with these specifications, all bearings in that lot shall be individually tested for acceptance.
I. Proof test results shall be certified correct and signed by the testing laboratory personnel who conducted the test and interpreted the test results. Proof test results shall include the bearing numbers of the bearings tested.

Quantities of PTFE spherical bearings will be determined as units from actual count in the completed work. A PTFE spherical bearing with more than one PTFE surface shall be considered a single PTFE spherical bearing.

The contract unit price paid for PTFE spherical bearing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the bearing, complete in place, including masonry and sole plates, anchor bolts and sleeves, mortaring of bolts, temporary supports, proof testing, and cleaning and painting of PTFE spherical bearings, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

If a portion or all of PTFE spherical bearings are either fabricated or tested at a site more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impractical and extremely difficult to ascertain and determine the actual increase in such expenses, it is agreed that payment to the Contractor for PTFE spherical bearings will be reduced $5,000 for each fabrication or testing site located more than 480 air line kilometers from both Sacramento and Los Angeles and an additional $10,000 ($15,000 total) for each fabrication or testing site located more than 4800 air line kilometers from both Sacramento and Los Angeles.

10-1.64 INSTALL HINGE K BEARING ASSEMBLY

This work shall consist of inspection, shipping and installation of spherical bushing ring bearings on Hinge K in conformance with details shown on the plans and the requirements of these special provisions.

The Contractor's attention is directed to the Section, "State-Furnished Materials," of these special provisions.

Each spherical bushing ring bearing is a sliding and rotating type of bearing consisting of segmented anchor ring, anchor studs, spherical housing, anchor bolts, assembly bolts, and spherical bushing ring. Bearings shall be anchored in the concrete diaphragm with studs by either direct embedment or with high strength non-shrink grout as shown on the plans.

WORKING DRAWINGS

The Contractor shall submit working drawings in conformance with the provisions in Section "Working Drawings," of these special provisions.

Working drawings shall include the method, materials, equipment, and procedures of installation that the Contractor proposes to use including the placement of high strength non-shrink grout.

Working drawing submittals shall include the following:

A. Bearing shipping plans including details of handling and supporting of the bearings.
B. Installation plans including methods, materials, equipment, sequence, lifting mechanisms and locations, details of temporary anchorage during setting, and details of temporary support and other procedures that the Contractor proposes to use for installation of the spherical bushing ring bearings.
C. High strength non-shrink grout mix design and method of placement and curing.
D. Storage plans for interim storage, and on-site storage details including temporary support for the spherical bushing ring bearings.

A supplement to the working drawings shall include the following:

A. The spherical bushing ring bearing inspection report as specified in these special provisions. The inspection report shall include the condition of spherical bushing ring bearings and any existing damages that may have occurred prior to the Contractor's receiving the bearings. If no damage is found, the Contractor shall include a statement in the inspection report to state that the spherical bushing ring bearings are in good conditions and acceptable for delivery and installation.

Each working drawing and calculation sheet shall be signed by an engineer who is registered as a Civil Engineer in the State of California.

After complete working drawings and supplement are received by the Engineer, the Contractor shall allow the Engineer 20 working days to review the submittal. No shipping or installation of the spherical bushing ring bearing shall begin until the Engineer has reviewed and approved the working drawing and supplement submittal.

MATERIALS

Steel components including plates and anchor bolts shall conform to the details shown on the plans and the provisions in "Steel Structures," of these special provisions.

Welding to any part of bearing segment shall not be permitted.

The bearing grout shall be high strength, non-shrink grout and shall be a nonmetallic and non-gas-forming flowable fluid containing natural aggregate, Portland Cement and additives and requiring only the addition of water. Grout shall contain a minimum of 390 kg of cement per cubic meter. Grout shall be premeasured and prepackaged by the manufacturer, and shall be suitable for baseplate and foundation grouting. Aggregate shall show no segregation or settlement at fluid consistency at specified times or temperatures. One hour after mixing, the grout shall pass through a flow cone with continuous flow. Grout shall conform to the requirements of ASTM Designation: C1107, and the following additional requirements:

Property	Requirement	Test
Shrinkage	0.0%	ASTM C827
Expansion	0.0%min.,	ASTM C827
	4.0% max.	
Fluid Consistency	20-30 seconds at 5 ^{o}C to 38 ^{o}C	CORPS-CRD-611-81
1 day compressive strength	24 Mpa	ASTM C109
3 day compressive strength	35 Mpa	ASTM C109
28 day compressive strength	52 Mpa	ASTM C109

Bearing grout shall be formulated for minimum initial set time of 4 hours and minimum final set time of 6 hours at 21°C. Prior to use, the materials shall be stored in a cool, dry environment. Grout shall be free from chlorides and other corrosion-causing chemicals. Grout shall be designed for an air content of 4 to 6 percent.

Mix water shall conform to the requirements of Section 90-2.03, "Water," of the Standard Specifications. Cold water shall be used in hot weather conditions to maintain the mixed grout temperature from 7°C to 32°C.

Bearing grout shall be mixed and placed in accordance with the requirements of the manufacturer and these special provisions.

The quantity of water to be blended with the dry component shall be within the limits recommended by the manufacturer. The quantity of water shall be the least amount required to produce a flowable fluid that can be pumped.

Prior to placing bearing grout, the Contractor shall demonstrate to the Engineer that the placement method results in full, void free, contact between the grout and the masonry plate. The size of the demonstration sample shall match the largest grout placement shown on the plans. The Contractor shall revise the placement method, as required, and shall not place bearing grout in the work until the placement method has been approved by the Engineer.

Before using grout material, a minimum of 20 kilograms shall be submitted to the Engineer for testing. The Contractor shall allow the Engineer 45 days for the testing. Additionally, the Contractor shall submit for approval, specific printed manufacturer's product data, curing methods and proposed methods for keeping the surface wet prior to grout application for each grout location. Bearing grout properties shall not be based on gas or gypsum expansion.

INSTALLATION

Prior to transporting the spherical bushing ring bearings to the bridge site, the Contractor shall inspect each spherical bushing ring bearing at the storage location as specified in these special provisions. The Contractor shall furnish to the Engineer a spherical bushing ring bearing inspection report as specified in the working drawing and supplement.

Spherical bushing ring bearings shall be secured to shipping skids in a manner that assures protection during transportation, on-loading, and off-loading. Each skid shall be wrapped in moisture proof and dust proof covers.

Spherical bushing ring bearings damaged during loading, shipping, and installation shall be replaced by the Contractor at the Contractor's expense as specified in Section 6-1.02, "State-Furnished Materials," of the Standard Specification. No extension of time or compensation will be made for manufacturing, furnishing, testing, and installing replaced spherical bushing ring bearing.

Damage to the corrosion protection system shall be repaired to the satisfaction of the Engineer prior to installation.

A qualified representative of the manufacturer shall be present during installation of all spherical bushing ring bearings. Spherical bushing ring bearings shall be installed in accordance with the approved working drawings and supplement and the recommendations of the manufacturer's installation technician. The spherical bushing ring bearing manufacturer's installation technician shall certify to the Engineer that the approved installation procedures were followed. All certifications to the Engineer shall be in writing and shall be signed and dated by the manufacturer's installation technician.

The Contractor shall protect the pipe beam stainless steel surface during the installation of the spherical bushing ring bearings. No damage to the stainless steel surface shall be permitted.

The following requirements shall apply if the Contractor elects to use non-shrink grout to secure segmented anchor rings as shown on the plans:

Spherical bushing ring bearing segmented anchor rings shall be temporarily supported during grout operations. Temporary supports shall prevent the rotation or displacement of the bearing during grout operation. Temporary supports shall not inhibit the functioning of the spherical bushing ring bearing after grout has set. Temporary supports shall not restrict the movement at bridge joints due to temperature changes and shortening from prestressing forces. Materials for temporary supports within the limits for placing concrete shall conform to the requirements for form fasteners.

Prior to the bearing grout being placed, the contact surfaces of previously cast concrete shall be cleaned by abrasive blast to clean the concrete and any exposed reinforcing steel, as necessary, and to remove all rust, paint, grease, asphalt or other foreign materials. A minimum of 3 mm of concrete shall be removed. Steel contact surfaces shall be cleaned by methods approved by the Engineer to remove all rust, paint, grease, or other foreign materials. Immediately prior to placing the grout, the surfaces shall be recleaned by air blasting, or by other approved means, as necessary to remove any debris that has accumulated during construction or after abrasive blast cleaning. Prior to grouting, all concrete contact surfaces shall be kept constantly wet for a period of 24-hours. The surface temperature of the areas to be covered shall be between 7°C and 32°C when the grout is placed. Methods proposed to heat said surfaces are subject to approval by the Engineer. The condition of the concrete contact surface shall be saturated surface-dry when the grout is placed.

Forms shall be nonabsorbent and watertight, and shall conform to the requirements of Section 51-1.05, "Forms," of the Standard Specifications. Forms shall extend 50 mm higher than the top surface of the grout to be placed.

The grout shall be pumped continuously and shall fill the entire space provided for grouting. Grout shall be continuously agitated until pumped. The Contractor shall provide injection and ejection vents with positive shut-offs. Grout shall be allowed to flow from the ejection vent until all entrapped air has been removed, at which time the vent shall be capped or otherwise closed.

Bearing grout shall be cured in accordance with the method specified in the approved working drawing and supplement. Immediately after placed, grout shall be wet cured by covering all the exposed grout with wet rags. Burlap shall not be used to cover the exposed grout surface. The grout surface shall be kept moist until final set. Following removal of damp rags, the grout shall be sprayed with two coats of curing compound (1) of Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

The Contractor shall provide a flow cone and cube molds with restraining plates onsite for field evaluation of bearing grout. Three 50 mm by 50 mm cubes shall be made by the Contractor for each 0.5 cubic meter of grout used. Restraining caps shall be provided for the cube molds in accordance with CRD-C-621-83. Store cubes at 21°C. Test reports for cubes shall be submitted to the Engineer for approval.

MEASUREMENT AND PAYMENT

Install Hinge K bearing assembly will be measured and paid for by the unit as determined from actual count in the completed work.

The contract unit price paid for install Hinge K bearing assembly shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in inspection prior to shipping, transport,, inspection by a qualified representative of the spherical bushing bearing manufacturer, and installation of the spherical bushing ring bearings in final position, including bearing grout placement and temporary support, complete in place, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for demonstrating bearing grout methods to the Engineer shall be considered as included in the contract unit price paid for install Hinge K bearing assembly and no additional compensation will be allowed therefor.

10-1.65 PRECAST CONCRETE SLAB (BIKE PATH)

Precast concrete slab (bike path) shall conform to the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions.

The Contractor shall submit to the Engineer working drawings for precast concrete slab in accordance with the requirements in Section "Working Drawings" of these special provisions. The number of sets of drawings and times for review shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications. The working drawings shall include, but not be limited to, the following:

A. Erection plans showing the placement sequence and location of each individual slab.
B. Detailed dimensions of each slab.
C. Reinforcement placement details.
D. Lifting insert locations and details.

Grout shall conform to the requirements in Section 51-1.13, "Bonding," of the Standard Specifications.

Except where otherwise shown on the plans, the top surface of the slab shall be given a coarse texture by brooming with a stiff bristled broom or by other suitable devices which will result in uniform transverse scoring, in advance of curing operations. The requirements of the seventh paragraph of Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications shall not apply.

After the concrete slabs are in final position, the closure pour shall be placed.

Longitudinal joints shall be filled with grout as shown on the plans.

No equipment or other loads will be allowed on spans until at least 72 hours after the closure pour has been placed or the last grout has been placed in the longitudinal joints.

BIKE PATH GRATINGS

Bike path gratings shall be in conformance with the requirements of Section 56-1.02F "Steel Walkway Gratings" of the Standard Specifications.

Attention is directed to "Miscellaneous Metal (Bridge)" of these special provisions for provisions regarding fiberglass grating attachment assemblies.

PAYMENT

Full compensation for furnishing and placing closure pours, grouting longitudinal joints, neoprene pad, and placing leveling grout pads shall be considered as included in the contract unit price paid for erect precast concrete slab (bike path) and no additional compensation will be allowed therefor.

Full compensation for furnishing and installing bike path gratings, support angles and attachment assemblies shall be considered as included in the contract prices paid for the items of work involved in furnishing and erecting the precast concrete slab (bike path)

10-1.66 STRUCTURE APPROACH SLABS (TYPE EQ(3) MOD)

This work shall consist of constructing reinforced concrete approach slabs, structure approach drainage system, and treated permeable base at structure approaches in conformance with the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

GENERAL

Attention is directed to "Engineering Fabrics" of these special provisions.

STRUCTURE APPROACH DRAINAGE SYSTEM

Geocomposite Drain

Geocomposite drain shall consist of a manufactured core not less than 6.35 mm thick nor more than 50 mm thick with one or both sides covered with a layer of filter fabric that will provide a drainage void. The drain shall produce a flow rate through the drainage void of at least 25 liters per minute per meter of width at a hydraulic gradient of 1.0 and a minimum externally applied pressure of 168 kPa.A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications shall be furnished for the geocomposite drain certifying that the drain complies with these special provisions. The Certificate of Compliance shall be accompanied by a flow capability graph for the geocomposite drain showing flow rates and the externally applied pressures and hydraulic gradients. The flow capability graph shall be stamped with the verification of an independent testing laboratory.

Filter fabric for the geocomposite drain shall conform to the provisions for filter fabric for underdrains in Section 88, "Engineering Fabrics," of the Standard Specifications.

The manufactured core shall be either a preformed grid of embossed plastic, a mat of random shapes of plastic fibers, a drainage net consisting of a uniform pattern of polymeric strands forming 2 sets of continuous flow channels, or a system of plastic pillars and interconnections forming a semirigid mat.

The core material and filter fabric shall be capable of maintaining the drainage void for the entire height of geocomposite drain. Filter fabric shall be integrally bonded to the side of the core material with the drainage void. Core material manufactured from impermeable plastic sheeting having non-connecting corrugations shall be placed with the corrugations approximately perpendicular to the drainage collection system.

The geocomposite drain shall be installed with the drainage void and the filter fabric facing the embankment. The fabric facing the embankment side shall overlap a minimum of 75 mm at all joints and wrap around the exterior edges a minimum of 75 mm beyond the exterior edge. If additional fabric is needed to provide overlap at joints and wraparound at edges, the added fabric shall overlap the fabric on the geocomposite drain at least 150 mm and be attached thereto.

Should the fabric on the geocomposite drain be torn or punctured, the damaged section shall be replaced completely or repaired by placing a piece of fabric that is large enough to cover the damaged area and provide a 150 mm overlap.

Plastic Pipe

Plastic pipe shall conform to the provisions for pipe for edge drains and edge drain outlets in Section 68-3, "Edge Drains," of the Standard Specifications.

Drainage Pads

Concrete for use in drainage pads shall be minor concrete, except the concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

Treated permeable base to be placed around the slotted plastic pipe at the bottom of geocomposite drains shall conform to the provisions in "Treated Permeable Base Under Approach Slab." If asphalt treated permeable base is used, it shall be placed at a temperature of not less than 82°C nor more than 110°C.

The filter fabric to be placed over the treated permeable base at the bottom of geocomposite drains shall conform to the provisions for filter fabric for edge drains in Section 88, "Engineering Fabrics," of the Standard Specifications.

ENGINEERING FABRICS

Filter fabric to be placed between the structure approach embankment material and the treated permeable base shall conform to the provisions for filter fabric for edge drains in Section 88, "Engineering Fabrics," of the Standard Specifications and these special provisions.

The subgrade to receive the filter fabric, immediately prior to placing, shall conform to the compaction and elevation tolerance specified for the material involved.

Filter fabric shall be aligned, handled, and placed in a wrinkle-free manner in conformance with the manufacturer's recommendations.

Adjacent borders of the filter fabric shall be overlapped from 300 to 450 mm or stitched. The preceding roll shall overlap the following roll in the direction the material is being spread or shall be stitched. When the fabric is joined by stitching, it shall be stitched with yarn of a contrasting color. The size and composition of the yarn shall be as recommended by the fabric manufacturer. The number of stitches per 25 mm of seam shall be 5 to 7.

Equipment or vehicles shall not be operated or driven directly on the filter fabric.

TREATED PERMEABLE BASE UNDER APPROACH SLAB

Treated permeable base under structure approach slabs shall consist of constructing either an asphalt treated permeable base or a cement treated permeable base in accordance with Section 29, "Treated Permeable Bases," of the Standard Specifications and these special provisions.

The type of treatment to be used shall be at the option of the Contractor.

The Contractor shall notify the Engineer in writing, not less than 30 days prior to the start of placing the treated permeable base, which type of treated permeable base will be furnished. Once the Contractor has notified the Engineer of the selection, the type to be furnished shall not be changed without a prior written request to do so and approval thereof in writing by the Engineer.

Asphalt treated permeable base shall be placed at a temperature of not less than 93°C nor more than 121°C. Material stored in excess of 2 hours shall not be used in the work.

Asphalt treated permeable base material may be spread in one layer. The base material shall be compacted with a vibrating shoe type compactor or rolled with a roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Rolling shall begin as soon as the mixture has cooled sufficiently to support the weight of the rolling equipment without undue displacement.

Cement treated permeable base material may be spread in one layer. The base material shall be compacted with either a vibrating shoe type compactor or with a steel-drum roller weighing at least 1.3 tonnes but no more than 4.5 tonnes. Compaction shall begin within one-half hour after the spreading operation and shall consist of 2 complete coverages of the treated material.

APPROACH SLABS

Concrete for use in approach slabs shall contain not less than 400 kilograms of cementitious material per cubic meter.

Structure approach slabs shall be cured for not less than 5 days prior to opening to public traffic, unless, at the option of the Contractor, the structure approach slabs are constructed using concrete with a nonchloride Type C chemical admixture conforming to these special provisions.

Portland cement for use in concrete using a nonchloride Type C chemical admixture shall be Type II or Type III conforming to the provisions in Section 90-2.01, "Cementitious Materials," of the Standard Specifications. Mortar containing the Type II portland cement to be used and Ottawa sand shall not contract in air more than 0.053 percent when tested in conformance with California Test 527.

The nonchloride Type C chemical admixture, approved by the Engineer, shall conform to the requirements in ASTM Designation: C 494/C 494M and Section 90-4, "Admixtures," of the Standard Specifications.

The concrete with nonchloride Type C chemical admixture shall be prequalified prior to placement in conformance with the provisions for prequalification of concrete specified by compressive strength in Section 90-9.01, "General," of the Standard Specifications and the following:

A. Immediately after fabrication of the 5 test cylinders, the cylinders shall be stored in a temperature medium of 21°C ± 1.5°C until the cylinders are tested.
B. The 6-hour average strength of the 5 test cylinders shall not be less than 5.85 MPa. Not more than 2 test cylinders shall have a strength of less than 5.5 MPa.

Building paper shall be commercial quality No. 30 asphalt felt.

The top surface of approach slabs shall be finished and treated in conformance with the provisions for decks in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications. Edges of slabs shall be edger finished.

Approach slabs shall be cured with pigmented curing compound (1) in conformance with the provisions for curing structures in Section 90-7.01B, "Curing Compound Method," of the Standard Specifications.

Structure approach slabs constructed using concrete with a nonchloride Type C chemical admixture shall be cured for not less than 6 hours prior to opening to public traffic. The curing period shall be considered to begin at the start of discharge of the last truckload of concrete to be used in the slab.

If the ambient temperature is below 18°C during the curing period for approach slabs using concrete with a nonchloride Type C chemical admixture, an insulating layer or blanket shall be used to cover the surface. The insulating layer or blanket shall have an R-value rating given in the table below. At the Contractor's option, a heating tent may be used in lieu of or in combination with the insulating layer or blanket.

Temperature Range During Curing Period	R-value, minimum
13°C to 18°C	1
7°C to 13°C	2
4°C to 7°C	3

JOINTS

Hardboard and expanded polystyrene shall conform to the provisions in Section 51-1.12D, "Sheet Packing, Preformed Pads, and Board Fillers," of the Standard Specifications.

Type AL joint seals shall conform to the provisions in Section 51-1.12F, "Sealed Joints" of the Standard Specifications. The sealant may be mixed by hand-held, power-driven agitators and placed by hand methods.

The pourable seal between the steel angle and concrete barrier shall conform to the requirements for Type A and AL seals in Section 51-1.12F(3), "Materials and Installation," of the Standard Specifications. The sealant may be mixed by hand-held, power-driven agitators and placed by hand methods. Immediately prior to placing the seal, the joint shall be thoroughly cleaned, including abrasive blast cleaning of the concrete surfaces, so that all foreign material and concrete spillage are removed from all joint surfaces. Joint surfaces shall be dry at the time the seal is placed.

MEASUREMENT AND PAYMENT

Structural concrete, approach slab (Type EQ (3) MOD) will be measured and paid for in conformance with the provisions in Section 51-1.22, "Measurement," and Section 51-1.23, "Payment," of the Standard Specifications and these special provisions.

Full compensation for the structure approach drainage system including geocomposite drain, plastic pipe, drainage pads, treated permeable base, filter fabric, woven tape fabric, expansion joint filler and bar reinforcement, shall be considered as included in the contract price paid per cubic meter for structural concrete, approach slab (Type EQ(3) -MOD), and no additional compensation will be allowed therefor.

10-1.67 UNDERGROUND UTILITY ENCASEMENT

The work shall consist of constructing the reinforced concrete encasement for the following underground facilities, at Station E 51+46, in conformance with the detail shown on the plan, and these special provisions:

50-mm Gas (Navy)
100-mm Water (Caltrans)
(2) 300-mm Water (Navy)

Concrete for the reinforced concrete encasement shall conform to the provisions in Section 51-0.2, "Minor Structures," of the Standard Specifications.

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications.

Allowable Construction Load

The maximum allowable construction load applied on the existing soil covered over the reinforced concrete encasement is 280 kPa.

The loading from the falsework or the Contractor's equipment shall not exceed the maximum allowable construction load of 280kPa.

The Contractor shall not perform work on abutment W11R, bents W10AL and W10L at the vicinity of the installed underground utilities with the reinforced concrete encasement, until the reinforced concrete encasement have been constructed, and approved by the Engineer.

If the construction loads to be imposed, including equipment loads, exceed the allowable-construction load of 280kPa., the Contractor shall take measures necessary to protect the installed underground utilities with the reinforced concrete encasement including designing and constructing a load carrying structure to ensure that the construction loads are not exceeded the maximum allowable construction load.

The Contractor shall submit to the Engineer a utility protection plan for approval at least 30 days prior to constructing the work. The plan shall include the types of loads and values of all loads to be imposed, and methods, equipment, details and calculations for the Contractor's plan to protect the utilities from damage. Fifteen days shall be allowed for the Engineer's review of the utility protection plan. Work shall not begin until the Engineer has approved the utility protection plan.

Full compensation for taking measures necessary to protect the installed underground utilities with the reinforced concrete encasement including utility protection plan shall be considered as included in the contract price paid for the various items of work involved and no additional compensation will be allowed therefor.

MEASUREMENT AND PAYMENT

Reinforced concrete encasement for the underground utility encasement will be measured and paid for as minor concrete (minor structure).

Full compensation for furnishing all labor, materials including reinforcement, tools, equipment, and incidentals shall be considered as included in the contract price paid per cubic meter for minor concrete (minor structure) and no separate payment will be made therefor.

10-1.68 JOINT SEAL ASSEMBLIES (MAXIMUM MOVEMENT RATING, 100 mm)

Joint seal assemblies shall conform to the details shown on the plans, the provisions in Section 51, "Concrete Structures," of the Standard Specifications, and these special provisions.

All metal parts of the joint seal assembly shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications. Bolts, nuts, and washers shall conform to the provisions for components of high-strength steel fastener assemblies in Section 75-1.02, "Miscellaneous Iron and Steel," of the Standard Specifications.

At the Contractor's option, cleaning and painting of all new metal surfaces of the joint seal assembly, except stainless steel and anchorages embedded in concrete, may be substituted for galvanizing. Cleaning and painting shall be in conformance with the provisions in "Clean and Paint (Seismic Joints, Joint Seal Assemblies, and PTFE Bearings)" of these special provisions.

Sheet neoprene shall conform to the provisions for neoprene in Section 51-1.14, "Waterstops," of the Standard Specifications. The sheet neoprene shall be fabricated to fit the joint seal assembly accurately.

Metal parts of the joint seal assembly shall be pre-assembled before installation to verify the geometry of the completed seal.

The bridge deck surface shall conform to the provisions in Section 51-1.17, "Finishing Bridge Decks," of the Standard Specifications prior to placing and anchoring the joint seal assembly.

The assembly shall be placed in a blocked out recess in the concrete deck surface. The depth and width of the recess shall permit the installation of the assembly anchorage components or anchorage bearing surface to the lines and grades shown on the plans.

Sheet neoprene shall be installed at such time and in such manner that the sheet neoprene will not be damaged by construction operations. The joint shall be cleaned of all dirt, debris and other foreign material immediately prior to installation of the sheet neoprene.

ALTERNATIVE JOINT SEAL ASSEMBLY

At the Contractor's option, an alternative joint seal assembly may be furnished and installed provided: (1) that the quality of the alternative and its suitability for the intended application are at least equal to that of the joint seal assembly shown on the plans, (2) that acceptable working drawings and a Certificate of Compliance are furnished as specified herein and (3) that the alternative conforms to the following requirements:

A. The determination as to the quality and suitability of a joint seal assembly will be made in the same manner as provided in Section 6-1.05, "Trade Names and Alternatives," of the Standard Specifications. The factors to be considered will include: the ability of the assembly to resist the intrusion of foreign material and water throughout the full range of movement for the application, and the ability to function without distress to any component.
B. Joint seal assemblies will not be considered for approval unless it can be proven that the assembly has had at least one year of satisfactory service under conditions similar to this application.
C. The Contractor shall submit complete working drawings for each joint seal assembly to the Engineer for approval in conformance with "Working Drawings" of these special provisions. The working drawings shall show complete details of the joint seal assembly and anchorage components and the method of installation to be followed, including concrete blockout details and additions or rearrangements of the reinforcing steel from that shown on the plans.
D. The working drawings shall be supplemented with calculations for each proposed joint seal assembly, as requested by the Engineer.. The design firm's name, address, and telephone number shall be shown on the working drawings. Each sheet shall be numbered in the lower right hand corner and shall contain a blank space in the upper right hand corner for future contract sheet numbers.
E. Calculations, when requested, and working drawings, shall be stamped and signed by an engineer who is registered as a Civil Engineer. The Contractor shall allow the Engineer 4 weeks to review the drawings after a complete set has been received.
F. Each shipment of joint seal materials shall be accompanied by a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The certificate shall state that the materials and fabrication involved comply in all respects to the specifications and data submitted in obtaining the approval.
G. The elastomer portion of the joint seal assembly shall be neoprene conforming to the requirements in Table 1 of ASTM Designation: D 2628 and the following, except that no recovery tests or compression-deflection tests will be required:

PROPERTY	Test Method	Requirement
Hardness, Type A Durometer, points	ASTM D 2240 (Modified)	55-70
Compression set, 70 hours at 100°C, maximum, percent	ASTM D 395 (Modified)	40

H. All metal parts of an alternative joint seal assembly shall conform to the requirements above for the joint seal assembly shown on the plans. At the Contractor's option, metal parts may conform to the requirements in ASTM Designation: A 572/A 572M.
I. The assembly and its components shall be designed to support the AASHTO HS20-44 loading with 100 percent impact. The tire contact area used to distribute the tire loads shall be 244 mm, measured normal to the longitudinal axis of the assembly, by 508 mm wide. The assembly shall provide a smooth riding joint without slapping of components or wheel tire rumble.
J. The Movement Rating of the assembly shall be measured normal to the longitudinal axis of the assembly. The dimensions for positioning the assembly within the Movement Rating during installation shall be measured normal to the longitudinal axis, disregarding any skew of the deck expansion joint.
K. The assembly shall have cast-in-place anchorage components forming a mechanical connection between the joint components and the concrete deck.
L. The maximum depth and width of the recess shall be such that the primary reinforcement to provide the necessary strength of the structural members is outside the recess. The maximum depth of the recess at abutments and at hinges shall be 250 mm. The maximum width of the recess on each side of the expansion joint shall be 300 mm.
M. All reinforcement other than the primary reinforcement shall continue through the recess construction joint into the recess and engage the anchorage components of the assembly.
N. Horizontal angle points and vertical corners at curbs in assemblies shall consist of either pre-molded sections or standard sections of the joint seal assembly that have been specially miter cut or bent to fit the structure.
O. The elastomer portion of the assembly shall be installed in conformance with the manufacturer's recommendations at such time and in such a manner that the elastomer portion will not be damaged by construction operations. The joint and blockout shall be cleaned of all dirt, debris, and other foreign material immediately prior to the installation of the elastomer.

Full compensation for additional materials or work required because of the application of the optional cleaning and painting or the use of an alternative type joint seal assembly, shall be considered as included in the contract price paid per meter for the joint seal assembly involved and no additional compensation will be allowed therefor.

10-1.69 POLYESTER CONCRETE OVERLAY

This work shall include furnishing, testing, and application of methacrylate resin prime coat and polyester concrete overlay as shown on the plans and as specified in these special provisions.

Before starting pavement overlay work, the Contractor shall submit plans in conformance with Section "Working Drawings," of these special provisions for the following:

A. Public safety plan for the use of methacrylate resin and polyester concrete
B. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin and polyester concrete shall include details for the following:

A. Shipping
B. Storage
C. Handling
D. Disposal of residual methacrylate resin, polyester concrete, and the containers

When overlay work is to be conducted within 31 meters of a residence, business, or public space, including sidewalks under a structure, the Contractor shall notify the public at least 7 days before starting work and monitor airborne emissions during the work. Public notification and monitoring of airborne emissions shall conform to the following:

A. The public safety plan shall include a copy of the notification letter and a list of addresses and locations where the letter will be delivered and posted. The letter shall state the overlay work locations, dates, times, and what to expect. The letter shall be delivered to each residence and each business within 31 meters of the overlay work. The letter shall be delivered to local fire and police responders, and it shall be posted at the job site.
B. The public safety plan shall include an airborne emissions monitoring plan prepared by a certified industrial hygienist and a copy of the hygienist's certification. Airborne emissions shall be monitored at a minimum of 4 points including the point of mixing, the point of application, and the point of nearest public contact, as determined by the Engineer. At the completion of overlay work, a report by the certified industrial hygienist with results of the airborne emissions monitoring plan shall be submitted to the Engineer.

The placement plan for construction shall include the following:

A. Schedule of overlay work for each bridge. The schedule shall be consistent with "Maintaining Traffic" of these special provisions and shall include time for the Engineer to perform California Test 342.
B. Methods and materials to be used, including the following:

    1. Description of equipment for applying the methacrylate resin
    2. Description of equipment for measuring, mixing, placing., and finishing the polyester concrete overlay
    3. Cure time for the polyester concrete
    4. Description of equipment for applying the sand

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin and polyester concrete, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

Before beginning polyester pavement overlay work, the cast-in-place portland cement concrete pavement surface shall be prepared as specified in "Prepare Concrete Pavement Surface" of these special provisions.

MATERIALS

Before using methacrylate resin and polyester concrete, a Material Safety Data Sheet shall be submitted for each shipment of methacrylate and polyester resins.

Before placing polyester concrete, the pavement shall receive a methacrylate resin prime coat. Methacrylate resin shall be low odor, wax free, and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

METHACRYLATE RESIN PRIME COAT		
PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.025 Pa s , maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C)	ASTM D 2196
* Specific Gravity	0.90 minimum, at 25°C	ASTM D 1475
* Flash Point	82°C, minimum	ASTM D 3278
* Vapor Pressure	1.0 mm Hg, maximum, at 25°C	ASTM D 323
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21±1°C	California Test 551
* Test shall be performed before adding initiator.		

Polyester concrete shall consist of polyester resin binder and dry aggregate. The resin shall be an unsaturated isophthalic polyester-styrene co-polymer conforming to the following:

POLYESTER RESIN BINDER		
PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.075 to 0.200 Pa s (RVT, No. 1 Spindle, 20 RPM at 25°C	ASTM D 2196
* Specific Gravity	0.075 to 0.200 Pa s (RVT, No. 1 Spindle, 20 RPM at 25°C	ASTM D 1475
Elongation	35 percent, minimum Type I at 11.5 mm/min. Thickness = 6.5 ± 1 mm	ASTM D 638
	Sample Conditioning: 18/25/50 + 5/70	ASTM D 618
Tensile Strength	17.5 MPa, minimum Type I at 11.5 mm/min. Thickness = 6.5 ± 1 mm	ASTM D 638
	Sample Conditioning: 18/25/50 + 5/70	ASTM D 618
* Styrene Content	40 percent to 50 percent by mass	ASTM D 2369
Silane Coupler	1.0 percent, minimum (by mass of polyester styrene resin)	
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21 ± 1°C	California Test 551
* Static Volatile Emission	60 gram per square meter, loss, maximum	South Coast Air Quality Management District, Standard Method
* Test shall be performed before adding initiator.		

The silane coupler shall be an organosilane ester, gammamethacryloxypropyltrimethoxysilane. The promoter shall be compatible with suitable methyl ethyl ketone peroxide and cumene hydroperoxide initiators.

Aggregate for polyester concrete shall conform to the provisions in Section 90-2.02, "Aggregates," of the Standard Specifications and either of the following combined aggregate gradings:

COMBINED AGGREGATE		
Sieve Size	Percentage Passing	
	9.5 mm Max.	4.75 mm Max.
12.5 mm	100	100
9.5 mm	83 - 100	100
4.75 mm	65 - 82	62 - 85
2.36 mm	45 - 64	45 - 67
1.18 mm	27 - 48	29 - 50
600 µm	12 - 30	16 - 36
300 µm	6 - 17	5 - 20
150 µm	0 - 7	0 - 7
75 µm	0 - 3	0 - 3

Aggregate retained on the 2.36 mm sieve shall have a maximum of 45 percent crushed particles when tested in conformance with California Test 205. Fine aggregate shall consist of natural sand.

The polyester resin binder in the concrete shall be approximately 12 percent by weight of the dry aggregate; the exact percentage will be determined by the Engineer.

The average of coarse and fine aggregate absorption shall not exceed one percent as determined by California Tests 206 and 207.

At the time of mixing with the resin, the moisture content of the aggregate, as determined by California Test 226, shall not exceed one half of the aggregate absorption.

TESTING

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate and polyester resins before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before constructing the overlay, one or more trial overlays shall be placed on a previously constructed concrete base to determine the initial set time and to demonstrate the effectiveness of the methacrylate resin primecoat and also the polyester concrete mixing, placing, and finishing equipment. Each trial overlay shall be at least 3.6 m wide, at least 1.8 m long, and the same thickness as the overlay to be constructed. The trial overlay areas shall be within the project limits and at a location approved by the Engineer. Weather and pavement conditions during the test work shall be similar to those expected on the pavement for production work. Equipment used for testing shall be similar to those used for pavement overlay production work.

All materials used in the trial overlays, including the concrete base, shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Right of Way," of the Standard Specifications.

CONSTRUCTION

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

When magnesium phosphate concrete is placed before the pavement overlay, the prime coat shall not be placed on this concrete until at least 72 hours after final set.

When modified high alumina based concrete is placed before the pavement overlay, the prime coat shall not be placed on this concrete until at least 30 minutes after final set.

Before applying the methacrylate resin prime coat, the area to receive the prime coat shall be dry and blown clean by compressed air to remove accumulated dust and any other loose material. The prepared surface temperature shall be at least 10°C and not more than 38°C, and the relative humidity less than 85 percent when the prime coat is applied.

The prime coat shall be uniformly applied to completely cover the surface to receive the polyester concrete. The rate of spread shall be approximately 2.2 square meters per liter.

Immediately after the prime coat has been applied, the polyester concrete overlay shall be placed.

Polyester concrete shall be mixed in mechanically operated mixers. The resin binder shall be initiated and thoroughly blended just before mixing with aggregate. The polyester concrete shall be mixed for a minimum of 2 minutes before placing. Mixer size shall be limited to a 0.25-cubic meter capacity, unless approved by the Engineer.

A continuous mixer, employing an auger screw/chute device, may be approved for use by the Engineer contingent on a demonstration that the device can consistently produce a satisfactory product. The continuous mixer shall (1) be equipped with a metering device that automatically measures and records the aggregate volumes and the corresponding resin volumes, and (2) have a readout gage, visible to the Engineer at all times, that displays the volumes being recorded. The volumes shall be recorded at no greater than 5 minute intervals along with the time and date of each recording. A printout of the recordings shall be furnished to the Engineer at the end of each workshift.

The amount of initiator used in polyester concrete shall be sufficient to produce an initial set time between 30 minutes and 120 minutes during placement. The initial set time will be determined by using an initial-setting time Gillmore needle in conformance with the requirements in ASTM Designation: C 266. Accelerators or inhibitors may be required to achieve proper set times and shall be used as recommended by the resin supplier.

Polyester concrete shall be placed before gelling and within 15 minutes following addition of initiator, whichever occurs first. Polyester concrete that is not placed within this time shall be discarded.

The finishing equipment shall be used to strike off the polyester concrete to the established grade and cross section. Finishing equipment shall be fitted with vibrators or other mechanisms capable of consolidating the polyester concrete to the required compaction.

The polyester concrete shall be consolidated to a relative compaction of not less than 97 percent in conformance with California Test 552.

The smoothness of the polyester concrete surface will be tested with a straightedge. The surface shall not vary by more than 6 mm from the lower edge of a 3.6 m±0.06 m long straightedge placed in any direction. Any surfaces that fail to conform to the above tolerance shall be ground in conformance with Section 42, "Groove and Grind Pavement" of the Standard Specifications until the above tolerance is met.

Polyester concrete surfaces shall receive an abrasive sand finish. The sand shall be commercial quality blast sand conforming to the quality and dryness requirements for polyester concrete aggregate as specified in these special provisions. Ninety-five percent of the sand shall pass the 2.36-mm sieve, and 95 percent shall be retained on the 850-µm sieve.

The sand finish shall be uniformly applied immediately after overlay strike-off and before gelling occurs to provide a minimum uniform coverage of 0.4 kilogram per square meter.

The surface texture of polyester concrete overlay surfaces shall be uniform and shall have a coefficient of friction of not less than 0.35 as measured by California Test 342. Portions of surfaces that do not meet the above provision shall be ground or grooved parallel to the centerline in conformance with the provisions of Section 42, "Groove and Grind Pavement," of the Standard Specifications until the above tolerance is met.

Traffic and equipment shall not be permitted on the overlay for a minimum of 4 hours following final finishing. Overlays shall be protected from moisture for a minimum of 4 hours after finishing.

The edges of the polyester concrete overlay shall be tapered when the overlay work is not completed within the allowable lane closure and the overlay is greater than 13 mm higher in elevation than the adjacent pavement. Edges that are transverse to the direction of traffic shall be tapered at a 1:20 (vertical:horizontal) slope, and edges that are longitudinal to the direction of traffic shall be tapered at a 1:4 (vertical:horizontal) slope. Tapers may remain and be overlaid with subsequent placement of polyester concrete overlay.

MEASUREMENT AND PAYMENT

Furnishing polyester concrete overlay will be measured by the cubic meter. The volume to be paid for will be determined from calculations based on the quantity of resin binder used, the percent by weight of resin binder in the polyester concrete, and a unit weight of 2165 kg/m^3. The Contractor shall furnish suitable measuring devices to assure correct proportioning of materials and accurate measurements for calculating payment quantities. The payment quantity shall be the calculated quantity of polyester concrete overlay used in the work, exclusive of material used in trial overlays and any wasted or unused material. When the plans show that unsound concrete patching material is polyester overlay, the payment quantity will include the patches.

Placing polyester concrete overlay will be measured by the square meter. The area to be paid for will be based on the dimensions shown on the plans.

The contract price paid per cubic meter for furnish polyester concrete overlay shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing polyester concrete, including furnishing methacrylate resin prime coat and furnishing materials for trial overlays, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per square meter for placing polyester concrete overlay shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the polyester concrete overlay, complete in place, including application of prime coat, constructing and disposing of trial overlays and base, but excluding airborne emissions monitoring work done by the certified industrial hygienist and notification of the public, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for execution of the public safety plan, but excluding the airborne emissions monitoring work done by the certified industrial hygienist and notification of the public, shall be considered as included in the contract prices paid for the items of work involving polyester concrete overlay, and no additional compensation will be allowed therefor.

For polyester concrete overlay, airborne emissions monitoring with reporting done by the certified industrial hygienist and notification of the public will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

10-1.70 POLYESTER CONCRETE OVERLAY (50 mm)

This work shall include furnishing, testing, and application of methacrylate resin prime coat and polyester concrete overlay on the Self Anchored Susspension (SAS) structures as shown on the plans and as specified in these special provisions.

Polyester concrete shall be colored to match the adjacent existing epoxy concrete overlay as approved by the Engineer.

Before starting deck overlay work, the Contractor shall submit plans in conformance with "Working Drawings" of these special provisions for the following:

A. Public safety plan for the use of methacrylate resin and polyester concrete
B. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin and polyester concrete shall include details for the following:

A. Shipping
B. Storage
C. Handling
D. Disposal of residual methacrylate resin, polyester concrete, and the containers

When overlay work is to be conducted within 31 meters of a residence, business, or public space, including sidewalks under a structure, the Contractor shall notify the public at least 7 days before starting work and monitor airborne emissions during the work. Public notification and monitoring of airborne emissions shall conform to the following:

A. The public safety plan shall include a copy of the notification letter and a list of addresses and locations where the letter will be delivered and posted. The letter shall state the overlay work locations, dates, times, and what to expect. The letter shall be delivered to each residence and each business within 31 meters of the overlay work. The letter shall be delivered to local fire and police responders, and it shall be posted at the job site.
B. The public safety plan shall include an airborne emissions monitoring plan prepared by a certified industrial hygienist and a copy of the hygienist's certification. Airborne emissions shall be monitored at a minimum of 4 points including the point of mixing, the point of application, and the point of nearest public contact, as determined by the Engineer. At the completion of overlay work, a report by the certified industrial hygienist with results of the airborne emissions monitoring plan shall be submitted to the Engineer.

The placement plan for construction shall include the following:

A. Schedule of overlay work for each bridge. The schedule shall be consistent with "Maintaining Traffic" of these special provisions and shall include time for the Engineer to perform California Test 342.
B. Methods and materials to be used, including the following:

    1. Description of equipment for applying the methacrylate resin
    2. Description of equipment for measuring, mixing, placing., and finishing the polyester concrete overlay
    3. Cure time for the polyester concrete
    4. Description of equipment for applying the sand

C. Methods of protection for seismic joint at hinge K during polyester concrete overlay placement operations

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin and polyester concrete, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

For concrete decks that are existing before the start of the project, the smoothness of existing decks that are to be covered with polyester concrete overlay will be tested by the Engineer with a bridge profilograph. Changing the smoothness of these existing bridge decks will be as directed by the Engineer and will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

Before beginning polyester deck overlay work, the cast-in-place portland cement concrete deck surface shall be prepared as specified in "Prepare Concrete Bridge Deck Surface," of these special provisions.

Completed polyester concrete deck overlay shall conform to the provisions in Section 51-l.17, "Finishing Bridge Decks," of the Standard Specifications and these special provisions.

MATERIALS

Before using methacrylate resin and polyester concrete, a Material Safety Data Sheet shall be submitted for each shipment of methacrylate and polyester resins.

Before placing polyester concrete, the deck shall receive a methacrylate resin prime coat. Methacrylate resin shall be low odor, wax free, and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

METHACRYLATE RESIN PRIME COAT		
PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.025 Pa s , maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C)	ASTM D 2196
* Specific Gravity	0.90 minimum, at 25°C	ASTM D 1475
* Flash Point	82°C, minimum	ASTM D 3278
* Vapor Pressure	1.0 mm Hg, maximum, at 25°C	ASTM D 323
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21±1°C	California Test 551
* Test shall be performed before adding initiator.		

Polyester concrete shall consist of polyester resin binder and dry aggregate. The resin shall be an unsaturated isophthalic polyester-styrene co-polymer conforming to the following:

POLYESTER RESIN BINDER		
PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.075 to 0.200 Pa s (RVT, No. 1 Spindle, 20 RPM at 25°C	ASTM D 2196
* Specific Gravity	0.075 to 0.200 Pa s (RVT, No. 1 Spindle, 20 RPM at 25°C	ASTM D 1475
Elongation	35 percent, minimum Type I at 11.5 mm/min. Thickness = 6.5 ± 1 mm	ASTM D 638
	Sample Conditioning: 18/25/50 + 5/70	ASTM D 618
Tensile Strength	17.5 MPa, minimum Type I at 11.5 mm/min. Thickness = 6.5 ± 1 mm	ASTM D 638
	Sample Conditioning: 18/25/50 + 5/70	ASTM D 618
* Styrene Content	40 percent to 50 percent by mass	ASTM D 2369
Silane Coupler	1.0 percent, minimum (by mass of polyester styrene resin)	
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21 ± 1°C	California Test 551
* Static Volatile Emission	60 gram per square meter, loss, maximum	South Coast Air Quality Management District, Standard Method
* Test shall be performed before adding initiator.		

The silane coupler shall be an organosilane ester, gammamethacryloxypropyltrimethoxysilane. The promoter shall be compatible with suitable methyl ethyl ketone peroxide and cumene hydroperoxide initiators.

Aggregate for polyester concrete shall conform to the provisions in Section 90-2.02, "Aggregates," of the Standard Specifications and either of the following combined aggregate gradings:

COMBINED AGGREGATE		
Sieve Size	Percentage Passing	
	9.5 mm Max.	4.75 mm Max.
12.5 mm	100	100
9.5 mm	83 - 100	100
4.75 mm	65 - 82	62 - 85
2.36 mm	45 - 64	45 - 67
1.18 mm	27 - 48	29 - 50
600 µm	12 - 30	16 - 36
300 µm	6 - 17	5 - 20
150 µm	0 - 7	0 - 7
75 µm	0 - 3	0 - 3

Aggregate retained on the 2.36 mm sieve shall have a maximum of 45 percent crushed particles when tested in conformance with California Test 205. Fine aggregate shall consist of natural sand.

The polyester resin binder in the concrete shall be approximately 12 percent by weight of the dry aggregate; the exact percentage will be determined by the Engineer.

The average of coarse and fine aggregate absorption shall not exceed one percent as determined by California Tests 206 and 207.

At the time of mixing with the resin, the moisture content of the aggregate, as determined by California Test 226, shall not exceed one half of the aggregate absorption.

TESTING

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate and polyester resins before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before constructing the overlay, one or more trial overlays shall be placed on a previously constructed concrete base to determine the initial set time and to demonstrate the effectiveness of the methacrylate resin primecoat and also the polyester concrete mixing, placing, and finishing equipment. Each trial overlay shall be at least 3.6 m wide, at least 1.8 m long, and the same thickness as the overlay to be constructed. The trial overlay areas shall be within the project limits and at a location approved by the Engineer. Weather and pavement conditions during the test work shall be similar to those expected on the deck for production work. Equipment used for testing shall be similar to those used for deck overlay production work.

The trial overlay approved by the Engineer shall be used as the standard of determining acceptability of color and appearance for the polyester concrete surface.

All materials used in the trial overlays, including the concrete base, shall become the property of the Contractor and shall be removed and disposed of in conformance with the provisions in Section 7-1.13, "Disposal of Material Outside the Right of Way," of the Standard Specifications.

CONSTRUCTION

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

When magnesium phosphate concrete is placed before the deck overlay, the prime coat shall not be placed on this concrete until at least 72 hours after final set.

When modified high alumina based concrete is placed before the deck overlay, the prime coat shall not be placed on this concrete until at least 30 minutes after final set.

Expansion joints shall be adequately protected before overlaying as described in the approved placement plan.

Before applying the methacrylate resin prime coat, the area to receive the prime coat shall be dry and blown clean by compressed air to remove accumulated dust and any other loose material. The prepared surface temperature shall be at least 10°C and not more than 38°C, and the relative humidity less than 85 percent when the prime coat is applied.

The prime coat shall be uniformly applied to completely cover the surface to receive the polyester concrete. The rate of spread shall be approximately 2.2 square meters per liter.

Immediately after the prime coat has been applied, the polyester concrete overlay shall be placed.

Polyester concrete shall be mixed in mechanically operated mixers. The resin binder shall be initiated and thoroughly blended just before mixing with aggregate. The polyester concrete shall be mixed for a minimum of 2 minutes before placing. Mixer size shall be limited to a 0.25-cubic meter capacity, unless approved by the Engineer.

A continuous mixer, employing an auger screw/chute device, may be approved for use by the Engineer contingent on a demonstration that the device can consistently produce a satisfactory product. The continuous mixer shall (1) be equipped with a metering device that automatically measures and records the aggregate volumes and the corresponding resin volumes, and (2) have a readout gage, visible to the Engineer at all times, that displays the volumes being recorded. The volumes shall be recorded at no greater than 5 minute intervals along with the time and date of each recording. A printout of the recordings shall be furnished to the Engineer at the end of each workshift.

The amount of initiator used in polyester concrete shall be sufficient to produce an initial set time between 30 minutes and 120 minutes during placement. The initial set time will be determined by using an initial-setting time Gillmore needle in conformance with the requirements in ASTM Designation: C 266. Accelerators or inhibitors may be required to achieve proper set times and shall be used as recommended by the resin supplier.

Polyester concrete shall be placed before gelling and within 15 minutes following addition of initiator, whichever occurs first. Polyester concrete that is not placed within this time shall be discarded.

The finishing equipment shall be used to strike off the polyester concrete to the established grade and cross section. Finishing equipment shall be fitted with vibrators or other mechanisms capable of consolidating the polyester concrete to the required compaction.

The polyester concrete shall be consolidated to a relative compaction of not less than 97 percent in conformance with California Test 552.

Polyester concrete surfaces shall receive an abrasive sand finish. The sand shall be commercial quality blast sand conforming to the quality and dryness requirements for polyester concrete aggregate as specified in these special provisions. Ninety-five percent of the sand shall pass the 2.36-mm sieve, and 95 percent shall be retained on the 850-µm sieve.

The sand finish shall be uniformly applied immediately after overlay strike-off and before gelling occurs to provide a minimum uniform coverage of 0.4 kilogram per square meter.

The finished surface of the polyester concrete overlay (50 mm) shall be textured by grooving. Grooves shall be made parallel to the centerline of the traffic lane after the concrete has received the specified abrasive sand finish. Grooving shall be done in such a manner as to prevent the texture device from tearing the surface or causing the visible separation of coarse aggregate at the surface. Grooving of the polyester concrete surface shall conform to one of the following methods:

A. Grooving operations shall conform to the requirements in Section 42-1, "Grooving," of the Standard Specifications.
B. Grooves shall be made with spring steel tines. Spring steel tines of the final texturing device shall be rectangular in cross section, 2 mm to 4 mm wide, on 19 mm to 24 mm centers, and of sufficient length, thickness and resilience to form grooves approximately 5 mm deep in the fresh polyester concrete surface. Final texture shall be uniform in appearance and longitudinal grooves shall have a depth between 2 mm and 8 mm.

The surface texture of polyester concrete overlay surfaces shall be uniform and shall have a coefficient of friction of not less than 0.35 as measured by California Test 342. Portions of surfaces that do not meet the above provision shall be ground or grooved parallel to the centerline in conformance with the provisions of Section 42, "Groove and Grind Pavement," of the Standard Specifications until the above tolerance is met.

Traffic and equipment shall not be permitted on the overlay for a minimum of 4 hours following final finishing. Overlays shall be protected from moisture for a minimum of 4 hours after finishing.

The edges of the polyester concrete overlay shall be tapered when the overlay work is not completed within the allowable lane closure and the overlay is greater than 13 mm higher in elevation than the adjacent pavement. Edges that are transverse to the direction of traffic shall be tapered at a 1:20 (vertical:horizontal) slope, and edges that are longitudinal to the direction of traffic shall be tapered at a 1:4 (vertical:horizontal) slope. Tapers may remain and be overlaid with subsequent placement of polyester concrete overlay.

MEASUREMENT AND PAYMENT

Furnishing polyester concrete overlay (50 mm) will be measured by the cubic meter. The volume to be paid for will be determined from calculations based on the quantity of resin binder used, the percent by weight of resin binder in the polyester concrete, and a unit weight of 2165 kg/m^3. The Contractor shall furnish suitable measuring devices to assure correct proportioning of materials and accurate measurements for calculating payment quantities. The payment quantity shall be the calculated quantity of polyester concrete overlay used in the work, exclusive of material used in trial overlays and any wasted or unused material. When the plans show that unsound concrete patching material is polyester overlay, the payment quantity will include the patches.

Placing polyester concrete overlay (50 mm) will be measured by the square meter. The area to be paid for will be based on the dimensions shown on the plans.

The contract price paid per cubic meter for furnish polyester concrete overlay (50 mm) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing polyester concrete, including furnishing methacrylate resin prime coat and furnishing materials for trial overlays, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

The contract price paid per square meter for placing polyester concrete overlay (50 mm) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing the polyester concrete overlay (50mm), complete in place, including application of prime coat, constructing and disposing of trial overlays and base, grooving polyester concrete overlay surface, and furnishing and mixing color, but excluding airborne emissions monitoring work done by the certified industrial hygienist and notification of the public, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for execution of the public safety plan, but excluding the airborne emissions monitoring work done by the certified industrial hygienist and notification of the public, shall be considered as included in the contract prices paid for the items of work involving polyester concrete overlay 50 mm), and no additional compensation will be allowed therefor.

For polyester concrete overlay (50mm), airborne emissions monitoring with reporting done by the certified industrial hygienist and notification of the public will be paid for as extra work as provided in Section 4-l.03D, "Extra Work," of the Standard Specifications.

10-1.71 PREPARE AND STAIN CONCRETE

This work shall consist of preparing and staining concrete surfaces where shown on the plans in conformance with the provisions in Section 59-1.06, "Painting Concrete," of the Standard Specifications and these special provisions.

Concrete stain shall be a water-based solution of metallic salts that penetrate and react with concrete to produce insoluble, abrasion-resistant color deposits. The stain shall contain dilute acid to etch concrete surfaces so that the staining ingredients can penetrate the concrete.

Concrete stain shall be formulated of one part green, and three parts black stain material. The test panel approved by the Engineer shall be used as the standard of comparison in determining acceptability of architectural texture and staining for concrete surfaces.

The Contractor shall submit a copy of the stain manufacturer's recommendations and written application instructions to the Engineer not less than 7 days before applying concrete stain to test panels.

New concrete surfaces to be stained shall be cured in conformance with the provisions in Section 90-7.01A, "Water Method," of the Standard Specifications.

The Contractor shall seal joints between concrete surfaces to be stained and metal surfaces that are galvanized or painted with a polysulfide or polyurethane sealing compound conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use M. The color of the sealant shall match the stain color of the adjoining surface.

Immediately before commencing work, the Contractor shall test concrete surfaces to be stained for acceptance of stain in conformance with the manufacturer's recommendations. Areas that resist accepting stain shall be cleaned as approved by the Engineer.

The Contractor shall apply the concrete stain in conformance with the manufacturer's recommendations and these special provisions. The stain shall be applied uniformly, working to avoid excessive rundown. The stain shall be worked into the concrete surface in circular motions with a nylon-bristled brush. Drips, puddles, or other irregularities shall be worked into the concrete.

After the last coat of stain has dried, the Contractor shall rinse stained surfaces with water and wet scrub surfaces with a stiff bristled nylon brush until the rinse water runs clear.

The Contractor shall protect adjacent surfaces during concrete staining operations.

Prepare and stain concrete will be measured by the square meter.

The contract price paid per square meter for prepare and stain concrete shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in preparing of and applying stain to concrete surfaces, complete in place, including construction of test panels, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.72 ARCHITECTURAL SURFACE

ARCHITECTURAL SURFACE

Architectural for concrete surfaces shall conform to the details shown on the plans and the provisions in Section 51, "Concrete Structures," of the Standard Specifications and these special provisions:

Architectural textures listed below are required at concrete surfaces shown on the plans:

A. Formed relief texture

The architectural texture shall simulate a formed relief constructed to the dimensions and shapes shown on the plans. Corners at the intersection of plane surfaces shall be sharp and crisp without easing or rounding. A Class 1 surface finish shall be applied to the architectural texture.

TEST PANEL

A test panel at least 1.25 m x 1.25 m in size shall be successfully completed at a location approved by the Engineer before beginning work on architectural textures. The test panel shall be constructed and finished with the materials, tools, equipment and methods to be used in constructing the architectural texture. If ordered by the Engineer, additional test panels shall be constructed and finished until the specified finish, texture and color are obtained, as determined by the Engineer. Attention is directed to "Prepare and Stain Concrete," of these special provisions.

ABRASIVE BLASTING

The architectural texture shall be abrasive blasted with fine abrasive to remove the sheen without exposing coarse aggregate.

CURING

Concrete surfaces with architectural texture shall be cured only by the forms-in-place or water methods. Seals and curing compounds shall not be used.

PAYMENT

Full compensation for architectural texture shall be considered as included in the contract price paid for the various items of work involved in constructing retaining walls and no separate payment will be made therefor.

10-1.73 REINFORCEMENT

Reinforcement shall conform to the provisions in Section 52, "Reinforcement," of the Standard Specifications and these special provisions.

Where ASTM Designations: A706/A706M reinforcing bars are specified on the plans or in the special provisions, the minimum percentage of elongation in 200 mm for Bar Nos. 43 and 57 on Table 2 of ATSM A706/706M Specifications shall be 12%.

The Department's mechanical splices prequalified list can be found at the following internet site:

http://www.dot.ca.gov/hq/esc/approved_products_list/

Where mechanical couplers or bar reinforcement is shown on the plans to extend to or beyond concrete surface in order to accommodate future reinforcing bar extensions, such couplers or bar reinforcement shall be greased and wrapped to protect against corrosion.

The provisions of "Welding Quality Control" of these special provisions shall not apply to resistance butt welding.

EPOXY-COATED PREFABRICATED REINFORCEMENT

Bar reinforcement to be epoxy-coated shall conform to the ASTM Designation and grade required or permitted by Section 52-1.02A, "Bar Reinforcement," of the Standard Specifications, for the location or type of structure involved. The coated bar reinforcement shall conform to the requirements in ASTM Designation: A 934/A 934M except as provided herein.

Wire reinforcement to be epoxy-coated shall conform to the ASTM Designation and grade required or permitted by Section 52-1.02D, "Reinforcing Wire," of the Standard Specifications for the location or type of structure involved. The coated wire reinforcement shall conform to the requirements for Class A, Type 2 coating of ASTM Designation: A 884/A 884M except as provided herein.

Appendices X1 and X2, "Guidelines For Job-Site Practices," of ASTM Designation: A 884/A 884M and A 934/A 934M, respectively, shall apply except as provided herein. The term "shall" shall replace the term "should" in these appendices. Section X1.2 of Appendix X1 and Section X2.2 of Appendix X2 shall not apply.

All coatings shall be purple or gray in color.

Except for field welding of butt splices, all welding of reinforcement shall be complete before epoxy coating the reinforcement.

Prior to epoxy coating, all resistance butt welds shall have the weld flash removed to produce a smooth profile free of any sharp edges that would prevent proper coating of the bar. The flash shall be removed such that the ultimate tensile strength and elongation properties of the bar are not reduced, and the outside radius of the flash, at any point along the circumference of the bar, is 1) not less than the nominal radius of the bar, nor 2) greater than 5 mm beyond the nominal radius of the bar.

A proposed weld flash removal process shall be submitted to and approved by the Engineer in writing prior to performing any removal work. The submittal shall demonstrate that the proposed flash removal process produces a smooth profile that can be successfully epoxy-coated in conformance with the requirements specified herein.

Bending of epoxy-coated reinforcement after the coating has been applied will not be allowed.

When any portion of a reinforcing bar or wire requires epoxy coating, the entire bar or wire shall be coated, except, when the bar or wire is spliced outside of the limits of epoxy coating shown on the plans, epoxy coating will not be required on the portion of bar or wire beyond the splice.

Within areas where epoxy-coated reinforcement is required, tie wire and bar chairs or other metallic devices used to secure or support the reinforcement shall be plastic-coated or epoxy-coated to prevent corrosion of the devices or damage to the coated reinforcement.

Prior to coating, the Contractor shall furnish to the Transportation Laboratory a representative 110 g sample from each batch of epoxy coating material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.

Two 700-mm long samples of coated bar or wire reinforcement from each size and from each load shipped to the jobsite shall be furnished to the Engineer for testing. These samples shall be representative of the material furnished. These samples, as well as any additional random samples taken by the Engineer, may be tested for specification compliance. Additional sampling, and all tests performed by the Engineer, may be performed at any location deemed appropriate by the Engineer. Failure of any sample to meet the requirements of the specifications will be cause for rejection.

If any bar tested for coating thickness or for adhesion of coating fails to meet the requirements for coated bars in Section 9 of ASTM Designation: A 934/A 934M, 2 retests on random samples taken from bars represented by the failed test will be conducted for each failed test. If the results of both retests meet the specified requirements, the coated bars represented by the samples may be certified as meeting the test requirements.

If any wire reinforcement tested for coating thickness or for flexibility fails to meet the requirements for coated wire in Section 8 of ASTM Designation: A 884/A 884M, 2 retests on random samples taken from wire represented by the failed test will be conducted for each failed test. If the results of both retests meet the specified requirements, the coated wire represented by the samples may be certified as meeting the test requirements.

Epoxy-coated reinforcement shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the reinforcement from exposure to sunlight, salt spray, and weather. For stacked bundles, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the reinforcement to prevent condensation under the covering. Epoxy-coated reinforcement shall not be stored within 300 m of ocean or tidal water for more than 2 months.

All visible damage to coatings caused by shipping, handling, or installation shall be repaired as required for repairing coating damaged prior to shipment conforming to the requirements in ASTM Designation: A 934/A 934M for bar reinforcement or ASTM Designation: A 884/A 884M for wire reinforcement. When the extent of coating damage prior to repair exceeds 2 percent of the bar or wire surface area in any 300-mm length, repair of the bar or wire will not be allowed, and the coated bar or wire will be rejected.

The patching material and process shall be suitable for field application. The patching material shall be prequalified as required for the coating material and shall be either identified on the container as a material compatible with the reinforcement coating, or shall be accompanied by a Certificate of Compliance certifying that the material is compatible with the reinforcement coating. Damaged areas shall be patched in conformance with the patching material manufacturer's recommendations.

With the exception of lap splices, all epoxy-coated reinforcement splices shall be coated with a corrosion protection covering included on the Department's list of approved products available from the Transportation Laboratory. The covering shall be installed in conformance with the manufacturer's recommendations and as directed by the Engineer.

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications, shall be furnished for each shipment of epoxy-coated bar or wire reinforcement certifying that the coated bars or wire conform to the requirements in ASTM Designation: A 934/A 934M for bars or A 884/A 884M for wire and Section 52-1.02B, "Epoxy-coated Reinforcement," of the Standard Specifications. This Certificate of Compliance shall include all the certifications specified in ASTM Designation: A 934/A 934M for bars or ASTM Designation: A 884/A 884M for wire. All qualification testing and certification shall be by an independent laboratory. The Department maintains a list of prequalified epoxy coatings. The prequalified list can be obtained by contacting the Transportation Laboratory and is available at:

http://www.dot.ca.gov/hq/esc/approved_products_list/

Any portion of bar or wire reinforcement extending beyond the limits for epoxy-coated reinforcement shown on the plans will be measured and paid for as bar reinforcing steel (bridge).

MEASUREMENT AND PAYMENT

Measurement and payment for reinforcement in structures shall conform to the provisions in Section 52-1.10, "Measurement," and Section 52-1.11, "Payment," of the Standard Specifications and these special provisions.

Full compensation for furnishing and installing couplers as shown on the plans, including applying grease and wrapping exposed couplers to prevent corrosion, shall be considered as included in the contract price paid per kilogram for bar reinforcing steel (bridge) and no additional compensation will be allowed therefor.

Epoxy coated reinforcement incorporated into hinges will be measured and paid for as bar reinforcing steel (bridge).

10-1.74 HEADED BAR REINFORCEMENT

GENERAL

Headed bar reinforcement shall consist of bar reinforcement with heads attached to one or both ends and shall conform to the provisions of Section 52, "Reinforcement," of the Standard Specifications, the details shown on the plans, and these special provisions. The type of headed bar reinforcement to be used on this project shall be selected from the Department's Pre-Qualified Products List at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The provisions of "Welding Quality Control" of these special provisions shall not apply to headed bar reinforcement.

The Contractor shall perform inspection and testing before, during, and after manufacturing headed bar reinforcement and as necessary to ensure that materials and workmanship conform to the requirements of the specifications.

A daily production log for the manufacture of headed bar reinforcement shall be maintained by the manufacturer for each production lot. The log shall clearly indicate the production lot numbers, the heats of bar material and head material used in the manufacture of each production lot, the number of bars in each production lot, and manufacturing records, including tracking and production parameters for welds or forgings. The data from the daily production log shall be available to the Engineer on request.

A production lot of headed bar reinforcement is defined as 150 reinforcing bars, or fraction thereof, of the same bar size, with heads of the same size and type, and manufactured by the same method, produced from bar material of a single heat number and head material of a single heat number. If one reinforcing bar has a head on both ends, it will be counted as 2 reinforcing bars for the purposes of establishing and testing production lots. A new production lot shall be started if the heat number of either the bar material or the head material changes before the maximum production lot size of 150 units is reached.

The Contractor shall furnish Certificates of Compliance accompanied by a copy of the mill test report, the Production Tests Reports specified herein, and the corresponding daily production logs to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for each shipment of headed bar reinforcement delivered to the jobsite.

Welding, welder qualifications, and inspection of welding shall conform to the requirements for friction welding in ANSI/AWS C6.1.

Equipment used to perform friction welding shall be fitted with an effective in-process monitoring system to record essential production parameters that describe the process of welding the head onto the reinforcement. The parameters to be recorded shall include friction welding force, forge force, rotational speed, friction upset distance and time, and forge upset distance and time. The data from this monitoring shall be recorded and preserved by the manufacturer until acceptance of the contract and shall be provided to the Engineer upon request.

PRODUCTION TESTS

Production tests shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The independent qualified testing laboratory used to perform the testing of headed bar reinforcement samples shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

1. A tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
2. Operators who have received formal training for performing the testing requirements of ASTM A 970/A 970M.
3. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Engineer shall be notified in writing when any lots of headed bar reinforcement are ready for testing. The notification shall include the number of lots to be tested and the location where the tests are to be conducted. After notification has been received, test samples will be randomly selected by the Engineer from each production lot of headed bar reinforcement that is ready for shipment to the jobsite. If epoxy coating is required, test samples will be taken after the headed bar reinforcement has been prepared for epoxy coating.

A minimum of 3 samples from each production lot shall be tested. One tensile test shall be conducted on each sample.

Tensile tests shall conform to the requirements specified in ASTM A 970/A 970M, Section 6, Class A, except that at rupture, there shall be visible signs of necking in the reinforcing bar 1) at a minimum distance of one bar diameter away from the head to bar connection for friction welded headed bar reinforcement, or 2) outside the affected zone for integrally forged headed bar reinforcement.

The affected zone for integrally forged headed bar reinforcement is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered during the manufacturing process.

If one of the test specimens fails to meet the specified requirements, one retest shall be performed on one additional sample, selected by the Engineer, from the same production lot. If the additional test specimen, or if more than one of the original test specimens fail to meet these requirements, all headed bar reinforcement in the lot represented by the tests will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials," of the Standard Specifications.

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory and submitted to the Engineer as specified herein. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include the following information for each set of samples: contract number, bridge number, lot number, bar size, type of headed bar reinforcement, physical condition of test sample, any notable defects, limits of affected zone, location of visible necking area, and the ultimate strength of each headed bar.

Each unit of headed bar reinforcement in a production lot to be shipped to the site shall be tagged in a manner such that production lots can be accurately identified at the jobsite. All unidentified headed bar reinforcement received at the jobsite will be rejected.

MEASUREMENT AND PAYMENT

Quantities of headed bar reinforcement will be measured as units determined from the number of heads shown on the plans or as directed by the Engineer.

The contract unit price paid for headed bar reinforcement shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing headed bar reinforcement, including conforming to all testing requirements, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Bar reinforcement to be used in the manufacture of headed bar reinforcement and placing the completed headed bar reinforcement into the work will be measured and paid for as specified in Section 52, "Reinforcement," of the Standard Specifications, except that the lengths to be used in the computation of calculated mass of bar reinforcement shall be the entire length of the completed headed bar, including heads.

10-1.75 STEEL STRUCTURES

Construction of steel structures shall conform to the provisions in Section 55, "Steel Structures," of the Standard Specifications and these special provisions.

Attention is directed to "Welding" in Section 8, "Materials," of these special provisions.

The following substitutions of high-strength steel fasteners shall be made:

METRIC SIZE SHOWN ON THE PLANS	SIZE TO BE SUBSTITUTED
ASTM Designation: A 325M (Nominal bolt diameter (mm))	ASTM Designation: A 325 (Nominal bolt diameter (inch))
13, 12.70, or M12	1/2
16, 15.88, or M16	5/8
19, 19.05, or M20	3/4
22, 22.22, or M22	7/8
24, 25, 25.40, or M24	1
29, 28.58, or M27	1 1/8
32, 31.75, or M30	1 1/4
38, 38.10, or M36	1 1/2

MATERIALS

Structural steel rolled shapes used in temporary eastbound on-ramp and overhead sign structures shall conform to the Charpy V-notch impact values specified for steel plate in Section 55-2, "Materials," of the Standard Specifications.

High-strength fastener assemblies and other bolts attached to structural steel with nuts and washers shall be zinc-coated. When direct tension indicators are used in these assemblies, the direct tension indicator and all components of the fastener assembly shall be zinc-coated by the mechanical deposition process.

Anchor bolt assemblies including bolts, hardened washers, nuts, and lock nuts used in temporary eastbound on-ramp structure shall conform to the requirements in ASTM Designation: A 354 Grade BD and shall not be zinc coated. Anchor bolts of larger size shall not be substituted for the sizes shown on the plans.

For the purpose of non destructive testing, the following shall apply:

A. Temporary eastbound on-ramp structure girders shall be considered as main members.
B. All full penetration groove welds in main members shall be considered as subjected to tension or reversal of stress.
C. All fillet welds and partial penetration groove welds in main members shall be considered as joining primary components of main members.

Where end connection angles are required to be bent to maintain the alignment shown in the plans or are shown to be bent in the plans, such bending shall conform to the requirements for bent plates in Section 55-3.07, "Bent Plates," of the Standard Specifications.

WORKING DRAWINGS

Working drawings for the temporary eastbound on-ramp shall conform to the requirements for working drawings in Section "Working Drawings" of these special provisions and the following:

A. Details and dimensions shall be shown for all connections.
B. Detailed framing layouts including all layout dimensions and girder bearing elevations.
C. Detailed tie-in area layouts including measured dimensions for all controlling field dimensions.
D. Working drawings may, in part, incorporate reproductions of contract plans, but all parts and connections shall be fully detailed by the Contractor.

ROTATIONAL CAPACITY TESTING PRIOR TO SHIPMENT TO JOB SITE

Rotational capacity tests shall be performed on all lots of high-strength fastener assemblies prior to shipment of these lots to the project site. Zinc-coated assemblies shall be tested after all fabrication, coating, and lubrication of components has been completed. One hardened washer shall be used under each nut for the tests.

Rotational capacity testing will not be required for anchor bolts used in temporary eastbound on-ramp.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates.

Each combination of bolt production lot, nut lot, and washer lot shall be tested as an assembly.

A rotational capacity lot number shall be assigned to each combination of lots tested. Each shipping unit of fastener assemblies shall be plainly marked with the rotational capacity lot number.

Two fastener assemblies from each rotational capacity lot shall be tested.

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of long bolts. Fasteners are considered to be long bolts when full nut thread engagement can be achieved when installed in a bolt tension measuring device:

A. Long Bolt Test Equipment:

1. Calibrated bolt tension measuring device with adequate tension capacity for the bolts being tested.
2. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Long Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel beam or member, such as a girder flange or cross frame, to which the bolt tension measuring device will be attached. The device shall be accessible from the ground.

B Long Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Insert the bolt into the bolt tension measuring device and install the required number of washers, and additional spacers as needed, directly beneath the nut to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug tension shall not be less than the Table A value but may exceed the Table A value by a maximum of 2 kips.

Table A

High-Strength Fastener Assembly Tension Values to Approximate Snug-Tight Condition	
Bolt Diameter (inches)	Snug Tension (kips)
1/2	1
5/8	2
3/4	3
7/8	4
1	5
1 1/8	6
1 1/4	7
1 3/8	9
1 1/2	10

5. Match-mark the assembly by placing a heavy reference start line on the face plate of the bolt tension measuring device which aligns with 1) a mark placed on one corner of the nut, and 2) a radial line placed across the flat on the end of the bolt, or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make an additional mark on the face plate, either 2/3 of a turn, one turn, or 1 1/3 turn clockwise from the heavy reference start line, depending on the bolt length being tested as shown in Table B.

Table B

Required Nut Rotation for Rotational Capacity Tests[a,b]	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	2/3
Greater than 4 bolt diameters but no more than 8 bolt diameters	1
Greater than 8 bolt diameters, but no more than 12 bolt diameters[c]	1 1/3

(a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees; for bolts installed by 2/3 turn and more, the tolerance shall be plus or minus 45 degrees.
(b) Applicable only to connections in which all material within grip of the bolt is steel.
(c) When bolt length exceeds 12 diameters, the required rotation shall be determined by actual tests in a suitable tension device simulating the actual conditions.

6. Turn the nut to achieve the applicable minimum bolt tension value listed in Table C. After reaching this tension, record the moving torque, in foot-pounds, required to turn the nut, and also record the corresponding bolt tension value in pounds. Torque shall be measured with the nut in motion. Calculate the value, T (in ft-lbs), where T=[(the measured tension in pounds) x (the bolt diameter in inches) / 48 in/ft].

Table C

Minimum Tension Values for High-Strength Fastener Assemblies	
Bolt Diameter (inches)	Minimum Tension (kips)
1/2	12
5/8	19
3/4	28
7/8	39
1	51
1 1/8	56
1 1/4	71
1 3/8	85
1 1/2	103

7. Turn the nut further to increase bolt tension until the rotation listed in Table B is reached. The rotation is measured from the heavy reference line made on the face plate after the bolt was snug-tight. Record this bolt tension.
8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Long Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque (Step 6) shall be less than or equal to the calculated value, T (Step 6), 2) the bolt tension measured in Step 7 shall be greater than or equal to the applicable turn test tension value listed in Table D, 3) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been

achieved, 4) the bolt does not shear from torsion or fail during the test, and 5) the assembly does not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head is expected and will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

Table D

Turn Test Tension Values	
Bolt Diameter (inches)	Turn Test Tension (kips)
1/2	14
5/8	22
3/4	32
7/8	45
1	59
1 1/8	64
1 1/4	82
1 3/8	98
1 1/2	118

The following equipment, procedure, and acceptance criteria shall be used to perform rotational capacity tests on and determine acceptance of short bolts. Fasteners are considered to be short bolts when full nut thread engagement cannot be achieved when installed in a bolt tension measuring device:

A. Short Bolt Test Equipment:

1. Calibrated dial or digital torque wrench. Other suitable tools will be required for performing Steps 7 and 8 of the Short Bolt Test Procedure. A torque multiplier may be required for large diameter bolts.
2. Spud wrench or equivalent.
3. Spacer washers or bushings. When spacer washers or bushings are required, they shall have the same inside diameter and equal or larger outside diameter as the appropriate hardened washers conforming to the requirements in ASTM Designation: F436.
4. Steel plate or girder with a hole to install bolt. The hole size shall be 1.6 mm greater than the nominal diameter of the bolt to be tested. The grip length, including any plates, washers, and additional spacers as needed, shall provide the proper number of threads within the grip, as required in Step 2 of the Short Bolt Test Procedure.

B. Short Bolt Test Procedure:

1. Measure the bolt length. The bolt length is defined as the distance from the end of the threaded portion of the shank to the underside of the bolt head.
2. Install the nut on the bolt so that 3 to 5 full threads of the bolt are located between the bearing face of the nut and the underside of the bolt head. Measure and record the thread stickout of the bolt. Thread stickout is determined by measuring the distance from the outer face of the nut to the end of the threaded portion of the shank.
3. Install the bolt into a hole on the plate or girder and install the required number of washers and additional spacers as needed between the bearing face of the nut and the underside of the bolt head to produce the thread stickout measured in Step 2 of this procedure.
4. Tighten the nut using a hand wrench to a snug-tight condition. The snug condition shall be the full manual effort applied to the end of a 305 mm long wrench. This applied torque shall not exceed 20 percent of the maximum allowable torque in Table E.

Table E

Maximum Allowable Torque for High-Strength Fastener Assemblies	
Bolt Diameter (inches)	Torque (ft-lbs)
1/2	145
5/8	285
3/4	500
7/8	820
1	1220
1 1/8	1500
1 1/4	2130
1 3/8	2800
1 1/2	3700

5. Match-mark the assembly by placing a heavy reference start line on the steel plate or girder which aligns with 1) a mark placed on one corner of the nut and 2) a radial line placed across the flat on the end of the bolt or on the exposed portions of the threads of tension control bolts. Place an additional mark on the outside of the socket that overlays the mark on the nut corner such that this mark will be visible while turning the nut. Make 2 additional small marks on the steel plate or girder, one 1/3 of a turn and one 2/3 of a turn clockwise from the heavy reference start line on the steel plate or girder.
6. Using the torque wrench, tighten the nut to the rotation value listed in Table F. The rotation is measured from the heavy reference line described in Step 5 made after the bolt was snug-tight. A second wrench shall be used to prevent rotation of the bolt head during tightening. Measure and record the moving torque after this rotation has been reached. The torque shall be measured with the nut in motion.

Table F

Nut Rotation Required for Turn-of-Nut Installation[a,b]	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	1/3
(a) Nut rotation is relative to bolt, regardless of the element (nut or bolt) being turned. For bolts installed by 1/2 turn and less, the tolerance shall be plus or minus 30 degrees. (b) Applicable only to connections in which all material within grip of the bolt is steel.	

7. Tighten the nut further to the 2/3-turn mark as indicated in Table G. The rotation is measured from the heavy reference start line made on the plate or girder when the bolt was snug-tight. Verify that the radial line on the bolt end or on the exposed portions of the threads of tension control bolts is still in alignment with the start line.

Table G

Required Nut Rotation for Rotational Capacity Test	
Bolt Length (measured in Step 1)	Required Rotation (turn)
4 bolt diameters or less	2/3

8. Loosen and remove the nut and examine the threads on both the nut and bolt.

C. Short Bolt Acceptance Criteria:

1. An assembly shall pass the following requirements to be acceptable: 1) the measured moving torque from Step 6 shall be less than or equal to the maximum allowable torque from Table E, 2) the nut shall be able to be removed from the bolt without signs of thread stripping or galling after the required rotation in Step 7 has been

achieved, 3) the bolt does not shear from torsion or fail during the test, and 4) the assembly shall not seize before the final rotation in Step 7 is reached. Elongation of the bolt in the threaded region between the bearing face of the nut and the underside of the bolt head will not be considered a failure. Both fastener assemblies tested from one rotational capacity lot shall pass for the rotational capacity lot to be acceptable.

INSTALLATION TENSION TESTING AND ROTATIONAL CAPACITY TESTING AFTER ARRIVAL ON THE JOB SITE

Installation tension tests and rotational capacity tests on high-strength fastener assemblies shall be performed by the Contractor prior to acceptance or installation and after arrival of the fastener assemblies on the project site. Installation tension tests and rotational capacity tests shall be performed at the job-site, in the presence of the Engineer, on each rotational capacity lot of fastener assemblies.

The requirements of this section do not apply to high-strength cap screws or high-strength bolts used for slip base plates.

Neither installation tension tests nor rotational capacity tests will be required for anchor bolts used in temporary eastbound on-ramp structures.

Installation tension tests shall be performed on 3 representative fastener assemblies in conformance with the provisions in Section 8, "Installation," of the RCSC Specification. For short bolts, Section 8.2, "Pretensioned Joints," of the RCSC Specification shall be replaced by the "Pre-Installation Testing Procedures," of the "Structural Bolting Handbook," published by the Steel Structures Technology Center, Incorporated.

The rotational capacity tests shall be performed in conformance with the requirements for rotational capacity tests in "Rotational Capacity Testing Prior to Shipment to Job Site" of these special provisions.

At the Contractor's expense, additional installation tension tests, tests required to determine job inspecting torque, and rotational capacity tests shall be performed by the Contractor on each rotational capacity lot, in the presence of the Engineer, if 1) any fastener is not used within 3 months after arrival on the jobsite, 2) fasteners are improperly handled, stored, or subjected to inclement weather prior to final tightening, 3) significant changes are noted in original surface condition of threads, washers, or nut lubricant, or 4) the Contractor's required inspection is not performed within 48 hours after all fasteners in a joint have been tensioned.

Failure of a job-site installation tension test or a rotational capacity test will be cause for rejection of unused fasteners that are part of the rotational capacity lot.

When direct tension indicators are used, installation verification tests shall be performed in conformance with Appendix Section X1.4 of ASTM Designation: F959, except that bolts shall be initially tensioned to a value 5 percent greater than the minimum required bolt tension.

SURFACE PREPARATION

For all bolted connections, the 1) contact surfaces, 2) outer surfaces of existing members that are within the grip and will be hidden by surfaces of outside existing members within the grip under bolt heads, nuts, and washers, and 3) inside surfaces of bolt holes shall be cleaned and coated before assembly in conformance with the provisions for cleaning and painting structural steel of these special provisions.

SEALING

The perimeter around all direct tension indicator gaps shall be completely sealed with non-silicone type sealing compound conforming to the provisions in Federal Specification TT-S-230, Type II. The sealant shall be gray in color and have a minimum thickness of 1.3 mm. If painting is required, the sealing compound shall be applied prior to painting.

When zinc-coated tension control bolts are used, the sheared end of each fastener shall be completely sealed with non-silicone type sealing compound conforming to the provisions in Federal Specification TT-S-230, Type II. The sealant shall be gray in color and shall have a minimum thickness of 1.3 mm. The sealant shall be applied to a clean sheared surface on the same day that the splined end is sheared off.

WELDING

Table 2.2 of AWS D1.5 is superseded by the following table:

Base Metal Thickness of the Thicker Part Joined, mm	Minimum Effective Partial Joint Penetration Groove Weld Size, * mm
Over 6 to 13 inclusive	5
Over 13 to 19 inclusive	6
Over 19 to 38 inclusive	8
Over 38 to 57 inclusive	10
Over 57 to 150 inclusive	13
Over 150	16

* Except the weld size need not exceed the thickness of the thinner part

Dimensional details and workmanship for welded joints in tubular and pipe connections shall conform to the provisions in Part A, "Common Requirements of Nontubular and Tubular Connections," and Part D, "Specific Requirements for Tubular Connections," in Section 2 of AWS D1.1.

The requirement of conformance with AWS D1.5 shall not apply to work conforming to Section 56-1, "Overhead Sign Structures," or Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications.

10-1.76 SERVICE PLATFORM

Service platform shall consist of furnishing, fabricating, finishing and erecting service platforms and all work required to complete the service platform as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Service platforms shall include the following:

1. Structural steel
3. Reinforced concrete
4. Checkered plates for floor access opening
5. Stairway
6. Metal decking
7. Railings
8. Neoprene filler.
9. Cleaning and painting metal surfaces.
10. All anchors, fastenings, hardware, accessories and other supplementary appurtenances necessary to complete the work.

All metal surfaces of components for service platforms shall be hot-dip galvanized after fabrication in accordance with Section 75-1.05, "Galvanizing," of the Standard Specifications, unless otherwise noted. The minimum weight of galvanized coating shall be 460 grams per square meter of surface area, except grates shall have a minimum of 610 grams per square meter of surface area.

Except for the locations listed below, all permanently exposed galvanized metal surfaces shall be cleaned and painted in conformance with the provisions for painting galvanized surfaces in "Clean and Paint (Miscellaneous Facilities)," of these special provisions:

A. Steel decking
B. Lower framing sections including hangars and kicker
C. Stairway tread
D. Checkered plates for floor access openings

Structural steel shall conform to the provisions in "Steel Structures" of these special provisions. Headed studs shall conform to the requirements for stud connectors in Section 55, "Steel Structures," of the Standard Specifications.

Reinforced concrete for service platforms shall conform to the provisions in "Concrete Structures," of these special provisions and the provisions specified herein.

Shotcrete shall not be used.

Checkered plates for floor access openings shall be of commercial quality steel with standard raised pattern.

Grating for stairway shall conform to Section 56-1.02F, "Steel Walkway Gratings," of the Standard Specifications.

Unless otherwise specified, all anchors, fastenings, hardware, accessories and other appurtenances necessary to complete the work shall conform to the provisions in "Miscellaneous Bridge Metal" of these special provisions:

WORKING DRAWINGS

The Contractor shall submit working drawings in conformance with the provisions in "Working Drawings" of these special provisions, and these special provisions, except that the time allowed for the Engineer's shall be 20 working days.

Working drawing submittals shall include the following:

A. Fabrication plans including all details, elevations, and sections of the service platforms as shown on the plans. All dimensions and design tolerances shall be specified.
B. All ASTM or other material designations.
C. Detailed working drawings for metal decking showing the grade of steel, the physical and section properties for all deck members, the method of support and grade adjustment, accommodation for skew, and methods of sealing against grout leaks.
D. Service platforms installation plans including methods, materials, equipment, sequence, lifting mechanisms and locations.
E. Manufacturer's specifications, anchor details and installation instructions for products used in miscellaneous metal fabrications.

No fabrication of the service platforms shall begin until complete working drawings are reviewed and approved, in writing, by the Engineer.

METAL DECKING

Steel decking shall be installed in conformance with the approved working drawings.

Welding shall conform to AWS D1.3/D1.3M-08 and "Welding Quality Control" elsewhere in these special provisions.

Welds shall be cleaned immediately by wire brushing, and shall be coated with galvanizing repair material before being covered by the succeeding sheet.

Transverse deck construction joints will not be allowed.

Permanently exposed galvanized surfaces that are abraded or damaged prior to installation shall be repaired by thoroughly wire brushing the damaged areas and removing all loose and cracked coating, after which the cleaned areas shall be painted with 2 applications of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," of the Standard Specifications. Aerosol cans shall not be used. Minor heat discoloration in area of welds need not be repaired.

RAILING

Railing shall conform to the provisions in Section 83-1.02G(2), "Metal Railing (Tubular)," of the Standard Specifications and these special provisions.

Railing grill shall conform to the provisions in Section 56-1.02F, "Steel Walkway Gratings," of the Standard Specifications.

Ends of railing pipe for stairway shall be closed, except for a 3 mm diameter weep hole at the low point.

All corners on railings shall be rounded. Simple and compound curves shall be formed by bending pipe in jigs to produce uniform curvature; maintain cylindrical cross-section of pipe throughout the bend without buckling, twisting or otherwise deforming exposed surfaces of the pipe.

Wall brackets, end closures, flanges, miscellaneous fitting and anchors shall be provided for interconnections of pipe and attachment of railings and handrails to other work. Inserts and other anchorage devices shall be furnished for connecting railings and handrails to concrete or masonry.

After galvanizing, all elements of the railing shall be free of fins, abrasions, rough or sharp edges, and other surface defects and shall not be kinked, twisted or bent. If straightening is necessary, it shall be done by methods approved by the Engineer. Kinks, twists or bends in the railing may be cause for rejection of the railing.

Railings shall be preassembled in shop to the greatest extent possible to minimize field welding and assembly. Units shall be disassembled only as necessary for shipping and handling limitations. All units shall be clearly match marked for reassembly and installation.

MEASUREMENT AND PAYMENT

Service platforms will be measured by the unit from actual count, regardless of size.

The contract unit price paid for service platform shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing service platforms, complete in place, including reinforced concrete, structural steel, metal decking, railings, stairways, checkered plates, neoprene filler, galvanizing, and miscellaneous metal items, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.77 LIGHT POLE FOUNDATION

This work shall consist of constructing light pole foundations on bridge superstructure for light poles and fixtures as shown on the plans and as specified in these special provisions.

Concrete and reinforcement for light pole foundations shall conform to "Concrete Structures" and "Reinforcement," of these special provisions.

Anchor bolts not designated on the plans as high strength (HS) or stainless steel shall conform to the requirements in ASTM Designation: F 1554, Grade 36. High strength anchor bolts shall conform to the requirements in ASTM Designation: F 1554, Grade 105

Anchor bolts shall be placed in proper position and to proper height and alignment, and shall be held in place by means of a template.

One double heavy hex nut, one leveling nut, and 2 washers shall be provided for the upper threaded portion of each anchor bolt. The Contractor shall provide temporary protection, as determined by the Engineer, of exposed bolt threads for each bolt.

All ferrous metal parts shall be galvanized and shall not be painted.

Full compensation for furnishing and placing concrete, reinforcement, anchor bolts, leveling nuts, double heavy hex nuts, washers and template, including temporary protection of anchor bolt threads, shall be considered as included in the contract price paid per cubic meter for structural concrete (bridge) and no separate payment will be made therefor.

10-1.78 METAL (BARRIER MOUNTED SIGNS)

Metal (barrier mounted signs) shall be furnished and installed at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-2, "Roadside Signs," of the Standard Specifications and these special provisions.

Metal (barrier mounted signs) shall be installed at the locations shown on the plans or where designated by the Engineer and in conformance with these special provisions.

Metal (barrier mounted sign) will be measured and paid for in the same manner specified for miscellaneous metal in Section 75, "Miscellaneous Metal," of the Standard Specifications.

10-1.79 ROADSIDE SIGNS

Roadside signs shall be furnished and installed at the locations shown on the plans or where designated by the Engineer and in conformance with the provisions in Section 56-2, "Roadside Signs," of the Standard Specifications and these special provisions.

The Contractor shall furnish roadside sign panels in conformance with the provisions in "Furnish Sign" of these special provisions.

Wood posts shall be pressure treated after fabrication in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," of the Standard Specifications and AWPA Use Category System: UC4A, Commodity Specification A or B.Type N, Type P, and Type R marker panels mounted on a post with a roadside sign shall be considered to be sign panels and will not be paid for as markers.

10-1.80 FURNISH SIGN

Signs shall be fabricated and furnished in accordance with details shown on the plans, the Traffic Sign Specifications, and these special provisions.

Traffic Sign Specifications for California sign codes are available for review at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Traffic Sign Specifications for signs referenced with Federal MUTCD sign codes can be found in Standard Highway Signs Book, administered by the Federal Highway Administration, which is available for review at:

http://mutcd.fhwa.dot.gov/ser-shs_millennium.htm

Information on cross-referencing California sign codes with the Federal MUTCD sign codes is available at:

http://www.dot.ca.gov/hq/traffops/signtech/signdel/specs.htm

Temporary or permanent signs shall be free from blemishes that may affect the serviceability and detract from the general sign color and appearance when viewing during daytime and nighttime from a distance of 8 m. The face of each finished sign shall be uniform, flat, smooth, and free of defects, scratches, wrinkles, gel, hard spots, streaks, extrusion marks, and air bubbles. The front, back, and edges of the sign panels shall be free of router chatter marks, burns, sharp edges, loose rivets, delaminated skins, excessive adhesive over spray and aluminum marks.

QUALITY CONTROL FOR SIGNS

The requirements of "Quality Control for Signs" in this section shall not apply to construction area signs.

No later than 14 days before sign fabrication, the Contractor shall submit a written copy of the quality control plan for signs to the Engineer for review. The Engineer will have 10 days to review the quality control plan. Sign fabrication shall not begin until the Engineer approves the Contractor's quality control plan in writing. The Contractor shall submit to the Engineer at least 3 copies of the approved quality control plan. The quality control plan shall include, but not be limited to the following requirements:

A. Identification of the party responsible for quality control of signs,
B. Basis of acceptance for incoming raw materials at the fabrication facility,
C. Type, method and frequency of quality control testing at the fabrication facility,
D. List (by manufacturer and product name) of process colors, protective overlay film, retroreflective sheeting and black non-reflective film,
E. Recommended cleaning procedure for each product, and
F. Method of packaging, transport and storage for signs.

No legend shall be installed at the project site. Legend shall include letters, numerals, tildes, bars, arrows, route shields, symbols, logos, borders, artwork, and miscellaneous characters. The style, font, size, and spacing of the legend shall conform to the Standard Alphabets published in the FHWA Standard Highway Signs Book. The legend shall be oriented in the same direction in accordance with the manufacturer's orientation marks found on the retroreflective sheeting.

On multiple panel signs, legend shall be placed across joints without affecting the size, shape, spacing, and appearance of the legend. Background and legend shall be wrapped around interior edges of formed panel signs as shown on plans to prevent delamination.

The following notation shall be placed on the lower right side of the back of each sign where the notation will not be blocked by the sign post or frame:

A. PROPERTY OF STATE OF CALIFORNIA,
B. Name of the sign manufacturer,
C. Month and year of fabrication,
D. Type of retroreflective sheeting, and
E. Manufacturer's identification and lot number of retroreflective sheeting.

The above notation shall be applied directly to the aluminum sign panels in 6-mm upper case letters and numerals by die-stamp and applied by similar method to the fiberglass reinforced plastic signs. Painting, screening, or engraving the notation will not be allowed. The notation shall be applied without damaging the finish of the sign.

Signs with a protective overlay film shall be marked with a dot of 10 mm diameter. The dot placed on white border shall be black, while the dot placed on black border shall be white. The dot shall be placed on the lower border of the sign before application of the protective overlay film and shall not be placed over the legend and bolt holes. The application method and exact location of the dot shall be determined by the manufacturer of the signs.

For sign panels that have a minor dimension of 1220 mm or less, no splice will be allowed in the retroreflective sheet except for the splice produced during the manufacturing of the retroreflective sheeting. For sign panels that have a minor dimension greater than 1220 mm, only one horizontal splice will be allowed in the retroreflective sheeting.

Unless specified by the manufacturer of the retroreflective sheeting, splices in retroreflective sheeting shall overlap by a minimum of 25 mm. Splices shall not be placed within 50 mm from edges of the panels. Except at the horizontal borders, the splices shall overlap in the direction from top to bottom of the sign to prevent moisture penetration. The retroreflective sheeting at the overlap shall not exhibit a color difference under the incident and reflected light.

Signs exhibiting a significant color difference between daytime and nighttime shall be replaced immediately.

Repairing sign panels will not be allowed except when approved by the Engineer.

The Department will inspect signs at the Contractor's facility and delivery location, and in accordance with Section 6, "Control of Materials," of the Standard Specifications. The Engineer will inspect signs for damage and defects before and after installation.

Regardless of kind, size, type, or whether delivered by the Contractor or by a common carrier, signs shall be protected by thorough wrapping, tarping, or other methods to ensure that signs are not damaged by weather conditions and during transit. Signs shall be dry during transit and shipped on palettes, in crates, or tier racks. Padding and protective materials shall be placed between signs as appropriate. Finished sign panels shall be transported and stored by method that protects the face of signs from damage. The Contractor shall replace wet, damaged, and defective signs.

Signs shall be stored in dry environment at all times. Signs shall not rest directly on the ground or become wet during storage. Signs, whether stored indoor or outdoor, shall be free standing. In areas of high heat and humidity signs shall be stored in enclosed climate-controlled trailers or containers. Signs shall be stored indoor if duration of the storage will exceed 30 days.

Screen processed signs shall be protected, transported and stored as recommended by the manufacturer of the retroreflective sheeting.

When requested, the Contractor shall provide the Engineer test samples of signs and materials used at various stages of production. Sign samples shall be 300 mm x 300 mm in size with applied background, letter or numeral, and border strip.

The Contractor shall assume the costs and responsibilities resulting from the use of patented materials, equipment, devices, and processes for the Contractor's work.

SHEET ALUMINUM

Alloy and temper designations for sheet aluminum shall be in accordance with ASTM Designation: B209.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the sheet aluminum.

Sheet aluminum shall be pretreated in accordance to ASTM Designation: B449. Surface of the sheet aluminum shall be cleaned, deoxidized, and coated with a light and tightly adherent chromate conversion coating free of powdery residue. The conversion coating shall be Class 2 with a mass between 108 mg/m^2 and 377 mg/m^2, and an average mass of 269 mg/m^2. Following the cleaning and coating process, the sheet aluminum shall be protected from exposure to grease, oils, dust, and contaminants.

Sheet aluminum shall be free of buckles, warps, dents, cockles, burrs, and defects resulting from fabrication.

Base plate for standard route marker shall be die cut.

RETROREFLECTIVE SHEETING

The contractor shall furnish retroreflective sheeting for sign background and legend in accordance with ASTM Designation: D4956 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Retroreflective sheeting shall be applied to sign panels as recommended by the retroreflective sheeting manufacturer without stretching, tearing, and damage.

Class 1, 3, or 4 adhesive backing shall be used for Type II, III, IV, VII, VIII, and IX retroreflective sheeting. Class 2 adhesive backing may also be used for Type II retroreflective sheeting. The adhesive backing shall be pressure sensitive and fungus resistant.

When the color of the retroreflective sheeting determined from instrumental testing is in dispute, the Engineer's visual test will govern.

PROCESS COLOR AND FILM

The Contractor shall furnish and apply screened process color, non-reflective opaque black film, and protective overlay film of the type, kind, and product that are approved by the manufacturer of the retroreflective sheeting.

The Contractor shall furnish the Engineer a Certificate of Compliance in accordance to Section 6-1.07, "Certificates of Compliance," of the Standard Specifications for the screened process color, non-reflective opaque black film, and protective overlay film.

The surface of the screened process color shall be flat and smooth. When the screened process colors determined from the instrumental testing in accordance to ASTM Designation: D4956 are in dispute, the Engineer's visual test will govern.

The Contractor shall provide patterns, layouts, and set-ups necessary for the screened process.

The Contractor may use green, red, blue, and brown reverse-screened process colors for background and non-reflective opaque black film or black screened process color for legend. The coefficient of retroreflection for reverse-screened process colors on white retroreflective sheeting shall not be less than 70 percent of the coefficient of retroreflection specified in ASTM Designation: D4956.

The screened process colors and non-reflective opaque black film shall have the same outdoor weatherability as that of the retroreflective sheeting.

After curing, screened process colors shall withstand removal when tested by applying 3M Company Scotch Brand Cellophane Tape No. 600 or equivalent tape over the color and removing with one quick motion at 90°angle.

SINGLE SHEET ALUMINUM SIGN

Single Sheet aluminum signs shall be fabricated and furnished with or without frame. The Contractor shall furnish the sheet aluminum in accordance to "Sheet Aluminum" of these special provisions. Single sheet aluminum signs shall be fabricated from sheet aluminum alloy 6061-T6 or 5052-H38.

Single Sheet aluminum signs shall not have a vertical splice in the sheet aluminum. For signs with depth greater than 1220 mm, one horizontal splice will be allowed in the sheet aluminum.

Framing for single sheet aluminum sign shall consist of aluminum channel or rectangular aluminum tubing. The framing shall have a length tolerance of +3 mm. The face sheet shall be affixed to the frame with rivets of 5-mm diameter. Rivets shall be placed within the web of channels and shall not be placed less than 13 mm from edges of the sign panels. Rivets shall be made of aluminum alloy 5052 and shall be anodized or treated with conversion coating to prevent corrosion. The exposed portion of rivets on the face of signs shall be the same color as the background or legend where the rivets are placed.

Finished signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the detailed dimensions.

Aluminum channels or rectangular aluminum tubings shall be welded together with the inert gas shielded-arc welding process using E4043 aluminum electrode filler wires as shown on the plans. Width of the filler shall be equal to wall thickness of smallest welded channel or tubing.

FIBERGLASS REINFORCED PLASTIC PANEL SIGN

The contractor shall furnish fiberglass reinforced plastic panel sign in accordance with ASTM Designation: D3841 and "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Fiberglass reinforced plastic shall be acrylic modified and ultraviolet stabilized for outdoor weatherability. The plastic shall contain additives designed to suppress fire ignition and flame propagation. When tested in accordance with the requirements in the ASTM Designation: D635, the extent of burning shall not exceed 25 mm.

Fiberglass reinforced plastic shall be stabilized to prevent the release solvents and monomers. The front and back surfaces of the laminate shall be clean and free of constituents and releasing agents that can interfere with the bonding of retroreflective sheeting.

The fiberglass reinforced plastic panel sign shall be weather resistant Grade II thermoset polyester laminate.

The fiberglass reinforced plastic panels shall be minimum 3.4 mm thick. Finished fiberglass reinforced plastic panel signs shall be flat within a tolerance of +3 mm per meter when measured across the plane of the sign in all directions. The finished signs shall have an overall tolerance within +3 mm of the specified dimensions.

Color of fiberglass reinforced plastic panels shall be uniform gray within Munsel range of N7.5 to N8.5.

Fiberglass reinforced plastic panels shall be cut from a single piece of laminate. Bolt holes shall be predrilled. The predrilled bolt holes, panel edges, and the front and back surfaces of the panels shall be true and smooth. The panel surfaces shall be free of visible cracks, pinholes, foreign inclusions, warping and wrinkles that can affect performance and serviceability.

LAMINATED PANEL SIGN

Laminated panel signs shall consist of two sheet aluminum laminated to a honeycomb core and extruded aluminum frame to produce flat and rigid panels of 25.4-mm or 63.5-mm nominal thickness.

The face of laminated panel signs shall be fabricated from sheet aluminum alloy 6061-T6 or 5052-H32 of 1.6-mm thickness. The back of laminated panel signs shall be fabricated from sheet aluminum alloy 3003-H14 of 1.0-mm thickness. The Contractor shall furnish sheet aluminum as provided in "Sheet Aluminum" of these special provisions.

The core material shall be phenolic impregnated kraft paper honeycomb and fungus resistant in accordance to Military Specification MIL-D-5272. The honeycomb cell size shall be 13 mm. Weight of the kraft paper shall be 300 g/m^2 and impregnated minimum 18 percent by weight.

A laminating adhesive that can produce a resilient oil and water-resistant bond shall be used to adhere the extruded aluminum frame and the honeycomb core to the sheet aluminum. Edge and interior delamination occur when a 0.25-mm thick feeler gauge of 13 mm in length can be inserted into a depth of more than 13 mm between the extruded aluminum frame and the sheet aluminum. Laminated panel sign with delamination will be rejected.

Laminated panels shall be able to resist a wind load of 161 kg/m^2 for the following simple span lengths with a bending safety factor of 1.25:

Panel Type	Nominal Panel Thickness	Simple Span Length
A	25.4 mm	2.7 m
B	25.4 mm	2.7 m
	63.5 mm	4.42 m
H	63.5 mm	4.42 m

The tensile strength of laminated panels shall be at least 138 kPa when tested in accordance with the following modification and with ASTM Designations: C297 and C481, Cycle B after aging. Instead of spraying with hot water, the specimen shall be totally immersed in 70°C hot water. When requested by the Engineer or the Transportation Laboratory, at least one test sample of 300 mm x 300 mm in size shall be taken for every 186 m^2 of the panel production cycle or of the total factory production order, whichever occurs first.

Rivets used to secure the sheet aluminum to the perimeter frame shall be fabricated from aluminum alloy 5052 and annodized or treated with a conversion coating to prevent corrosion. Size of the aluminum rivets shall be 5 mm in diameter and placed at the corners of the laminated panels. Color of the exposed portion of the rivets shall be the same color as the sign background or legend on which the rivets are placed. Rivets or stainless steel screws shall be placed in holes drilled during fabrication in the perimeter frame.

On laminated multiple panel signs, a closure H-Section shall be placed in the top channel of the bottom panel. Perimeter frame of adjoining panel shall accommodate the closure H-Section in the closed position.

For signs with a depth of 1524 mm or less, the laminated panels shall be fabricated with no horizontal joints, splices or seams. For signs with a depth of greater than 1524 mm, the laminated panels may be fabricated in two panels.

The face of laminated panels shall be flat with a tolerance of +8 mm per meter when measured across the plane of each panel in all directions. Where laminated panels adjoin, the gap between adjoining edges from one corner to the other corner shall not deviate by more than 1 mm. Non-adjoining edges from one corner to the other corner shall not deviate by more than 3 mm from a straight plane. The front and back sheet aluminum shall be flush with the perimeter frame. The panel edges shall be smooth.

Laminated panel signs shall be within +3 mm or -13 mm of the detailed dimensions. The difference in length between adjoining panels of multiple panel signs shall not be greater than 13 mm.

Roadside laminated panel signs shall be Type B or Type H. Type B panels shall have a nominal thickness of 25.4 mm or 63.5 mm. Type H panels shall have a nominal thickness of 63.5 mm.

The perimeter frame of Type B panels shall consist of extruded channel edges. The interior and exterior sides of the channels, except the sides touching the face and back sheet aluminum, shall be welded at the joint. Sealant shall be placed at the corners of the perimeter frame to prevent moisture penetration.

Each side of the vertical tube spacers of Type B panels shall be welded to the perimeter frame, except the sides touching the front and back sheet aluminum.

The perimeter frame of Type H panels shall consist of extruded channel edges on the vertical sides and consist of extruded tube channel edges on the horizontal sides. The perimeter frame shall be connected by self-tapping hex head stainless steel screws. Sealant shall be placed at the corners of the perimeter frame to prevent moisture penetration.

For Type H panels with a length of 5182 mm or longer, centerline panel tube shall be placed along the horizontal centerline of the panel. The ends of the centerline panel tube shall be firmly affixed to the perimeter frame.

Each side of the vertical tube spacers of Type H panels shall be welded to the perimeter frame and the centerline panel tube, except the sides touching the front and back sheet aluminum.

The Contractor shall furnish mounting hardware for roadside laminated panel signs, such as closure H-sections, lags, bolts, nuts, and washers.

Overhead laminated panel signs shall be Type A and have a nominal thickness of 25.4 mm.

For overhead laminated signs with a length of 7315 mm or less, the laminated panels shall be fabricated with no vertical joints, splices or seams. For signs with a length of greater than 7315 mm, the length of each adjoining panel shall be as determined by the Engineer or as shown on the plans.

The perimeter frame of Type A overhead laminated panels shall be connected by self-tapping hex head stainless steel screws. Sealant shall be placed at the corners of the perimeter frame to prevent moisture penetration. The perimeter frame of Type A panels shall consist of extruded channel edges on the vertical sides and consist of modified "H" section extrusion on the horizontal sides. The modified "H" section extrusion acts as an integral retainer track for affixing the bolts to provide blind fastening of panels to the structure support.

The Contractor shall furnish mounting hardware for overhead laminated panel signs, such as closure H-sections, clamps, bolts, nuts, and washers. The clamps shall be cast aluminum alloy with a minimum tensile strength of 170 MPa. Bolt torque used for installing clamps shall not exceed 12 N-m.

FORMED PANEL SIGN

Formed panel signs shall be fabricated from one continuous sheet aluminum alloy 5052-H32 of 1.6-mm thickness. The Contractor shall furnish sheet aluminum as provided in "Sheet Aluminum" of these special provisions.

The aluminum frame shall be affixed to the panel with aluminum rivets through the face of the sign panels. Color of the exposed portion of the rivets shall be the same color as the sign background or legend on which the rivets are placed.

The face of finished formed panel sign shall be flat with a tolerance of 10 mm per meter when measured across the plane of each panel in all directions.

The Contractor shall furnish mounting hardware for roadside and overhead formed panel signs. Hardware for the overhead formed panel signs shall include bolts, nuts, and washers.

The length and depth of the overhead formed panel signs shall be within ±2 mm of the detailed dimension.

The formed edges of the overhead panel signs shall be square. The mounting holes shall be straight and perpendicular to the front and back surfaces of the formed edges at the spacing shown on the plans. Holes that are improperly spaced and placed at the wrong angle will be rejected.

MEASUREMENT AND PAYMENT

Furnishing signs (except for construction area signs) will be measured by the square meter and the quantity to be paid for will be the total area, in square meters, of the sign panel types installed in place.

The contract price paid per square meter for furnish sign of the types specified in the Engineer's estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in fabricating and furnishing the signs, including removable sign panel frame and fastening hardware, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for furnishing and installing protective overlay on signs shall be considered as included in the contract price paid per square meter for furnish sign of the various types and no separate payment will be made therefor.

10-1.81 CLEAN AND PAINT STRUCTURAL STEEL

New metal surfaces of temporary eastbound on-ramp structures shall be cleaned and painted in conformance with the provisions in Section 59-2, "Painting Structural Steel," Section 59-3, "Painting Galvanized Surfaces," and Section 91, "Paint," of the Standard Specifications and these special provisions.

GENERAL

Before performing any painting or paint removal, the Contractor shall submit to the Engineer, in conformance with the provisions in "Working Drawings" of these special provisions, 3 copies of a separate Painting Quality Work Plan (PQWP) for each item of work for which painting or paint removal is to be performed. As a minimum, each PQWP shall include the following:

A. The name of each Contractor or subcontractor to be used.
B. One copy each of all current ASTM and "SSPC: The Society for Protective Coatings" specifications or qualification procedures applicable to the painting or paint removal to be performed. These documents shall become the permanent property of the Department.
C. A copy of the coating manufacturer's guidelines and recommendations for surface preparation, painting, drying, curing, handling, shipping, and storage of painted structural steel, including testing methods and maximum allowable levels for soluble salts.
D. Proposed materials, methods, and equipment to be used for any paint application.
E. Proof of each of any required certifications, SSPC-QP 1, SSPC-QP 2, SSPC-QP 3. Where SSPC-QP 3 certification is required, an enclosed shop facility shall be required. Certification of AISC Sophisticated Paint Endorsement Quality Program, P-1 Enclosed endorsement, will be considered equivalent to SSPC-QP 3.

    1. In lieu of certification in conformance with the requirements in SSPC-QP 1 for this project, the Contractor may submit written documentation showing conformance with the requirements in Section 3, "General Qualification Requirements," of SSPC-QP 1.
    2. In lieu of certification in conformance with the requirements in SSPC-QP 2 for this project, the Contractor may submit written documentation showing conformance with the requirements in Sections 4.2 through 4.6 of SSPC-QP 2.

F. Proposed methods to control environmental conditions in accordance with the manufacturer's recommendations and these special provisions.
G. Proposed methods to protect the coating during curing, shipping, handling, and storage.
H. Proposed rinse water collection plan.

I. A detailed paint repair plan for the repair of damaged areas.
J. Procedures for containing blast media and water during application of coatings and coating repair of erected steel.
K. Examples of proposed daily reports for all testing to be performed, including type of testing, location, lot size, time, weather conditions, test personnel, and results.

Before submitting the PQWP, a prepainting meeting between the Engineer, the Contractor, and a representative from each entity performing painting for this project shall be held to discuss the requirements for the PQWP.

The Engineer shall have 20 days to review the PQWP submittal after a complete plan has been received. No painting or paint removal shall be performed until the PQWP for that work is approved by the Engineer. Should the Engineer fail to complete the review within this time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the PQWP, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Engineer's approval of the Contractor's PQWP shall not relieve the Contractor of any responsibility under the contract for the successful completion of the work in conformity with the requirements of the plans and specifications.

The Contractor shall provide enclosures to permit cleaning and painting during inclement weather. Provisions shall be made to control atmospheric conditions inside the enclosures within specified limits during cleaning and painting operations, drying to solvent insolubility, and throughout the curing period in accordance with the manufacturer's recommendations and these special provisions. Full compensation for providing and maintaining such enclosures shall be considered as included in the prices paid for the various contract items of work requiring paint and no additional compensation will be allowed therefor.

Fresh, potable water with a maximum chloride content of 75 mg/L and a maximum sulfate content of 200 mg/L shall be used for water rinsing or pressure washing operations. No continuous recycling of rinse water will be permitted. If rinse water is collected into a tank and subsequent testing determines the collected water conforms to the specified requirements, reuse may be permitted by the Engineer if no collected water is added to the tank after sample collection for determination of conformance to specified requirements.

CLEANING

New metal surfaces, except where galvanized, shall be dry blast cleaned in conformance with the requirements in SSPC-SP 10, "Near White Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave surfaces with a dense, uniform, angular anchor pattern of not less than 40 µm nor more than 86 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

Mineral and slag abrasives used for blast cleaning steel surfaces shall conform to the requirements for Class A, Grade 2 to 3 abrasives contained in SSPC-AB 1, "Mineral and Slag Abrasives," of the "SSPC: The Society for Protective Coatings," and shall not contain hazardous material.

Steel abrasives used for blast cleaning steel surfaces shall comply with the requirements of SSPC-AB 3, "Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings." If steel abrasive is recycled through shop or field abrasive blast cleaning units, the recycled abrasive shall conform to the requirements of SSPC-AB 2, "Specification for Cleanliness of Recycled Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings."

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications and a Material Safety Data Sheet shall be furnished before use for each shipment of blast cleaning material for steel.

Abrasive blast cleaned surfaces shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the coating manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of abrasive blast cleaned steel shall be tested at the rate of 3 tests for the first 100 square meters prepared per day, and one test for each additional 100 square meters or portion thereof, at locations selected by the Engineer. When less than 100 square meters of surface area is prepared in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.

Corners shall be chamfered to remove sharp edges.

Thermal cut edges (TCEs) to be painted shall be conditioned before blast cleaning by shallow grinding or other method approved by the Engineer to remove the thin, hardened layer of material resulting from resolidification during cooling.

Visually evident base metal surface irregularities and defects shall be removed in accordance with ASTM Designation: A 6 or AASHTO Designation: M 160 before blast cleaning steel. When material defects exposed by blast cleaning are removed, the blast profile shall be restored by either blast cleaning or by using mechanical tools in accordance with SSPC-SP 11, "Power Tool Cleaning to Bare Metal," of the "SSPC: The Society for Protective Coatings."

PAINTING

Blast cleaned surfaces shall receive a single undercoat, and a final coat where specified, consisting of an inorganic zinc coating conforming to the requirements in AASHTO Designation: M 300, Type I or Type II, except that: 1) the first 3 sentences of Section 5.6, "Primer Field Performance Requirements," shall not apply for Type II coatings, and 2) the entire Section 5.6.1 shall not apply for either type of inorganic zinc coating.

The single undercoat shall consist of an inorganic zinc coating conforming to the requirements in AASHTO Designation: M 300, Type I or Type II, except that: 1) the first 3 sentences of Section 5.6, "Primer Field Performance Requirements," shall not apply for Type II coatings, and 2) the entire Section 5.6.1 shall not apply for either type of inorganic zinc coating.

If the Contractor proposes to use a Type I coating, the Contractor shall furnish to the Engineer for review documentation as required in Section 5.6 of AASHTO Designation: M 300. The Contractor shall allow the Engineer 30 days to review the proposal. Type I coatings selected for use shall meet the current applicable volatile organic compound limits for the air quality district in which the project is located.

If the Contractor proposes to use a Type II coating, the coating shall be selected from the qualified products list, which may be obtained from the Transportation Laboratory.

The color of the final application of inorganic zinc coating shall match Federal Standard 595B No. 36373.

Inorganic zinc coating shall be used within 12 hours of initial mixing.

Application of inorganic zinc coating shall conform to the provisions for applying zinc-rich coating in Section 59-2.13, "Application of Zinc-Rich Primer," of the Standard Specifications.

The single undercoat of inorganic zinc coating shall be applied to the required dry film thickness in 2 or more applications within 8 hours of the start of blast cleaning. Abrasive blast cleaned steel shall not be exposed to relative humidity exceeding 85 percent before application of inorganic zinc coating.

The total dry film thickness of all applications of the inorganic zinc undercoat, including the surfaces of outside existing members within the grip under bolt heads, nuts, and washers, shall be not less than 100 µm nor more than 200 µm, except that the total dry film thickness on each faying (contact) surface of high strength bolted connections shall be between 25 µm and the maximum allowable dry film thickness for Class B coatings as determined by certified testing in conformance with Appendix A of the "Specification for Structural Joints Using ASTM A325 or A490 Bolts" of the Research Council on Structural Connections (RCSC Specification). Unless otherwise stated, all inorganic zinc coatings used on faying surfaces shall meet the slip coefficient requirements for a Class B coating on blast-cleaned steel, as specified in the RCSC Specification. The Contractor shall provide results of certified testing showing the maximum allowable dry film thickness for the Class B coating from the qualifying tests for the coating chosen, and shall maintain the coating thickness on actual faying surfaces of the structure at or below this maximum allowable coating thickness.

Areas where mudcracking occurs in the inorganic zinc coating shall be blast cleaned and repainted with inorganic zinc coating to the specified thickness.

Steel surfaces coated with Type II inorganic zinc coating shall be protected from conditions that may cause the coating film to dissolve. The Contractor, at the Contractor's expense, shall repair areas where the coating has dissolved by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Dry spray, or overspray, as defined in the Steel Structures Painting Manual, Volume 1, "Good Painting Practice," of the "SSPC: The Society for Protective Coatings," shall be removed before application of subsequent coats or final acceptance. Removal of dry spray shall be by screening or other methods that minimize polishing of the inorganic zinc surface. The dry film thickness of the coating after removal of dry spray shall be in conformance with the provisions for applying the single undercoat, as specified herein.

The Contractor shall test the inorganic zinc coating before application of final coats. The locations of the tests will be determined by the Engineer. The Contractor shall determine the sequence of the testing operations. The testing for adhesion and hardness shall be performed no sooner than 72 hours after application of the single undercoat of inorganic zinc coating. Satisfactory access shall be provided to allow the Engineer to determine the location of the tests.

The inorganic zinc coating shall pass the following tests:

A. The inorganic zinc coating shall have a minimum adhesion to steel of 4 MPa when measured using a self-aligning adhesion tester in conformance with the requirements in ASTM Designation: D 4541. The Engineer will select 3 locations per girder or 100 square meters of painted surface, whichever is less, for adhesion testing. If less than 100 square meters of steel is painted in a work shift, the Engineer will select 3 areas painted during the work shift for testing. If 2 or more of the locations tested fail to meet adhesion requirements, the entire area represented by the tests will be rejected. If one of the locations tested fails to meet adhesion requirements, an additional 3 locations shall be tested. Should any of the additional locations fail to meet adhesion requirements, the entire area represented by the tests will be rejected. The Contractor, at the Contractor's expense, shall repair the rejected area by blast cleaning and repainting with inorganic zinc to the specified thickness. Test locations for areas of inorganic zinc

meeting adhesion testing requirements shall be repaired by application of organic zinc primer as specified in Section 91-1.04, "Materials," of the Standard Specifications to the specified minimum dry film thickness.

B. Areas of inorganic zinc coating where final coats are to be applied shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of inorganic zinc coating shall be tested at the rate of 3 tests for the first 100 square meters to be painted per day and one test for each additional 100 square meters or portion thereof at locations selected by the Engineer. When less than 100 square meters of surface area is painted in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.

C. Before application of finalcoats, the inorganic zinc coating shall exhibit a solid, hard, and polished metal surface when firmly scraped with the knurled edge of a quarter. Inorganic zinc coating that is powdery, soft, or does not exhibit a polished metal surface, as determined by the Engineer, shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Additional Requirements for Water Borne Inorganic Zinc Primers

A. The surface pH of the inorganic zinc primer shall be tested by wetting the surface with de-ionized water for a minimum of 15 minutes but no longer than 30 minutes and applying pH paper with a capability of measuring in increments of 0.5 pH units. At least 2 surface pH readings shall be taken for every 50 square meters or portion thereof. If less than 50 square meters of steel is coated in a single shift or day, at least 2 surface pH readings shall be taken for primer applied during that period. Application of finish coats will not be permitted until the surface pH is less than or equal to 7.

B. Dry to solvent insolubility for water borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752, except that water shall be the solvent. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Additional Requirements for Solvent Borne Inorganic Zinc Primers

A. Dry to solvent insolubility for solvent borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

B. Surface hardness of solvent borne inorganic zinc shall be a minimum 2H when measured in conformance with the requirements in ASTM Designation: D 3363. Areas of inorganic zinc coating shall be tested at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating that fails to meet the surface hardness requirements shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

The Contractor, at the Contractor's expense, shall retest all rejected areas of inorganic zinc coating after repairs have been completed.

The exterior surfaces of undercoated areas shall receive a final coat of an inorganic zinc coating of the same product used in the single undercoat.

The final coat of inorganic zinc coating shall be applied after testing and completion of all operations that may damage or discolor the steel surface, including correction of runs, sags, thin and excessively thick areas in the paint film, skips and holidays, dry spray, or areas of non-uniform appearance.

The area to receive the final coat of inorganic zinc coating shall be lightly roughened by abrasive blasting using an abrasive no larger than 600 µm. Abrasive blasting shall remove no more than 15 µm of inorganic zinc. The surface to be lightly roughened shall be free from moisture, dust, grease, or deleterious material. The undercoated areas of the under surfaces of bottom flanges shall be protected from abrasive blast cleaning operations.

The final coat of inorganic zinc coating shall be applied to the required dry film thickness in one uniform application within 24 hours after light roughening. The dry film thickness of the final coat shall be not less than 25 µm nor more than 75 µm.

Except at bolted connections, the total dry film thickness of all applications of the single undercoat and final coat of inorganic zinc coating shall be not less than 125 µm nor more than 275 µm.

Finish coats will not be required.

10-1.82 CLEAN AND PAINT (MISCELLANEOUS FACILTIES)

New metal surfaces for the following facilities shall be cleaned and painted in conformance with the provisions in Section 59-2, "Painting Structural Steel," Section 59-3, "Painting Galvanized Surfaces," and Section 91, "Paint," of the Standard Specifications and these special provisions:

1. Bike path railing and all components
2. Service platforms components
3. Steel barrier and all components
4. Overhead sign structures
5. Changeable message sign structures

GENERAL

Before performing any painting or paint removal, the Contractor shall submit to the Engineer, in conformance with the provisions in "Working Drawings," of these special provisions,, 3 copies of a separate Painting Quality Work Plan (PQWP) for each item of work for which painting or paint removal is to be performed. As a minimum, each PQWP shall include the following:

A. The name of each Contractor or subcontractor to be used.
B. One copy each of all current ASTM and "SSPC: The Society for Protective Coatings" specifications or qualification procedures applicable to the painting or paint removal to be performed. These documents shall become the permanent property of the Department.
C. A copy of the coating manufacturer's guidelines and recommendations for surface preparation, painting, drying, curing, handling, shipping, and storage of painted structural steel, including testing methods and maximum allowable levels for soluble salts.
D. Proposed materials, methods, and equipment to be used for any paint application.
E. Proof of each of any required certifications, SSPC-QP 1, SSPC-QP 2, SSPC-QP 3. Where SSPC-QP 3 certification is required, an enclosed shop facility shall be required. Certification of AISC Sophisticated Paint Endorsement Quality Program, P-1 Enclosed endorsement, will be considered equivalent to SSPC-QP 3.

    1. In lieu of certification in conformance with the requirements in SSPC-QP 1 for this project, the Contractor may submit written documentation showing conformance with the requirements in Section 3, "General Qualification Requirements," of SSPC-QP 1.

F. Proposed methods to control environmental conditions in accordance with the manufacturer's recommendations and these special provisions.
G. Proposed methods to protect the coating during curing, shipping, handling, and storage.
H. Proposed rinse water collection plan.
I. A detailed paint repair plan for the repair of damaged areas.
J. Procedures for containing blast media and water during application of coatings and coating repair of erected steel.
K. Examples of proposed daily reports for all testing to be performed, including type of testing, location, lot size, time, weather conditions, test personnel, and results.

Before submitting the PQWP, a prepainting meeting between the Engineer, the Contractor, and a representative from each entity performing painting for this project shall be held to discuss the requirements for the PQWP.

The Engineer shall have 20 days to review the PQWP submittal after a complete plan has been received. No painting or paint removal shall be performed until the PQWP for that work is approved by the Engineer. Should the Engineer fail to complete the review within this time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the PQWP, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Engineer's approval of the Contractor's PQWP shall not relieve the Contractor of any responsibility under the contract for the successful completion of the work in conformity with the requirements of the plans and specifications.

The Contractor shall provide enclosures to permit cleaning and painting during inclement weather. Provisions shall be made to control atmospheric conditions inside the enclosures within specified limits during cleaning and painting operations, drying to solvent insolubility, and throughout the curing period in accordance with the manufacturer's recommendations and these special provisions. Full compensation for providing and maintaining such enclosures shall be considered as included in the prices paid for the various contract items of work requiring paint and no additional compensation will be allowed therefor.

Fresh, potable water with a maximum chloride content of 75 mg/L and a maximum sulfate content of 200 mg/L shall be used for water rinsing or pressure washing operations. No continuous recycling of rinse water will be permitted. If rinse water is collected into a tank and subsequent testing determines the collected water conforms to the specified requirements, reuse may be permitted by the Engineer if no collected water is added to the tank after sample collection for determination of conformance to specified requirements.

CLEANING

New metal surfaces, except where galvanized, shall be dry blast cleaned in conformance with the requirements in SSPC-SP 10, "Near White Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave surfaces with a dense, uniform, angular anchor pattern of not less than 40 µm nor more than 86 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

Mineral and slag abrasives used for blast cleaning steel surfaces shall conform to the requirements for Class A, Grade 2 to 3 abrasives contained in SSPC-AB 1, "Mineral and Slag Abrasives," of the "SSPC: The Society for Protective Coatings," and shall not contain hazardous material.

Steel abrasives used for blast cleaning steel surfaces shall comply with the requirements of SSPC-AB 3, "Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings." If steel abrasive is recycled through shop or field abrasive blast cleaning units, the recycled abrasive shall conform to the requirements of SSPC-AB 2, "Specification for Cleanliness of Recycled Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings."

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications and a Material Safety Data Sheet shall be furnished before use for each shipment of blast cleaning material for steel.

The inside surfaces of bolt holes shall be cleaned in conformance with the requirements in SSPC-SP 1, "Solvent Cleaning," of the "SSPC: The Society for Protective Coatings," and visible rust shall be removed.

Abrasive blast cleaned surfaces shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the coating manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of abrasive blast cleaned steel shall be tested at the rate of 3 tests for the first 100 square meters prepared per day, and one test for each additional 100 square meters or portion thereof, at locations selected by the Engineer. When less than 100 square meters of surface area is prepared in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.

Corners shall be chamfered to remove sharp edges.

Thermal cut edges (TCEs) to be painted shall be conditioned before blast cleaning by shallow grinding or other method approved by the Engineer to remove the thin, hardened layer of material resulting from resolidification during cooling.

Visually evident base metal surface irregularities and defects shall be removed in accordance with ASTM Designation: A 6 or AASHTO Designation: M 160 before blast cleaning steel. When material defects exposed by blast cleaning are removed, the blast profile shall be restored by either blast cleaning or by using mechanical tools in accordance with SSPC-SP 11, "Power Tool Cleaning to Bare Metal," of the "SSPC: The Society for Protective Coatings."

PAINTING

Blast cleaned surfaces shall receive a single undercoat of an inorganic zinc coating and a single finish coat of a polysiloxane paint approved by the manufacturer of the inorganic zinc coating.

The single undercoat shall consist of an inorganic zinc coating conforming to the requirements in AASHTO Designation: M 300, Type I or Type II, except that: 1) the first 3 sentences of Section 5.6, "Primer Field Performance Requirements," shall not apply for Type II coatings, and 2) the entire Section 5.6.1 shall not apply for either type of inorganic zinc coating.

If the Contractor proposes to use a Type I coating, the Contractor shall furnish to the Engineer for review documentation as required in Section 5.6 of AASHTO Designation: M 300. The Contractor shall allow the Engineer 30 days to review the proposal. Type I coatings selected for use shall meet the current applicable volatile organic compound limits for the air quality district in which the project is located.

If the Contractor proposes to use a Type II coating, the coating shall be selected from the qualified products list, which may be obtained from the Transportation Laboratory.

The inside surfaces of bolt holes shall be painted with one application of a zinc rich primer (organic vehicle type) after the application of the undercoat of inorganic zinc on adjacent steel. The steel surfaces adjacent to the bolt holes shall be kept clean and protected from drippings during the application of the primer.

The color of the final application of inorganic zinc coating shall match Federal Standard 595B No. 36373.

Inorganic zinc coating shall be used within 12 hours of initial mixing.

Application of inorganic zinc coating shall conform to the provisions for applying zinc-rich coating in Section 59-2.13, "Application of Zinc-Rich Primer," of the Standard Specifications.

The single undercoat of inorganic zinc coating shall be applied to the required dry film thickness in 2 or more applications within 8 hours of the start of blast cleaning. Abrasive blast cleaned steel shall not be exposed to relative humidity exceeding 85 percent before application of inorganic zinc coating.

The total dry film thickness of all applications of the inorganic zinc undercoat, including the surfaces of outside existing members within the grip under bolt heads, nuts, and washers, shall be not less than 100 µm nor more than 200 µm, except that the total dry film thickness on each faying (contact) surface of high strength bolted connections shall be between 25 µm and the maximum allowable dry film thickness for Class B coatings as determined by certified testing in conformance with Appendix A of the "Specification for Structural Joints Using ASTM A325 or A490 Bolts" of the Research Council on Structural Connections (RCSC Specification). Unless otherwise stated, all inorganic zinc coatings used on faying surfaces shall meet the slip coefficient requirements for a Class B coating on blast-cleaned steel, as specified in the RCSC Specification. The Contractor shall provide results of certified testing showing the maximum allowable dry film thickness for the Class B coating from the qualifying tests for the coating chosen, and shall maintain the coating thickness on actual faying surfaces of the structure at or below this maximum allowable coating thickness.

Areas where mudcracking occurs in the inorganic zinc coating shall be blast cleaned and repainted with inorganic zinc coating to the specified thickness.

Steel surfaces coated with Type II inorganic zinc coating shall be protected from conditions that may cause the coating film to dissolve. The Contractor, at the Contractor's expense, shall repair areas where the coating has dissolved by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Dry spray, or overspray, as defined in the Steel Structures Painting Manual, Volume 1, "Good Painting Practice," of the "SSPC: The Society for Protective Coatings," shall be removed before application of subsequent coats or final acceptance. Removal of dry spray shall be by screening or other methods that minimize polishing of the inorganic zinc surface. The dry film thickness of the coating after removal of dry spray shall be in conformance with the provisions for applying the single undercoat, as specified herein.

The Contractor shall test the inorganic zinc coating before application of finish— coats. The locations of the tests will be determined by the Engineer. The Contractor shall determine the sequence of the testing operations. The testing for adhesion and hardness shall be performed no sooner than 72 hours after application of the single undercoat of inorganic zinc coating. Satisfactory access shall be provided to allow the Engineer to determine the location of the tests.

The inorganic zinc coating shall pass the following tests:

A. The inorganic zinc coating shall have a minimum adhesion to steel of 4 MPa when measured using a self-aligning adhesion tester in conformance with the requirements in ASTM Designation: D 4541. The Engineer will select 3 locations per girder or 100 square meters of painted surface, whichever is less, for adhesion testing. If less than 100 square meters of steel is painted in a work shift, the Engineer will select 3 areas painted during the work shift for testing. If 2 or more of the locations tested fail to meet adhesion requirements, the entire area represented by the tests will be rejected. If one of the locations tested fails to meet adhesion requirements, an additional 3 locations shall be tested. Should any of the additional locations fail to meet adhesion requirements, the entire area represented by the tests will be rejected. The Contractor, at the Contractor's expense, shall repair the rejected area by blast cleaning and repainting with inorganic zinc to the specified thickness. Test locations for areas of inorganic zinc meeting adhesion testing requirements shall be repaired by application of organic zinc primer as specified in Section 91-1.04, "Materials," of the Standard Specifications to the specified minimum dry film thickness.

B. Areas of inorganic zinc coating where finish coats are to be applied shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the manufacturer's written recommendations or 10 micrograms per square centimeter. Areas of inorganic zinc coating shall be tested at the rate of 3 tests for the first 100 square meters to be painted per day and one test for each additional 100 square meters or portion thereof at locations selected by the Engineer. When less than 100 square meters of surface area is painted in a shift, at least 2 tests shall be performed. If levels of soluble salts exceed the maximum allowed by these special provisions, the entire area represented by the testing will be rejected. The Contractor shall perform additional cleaning and testing of rejected areas until soluble salt levels conform to these requirements.

C. Before application of finish coats, the inorganic zinc coating shall exhibit a solid, hard, and polished metal surface when firmly scraped with the knurled edge of a quarter. Inorganic zinc coating that is powdery, soft, or does not exhibit a polished metal surface, as determined by the Engineer, shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Additional Requirements for Water Borne Inorganic Zinc Primers

A. The surface pH of the inorganic zinc primer shall be tested by wetting the surface with de-ionized water for a minimum of 15 minutes but no longer than 30 minutes and applying pH paper with a capability of measuring in increments of 0.5 pH units. At least 2 surface pH readings shall be taken for every 50 square meters or portion thereof. If less than 50 square meters of steel is coated in a single shift or day, at least 2 surface pH readings shall be taken for primer applied during that period. Application of finish coats will not be permitted until the surface pH is less than or equal to 7.
B. Dry to solvent insolubility for water borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752, except that water shall be the solvent. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Additional Requirements for Solvent Borne Inorganic Zinc Primers

A. Dry to solvent insolubility for solvent borne inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752. The resistance rating shall be not less than 4. Areas of inorganic zinc coating shall be tested for solvent insolubility at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating represented by the tested area that does not meet the solvent insolubility requirements will be rejected. The Contractor, at the Contractor's expense, shall repair rejected areas by blast cleaning and repainting with inorganic zinc coating to the specified thickness.
B. Surface hardness of solvent borne inorganic zinc shall be a minimum 2H when measured in conformance with the requirements in ASTM Designation: D 3363. Areas of inorganic zinc coating shall be tested at the rate of one test per 50 square meters or portion thereof. Inorganic zinc coating that fails to meet the surface hardness requirements shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

The Contractor, at the Contractor's expense, shall retest all rejected areas of inorganic zinc coating after repairs have been completed.

All areas of inorganic zinc coating, shall be water rinsed in conformance with the requirements in Section 59-1.03, "Application," of the Standard Specifications and these special provisions. Areas of the coating removed by water rinsing shall be reapplied in conformance with the provisions for applying zinc-rich coating in Section 59-2.13, "Application of Zinc-Rich Primer," of the Standard Specifications and these special provisions. Except as approved by the Engineer, a minimum time of 72 hours shall be allowed between application of inorganic zinc coating and water rinsing.

The single finish coat shall be an inorganic thermosetting hybrid coating based upon a polysiloxane resin co-reacted or blended with an epoxy, acrylic, or urethane resin or combination thereof supplied by the manufacturer of the inorganic zinc coating. The coating shall not contain any isocyanate or polyisocyanate components.

The surface of the undercoat shall be free from moisture, visible dust, visible grease, or other deleterious materials immediately before application of finish paint.

Galvanized or metallized surfaces designated to receive finish paint shall be cleaned in accordance with the requirements of SSPC-SP 1, "Solvent Cleaning," of the "SSPC: The Society for Protective Coatings," and then primed with a galvanized surface primer recommended by the manufacturer of the polysiloxane finish paint. The complete finish paint system on galvanized fasteners shall have a minimum adhesion rating of 4 when measured in accordance with ASTM Designation: D 3359.

The finish coat paint shall be formulated for application to inorganic zinc coating and shall conform to the following:

Test	Test Method	Requirement
Solvent Resistance (100 double rubs with Methyl Ethyl Ketone)	ASTM D 5402	No visible topcoat on cloth, no softening (ASTM D 3363)
Adhesion to primed steel	ASTM D 4541 (Type III, IV, or V)	5 MPa, minimum
Adhesion to galvanized steel	ASTM D 3359, Procedure A (surface cleaned per SSPC-SP 1 and primed with manufacturer's recommended galvanized surface primer)	4 A, minimum
Dry-Through time	ASTM D 1640	8 hours, maximum
Abrasion Resistance (1000 cycles, CS17 wheel, 1 Kg load)	ASTM D 4060	< 0.125 g loss
Mandrel Bend	ASTM D 522 (125 µm dry film applied to abraded steel plate)	No cracking on 12.7 mm mandrel
Water Resistance	ASTM D 870 (125 µm dry film on primed steel, cured 7 days)	No change in color or gloss after 7 days. Adhesion greater than 4 MPa after 48 hours recovery

In addition, the finish coat paint shall conform to the following requirements after exposure for 4000 hours in conformance with the test procedures in ASTM Designation: D 4587, Cycle 2:

Test	Test Method	Requirement
Color retention	ASTM D 2244 (Color change in ΔE*)	< 2.0
Gloss reduction from original reading	ASTM D 523	10 percent, maximum

The finish coat shall be applied within 48 hours following water rinsing and passing the soluble salt testing requirements herein.

The finish coat shall be applied in 2 applications. The first application shall consist of a spray applied mist application. The second application shall be applied after the mist application has dried to a set to touch condition as determined by the procedure described in Section 7 of ASTM Designation: D 1640. The finish coat color shall be a blend of Federal Standard colors # 27925 and #27880. The total dry film thickness of both applications of the finish coat shall be not less than 125 µm.

Attention is directed to "Supplemental Project Information" of these special provisions regarding referee samples of the Federal Standard colors #27925 and #27880.A test panel at least 600 mm x 600 mm in size shall be successfully completed at a location approved by the Engineer before beginning work on painting steel surfaces. The test panel shall be steel or other material as approved by the Engineer and shall be adequately cleaned. The test panel shall be painted with 2 applications of finish coat using the materials, equipment and methods to be used in painting steel surfaces. If ordered by the Engineer, additional test panels shall be provided until the specified color is obtained, as determined by the Engineer.

The test panel approved by the Engineer shall be used as the standard of comparison in determining acceptability of painted steel surfaces.

The total dry film thickness of all applications of inorganic zinc coating and finish coat paint shall be not less than 200 µm nor more than 325 µm.

The contract lump sum price paid for clean and paint structural steel (miscellaneous facilities) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in cleaning and painting the exposed surfaces of the new metal, complete in place, including water rinsing, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for paint test panel shall be considered as included in the contract lump sum price paid for clean and paint (miscellaneous facilities) and no additional compensation will be allowed therefor.

10-1.83 CLEAN AND PAINT (SEISMIC JOINTS, JOINT SEAL ASSEMBLIES, AND PTFE BEARINGS)

Seismic joints, joint seal assemblies, and PTFE Bearings shall be cleaned and painted with a single coat of inorganic zinc in conformance with the provisions in Sections 59-2, "Painting Structural Steel," 59-3, "Painting Galvanized Surfaces," and 91, "Paint," of the Standard Specifications and these special provisions.

Prior to performing any painting, the Contractor shall submit to the Engineer, in conformance with the provisions in "Working Drawings," of these special provisions, 3 copies of a separate Painting Quality Work Plan (PQWP) for each item of work for which painting is to be performed. As a minimum, each PQWP shall include the following:

A. The name of each Contractor or subcontractor to be used.
B. One copy each of all current ASTM and "SSPC: The Society for Protective Coatings" specifications or qualification procedures applicable to the painting or paint removal to be performed. These documents shall become the permanent property of the Department.
C. A copy of the coating manufacturer's guidelines and recommendations for surface preparation, painting, drying, curing, handling, shipping, and storage of painted structural steel, including testing methods and maximum allowable levels for soluble salts.
D. Proposed methods and equipment to be used for paint application.
E. Proposed methods to control environmental conditions in accordance with the manufacturer's recommendations and these special provisions.
F. Proposed methods to protect the coating during curing, shipping, handling, and storage.
G. A detailed paint repair plan for the repair of damaged areas.
H. Proof of SSPC-QP 3 certification. Certification of AISC Sophisticated Paint Endorsement Quality Program will be considered equivalent to SSPC-QP 3.

The Engineer shall have 14 days to review the PQWP submittal after a complete plan has been received. No painting shall be performed until the PQWP for that work is approved by the Engineer. Should the Engineer fail to complete the review within this time allowance and if, in the opinion of the Engineer, the Contractor's controlling operation is delayed or interfered with by reason of the delay in reviewing the PQWP, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

Fresh, potable water with a maximum chloride content of 75 mg/L and a maximum sulfate content of 200 mg/L shall be used for water rinsing or pressure washing operations. No continuous recycling of rinse water will be permitted. If rinse water is collected into a tank and subsequent testing determines the collected water conforms to the specified requirements, reuse may be permitted by the Engineer if no collected water is added to the tank after sample collection for determination of conformance to specified requirements.

Metal surfaces to be painted shall be dry blast cleaned in conformance with the requirements in SSPC-SP 10, "Near White Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave surfaces with a dense, uniform, angular anchor pattern of not less than 40 µm nor more than 86 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

Mineral and slag abrasives used for blast cleaning metal surfaces shall conform to the requirements for Class A, Grade 2 to 3 abrasives contained in SSPC-AB 1, "Mineral and Slag Abrasives," of the "SSPC: The Society for Protective Coatings," and shall not contain hazardous material.

Steel abrasives used for blast cleaning metal surfaces shall comply with the requirements of SSPC-AB 3, "Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings." If steel abrasive is recycled through shop or field abrasive blast cleaning units, the recycled abrasive shall conform to the requirements of SSPC-AB 2, "Specification for Cleanliness of Recycled Ferrous Metallic Abrasive," of the "SSPC: The Society for Protective Coatings."

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications and a Material Safety Data Sheet shall be furnished prior to use for each shipment of blast cleaning material.

Abrasive blast cleaned surfaces shall be tested by the Contractor for soluble salts using a Class A or B retrieval method as described in Technology Guide 15, "Field Methods for Retrieval and Analysis of Soluble Salts on Steel and Other Nonporous Substrates," of the "SSPC: The Society for Protective Coatings," and cleaned so the maximum level of soluble salts does not exceed the lesser of the coating manufacturer's written recommendations or 10 micrograms per square centimeter. Each seismic joint, joint seal assembly, and PTFE bearing shall be tested for soluble salts. If levels of soluble salts exceed the maximum allowed by these special provisions, the Contractor shall perform additional cleaning and testing of blast cleaned surfaces until soluble salt levels conform to these requirements.

Corners shall be chamfered to remove sharp edges.

Thermal cut edges (TCEs) to be painted shall be conditioned before blast cleaning by shallow grinding or other method approved by the Engineer to remove the thin, hardened layer of material resulting from resolidification during cooling.

Visually evident base metal surface irregularities and defects shall be removed in accordance with ASTM Designation: A 6 or AASHTO Designation: M 160 prior to blast cleaning steel. When material defects exposed by blast cleaning are removed, the blast profile shall be restored by either blast cleaning or by using mechanical tools in accordance with SSPC-SP 11, "Power Tool Cleaning to Bare Metal," of the "SSPC: The Society for Protective Coatings."

Blast cleaned surfaces shall receive a single undercoat consisting of an inorganic zinc coating conforming to the requirements in AASHTO Designation: M 300, Type I or Type II, except that:

1. The first 3 sentences of Section 5.6, "Primer Field Performance Requirements," shall not apply for Type II coatings, and
2. The entire Section 5.6.1 shall not apply for either type of inorganic zinc coating.

If the Contractor proposes to use a Type I coating, the Contractor shall furnish to the Engineer for review documentation as required in Section 5.6 of AASHTO Designation: M 300. The Contractor shall allow the Engineer 14 days to review the proposal.

If the Contractor proposes to use a Type II coating, the coating shall be selected from the qualified products list, which may be obtained from the Transportation Laboratory.

The color of the inorganic zinc coating shall match Federal Standard 595B, No. 36373.

Inorganic zinc coating shall be used within 12 hours of initial mixing.

Stainless steel surfaces of PTFE bearings shall be masked off completely prior to application of inorganic zinc coating.

Application of inorganic zinc coating shall conform to the provisions for applying zinc-rich coating in Section 59-2.13, "Application of Zinc-Rich Primer," of the Standard Specifications.

The single coat of inorganic zinc coating shall be applied to the required dry film thickness in 2 or more applications within 8 hours of the start of blast cleaning. Abrasive blast cleaned steel shall not be exposed to relative humidity exceeding 85 percent prior to application of inorganic zinc.

The total dry film thickness of all applications of inorganic zinc, including the surfaces of outside existing members within the grip under bolt heads, nuts, and washers, shall be not less than 100 µm nor more than 200 µm, except that the total dry film thickness on each faying (contact) surface of high strength bolted connections shall be between 25 µm and the maximum allowable dry film thickness for Class B coatings as determined by certified testing in conformance with Appendix A of the "Specification for Structural Joints Using ASTM A325 or A490 Bolts" of the Research Council on Structural Connections (RCSC Specification). Unless otherwise stated, all inorganic zinc coatings used on faying surfaces shall meet the slip coefficient requirements for a Class B coating on blast-cleaned steel, as specified in the RCSC Specification. The Contractor shall provide results of certified testing showing the maximum allowable dry film thickness for the Class B coating from the qualifying tests for the coating chosen, and shall maintain the coating thickness on actual faying surfaces of the structure at or below this maximum allowable coating thickness.

Areas where mudcracking occurs in the inorganic zinc coating shall be blast cleaned and repainted with inorganic zinc coating to the specified thickness.

Metal surfaces coated with Type II inorganic zinc coating shall be protected from conditions that may cause the coating film to dissolve. The Contractor, at the Contractor's expense, shall repair areas where the coating has dissolved by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

Dry spray, or overspray, as defined in the Steel Structures Painting Manual, Volume 1, "Good Painting Practice," of the "SSPC: The Society for Protective Coatings," shall be removed prior to application of subsequent coats or final acceptance. Removal of dry spray shall be by screening or other methods that minimize polishing of the inorganic zinc surface. The dry film thickness of the coating after removal of dry spray shall be in conformance with the provisions for applying the single undercoat, as specified herein.

The Contractor shall test the inorganic zinc coating at locations determined by the Engineer. The Contractor shall determine the sequence of the testing operations. The testing for adhesion and hardness shall be performed no sooner than 72 hours after application of the inorganic zinc coating. Satisfactory access shall be provided to allow the Engineer to determine the location of the tests.

The inorganic zinc coating shall pass the following tests:

A. The inorganic zinc coating shall have a minimum adhesion to steel of 4 MPa when measured using a self-aligning adhesion tester in conformance with the requirements in ASTM Designation: D 4541. Adhesion testing shall conform to the following requirements:

1. The Engineer shall select 3 locations per seismic joint for adhesion testing. If 2 or more of the locations tested fails to meet adhesion requirements, the seismic joint will be rejected. If any one of the locations tested fails to meet adhesion requirements, then an additional 3 locations shall be tested. Should any of the additional locations fail to meet adhesion requirements, the seismic joint will be rejected.
2. The Engineer shall select 2 locations per assembly and per PTFE bearing for adhesion testing. If either of the locations tested fails to meet adhesion requirements, the assembly or PTFE bearing will be rejected.
3. The Contractor, at the Contractor's expense, shall repair the rejected item by blast cleaning and repainting with inorganic zinc to the specified thickness. Test locations for areas of inorganic zinc meeting adhesion testing requirements shall be repaired by application of organic zinc primer as specified in Section 91-1.04, "Materials," of the Standard Specifications to the specified minimum dry film thickness.

B. The inorganic zinc coating shall exhibit a solid, hard, and polished metal surface when firmly scraped with the knurled edge of a quarter. Inorganic zinc coating that is powdery, soft, or does not exhibit a polished metal surface shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.
C. Dry to solvent insolubility for inorganic zinc primers shall be determined in conformance with the requirements in ASTM Designation: D 4752, except that water shall be the solvent used for testing of water borne inorganic zinc primers. The resistance rating shall be not less than 4. Each seismic joint, PTFE bearing, and assembly shall be tested for dry to solvent insolubility. Inorganic zinc coating that does not meet the solvent insolubility requirements shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.
D. Surface hardness of inorganic zinc shall be a minimum 2H when measured in conformance with the requirements in ASTM Designation: D 3363. Each seismic joint, PTFE bearing, and assembly shall be tested for surface hardness. Inorganic zinc coating that fails to meet the surface hardness requirements shall be repaired by the Contractor, at the Contractor's expense, by blast cleaning and repainting with inorganic zinc coating to the specified thickness.

The Contractor, at the Contractor's expense, shall retest all rejected areas of inorganic zinc coating after repairs have been completed.

Full compensation for cleaning and painting of seismic joints, joint seal assemblies, and PTFE bearings, of the sizes and types listed in the Engineer's Estimate, shall be considered as included in the contract prices paid for the items of work involved and no separate payment will be made therefor.

10-1.84 CORRUGATED METAL PIPE

Corrugated metal pipe culverts shall conform to the provisions in Section 66, "Corrugated Metal Pipe," of the Standard Specifications and these special provisions.

Asphaltic mastic coating shall be placed on the outside and inside surfaces of the pipe.

Corrugated steel pipe shall be fabricated from zinc-coated steel sheet.

10-1.85 PLASTIC PIPE

Plastic pipe shall conform to the provisions in Section 64, "Plastic Pipe," of the Standard Specifications and these special provisions.

Plastic pipe shall be smooth interior wall type.

10-1.86 REINFORCED CONCRETE PIPE

Reinforced concrete pipe shall conform to the provisions in Section 65, "Reinforced Concrete Pipe," of the Standard Specifications and these special provisions.

GENERAL

Where embankment will not be placed over the top of the pipe, a relative compaction of not less than 85 percent shall be required below the pipe spring line for pipe installed using Method 1 backfill in trench, as shown on Standard Plan A62D. Where the pipe is to be placed under the traveled way, a relative compaction of not less than 90 percent shall be required unless the minimum distance between the top of the pipe and the pavement surface is the greater of 1.2 meters or one half of the outside diameter of the pipe.

Except as otherwise designated by classification on the plans or in the specifications, joints for culvert and drainage pipes shall conform to the plans or specifications for standard joints.

When reinforced concrete pipe is installed in conformance with the details shown on Standard Plan A62DA, the fifth paragraph of Section 19-3.04, "Water Control and Foundation Treatment," of the Standard Specifications shall not apply.

When solid rock or other unyielding material is encountered at the planned elevation of the bottom of the bedding, shown on Standard Plan A62DA, the material below the bottom of the bedding shall be removed to a depth of 1/50 of the height of the embankment over the top of the culvert, but not less than 150 mm nor more than 300 mm. The resulting trench below the bottom of the bedding shall be backfilled with structure backfill material in conformance with the provisions in Section 19-3.06, "Structure Backfill," of the Standard Specifications. The Outer Bedding shall not be compacted prior to placement of the pipe.

MATERIALS

The concrete for reinforced concrete pipe must contain not less than 280 kg of cementitious material per cubic meter with a water–cementitious material ratio not to exceed 0.35 by weight. Supplementary cementitious material is optional. Reinforcement shall have a minimum cover of 25 mm.

Special reinforced concrete pipe, having concrete cover over the steel reinforcement greater than the cover specified in AASHTO Designation: M 170M, shall conform to the provisions in Section 65-1.02, "Materials," and Section 65-1.02A, "Circular Reinforced Concrete Pipe," of the Standard Specifications, except the width of crack produced by the D-load test specified in AASHTO Designation: M 170M shall be the width determined by the following formula:

$$b = \frac{t - 3/8d}{t - 3/8d - C} \times 0.3 \text{ mm}$$

Where:
b = Width of crack to be produced in lieu of the 0.3-mm crack specified in AASHTO Designation: M 170M
t = Wall thickness of pipe, mm
d = Effective depth of the section to be tested, m
C = Concrete cover over steel reinforcement in excess of cover specified in AASHTO Designation: M 170M

Reinforced concrete pipe that is to be hydrostatically tested shall be strength tested by the 3-edge bearing method to a maximum D-load of 10 percent greater than the 0.3 mm cracking D-load specified in AASHTO Designation: M 170M or to the actual D-load required to produce a 0.3 mm crack, whichever is the lesser.

Special oval shaped reinforced concrete pipe, having concrete cover over the steel reinforcement greater than the cover specified in AASHTO Designation: M 207M, shall conform to the provisions in Section 65-1.02, "Materials," and Section 65-1.02B, "Oval Shaped Reinforced Concrete Pipe," of the Standard Specifications, except the width of crack produced by the D-load test specified in AASHTO Designation: M 207M shall be the width determined by the following formula:

$$b = \frac{t - 3/8d}{t - 3/8d - C} \times 0.3 \text{ mm}$$

Where:
b = Width of crack to be produced in lieu of the 0.3-mm crack specified in AASHTO Designation: M 207M
t = Wall thickness of pipe, mm
d = Effective depth of the section to be tested, m
C = Concrete cover over steel reinforcement in excess of cover specified in AASHTO Designation: M 207

Oval shaped reinforced concrete pipe that is to be hydrostatically tested shall be strength tested by the 3-edge bearing method to a maximum D-load of 10 percent greater than the 0.3 mm cracking D-load specified in AASHTO Designation: M 207M or to the actual D-load required to produce a 0.3 mm crack, whichever is the lesser.

MEASUREMENT AND PAYMENT

Compensation for constructing and placing timber bulkheads shall be considered as included in the contract price paid per linear meter for the reinforced concrete pipe involved and no separate payment will be made therefor.

The excavation and backfill below the planned elevation of the bottom of the bedding shown on Standard Plan A62DA will be paid for as extra work as provided in Section 4-1.03D, "Extra Work," of the Standard Specifications.

10-1.87 COLUMN ISOLATION SLEEVE

Column isolation sleeve shall conform to the provisions in Section 67, "Structural Metal Plate Pipe," of the Standard Specifications and these special provisions.

All metal parts in column isolation sleeves including pipe struts, bolts, anchorages inside and outside the sleeves shall be protected with bituminous coating conforming to the requirements in Section 66-1.03, :Protective Coatings, Linings and Pavings," of the Standard Specifications.

Asphaltic mastic coating or polymeric sheet coating substituted for bituminous coating shall be placed on the outside and inside surfaces of the pipe.

Neoprene strip shall conform to the provisions in Section 51-1.14, "Waterstops," of the Standard Specifications.

Ponding or jetting of structure backfill will not be permitted. Structure backfill (bridge) (cement modified) within 1.0 meter outside the column isolation sleeve, shall be placed such that the backfill surface does not vary by more than 0.50 m around the perimeter of the sleeve and shall be compacted to a relative compaction of not more than 90 percent.

MEASUREMENT AND PAYMENT

Column isolation sleeve will be measured by the kilogram in the completed work.

The contract price paid per kilogram for column isolation sleeve shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in column isolation sleeve, complete in place, including supporting pipe struts and fasteners, neoprene strip, steel plates and shapes and expansion anchorage devices, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.88 MISCELLANEOUS FACILITIES

Alternative flared end sections at locations as shown on the plans, shall conform to the provisions in Section 70, "Miscellaneous Facilities," of the Standard Specifications and these special provisions.

10-1.89 ROCK SLOPE PROTECTION

Rock slope protection shall be placed or constructed in conformance with the provisions in Section 72, "Slope Protection," of the Standard Specifications, and these special provisions.

Rock slope protection fabric shall be woven or nonwoven type fabric, Type A or Type B, at the option of the Contractor.

10-1.90 MISCELLANEOUS CONCRETE CONSTRUCTION

Concrete curbs, concrete valley gutter, concrete gutter depression, and concrete utility casing shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications and these special provisions.

Concrete gutter to be constructed behind the retaining walls and adjacent to the footing for the YBI Eastbound On-Ramp Bent W8 shall conform to the provisions in Section 73, "Concrete Curbs and Sidewalks," of the Standard Specifications and these special provisions. Full compensation for constructing concrete gutter shall be considered as included in the contract price paid per cubic meter for the various items of concrete work involved and no separate payment will be made therefor.

10-1.91 MISCELLANEOUS METAL (BRIDGE)

Miscellaneous metal (bridge) shall conform to the provisions for miscellaneous bridge metal in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Miscellaneous metal (bridge) shall consist of the miscellaneous bridge metal items listed in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications, and the following:

A. Bike path grating support angles and grating attachment assemblies
B. Pipe shear key assemblies
C. Pull box frames and covers
D. Deck utility access opening frames and covers

Cast-in-place inserts shall be the ferrule loop type.

Metal parts of concrete anchorage devices shall be fabricated from stainless steel conforming to the requirements of ASTM Designation: A 276, Type 316.

Steel shapes, plates, and other metal parts designated in the plans as stainless steel shall conform to the requirements of ASTM Designation: A 276, Type 316.

Hardware for attachment of bike path gratings to stainless steel angles shall be fabricated from stainless steel conforming to the requirements of ASTM Designation: A 276, Type 316.

Pull box frames and covers shall conform to the provisions in Section 86-2.07, "Traffic Pull Boxes," of the Standard Specifications and these special provisions.

10-1.92 BRIDGE DECK DRAINAGE SYSTEM

Bridge deck drainage systems shall conform to the provisions for miscellaneous bridge metal in Section 75, "Miscellaneous Metal," of the Standard Specifications and these special provisions.

Self-tapping screws used for sleeve connections shall be hex-head stainless steel, installed in holes drilled to fit the self-tapping screws, conforming to the requirements of ASTM Designation: A 276, Type 316.

At the Contractor's option, fiberglass pipes and fittings with the same diameter and minimum bend radius as those shown on the plans, may be substituted for welded steel pipe in deck drain systems.

Fiberglass pipe and fittings shall conform to the requirements in ASTM Designation: D 2996, and shall have a minimum short-term rupture strength of 207 MPa. The adhesive type recommended by the manufacturer shall be used for joining pipe and fittings. Fiberglass pipe not enclosed in a box girder cell or encased in concrete shall be manufactured from ultraviolet-resistant resin pigmented with concrete-gray color, or be coated with a concrete-gray resin-rich exterior coating. Paint shall not be used. Fiberglass pipe treated with ultraviolet protection shall withstand a minimum of 2500 hours of accelerated weathering when tested in conformance with the requirements in ASTM Designation: G 154. Lamps shall be UV-B (313 nm wavelength). The resting cycle shall be 4 hours of ultraviolet exposure at 60°C, and then 4 hours of condensate exposure at 50°C. After testing, the surface of the pipe shall exhibit no fiber exposure, crazing, or checking, and only a slight chalking or color change.

Support spacing for fiberglass pipe shall be the same as shown on the plans for welded steel pipe. Pipe supports shall have a width of not less than 38 mm.

A Certificate of Compliance for fiberglass pipe and fittings shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall include all laboratory test results conforming to the provisions specified herein.

Couplings used to connect fiberglass pipe to steel shall be threaded or flanged.

If fiberglass pipe is substituted for welded steel pipe, the quantity of drainage piping will be computed on the basis of the dimensions and details shown on the plans, and no change in the quantities to be paid for will be made because of the use of fiberglass pipe.

Bridge deck drainage systems will be measured and paid for by the kilogram in the same manner specified for miscellaneous metal (bridge) in Section 75-1.06, "Measurement," and Section 75-1.07, "Payment," of the Standard Specifications.

10-1.93 MISCELLANEOUS METAL (RESTRAINER-CABLE TYPE)

Miscellaneous metal (restrainer-cable type) shall conform to the provisions for bridge joint restrainer units in Section 75-1.035, "Bridge Joint Restrainer Units," of the Standard Specifications and these special provisions.

New concrete adjacent to restrainers shall be placed prior to installing restrainers.

Miscellaneous metal (restrainer-cable type) including pipes installed to accommodate future restrainers will be measured and paid for by the kilogram in the same manner specified for miscellaneous metal (restrainer) in Sections 75-1.06, "Measurement," and 75-1.07, "Payment," of the Standard Specifications.

10-1.94 CHAIN LINK FENCE (TYPE CL-1.2, BLACK VINYL-CLAD)

Chain link fence shall be Type CL-1.2, Black Vinyl-Clad and shall conform to the provisions in Section 80, "Fences," of the Standard Specifications and these special provisions.

The chain link fabric shall be 11-gage (3.05 mm), Type IV, Class B, bonded vinyl coated fabric, conforming to the requirements in AASHTO Designation: M 181. The color shall be black.

The strength of the bond between the coating material and steel of the bonded vinyl coated chain link fabric shall be equal to or greater than the cohesive strength of the polyvinyl chloride (PVC) coating material.

10-1.95 CHAIN LINK FENCE, AND GATE (TYPE CL-2.4, BLACK VINYL-CLAD)

Chain link fence, and gate shall be (Type CL-2.4, Black Vinyl-Clad) with barbed wire extension arms, and shall conform to the provisions in Section 80, "Fences," of the Standard Specifications, and these special provisions.

Barbed wire supporting arms (extension arms) shall extend upwards from the tops of the fence posts at an approximate angle of 45 degrees and shall be fitted with clips or other suitable means for attaching 3 lines of barbed wire. The top outside wire shall be attached to the extension arm at a point approximately 300 mm above the top of the chain link fabric and 300 mm out from the fence line. The other wires shall be attached to the arm uniformly between the top of the fence and the top outside wire.

Barbed wire shall conform to the provisions in Section 80-3.01C, "Barbed Wire," of the Standard Specifications.

The chain link fabric shall be 9-gage (3.76 mm), Type IV, Class B, bonded vinyl coated fabric, conforming to the requirements in AASHTO Designation: M 181. The color shall be black.

The strength of the bond between the coating material and steel of the bonded vinyl coated chain link fabric shall be equal to or greater than the cohesive strength of the polyvinyl chloride (PVC) coating material.

10-1.96 METAL BEAM GUARD RAILING

Metal beam guard railing shall be constructed in conformance with the provisions in Section 83-1, "Railings," of the Standard Specifications and these special provisions.

Attention is directed to "Order of Work" of these special provisions.

Line posts shall be steel. Blocks shall be wood.

Metal beam guard railing elements and required backup plates, terminal sections, end caps, and return caps shall conform to the requirements of Type 2 W-Beam as shown in AASHTO Designation: M 180.

ALTERNATIVE IN-LINE TERMINAL SYSTEM

Alternative in-line terminal system shall be furnished and installed as shown on the plans and in conformance with these special provisions.

The allowable alternatives for an in-line terminal system shall consist of one of the following or a Department approved equal.

(1) TERMINAL SYSTEM (TYPE SKT) - Terminal system (Type SKT) shall be a SKT 350 Sequential Kinking Terminal manufactured by Road Systems, Inc., located in Big Spring, Texas, and shall include items detailed for terminal system (Type SKT) shown on the plans. The SKT 350 Sequential Kinking Terminal can be obtained from the distributor, Universal Industrial Sales, P.O. Box 699, Pleasant Grove, UT 84062, Telephone (801) 785–0505 or from the distributor, Gregory Highway Products, 4100 13th Street, S.W., Canton, OH 44708, Telephone (330) 477–4800.

(2) TERMINAL SYSTEM (TYPE ET) - Terminal system (Type ET) shall be an ET-2000 PLUS (4-tube system) extruder terminal as manufactured by Trinity Industries, Inc., and shall include items detailed for terminal system (Type ET) shown on the plans. The ET-2000 PLUS (4-tube system) extruder terminal can be obtained from the manufacturer, Trinity Industries, Inc., P.O. Box 99, 950 West 400S, Centerville, UT 84014, Telephone (800) 772–7976.

The Contractor shall provide the Engineer with a Certificate of Compliance from the manufacturer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications. The Certificate of Compliance shall certify that the terminal systems furnished conform to the contract plans and specifications, conform to the prequalified design and material requirements, and were manufactured in conformance with the approved quality control program.

Terminal systems shall be installed in conformance with the manufacturer's installation instructions and these requirements. Each terminal system installed shall be identified by painting the type of terminal system in neat black letters and figures 60 mm high on the backside of the rail element between system posts numbers 4 and 5.

For terminal system (Type ET) the steel foundation tubes with soil plates attached shall be, at the Contractor's option, either driven, with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. The wood terminal posts shall be inserted into the steel foundation tubes by hand and shall not be driven. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood terminal posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

For terminal system (Type SKT) the soil tubes shall be, at the Contractor's option, driven with or without pilot holes, or placed in drilled holes. Space around the steel foundation tubes shall be backfilled with selected earth, free of rock, placed in layers approximately 100 mm thick and each layer shall be moistened and thoroughly compacted. Wood posts shall be inserted into the steel foundation tubes by hand. Before the wood terminal posts are inserted, the inside surfaces of the steel foundation tubes to receive the wood posts shall be coated with a grease which will not melt or run at a temperature of 65°C or less. The edges of the wood posts may be slightly rounded to facilitate insertion of the post into the steel foundation tubes.

Surplus excavated material remaining after the terminal system has been installed shall be disposed of in a uniform manner along the adjacent roadway where designated by the Engineer.

The contract unit price paid for alternative in-line terminal system shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in furnishing and installing alternative in-line terminal system, complete in place, including excavation, backfill and disposal of surplus material, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.97 BIKEPATH RAILING

Bikepath railing shall conform to the provisions in Section 83-1-02G(2), "Metal Railing (Tubular)," of the Standard Specifications and these special provisions.

Posts shall conform to the requirements in ASTM designation: A500, Grade C.

Stainless steel plates shall conform to the requirements in designation: ASTM A167, Type 316.

Stainless steel bolts shall conform to the requirements in designation: ASTM F593, Group 2, Type 316 or 316L.

Railing grill shall conform to the provisions in Section 56-1.02F, "Steel Walkway Gratings," of the Standard Specifications. After galvanizing, the railing grill shall be free of fins, abrasions, rough or sharp edges and other surface defects and shall not be kinked, twisted or bent. If straightening is necessary, it shall be done by methods approved by the Engineer. Kinks, twists or bends in the railing grill may be cause for rejection of the railing grill.

All metal surfaces of bikepath railing, including railing grill and all components, shall be hot-dip galvanized after fabrication in conformance with the provisions in Section 75-1.05, "Galvanizing," of the Standard Specifications. All exposed galvanized metal surfaces shall be cleaned and painted in conformance with the provisions for painting galvanized surfaces in "Clean and Paint (Miscellaneous Facilites)," of these special provisions

Welding of structural steel shall conform to the requirements of AWS D1.1. Welding of structural steel to stainless steel shall conform to the requirements of AWS D1.6.

When a weld overlay is used for stainless steel surfacing, the overlay shall be placed by submerged arc welding using Type 309L electrodes. The finished overlay shall have a 2.38 mm minimum thickness after welding, grinding and polishing. Prior to welding, the manufacturer must submit a complete weld procedure to the Engineer for approval.

The Contractor shall submit working drawings in conformance to the provisions in "Working Drawings," of these special provisions.

MEASUREMENT AND PAYMENT

Bikepath railing will be measured by the meter from end to end along the face of railing, including end and intermediate posts, and with no deductions for gaps in railing for lighting and sign supports or additions for overlaps at the expansion joints.The contract price paid meter for bikepath railing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing bikepath railing, complete in place, including stainless steel bolts, washers and caps, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

10-1.98 CONCRETE BARRIER

Concrete barriers shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Bar reinforcing steel for use in concrete barriers shall conform to the provisions in "Epoxy-Coated Prefabricated Reinforcement," of these special provisions.

Full compensation for epoxy-coated bar reinforcement shall be considered as included in the contract price paid per meter for concrete barrier of the type or types listed in the Engineer's Estimate and no separate payment will be made therefor.

Structural steel plates and hardware required to join concrete barrier at expansion joints and at other locations shown on the plans shall conform to the details shown on the plans and to the provisions for materials, fabrication and galvanizing in Sections 75-1.03, "Miscellaneous Bridge Metal," and 75-1.05, "Galvanizing," of the Standard Specifications.

Steel plate barriers shall be cleaned and painted in accordance with the provisions for painting galvanized surfaces in "Clean and Paint (Miscellaneous Facilities)," of these special provisions.

Steel plate barrier used to join concrete barrier at expansion joints and at other locations shown on the plans, including hardware, will be measured and paid for as concrete barrier (Type 732 Mod).

10-1.99 CONCRETE BARRIER (TYPE K)

Concrete barrier (Type K) shall conform to the provisions in Section 83-2, "Barriers," of the Standard Specifications and these special provisions.

Concrete barrier (Type K) shall consist of precast units conforming to the provisions for temporary railing (Type K) in Section 12-3.08, "Temporary Railing (Type K)," of the Standard Specifications, except that removable panels shall not be used and the concrete barrier (Type K) shall remain in place at the completion of the contract.

Temporary railing (Type K) reflectors on concrete barrier (Type K) shall conform to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions.

Full compensation for furnishing and installing temporary railing (Type K) reflectors on concrete barrier (Type K) shall be considered as included in the contract price paid per meter for concrete barrier (Type K) and no additional compensation will be allowed therefor.

10-1.100 THERMOPLASTIC TRAFFIC STRIPE AND PAVEMENT MARKING

Thermoplastic traffic stripes (traffic lines) and pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Thermoplastic material shall be free of lead and chromium, and shall conform to the requirements in State Specification PTH-02ALKYD.

Retroreflectivity of the thermoplastic traffic stripes and pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow thermoplastic traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

Where striping joins existing striping, as shown on the plans, the Contractor shall begin and end the transition from the existing striping pattern into or from the new striping pattern a sufficient distance to ensure continuity of the striping pattern.

Thermoplastic traffic stripes shall be applied at the minimum thickness and application rate as specified below. The minimum application rate is based on a solid stripe of 100 mm in width.

Minimum StripeThickness (mm)	Minimum Application Rate (kg/m)
2.0	0.4

Thermoplastic traffic stripes and pavement markings shall be free of runs, bubbles, craters, drag marks, stretch marks, and debris.

At the option of the Contractor, permanent traffic striping and pavement marking tape conforming to the provisions in "Prequalified and Tested Signing and Delineation Materials" of these special provisions may be placed instead of the thermoplastic traffic stripes and pavement markings specified herein. Permanent tape, if used, shall be installed in conformance with the manufacturer's specifications.

If permanent tape is placed instead of thermoplastic traffic stripes and pavement markings, the tape will be measured and paid for by the meter as thermoplastic traffic stripe and by the square meter as thermoplastic pavement marking.

10-1.101 PAINT PAVEMENT MARKING

Painted pavement markings shall be applied in conformance with the provisions in Section 84, "Traffic Stripes and Pavement Markings," of the Standard Specifications and these special provisions.

Pavement marking paint shall conform to the requirements in State Specification No. PTWB-01.

The color of the painted pavement markings shall conform to the requirements in ASTM Designation: D 6628-01.

Retroreflectivity of the paint pavement markings shall conform to the requirements in ASTM Designation: D 6359-99. White painted pavement markings shall have a minimum initial retroreflectivity of 250 $mcd \cdot m^{-2} \cdot lx^{-1}$. Yellow painted traffic stripes and pavement markings shall have a minimum initial retroreflectivity of 150 $mcd \cdot m^{-2} \cdot lx^{-1}$.

10-1.102 PAVEMENT MARKERS

Pavement markers shall be placed in conformance with the provisions in Section 85, "Pavement Markers," of the Standard Specifications and these special provisions.

Attention is directed to "Traffic Control System For Lane Closure" of these special provisions regarding the use of moving lane closures during placement of pavement markers with bituminous adhesive.

The Contractor shall furnish the Engineer certificates of compliance for the pavement markers in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

Retroreflective pavement markers shall be marked as abrasion resistant on the body of the markers.

10-1.103 REMOVABLE CONCRETE COUNTERWEIGHT (BIKE PATH)

Removable concrete counterweight (bike path) shall consist of stackable concrete blocks of uniform weight installed adjacent to the future bike pathfor the eastbound transition structure as indicated on the plans.

The stacked arrangement shall be similar to that shown on the plans, shall be stable at all stages of construction and designed to facilitate sequential removal during construction of the future bike path. The individual concrete blocks shall incorporate appropriate lifting devices for their safe and efficient handling and removable anchorage to the concrete deck .

The Contractor shall submit complete details of the counterweights to the Engineer, including details of construction, lifting, supporting, stacking, sequence of installation, and all features required to perform the installation and future removal operations for review and approval by the Engineer. The Contractor shall demonstrate that the counterweight conforms to the weight requirements indicated on the plans. Heavyweight concrete where noted on the plans shall be in conformance with American Concrete Institute's "Standard Practice for Selecting Proportions for Normal, Heavyweight and Mass Concrete (ACI 211.1-91)" and have a unit weight of 4,000 kilograms per cubic meter.

The Contractor's submittal shall conform to the provisions in Section "Working Drawings," of these special provisions. Calculations and working drawings shall be stamped by an engineer who is registered as a Civil Engineer in the State of California. The number of sets of drawings and design calculations shall be the same as specified for falsework working drawings in Section 51-1.06A, "Falsework Design and Drawings," of the Standard Specifications.

The counterweights shall be installed after completion of post tensioning of the bridge superstructure and as directed by the Engineer. Removal will be carried out under a future contract.

PAYMENT

The contract lump sum price paid for removable concrete counterweight (bike path) shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in constructing, shipping, delivery and installation of the units, complete in place, including embedded lifting devices and deck anchorages, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

10-1.104 WATER MAINS

PART 1-GENERAL

SUMMARY

Scope.--This work shall consist of furnishing and installing 200 and 300-mm (ductile iron pipe) water mains for US Navy, 100-mm (ductile iron pipe) for Caltrans, and 75-mm (galvanized steel pipe) for US Navy and Caltrans, complete with pipes, fittings, valves, accessories and incidentals necessary to complete the work for a ready to operate domestic water distribution system:

The work includes excavating, trenching, backfilling, and testing with the exception of disinfection, in accordance with the details shown on the plans, as specified in the Standard Specifications, and these special provisions.

REFERENCE STANDARDS

The regulatory requirements which govern the work of this Section include the following governing Codes and Standards:

A. American Society for Testing and Materials (ASTM):

1. ASTM Designation A53 Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
2. ASTM Designation B88 Seamless Copper Water Tube
3. ASTM Designation D1557 Test Methods for Moisture-Density Relations of Soils and Soil-Aggregate Mixtures Using 4.54-kg Rammer and 457-mm Drop

B. American Water Works Association (AWWA):

1. AWWA C104 Cement Mortar Lining for Ductile-Iron Pipe and Fittings for Water
2. AWWA C110 Ductile-Iron and Gray-Iron Fittings, 75-mm through 1200-mm, for Water and Other Liquids
3. AWWA C151 Ductile-Iron Pipe, Centrifugally Cast, for Water or Other Liquids
4. AWWA C153 Errata Nov 1996) Ductile-Iron Compact Fittings, 76-mm through 610-mm and 1,400-mm through 1,600-mm for Water Service
5. AWWA C111 Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
6. AWWA C203 Coal-Tar Protective Coatings and Linings for Steel Water Pipelines - Enamel and Tape - Hot-Applied
7. AWWA C504 Rubber-Seated Butterfly Valves
8. AWWA C509 Resilient-Seated Gate Valves for Water Supply Service
9. AWWA C600 Installation of Ductile-Iron Water Mains and Their Appurtenances
10. AWWA C800 Underground Service Line Valves and Fittings

C. American Society of Mechanical Engineers (ASME):

1. ASME B16.26 Cast Copper Alloy Fittings for Flared Copper Tubes
2. ASME B16.3 Malleable Iron Threaded Fittings

D. Manufacturers Standardization Society of the Valve and Fittings Industry (Mss):

1. MSS SP-80 Bronze Gate, Globe, Angle and Check Valves

SUBMITTALS

General Submittals:

General.--Submit listed submittals in accordance with the provisions of the Contract Documents.

Product Data.--Submit respective manufacturer's product data including catalog cuts, descriptive drawings, and literature, with all exceptions to the Specifications noted for each equipment item to be furnished under this Section including, but not limited to, ductile iron pipe, fittings, gaskets, valves, polyethylene encasement material and appurtenances.

Shoring Plans.- Submit Shoring Plans and calculations for excavation depths greater than 1.5 meters. Shoring plans shall be prepared and sealed by an engineer who is registered as a Civil Engineer in the State of California.

Construction Schedule:

Attention is directed to "Obstructions" of these special provisions, regarding duration and work to be performed regarding the water mains relocation.

The Contractor shall complete the excavation of the trench and install appropriate shoring to the satisfaction of the Engineer .

Quality Assurance Submittals:

Test Reports.- Certified test reports showing compliance with specified performance characteristics and physical properties.

Manufacturer's Instructions.- Manufacturer's installation instructions.

Closeout Submittals:

Record Drawings.- Record actual location of distribution mains, valves, connections, and invert elevations for review.

DELIVERY, STORAGE & HANDLING

Ordering.- Comply with manufacturer's ordering instructions and lead time requirements to avoid construction delays.

Storage and Protection.- Store materials protected from exposure to harmful weather conditions and at temperature and humidity conditions recommended by manufacturer.

SITE CONDITIONS

Excavations in which products will be buried shall be dry.

PART 2. – PRODUCTS

MATERIALS

Pipes

Pipe sizes of 100 mm and larger.-- Pipe ductile iron, Class 53 with joint restraint bell and spigot ends conforming to ANSI/AWWA C151/A21.51, latest editions.

Pipe sizes of less than 100 mm in diameter .-- Pipe shall be galvanized steel pipe, standard weight, conforming to ASTM A53.

Fittings

Fittings for Ductile Iron Pipe.--Ductile Iron Push-On compact fittings shall conform to the applicable requirements of ANSI/AWWA C110/A21.10, or ANSI/AWWA C153/A21.53, latest revisions, unless otherwise specified herein. Fittings shall accommodate a restraint joint type gasket.

Fittings for Galvanized Steel Pipe.-- Fittings shall be screwed fittings conforming to ASME B16.3. Flanged fittings shall conform to AWWA C207. Fittings shall be galvanized.

Lining and Coating.--Ductile iron pipe, and fittings shall be lined with double cement-mortar lining with an asphaltic seal coating, 0.03 mm (1 mil), in accordance with AWWA C104. The exterior of ductile iron pipe, specials, and fittings shall be coated with a 0.03-mm (1-mil) asphaltic coating in accordance with AWWA C151, Section 51-9.

Protective Materials for Galvanized Steel Pipe.—Protective materials for steel pipe, except as otherwise specified, shall be mechanically applied in a factory or plant especially equipped for the purpose. The materials shall, unless otherwise indicated on the plans, consist of the following: The pipe shall be thoroughly cleaned of foreign material by wire brushing and solvent cleaning, and then given 1 coat of coal-tar primer and 2 coats of coal-tar enamel conforming to AWWA C203. Threaded ends of pipe and fittings shall be adequately protected prior to coating.

Pipe Joints

Joints for Ductile Iron Pipe.-- Joints for ductile iron pipe 100 mm and larger, Gaskets providing restraint within the joint shall be used.

Joints for Galvanized Steel Pipe.-- Joints shall be as follows:

A. Mechanical Couplings: Mechanical couplings for steel pipe shall be the sleeve type, or when approved, the split-sleeve type and shall provide a tight flexible joint under all reasonable conditions, such as pipe movements caused by expansion, contraction, slight setting or shifting in the ground, minor variations in trench gradients, and traffic vibrations. Couplings shall be of strength not less than the adjoining pipeline.
B. Bonded Joints: Bonded joints shall be used to maintain electrical continuity in metallic pipeline where cathodic protection is provided during construction or where it is anticipated that cathodic protection will be provided in the future. Where indicated, for all ferrous pipe, a metallic bond shall be provided at each joint, including joints made with flexible couplings, caulking, or rubber gaskets, of ferrous metallic piping to effect continuous conductivity. The bond wire shall be Size 1/0 copper conductor suitable for direct burial shaped to stand clear of the joint. The bond shall be of the thermal weld type.
C. Isolation Joints: Isolation joints shall be installed between nonthreaded ferrous and nonferrous metallic pipe, fittings and valves. Isolation joints shall consist of a sandwich-type flange isolation gasket of the dielectric type, isolation washers, and isolation sleeves for flange bolts. Isolation gaskets shall be full faced with outside diameter equal to the flange outside diameter. Bolt isolation sleeves shall be full length. Units shall be of a shape to prevent metal-to-metal contact of dissimilar metallic piping elements.

    1. Sleeve-type couplings shall be used for joining plain end pipe sections. The two couplings shall consist of one steel middle ring, two steel followers, two gaskets, and the necessary steel bolts and nuts to compress the gaskets.
    2. Split-sleeve type couplings may be used in aboveground installations when approved in special situations and shall consist of gaskets and a housing in two or more sections with the necessary bolts and nuts.

Valves

Valves 100 mm and Larger.-- Valves 100 mm and larger in size shall be push-on type with inside the joint restraining gaskets, resilient seated, non-rising stem, right turn open and nut operated.

Valves Smaller than 80 mm.-- Valves smaller than 80 mm shall be all bronze and shall conform to MSS SP-80, Type 1, Class 150. Connections shall be screw-in, flared, or flanged as required.

Polyethylene Encasement.--Polyethylene encasement for pipe and fittings shall conform to AWWA C105. Eight (8) mil Polyethylene tubes shall be used.

MISCELLANEOUS METAL

All connecting devices, including Tie Rods, Rod Couplings, Pipe Clamps, Restraints and related hardware shall be as shown on plans.

Saddle shall feature brass body with stainless steel straps and hardware.

TRENCH BEDDING AND BACKFILL

Sand Bed.--All pipe shall be constructed on a prepared or natural sand bed the width of which shall be at least 300 mm plus the full width of the pipe, and not less than 300 mm thick below the pipe after installation.

Sand Backfill.--Backfill around all pipes from the bottom of the trench to a height 150 mm above the top of pipes for the full width of the trench shall be sand only. Sand backfill material shall be in accordance with the applicable requirements of the Standard Specifications.

Backfill Above Required Sand.--Backfill material above the required sand shall be in accordance with the applicable Standard Specifications.

PART 3. - EXECUTION

MAINTAINING WATER SERVICES

Maintain water service and conduct operations at times selected to minimize the duration and inconvenience of service interruption.

Keep existing water mains that will be replaced by new water mains in service until new water mains are ready for service.

CUTTING OF PIPE

Cutting of pipe shall be done in a neat and workmanlike manner without damage to the pipe. Unless otherwise recommended by the manufacturer and authorized by the Engineer, cutting shall be done with an approved type mechanical cutter. Wheel cutter shall be used when practicable.

PIPE INSTALLATION

The trench shall be excavated so that a 100 mm thick layer of sand bedding can be installed beneath the pipe bottom such that the barrel of the pipe will have an even bearing along its entire length and with sufficient clearance provided for any necessary operations in connection with the laying of the pipe. Bell holes shall be excavated for each pipe bell or joint.

A. Before any pipe may be installed, the grade of the trench bottom shall be to the satisfaction of the Engineer. Immediately prior to installing the pipe, the Contractor shall remove all loose rocks and other objectionable material from the bottom of the trench and bell holes. When the trench is properly prepared, the pipe shall be lowered therein, singly, without jar or strain, and assembled by piece inside the trench.

B. Joints for ductile iron pipe shall be fastened by use of gaskets providing restraint within the joint, in accordance with AWWA C600, unless otherwise directed by the Engineer.
C. The pipe shall be joined in strict adherence to the pipe manufacturer's printed installation instructions.
D. When the ductile iron pipe is cut in the field, the outside of the cut end shall be beveled about 6 mm at an angle of about 30 degrees and the leading edge rounded. The prepared cut end shall be marked at 84 mm for a 300 mm water line and 83 mm for a 200 mm water line.
E. If the joint assembly is not accomplished with the application of reasonable force, the plain end of the pipe shall be removed to check the proper position of the gasket. At the end of each day, the Contractor shall plug the end of the laid pipe.
F. Contractor shall provide locating/marking tape in the trench continuously over the centerline of the pipe per applicable requirements of these Specifications
G. The entire piping system (main and service) shall be encased with polyethylene tubes or sheets in accordance with AWWA C105. Tapes shall be used to seal the wrapping at joints and tees.
H. The Contractor shall paint all tie rods, lugs, restraining rings assembly and all miscellaneous metal attached to the pipeline installed by the Contractor with two coats of Koppers Bitumastic No. 505 or two coats of Proteco Wrap CA160 or approved equal, applied in accordance with the manufacturer's directions.
I. Insulating flanges and/or couplings shall be installed to electrically isolate the newly installed portion of pipeline from existing metallic pipelines.
J. Water lines shall not be laid in the same trench with sewer lines, gas lines, fuel lines, or electric wiring. Water lines shall be 3.3 m horizontally and 0.3 m vertically from sewer lines. Water lines shall in all cases cross above sewage force mains or inverted siphons and shall be not less than 0.3 m above the sewer main. Submit proposals for the Engineer's approval if these requirements can not be met due to field conditions.
L. The maximum allowable joint deflection shall be as given by the pipe manufacturer. If the alignment requires deflection in excess of the above limitations, a sufficient number of shorter lengths of pipe shall be installed to provide angular deflections within the limit set forth.
M. Valves shall be securely anchored or shall be provided with restrained joints to prevent movement. All joints on lateral and dead end of 100 mm in diameter or larger pipes shall be restrained.
N. The Contractor shall complete the excavation of the pit and install appropriate shoring to the satisfaction of the Engineer before personnel can do any work.

Q. Galvanized Steel Pipe Jointing Requirements.- Screw joints shall be made tight with a stiff mixture of graphite and oil, inert filler and oil, or with an approved graphite compound, applied with a brush to the male threads only. Compounds shall not contain lead. Field joints shall be given 1 coat of coal-tar primer and 2 coats of coal-tar enamel conforming to AWWA C203. The tests of the coating shall conform to AWWA C203, and any flaws or holidays found in the coating of pipe and joints shall be repaired by patching or other approved means; the repaired areas shall be at least equal in thickness to the minimum coating required for the pipe.

IDENTIFICATION OF PUSH-ON GASKET JOINTS

The Contractor shall identify all joints with Push-On gaskets by spraying white marking paint on top of each bell and also by taping a direct burial tape around the spigot end of each pipe just in front of the bell.

INSTALLING PIPE FITTINGS

The Contractor shall include the procurement, placing, restraining, and protecting of all fittings, valves, joint restraints, and all other appurtenances to be incorporated in the work, as indicated in the Contract Documents or as directed by the Engineer.

Installation.-- Bends, tees, and gates of 100-mm and larger in diameter shall be fastened to the pipe or to each other by use of gaskets providing restraint within the joint. Caps shall be fastened to the pipe by use of tie rods and lugs or restrainers as shown on plans or directed by the Engineer. Additional lug and tie rod joint restraints shall be installed at locations directed by the Engineer.

Valve Boxes.-- Over each valve, a piece of ductile iron pipe of such size as may be required shall be placed vertically to form a valve box. A suitable cover shall be placed on top of the pipe or box. The bottom of the box shall rest on a steel plate so placed as to prevent the box from bearing on the gate. Contractor shall cut the box to such lengths that the top of the gate cover will be flush with the surface of the finished pavements shown on plans. The word "WATER" shall be cast in the cover. The box length shall adapt, without full extension, to the depth of cover required over the pipe at the valve location.

INSTALLING SHORING

The Contractor shall install an approved shoring system for all excavations 1.5 meters or more in depth, in conformance with "Excavation Safety Plans," of these special provisions.

In locations where crews will install service lines or connections to other lines, regardless of depth, the Contractor shall install a solid sheeting type shoring system, approved by the Engineer, that is capable of protecting all excavations from excessive water that may be present and give ample access to the crews to perform the installation. This shoring system is more stringent than Cal/OSHA standards.Shoring materials and equipment shall be removed from the excavation prior to completion of work.

INSTALLATION OF SCREW TAPS

The Contractor shall drill, tap, and install all screw taps and risers as indicated or as required by the Engineer.

Screw taps not satisfactorily installed in the opinion of the Engineer shall be removed and replaced at the expense of the Contractor. Where the screw tap installation is unsatisfactory, it shall be removed and replaced with a solid cast iron plug. The Contractor shall relocate screw taps at locations as directed by the Engineer.

FIELD QUALITY REQUIREMENTS

The Contractor shall obtain a relative compaction of not less than 95 percent throughout each layer of all backfill constructed within 1 meter of pavement subgrade, or adjacent ground. Below the top 1 meter of backfill, the relative compaction shall not be less than 90 percent. If tests indicate work does not meet specified requirements, remove such work, replace, and retest at no additional cost to the State.

Testing.--The Contractor shall test piping at completion of roughing in, before backfilling, and at other times as directed by the Engineer.

The system shall be tested as a single unit, or in sections as approved by the Engineer. The Contractor shall furnish necessary materials, test pumps, instruments and labor and notify the Engineer at least 3 working days in advance of testing. After testing, the Contractor shall repair all leaks and retest to determine that leaks have been stopped. Surplus water shall be disposed of after testing as directed by the Engineer.

The Contractor shall take precautions to prevent joints from drawing while pipes and appurtenances are being tested. The Contractor shall repair damage to pipes and appurtenances or to other structures resulting from or caused by tests.

General tests.--All piping shall be tested after assembly and prior to backfill, pipe wrapping, connecting fixtures, wrapping joints and covering the pipe. Systems shall show no loss in pressure or visible leaks.

The Contractor shall test water piping systems according to the following test schedule and in accordance with Section 20-5.03H, "Pressure Testing," of the Standard Specifications:

Test Schedule		
Piping System	Test Pressure	Test Media
Water	1550 kPa	Water

During testing of water systems, valves shall be closed and pipeline filled with water. Provisions shall be made for release of air.

CLEANUP

Upon completion of the installation of water lines, and appurtenances, all debris and surplus materials resulting from the work shall be removed.

MEASUREMENT AND PAYMENT

Water main will be measured along the centerline of the line, including fittings and valves, and paid for per meter for various sizes in the same manner specified for welded steel pipe in Section 70-1.04, "Measurement," and Section 70-1.05, "Payment," of the Standard Specifications.

The contract price paid per meter for the various sizes of water mains involved shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in installing the different sizes and types of pipe, and fittings, complete in place, including structure excavation structure backfill, installing polyethylene encasement, shoring for all excavation by the Contractor for connecting and disconnecting of water mains, and testing in as shown on the plans, and as specified in these special provisions, and as directed by the Engineer. Any related work shall be considered as incidental and no separate payment shall be made therefore.

Full compensation for furnishing and installing a 75-mm gate valve shall be considered as included in the contract price paid per meter for 75-mm galvanized steel pipe for water line and no separate payment will be made therefor.

10-1.105 VITRIFIED CLAY PIPE SEWERS

PART 1.- GENERAL

SUMMARY

Scope.--This work shall consist of furnishing and installing vitrified clay pipe sewers including excavating, lagging, backfilling, and other incidental work, necessary or required for a complete, satisfactory sewer installation, in accordance with the details shown on the plans and these special provisions.

REFERENCES

The regulatory requirements which govern the work of this Section include the following codes and standards:

A. ASTM Designation: C700.- Standard Specifications for Extra Strength Clay Pipe
B. ASTM Designation: C425 - Tentative Specification for Compression Couplings for Vitrified Clay Plain-End Pipe
C. ASTM Designation: D1557 - Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort(2,700 kN-m/m3) Standard Specifications for Extra Strength Clay Pipe

SUBMITTALS

Test Reports: The Contractor shall furnish to the Engineer for approval prior to shipment of the VCP pipes copies of the certified test results indicating that the pipe furnished meets the requirements of ASTM Designation: C700. Also the Contractor shall furnish to the Engineer for approval copies of the certificate of compliance with ASTM Designation: C700 and design details of the rubber compression couplings.

HANDLING AND STORAGE

Pipes shall be handled and stored so as to prevent damage thereto, or to existing improvements. Pipes, when stored, shall be properly locked to prevent rolling.

PART 2.- PRODUCTS

PIPE

Vitrified Clay Pipes (VCP) shall conform to the ASTM Designation: C700 "Standard Specifications for Extra Strength Clay Pipe," except as modified by the plans and these special provisions.

The minimum thickness of the pipe barrel shall conform to the Regional Western Standard of the Clay Pipe Institute.

JOINTS

Joints for VCP plain-end pipe sewers 150-mm or smaller in diameter may be rubber compression couplings with stainless steel bands TYPE 316. Rubber compression couplings with Class 316 stainless steel bands shall be in accordance with the requirements of ASTM designation C425. Composition couplings with Class 316 stainless steel bands shall be in accordance with the requirements of ASTM Designation: C425 "Tentative Specification for Compression Couplings for Vitrified Clay Plain-End Pipe".

PART 3.- EXECUTION

TRENCH EXCAVATION

Trench excavations shall conform with "Excavation Safety Plans," of these special provisions. In excavations where sand or other non-cohesive material is encountered, placing of the necessary protective systems shall commence before a depth of 1.5 meter is attained. The protective systems shall conform to "Excavation Safety Plans," of these special provisions.

Tunneling or jacking shall not be used unless specified or approved in writing by the Engineer.

During construction, the Contractor shall construct and maintain satisfactory, substantial, and appropriate barricades and steel plates at all excavations, at locations where materials are stored, and at other hazards. All such enclosures shall have warning lights adequate for public safety.

High rise warning flag units, to provide advance warning for traffic approaching excavations, will be required in all cases where motorists' visibility of the work is limited or obscured. Where required, the Contractor shall provide and maintain safe and adequate passage for vehicular and pedestrian traffic over and adjacent to trenches and other excavations by the use of barricades, bridges and other approved means.

The Contractor shall take adequate measures, commensurate with the danger involved, to prevent unauthorized entry by children or others upon the area of excavation operations. The measures shall include the provision of proper and adequate guard railing, solid or chain link fence, and the placement of a difficult to remove weighted cover on each deep shaft excavation.

The use of vibratory hammers and other vibratory equipment will be subject to the approval of the Engineer. However, such approval does not relieve the Contractor of the responsibility for any damages or injuries resulting from the use thereof.

The use of high frequency vibrating equipment, or sonic equipment, for the driving or withdrawal of sheet piling, is prohibited.

EXCAVATIONS TO BE KEPT DRY

The Contractor shall protect the work from water damage, keep excavations dry and, by proper diversion and pumping, remove there from and dispose of all water and sewage that enter upon the work. He shall provide, maintain and operate all pumping equipment required for such purpose during the time concrete or other work is being placed and thereafter as required for the protection of the work. The aforesaid requirements shall be observed as necessary or required prior to the completion of drainage facilities specified or ordered to be constructed under the contract.

Dewatering and the rate and manner of lowering the water table shall be such as to minimize any settlement that might be caused thereby.

Pumping operations for excavations shall be continuous and satisfactory from the time drawdown is first accomplished until all the concrete has been placed. The Contractor shall not allow his pumping operations to be interrupted; shall take adequate precautions to such end; and shall assume full responsibility for any damage that occurs due to fluctuating water table in the area influenced by the dewatering.

Pumping from the interior of the excavation shall be done in such a manner that there will be no movement of water through any fresh concrete, and for a period of 24 hours after a pour shall be done from a suitable sump separated from the concrete work by a watertight wall or by other effective means.

The Contractor shall at all times, by the institution of proper precautions, prevent hydrostatic uplift and flotation of the work.

MINIMUM AND MAXIMUM LENGTH OF TRENCH

The Contractor shall prepare trench subgrade for sewers not less than 9 linear meters in advance of such sewer construction.

TRENCH BACKFILL

The Contractor shall do all backfilling necessary, or required, to satisfactorily complete the work, and he shall backfill all excavations to the elevations of the required subgrade or adjacent ground, as the case may be.

Backfilling shall not commence until after sewers placed in trench or similar excavations have been properly constructed, or installed as applicable, inspected, and if required, tested.

Backfill shall be placed in a manner not to disturb, damage, nor subject such facilities to unbalanced loads or forces.

Sand Bed.--All pipe sewers shall be constructed on a prepared or natural sand bed the width of which shall be at least the full width of the pipe, and not less than 100 mm thick below the pipe after installation.

Sand Backfill.--Backfill around all sewers from the bottom of the trench to a height 150 mm above the top of pipes for the full width of the trench shall be sand only. Sand backfill material shall be in accordance with the applicable requirements of Standard Specifications.

Backfill Above Required Sand.--Backfill material above the required sand shall be in accordance with applicable the Standard Specifications.

Backfill Layer

Each layer of backfill shall be compacted both during placement and following the withdrawal of sheet piling and lagging to the top of the layer being compacted. Withdrawal of sheet piles or other trench support systems shall be done such that voids are not created from loose material under the adjacent pavement entering the trench. After the placing of backfill has been started, the Contractor shall proceed as soon as practicable with densification. All sand backfill to be densified by water shall be jetted, unless flooding is specified or otherwise authorized by the Engineer. Flooding of sand will be prohibited where sewers might be damaged, or adjacent materials softened, by the applied water. The Contractor shall make his own determination that flooding or jetting will not result in damage. Any resulting damage shall be repaired at the Contractor's expense. Sand backfill jetted, flooded, or compacted by other approved means, shall be done in horizontal layers not more than 1.5 meters thick.

Jetting of backfill shall be done in accordance with the following requirements:

1. The jet pipe shall consist of a minimum of 25 mm diameter pipe to which a minimum 38 mm diameter hose is attached at the upper end. The jet shall be of sufficient length to project to within 300 mm of the bottom of the lift being densified.
2. The Contractor shall jet to within 300 mm of the bottom of the lift and apply water in a manner, quantity and at a rate sufficient to thoroughly saturate the thickness of the lift being densified. The jet pipe shall not be moved until the backfill has collapsed and the water has been forced to the surface.
3. Voids left by the removal of sheeting, piles and similar sheeting supports shall be immediately backfilled with clean sand which shall be jetted into place to ensure dense and complete filling of the voids.

All backfill other than sand shall be placed in horizontal layers not more than 200 mm thick before compaction, and each layer shall be satisfactorily compacted by mechanical means. Flooding or jetting, in this case, will not be allowed.

Compact each layer of backfill material to not less than 95 percent relative compaction as determined by ASTM Designation D1557.

INSTALLATION OF SEWER PIPES

Pipe sections of the sewers shall be ordered in short lengths, as necessary if "T" or "Y" branches will be used, in order that such branches will be located opposite or within 0.6 m down downstream of existing side sewer locations.

Pipe sewers shall be so constructed and the sections so installed that the sections of pipe laid together form a continuous uniform line of pipe with a smooth regular interior surface. Pipe shall be laid uphill from structure to structure. Each pipe shall be laid in the proper position, on a firm 100 mm deep sand bed, and shall have uniform support and bearing for its entire length.

Pipe sewers shall be laid in conformity to the prescribed lines and grades, which shall be obtained for each pipe by measuring from a tightly stretched line running parallel with the grade and supported over the center line of the sewer by bars placed across the trench. The pipe sections shall be tightly fitted together. All adjustments of pipe to line and grade shall be made by scraping away or filling in and tamping the earth under the body of the pipe, not by blocking or wedging up. Supporting blocks shall not be used under the pipe. Pipe shall not be laid within 100 mm of any rock or other rigid object.

The Contractor shall not lay pipe in water and shall use crushed rock or some other method approved by the Engineer to maintain an appropriately dry trench.

Crushed rock bedding for pipe sewers shall by uniformly graded from No. 4 to 19-mm sieve size. Compaction shall be obtained by shovel slicing, using care not to disturb the pipe. Jetting will not be allowed to get proper compaction of the crushed rock bedding.

MEASUREMENT AND PAYMENT

VCP sewer pipes will be measured in meters along center line of the pipes.

The contract price paid per meter for 150-mm vitrified clay pipe sanitary sewer shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing the pipe, complete in place, including structure excavation and structure backfill and connecting new pipe to existing or new facilities, including concrete collars, as shown on the plans, and as specified in these special provisions, and as directed by the Engineer.

10-1.106 SANITARY SEWER MANHOLES

PART 1.- GENERAL

SUMMARY

Scope.--This work shall consist of constructing precast concrete manholes complete with cones, frames, covers, gratings, asphalt pavement around the ring, steps, VCP stub inlets, excavating, lagging, backfilling, restoring pavement and other incidental work, necessary or required for a complete satisfactory installation, in accordance with the details shown on the plans and these special provisions.

Manholes shall be constructed to conform to the improved street surface. In unimproved areas if the ground surface is below the official grade, the manhole shall be constructed to conform to such official grade unless otherwise indicated on the plans; and if the ground surface is above the official grade, the manhole shall be so constructed that the internal diameter, at the proper elevation to conform to the official grade, is 610-mm inside diameter and shall be continued upward, with the same diameter, to conform to the ground surface.

REFERENCES

The regulatory requirements which govern the work of this Section include the following codes and standards:

A. ASTM Designation: A615/A615M-01b - Standard Specification for Deformed and Plain Billet-Steel Bars for Concrete Reinforcement
B. ASTM Designation: C478M Standard Specification for Precast Reinforced Concrete Manhole Sections [Metric]
C. ASTM Designation: D4101-02a - Standard Specification for Polypropylene Injection and Extrusion Materials
D. ASTM Designation: A48 – Standard Specification for Gray Iron Castings

PART 2 – PRODUCTS

Precast Concrete Sections.-- Manholes shall be constructed of precast concrete sections in accordance with the requirements of ASTM "Standard Specifications for Precast Reinforced Concrete Manhole Sections", Designation C478M. Precast concrete manholes shall be supported on a cast-in-place concrete base.

Formwork

Lagging or shoring shall not be used as a surface against which concrete is placed.

Smooth forms accurate held on line and grade shall be used. Forms and centers may be made of either metal or timber. The surfaces of all timber forms that come in contact with the inside surfaces of sewer structures shall be laid with close joints and oiled with non-staining mineral oil.

Sharp corners shall be chamfered one inch, and 75 mm x 75 mm triangular fillets shall be used in all angles of formwork unless otherwise shown on the plans or directed by the Engineer.

Invert Forms

Inverts or sewers and sewerage structures shall be formed by the use of fixed and rigid forms when the invert radius is 0.6 meter or less. Inverts with a radius larger than 0.6 meter and smaller than 0.75 meter shall also be formed by the use of fixed and rigid forms unless the Engineer approves otherwise. Such approval will depend on the concrete's slump and its ability to satisfactorily form the invert shape by screeding. When fixed and rigid formwork is required, shaping the inverts with screeds or other means will not be allowed.

PART 3 - EXECUTION

Excavation/Backfill

Excavation for manholes over sewers shall be extended as needed beyond trench limits, and the excavation shall be maintained and shored as necessary for proper construction. After the manhole is complete, the remaining excavation shall be backfilled in conformance with the provisions in Section 19-3.06, "Structure Backfill;"of the Standard Specifications. The portion of backfill beyond trench limits shall be included as part of sewer manhole work. For manholes over existing sewers, all excavation shall be included as part of Sewer Manhole work.

Construction

The invert of structure, up to the key joint, shall be constructed first. The concrete shall be carefully and properly placed and vibrated. No traffic of any kind will be permitted on the invert for at least twenty-four hours after placing. Concrete for the sewer structures not on piles shall not be placed until at least forty-eight hours have elapsed after the placement of invert concrete.

Form Removal

The period of time and the strength of concrete required before the removal of forms shall be in accordance with the applicable requirements of the Standard Specifications. Unless otherwise specified, all form work and trench support material shall be removed by the Contractor.

Finishing

Inverts of sewer structures shall be steel troweled to a smooth uniform surface.

Frames, Covers, and Grating

Cast iron frames, covers, and gratings shall be 610-mm inside diameter, as shown on the plans.

Each casting shall have its weight indicated thereon with white paint.

Care shall be exercised to cast the contact surfaces in a true plane and free from irregularities. These surfaces shall be machined or ground to ensure uniform contact between frame and cover or grating.

The cast iron shall be in accordance with the following requirements:

1. Gray iron for cast iron castings shall be such as to make a casting that will be tough, sound, of even grain, and in accordance with the applicable requirement of ASTM "Standard Specifications for Gray Iron Castings," Designation A 48, Class 30B. The tensile strength shall be considered the primary test for qualification under in conformance with the provisions in section "Test Bars". In certain cases a transverse bending test may be required by the Special Provisions to a minimum test value specified therein; in such event, the bending test will be conducted in accordance with the requirements of ASTM "Standard Method for Transverse Test of Gray Iron," Designation A 438.
2. Test Bars. – The Contractor shall, at his own expense, cast, machine, and provide bars for tensile strength tests, and when applicable, also for transverse bending tests, in accordance with the applicable requirements of the ASTM Specifications referred to hereinbefore. The actual test will be made by the City at no expense to the Contractor. At least 2 bars representing each lot of castings shall be submitted to the Engineer for testing. Bars shall be poured, and shall represent true samples of the metal used in the castings.
3. Rejection of Materials. – Should the results of testing fail to meet all the requirements herein specified, all castings made from the lot which the specimens represent will be rejected.
4. Cleaning and Inspection. - All castings which have passed the required tests shall be thoroughly cleaned, inside and out, without the aid of acid or other liquids, and shall be subjected to careful inspection and hammer tests. The castings shall be of the dimensions shown on the plans, and shall be free from sand or blow holes and cold shuts. No plugging or stopping of holes will be allowed. Casting lines and excess materials shall be ground smooth. After the castings have been tested and cleaned, as described hereinbefore, they shall be weighed and the weight shall not vary more than 8 percent of that indicated on the plans for any particular casting, and no more than 4 percent on the whole lot of castings. The weight of each casting shall be painted in white on the outside of the casting. Unless otherwise specified, no casting will be accepted on the work unless the weight is plainly marked thereon.

5. Patterns. - The Contractor shall assume full responsibility for the correctness and conditions of all patterns, whether furnished by the Contractor or borrowed from the City of San Francisco.
6. Marking. - Each casting shall have distinctly cast on the outside thereof such letters and numbers as the Engineer may direct. The letters shall be not less than 38 mm in length and 3 mm in relief, unless otherwise specified.

Taper Cones.--The taper cone for precast manholes shall be of the eccentric type. The vertical wall of the cones shall be upstream or as otherwise directed by the Engineer.

Steps.--All steps for sewer structures shall be fabricated from approved Class 316 stainless steel or approved polypropylene conforming to Type II, Grade 16906, and in accordance with the requirements of ASTM D2146 with 12.7mm grade 60 steel reinforcement conforming to ASTM A615, and in accordance with the details shown on the plans.

Concrete Collar Around Manhole Ring.--A concrete collar shall be placed on all manhole frames as shown on the plans. The concrete collar shall contain not less than 250 kgof portland cement per cubic meter and shall have a maximum size of coarse aggregate of 19-mm.

PAYMENT

The contract unit price paid per sanitary sewer manhole shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals such as excavation, lagging and backfill, and for doing all the work involved in constructing sanitary sewer manhole, complete in place, including furnishing and installing manhole frame and cover and concrete collar, as shown on the plans, as specified in these special provisions, and as directed by the Engineer.

10-1.107 COMPRESSED AIR PIPE

Grooved Underground Pipes, Tubes, And Fittings

Steel pipe for compressed air shall conform to the requirements in ASTM Designation: A 53 Type E or S (Grade B), Schedule 40, galvanized, except that the zinc coating shall be not less than 90 percent of the amount specified in that ASTM Designation. Fittings, shall be galvanized malleable iron, banded and threaded, conforming to the requirements in ANSI standard: B16.3, Class 150. The pipe ends shall be grooved to accept mechanical couplings.

Mechanical Coupling

Mechanical couplings shall be galvanized iron body, 2-piece type with Type 316 stainless steel, hex head machine bolts complying with ASTM A-193, garde B8, class 2.. The coupling shall allow 6.4 mm end separation and a minimum of 1 degree of angular deflection. The couplings shall have a minimum pressure rating of 5500 kPa. Rigid couplings and flange adaptors shall match the mechanical couplings except that the pressure rating for the flange adaptors and rigid couplings shall be a minimum of 2000 kPa.Provide nitrile gaskets if compressed air contains oil or oil vapor.

Grooved-End Fittings

ASTM A 47/A 47M, malleable-iron castings or ASTM A 536, ductile-iron casting; with grooves according to AWWA C606 and dimensions matching steel pipe.

Threaded Pipes, Tubes, And Fittings

Schedule 40, Steel Pipe: ASTM A 53/A 53M, Type E or S, Grade B, hot-dip zinc coated with ends threaded according to ASME B1.20.1. Malleable-Iron Fittings: ASME B16.3, Class 150 or 300, threaded.

General Requirements for Dielectric Fittings: Combination fitting of copper alloy and ferrous materials with insulating material; suitable for system fluid, pressure, and temperature. Include threaded, solder-joint, plain, or weld-neck end connections that match piping system materials. Dielectric Unions: Factory-fabricated union assembly, for 1725 kPa minimum working pressure at 82 degrees C.

Threaded Ball Valve

Valve-End Connections: Threaded: With threads according to ASME B1.20.1. Hand-lever: For quarter-turn valves NPS 6 (DN 150) and smaller. Two-Piece, Full-Port, Bronze Ball Valves with Bronze Trim: Description: Standard: MSS SP-110, SWP Rating: 1035 kPa, CWP Rating: 4140 kPa, Body Design: Two piece, Body Material: Bronze, Ends: Threaded, Seats: PTFE or TFE, Stem: Bronze, Ball: Chrome-plated brass, Port: Full.

Automatic Drain Valve

Automatic Drain Valve: Install on drain line after ball valve. Discharge 227 liters per hour condensate. Inlet/outlet connection NPT 1. Minimum/Maximum pressure: 0 to 1585 kPa.

Granular Pipe Bedding and Pipe Zone Backfill

Pipe bedding and pipe zone backfill material shall be unfrozen, friable, and no clay balls, roots or organic material selected from site excavation. Select site excavation material shall conform to the requirements in Section 19-3.025B, "Sand Backfill," of the Standard Specifications.

Identification

Underground Tracer Tape: Underground tracer tape shall be permanent, detectable, bright colored blue, continuous printed plastic tape intended for direct burial service; not less than 2 inches wide; lettering shall read "CAUTION COMPRESSED AIR LINE BURIED BELOW".

EXECUTION

Connection Requirements

Contact Caltrans prior to disruption of service, and coordinate outing requirements. Schedule connection work for evening or weekends to minimize service interruption period.

Installation Qualification

Pressure-Seal Joining Procedure for Steel Piping. Qualify operators according to training provided by coupling manufacturing company. Comply with ASME B31.1, "Power Piping," for high-pressure compressed-air piping.

Piping Installation

Install air and drain piping with 1 percent slope downward to drain location.
Install flanges, unions, transition and special fittings, and valves with pressure ratings same as or higher than system pressure rating, unless otherwise indicated.
Install piping to allow service and maintenance.

Joint Construction

Threaded drain piping: Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.

Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1.Cut threads full and clean using sharp dies. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.

Damaged Threads: Do not use pipe or pipe fittings with threads that are or damaged. Do not use pipe sections that have cracked or open welds.

Underground Grooved Joints: Assemble couplings with housing, gasket, lubricant, and bolts. Join according to AWWA C606 for grooved joints. Do not apply lubricant to pre-lubricated gaskets.

Excavation and Backfill

Excavation and backfill shall conform to the provisions in Section 19-3, "Structure Excavation and Backfill," of the Standard Specifications and these special provisions.

The pipe shall be laid in a trench excavated to the lines and grades designated by the Engineer. The bottom of the trench shall be graded and prepared to provide a firm and uniform bearing throughout the entire length of the pipe barrel.

Suitable excavation shall be made to receive the joint of the pipe and joint shall not bear upon the bottom of the trench. All adjustment to line and grade shall be made by scraping away or filling in with sand, gravel or granular material under the body of the pipe, and not by wedging or blocking. Redwood blocks shall be construction grade and strapped to the pipe with steel strapping or wire bands as approved by the Engineer.

Installation of Identification

Continuous underground tracer tape shall be installed directly above buried lines and 150 mm to 300 mm below finished grade during backfilling operations.

Testing

The section of piping to be tested shall be sealed and compressed air shall be slowly introduced into the system. Pressure shall be raised to preliminary test pressure not greater than 175 kPa to locate major leaks. After leaks detectable at this preliminary test pressure have been corrected, the pressure shall be slowly raised in steps, each not greater than 175 kPa until specified test pressure is attained. Pressure shall be held at each step for a minimum of 10 minutes to allow for detection of defects. After full pneumatic test pressure of 345 kPa above operating pressure has been attained, each joint, valve packing, and other potential leak points shall be soap tested for air leaks, and such leaks shall be corrected. A Certificate of Compliance for testing 150 mm galvanized steel pipe shall be furnished to the Engineer in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

MEASUREMENT AND PAYMENT

Compressed air pipe will be measured and paid for per meter in the same manner specified for ductile iron pipe and plastic pipe in Section 20-5.04, "Measurement," and Section 20-5.05, "Payment," of the Standard Specifications.

Full compensation for furnishing and installing valves, drains, and other fittings, hose, hose couplings, dirt stops, testing and checking, shall be considered as included in the contract prices paid per meter for the types of line involved and no additional compensation will be allowed therefor.

10-1.108 GAS PIPE

GENERAL

Summary

Existing gas line (U.S. Navy) is under the jurisdiction of the City and County of San Francisco (CCSF).

This work shall consist of furnishing and installing 50-mm and 100-mm gas pipe (U.S. Navy) complete with fittings, joinings, accessories and incidentals necessary to complete the work. in compliance with the requirement of Codes and Standards, and applicable provisions and requirement of the latest revisions of the U.S. Department of Transportation (DOT) Pipeline Safety Regulations CFR 49, Part 192, "Federal Minimum Safety Standards: Transportation of Natural and Other Gases by Pipeline," and conform with these special provisions.

CODES AND STANDARDS

All work performed and material installed or furnished shall conform to the applicable portions of the California Plumbing Code (CPC), the International Association of Plumbing and Mechanical Officials (IAPMO).

MATERIALS

Gas pipe and fittings shall be polyethylene natural gas pipe, Class 315, conforming to ASTM Designation: D 2513.

Pipe and fittings shall be extruded or molded from polyethylene resin which conforms to ASTM Designation: D 1248, Type II, Class B with antioxidants Category 5, Grade P23. Pipe shall be marked in accordance with ASTM Designation D2513. Fittings shall conform to ASTM Designation: D 3261.

INSTALLATION

Polyethylene natural gas pipe shall be installed in accordance with International Association of Plumbing and Mechanical Officials (IAPMO) Standard: IS12-2006. The depth shall be not be less than 1.1 meters.

Prior to backfilling of the polyethylene natural gas pipe, the Contractor shall furnish and install a continuous yellow warning tape, not less than 100 mm wide, with black lettering "CAUTION-BURRIED GAS LINE".

The warning tape shall be installed directly above the buried gas pipes at the depth of 150 mm to 300 mm below the finished grade.

EXCAVATION, BACKFILL AND SAND BEDDING

Structure excavation and backfill, and sand bedding for the installation of the gas pipes shall conform to the provisions in Section 19.3, "Structure Excavation and Backfill," of these Standard Specifications.

MAINTAINING GAS SERVICES

The Contractor shall give the Engineer 48-hour advance notice prior to any interruption of the gas services. Any interruption to the gas services shall not exceed 8 hours. The City and County of San Francisco shall be given 48-hour notice in advance of any interruption to the system. The City and County of San Francisco can perform any necessary isolations, or in the case of poly-pipe, the Contractor shall clamp. The Contractor shall make all necessary connections to complete the work.

Testing

All piping shall be tested in the presence of the Engineer after assembly and prior to backfill, pipe wrapping, connecting fixtures, wrapping joints and covering the pipe. Systems shall show no loss in pressure or visible leaks.

The Contractor shall test gas supply system by air pressure testing to 345 kPa for a period of not less than 4 hours.

MEASUREMENT

The length of pipe to be paid for will be the slope length designated by the Engineer. No payment will be made for pipe placed in excess of the length designated.

PAYMENT

The contract price paid per meter for gas pipe of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing the gas pipe, complete in place, including excavating for the pipe, and disposing of material resulting from the excavation, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

Full compensation for structure excavation, structure backfill, and shaped bedding, when gas pipe is installed by the open trench method, shall be considered as included in the contract price paid per meter for the the various sizes of gas pipe involved and no separate payment will be made therefor.

Full compensation for providing and installing the yellow (with black lettering) warning tape "CAUTION-BURIED GAS LINE" shall be considered as included in the contract paid for the various contract items of work and no additional compensation will be allowed therefor.

SECTION 10-2. (BLANK)

SECTION 10-3. SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS

10-3.01 DESCRIPTION

The following work shall conform to the provisions in Section 86, "Signals, Lighting and Electrical Systems," of the Standard Specifications and these special provisions.

A. Underground (Electrical Duct Bank) is shown on the plans (see E-7 to E-47) and shall include, but not be limited to the following:

1. Install manholes, sumps, and duct banks as shown on the plans.
2. Install all conduit including anchors, inserts, fittings, and supports as shown on the plans.
3. Install and terminate bare copper grounding conductors, grounding rods, and copper ground bars as shown on the plans.
4. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
5. Install and terminate cables as shown on the plans and conduit and tray schedule.
6. Conduct functional test on all circuits to contract split located inside manholes.

B. YBI Transition Structures Roadway Westbound is shown on the plans (see E-48 to E-72, and E-173 to E-178) and shall include, but not be limited to the following:

1. Install roadway safety and aesthetic lighting fixtures, overhead sign and receptacles.
2. Install utility and lighting panel enclosures on the service platforms.
3. Install all conduit, conduit between panels and wireways, pull and junction boxes, wireways, bare copper bonding, anchors, fittings and supports.
4. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
5. Install and terminate cables as shown on the plans and conduit and tray schedule.
6. Conduct functional test on all circuits, lighting, receptacle and overhead sign circuits.
7. Install components and fabricate mounting racks on the service platforms.

C. YBI Transition Structures Girder Westbound is shown on the plans (see E-73 to E-89) and shall include, but not be limited to the following:

1. Install all conduit, concrete inserts, soffit lighting fixtures, pull and junction boxes, anchors, fittings and supports.
2. Install grounding conductor and ground bar.
3. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
4. Install and terminate cables as shown on the plans and conduit and tray schedule.

D. YBI Transition Structures Roadway Eastbound is shown on the plans (see E-90 to E-116, and E-173 to E-178) and shall include, but not be limited to the following:

1. Install roadway safety and aesthetic lighting fixtures and receptacles.
2. Install utility and lighting panel enclosures on the service platforms.
3. Install all conduit, conduit between panels and wireways, pull and junction boxes, wireways, bare copper bonding, anchors, fittings and supports.
4. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
5. Install and terminate cables as shown on the plans and conduit and tray schedule.
6. Install components and fabricate mounting racks on the service platforms.
7. Conduct functional test on all lighting and receptacle circuits.
9. Install bike path conduit and lighting fixtures as shown on the plans.

E. YBI Transition Structures Girder Eastbound is shown on the plans (see E-117 to E-134) and shall include, but not be limited to the following:

1. Install all conduit, concrete inserts, pull and junction boxes, anchors, fittings and supports.
2. Install the grounding conductor and ground bar.
3. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
4. Install and terminate cables as shown on the plans and conduit and tray schedule.

F. SCADA Remote Terminal Unit System is shown on the plans (see E-135 to E-145) and shall include, but not be limited to the following:

1. Install and test all SCADA panels, communication and telephone terminal boxes, and associated components.
2. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
3. Install and terminate cables as shown on the plans and conduit and tray schedule.
4. Conduct functional test on all RTU's and communication circuits.
5. Subcontract fabrication and configuration of SCADA cabinets.

G. Traffic Operation System is shown on the plans (see E-146 to E-157) and shall include, but not be limited to the following:

1. Install and test all camera station equipment including all associated cables.
2. Install and test State-furnished changeable message sign system.
3. Install and test microwave vehicle detection sensor systems including associated cable and junction boxes.
4. Install and test preformed loop detector stations including associated cable and junction boxes.
5. Install and test all fiber optic splice cabinets, fiber optic cables, splice closures, fiber optic data modems and fiber optic transceivers.
6. Install and test all State-furnished controller cabinet assemblies.

H. Strong Motion Detection System is shown on the plans (see E-158 to E-167) and shall include, but not be limited to the following:

1. Install State-furnished sensors and State-furnished recorders with enclosures as shown on the plans.
2. Install all conduit, pull/junction boxes, anchors, fittings and supports.
3. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions and pull cable through the system conduit and cable trays.
4. Install and terminate cables as shown on the plans and conduit and tray schedule.
5. Install State-furnished seismic sensor mounting plates and enclosures.
6. Conduct continuity test on all circuits.
7. Install seismic sensor free field concrete pad.

I. Call Box System is shown on the plans (see E-168 to E-172) and shall include, but not be limited to the following:

1. Install State-furnished call boxes.
2. Install and terminate cable itemized under "Cost Break-down" elsewhere in these special provisions.
3. Install and terminate cables as shown on the plans and conduit and tray schedule.
4. Conduct functional test on all circuits.

J. Lighting (Location 1) is shown on the plans (see E-179 to E-181) and shall include, but not be limited to the following:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Equipment rental - list each over $500.00 – list size and type.
5. Electrical hardware – list size and type.
6. Equipment grounding system – list each size and type.
7. Pull boxes and junction boxes – list each size and type.
8. Light poles - list size and type.
9. Light fixtures - – list each size and type.
10. Grounding system – list size and type.
11. Pole foundations

10-3.02 ABBREVIATIONS

The following abbreviations are added to those listed in Section 1-1.02, "Abbreviations," of the Standard Specifications:

BNC	Bayonet Nut Connector
BIL	Basic Insulation Level
CPU	Central Processing Unit
CSA	Canadian Standards Association
C-UL	Canadian Underwriters Laboratories
DLCI	Data Link Control Identifier
DMA	Direct Memory Access
EEMAC	Electrical Equipment Manufactures Advisory Council
EEPROM	Electrically Erasable Programmable Read-Only Memory
EMI/RFI	Electromagnetic Interference/Radio Frequency Interference
EPR	Ethylene propelyne rubber
ESO	Electrical Safety Orders of the Division of Industrial Relations, State of California
FCC	Federal Communications Commission
GFCI	Ground Fault Current Interrupter
THD	Total Harmonic Distortion
IDC	Insulation Displacement Connector
ICEA	Insulated Cable Engineering Association
IEC	International Electrotechnical Commission
I/O	Input / Output
ISDN	Integrated Services Digital Network
ISO	International Organization for Standardization
IS/OS	Individual Shield/Overall Shield
JEDEC	Joint Electron Device Engineering Council
NEC	National Electrical Code
NETA	International Electrical Testing Association Inc.
NO	Normally Open
NC	Normally Closed
NFPA	National Fire Protection Association
NTSC	National Television Systems Committee
OSHA	Occupational Safety and Health Administration
OSI	Open Systems Interconnect
PVC	Polyvinyl Chloride
REA	Rural Electrification Administration
RTD	Resistance Temperature Detector
SAS	Self-Anchored Suspension
SCADA	Supervisory Control And Data Acquisition
SCSI	Small Computer Systems Interface
SDLC	Serial Data Link Control
TELCO	Telephone company
TIA	Telecommunications Industry Association
VME	Versa Module Eurocard
XLPE	Cross Linked Polyethelene
YBI	Yerba Buena Island

10-3.03 COST BREAK-DOWN

Cost break-downs shall conform to the provisions in Section 86-1.03, "Cost Break-Down," of the Standard Specifications and these special provisions.

The Engineer shall be furnished a cost break-down for each contract lump sum item of work described in this Section 10-3.

The cost break-down shall be submitted to the Engineer for approval within 15 days after the contract has been approved. The cost break-down shall be approved, in writing, by the Engineer before any partial payment for the items of electrical work will be made.

The cost break-down shall be divided into the following categories. Within each category, each item of work shall be broken down, as a minimum, to include the following listed items in addition to those listed in the Standard Specifications:

A. Underground (Electrical Duct Bank) electrical installation is shown on the plans and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Equipment rental - list each over $500.00 – list size and type.
5. Electrical hardware – list size and type.
6. Equipment grounding system – list each size and type.
7. Manholes – list each size and type.
8. Duct banks – list each size and type.

B. YBI Transition Structures Roadway Westbound electrical installation is shown on the plans and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Wireways on service platforms – list size and type.
5. Pull boxes and junction boxes – list each size and type.
6. Lifting devices – list size and type.
7. Receptacles – list size and type.
8. Utility and lighting panels – list size and type.
9. Utility and lighting transformers list size and type.
10. Equipment required for functional testing – list size and type.
11. Equipment rental - list each over $500.00 – list size and type.
12. Electrical hardware – list size and type.
13. Mounting rack – list components and fabrication method.
14. Light poles - list size and type.
15. Light fixtures - – list each size and type.
16. Grounding system – list size and type.

C. YBI Transition Structures Girder Westbound electrical installation is shown on the plans and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Hinge connections – list each size, type and installation method.
5. Grounding system – list size and type.
6. Pull boxes and junction boxes – list each size and type.
7. Termination boxes – list each size and type.
8. Equipment rental - list each over $500.00 – list size and type.
9. Electrical hardware – list size and type.
10. Sofitt lighting fixture - list size and type.

D. YBI Transition Structures Roadway Eastbound electrical installation is shown on the and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Wireways on service platforms – list size and type.
5. Pull boxes and junction boxes – list each size and type.
6. Lifting devices – list size and type.
7. Receptacles – list size and type.
8. Utility and lighting panels – list size and type
9. Utility and lighting transformers list size and type.
10. Equipment required for functional testing – list size and type.

11. Equipment rental - list each over $500.00 – list size and type.
12. Electrical hardware – list size and type.
13. Mounting rack – list components and fabrication method.
14. Light poles - list size and type.
15. Light fixtures - list size and type.
16. Grounding system – list size and type

E. YBI Transition Structures Girder Eastbound electrical installation is shown on the plans and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Hinge connections – list each size, type and installation method.
5. Grounding system – list size and type.
6. Pull boxes and junction boxes – list each size and type.
7. Termination boxes – list each size and type.
8. Equipment rental - list each over $500.00 – list size and type.
9. Electrical hardware – list size and type.
10. Equipment required for functional testing – list size and type.

F. SCADA Remote Terminal Unit System installation is shown on the plans and shall include, but not be limited to the following additional items of the cost break-down:
1. Remote Terminal Units– list size and type.
2. Telephone terminal boxes – list size and type.
3. SCADA communication terminal boxes – list size and type.
4. Telephone and SCADA cables – list each size and type.
5. Equipment required for functional testing – list size and type.
6. Equipment rental - list each over $500.00 – list size and type.
7. Termination and splice - list each size and type.
8. Cable – list each size and type.

G. Traffic Operations System is shown on the plans with the additional items to include:

1. Cable – list each size and type.
2. Mounting brackets – list size and type.
3. Equipment required for functional testing – list size and type.
4. Equipment rental - list each over $500.00 – list size and type.
5. Fiber distribution units – list size and type.
6. Fiber splice closure equipment– list size and type.
7. Camera junction boxes – list size and type.

H. Strong Motion Detection System is shown on plans and shall include, but not be limited to the following additional items of the cost break-down:
1. Seismic cable – list size and type.
2. Interconnect cable – list size and type.
3. Telephone cable – list size and type.
4. Conduits, conduit anchors, fittings and supports – list each size and type.
5. Junction boxes, pull boxes, and sensor enclosures – list each size and type.
6. Recorder cabinets – list size and type.
7. Termination and splice - list each size and type.
8. Cable – list each size and type.

I. Call Box System installation details are shown on plans and shall include, but not be limited to the following additional items of the cost break-down:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Equipment required for functional testing – list size and type.
4. Equipment rental – list each over $500.00 – list size and type.

J. Lighting (Location 1) is shown on the plans and shall include, but not be limited to the following:

1. Cable – list each size and type.
2. Termination and splice - list each size and type.
3. Conduits, conduit anchors, fittings and supports – list each size and type.
4. Equipment rental - list each over $500.00 – list size and type.
5. Electrical hardware – list size and type.
6. Equipment grounding system – list each size and type.
7. Pull boxes and junction boxes – list each size and type.
8. Light poles - list size and type.
9. Light fixtures - – list each size and type.
10. Grounding system – list size and type.
11. Pole foundations

10-3.04 CONSTRUCTION CONTRACT INTERFACE RESPONSIBILITY

The Contractor shall install and complete the interconnection of the following circuits:

1. From existing 480 V LVCC's in the electrical room to manhole No. 25.
2. From existing 12.47 kV switchgear in the electrical room to manhole No. 26.
3. From existing COM YBI terminal box in the electrical room to manhole No. 27.
4. From existing TEL YBI terminal box in the electrical room to manhole No.27.
5. From existing TOS cabinets in the electrical room to manhole No. 27.

Locations of battery limits are shown on the plan sheets. The Contractor shall provide all materials required for splicing and termination of cables.

The Contractor shall perform the final acceptance tests of each termination and splice in accordance with latest applicable ICEA, International Electrical Testing Association Inc. (NETA) and IEEE standards. The tests will include but not be limited to continuity, backscattering, attenuation and loss measurements of fiber-optic cables, including DC High Potential Tests, continuity and megger tests, as applicable, for each splice performed.

10-3.05 CONDUIT

Conduit to be installed underground shall be Type 1 or Type 3 unless otherwise specified.All conduit installed on the bridge shall be Type 2 unless otherwise specified. Conduit within the box girders and detector termination conduit shall be Type 1. All conduit installed in the barrier strip that are embedded into concrete shall be Type 1 or Type 2. Any conduit in the barrier strip that is not fully embedded in concrete or located exposed shall be Type 2.

The conduit in a foundation and between a foundation and the nearest pull box shall be Type 1.

Conduit sizes shown on the plans and specified in the Standard Specifications and these special provisions are referenced to metallic type conduit. When rigid non-metallic conduit is required or allowed, the nominal equivalent industry size shall be used as shown in the following table:

Size Designation for Metallic Type Conduit	Equivalent Size for Rigid Non-metallic Conduit
21	20
27	25
41	40
53	50
63	65
78	75
103	100

When a standard coupling cannot be used for joining Type 1 conduit, a UL listed threaded union coupling conforming to the provisions in Section 86-2.05C, "Installation," of the Standard Specifications, or a concrete-tight split coupling, or concrete-tight set screw coupling shall be used.

Pull ropes for use when installing cables in conduit shall consist of flat, woven, lubricated, soft-fiber polyester tape with a minimum tensile strength of 8000 N and shall have printed sequential measurement markings at least every meter.

When Type 3 conduit is placed in a trench (not in pavement or under portland cement concrete sidewalk), after the bedding material is placed and the conduit is installed, the trench shall be backfilled to not less than 100 mm above the conduit with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," of the Standard Specifications. The concrete shall contain not less than 250 kg of cementitious material per cubic meter. The remaining trench shall be backfilled to finished grade with backfill material.

Conduit runs shown on the plans to be located behind curbs may be installed in the street, within 0.9-m of, and parallel with the face of the curb, by the "Trenching in Pavement Method" in conformance with the provisions in Section 86-2.05C, "Installation," of the Standard Specifications. Pull boxes shall be located behind the curb or at the locations shown on the plans.

After conductors have been installed, the ends of conduits terminating in pull boxes, service equipment enclosures, and controller cabinets shall be sealed with an approved type of sealing compound.

At locations where conduit is required to be installed under pavement and if a delay to vehicles will not exceed 5 minutes, conduit may be installed by the "Trenching in Pavement Method."

RIGID GALVANIZED STEEL CONDUIT, THREADED COUPLINGS AND ELBOWS

The rigid galvanized steel (RGS) conduit, threaded couplings, and elbows shall conform to Section 86-2.05, "Conduit," of the Standard Specifications for Type 1 where shown on the plans.

POLYVINYL CHLORIDE COATED RIGID GALVANIZED STEEL CONDUIT, THREADED COUPLINGS, AND ELBOWS

The polyvinyl chloride (PVC) coated rigid galvanized steel (RGS) conduit, threaded couplings, and elbows shall conform to "Rigid galvanized steel Conduit, Threaded Couplings, and Elbows" as specified elsewhere in these special provisions shall conform to Section 86-2.05, "Conduit," of the Standard Specifications for Type 2 where shown on the plans.

POLYVINYL CHLORIDE COATED RIGID GALVANIZED STEEL CONDUIT BODIES

The polyvinyl chloride (PVC) coated rigid galvanized steel (RGS) conduit bodies shall conform to the following requirements before the PVC coating is applied:

A. The PVC-coated RGS conduit bodies shall conform to Federal Specification W-C-586D, and UL Standard No. 514B. The PVC-coated RGS conduit bodies zinc surfaces shall remain intact and undistributed on both the inside and outside throughout the preparation and application processing.
B. The PVC-coated RGS conduit bodies shall be "Hot-Dipped" galvanized inside and out after fabrication with "Hot-Dipped" galvanized threads. The zinc coating for PVC-coated RGS conduit bodies will be tested in accordance with ASTM Designation: A239.
C. The "Hot-Dipped" galvanized threads, the exterior, and the interior for the PVC-coated RGS conduit bodies shall have a urethane coated of a nominal thickness of 50 microns.
D. The PVC-coated RGS conduit bodies shall conform to the following requirements when the PVC coating is applied:

    1. All PVC-coated RGS conduit bodies shall conform to NEMA Standard No. RN-1.
    2. The bond between the coatings and the metal shall be greater than the tensile strength of the coatings.
    3. All PVC-coated RGS conduit bodies shall have an exterior PVC coating of a minimum thickness of 1 mm applied by dipping in liquid plastisol.
    4. All Hubs on PVC-coated RGS conduit bodies shall have a PVC sleeve extending one pipe diameter or 53 mm whichever is less. The inside diameter (I.D.) of the sleeve to be equal to the outside diameter (O.D.) of the uncoated pipe.
    5. Stainless steel encapsulated screws shall be supplied with all form 7 and form 8 PVC-coated RGS conduit bodies.
    6. The PVC coating on all form 8 PVC-coated RGS conduit bodies shall form a gasket-like flange of at least 8 mm wide and 1 mm thick covering the top of the conduit body around the opening.
    7. The PVC coating on all form 8 conduit body covers shall form a gasket-like flange of at least 8 mm wide and 1 mm thick covering at the bottom of the cover and mating with the flange of the conduit body.
    8. All PVC-coated RGS conduit bodies for conduits less than 103 mm shall be form 7 conduit bodies.

LIQUIDTIGHT FLEXIBLE METAL CONDUIT

The liquid tight flexible metallic conduit shall conform to the following requirements:

A. The flexible metal shall be constructed of continuously interlocked strip and shall be coated with sunlight resistant PVC jacket.
B. The metal core shall be hot-dipped galvanized steel core with a heavy coating of zinc.
C. The jacket shall be resistant to weather, temperature, oil and chemical breakdown.
D. Conform to the provisions of NEC Article 351 under "Liquid-tight Flexible Metal Conduit".
E. UL listed for Safety 360.

10-3.06 UNDERGROUND RACEWAYS

DUCTBANKS

Underground conduits shall be Type 1 encased in concrete. Concrete for conduit encasement shall be 35 MPa test with aggregate of 20 mm or smaller.

The concrete shall be colored red by the addition of 6 kg of red oxide powder to each cubic meter of mix. The coloring shall be thoroughly mixed into the concrete before pouring. Adequate spacers, tie-downs and bracing shall be provided to maintain conduits in place during the pouring of the concrete. Ducts shall be installed so as to drain to manholes. When installed conduit ducts are lower than the conduits in the manhole, provide conduit drain hole.

All conduits entering and leaving the manholes shall be bonded together with copper bare conductor with ground clamp connected to system ground. All conduit connections shall be threaded. All approved connections shall be made with suitable conductive thread compound. After installation all conduits shall be checked for continuity and cleaned properly. Cleaning of conduit shall be done by the use of compressed air for sizes 41 mm and smaller, and by the use of mandrel and cleaning brushes pulled through each conduit for sizes larger than 41 mm. Prior to backfilling of the underground duct system, the Contractor shall provide a yellow (with black lettering) warning tape, 340 mm from the finished grade, stating "CAUTION-BURRIED ELECTRICAL LINE".

MANHOLES

All manholes shall be installed by the Contractor as shown and specified on the plans.

MANHOLE FRAMES AND COVERS

Frame and cover shall be cast iron and shall be designated for H-20 Traffic loading, and shall comply with ASTM F1143.

Manhole cover shall be engraved with the following nameplates: Caltrans High Voltage, Caltrans 600 V, Caltrans TOS&COM, for manhole number as shown on the plans.

10-3.07 SUPPORT HARDWARE FOR CONDUITS, CABLE TRAYS AND WIREWAYS

STAINLESS STEEL POWER-STUD ANCHOR-THREADED VERSION

Stainless steel power-stud, anchor-threaded version shall have a one-piece anchor body with the length identification code. The anchor bodies shall be manufactured from Type 316 stainless steel and shall have an expansion mechanism, which consists of a pair of interlocking independent wedges.

Anchors that have less than a 40 mm hole depth can be installed anywhere in the box. If the anchor will require a hole depth of greater than 40 mm, the Engineer will consult with the Structural Engineer of Record prior to approving the location and depth of the anchor. The f"c for the superstructure is 55 Mpa. The average strength of the concrete will therefor be almost 62 MPa. As a consequence it will be difficult to drill.

Anchor Component	Component Material
Anchor Body	Type 316L Stainless Steel
Nut	Type 316L Stainless Steel
Washer	Type 316L Stainless Steel
Expansion Wedge	Type 316L Stainless Steel

EMBED STRUT CHANNEL

Embed strut channels, as shown on the plans, shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications and these special provisions.

Embed strut channels cast in preformed concrete shall be installed at the time of casting and not after. Embed struts shall be manufactured from 12-gage hot-dip galvanized rolled steel. Load ratings shall have a safety factor of 3. An electro-galvanizing and dichromate finishing process shall be applied after fabrication to achieve a 13 µm electro-galvanizing plating. The channels shall be of a standard size to accommodate standard channel fittings and nuts.

MOUNTING RACKS

Mounting racks shall be constructed from 12-gage steel channel. The steel shall be fabricated by a formed hot-dip galvanized rolled steel method and have a 13 µm electro-galvanizing plating applied after fabrication. All fittings and hardware used for construction of the mounting racks shall be of steel with the same electro-galvanizing plating.

THREADED BOLT SLEEVES AND BOLTS

Threaded bolt sleeves required for the navigation light mounting plate shall conform to the requirements in ASTM Designation: A 36 and installed by the concrete fabricator. The bolts shall conform to the requirements in ASTM Designation: A 37.

ELECTRICAL HARDWARE

All electrical hardware, including but not limited to, bolts, nuts, washers, lock washers, fastening screws, expansion anchors, U-bolts and hold-down clamps shall be Type 316L stainless steel unless otherwise noted.

CONDUIT AND TRAY SUPPORTS

Conduit and tray support brackets, as shown on the plans, shall conform to the provisions in Section 75-1.03, "Miscellaneous Bridge Metal," of the Standard Specifications and these special provisions.

The anchoring and supports of trays shown on the plans are designed to Seismic Zone 4 requirements. The Contractor shall determine the construction methods for Seismic Zone 4 for conduit supports at each support location, including location and length of support channel. Once determined, the Contractor shall submit to the Engineer for review and approval at least 30 working days prior to ordering or fabrication of conduit supports.

The Engineer will consult with the Structural Engineer of Record prior to approving concrete anchors requiring a depth of greater than 40 mm. Therefore it is recommended that support channels be embedded in the pre-fabricated concrete girder sections, during manufacture, for all trays and all major conduit runs. The following tray and conduit weight tables shall be used by the Contractor for determining the spacing of supports. The tables show the maximum probable mass of insulated copper conductors assuming maximum tray and conduit fill.

Conduit size in mm:	41	53	63	78	103
Mass in kg/m:	6.15	9	12.9	18.75	28.5

Tray size in mm:	305	610	762
Mass in kg/m:	37.5	75	93.75

Maximum tray support span shall be 4.74 meters.

Conduits shall be supported as shown on the plan otherwise, maximum conduit support span shall be 3.0 meters.

CABLE TRAY LADDER TYPE

Cable tray systems are defined to include, but are not limited to straight sections of ladder type cable trays, bends, tees, elbows, dropouts, supports and accessories.

Cable Tray Sections and Components

Straight section and fitting side rails and rungs shall be made of ASTM Designation: A 570 and A 611 steel. The cable tray system shall be hot-dip galvanized after fabrication in conformance with the requirements in ASTM Designation: A 123. The cable tray side rails shall be stamped with the manufacturer's name, part number and material type. Transverse members (rungs) or corrugated bottoms shall be welded to the side rails with steel welding wire.

Ladder type trays shall consist of two longitudinal members (side rails) with transverse members (rungs) welded to the side rails. Rungs shall be spaced 229 mm on center. Rung spacing in fittings shall be 229 mm and measured at the center of the tray's width. Rungs shall have a minimum cable-bearing surface radius edge of 25 mm. Each rung must be capable of supporting 91 kg concentrated load above the cable load at the center of the cable tray with a safety factor of 1.5. Tray sizes shall have 127-mm minimum usable load depth or as noted on the plans.

Straight tray sections shall have side rails fabricated as I-beams. Tray widths shall be as shown on plans. Tray fittings shall include all reducers, and vertical and horizontal bends. All fittings must have a minimum radius of 610 mm. Splice plates shall be the bolted type made as indicated below for each tray type. The resistance of fixed splice connections between an adjacent section of tray shall not exceed 330 μΩ. Splice plate construction shall be such that a splice may be located anywhere within the support span without diminishing rated loading capacity of the cable tray. (The splice plate shall be able to support the full load of the tray). Splice plates shall be manufactured of high strength steel. All hardware shall be stainless steel. Cable tray shall be supported at intervals of not more than 4.74 m. All conduit terminating at trays shall provide a continuous cable route by using bushings specifically suited for attaching conduit to the tray rail and for providing ground continuity.

Cable trays shall meet NEMA Class Designations 16B. The cable trays shall be manufactured by B-Line Systems, Chalfant, or other approved manufacturer.

NEMA TYPE 4X WIREWAY

The wireway shall be NEMA Type 4X Continuous Hinge Feed-Through Wireway. It shall be 14-gage Type 304 stainless steel. The seams shall be continuously welded and ground smooth, no holes or knockouts. It shall have 10-gage stainless steel flanges with smooth, rounded edges on all sections and fittings preventing damage to the insulation of the wire. The stainless steel heavy butt hinges and door clamp assembly shall assure a complete seal between covers and bodies. The gasket and adhesive shall be oil-resistant. The solid oil-resistant gasket shall be positioned between flanges when sections and fittings are bolted together. The wireway shall meet the latest edition of the following industry standards NEMA Type 4X Enclosure, UL 870 and have a 2B finish. The physical size and dimensions shall be as shown on the plans. The wireway shall be manufactured by B-Line Systems, Chalfant, Hoffman or other approved manufacturer.

CABLE TRAY SOLID TROUGH TYPE

The solid trough type tray shall be the same as ladder type cable tray specified elsewhere in these special provisions except for the following. It shall be a solid bottom trough type tray with two longitudinal members (side rails) with a corrugated bottom welded to the side rails. The peaks of the corrugated bottom shall have a minimum flat cable-bearing surface of 70 mm and shall be spaced on 152-mm centers. The cable trays may be manufactured by B-Line Systems, Chalfant, or other approved manufacturer. Solid bottom trays with covers shall be used for communications and signal wire trays. Tray fittings shall include all reducers, and vertical and horizontal bends. Cable tray shall be supported at intervals of not more than 4.74 m. All conduit terminating at trays shall provide a continuous cable route by using bushings specifically suited for attaching conduit to the tray rail and for providing ground continuity.

CABLE INSTALLATION IN CABLE TRAY

All cable installed in cable trays shall be dressed neatly, arranged in bundles by function and strapped to the cable tray at intervals no less than 600 mm using plastic ties.

10-3.08 PULL BOXES, SPLICE BOXES AND JUNCTION BOXES

Grout shall not be placed in the bottom of pull boxes.

BARRIER PULL BOXES

Type 9 and 9A barrier pull boxes shall be as shown on the plans and detailed on Standard Plans ES-9D, except the pull box shall have captive stainless steel cover screws.

OUTDOOR BARRIER RECEPTACLES, OUTDOORS

The receptacles to be installed in the barriers outdoors shall be rated 20 A, 120 V, weatherproof and with threaded caps which effectively seal the housing when not in use.

NEMA TYPE 4X STAINLESS STEEL PULL BOXES

The body and the cover plate of the NEMA Type 4X enclosure shall be made of 14-gage Type 304 or Type 316 stainless steel. The seams shall be continuously welded and ground smooth, no holes or knockouts. It shall have a seamless foam-in-place gasket that assures watertight and dust-tight seal. The gasket and adhesive shall be oil-resistant. All exterior hardware shall be Type 304 or Type 316 stainless steel.

The enclosure shall meet the latest edition of the following industry standards NEMA Type 4X Enclosure, UL870.

The box engraving, physical size and dimensions shall be as shown on the plans. The enclosure shall be manufactured by B-Line Systems, Chalfant, Hoffman or other approved manufacturer.

NEMA TYPE 12 PULL BOXES SINGLE DOOR

The NEMA Type 12 single door pull box shall conform to the following:

Construction

A. Enclosure bodies are either 14-gage or 16-gage steel. All doors are 14-gage steel.
B. Seams shall be continuously welded and ground smooth, no holes or knockouts.
C. Continuous hinge.
D. External screw door clamps are quick and easy to operate.
E. Door shall be removed by pulling heavy gage continuous hinge pin.
F. Oil-resistant gasket attached with oil-resistant adhesive and held in place with steel retaining strips shall be provided.
G. The box engraving, physical size and dimensions shall be as shown on the plans drawings.
H. Finish shall be white inside with ANSI 61 gray outside finish over phosphatized surfaces. Optional panels shall be white.

Industry Standards

A. UL 508 Type 12.
B. NEMA/EEMAC Type 12.
C. JIC standard EGP-1-1967 (14-gage only).
D. CSA Type 12.
E. IEC 529, IP-65.

NEMA TYPE 4X ENCLOSURES STAINLESS STEEL

The body and the door of the NEMA Type 4X enclosure shall be made of 14-gage Type 304 or Type 316 stainless steel. The seams shall be continuously welded and ground smooth, no holes or knockouts. It shall have a seamless foam-in-place gasket that assures watertight and dust-tight seal. It shall have a body stiffener in the larger size enclosures. The gasket and adhesive shall be oil-resistant. Enclosure shall have rolled lip around three sides of the door and all sides of the enclosure to assure a proper seal. All exterior hardware shall be Type 304 or Type 316 stainless steel. Enclosure shall have a hasp and staple for padlocking.

The door shall be removed by pulling stainless steel continuous hinge pin. The enclosure shall meet the latest edition of the following industry standards NEMA Type 4X Enclosure, UL508, and have a 2B finish.

The enclosure engraving, physical size and dimensions shall be as shown on the plans. The enclosure shall be manufactured by B-Line Systems, Chalfant, Hoffman or other approved manufacturer.

Proper air circulation in the cabinets shall be provided to protect PLC equipment from overheating. Isolated ground bus to connect instrumentation shields, and a ground lug for equipment ground.

SCADA and Telephone Termination Enclosure

The cabinets shall include terminations for 50 twisted pair communications leads. Noise suppression resistors with a 1-percent tolerance shall be provided for each pair.

NEMA TYPE 4X ENCLOSURES STEEL

The NEMA Type 4X steel enclosures shall be single door has the following specification:

Construction

A. Enclosure bodies and doors are either 14-gage Type 304 or Type 316 stainless steel .
B. Seams continuously welded and ground smooth, no holes or knockouts.
C. External mounting feet or wall mounted.
D. Door and body stiffeners in larger enclosure.
E. Rolled lip around three sides of door and all sides of enclosure opening excludes liquids and contaminants.
F. Door clamps are quick and easy to operate.
G. Door removed by pulling heavy gage continuous hinge pin.
H. Hasp and staple for padlocking.
I. Data pocket is high-impact thermoplastic.

J. Oil-resistant gasket attached with oil-resistant adhesive and held in place with steel retaining strips.
K. Collar studs provided for mounting panels.
L. The box engraving, physical size and dimensions shall be as shown on the plans.
M. Proper air circulation in the cabinets
N. Isolated ground bus to connect instrumentation shields, and a ground lug for equipment ground.

Finish

White inside with ANSI 61 gray outside finish over phosphatized surfaces. Optional panels are white.

Industry Standards

A. UL 508 Type 4X .
B. NEMA/EEMAC Type 4X .
C. JIC standard EGP-1-1967 (14-gage only).
D. CSA Type 4X .
E. IEC 529, IP-65.

15 kV SPLICE BOX

The high voltage splice box shall be NEMA Type 4X as shown on the plans. The box shall conform to all applicable requirements in NEC, Article 370(D). Each cover shall be secured with at least ten stainless steel bolts. Cover makings for high voltage splice box shall read "High Voltage" and be permanently marked and readily visible. Enclosures and covers shall be 14-gage steel minimum with seams continuously welded and ground smooth. High voltage splice box shall be installed at each high voltage cable splice location.

FIBER OPTIC SPLICE CLOSURE

The fiber optic field splices shall be enclosed in splice closures which shall be complete with splice organizer trays, brackets, clips, cable ties, seals and sealant, as needed. Manufacturer's installation instructions shall be supplied to the Engineer prior to the installation of any splice closures. The splice closures shall not be more than 864 mm in length and not more than 203 mm in diameter. The splice closure shall be designed for a temperature range from -30°C to +70°C. Location of the splice closures shall be where a splice is required as shown on the plans, designated by the Engineer, or described in these special provisions.

The splice closure shall conform to the following specifications:

A. Non-filled thermoplastic case
B. Rodent proof, water proof, re-enterable and moisture proof
C. Expandable from 2 cables per end to 8 cables per end by using adapter plates
D. Cable entry ports shall accommodate 10 mm to 25 mm diameter cables
E. Multiple grounding straps
F. Accommodate up to 8 splice trays
G. Suitable for "butt" or "through" cable entry configurations
H. Place no stress on finished splices within the splice trays

The splice closure shall be bolted to the side wall of the splice cabinet. The splice closure shall be mounted in a manner as shown on the plans that allows the cables to enter at the end of the closure without exceeding any minimum bending radius specification.

The Contractor shall verify the quality of each splice prior to sealing the splice closure. The splice closure shall not be sealed until link testing is performed and is approved by the Engineer. The splice closure shall be sealed using a procedure recommended by the manufacturer that will provide a waterproof environment for the splices. The Contractor is responsible to ensure that the cable entry points have a tight salt resistant and waterproof seal which will not leak upon aging.

SPLICE TRAYS

Splice trays must accommodate a minimum of 12 fusion splices and must allow for a minimum bend radius of 45 mm. Individual fibers must be looped one full turn within the splice tray to allow for future splicing. No stress is to be applied on the fiber when it is located in its final position. Buffer tubes must be secured near the entrance of the splice tray to reduce the chance that an inadvertent tug on the pigtail will damage the fiber. The splice tray cover may be transparent.

Splice trays in the splice closure shall conform to the following:

A. Accommodate up to 24 fusion splices
B. Place no stress on completed splices within the tray
C. Stackable with a snap-on hinge cover
D. Buffer tubes securable with channel straps
E. Must be able to accommodate a fusion splice with the addition of an alternative splice holder
F. Must be labeled after splicing is completed.

10-3.09 CONDUCTORS, CABLES AND WIRING

Splices shall be insulated by heat-shrink tubing of the appropriate size after thoroughly painting the spliced conductors with electrical insulating coating.

The minimum insulation thickness, at any point, for Type USE, RHH or RHW wire shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive, and 1.3 mm for No. 8 to No. 2, inclusive. The minimum insulation thickness, at any point, for Type THW and TW wires shall be 0.69 mm for conductor sizes No. 14 to No. 10, inclusive, 1.02 mm for No. 8, and 1.37 mm for No. 6 to No. 2, inclusive.

TESTING

The Contractor shall perform a high-voltage series lighting test consisting of the open circuit voltage of the connected constant current transformer between conductors and ground.

The high-voltage test shall not be performed on existing circuits or equipment. Non-testing of existing circuits and equipment shall not relieve the Contractor from the responsibility for malfunctioning of existing lighting circuits due to the Contractor making splices in or connecting to the circuits and such malfunctions shall be corrected at the Contractor's expense.

600 VOLT SINGLE CONDUCTOR CABLE

All 600-volt single conductor cable shall be the following unless otherwise noted on the plans. The cables shall be insulated with a EPR insulation rated for 600 volts. The cables shall be UL listed as Type RHH, RHW-2, or USE-2, VW-1 600 V. All cables No. 1/0 and larger shall be UL Type TC rated.

The conductor shall be soft annealed uncoated copper Class B stranded per ASTM B-8. The EPR insulation shall meet the requirements of ICEA S-68-516, NEMA WC-8, and UL Standards 44 and 854. The composite insulation thickness shall be as follows:

Conductor Size	EPR Thickness
No. 14 - No. 10	1.14 mm
No. 8	1.52 mm
No. 6 - No. 2	1.91 mm
No. 1 - No. 4/0	2.54 mm
250 kcmil – 500 kcmil	3.30 mm

The cable surface shall be printed in a contrasting color with the following information:

A. Manufacturers' name.
B. Plant number.
C. Conductor size.
D. Cable type.
E. Voltage rating.

The cable shall be manufactured by The Okonite Company, BICC Cables, Pirelli, or equal. Manufacturer shall furnish a notarized certificate of compliance to demonstrate cable furnished is in compliance with ICEA S-68-516 and UL 44.

600 VOLT MULTI-CONDUCTOR CABLE

All 600 volt rated multi-conductor cables shall be the following unless otherwise noted on the plans drawings. The cables shall be insulated with ethylene propylene rubber, assembled as a multi-conductor cable with flame resistant fillers and binder tape with an overall PVC jacket. The cables shall be capable of operating continuously in both wet and dry locations at conductor temperature of 90°C for normal operation, 130°C for emergency overload rating, and 250°C short circuit rating.

The conductors shall be soft annealed uncoated copper Class B stranded in conformance to the requirements in ASTM Designations: B 8. The single conductors shall be ethylene propylene rubber insulated meeting the requirements of UL 1581 and ICEA S-68-516. Color coding for sizes No. 10, 12, 14 and 16 AWG shall conform to the requirements in ICEA Method I Table K-2. Sizes No. 8 and larger shall be numerically coded using ICEA Method 4. The insulation thickness shall be as follows:

Conductor Size	EPR Thickness
No. 16	0.64 mm
No. 14 - No. 10	0.76 mm
No. 8 - No. 2	1.14 mm
No. 1/0 - No. 4/0	1.39 mm

The insulated conductors (and grounding conductor if required) shall be cabled together with flame resistant fillers and binder tape. The jacket shall be extruded PVC meeting the physical and dimensional requirements of ICEA S-68-516. The cable shall pass the vertical tray flame test requirements of IEEE 383 and 1202 and UL 1277. The cable shall be UL listed as Type TC.

The cable surface shall be printed in a contrasting color with the following information:

A. Manufacturers' name.
B. Plant number.
C. Number of conductors.
D. Conductor size.
E. Cable type.
F. Voltage rating.

The cable shall be manufactured by The Okonite Company, BICC Cables, Pirelli, or equal. The manufacturer shall furnish a notarized certificate of compliance to demonstrate cable furnished is in compliance with ICEA S-68-516 and UL 1277.

SHIELDED TWISTED PAIR AND TRIAD CABLES

All shielded twisted pair No. 18 (0.832 mm^2) multi-conductor communication cables (fifty pairs, six pairs and two pairs) and twisted triad No. 16 shall be stranded annealed copper (per ASTM Designations: B 3 and ASTM B 8). The insulated single conductors shall be twisted into pairs, triads or multiple conductor components. The insulation shall be flame-retardant cross-linked polyethylene with color code in conformance with the requirements in ICEA Method 1. The insulation shall be 0.76 mm nominal.

The cable components are cabled with non-hygroscopic fillers, as necessary, and an overall binder tape. The individual pairs or triads shall be shielded with a 0.19 mm Aluminum/polymer tape with tinned copper drain wire applied helically over the pairs or triads. The overall shield shall be a 0.19 mm Aluminum/polymer tape with tinned copper drain wire applied helically over the cable core.

The overall jacket shall be 2.8 mm Flame-retardant and sunlight resistant polyvinyl chloride (PVC). A nylon ripcord shall be applied longitudinally under the overall jacket to facilitate jacket removal.

The cable shall be suitable for Cable Tray use and have the following ratings and listings:

A. UL Type TC - 600 volt.
B. UL Class XL.
C. UL Subject 13 and 1277.
D. IEEE 383 and 1202.
E. ICEA S-66-524 - 600 volt.

The cable shall be manufactured by Belden Cable, The Okonite Company, BICC Cables, Pirelli, or equal. The manufacturer shall furnish a notarized certificate of compliance to demonstrate cable furnished is in compliance with ICEA S-68-516 and UL 44.

Communication Cable Splices

Splices shall be done only as approved by the Engineer. Splices for shielded No. 18 multi-conductor, twisted pair cables shall be as recommended by the cable manufacturer and suitable for the environment that the splice is located. The Contractor shall submit the cable manufacturer's recommended splicing method to the Engineer for approval prior to using the method. The Engineer shall approve splice locations. The splicing kits shall be manufactured by Raychem, 3M or other approved manufacturer.

15 kV CABLE

The 15 kV shielded single conductor power cable shall be 15 kV, 133 percent insulation rated power cable designed to operate at conductor temperatures of 90°C normal, 130°C emergency, and 250°C short circuit conditions as defined by ICEA S-93-639 (NEMA WC-78) and (UL) Standard 1072. The cable shall be suitable for installations above or below grade, indoors or outdoors, and in wet or dry locations. The qualifying cable shall be (UL) labeled as MV-90, Sunlight Resistant and for cable tray use in accordance with UL Standard 1072.

Conductors

The conductors shall be compressed, Class B stranded copper and shall be in accordance with the requirements of ICEA S-93-639. The copper conductors shall consist of all bare strands or tin-coated strands in the outer layer in conformance with the requirements in ASTM Designations: B 3, B 8 and B 33. Conductors shall be unbroken for the full length of the reels specified in the Purchase Order. Reels containing splices will be rejected.

Conductor Shield

The conductor shielding shall consist of an extruded, black-colored, nonmetallic semiconducting EPR thermosetting compound material in conformance with the provisions in Section 2.7 of ICEA S-93-639. The minimum average thickness shall be 0.5 mm.

Insulation

The insulation shall be a discharge resistant, ethylene propylene (EP) based compound, which shall be in accordance with Part 4 of the ANSI/ICEA S-93-639-2004. The minimum average thickness of the insulation shall be 5.59 mm. The discharge resistance of the insulation shall be demonstrated by withstanding 21 kV(ac) at 25°C and at 20 percent RH for 250 hours without failure when tested in accordance with the method described in ASTM D2275-89 "Standard Test Method for Voltage Endurance of Solid Electrical Insulating Materials Subjected to Partial Discharge (Corona) on the Surface" and submit the result of the test to the Engineer for approval, before excepting of the cable.

Insulation Shielding

The insulation shield shall consist of a nonmetallic semi-conducting material extruded directly over the insulation and a helically applied 0.127 mm thick copper tape (metallic shield). The nonmetallic layer shall be black-colored with properties and thickness conforming to the requirements of Part 5 of the ANSI/ICEA S-93-639-2004. The layer shall be free stripping from the ethylene propylene (EP) insulation.

Metallic Shield

The metallic shield shall be coated copper tape, helically applied with a minimum overlap of 12.5 percent, directly over the nonmetallic layer.

Overall Jacket

The overall jacket shall be extruded black-colored Polyvinyl Chloride (PVC) material with physical properties and thickness in accordance with Section 4.4.5 and Table 4-6 of ICEA S93-639 and shall be surface printed as required by UL Standard 1072.

Production Testing

Production testing shall consist of the following:

A. Continuous DC Spark testing of the non-conducting stress control layer prior to extrusion of the EP insulation.
B. Mooney Viscosity, Scorch Viscosity, and Specific Gravity of each batch of the EP insulation prior to extrusion.
C. AC Voltage Withstand test for a 5-minute duration, of each finished cable at 35 kV.
D. Volume Resistivity of the nonmetallic shield.
E. DC Resistance of all insulated conductors and metallic shields.

F. Dimensional Verification of all extruded layers.
G. Absence of water in conductors and interfaces confirmed.

Cable Accessories

The manufacturer of the splices and terminations shall have a minimum of 15 years specialized in manufacturing of heat shrinkable cable accessories. The manufacturer of the splices and terminations shall be the Raychem Corporation or approved equal.

The cable splicer shall have a minimum of five years experience and be certified by the splice and cable termination manufacturer. The splicer shall provide a resume documenting his experience and qualifications to be approved on this project.

Cable Terminations

The termination shall be IEEE 48, Class 1, heat-shrinkable cable terminations in kit form, capable of properly terminating cables specified in this section. Terminations for single-conductor cables shall consist of heat-shrinkable radiation crosslinked high dielectric constant linear stress relief material and heat-shrinkable radiation crosslinked non-tracking outer insulation. Terminations shall contain a high relative permittivity electric stress relief mastic for insulation shield cutback treatment and a heat-activated sealant for environmental sealing.

In addition to the components described above, three-conductor kits shall contain heat-shrinkable components to seal the cable jacket, phase conductors, ground wire and rejacket phase and ground conductors.

Cable Splices

Splices of high voltage cable shall be avoided if possible. Where necessary, because of constructibility reasons, splice locations shall be approved by the Engineer. In general, splices of high voltage cables shall be scheduled so that the length of cable between splices is approximately 400 meters. All 15 kV splices shall be enclosed in a 15 kV splice box . A splice shall be scheduled at the construction boundaries. Where possible, longer cable runs are encouraged. The Splices, where necessary, shall be IEEE 404, heat shrinkable cable splices in kit form, capable of properly splicing cables specified in this section. Splice kits shall contain all necessary components to reinstate primary cable insulation, metallic shielding and grounding systems and overall jacket to the equivalent of the cable itself. Splices shall be of a uniform cross-section and shall consist of heat-shrinkable radiation crosslinked insulation. The outer insulating layer shall be bonded to a conducting layer for shielding. The splice shall be rejacketed with a heavy-wall, heat-shrinkable sealant lined sleeve to provide a waterproof hot melt adhesive seal. Splices shall contain heat-shrinkable radiation cross-linked high dielectric constant linear stress relief material. Splices shall contain a high relative permittivity electric stress relief mastic for insulation shield cutback treatment and a heat-activated sealant for environmental sealing. Kits shall allow splicing cables with different types of insulation, conductor sizes, and shielding construction. Kits shall accommodate commercially available standard connectors.

Cable End Sealing Caps

The end seal caps shall be heat-shrinkable crosslinked polymeric end sealing caps capable of sealing cables specified in this section. End caps shall be precoated with a heat activated sealant.

DC High Potential Test

Perform DC high potential test of each conductor in accordance with NEMA WC 5.

CLOSED CIRCUIT TELEVISION CABLES

Television control (TVC) cable shall consist of 15 No. 18 conductors, unshielded and with an outer jacket. Each conductor shall have a minimum of 16 tinned copper strands with a minimum of 400-µm insulation. Individual conductor insulation shall be chrome PVC with a nominal thickness of 1 mm. The outside diameter of the jacket shall not exceed 14 mm.

Color code for TVC cable shall be:

A. Black
B. White
C. Red
D. Green
E. Orange
F. Blue
G. White/ Black

H. Red/ Black
I. Green/ Black
J. Orange/ Black
K. Blue/ Black
L. Black/ White
M. Red/ White
N. Green/ White
O. Blue/ White

Television power (TVP) conductors shall consist of three No. 14 (120 V(ac), 120 V(ac) neutral, equipment ground) individually insulated, stranded copper conductors in conformance with the provisions in Section 86-2.08, "Conductors," of the Standard Specifications. The conductors shall be color coded black, white, and green respectively.

Television control power (TVCP) cable shall consist of twelve No. 18 conductors, unshielded with an outer jacket. Each conductor shall have a minimum of 16 tinned copper strands with a minimum of 400 µm insulation. Individual conductor insulation shall be polyvinyl chloride (PVC), rated for 300 V (see color code below). The jacket shall be chrome PVC with a nominal thickness of 1 mm. The outside diameter of the jacket shall not exceed 12 mm.

Color code for TVCP cable shall be:

A. Black
B. White
C. Red
D. Green
E. Orange
F. Blue
G. White/ Black
H. Red/ Black
I. Green/ Black
J. Orange/ Black
K. Blue/ Black
L. Black/ White

Television video (TVL) cable shall consist of an RG-6/U coaxial cable. Each cable shall be provided with a solid No. 18 copper clad steel center conductor and shall conform to the following requirements:

Electrical	TVL
Capacitance (Pico farads/m nominal)	54.1
Impedance (ohms-nominal)	75
Velocity of propagation (nominal)	84%
D.C. loop resistance (ohms/100 m)	11.7

Attenuation at 20˚C:

Frequency (MHz)	TVL (Nominal dB/ 100 m)
5.0	1.90
30	3.64
108	6.40

Physical Specifications	TVL Nominal O.D. (mm)
Copper-clad steel center conductor	1.00
Foam polyethylene dielectric	4.57
Sealed APA tape with 1.6 mm overlap	4.75
Woven aluminum braid	5.39
Sealed APA tape with 1.6 mm overlap	5.49
Woven aluminum braid	6.12
Flooding compound	
PVC outer jacket	7.55

(APA = Aluminum polyolefin and aluminum with adhesive)
TVL cable shall be terminated with BNC plug connector at both ends.

Coaxial Cable Connectors (TVL Coaxial Cables)

Coaxial cable connectors for attaching Type TVL coaxial cable shall meet the following requirements:

A. Electrical:

Impedance	75 Ω nominal
Return loss	30 dB minimum (5 MHz to 300 MHz)
Rated working voltage	500 V rms

B. Mechanical:

Type of construction	Integral sleeve BNC
Method of attachment	Crimp-crimp
Composition	Bodies – alloy Finish - chromate conversion, silver plating, or other corrosion resistant metal

C. Environmental:

Temperature	-10˚C to +50˚C
Moisture	Weather resistance design

The mating connector for TVL cable in junction box shall be provided. The center contact of this jack shall be beryllium copper.

Testing

Testing of TVL cables and connectors shall be performed in accordance with the provisions in Section 86-2.14B, "Field Testing," of the Standard Specifications and these special provisions.

Cable lengths found to have faults shall be replaced and re-tested. The Contractor shall dispose of the removed faulty cable.

Prior to the beginning of work, each length of coaxial cable shall be tested for attenuation and faults to ensure compliance with specifications contained herein using a time domain reflectometer (TDR). For the purpose of these special provisions, a fault in a long length of cable is defined by one or more of the following:

A. Return loss measurements indicating that attenuation exceeds 3 dB at 5 MHz to 30 MHz in a portion of cable less than 3 m long.
B. A return loss measurement indicating that there is a short in the cable.
C. A return loss measurement indicating a cut or open circuit in the cable.
D. A visual inspection that reveals exposure of or damage to the cable shielding.

FIBER OPTIC OUTSIDE PLANT CABLE

General

Each fiber optic outside plant cable (FOP) shall be all dielectric, gel filled, duct type, with loose buffer tubes and shall conform to these special provisions. The singlemode fiber optic (SMFO) cables shall interconnect the equipment at the Toll Plaza Traffic Operations Center with the equipment at each controller cabinet on service platforms 1, 2 and 3. SMFO fibers shall contain singlemode (SM) dual-window (1310 nm and 1550 nm) fibers.

Fiber optic cables shall be supplied by the Contractor in the configurations as shown on the plans and specified in these special provisions.

The optical fibers shall be contained within loose buffer tubes. The loose buffer tubes shall be stranded around an all dielectric central member. Aramid yarn and/or fiberglass shall be used as a primary strength member, and a medium or high density polyethylene outside jacket shall provide for overall protection.

All fiber optic (F/O) cable on this project shall be from the same manufacturer as the cable used for Contract No. 04-012024.

The cable shall be qualified as compliant with Chapter XVII, Title 7, Part 1755.900 of the Code of Federal Regulations, "REA specification for filled fiber optic cables."

The following is the fiber count for each fiber optic cable:

A. Type A fiber optic cable, designated in the plans as Fiber Optic Trunk Line Cable, shall contain 72 singlemode fibers.
B. Type B fiber optic cable, designated in the plans as Fiber Optic Drop Cable, shall contain 12 singlemode fibers.

Fiber Characteristics

Each optical fiber shall be glass and consist of a doped silica core surrounded by concentric silica cladding. All fibers in the buffer tube shall be usable fibers, and shall be free of surface imperfections and occlusions to meet the optical, mechanical, and environmental requirements of these specifications. The required fiber grade SM shall reflect the maximum individual fiber attenuation, to guarantee the required performance of each and every fiber in the cable.

The coating shall be a dual layered, ultraviolet (UV) cured acrylate. The coating shall be mechanically or chemically strippable without damaging the fiber.

The cable shall comply with the optical and mechanical requirements over an operating temperature range from -40°C to +70°C. The cable shall be tested in accordance with EIA-455-3A (FOTP-3), "Procedure to Measure Temperature Cycling Effects on Optical Fiber, Optical Cable, and Other Passive Fiber Optic Components." The change in attenuation at extreme operational temperatures (-40°C to +70°C) for the fiber shall not be greater than 0.20 dB/km, with 80 percent of the measured values no greater than 0.10 dB/km. The attenuation shall be measured at 1310 nm and 1550 nm.

Fibers within the finished cable shall meet the requirements in the following table:

Fiber Characteristics Table

Parameters	Value
Mode:	Single
Type:	Step Index
Core diameter:	8.3 µm (nominal)
Cladding diameter:	125 µm ±1.0 µm
Core to Cladding Offset:	≤1.0 µm
Coating Diameter:	250 µm ±15 µm
Cladding Non-circularity, Defined as: [1-(min. cladding dia ÷max. cladding dia.)]x100	≤2.0%
Proof/Tensile Test:	345 MPa, min.
Attenuation: @ 1,310 nm @ 1,550 nm	≤0.4 dB/km ≤0.4 dB/km
Attenuation at the Water Peak:	≤2.1 dB/km @ 1383 ±3nm
Chromatic Dispersion:	
Zero Dispersion Wavelength:	1301.5 to 1321.5 nm
Zero Dispersion Slope:	=0.092 ps/(nm^2*km)
Maximum Dispersion:	≤3.3 ps/(nm*km) for 1285 - 1330 nm <18 ps/(nm*km) for 1550 nm
Cut-Off Wavelength:	<1250 nm
Mode Field Diameter: (Petermann II)	9.3 ±0.5 µm at 1310 nm 10.5 ±1.0 µm at 1550 nm

Color Coding

Optical fibers shall be distinguishable from others in the same buffer tube by means of color coding according to the plans, sheet E-157, Fiber Optic Cable Assignment and the following:

1. Blue (BL)
2. Orange (OR)
3. Green (GR)
4. Brown (BR)
5. Slate (SL)
6. White (WT)

7. Red (RD)
8. Black (BK)
9. Yellow (YL
10. Violet (VL)
11. Rose (RS)
12. Aqua (AQ)

Each buffer tube shall be distinguishable from other buffer tubes in the cable by using the same color coding as specified above for fibers.

The colors shall be targeted in accordance with the Munsell color shades and shall meet EIA/TIA-598 or the latest version "Color Coding of Fiber Optic Cables."

The color formulation shall be compatible with the fiber coating and the buffer tube filling compound, and be heat stable. It shall not fade or smear or be susceptible to migration and it shall not affect the transmission characteristics of the optical fibers and shall not cause fibers to stick together.

Cable Construction

The fiber optic cable shall consist of, but not be limited to, the following components:

A. Buffer tubes
B. Central member
C. Filler rods
D. Stranding
E. Core and cable flooding
F. Tensile strength member
G. Ripcord
H. Outer jacket

A. Buffer Tubes

Loose buffer tubes shall provide clearance between the fibers and the inside of the tube to allow for expansion without constraining the fiber. The fibers shall be loose or suspended within the tubes and shall not adhere to the inside of the tube. Each buffer tube shall contain 12 fibers.

The loose buffer tubes shall be extruded from a material having a coefficient of friction sufficiently low to allow free movement of the fibers. The material shall be abrasion resistant to provide mechanical and environmental protection of the fibers, yet designed to permit safe intentional "scoring" and breakout, without damaging or degrading the internal fibers.

Buffer tube filling compound shall be a homogenous hydrocarbon-based gel with anti-oxidant additives. It shall be used to prevent water intrusion and migration. The filling compound shall be non-toxic and dermatologically safe to exposed skin. It shall be chemically and mechanically compatible with all cable components, non-nutritive to fungi, non-hygroscopic and electrically non-conductive. The filling compound shall be free from dirt and foreign matter and shall be readily removable with conventional nontoxic solvents.

Buffer tubes shall be stranded around a central member by a method, such as the reverse oscillation stranding process that will prevent stress on the fibers when the cable jacket is placed under strain.

B. Central Member

The central member, which functions as an anti-buckling element, shall be a glass reinforced plastic rod with similar expansion and contraction characteristics as the optical fibers and buffer tubes. To ensure the proper spacing between buffer tubes during stranding, a symmetrical, linear overcoat of polyethylene may be applied to the central member to achieve the optimum diameter.

C. Filler Rods

Fillers may be included in the cable cross-section. Filler rods shall be either solid medium or high density polyethylene. The diameter of filler rods shall be the same as the outer diameter of the buffer tubes.

D. Stranding

Completed buffer tubes shall be stranded around the overcoated central member using stranding methods, lay lengths and positioning such that the cable shall meet mechanical, environmental and performance specifications. A polyester binding shall be applied over the stranded buffer tubes to hold them in place. Binders shall be applied with sufficient tension to secure the buffer tubes to the central member without crushing the buffer tubes. The binders shall be non-hygroscopic, non-wicking (or rendered so by the flooding compound), and dielectric with low shrinkage.

E. Core and Cable Flooding

The cable core interstices shall be filled with a polyolefin based compound to prevent water ingress and migration. The flooding compound shall be homogeneous, non-hygroscopic, electrically non-conductive, and non-nutritive to fungus. The compound shall also be nontoxic, dermatologically safe and compatible with all other cable components.

F. Tensile Strength Member

Tensile strength shall be provided by high tensile strength aramid yarns and fiberglass which shall be helically stranded evenly around the cable core and shall not adhere to other cable components.

G. Ripcord

The cable shall contain at least one ripcord under the jacket for easy sheath removal.

H. Outer Jacket

The jacket shall be free of holes, splits, and blisters and shall be medium or high density polyethylene (PE) with minimum nominal jacket thickness of 37 mils. Jacketing material shall be applied directly over the tensile strength members and flooding compound and shall not adhere to the aramid strength material. The polyethylene shall contain carbon black to provide ultraviolet light protection and shall not promote the growth of fungus.

The jacket or sheath shall be marked with the manufacturer's name, the words "Optical Cable", the number of fibers, year of manufacture, and sequential measurement markings every meter. The actual length of the cable shall be within ±1 percent of the length marking. The marking shall be permanently marked in a contrasting color to the cable jacket. The height of the marking shall be approximately 2.5 mm.

General Cable Performance Specifications

The F/O cable shall withstand water penetration when tested with a one-meter static head or equivalent continuous pressure applied at one end of a one-meter length of filled cable for one hour. No water shall leak through the open cable end. Testing shall be done in conformance with the requirements in EIA-455-82 (FOTP-82), "Fluid Penetration Test for Fluid-Blocked Fiber Optic Cable."

A representative sample of cable shall be tested in conformance with the requirements in EIA-455-81A (FOTP-81), "Compound Flow (Drip) Test for Filled Fiber Optic Cable". No preconditioning period shall be conducted. The cable shall exhibit no flow (drip or leak) at 80°C as defined in the test method.

Crush resistance of the finished fiber optic cables shall be 220 N/cm applied uniformly over the length of the cable without showing evidence of cracking or splitting when tested in conformance with the requirements in EIA-455-41 (FOTP-41), "Compressive Loading Resistance of Fiber Optic Cables". The average increase in attenuation for the fibers shall be no greater than 0.10 dB at 1550 nm (singlemode) for a cable subjected to this load. The cable shall not exhibit any measurable increase in attenuation after removal of load. Testing shall be in conformance with the requirements in EIA-455-41 (FOTP-41), except that the load shall be applied at the rate of 3 mm to 20 mm per minute and maintained for 10 minutes.

The cable shall withstand 25 cycles of mechanical flexing at a rate of 30 ±1 cycles/minute. The average increase in attenuation for the fibers shall be no greater than 0.20 dB at 1550 nm (singlemode) at the completion of the test. Outer cable jacket cracking or splitting observed under 10x magnification shall constitute failure. The test shall be conducted in conformance with the requirements in EIA-455-104 (FOTP-104), "Fiber Optic Cable Cyclic Flexing Test," with the sheave diameter a maximum of 20 times the outside diameter of the cable. The cable shall be tested in accordance with Test Conditions I and II of (FOTP-104).

Impact testing shall be conducted in conformance with the requirements in EIA-455-25 (FOTP-25) "Impact Testing of Fiber Optic Cables and Cable Assemblies." The cable shall withstand 20 impact cycles. The average increase in attenuation for the fibers shall be no greater than 0.20 dB at 1550 nm (singlemode). The cable jacket shall not exhibit evidence of cracking or splitting.

The finished cable shall withstand a tensile load of 2700 N without exhibiting an average increase in attenuation of greater than 0.20 dB (singlemode). The test shall be conducted in conformance with the requirements in EIA-455-33 (FOTP-33), "Fiber Optic Cable Tensile Loading and Bending Test." The load shall be applied for one-half hour in Test Condition II of the EIA-455-33 (FOTP-33) procedure.

Packaging and Shipping Requirements

Documentation of manufacturer's compliance to the required optical fiber specifications shall be provided to the Engineer prior to ordering the material.

Attention is directed to "Fiber Optic Testing," elsewhere in these special provisions.

The completed cable shall be packaged for shipment on reels. The cable shall be wrapped in a weather and temperature resistant covering. Both ends of the cable shall be sealed to prevent the ingress of moisture.

Each end of the cable shall be securely fastened to the reel to prevent the cable from coming loose during transit. Three meters of cable length on each end of the cable shall be accessible for testing.

Each cable reel shall have a durable weatherproof label or tag showing the manufacturer's name, the cable type, the actual length of cable on the reel, the Contractor's name, the contract number, and the reel number. A shipping record shall also be included in a weatherproof envelope showing the above information and also include the date of manufacture, cable characteristics (size, attenuation and bandwidth), factory test results, cable identification number and any other pertinent information.

The minimum hub diameter of the reel shall be at least thirty times the diameter of the cable. The fiber optic cable shall be in one continuous length per reel with no factory splices in the fiber. Each reel shall be marked to indicate the direction the reel should be rolled to prevent loosening of the cable.

Installation procedures and technical support information shall be furnished at the time of delivery.

Fiber Optic Labeling

The following labeling scheme shall be used for all fiber optic components on the project. These components include Individual Fibers, Buffer Tubes, Type A Cable, Type B Cable, Fiber Optic Splice Closures, and Fiber Distribution Units. All labels shall consist of materials that are permanent and clearly legible. All identification numbers for the components are shown on the project plans.

A. Individual Fibers

Individual fibers shall have identification based on the color assignment shown in the plans, for Fiber Optic Cable Assignment.

B. Buffer Tubes

Buffer tubes shall have identification based on the color assignment shown in the plans, for Fiber Optic Cable Assignment.

C. Type A Cable

A label shall be attached to the cable outside and within one meter of each fiber optic splice closure listing, in order, the cable identification number, the identification number of the fiber optic splice closure, followed by the identification number of the fiber optic splice closure at the other end of the cable.

D. Type B Cable

A label shall be attached to the cable outside and within one meter of the fiber optic splice closure listing, in order, the cable identification number, the identification number of the fiber optic splice closure, followed by the identification number of the controller cabinet at the other end of the cable. Within each controller cabinet, a label shall be attached to the cable within one meter of the fiber distribution unit listing, in order, the cable identification number, the identification number of the controller cabinet, followed by the identification number of the fiber optic splice closure at the other end of the cable.

Fiber Optic Splice Closures

Each fiber optic splice closure shall be clearly marked in a consistent location clearly visible at all installations with the fiber optic splice closure identification number.

Fiber Distribution Units

Each fiber distribution unit shall be clearly marked in a consistent location clearly visible at all installations with the identification number of the enclosing controller cabinet.

Cable Installation

Prior to installation of the optical fiber cable, the Contractor shall test the cable on the shipping reel conforming to the provisions as described in "Arrival on Site," elsewhere in these special provisions. The Contractor shall submit the manufacturer's recommended procedures for pulling the fiber optic cable to the Engineer for review and approval at least 20 working days prior to installing cables. Mechanical aids may be used to assist cable installation. A tension measuring device or breakaway swivel shall be placed between the end of the cable grip and pull rope, to ensure that the tension does not exceed 100 percent of recommended tension. The cable grips for installing fiber optic cable shall have a ball bearing swivel to prevent the cable from twisting during installation.

During cable installation, the bend radius shall be maintained at a minimum of twenty times the outside diameter of the cable. The cable shall not be stressed beyond the minimum bend radius at any time during installation.

F/O cable shall be installed using a cable pulling lubricant recommended by the F/O cable and the innerduct manufacturer and a non-abrasive pull tape conforming to the provisions described under "Conduit" elsewhere in these special provisions. The Contractor's personnel shall be stationed at each splice cabinet and pull box through which the cable is to be pulled to lubricate and prevent kinking or other damage.

F/O cable shall be installed without splices except where specifically allowed on the plans or described in these special provisions. The mid-span access method shall be used to access the individual fibers trunkline cable for splicing to the drop cable. The cables used for distribution shall be spliced only in fiber optic splice closures or fiber distribution units. The trunk cables shall be spliced only at splice closures. The slack shall be divided equally on each side of each splice closure.

The mid-span access method shall be used to access the individual fibers in the distribution cable for splicing to the accessory cable. Cable manufactures recommended procedures and approved tools shall be used when performing a mid-span access. All measures shall be taken to avoid damaging buffer tubes and individual fibers not being used in the mid-span access. The Contractor will be allowed to splice a total of 5 fibers to repair any damage done during mid-span access splicing without penalty. For each additional splice the Contractor will be assessed $300.00. Any single fiber may not have more than 3 unplanned splices. If the fiber needs to be spliced more than 3 times, the entire length of F/O cable must be replaced at the Contractor's expense.

A minimum of 18 meters of slack shall be provided for each unspliced cable at each splice closure. A minimum of 9 meters of slack shall be provided for each cable at each fiber optic splice closure.

Following installation of the cable in duct, all duct entrances in cabinets, pull boxes and vaults shall be sealed with duct sealing compound to prevent the ingress of moisture, foreign materials and rodents.

Unless shown or provided otherwise, only one F/O cable shall be installed in each conduit.

Splicing

Optical fibers shall be spliced using the fusion type and shall not exceed 0.07 dB loss per splice.

The completed splices shall be placed in a splice tray. The splice tray shall then be placed in the splice closure.

Termination splices shall join the fibers in the Fiber Optic Trunk Line Cable to the fibers in the Fiber Optic Drop Cable. The termination splices shall be placed in a splice tray and the splice tray(s) shall then be placed in the splice closure located in the splice cabinet. The individual fibers shall be looped one full turn within the splice tray to avoid macro bending. A 254-mm minimum bend radius shall be maintained during installation and after placing in the optical fiber splice tray. Each fiber shall be individually restrained in a splice tray. The optical fibers in buffer tubes and the placement of the optical fibers in the splice tray shall be such that there is no discernable tensile force on the optical fiber.

All splices shall be protected with a thermal shrink sleeve.

Passive Cable Assemblies and Components

The F/O cable assemblies and components shall be compatible components, designed for the purpose intended, and manufactured by a company regularly engaged in the production of material for the fiber optic industry. All components or assemblies shall be best quality, non-corroding, with a design life of at least 20 years. All components or assemblies of the same type shall be from the same manufacturer.

Fiber Optic Cable Terminations

A. General

Fiber optic cable terminations shall include pigtails and jumpers. Breakout cables shall comply with the specifications for pigtails. Fiber optic outside plant cable entering a building shall be routed through rigid steel conduit as required by the NEC. The cable shall continue within the conduit to the designated termination point for distribution "breakout".

All components shall be the size and type required for the specified fiber.

B. Distribution Breakout

Fiber Optic Drop Cable shall be routed within a conduit from the Splice Cabinet to the Controller Cabinet. Termination within the Splice Cabinet shall be in the Splice Closure in the manner specified elsewhere in these special provisions. Termination within the Controller Cabinet shall be in a Fiber Distribution Unit (FDU).

Fiber optic cables that arrives within a building, shall be routed within conduit to a wall or ceiling mounted cable storage cabinet, as shown on the plans, where a minimum of 6 meter of spare cable shall be coiled for future use. The cable shall be terminated in a FDU.

The cable jacket, aramid yarn and filler rods shall be removed exposing the buffer tubes. The exposed length of the buffer tubes shall be at least the length recommended by the hardware manufacturer which allows the tubes to be secured to the splice tray. Buffer tubes shall be secured to the splice tray. The remainder of the tubes shall be removed to expose lengths of the individual fibers sufficient for routing on the splice tray, as described in "Splicing" elsewhere in these special provisions. Moisture blocking gel shall be removed from the exposed buffer tubes and fibers. The manufacturer's directions shall be followed to ensure that throughout the specified temperature range gel will not flow from the end of the buffer tube. The individual fibers shall be stripped and prepared for splicing.

Fiber Optic Cable Assemblies

Cable assemblies (jumpers and pigtails) shall be products of the same manufacturer. The cable used for cable assemblies shall be made of fiber meeting the performance requirements of these special provisions for the F/O cable being connected, except that the operating temperature shall be modified to be from -20°C to +70°C. All cable assemblies will be installed inside controller cabinets. The manufacturer's attenuation test results shall be provided for all cable assemblies.

A. Pigtails

Pigtails shall be of simplex (one fiber) construction, in 900-µm tight buffer form, surrounded by aramid for strength, with a connector on one end. The outer jacket shall be PVC with a nominal diameter of 3 mm, marked with the manufacturer's identification information. All pigtails shall be at least one meter in length. Pigtails installed in conduit shall follow the installation procedures outlined for fiber optic cables, except that the pulling tension shall not exceed 890 N. All pigtails shall be factory fabricated.

B. Patchcords

Patchcords may be of simplex or duplex design. Duplex jumpers shall be of duplex round cable construction, and shall not have zipcord (siamese) construction. All patchcords shall be at least 2 meters in length, sufficient to avoid stress and allow orderly routing. Patchcords shall have connectors on both ends.

The outer jacket of duplex patchcords shall be yellow. The two inner simplex jackets shall be contrasting colors to provide easy visual identification for polarity. All patchcords shall be factory fabricated.

C. Connectors

Connectors shall be of the ceramic ferrule ST type. Indoor ST connector body housings shall be either nickel-plated zinc or glass reinforced polymer construction. Outdoor ST connector body housing shall be glass reinforced polymer. No index-matching fluids, gels or anti-reflection coating shall be applied to the end of the fiber.

The ST connector operating temperature range shall be from -40°C to +70°C. Insertion loss shall not exceed 0.4 dB, and the return reflection loss shall be at least 35 dB. Connection durability shall be less than a 0.2 dB change per 500 mating cycles in conformance with the requirements in EIA-455-21A (FOTP-21). All terminations shall provide a minimum 222 N pull out strength. The installed ST connector loss shall be less than 0.9 dB. Factory test results shall be documented and submitted to the Engineer prior to installing any connectors. Connectors shall have a yellow color body or boot.

All connectors shall be factory-installed and tested. There shall be no installation of connectors in the field.

All unmated connectors shall be installed with protective caps.

D. ST Couplers

The ST couplers shall be made of nickel-plated zinc or a glass reinforced polymer that is consistent with the material forming the associated ST connector body. The design mechanism for mounting the coupler to the FDU connector panel may be flanged or threaded but shall coincide with the FDU panel punch-outs.

All coupler sleeves shall be ceramic of the split clamshell or cloverleaf design.

The temperature operating range for couplers shall be the same as that specified for the ST connectors.

Fiber Distribution Unit

The fiber distribution unit (FDU) shall consist of a EIA 482.6 mm rack, a compartment for termination and distribution cable tray and a compartment for a splice drawer. The termination and distribution cable trays shall accommodate 48 singlemode optical fibers. The termination and distribution cable trays shall have sufficient tray areas for excess optical fiber storage with provisions to assure that the optical fibers do not exceed a 51-mm bend radius. The termination and distribution cable trays shall include a designation strip for identification of the 48 singlemode optical fibers. Each splice drawer shall include two splice trays with each splice tray capable of accommodating 24 fusion type splices. Each splice drawer shall allow for storage of excess lengths of the optical fibers of fiber optic cables. Each FDU shall be provided with cable clamps to secure fiber optic cables to the chassis.

The Contractor shall install sufficient quantity of FDUs to terminate all fibers in the largest cable. The fiber distribution units shall be mounted in the equipment racks. At each fiber distribution unit, the Contractor shall terminate the optical fibers of the fiber optic cables. The optical fibers shall be spliced to the single mode optical fiber cables assemblies within the splice tray(s). The optical fibers shall be of appropriate lengths to allow for future splicing with the splice drawer and shall be appropriately identified (tagged). All splices shall be fusion type and shall be arranged within the splice trays of the fiber distribution unit in accordance with the organizational design of the splice trays. Appropriate protective coating shall be applied to all fusion splices.

Full compensation for fiber distribution unit shall be considered as included in the contract price paid for the item requiring fiber distribution unit and no separate payment will be made therefor.

Fiber Optic Testing

A. General

Testing shall include the tests on elements of the passive fiber optic components: (1) at the factory, (2) after delivery to the project site but prior to installation, and (3) after installation but prior to connection to any other portion of the system. The Contractor shall provide all personnel, equipment, instrumentation and materials necessary to perform all testing. The Engineer shall be notified two working days prior to all field tests. The notification shall include the exact location or portion of the system to be tested.

Documentation of all test results shall be provided to the Engineer within 2 working days after the test involved. The Contractor's attention is directed to "As-Builts" elsewhere in these special provisions, regarding the requirements for recording test results.

A minimum of 15 working days prior to arrival of the cable at the site, the Contractor shall provide detailed test procedures for all field testing for the Engineer's review and approval. The procedures shall include the tests involved and how the tests are to be conducted. Included in the test procedures shall be the model, manufacturer, configuration, calibration and alignment procedures for all proposed test equipment.

B. Factory Testing

Documentation of compliance with the fiber specifications as listed in the Fiber Characteristics Table shall be supplied by the original equipment manufacturer. Before shipment, but while on the shipping reel, 100 percent of all fibers shall be tested for attenuation. Copies of the results shall be (1) maintained on file by the manufacturer with a file identification number for a minimum of 7 years, (2) attached to the cable reel in a waterproof pouch, and (3) submitted to the Contractor and to the Engineer.

C. Arrival On Site

The cable and reel shall be physically inspected on delivery and the attenuation shall be measured for 100 percent of the fibers. The failure of any single fiber in the cable to comply with these specifications, is cause for rejection of the entire reel. Test results shall be legibly recorded, dated, compared and filed with the copy accompanying the shipping reel in a weather proof envelope. Attenuation deviations from the shipping records of greater than 5 percent shall be brought to the attention of the Engineer. The cable shall not be installed until completion of this test sequence and the Engineer provides written approval. Copies of traces and test results shall be submitted to the Engineer. If the test results are unsatisfactory, the reel of F/O cable shall be considered unacceptable and all records corresponding to that reel of cable shall be marked accordingly. The unsatisfactory reels of cable shall be replaced with new reels of cable at the Contractor's expense. The new reels of cable shall then be tested to demonstrate acceptability. Copies of the test results shall be submitted to the Engineer.

D. Outdoor Splices

The Contractor shall verify the insertion loss quality of each splice as provided elsewhere in these special provisions, prior to sealing the splice closure.

E. Optical Time Domain Reflectometer Testing

Once the passive cabling system has been installed and is ready for activation, 100 percent of the fiber links shall be tested with the optical time domain reflectometer (OTDR) for attenuation at wavelengths of both 1310 nm and 1550 nm. Test results shall be recorded, dated, compared and filed with previous copies. A hard copy printout and an electronic copy of traces and test results shall be submitted to the Engineer in the format designated by the Engineer.

The OTDR shall be capable of recording and displaying anomalies of at least 0.02 dB.

F. Power Meter and Light Source

At the conclusion of the OTDR testing, 100 percent of the fiber links shall be tested end to end with a power meter and light source, in accordance with EIA Optical Test Procedure 171 and in the same wavelengths specified for the OTDR tests. These tests shall be conducted in both directions and the differential in test results shall not exceed 0.5 dB. Test results shall be recorded, compared, and filed with the other recordings of the same links. Test results shall be submitted to the Engineer.

G. Cable Verification Worksheet

The Cable Verification Worksheet shown in Appendix A shall be completed for 100 percent of all links in the fiber optic system, using the data gathered during cable verification. The completed worksheets shall be included as part of the system documentation in the As-Built Plans.

H. Test Failures

If the link loss measured from the power meter and light source exceeds the calculated link loss, or the actual location of the fiber ends does not agree with the expected location of the fiber ends (as would occur with a broken fiber), the Fiber Optic Link will not be accepted. The unsatisfactory segments of cable, or splices shall be replaced with a new segment of cable or splice at the Contractor's expense. The OTDR Testing, Power Meter and Light Source Testing and Cable Verification Worksheet shall be completed for the repaired link to determine acceptability. Copies of the test results shall be submitted to the Engineer. The removal and replacement of a segment of cable shall be interpreted as the removal and replacement of a single contiguous length of cable connecting two splices, two connectors. The removal of only the small section containing the failure and therefore introducing new unplanned splices, will not be allowed.

APPENDIX A
Cable Verification Worksheet

Contract No. _____ Contractor: _____

Operator: _____ Date: _____

Link Number: _____ Cable-Tube-Fiber (CTF) Number*: _____
* Use Sheet E-328 for CTF Assignment.

Test Wavelength (Circle one): 1310 1550

Expected Location of fiber ends:
End 1: _____ End 2: _____

OTDR Test Results:	_____	dB	1A
Forward Loss:	_____	dB	1B
Reverse Loss: [(1A + 1B)/2]	_____	dB	1C
Average Loss:			
Power Meter and Light Source Test Results:			
Forward Loss:	_____	dB	2A
Reverse Loss:	_____	dB	2B
Average Loss [(2A + 2B)/2]:	_____	dB	2C
Calculated Fiber Loss			
Length of the link (from OTDR):	_____	km	3A
Allowed loss per km of fiber:	0.4 dB/km		3B
Total Allowed Loss due to the fiber (3A * 3B):	_____	dB	3C
Calculated Splice Loss			
Number of Splices in the Link:	_____		4A
Allowed Link Loss per Splice:	0.10 dB		4B
Total Allowed Loss due to Splices (4A * 4B):	_____	dB	4C
Calculated Link Loss			
Connector Loss:	0.9 dB		5A
Total Link Loss (5A + 3C + 4C):	_____	dB	5B
Cable Verification:			
Compare Power Meter Average Loss to Calculated Link Loss (2C - 5B):	_____	dB	6A

If the value of 6A is greater than zero, the link has failed the Test. See Test Failures elsewhere in these Special Provisions.

To Be Completed by Caltrans:
Resident Engineer's Signature: _____ Cable Link Accepted: _____

10-3.10 SEISMIC ANCHORING

All equipment including fixtures and raceways shall be mounted and braced to withstand, without damage, seismic acceleration forces in both horizontal and vertical directions of 0.33 g, for installation in Seismic Zone 4, in accordance with the Uniform Building Code (UBC), Section 2312. The anchoring methods are to be submitted for review by the Engineer. The installation shall meet requirements of the Essential Services Building Seismic Safety Act, Senate Bill 230, Title 24.

10-3.11 BONDING AND GROUNDING

Bonding and grounding shall conform to the provisions in Section 86-2.10, "Bonding and Grounding," of the Standard Specifications and these special provisions.

Bonding jumpers in standards with handholes and traffic pull box lid covers shall be attached by a UL listed lug using 4.5-mm diameter or larger brass or bronze bolts and shall run to the conduit or bonding wire in the adjacent pull box. The grounding jumper shall be visible after the standard has been installed and the mortar pad and cap have been placed on the foundation.

Standards without handholes shall have bonding accomplished by jumpers attached to UL listed ground clamps on each anchor bolt.

For slip base standards or slip base inserts, bonding shall be accomplished by jumpers attached to UL listed ground clamps on each anchor bolt, or a UL listed lug attached to the bottom slip base plate with a 4.5-mm diameter or larger brass or bronze bolt.

Equipment bonding and grounding conductors are required in conduits, except when the conduits contain only combinations of loop lead-in cable, fiber optic cable, or signal interconnect cable. A No. 8 minimum, bare copper wire shall run continuously in circuits. The bonding wire size shall be increased to match the circuit breaker size in conformance with the Code, or shall be as shown on the plans. Conduits to be installed for future conductors, may omit the copper wire.

Bonding of metallic conduits in metal pull boxes shall be by means of bonding bushings and bonding jumpers connected to the bonding wire running in the conduit system.

10-3.12 STRUCTURE GROUNDING

Install 500 kcmil bare copper main grounding conductor from YBI substation main grid to MH 26 near Pier W2. Route the 500 kcmil grounding conductor through duct banks and manholes. Two 250 kcmil or No. 4/0 bare grounding conductors are to be exothermically welded to the 500 kcmil main grounding conductor and ran to the foundation footing of all bent and pier columns as shown on the plans. At each split in the duct bank a No. 4/0 bare copper grounding conductor shall be exothermically welded to the 500 kcmil bare copper grounding conductor and ran with the separate 600 volt or TOS/COM duct bank and continued through any manholes and back to the 15 kV duct bank. All bridge electrical components shall be grounded to this system as shown on the plans.

Grounding conductors shall be stranded soft drawn bare copper meeting the requirements of the ASTM Specifications.

The regulatory requirements which govern the work of this Section include the following governing Codes and Standards:

A. American Society for Testing and Materials (ASTM):

1. ASTM Designation: B-3, Soft or Annealed Copper Wire.
2. ASTM Designation: B-8, Concentric-Lay Stranded Copper Conductors.
3. ASTM Designation: B-33, Tinned Soft or Annealed Copper Wire for Electrical Purposes.

B. Federal Specification QQ-W-343, latest revision, Wire, Electrical, Copper, Uninsulated.

10-3.13 NUMBERING ELECTRICAL EQUIPMENT

The placement of numbers on electrical equipment will be done by others, unless otherwise stated in these special provisions.

10-3.14 STATE-FURNISHED CONTROLLER ASSEMBLIES

The Model 170 controller assemblies, excluding anchor bolts, will be State-furnished as provided under "Materials" of these special provisions.

The Contractor shall install the controller cabinet on service platform, including furnishing and installing anchor bolts, and shall make field wiring connections to the terminal blocks in the controller cabinet.

A listing of field conductor terminations, in each State-furnished controller cabinet, will be furnished free of charge to the Contractor at the site of the work.

State forces will maintain controller assemblies. The Contractor's responsibility for controller assemblies shall be limited to conforming to the provisions in Section 6-1.02, "State-Furnished Materials," of the Standard Specifications.

CONTROLLER ASSEMBLIES POWER STRIP

The Contractor shall furnish and install one rack-mount surge power strip with a switch in each State-furnished Model 334 controller cabinet. The power strip shall be plugged into the non-GFCI duplex outlet normally labeled with "Controller Unit Recp." in the back of the power distribution assembly (PDA) unit. The power strip shall be mounted at the top of the standard EIA-310 rack cage and across the two vertical back rails with four stainless steel EIA mounting screws, two on each side. The power strip shall not hinder the accessibility to the back of all existing electrical equipment. All power cords for permanently field installed electrical equipment shall be plugged into the power strip.

The power strip, at a minimum, shall meet the following requirements:

A. A maximum rating of 15 A, 120 V(ac), 60 Hz.
B. The power strip shall have a surge protection with UL 1449 Clamping Level of 400 V, an IEEE Let-Through Voltage rating of less than 336 V, a single-pulse energy rating of 210 J and EMI/RFI noise protection rating of 40 dB.
C. The power strip shall be 46 mm (H) x 483 mm (W) x 70 mm (D) maximum and shall not weigh more than 2.0 kg.
D. The front plate of the power strip shall have four cut-off EIA mounting screw holes, two on each side.
E. The power strip shall have six rear outlets with 38 mm minimum apart center to center. The power cord shall enter from the rear with a length of 2 meters minimum. The clearance between the power cord entrance and the nearest outlet shall be 90-mm minimum.
F. It shall have a 15 A circuit breaker and an internally illuminated switch to cut off power to all outlets. Both the circuit breaker and the switch shall be front mounted.

10-3.15 TRAFFIC OPERATIONS SYSTEM

The traffic operations system shall include the installation of closed circuit television camera station, preformed loop detector stations, changeable message sign stations, Model 170 controller assemblies, fiber optic data modems and fiber optic outside plant cable, as shown on the plans and in conformance with these special provisions.

The Model 170 controller assembly and fiber optic outside plant cable are described elsewhere in these special provisions.

Traffic Operations System Equipment Testing

Prior to shipping to the project, the Contractor shall submit the following items to the State of California, Department of Transportation Laboratory, 5900 Folsom Blvd., Sacramento, CA 95819 for acceptance testing:

A. Camera unit
B. Pan and tilt unit
C. Camera control unit
D. Video transmitter duplex data unit

Approximately 30 days will be required for the testing. The Contractor will be notified upon completion of the testing and shall arrange for delivery of the equipment to a storage location designated by the Contractor. The costs of such testing and the transportation to and from the laboratory shall be borne by the Contractor.

CLOSED CIRCUIT TELEVISION CAMERA STATION

The Contractor shall install the following closed circuit television (CCTV) equipment at each camera station as described in these special provisions and as shown on the plans:

A. One camera unit with housing.
B. One pan and tilt unit.
C. One camera junction box.
D. One camera control unit.
E. One video transmitter duplex data.
F. Cable, connectors and fittings (as required.)

A. CAMERA UNIT WITH HOUSING

Each camera unit shall consist of a camera, lens, environmental housing and camera unit cable assembly. The camera, lens and environmental housing, combined, shall not weigh more than 6.8 kg. Each camera unit shall be interchangeable with the existing camera units already installed on various traffic operations system (TOS) projects without changes or adjustments to either the system or the camera unit.

Each camera unit shall be assembled, inspected, and tested in accordance with these special provisions prior to delivery to the job site. Installation, operations and maintenance manuals shall also be submitted at the time of delivery.

Applicable Documents - The following documents of the U.S. Military Specification (MIL-SPEC), Underwriters' Laboratory, Inc. (UL), Electronics Industries Association (EIA) Standards, and other Standards form parts of the specification to the extent specified in these standards. In the event of a conflict between the content of this section and the content of the specification, the standards defined in this section shall supersede.

Military Specification Documents	
MIL-I-45208A	Inspection System Requirements, Dec. 16, 1963
MIL-C-45662	Calibration System Requirements, June 10, 1980
MIL-STD-416A	Electromagnetic Interface Characteristics Requirements for Equipment, Subsystems & Systems, Aug. 1, 1968
MIL-E-5400T	Electronic Equipment, Airborne General Specification
MIL-STD-810	Environmental Test Methods, 19 July 1983
MIL-C-5541	Chemical Conversion Coatings on Aluminum Alloys, June 3, 1970

Underwriters' Laboratory, Inc. and other documents	
UL-796	Printed Circuit Boards
EIA-170A	Electrical Performance Standards Color Television Studio Facilities
EIA RS-330	Electrical Performance Standards for Closed Circuit Television (CCTV) Camera 525/60 Interlaced

1. Camera

Approved Camera - The cameras listed below have been approved by the Engineer. Further information such as the source of the cameras can be provided upon demand. Any camera submitted by the Contractor that is not one of the approved cameras listed below shall be stringently compared to the specifications set forth in these special provisions before it is approved by the Engineer. Approved cameras are Cohu 8240 and Sony SSC-C374.

General

a. Each camera shall be self-contained and designed for continuous unattended 24-hour operation.
b. Camera performance shall meet or exceed EIA-170A standards.
c. Each camera shall have automatic sensitivity and black level control so that it operates without further adjustment when illumination ranges from the minimum specified herein to that of full daylight.
d. Each active electronic device within the camera shall be solid-state.
e. Each camera shall have a switch selectable electronic shutter. The shutter speed shall range from 1/60 of a second (off) to 1/10,000 of a second in 8 steps. The control of the electronic shutter rate shall be accessible through a side panel opening. Remote on/off control of the shutter shall be accomplished via an output connector on the rear of the environmental housing.

Light Sensitivity

At a scene with 50 percent light reflectivity and with light level on the scene measured to be 10 lux and greater, each camera with its 10:1 zoom lens shall generate a picture on the monitor specified in these special provisions which resolves all 10 shades of gray on the EIA Television Test Chart. Not every camera shall be required to resolve the same gray scale when the light level on the same scene is measured to be less than one lux.

Electrical Power

Each camera shall operate when the applied voltage is between 95 V(ac) to 130 V(ac), 60 Hz ±0.3 Hz, single phase. The power consumption of camera shall not exceed 10 W. All camera circuitry shall be powered by a regulated, over-voltage protected DC power supply contained in the camera.

Synchronization

a. Each camera shall generate synchronization signals by means of a single integrated circuit.
b. Each camera shall operate with the internal EIA-170A crystal as the sync reference source with the field rate of 59.94 Hz.
c. Each camera shall have the capability of synchronizing to an external input source.
d. The synchronization signal at the video output shall conform to EIA-170A.

Scanning

Each camera's mode of scanning shall be two-to-one interlaced at 525 lines, 60 fields per second, as specified by EIA-170A.

Video Processing

a. The video output level shall be maintained to within 3 dB for changes in scene brightness of 0.17 cd/m^2 to 109 600 cd/m^2 using a motorized iris lens having a transmission range of F/1.2 to F/1200.
b. Each camera shall have a black-level control system.
c. Each camera shall have an automatic white (color) balance control to maintain proper color rendition by automatically referencing to white areas of the scene. Manual control of the white balance shall be accessible through side panel or remotely controlled via the rear connector on the environmental enclosure.
d. Automatic gain control (AGC). The switch selectable fixed gain response shall maintain the output video level to 90 percent video when the light level on the image sensor falls too low to maintain full video output. The video out will be maintained at 90 percent peak-average setting to optimize video output under varying lighting conditions. The controls for the on/off and peak average adjustments shall be accessible through the side panel of the camera.
e. One 75 Ω (±1 percent) source-terminated, single-ended video output jack shall be provided.
f. An adjustable white clipper shall be provided to limit highlight brightness.
g. The video output level shall be 1.0 V peak-to-peak composite (0.7 V video, 0.3 V sync) signal, polarity black negative, across a 75 Ω load impedance.
h. The minimum signal-to-noise ratio shall be 50 dB at 25°C.

Imager

a. Each camera shall be designed to use a 12.7 mm or 16.9 mm format solid-state, color, interline transfer charged-coupled device (CCD) imager.
b. The imager shall have a minimum resolution of 768 horizontal by 493 vertical active picture elements.
c. When provided with 6.89 lux of highlight illumination on the environmental housing window, the camera shall provide the following resolution with AGC off:

    i. Horizontal center resolution shall be 460 TV lines minimum.
    ii. Vertical resolution shall be 350 TV lines minimum in the center and all corners simultaneously.

Mechanical

a. All camera circuitry shall be on a printed circuit board which shall be removable and replaceable using no more than common hand tools.
b. Test points and adjustment identifications shall be etched or silk-screened on the boards.
c. Each camera shall be self-supporting and operable when removed from the environmental housing and shall have a lens adapter ring which accommodates a standard 16 mm C-mount. A back focal distance adjustment shall be provided.
d. Each camera shall utilize the rigidity of the environmental housing for strength against shock and vibration.

Environmental Operation

Each camera within its environmental housing shall withstand the following requirements:

a. Operate over an ambient temperature range from -10°C to +50°C with the use of heaters.
b. Peak random vibration of 5 g from 60 Hz to 1000 Hz, with camera in operation.
c. Shock up to 15 g in all axes under non-operating conditions.
d. Exposure to sand, dust, fungus, and salt atmosphere as per MIL-E-5400T paragraphs 3.2.24.7, 3.2.24.8, 3.2.24.9, and 3.2.24.10.
e. Up to 100 percent relative humidity as per MIL-E-5400T, paragraph 3.2.24.2.

Picture Quality

a. The quality of the picture shall be such that most objects in low light levels can be distinguished without excess interference from undesirable picture attributes.
b. Undesirable picture attributes include blooming, transfer smear, and vertical register shifting.

2. Lens

General

a. Each lens shall be fully compatible with the camera provided.
b. Each lens shall have a maximum aperture of no less than F/1.2, and a minimum range of F/1.2 to F/1200.
c. Each lens shall be a 16-mm C-mount.
d. Each lens shall have a minimum zoom range of 10 to 1. The lens focal length for a 16.9-mm CCD camera shall be 10-100 mm, 10.5-105 mm or 11-110 mm. The lens focal length for a 12.7-mm CCD camera shall be shall be 8-80 mm. A 1.5-X extender shall be included with the lens.
e. Each lens shall be equipped with a motorized zoom and focus control.
f. Each lens shall have an automatic, motor-driven iris with manual override. The iris shall be controlled directly through the camera in automatic mode and from the camera control unit in the manual mode. The automatic iris shall provide continuous aperture adjustments of the lens as determined by the amount of light reaching the camera imager. The power supply and electronics for iris motor shall be contained within the environmental housing.
g. Each lens shall be fitted with an intra-spot neutral density filter.
h. Each lens must be able to respond to the following inputs from camera control unit (CCU) for lens control:

Focus near	+9.0 V(dc) @ 100 mA
Focus far	-9.0 V(dc) @ 100 mA
Zoom in	+9.0 V(dc) @ 100 mA
Zoom out	-9.0 V(dc) @ 100 mA
Iris closed	+9.0 V(dc) @ 100 mA
Iris open	-9.0 V(dc) @ 100 mA

i. The travel time for the upper limit stop to the lower limit stop for zoom and focus shall be from 5 seconds minimum to 10 seconds maximum.
j. Each lens shall have the capability of at least 10 pre-positioning positions.

Environmental Operation

Each lens within its pressurized environmental enclosure shall withstand the following requirements:

a. Operate over an ambient temperature range from -10°C to +50°C with the use of heaters.
b. Peak random vibration of 5 g from 60 Hz to 1000 Hz, with lens in operation.
c. Shock up to 15 g in all axes under non-operating conditions.
d. Exposure to sand, dust, fungus, and salt atmosphere as per MIL-E-5400T paragraphs 3.2.24.7, 3.2.24.8, 3.2.24.9, and 3.2.24.10.
e. Up to 100 percent relative humidity as per MIL-E-5400T, paragraph 2.24.2.

3. Environmental Housing

General

a. Each camera and lens shall be mounted in a sealed, cylindrical, environmental housing which shall not exceed 165 mm in diameter and 560 mm in length.
b. The housing shall be fabricated of seamless aluminum tubing Type 6061-T6 and shall be finished with heat reflecting, weather resisting enamel. The rear plate shall be fabricated of Type 6061-T6 aluminum.
c. The front of the housing shall be closed with a clear optically flat glass or Lexan window.
d. The housing shall include a sun shroud which shall be strapped to the housing bindings in such a manner as to minimize heat conduction by maintaining air space between shroud and housing. The shroud shall shield the entire top portion of the housing from the sun, and shall extend a minimum of 76 mm in front of the housing's glass plate.
e. The housing shall not interfere with the widest viewing angle of the lens.
f. The housing shall include a thermostatically controlled heating pad rated at 115 V(ac), 100 W maximum.
g. The backplate, which is an integral part of the housing, shall have a nameplate attached bearing the manufacturer's camera assembly model number and serial number.
h. Camera connector -

i. The camera connector shall be designed to MIL-C-28462 Series 1 specifications with solder contacts. It shall be Type PT-07C-20-39 P, the male counterpart to Type PT-06A-20-39 S (SR).
ii. The connector shall be made of the same shell material and pin arrangement as the Type PT-06A-20-39 S (SR) connector on the end of the wiring harness.
iii. The pinout of the connector shall be as shown on the plans.
iv. Each camera connector shall be mounted on the rear plate with an airtight connection and a watertight seal for each conductor on its connecting cable.

i. Each camera shall be designed for operating with the housing purged of air and filled with dry nitrogen to 35 kPa.
j. Valves:

i. The housing shall have two valves, both on the rear end plate of the housing.
ii. One valve shall be a standard Schrader valve. It shall have a tube running from the valve to the front end of the housing.
iii. The other valve shall be an air escape/pressure relief valve preset to 140 kPa.
iv. The two valves shall be arranged such that filling with dry nitrogen from the Schrader valve will force gas to flow from front end of the housing to the rear end and vent through the air escape valve.
v. The leakage rate with both valves closed and the housing pressurized to 70 kPa, shall leak less than 7 kPa per year, and less than 0.7 kPa per month.

k. The enclosure shall be factory pressurized to 35 kPa with dry nitrogen.
l. The notation "CAUTION PRESSURIZED" shall be printed on the rear plate of the enclosure.
m. A safety pressure release bolt shall be incorporated such that the enclosure may not be opened without first releasing the internal pressure.
n. A low-pressure (LP) sensor with related circuitry shall be provided to send an LP alarm (dry contact closure) via the camera connector. The LP sensor threshold shall be preset to activate the LP alarm at 14 kPa.
o. Two units of desiccant shall be placed inside the housing but will not obstruct the camera view or operation.
p. A humidity level indicator strip with discrete indications for 10, 20 and 30 percent humidity, shall be installed inside the housing in a position that allows inspection, while facing directly, through the window without obstructing the view of the camera at the widest viewing angle of the lens.

Mounting Base
a. A mounting base shall surround the enclosure to provide a tamper-resistant attachment of the environmental housing to the pan and tilt unit and securely hold the housing in either an upright or inverted position. The combined height of camera housing and mounting base shall be less than 254 mm. The mounting base attachment shall be as shown on the plans.
b. All fasteners and nuts used in attaching the mounting base to the environmental housing shall be of Type 18-8 stainless steel.
c. Each camera unit shall be provided with three stainless steel hex head bolts to secure the camera unit to the pan and tilt unit.

4. Camera Unit Cable Assembly

General
Each camera unit cable assembly shall consist of box mounting socket connector and a wiring harness.

Box Mounting Socket Connector
a. Each box mounting socket connector shall be in conformance with Military Part Numbering System Designation: MS-3102E-28-21S-F80.
b. One full set of crimp contacts shall be provided with each box mounting socket connector.
c. The pinout of the connector shall be as shown on the plans.

Wiring Harness
a. The camera unit cable assembly wiring harness shall be 3 m long and shall be COHU AC27E or other cable approved by the Engineer. The coaxial cables' impedance shall be 75 Ω. One end of each wiring harness shall be Type PT06A-20-39S (SR) and shall mate with the camera connector. It shall have a 90-degree end bell, Bendix 10-25997-203 or equal, for strain relief. The end bell shall be positioned such that the opening is 180 degrees from the main key on the connector. The other end of each wiring harness shall be in conformance with Military Part Numbering System Designation: MS-3106E-28-21P, and shall mate with the box mounting socket connector.

b. All connectors shall be potted with an appropriate potting compound for environmental sealing.
c. All connectors' pinout shall be as shown on the plans.

B. PAN AND TILT UNIT

General

a. Each pan and tilt unit, with camera unit attached, shall pan 355 degrees minimum in either direction, and tilt 60 degrees minimum from horizontal position to either up or down positions.
b. Each pan and tilt unit shall be capable of minimum ten preset positions for each pan and tilt operation.

Electrical

a. Each pan and tilt unit shall operate with input voltage of 115 V(ac), 60 Hz ±0.3 Hz.
b. Each pan and tilt unit shall not exceed 200 W power consumption.
c. The motors shall be impedance overload protected, two-phase induction type, rated for continuous duty and shall be instantaneous reversing.
d. The limit switches shall be rated 5 A, 10 million cycles and with external adjustments.
e. Each pan and tilt unit shall be provided with box-mounting type connector.
f. One mating connector with full set of crimp contacts and strain relief shall be provided with the box-mounting connector.

Mechanical

a. The maximum load shall be 45 kg at 127 mm from tilt table to center of gravity in both upright and inverted position.
b. Each pan and tilt unit shall have maximum dimensions of 400 mm high, 355 mm wide and 230 mm deep.
c. Each pan and tilt unit shall not exceed the maximum mass of 27 kg.
d. Each pan and tilt unit shall be constructed in aluminum casting and plate. All internal parts shall be corrosion protected.
e. Each pan and tilt shall have textured semi-gloss beige enamel finish.
f. All bearings shall be heavy duty ball or roller bearing.
g. All gears shall be hardened steel.
h. All gasket seals shall be designed for all weather protection.
i. Each pan and tilt unit shall have a mounting hole pattern as shown on the plans.
j Each pan and tilt unit shall have a minimum pan torque rating of 34 N•m and an instantaneous minimum tilt torque of 68 N•m.
k. The pan speed at no load shall be 6 to 8 degrees per second, nominal.
l. The tilt speed at no load shall be 3 to 4 degrees per second, nominal.

Environmental

a. Ambient operating temperature shall be from -10°C to 50°C.
b. The pan and tilt unit shall be able to withstand vibration of 760 µm total excursion from 5 to 30 Hz and a peak random vibration of 5 g from 30 to 1000 Hz.
c. The pan and tilt unit shall be able to withstand shock up to 15 g in all axes under non-operating conditions, conforming to MIL-E-5400R, Para. 3.2.24.6.
d. The pan and tilt unit shall be able to operate in atmospheres up to 95 percent relative humidity, conforming to MIL-E-5400R, Para 3.2.24.4.
e. The pan and tilt unit shall be able to withstand exposure to sand, dust, fungus, and salt atmosphere, conforming to MIL-E-5400R, Para 3.2.24.7, 3.2.24.8 and 3.2.24.9.

Pan and Tilt Unit Mounting

The nuts and bolts securing the pan and tilt unit to the camera platform shall be hex head and made of stainless steel. Before each bolt is fastened, a locking type coating shall be applied to the threads. The coating shall lock the bolts and nuts in place making it impossible to turn bolt or nut without tools. This coating shall last and be effective through at least 10 insertions and withdrawals of the bolt or nut.

C. CAMERA JUNCTION BOX

The camera junction box (JCB) shall be constructed as shown on the plans and described in these special provisions. All seams shall be continuously welded. All JCB mounting Hex head stainless steel nuts and bolts shall be 6 mm ∅-20 x 25 mm. Lock washers shall be used with each bolt. Steel surfaces on which JCB is to be mounted shall be drilled and tapped 6 mm ∅-20 thread. Each JCB shall be fabricated from 14-gage sheet steel. Two 8-32 threaded studs for terminal strip mounting shall be welded on the bottom of the box as shown on the plans. After fabrication the JCB shall be hot-dip galvanized.

A twenty position terminal block with No. 8 lugs and cover shall be mounted on the bottom of the box. Permanent terminal position markings shall be used. A laminated wiring schematic shall be permanently attached to the inside of box cover showing wiring from the camera unit box mounting connector to the terminal block.

D. CAMERA CONTROL UNIT

Each part of the camera control unit (CCU) shall be electrically and physically interchangeable with the like part in any other CCU furnished under this contract. The CCU shall be installed inside State-furnished Model 334 cabinet as shown on the plans.

Mechanical

a. Each CCU shall mount in 133 mm of EIA-310 rack space with a maximum depth of 356 mm.
b. The front panel shall be white gloss color Number 17886 as per Federal Standard Color Chart 595B.
c. The front and rear panel lettering shall be black color Number 17986 of the Federal Standard Color Chart 595B.
d. A high-impedance panel jack BNC (Bayonet Nut connector) connector shall be installed on the front panel as shown on the plans. This connector shall provide video input to a test monitor without affecting the remainder of the CCTV system. This connector shall be directly connected to the video input on the rear panel.
e. A glass type, size 6.35 mm x 31.75 mm (AG) slow blow fuse shall be installed on the front panel. The fuse shall be replaceable from the outside of the front panel.
f. Switches shall protrude no more than 25 mm from the front panel and shall be mounted as shown on the plans.
g. The rear panel connectors shall be mounted as shown on the plans and shall meet the following requirements:

   i. Connectors C1-C3 shall be of the following type or equivalent:

C1	AMP 206430-1
C2	AMP 206043-1
C3	AMP 206306-1

   ii. The pin and socket contacts for connectors C1-C3 shall be constructed with brass contact body material and with stainless steel spring that are sub-plated with 1.27 µm nickel and plated with 0.762 µm gold. Pin diameter shall be 1.575 mm. Contact size shall be 16.
   iii. Each C1, C2 and C3 connector shall use the AMP No. 601105-1 or No. 91002-1 contact insertion and the AMP No. 305183 contact extraction tool.
   iv. One mating connector with a full set crimp contacts and strain relief shall be supplied with connectors C1, C2 and C3.
   v. The connectors C4 and C5 shall be a DB-25 socket connector.

h. Serial cable assemblies (SCA1 and SCA2) with length of 3 m shall be provided to mate with C4 and C5, respectively.
i. Pin and socket contacts for DB-25 connectors shall be copper alloy body; finished with 0.762 µm gold over 1.27 µm nickel.
j. The rear and front panel BNC connectors shall be of copper material with bright nickel (tarnish resistant) finish for the body and silver finish for the contact.
k. Each printed circuit board shall be vertically installed.
l. Each LED shall be equal to Hewlett Packard High Intensity Red Un-tinted, Non-diffused LED (Part Number HLMP-D105). Each LED shall be mounted as shown on the plans.
m. A front panel on/off switch shall turn the CCU on/off and shall also control AC power to the rear panel power output connector (C1). The indicator used for AC power shall be green when energized.
n. One coaxial cable labeled "AVO" (Analog Video Output) terminated with BNC plug connectors on each end shall be provided. This cable shall be RG-59/U with overall length of one meter.

Electrical

a. Each CCU shall have auto-iris override.

b. Each CCU shall have circuitry to detect the absence and presence of video sync on its video input. Each CCU shall also have circuitry to monitor the low-pressure alarm contact closure from the camera unit. A local/remote control switch shall be provided to override the lens and pan and tilt controls through C4 when the switch is in local mode. When in local mode, the local control alarm shall be active. Alarm status shall be constantly monitored and updated. Upon receipt of a "status query" message, the CCU shall send alarm status message with data as follows:

"0"	None of the alarms active.
"1"	Local Control (LC) alarm active.
"2"	Low Pressure (LP) alarm active.
"3"	LP/LC alarms active.
"4"	Video Sync Absence (VSA) alarm active.
"5"	VSA/LC alarms active.
"6"	VSA/LP alarms active.
"7"	VSA/LP/LC alarms active.

The front panel alarm light shall be lit if any the alarms are active.

c. Each CCU shall have circuitry for a source character generator. The source character generator shall display 16 alphanumeric characters superimposed on the video image. Each character shall be 28 TV lines high and shall be derived from a standard 5 x 7 dot matrix. The programmed characters shall be stored in a non-volatile memory. Upon receipt of "Set ID" message, the CCU shall position from the camera ID in the video image as follows:

"1"	Upper 15% limit of the left viewing area
"2"	Upper 15% limit of the right viewing area
"3"	Lower 15% limit of the left side viewing area
"4"	Lower 15% limit of the right side viewing area

The characters shall be superimposed on the video signal using non-additive mixing techniques.

d. Each CCU shall be designed to prevent simultaneous operation of pan right/left, tilt up/down, zoom in/out, focus near/far or iris open/close.

e. Each CCU shall have power supply(ies) for camera zoom, focus, motors, control and interface circuits. The voltage for zoom, focus and iris shall be selectable internally by one jumper for 12.0 V(dc), 9.0 V(dc) or 5.0 V(dc) at 100 mA. The CCU shall be pre-configured with the voltage jumper select set to 9.0 V(dc). The operation of zoom, focus and iris shall be as follows:

Zoom in	+V(dc)
Zoom out	-V(dc)
Focus near	+V(dc)
Focus far	-V(dc)
Iris close	+V(dc)
Iris open	-V(dc)

f. The maximum power consumption for the CCU shall not exceed 450 W. Power consumption of equipment attached to pin 1 of connector C1 shall not exceed 100 W. Power consumption of equipment attached to pin 12 of connector C2 shall not exceed 200 W.

g. Each CCU shall have eight independently operating 24 V(dc) relays (options 1 to 8). Each relay shall be single pole, double throw (SPDT), with contacts rated 1.25 A at 120 V(ac).

h. Each CCU shall be capable of a minimum of ten presets and capable of controlling camera units and pan and tilt units equipped with pre-positioning feedback potentiometers. Each CCU shall have circuitry to filter out any electrical noise interference on each of the pre-positioning feedback voltage signal for the camera unit and pan and tilt unit.

i. A system reset switch shall be a momentary-pushbutton type and be mounted on the front panel to function as external reset input to the microprocessor. System reset shall not cause existing pan and tilt and lens positions to change. System reset shall be executed without requiring the operator to hold the momentary-pushbutton for more than one second.

j. The front panel of the camera control unit shall have LEDs and switches to provide the following control functions as shown on the plans.

Function	Hardware	Indicator
Zoom (In/Off/Out)	(ON)-OFF-(ON)	2 LED
Focus (Near/Off/Far)	(ON)-OFF-(ON)	2 LED
Pan (Left/Off/Right)	(ON)-OFF-(ON)	2 LED
Tilt (Up/Off/Down)	(ON)-OFF-(ON)	2 LED
Iris (Open/Off/Close)	(ON)-OFF-(ON)	2 LED
Iris override (Manual/Auto)	ON-OFF	1 LED
Option 1 (On/Off)	ON-OFF	1 LED
Option 2 (On/Off)	ON-OFF	1 LED
Option 3 (On/Off)	ON-OFF	1 LED
Option 4 (On/Off)	ON-OFF	1 LED
Option 5 (On/Off)	ON-OFF	1 LED
Option 6 (On/Off)	ON-OFF	1 LED
Option 7 (On/Off)	ON-OFF	1 LED
Option 8 (On/Off)	ON-OFF	1 LED
Alarm (On/Off)	ON-OFF	1 LED
Control (Local/Remote)	ON-OFF	
Reset	(ON)-OFF (momentary pushbutton)	

k.

CCU connector assignments	
C1	4 contact connector
C2	14 contact connector
C3	37 contact connector
C4, C5	DB-25 connectors

C1 -- AC Power	
Position	Function
1	AC +
2	AC -
3	Equipment Ground
4	NA

C2 -- Pan and Tilt			
Pos.	Function	Pos.	Function
1	Pan right	8	Pan feedback
2	Pan left	9	Tilt feedback
3	AC-	10	Preset –V(dc)
4	Tilt up	11	NA
5	Tilt down	12	AC+
6	AC-	13	AC-
7	Preset +V(dc)	14	Ground

C3 -- Camera			
Pos.	Function	Pos.	Function
1	Zoom	20	Option 3 N.O.
2	Z/F/I Common	21	Option 3 Common
3	Focus	22	Option 3 N.C.
4	Iris	23	Option 4 N.O.
5	Iris Override Common	24	Option 4 Common
6	Iris Override	25	Option 4 N.C.
7	Preset +V(dc)	26	Option 5 N.O.
8	Zoom Preset feedback	27	Option 5 Common
9	Focus Preset feedback	28	Option 5 N.C.
10	Preset -V(dc)	29	Option 6 N.O.
11	LP alarm	30	Option 6 Common
12	LP alarm	31	Option 6 N.C.
13	NA	32	Option 7 N.O.
14	Option 1 N.O.	33	Option 7 Common
15	Option 1 Common	34	Option 7 N.C.
16	Option 1 N.C.	35	Option 8 N.O.
17	Option 2 N.O.	36	Option 8 Common
18	Option 2 Common	37	Option 8 N.C.
19	Option 2 N.C.		

Note:
N.O. = Normally open
N.C. = Normally closed
NA = Not Available

C4, C5 -- Serial communication ports to and from external device.			
Pos.	Function	Pos.	Function
1	NA	14	NA
2	Transmit Data	15	NA
3	Receive Data	16	NA
4	NA	17	NA
5	NA	18	NA
6	NA	19	NA
7	Signal Ground	20	NA
8	NA	21	NA
9	NA	22	NA
10	NA	23	NA
11	NA	24	NA
12	NA	25	NA
13	NA		

Serial cables			
SCA1		SCA2	
DB-25 Pin	DB-25 Pin	DB-25 Pin	DB-25 Socket
2	2	2	2
3	3	3	3
7	7	7	7

Environmental

a. Each CCU shall operate in an ambient temperature environment range from -10°C to 50°C.
b. Each CCU shall conform to MIL-STD-810D-516.1 and MIL-STD-810D-514.1 shock and vibration test.

CCU Messages

a. Each CCU shall communicate through the C4 serial port with the following communication message codes:

DIRECTION	MESSAGE	CHARACTER		DATA
		1ST CODE	2ND CODE	
Transmit	Alarm status	A	space	"0"-"7"
Receive	Status query	Q	space	NONE
Receive	Pan stop	p	space	NONE
Receive	Tilt stop	t	space	NONE
Receive	Zoom stop	z	space	NONE
Receive	Focus stop	f	space	NONE
Receive	Iris stop	i	space	NONE
Receive	Pan left	L	space	NONE
Receive	Pan right	R	space	NONE
Receive	Tilt up	U	space	NONE
Receive	Tilt down	D	space	NONE
Receive	Zoom in	I	space	NONE
Receive	Zoom out	O	space	NONE
Receive	Focus near	N	space	NONE
Receive	Focus Far	F	space	NONE
Receive	Iris open	J	space	NONE
Receive	Iris close	K	space	NONE
Receive	Iris manual	M	space	NONE
Receive	Iris auto	m	space	NONE
Receive	Set ID word	C	"1"-"4"	16-ASCII char.
Receive	Home position 0-9	H	"0"-"9"	NONE
Receive	Home position program 0-9	P	"0"-"9"	NONE
Receive	Option on 1-8	S	"1"-"8"	NONE
Receive	Option off 1-8	s	"1"-"8"	NONE
Receive	Enter Echo mode	E	space	NONE
Receive	Exit Echo mode	^]C	This sequence is not in a communication packet	

b. After receiving the "enter echo" command the CCU shall pass all characters from C5 to C4 and C4 to C5. The CCU shall disable all camera movement.

c. When the "exit echo" mode sequence is received on C4, the CCU shall return to normal operation.

Serial Communications Protocol

a. The communication protocol shall consist of 8 data bits, 1 stop bit and no parity.

b. Communication handshaking shall use XON/XOFF.

c. The communication packet shall contain the following items: ADDRESS, CODE, DATA, CHECKSUM, CR. The packet is sent as a string of ASCII printable characters. The ADDRESS, which has its $80 bit set in order to signal the start of the packet. The CHECKSUM is generated by Exclusive-ORing the ADDRESS, CODE, and DATA. The communication byte count shall be as follows:

ADDRESS	1
CODE	2
DATA	≥0
CHECKSUM	2
CR	1

d. The receiver will compute the CHECKSUM. If the computed CHECKSUM is correct the receiver will send ACK, otherwise the receiver will send NAK.

VIDEO TRANSMITTER DUPLEX DATA

The video transmitter duplex data (VTDD) shall be stand-alone type mounted securely on a shelf that fastened to EIA-310 standard equipment rack in each State-furnished Model 334 cabinet as shown on the plans. The size and mounting arrangements shall be consistent with the space allocated in the cabinet layout. The equipment shall be mounted in a manner which allows easy access to all connections and indicators. All mounting hardware and mounting shall be provided by the Contractor.

The VTDD unit shall accept any NTSC baseband video signal and an EIA-232 data signal to convert to a signal suitable for launching into one singlemode optical fiber.

The video interface to VTDD shall be a nickel plated, bulkhead female BNC-style connector with a gold plated contact. The video transmitter shall accept a composite video signal at a level of 1.0 V peak to peak between sync tip and reference white, as measured on an oscilloscope. The transmitter shall operate as specified when the peak-to-peak value of the signal varies between 0.71 V and 1.4 V. The nominal input impedance shall be 75 Ω and the return loss shall be at least 30 dB in compliance with EIA RS-250 for an unbalanced to ground connection. Differential gain shall be less than 3 percent typical, 5 percent maximum at 3.58 MHz. Differential phase shall be less than 3 degree typical, 5 degree maximum at 3.58 MHz. Bandwidth at -3 dB points shall be between 2 Hz to 15 MHz. Weighted signal to noise ratio (SNR) shall be 60 dB for greater than or equal to -21 dBm input to the receiver.

The video signal and the EIA-232 data shall be applied to the optical source to produce a pulse rate modulated optical signal using pulse frequency modulation (PFM) technique. The VTDD shall have a laser emitter with a wavelength at 1550 nm to transmit simplex video and EIA-232 signals. The VTDD shall receive EIA-232 data using 1310 nm wavelength. The transmission range shall be up to 60 km via a singlemode fiber optic (9/125-μm). The transmitter shall interface to fiber with an ST style compatible connector. The video transmitter launch power shall be defined as the power launched by the transmitter into at least one meter of step index optical fiber with a nominal core diameter of 8.3 μm, a nominal cladding diameter of 125 μm and a theoretical numerical aperture of 0.275. The video transmitter launch power shall be at least 18 dB greater than the video receiver sensitivity. The optical modulation bandwidth required by the video transmitter for specified video link performance shall be 60 MHz, maximum.

The video transmitter shall include a power supply, which may be external to the remainder of the video transmitter components. The transmitter shall be powered from existing 120 V(ac) ±15 percent, 60 Hz ±5 percent power receptacle. The power supply shall supply all voltages required by the video transmitter for operation. The video transmitter shall have a panel indicator visible from the front that shows power "ON".

The video receiver duplex data (VRDD) unit will be installed under separate contract.

F. CABLES, CONNECTORS AND FITTINGS

The camera unit cable assembly box mounting connector shall be mounted on one side of the JCB and shall be pre-wired to the 20 position terminal block as shown on the plans. The video signal pins of the camera unit cable assembly box mounting connector shall be terminated to a Bayonet Nut Connector (BNC) jack connector via Type RG-59/U coaxial cable stub.

The television control cable (TVC) and television power conductors (TVP) shall be wired to the 20 position terminal block as shown on the plans. The television control power (TVCP) cable shall go through but does not terminate inside the JCB. A watertight strain-relief box connector shall be installed at the JCB hole for the TVCP cable.

G. INSTALLATION OF CAMERA STATION

The work to be done at each camera station, as shown on the plans, as a minimum, shall consist of the following:

1. Attach pan and tilt unit to the mounting plate.
2. Attach camera unit to the pan and tilt unit.
3. Install JCB.
4. Terminate TVC and TVP inside JCB.
5. Terminate television video cable (TVL) inside JCB with a BNC plug connector.
6. Install camera unit cable assembly wiring harness (Type JC).
7. Terminate TVCP with pan and tilt mating connector.
8. Connect TVCP to the pan and tilt unit.
9. Terminate TVL inside controller cabinet with BNC plug connector.
10. Terminate TVP, TVCP and TVC with CCU mating connectors C1, C2 and C3, respectively.
11. Adjust limit stops of the pan and tilt unit as directed by the Engineer.
12. Adjust camera unit to provide the optimum picture for the full range of daylight and night time conditions as directed by the Engineer.

H. CAMERA UNIT MOUNTING

The camera unit shall be secured to the pan and tilt unit using the stainless steel bolts provided with the camera unit. Before each bolt is fastened, a locking type coating shall be applied to the threads. The coating shall lock the bolt and nut in place, making it impossible to turn the bolt or nut without tools. This coating shall last through and be effective through at least ten insertions and withdrawals of the bolt or nut.

The work to be done between the camera mount and the controller cabinet, as shown on the plans, as a minimum, shall consist of the following:

Install and terminate TVL, TVC, TVCP, and TVP, in the appropriate conduit, as shown on the plans.

The work to be done at each CCTV controller cabinet, as shown on the plans, as a minimum, shall consist of the following:

1. Install CCU.
2. Connect TVC, TVCP, TVP and TVL to CCU via their respective connectors.
3. Install the VTDD unit.
4. Connect CCU to VTDD.

The Contractor shall furnish all materials necessary to provide a complete and functional camera station in accordance with these special provisions. Miscellaneous equipment, and materials not mentioned but necessary to provide a complete and fully operational camera station shall be furnished by the Contractor as incidental to the work for which no additional compensation will be allowed therefore.

All items furnished under this contract shall be new and shall be the latest version.

The Contractor shall be responsible for demonstrating proper operation of the camera station using test software and diagnostics which shall be provided to the Engineer as incidental items at no additional cost. Testing procedures are described elsewhere in these special provisions.

I. CAMERA STATION TESTING

Upon completion of work, each camera station shall be subjected to post-installation tests as outlined herein. All testing shall be performed by the District Electrical Systems Branch personnel, arranged by the Engineer and in the presence of the Contractor. The Contractor shall notify the Engineer in writing fifteen days prior to the scheduled testing. Upon receipt of the notification, the Engineer shall contact the Electrical Systems Branch at (510) 286-6142. The Contractor shall provide all necessary equipment required to access the CCTV equipment for testing.

The testing shall consist of five consecutive days of continuous satisfactory operation of each camera station. If any material and equipment furnished and installed by the Contractor in this project is found defective or otherwise unsuitable, or the workmanship does not conform with the accepted standards, the Contractor shall replace such defective material and equipment at no cost to the State.

Rejected material or equipment may be offered again by the Contractor for consideration provided all non-compliance has been corrected and pre-tested by the Contractor. After all defects have been corrected, the camera station shall be re-tested until five consecutive days of continuous satisfactory operation is obtained.

The post-installation tests shall consist of, but not be limited to, inspection and functional testing in accordance with these special provisions.

Inspection shall consist of, but not be limited to, verification of correct wiring terminations, correct cable interconnections, good workmanship and compliance with these special provisions.

Functional testing shall include, but not be limited to, the following:

a. Verification of all local mode CCTV operations using the CCU front panel controls.
b. Verify video signal output from CCU with a National Television Systems Committee (NTSC) monitor.
c. Verify the correct operation of the auto/manual iris and power zoom.
d. Verify the correct operation of the pan and tilt unit. The pan and tilt unit shall be functionally tested over 355 degrees in the horizontal plane and ±60 degrees in the vertical plane. The pan and tilt unit limit stops shall then be adjusted to optimize the camera viewing coverage of the freeway as directed by the Engineer.
e. Verify the correct operation of the preset positions.

PREFORMED LOOP DETECTOR STATION

The Contractor shall install the following preformed loop detector station equipment at each station as described in these special provisions and as shown on the plans:

A. Ten preformed inductive loops or as shown on the plans.
B. One preformed loop pull box.
C. Ten DLC (one DLC per loop).
D. One State-furnished Model 170 controller assembly (described elsewhere in these special provisions).
E. One fiber optic data modem (described elsewhere in these special provisions).

The loop shall be 1.8 m square unless otherwise shown. The loop shall consist of 4 turns of No. 16, or larger, wire with Type THWN or TFFN insulation.

The loop wires shall be encased in Size 10, minimum, Schedule 40 or Schedule 80 PVC or polypropylene conduit. The conduit shall be sealed to prevent the entrance of water and the movement of wires within the conduit.

The loop wires from the preformed loop to the adjacent pull box shall be twisted together into a pair (at least 7 turns per meter) and encased in Schedule 40 or Schedule 80 PVC or polypropylene conduit between the preformed loop and the adjacent pull box or detector handhole. The lead-in conduit shall be sealed to prevent the entrance of water at the pull box or handhole end.

The preformed loops shall be secured to the top of the uppermost layer of reinforcing steel within the reinforced concrete structure deck slabs, using nylon wire ties.. The loop shall be held parallel to the structure deck by using PVC or polypropylene spacers where necessary. Conduit for lead-in conductors shall be placed between the uppermost 2 layers of reinforcing steel. Lead-in conductors shall be coiled securely within the temporary enclosures with adequate slack as shown on the plans. After slabs are in place, temporary enclosures will be removed, conduit shall be installed, and the loop wire shall be routed through conduit to the preformed loop pull box. Conductors are to be terminated in the pull box and labeled as shown on the plans.

CHANGEABLE MESSAGE SIGN STATION

The changeable message sign (CMS) station shall consist of installing the following equipment, as shown on the plans and as directed by the Engineer:

A. One Model 500 changeable message sign system.
B. One changeable message sign disconnect switch.
C. One fiber optic data modem (described elsewhere in these special provisions).

A. **MODEL 500 CHANGEABLE MESSAGE SIGN SYSTEM**

Model 500 changeable message sign (CMS) systems consist of a Model 500 changeable message sign, a Model 170 controller assembly in a completely wired Type 1 or similar cabinet and the required wiring and auxiliary equipment required to control the CMS shown on the plans and in conformance with these special provisions.

The Model 500 changeable message signs, wiring harness and Model 170 controller assembly including controller unit and completely wired cabinet, but without anchor bolts, will be State-furnished in conformance with the provisions in "Materials" of these special provisions.

Model 500 changeable message sign system components will conform to the requirements in "Specifications for Changeable Message Sign System," issued by the State of California, Department of Transportation, and to the addendums thereto current at the time of project advertising. Model 170 controller assemblies will conform to the requirements in "Traffic Signal Control Equipment Specifications," issued by the State of California, Department of Transportation, and to the addendums thereto current at the time of project advertising.

Attention is directed to "Sign Structures" of these special provisions.

The sign assembly shall be installed on the sign structure. The controller cabinet foundation shall be constructed as shown on the plans for Model 334 cabinets (including furnishing and installing anchor bolts), the controller cabinet shall be installed on the foundation, and the field wiring connections shall be made to the terminal blocks in the sign assembly and in the controller cabinet.

Field conductors No. 12 and smaller shall terminate with spade terminals. Field conductors No. 10 and larger shall terminate in spade or ring terminals.

A listing of field conductor terminations, in each State-furnished changeable message sign and controller cabinet, will be furnished free of charge to the Contractor at the site of the work.

Cabinet locations are shown on the plans. The cabinets are all located on bridge platforms. It will be apparent where to install them because of the conduit stub-ups done through other bid packages.

State forces will maintain the sign assemblies. The Contractor's responsibility shall be limited to conformance with the provisions in Section 6-1.02, "State-Furnished Materials," of the Standard Specifications.

B. CHANGEABLE MESSAGE SIGN DISCONNECT SWITCH

Each changeable message sign (CMS) shall have a disconnect switch, which shall be a UL-listed 100 A, 4-pole, non-fusible switch rated for 600 V maximum. The CMS disconnect switch shall have an electrical interlock to prevent accidental operation and a line terminal shield to prevent accidental contact with the terminals. The CMS disconnect switch shall be housed in a NEMA 3R enclosure with a door latch and provisions for a padlock. The housing shall have a front-operated handle for provisions to lock the handle in the OFF position. The housing shall be mounted on the side of the controller cabinet.

FIBER OPTIC DATA MODEM

The fiber optic data modem (FODM) shall be RS-232 compatible fiber optic modem with dual optics for drop and insert capability which can be configured as master or local (slave) in either daisy chain or fault tolerant dual redundant (counter rotating) ring network architecture. These four modes of operations shall be selectable via an external Mode DIP-switch.

All signals received via an optical port and retransmitted via fiber or via an expansion port shall be retimed to 0.01 percent pulse width accuracy by a crystal controlled time base, eliminating pulse width distortion and eliminating virtually unlimited repeating. The FODMs shall have anti-streaming circuitry for both the optical fiber and the electrical (RS-232) sides. On RS-232 side, when enabled, the anti-streaming shall limit the amount of time an external device is allowed to transmit data onto the network for each Request to Send (poll). On the fiber side, the anti-streaming shall disable an optical receiver in the event that the receiver output stays high longer than maximum allowable time thus preventing the who fiber network from being disabled by a continuous "on" failure by receiver or optical emitter. External (TIME-OUT) DIP-switch shall allow user to disable or select the timeouts for both the optical side anti-streaming feature and the RS-232 side anti-streaming feature as well as to enable or disable the "Fiber Activity CTS Disable" feature. LED indicators to display power "on", anti-streaming "Fault" time-out and RS-232 fiber optic activity (selectable via dual function switch).

The FODMs at the field element shall be stand-alone type and shall be securely fastened on a EIA-310 rack-mount shelf. At the hub location, the FODMs shall be react-mount type installed in card cage assembly. The card cage assembly shall be EIA-310 rack mount type with at least 14 slots and with two power supplies for redundancy.

The FODM shall meet the following requirements:

Electrical Signaling:	EIA RS-232 with full handshake control signals
Electrical Power:	115 V(ac), 60 Hz
Operating Temperature	-40 to 70°C
Operating Mode:	1. Daisy chain Master mode 2. Daisy chain Local mode 3. Fault tolerant Master mode 4. Fault tolerant Local mode
Emitter type:	Laser
Wavelength	1310 nm
Minimum coupled transit power into: 9/125 μm at 25°C (75°C)	-11 (-9.5) dBm
Output Variation	-0.015 dB/°C
Minimum receiver input power for 10^{-9} BER	-40 dBm
Maximum receiver input	-11 dBm
Optical port type	ST
RS-232 connector type	DB25S
Data Rates (auto)	1200 baud to 57.6 kbaud
Bit Error Rate (BER):	10^{-9}
Link Budget (Range) via singlemode 1310 nm	31 dB for (56 km)

FODMs shall be tested as follows:

A. Each optic modem shall be functionally tested by looping back optical transmit connector to the optical receive connector using a variable optical attenuator with measured optical loss at 31 dB at 1310 nm. A test set shall be connected to the modem and set for RS-232 communication testing. Fifteen minutes bit error rate (BER) test burn-in test shall be error free.

B. After performing the 15 minutes BER test, at least two modems shall be tested for receiver dynamic range. The following procedure shall be followed: First, the optical attenuation shall be increased to the point at which the data test just begins to register bit errors. The optical receive power into the modem shall be measured and recorded. The optical attenuation shall be then decreased until data test once again register errors. At no time shall the optical power into the receiver exceed the manufacturer's specified saturation level. The optical receive levels shall once again be measured and recorded. These minimum and maximum receiver power levels define modem receiver's dynamic range and shall meet or exceed the manufacturer's specification.

C. One pair of modem shall be interconnected using optical patchcords and attenuators with a loss of 31 dB in each direction. The RS-232 interface shall be looped back onto one modem and a test set connected to the RS-232 interface of the other modem. A bit error rate of less than 10^{-9} shall be demonstrated.

SERVICE MANUAL REQUIREMENTS

The Contractor shall provide to the Engineer a minimum of ten copies of service manuals for the camera unit, pan and tilt unit, camera control unit, video transmitter duplex data unit elsewhere in these special provisions. Each manual shall contain the following sections and sub-sections.

A. General Information Section

1. A list of applicable subassemblies that comprise the specified equipment.
2. Overall description of the equipment design features (including all enhance features if applicable), performance, and applications.
3. Equipment specifications summary.
4. Equipment installation instructions.

B. Theory of Operations Section

1. Theory of operation of the standard equipment, with unique or unusual circuitry described in detail.
2. Theory of operation reflecting any modifications to the standard equipment.

C. Maintenance Section

1. Recommended test equipment and fixtures, or minimum operational and performance requirements for appropriate test equipment.
2. Trouble shooting information and charts.
3. Removal and installation procedures for replacing assemblies and subassemblies, if not obvious or if improper sequencing of steps may result in component damage.

D. Replacement Parts Section

1. Each manual shall contain an equipment replacement parts list including electrical parts, mechanical parts and assemblies.
2. All semiconductors shall be identified by the supplier's numbers and by JEDEC numbers if applicable.

E. Diagram Section

1. Schematic diagrams(s) identifying all circuit components and showing normal test voltages and levels.
2. An overall functional block diagram.
3. Detailed interconnecting diagram(s) showing wiring between modules, circuit boards and major components.
4. Pictorial circuit board layout diagram(s) showing both component placement and printed wiring detail.
5. Diagram(s) showing location of circuit boards and other subassemblies.
6. Exploded view diagram(s) of complex mechanical assemblies.

F. Physical Requirements

1. All pages, including latest revisions, shall be securely fastened together between protective covers (loose-leaf ring binding is acceptable).
2. No page shall be subject to fading from exposure to any normal source of ambient lighting (diazo reproduced pages are not acceptable).

10-3.16 SUPERVISORY CONTROL AND DATA ACQUISITION REMOTE TERMINAL UNIT SYSTEM

GENERAL

The Contractor's attention is directed to "Order of Work" of these special provisions for the timely identification of the supplier of the remote terminal units and products to be used by the Contractor.

The State will arrange for the procurement and installation of a new SCADA Master Programmable Logic Controller (PLC) including workstations. The equipment will be installed in the Administration Building. The communications protocol between field devices and the RTUs will be MODBUS. Communications between the RTUs and the SCADA Master Controller (HMI) shall be through the use of frequency shift key (FSK) modem.

The Contractor shall arrange for the procurement and installation of the SCADA remote terminal units (RTUs) with the Engineer prior to procurement and shall provide identicalequipment to the San Francisco – Oakland Bay Bridge East Span Seismic Safety Project (Skyway Contract) to assure compatibility between the SCADA RTUs and the new SCADA Master PLC.

The Contractor shall arrange for the RTU supplier to generate complete wiring diagrams, based on shop drawings, of each RTU, showing all incoming cable and wire terminations to be terminated by the Contractors. The wire, cable and circuit numbers shall match and be coordinated with the RTU requirement plans (elementary and wiring diagrams), furnished for the SCADA RTU system. This information shall be available for the Engineer to review upon request prior to installing any of the RTUs.

SUBMITTALS

Each submittal package shall consist of six copies. Submittals shall be delivered to the Engineer at least 180 days prior to the start of the installation. The Engineer will be allowed 90 days for review of the submittals.

Submittals (For Review and Approval)

The following information shall be submitted to the Engineer:

A. Master drawing index
B. Front view elevation
C. Floor plan
D. Top view
E. Block diagram
F. Schematic diagram
G. Nameplate schedule
H. Component list
I. Conduit entry and exit locations
J. Assembly ratings including:

   1. Voltage
   2. Continuous current

K. Cable terminal sizes

Key interlock schematic plans and sequence of operations shall be submitted to the Engineer:

Submittals (For Information)

When requested by the Engineer the following product information shall be submitted:

A. Descriptive bulletins
B. Product data sheets.

Submittals (For Final Acceptance)

The following information shall be submitted for record purposes prior to final payment:

A. Final as-built plans and information for remote terminal units.
B. Wiring diagrams
C. Certified production test reports
D. Installation information
E. Seismic certification and equipment anchorage details.

Operation and Maintenance Manuals

Ten copies of the equipment operation and maintenance manuals shall be provided prior to shipment of the equipment. Operation and maintenance manuals shall include the following information:

A. Instruction books or leaflets
B. Recommended renewal parts list

PROGRAMMABLE CONTROLLER

Scope

This section covers the technical requirement for a programmable controller which can receive discrete and analog inputs. Through the use of relay ladder logic and other languages, including "C", State Logic and Sequential Function Chart (SFC), it can control discrete and analog output functions, perform data handling operations and communicate with external devices.

Manufacturer's Standards

The manufacturer shall have shown high commitment to product, manufacturing and design process quality. It shall have attained ISO9001 registration.

Design and Manufacture

The SCADA RTUs furnished by the Contractor, shall be part of the overall new San Francisco – Oakland Bay Bridge SCADA system, to be furnished and installed by multiple contractors. Therefore, to assure compatibility between SCADA components, the Contractor shall procure the RTUs from the same RTU supplier used in Contract 04-012024, Skyway. The new RTUs for the San Francisco – Oakland Bay Bridge shall be furnished with GE 90-30 PLCs. The RTU cabinets, including all internal subcomponents, shall, where applicable, be identical to the subcomponents furnished with the Skyway RTUs.

The SCADA RTU system shall be obtained from the following supplier:

VENDOR ADDRESS AND PHONE NUMBER
INKELIS ASSOCIATES 6722 CORTE SANTA MARIA PLEASANTON, CA 94566 TEL: 925-485-0794 FAX: 925-485-0794 CONTACT: KAREN INKELIS

The unit prices quoted by the supplier for the SCADA RTU system (including the Programmable Controller) are as follows:

Description	QTY	NTP 2004	NTP 2005	NTP 2006	NTP 2007	NTP 2008
RTU-10W & 10E	2	$69,000	$72,588	$78,674	$84,008	$85,703
RTU-11W & 11E	2	$67,000	$70,484	$76,393	$81,573	$87,103
#COM-4 Terminal Cabinet	1	$ 3,850	$ 4,050	$ 4,390	$ 4,687	$ 5,005
#COM-5,6,7,8 Terminal Cabinets	4	$ 3,875	$ 4,077	$ 4,428	$ 4,718$	5,038
#TEL-4,5,6,7,8 Terminal Cabinets	5	$ 4,050	$ 4,261	$ 2,618	$ 4,931	$ 5,265
Testing and Checkout (hr)	64	$ 7,900	$ 8,311	$ 9,008	$ 9,618	$10,274
Training (hr)	12	$ 3,000	$ 3,156	$ 3,421	$ 3,653	$ 3,900
Software Programmer (hr)	24	$ 4,200	$ 4,418	$ 4,789	$ 5,114	$ 5,460
Relay	24	$ 720	$ 757	$ 821	$ 877	$ 936

The prices quoted are effective for each NTP (Notice to Proceed) yearly period from January 19 to January 18 of the following year. The FOB location is Everett, Washington. The above prices include freight and insurance, but do not include sales tax. All products are tested and ETL Labeled before shipment.

All of the components within the family of controller products shall be offered by a company who regularly manufactures and services this type of equipment**.**

The programmable controller and all of the corresponding components within the family of controller products shall be offered by a company who regularly manufactures and services this type of equipment**.**

All products shall be designed, manufactured, and tested in accordance with recognized UL, CSA, IEC and CE mark industrial standards. The system shall be operational during and after testing. The standards requirements are as follows:

AGENCY APPROVALS OVERVIEW		Comments
Quality Assurance in Design/ Development, Production, Installation & Servicing	ISO9001	Certification by Underwriters Laboratories and BSI Quality Assurance
Safety for Industrial Control Equipment	UL508 C-UL or CSA 22.2, 142-M1987	Certification by Underwriters Laboratories Certification by Underwriters Laboratories [C-UL] or Canadian Standards Association for selected modules
Safety for Hazardous Locations Class I, Div II, A, B, C, D	UL1604 with C-UL FM3611 CSA22.2, 213-M1987	Certification by Underwriters Laboratory for selected modules Certification by Factory Mutual for selected modules Certification by Canadian Standards Association for selected modules
European EMC and Low Voltage Directives	CE Mark	Certification by Competent Body for EMC Directive for selected modules

STANDARDS OVERVIEW		Conditions
ENVIRONMENTAL		
Vibration	IEC68-2-6, JISC0911	IG @ 40-150 Hz, 0.012 in p-p @ 10-40Hz
Shock	IEC68-2-27, JISC0912	15G .11 ms
Operating Temperature		0°C to 60°C:[inlet] 0°C to 55°C:[ambient]
Storage Temperature		-40°C to +85°C
Humidity		5% to 95%, non-condensing
Enclosure Protection	IEC529	Steel cabinet per IP54: protection from dust & splashing water
EMC EMISSIONS		
Radiated Conducted	CISPR11, EN55011 FCC	Class A [applies to CE Marked modules] part 15, subpart J, Class A
EMC IMMUNITY		[applies to CE Market modules]
Electrostatic Discharge	IEC 1000-4-2	8 kVAir, 4 kV Contact
RF Susceptibility	IEC 1000-4-3	10 V_{rms}/m80Mhz to 1000Mhz, 80% AM
Fast Transient Burst	IEC 1000-4-4	2 kV:power supplies,1KV:I/O,communications
Surge Withstand	ANSI/IEEE C37.90a IEC255-4	Ring Wave, 2.5 kV: Power supplies, I/O [12,240V] Ring Wave, Class II: Power supplies, I/O[12,240V]
Conducted RF	IEC 1000-4-6	10 V_{rms}, 150khz to 80 Mhz, 80%AM: communication modules with cables>30 m
ISOLATION		
Dielectric Withstand	UL508, UL840, IEC664	1.5 kV for modules rated from 51 V to 250 V
POWER SUPPLY		
Input Dips, Variations	IEC 1000-4-11	During Operation: Dips to 30% and 100%, Variation for AC ±10%, Variation for DC ±20%

AGENCY APPROVALS OVERVIEW		*Comments*
Quality Assurance in Design/ Development, Production, Installation & Servicing	**ISO9001**	Certification by Underwriters Laboratories and BSI Quality Assurance
Safety for Industrial Control Equipment	**UL508** **C-UL or CSA22.2, 142-M1987**	Certification by Underwriters Laboratories Certification by Underwriters Laboratories [C-UL] or Canadian Standards Association for selected modules
Safety for Hazardous Locations Class I, Div II, A, B, C, D	**UL1604 with C-UL** **FM3611** **CSA22.2, 213-M1987**	Certification by Underwriters Laboratory for selected modules Certification by Factory Mutual for selected modules Certification by Canadian Standards Association for selected modules
European EMC and Low Voltage Directives	**CE Mark**	Certification by Competent Body for EMC Directive for selected modules

STANDARDS OVERVIEW		*Conditions*
ENVIRONMENTAL		
Vibration	**IEC68-2-6, JISC0911**	1G @40-150Hz, 0.012in p-p @10-40Hz
Shock	**IEC68-2-27, JISC0912**	15G, 11ms
Operating Temperature		0°C to 60 °C:[inlet] 0°C to 55 °C:[ambient]
Storage Temperature		–40 ° C to +85 ° C
Humidity		5% to 95%, non-condensing
Enclosure Protection	**IEC529**	Steel cabinet per IP54: protection from dust & splashing water
EMC EMISSIONS		
Radiated Conducted	**CISPR11, EN55011 FCC**	Class A [applies to CE Marked modules] part 15, subpart J, Class A
EMC IMMUNITY		**[applies to CE Marked modules]**
Electrostatic Discharge	**IEC 1000-4-2**	8KV Air, 4KV Contact
RF Susceptibility	**IEC 1000-4-3**	$10V_{rms}$ /m 80Mhz to 1000Mhz, 80% AM
Fast Transient Burst	**IEC 1000-4-4**	2KV: power supplies, 1KV: I/O, communications
Surge Withstand	**ANSI/IEEE C37.90a** **IEC255-4**	Ring Wave, 2.5KV: power supplies, I/O [W 2240V] Ring Wave, Class II: power supplies, I/O [W 2240V]
Conducted RF	**IEC 1000-4-6**	$10V_{rms}$, 150khz to 80Mhz, 80% AM: communication modules with cables >30m
ISOLATION		
Dielectric Withstand	**UL508, UL840, IEC664**	1.5KV for modules rated from 51v to 250v
POWER SUPPLY		
Input Dips, Variations	**IEC1000-4-11**	During Operation: Dips to 30% and 100%, Variation for AC + / - 10%, Variation for DC + / - 20%

The manufacturer shall have a fully operational quality assurance and quality control program in place and shall comply with ISO9001 standards for "Quality Systems- Model for Quality Assurance in Design/Development, Production, Installation, and Servicing."

Complete documentation describing installation, operation, programming and simple field maintenance shall be available in paper format and on CD-ROM.

Support

The manufacturer or its authorized representative shall provide complete technical support for all of the products. This shall include headquarters or local training, regional application centers, and local or headquarters technical assistance. A toll-free (800) number hot-line shall be available for emergency support.

Product shall have a warranty period of at least 1 year from the date of purchase. The contractor shall maintain the warranties of the product to the completion of the project.

Hardware

The system shall consist of rugged components designed specifically for industrial environments. A complete system shall consist of one or more racks containing I/O modules, interconnected by signal cables.

Packaging

All components shall be housed in structurally secure enclosures.

The controller CPU shall be modular. The modular type shall be fully enclosed within a durable plastic shroud. When mounted on the system base, the modular CPU shall not occupy more than one available slot.

The I/O system shall be modular. Each module shall be fully enclosed within a durable plastic shroud. When mounted on the system base, each I/O module shall not occupy more than one available slot.

There shall be at least two sizes of I/O bases available. One shall hold up to 10 I/O modules and the other shall hold up to 5 I/O modules.

I/O modules shall be retained in their slot by a hinge on the upper rear edge and snap on the lower rear edge of the baseplate. Removing the module shall require no tools.

I/O modules shall be installed in any available slot in the CPU or expansion baseplates, and shall require no tools for insertion and extraction.

I/O modules shall connect electrically to the baseplate via a pin and socket connector.

I/O modules shall be fully enclosed in a plastic covering protecting the electronic circuitry from exposure.

Durability

All components within the controller family shall be manufactured with a high degree of durability.

All switches and other operator-controlled devices shall be of the size and durability for the intended use as is normally offered for industrial applications.

All signal cables furnished by the manufacturer shall be constructed so as to withstand, without damage, all normal use and handling.

Parts Interchange

In order to minimize spare parts stocking requirements, the controller family shall have a high degree of interchange capability. The power supply, and the battery, and should all operate equally well regardless of the CPU being used.

The system shall incorporate a modular design using plug-in assemblies with pin and socket connectors.

Wherever possible, all assemblies and sub-assemblies performing similar functions shall be interchangeable.

The system design shall accommodate the replacement of assemblies without having to disconnect field wiring. Wherever possible, removable connectors shall be used to connect field wiring to the individual circuit board assemblies.

All major assemblies and sub-assemblies, circuit boards, and devices shall be identified using permanent labels or markings each of which indicates the manufacturer's catalog number, product manufacturing date code, UL and CSA certifications.

Environmental Conditions

All components of the controller system, except CRT terminals and programming workstations, shall meet the following environmental specifications:

Storage Conditions: Temperature from -40ºC to 85ºC.
Operating Conditions: Temperature from 0ºC to 60ºC.
Humidity: From 5 percent to 95 percent relative humidity, non-condensing.

Power Supply Module

The power supply shall be a wide range supply operating from a voltage source in the range 120 V(ac) to 264 V(ac) and from 90 V(dc) to 125 V(dc), providing 30 watts of power. Available power shall be 30 watts at a +5 V(dc) output, 15 watts at a 24 V(dc) relay power output, and 20 watts at an isolated 24 V(dc) output.

Specifications

The power supply shall contain an isolated, internal 24 V(dc) power source for I/O modules requiring 24 V(dc) power.

The power supply shall contain a built-in serial communication port which can be used to:

A. Connect the programmer for PC compatible programming software.
B. Connect to one of the wide variety of third-party operator interfaces utilizing an open architecture software protocol.

This serial port shall provide RS-422 signals with RS-485 compatibility. The characteristics of this port shall be software configurable and shall be modem compatible.

The power supply shall contain dual battery connectors in a battery compartment such that a battery may be installed to protect programming CMOS RAM memory. Dual connectors are required to provide bumpless battery power transfer.

The backup battery for RAM memory shall be a Lithium, long-life battery with a typical life of 6 months under load, and 8 to 10 years under no load. This battery shall be replaceable while power is applied to the PLC.

The power supply shall be modular in design, separate from the CPU and baseplate for easy replacement in the unlikely event of failure.

The power supply shall be universal in design, compatible with main CPU racks, as well as with expansion racks.

There shall also be a super capacitor that provides a minimum of 1 hour battery less backup power for CPU RAM memory.

Central Processing Unit (CPU)

The CPU shall be a modular CPU with up to 5 different configurations. The CPU shall possess the capability to solve application logic, store the application program, store numerical values related to the application processes and logic, and interface to the I/O systems. The CPU shall need no additional modules to provide at least the following advanced programming features: PID, Modulo, Math, Double Precision math, Logical functions, Subroutines, Data Array Move and Indirect Addressing.

Modular CPU

The modular CPU shall contain a minimum of an Intel 80386EX microprocessor operating at speeds no less than 25 MHz as the main processing element, memory mounted on the board, and a dedicated VLSI Instruction Sequencer Coprocessor (ISCP - Boolean Coprocessor) for performing Boolean operations, and interfaces to a serial port and the system bus.

The modular CPU shall contain a real-time calendar and clock that can be accessed by the user program. This time of day clock and calendar shall be battery-backed and maintain seven time functions: Year (2 digits), Month, Day of Month, Hour, Minute, Second, and Day of week.

The modular CPU shall execute Boolean functions at a rate of .22 microseconds per instruction or lower.

The modular CPU shall be capable of controlling up to 79 I/O slots.

The modular CPU shall be able to provide special functions such as High Speed Counter function, Axis Positioning function, and Local Area Networking function.

The modular CPU shall be have 2 additional serial ports, one phone jack RS232 and 1 a 15 pin RS485 for communications. The modular CPU shall also be configured as a Modbus RTU Slave ports, allowing them to communicate with other devices in a communication scheme that allows the PLC to be interrogated by those devices for data. The serial ports shall be supported by an additional Hitachi H8 microprocessor.

Visual Diagnostics

Status of low or dead battery shall be indicated by a red Battery LED on the power supply module.

The diagnostic status of the fuses, for those discrete I/O modules containing fuses, shall be indicated by a red LED mounted on the top of the module. The red LED shall illuminate when a blown fuse condition is present.

Alarm Processor

The modular CPU's shall contain an alarm processor that has special PLC feature designed to receive and process faults. The diagnostics shall provide information on the configuration and CPU, memory, communications and I/O status.

The alarm processor function shall log I/O and system faults in two fault tables that shall be accessible for display on the PC compatible programming software screen or uploaded to a host computer or other coprocessor.

The alarm processor shall maintain the states of up to 128 discrete system diagnostic bits to be read by a host or incorporated as contacts into the ladder program for customized diagnostic routines.

Each fault table shall have a total capacity of 32 faults. The last 16 entries shall maintain the latest 16 faults. The first 16 shall be kept unchanged.

Faults may be cleared by the user by way of a programmer. Provision shall be made by way of passwords to protect these faults from unauthorized clearing.

Alarm Features

The alarm processor shall report three types of fault action; fatal, diagnostic, or informational, and the CPU shall respond as follows:

Fault Action	Fatal	Diagnostic	Informative
CPU Enters STOP Mode	YES	NO	NO
Set Diagnostic Bit	YES	YES	NO
Logged In Fault Table	YES	YES	YES

When an I/O fault occurs, the alarm processor shall report the rack and slot location of the fault, the condition, the address and the circuit number if appropriate.

The modular CPU alarm processor function shall have the capability to time-stamp system faults for future references.

PLC Memory Protection

The PLC shall have 4 levels of security or password privilege levels to prevent unauthorized changes to the contents of the PLC. These built-in privilege levels shall be set in the programming software or with the Hand-Held Programmer and shall impose the following constraints:

Level	Constraint
1.	Read PLC data only (except passwords)
2.	Write to any data memory
3.	#2 and write to all configuration or logic in STOP mode
4.	#3 and write to logic in STOP or RUN mode (on-line change) and password level access.

There shall be one password, one to four ASCII characters in length, for each privilege level in the PLC, and the same password can be used for more than one level.

Any attempts to access or modify information in the PLC without the proper password privilege level shall be denied.

Subroutine Password

The PLC shall have a software OEM key that allows users to control access to each subroutine in the relay ladder program.

OEM Program Protection

The PLC shall have a software OEM key that allows users to protect the resident program from unauthorized reads and writes.

CPU Memory

The PLCs modular CPU shall contains at least the following:

A. 120 K 16-bit words for application programming and register, analog input and analog output memory.
B. Up to 16384 (16 K) 16-bit registers for data usage.
C. 1280 global references
D. 4096 (4 K) internal relay coils
E. 2048 (2 K) bits for discrete inputs
F. 2048 (2 K) bits for discrete outputs
G. Up to 16384 (16 K) 16-bit registers for analog inputs
H. Up to 16384 (16 K) 16-bit registers for analog outputs

All application memory shall be available to the user program. Executive level operations performed by the CPU shall not consume application memory.

Memory Storage

The register values and the application program shall be stored in battery backed, CMOS static RAM memory. The application program and system configuration shall also be stored in FLASH memory.

There shall be a long-life Lithium battery used to maintain the contents of the CMOS RAM memory in the CPU.

There shall be an easily accessible battery compartment in the power supply with dual battery connectors. The battery shall be replaceable with power applied to the PLC and without removing the CPU.

An LED shall provide visual indication of the battery condition. Additionally, a low battery condition shall be alarmed with a system diagnostic bit.

The modular CPU shall allow the resident user program to be maintained in the CPU without power applied. Two levels of maintainability shall be provided, short duration and long duration.

For short duration, the program shall be maintained by a hi-capacity capacitor for a period of no less than 1 hour. This allows adequate time for replacing the battery in the power supply module, should the external supply to the CPU be interrupted.

For long duration, the CPU module shall maintain its contents by using the battery. This allows the CPU module to be shipped via surface mail where power supply to the module is not available. This method may be achieved by providing internally mounted battery.

The CPU shall calculate the application program checksum at the end of every sweep. A complete checksum calculation for a program may take several sweeps. A fixed number of program memory checksum shall be calculated each sweep. This number is configurable by the user. If the calculated checksum does not equal the reference checksum, a fault shall be recorded, and the CPU mode will change to STOP.

Programming Devices

A software programming package, for development of application programs, shall be furnished. The software programming package shall be capable of running on a PC compatible laptop or desktop computer.

On-line and off-line, CPU and I/O configuration and application program development shall be achieved with a PC compatible computer and programming and documentation software.

The PC compatible computer shall be connectable to the PLC via a built-in serial communication port on the power supply or serial ports on the CPU. The serial communication port shall provide RS-422 signals with RS-485 compatibility.

In addition to the serial communications, the PC compatible computer shall be connectable to the PLC via Ethernet TCPIP supporting the SRTP application protocol. A separate module providing Ethernet communications through an AAUI connection shall plug into any system.

The programming devices shall have access to the application program, the CPU and I/O system configurations, all registers, CPU and I/O status, system diagnostic relays, and I/O over-ride capabilities.

MS-DOS®/Windows® Compatible Software

The MS-DOS/WINDOWS compatible software shall provide the capability of reading, writing, and verifying the configuration and program with a diskette backup.

The software shall execute on DOS operating system or in a DOS Window in a WINDOWS operating system.

The software shall provide on-screen help information throughout its execution paths.

It shall have the capability of programming the relay ladder program, store the program to the PLC, monitor program and reference address status while the PLC is in Run or Stop mode.

The software must be capable of generating a printout of the program for documentation purposes. The user shall be able to select any of the program documentation below:

Types of Documentation	Description
Print Program	This printout shall print the program logic with or without the equivalent Boolean instructions for each rung, the reference list, reference descriptions and/or users rung comments.
Cross reference tables	This printout shall show the use of references in the program.
Reference tables	This printout shall show the values of each reference in each selected table.
Configuration Printout	This printout shall allow the user to generate a rack hardware and its assigned reference addresses listing, and the CPU configuration listing.

The software shall provide the capability for programming using user-defined variables (nicknames).

The software shall have built-in modem connection capabilities.

WINDOWS® Compatible Software

The WINDOWS compatible software shall provide the capability of reading, writing, and verifying the configuration and program with a diskette backup.

The software shall execute on a Windows® 95 or Windows NT® platform.

The software shall provide on-screen help information throughout its execution paths.

It shall have the capability of programming the relay ladder program, store the program to the PLC, monitor program and reference address status while the PLC is in Run or Stop mode.

The programming software shall support bumpless run mode storage of the program to the CPU.

The software must be capable of generating a printout of the relay ladder program for documentation purposes. The user shall be able to select any of the program documentation below:

Types of Documentation	Description
Print Program	This printout shall print the program logic with or without the equivalent Boolean instructions for each rung, the reference list, reference descriptions and/or users rung comments.
Cross reference tables	This printout shall show the use of references in the program.
Reference tables	This printout shall show the values of each reference in each selected table.
Configuration Printout	This printout shall allow the user to generate a rack hardware and its assigned reference addresses listing, and the CPU configuration listing.

The software shall provide the capability for programming using user-defined variables (nicknames).

The software shall have built-in modem connection capabilities.

The software shall be IEC 1131 compliant.

The software shall have provisions for importing and exporting tag names, comments and descriptions in an .xls format.

Operator Interface

The programming port and its protocol shall be open in architecture. The protocols of this communication port shall be published such that a user may develop their own operator interface device, software or hardware, to access Register, I/O status, I/O override and system diagnostic memory data.

Through an open nature of this communications protocol, a wide variety of operator interface shall be made available. These may be manufacturer's own brand or they may be manufactured by 3rd party vendors.

Programming Language

The CPU shall be capable of solving an application program whose source format shall be relay ladder diagram. The language shall support relay, timers and counters, arithmetic, relational, bit operation, data move, conversion, and control functions.

The CPU shall be capable of solving an application program whose main program format is in Sequential Function Chart (SFC) with underlying code in relay ladder diagram.

Relay Functions

Relay ladder operations shall consist of the following contacts and coils:

A. Normally Open Contact
B. Normally Closed Contact
C. Coil
D. Negated Coil
E. Retentive Coil
F. Negated Retentive Coil
G. Positive Transition Coil
H. Negative Transition Coil
I. Set Coil (Latch)
J. Reset Coil (Unlatch)
K. Retentive Set Coil
L. Retentive Reset Coil

Positive transition coils and negative transition coils shall function as leading and trailing edge one-shot coils respectively.

Contacts may be referenced any number of times within the application program.

A single rung may contain more than one coil.

There shall be a service that allows user programs to be checked for multiple coil use. This flag may be set to:

Disallow more than one coil in a single rung

Allow multiple coil use but generate warning messages
Allow multiple coil use without warnings

Timers And Counters

Timer and counter operations shall consist of the following functions:

A. Retentive On-Delay Timer (ONDTR)
B. Simple Off- Delay Timer (OFDT)
C. Simple On-Delay Timer (TMR)
D. Up Counter (UPCTR)
E. Down Counter (DNCTR)

The retentive on-delay timer shall behave as a stop-watch that increments time when enabled and holds the current timed value until receiving power flow to the reset input.

The simple on-delay timer shall increment while it receives power flow and reset to zero when power flow stops.

The simple off-delay timer shall increment while it power flow stops and reset to zero when power flow is present.

There shall be at least 682 programmed timers and/or counters available for use in application programs.

Each timer or counter requires the use of three 16-bit registers within %R memory for storage of the preset, the current value and a control word. These three registers shall be accessible to the user via a register reference.

The timers and counters shall not require an output reference, the output of a timer or counter can be used to energize a coil, or enable another function, such as a math function, or another timer or counter.

The time/count limit shall be either a programmed constant or shall be programmable via a register reference value.

The time shall be counted in tenths of seconds or hundredths of seconds, and the range for the timers and counters is 0 to 32,767 time units.

Arithmetic

The arithmetic operations shall support two data types, Signed Integer (INT), and Double Precision Integer (DINT). On the modular CPU, the Floating Point data type shall also be supported via floating point emulation. Arithmetic functions shall consist of the following types:

A. Addition
B. Subtraction
C. Multiplication
D. Division (quotient)
E. Modulo (remainder)
F. Square Root

Signed Integers (INT) data shall be stored in 16 contiguous bits of memory, in 2's complement notation. The range for Signed Integer Data shall be -32,768 to +32,767.

Double Precision Integer (DINT) data shall be stored in 32 contiguous bits of memory, double precision data is always signed. The range for Double Precision Integer Data shall be -2,147,483,648 to 2,147,483,647.

The arithmetic function blocks shall consist of 3 inputs and 2 outputs. The enable input shall begin the execution. When the function is enabled, the two data inputs are operated upon and the result is output. There shall also be an OK output that is always true when the function is enabled, unless an overflow or other error exists.

All of the Arithmetic functions shall be such that they can be cascaded together in a single rung.

Relational Functions

Relation Functions which are used to compare two numbers, shall operate on Signed Integer and Double Precision Integer data types, and shall consist of the following types:

A. Equal To — Not Equal To
B. Greater Than — Greater Than or Equal to
C. Less Than — Less Than or Equal to

Bit Operation Functions

Bit Operation Functions shall perform comparison and movement operations on word data that is specified as a continuous string of data in 16-bit increments, with the first bit of the first word being the least significant bit, and the last bit of the last word being the most significant bit.

Bit Operation Functions that are used to perform Boolean operations on corresponding bits of two bit strings of the same length shall consist of the following types:

A. Logical AND
B. Logical OR
C. Logical Exclusive OR

Bit Operation Functions used to create an output string that is a copy of an input bit string, but with its bits inverted, shifted, or rotated shall consist of the following types:

A. Logical Invert (NOT)
B. Shift Left
C. Shift Right
D. Rotate Left
E. Rotate Right

The shift functions shall allow for the user to specify the number of places that the array is to be shifted as an input, and provide the state of the last bit shifted out, and a copy of the shift register as outputs.

Data Move Functions

Basic data movement capabilities shall be provided by the following list of functions:

A. Move
B. Block Move
C. Block Clear
D. Shift Register
E. Bit Sequencer
F. Range
G. Communications Request

The movement of data (16 bit integer or word), as individual bits, from one location to another shall be accomplished by the Move function. The user shall be able to specify the length of the move.

The Block Move function shall provide the functionality to move a block of 7 constants (integer or word) to a specified location.

The ability to fill a specified block of data (word) with zeros shall be accomplished by the Block Clear function. The user shall be able to specify the length of the block.

The Shift Register function shall provide the functionality to shift one or more data words from a reference location into a specified memory location. All of the data within the Shift Register shall be accessible throughout the program from logic addressed memory.

A method of shifting a bit sequence through an array of bits shall be provided by a Bit Sequencer function. The function shall provide the ability to reset the sequence, change the direction of the bit pattern, or access the step location within the array.

A method of checking for a value to be contained within a group of values shall be provided in a Range function.

Provisions to initiate communications with a specialized communication module shall be made through the use of a Communication Request function. This function shall allow the PLC to behave as a master on a serial communication link, thus providing the ability to communicate master/slave or peer to peer with any controller or computer using the same serial communication protocol.

Table

Table operations shall consist of moving data into or out of tables and searching for data of values equal to, not equal to, greater than, greater than or equal to, less than and less than or equal to a specified value as listed below:

A. Array moves
B. Search Equal
C. Search Not Equal
D. Search Greater Than
E. Search Greater Than or Equal to
F. Search Less Than

G. Search Less Than or Equal to

The array move feature shall be capable of implementing indirect addressing applications.

Conversion Functions

Two conversion functions shall be provided to convert a data item from a 4 digit Binary Coded Decimal (BCD-4) data type to a 16 bit signed integer and vice versa.

Control Functions

Control functions shall be provided to limit program execution, alter the way the CPU executes the application program, or provide special PLC services. The following Control Functions shall be provided:

A. CALL
B. Immediate I/O update (DO I/O)
C. Comment rung (COMMNT)
D. Master Control Relay (MCR, END MCR)
E. Jump to a label (JUMP, LABEL)
F. Special Service Requests (SVCREQ)

An immediate I/O update function shall be provided for the update of all or a portion of the inputs or outputs for one scan while the program is running, or to update I/O during the program in addition to the normal I/O scan.

Additionally, the function shall provide a mean to read inputs into memory auxiliary to the true input table, and execute outputs from discrete memory alternate to the true output table.

A comment rung function shall be provided to enter a rung explanation in the program. The rung explanation shall have the capacity to hold 2048 characters of text. The memory required for the comment shall be independent of the program storage memory. The comment shall have the ability to be edited via the PC compatible programming software.

A master control relay function shall allow all rungs between the MCR and its subsequent END MCR function to be executed without power flow.

A method for structuring the ladder program shall be provided with the use of a JUMP Function. This will cause the program execution to jump to a specified location in the logic targeted by the location of the LABEL function.

Seven different special PLC service requests shall be accessible by the programmer by utilizing one of the Service Request Functions listed below:

A. Change/Read Checksum Task State and
B. Logical Number of Words to Checksum
C. Change/Read Time of Day Clock.
D. Shut Down the PLC.
E. Clear Fault Tables.
F. Read Last Fault Table Entry.
G. Read Elapsed time Clock.
H. Read I/O Override Status.

The Data written by these service request functions shall be in BCD or Packed ASCII format, and written into user definable register locations.

PID Function

A single PID function block instruction must be provided by the CPU without any additional module. Two versions of this closed loop control algorithm (Proportional/Integral/Derivative) shall be available:

A. The standard ISA PID algorithm, which applies the proportional gain to each of the proportional, derivative, and integral terms; and
A. The independent algorithm that applies the proportional gain only to the proportional gain term.

Subroutine Function

A single function block must be available to allow repetitive call of a function. A password to protect the integrity of the subroutine must also be available.

A Subroutine may be called from within another subroutine. The nesting must be at least 8 deep.

A Periodic Subroutine shall be available that is executed once a programmable interval. The interval shall be between 1 and 10 milliseconds. The accuracy of the subroutine execution shall be 50 nanoseconds. Discrete I/O shall be available to update during the execution of the subroutine.

Discrete I/O

Interface between the PLC and user supplied input and output field devices shall be provided by rack type I/O modules.

Configuration

There shall be an expandable I/O system that shall be supported by a single slot modular CPU, and shall accommodate up to 8 total racks or 79 I/O slots up to a total distance of 15.2 m with the standard expansion racks and 213 m with the remote expansion racks.

Expansion I/O racks shall be connected to the CPU rack via a high speed serial interface cable. The receiver shall be contained within the expansion baseplates eliminating the requirement for additional communication modules.

I/O Addressing

I/O reference addressing for each I/O module shall be assigned through the use of the PC compatible configuration and programming software or the hand held programmer. There shall be no jumpers or DIP switch settings required to address modules.

The circuit status of each I/O point on a module shall be indicated by a green LED mounted at the top of the module. These LED's must be visible through a clear plastic lens. Each LED shall illuminate a letter and number which corresponds to the energized I/O circuit.

Addressing of all references including I/O must be represented as a Decimal Based number.

Construction

Terminal blocks shall be easily removable, and common to all discrete and analog I/O to allow for convenient pre-wiring of field devices.

Each I/O module shall contain a hinged, clear plastic, terminal block cover (door) with a removable label.

The inside of the label shall have the module description, catalog number, and circuit wiring diagram for that module type, and the outside of the label shall have a user legend space to record circuit identification information.

The label shall have color coding for quick identification of the module as high voltage (red), low voltage (blue), or signal level (gray) type.

Electrical Specifications

I/O modules shall be designed for 1500-volt isolation between the field wiring and the system backplane.

Input Specifications

The 120 Volt AC input module shall accommodate an input voltage range from 0 to 132 volts.

The 240 Volt AC input module shall accommodate an input voltage range from 0 to 264 volts.

The 24 Volt DC positive and negative logic input modules shall accommodate an input voltage range from 0 to +30 volts DC.

The 125 Volt DC input module shall accommodate an input voltage range from 0 to 150 volts.

Availability Of Input Modules

As a minimum, the following discrete input modules shall be available:

Description	Points/Module
Input Simulator	8, 16
120 V(ac) Isolated Input	8
240 V(ac) Isolated Input	8
120 V(ac) Input	16
24 V(ac)/V(dc) Negative Logic Input	16
24 V(dc), Positive/Negative Logic Input	8,16.32
24 V(dc) Positive/Negative Logic Input, (1ms response)	16
125 V(dc) Positive/Negative Logic Input	8
5/12 V(dc) Positive/Negative Logic Input (TTL)	32

Output Specifications

Discrete AC output modules shall have separate and independent commons allowing each group to be used on different phases of AC supply.

Each discrete AC output shall be provided with an RC snubber to protect against transient electrical noise on the power line.

Discrete AC outputs shall be suitable for controlling a wide range of inductive and incandescent loads by providing a high degree of inrush current (10x the rated current).

Discrete DC output modules shall be available with positive and negative logic characteristics in compliance with the IEC industry standard.

Discrete DC output modules shall be provided with at least eight output points in a group with a common power input terminal per group.

Discrete DC output modules shall be compatible with a wide range of user-supplied load devices, such as: motor starters, solenoids, and indicators.

A 2 Amp relay output module shall be capable of supplying 2 Amps resistive maximum load per output and 4 amps resistive maximum load per group of 4 outputs.

A 4 Amp relay output module shall have 8 isolated outputs per module and shall be capable of supplying 4 amps resistive maximum load per output and 32 amps resistive maximum load per module.

Availability Of Output Modules

As a minimum, the following discrete output modules shall be available:

Description	Points/Module	# Fuses/ Rating	Module
120 V(ac), 0.5A (2 groups)	12,16	3A	2
120/240 V(ac), 1A (2 groups)	8	3A	2
120/240 V(ac) Isolated, 2A	5	3A	5
12/24 V(dc) Positive Logic, 2A	8	5A	2
12/24 V(dc) Positive Logic, 0.5A	8.16,32	N/A	0
12/24 V(dc) Negative Logic, 2A	8	5A	2
12/24 V(dc) Negative Logic, 0.5A	8,16	N/A	0
125 V(dc) Positive/Negative Logic, 1A	6	N/A	0
5/12/24 V(dc) Negative Logic, 0.5A	32	N/A	0
Relay, Normally Open, 2A (4 groups)	6	N/A	0
Relay, Normally Open, 4A Isolated	8	N/A	0
Relay, Isolated, 4 Normally Closed,	8	N/A	0
4 Normally Open (Form B & C) 8A			

Availability Of Mixed I/O Modules

As a minimum, the following discrete output modules shall be available:

Description	Points/Module
24 V(dc) Input, Relay Output	8 in, 8 out
120 V(ac) Input, Relay Output	8 in, 8 out

Analog I/O

For the conversion of analog to digital and digital to analog conversion required by an application, the following shall be available:

Analog Voltage Input

The analog voltage input module shall be capable of converting 4 or 16 channels of inputs in the range of -10 to +10 volts.

Resolution of the converted analog voltage input signal shall be 12 bits binary or 1 part in 4096.

All of the channels of converted analog voltage input signals shall be updated each scan into a dedicated area of data registers in a 16-bit 2's complement format.

The conversion speed for all of the analog voltage input channels shall be no less than 2 milliseconds and no greater than 13 milliseconds..

The analog voltage input module shall be configurable for a 4 to 20 mA analog current input via an external resistor.

Analog Current Input

The analog current input module shall be capable of converting 4 or 16 channels of inputs in the range from 4 to 20 mA or 0 to 20 mA.

Resolution of the converted analog current input signal shall be 12 bits binary or 1 part in 4096.

All of the channels of converted analog current put signals shall be updated each scan into a dedicated area of data registers in a 16-bit 2's complement format.

The conversion speed for all analog current input channels shall be a minimum of 2 ms and no greater the 13 ms.

Analog Voltage Output

The analog voltage output module shall be capable of converting 2 or 8 channels of digital data to analog outputs in the range from –10 V to +10 V.

Resolution of the converted output signal shall be 13 bits or 16 bits.

All channels of analog output data shall be updated each scan from a dedicated area of data registers in a 16-bit 2's complement format.

The analog outputs shall be configurable to default to 0 mA, 4 mA or hold-last-state in the event of a CPU failure.

Analog Current Output

The analog current output module shall be capable of converting 2 or 8 channels of digital data to analog outputs in the range from 0 mA to 20 mA or from 4 mA to 20 mA.

Resolution of the converted output signal shall be 12 bits or 16 bits.

All channels of analog output data shall be updated each scan from a dedicated area of data registers in a 16-bit 2's complement format.

The analog current outputs shall be configurable to default to 0 volts or hold-last-state in the event of a CPU failure.

Analog Combination

The analog combo module shall be capable of converting 4 channels of analog inputs to digital data and 2 channels of digital data to analog outputs.

All channels are configurable for 0 mA to 20 mA, 4 mA to 20 mA, 0 V to +10 V, and –10 V to +10 V. Resolution of the converted input signals shall be 12 bits and output signals shall be 16 bits.

All channels of analog data shall be updated each scan from a dedicated area of data registers in a 16-bit 2's complement format.

The analog outputs shall be configurable to default to 0 volts or hold-last-state in the event of a CPU failure.

Module Availability

As a minimum, the following analog modules shall be available:

Description	Channels/Module
Current Analog Input	4, 16
Voltage Analog Input	4, 16
Current Analog Output	2, 8
Voltage Analog Output	2, 8
Combo Analog Inputs/Outputs	4/2

Temperature Control Module

A specialized temperature control module shall be available to accommodate applications where precise temperature control is needed.

The temperature control module shall support auto-tuning, closed looped PID control, and open looped manual control.

The temperature control module shall provide eight thermocouple inputs, 1 RTD input, and 8 PID-controlled output channels for controlling heaters.

The temperature control module shall provide alarms indications for each status zone, voltage failure, open or reversed thermocouple, compensation temperature error, high or low temperature, high or low temperature deviation, and open channel short circuit.

Motion Control

Specialized analog and digital motion control modules shall be available to perform 1 or 2 axes of closed or open loop servo control. These modules shall support encoder feedback with analog output for velocity command.

The positioning mode shall support linear and S curve acceleration and deceleration.

The modules shall provide user-defined control inputs and outputs for application such as torque follower and flying cut-off applications.

The modules must have user defined inputs and outputs, an English-language programming software, and automatic data transfer of data between PLC and axis positioning module with no user programming.

The positioning mode shall have a powerful instruction set, that includes absolute or incremental move, wait to move, dwell, conditional jump and subroutine functions.

The modules shall provide non-volatile program storage without the use of battery or super capacitor.

The follower mode shall provide either parallel or cascade operation from a single master.

The follower mode shall provide a selectable master source of encoder, analog, or internal time base.

The single axis module shall have a position loop update time of not more than 1 msec. The dual axis module shall have a position loop update time of not more than 2 ms per axis.

High Speed Counter

A specialized high speed counter option module shall be available to accommodate applications where pulse input rates exceed the input capability of the PLC.

The high speed counter module shall provide direct processing of rapid pulse signals up to 80 kHz in frequency.

The high speed counter module shall be configurable as four independent counters counting either up or down, two independent bi-directional counters, or one counter that can calculate the difference between two changing count values.

Programmable Coprocessor Module

A specialized high-performance programmable microcomputer module having up to 640 k bytes of on-board CMOS battery-backed user memory shall be available to perform coprocessor functions.

The specialized coprocessor module shall be programmable with a powerful BASIC language interpreter or "C" Language to perform data acquisition, data storage and retrieval, real time computing, and operator interface functions.

The specialized coprocessor module shall be capable of performing master/slave or peer-to-peer serial communication tasks in point to point or multidrop configurations utilizing a serial communication protocol.

The configurable module shall have two serial communication ports, one RS-232 and the other a selectable RS232 or RS-485. It shall have dual tasking capabilities, and shall be software configurable to behave as:

One serial communication port,
Two independent serial communication ports,
One serial communication port and one ASCII/BASIC application using one port, or
One ASCII/BASIC application using one or both ports.

Speciality I/O And Communications

Based on open architecture specifications of the vendor and explicit permission of the vendor, specialty module shall be available such as PID, Co-processor, stepper, etc.

Complete documentation, kits for building modules, and engineering resource shall be available for the 3rd party based on the type of development.

Peer/Peer Communications

A specialized option module shall be available that will allow the PLC to communicate on a token passing peer-to-peer, noise immune network providing high-speed transfer of control data.

The specialized communication module shall be configurable to broadcast data to and receive data from up to 31 other devices on a network automatically and repeatedly from a shared and dedicated database in RAM memory.

The communication medium for this specialized network shall be a high energy and noise immune single shielded twisted pair cable transmitting data at an adjustable rate of up to 153.6 k baud. The distance of the communication shall be up to 2286 m at a lower baud rate.

Master/Slave Communications

There shall be modules (such as a Modbus RTU protocol module as specified elsewhere in these special provisions) that allows the PLC to act as a master in a communication scheme that allows the PLC to interrogate other PLCs and devices for data.

PLC and CNC I/O Interface

The I/O interface shall provide an interface between the PLC and a CNC, allowing a CNC to control 64 I/O points on the PLC.

Client/Server Interface

There shall be a module that provides CLIENT/SERVER with PEER to PEER communications over Ethernet TCPIP. The modular CPU system and special module shall support up to 16 simultaneous connections.

FSK MODEM

The format of the FSK modem shall be standard RS233C with four-wire operation for long range up to 12.2 km. Mode of operation will be point-to-point or multi-drop. Data transmission speed shall be up to 9600 baud. Modulation shall be frequency shift key (FSK); carrier signal transformer and capacitively isolated. Interface shall be DTE/DCE jumper selectable; Female DB25 connector for RS232C; two position pluggable terminal block for carrier; 2.5 mm x 5.5 mm barrel jack (center positive) for 24 V(dc). A wall mounted transformer power supply shall be provided for 120 V(ac) input and 21 V(dc) – 28 V(dc) output. The FSK modem shall be designed for a temperature range from 0˚C to 60˚C and humidity from 0 to 95 percent, non condensing. Enclosure shall be 16-gauge steel with mounting flanges.

MODBUS RTU MASTER MODULES

The RTU Master Modules shall support the Modbus protocol and shall be compatible with the CPU. The RTU Master Modules shall be flexible with both RS-232(direct) and RS-485 (multidrop) ports with the capability of simultaneous communications on up to two ports. The two Modbus/RTU channels provided shall be controlled by the CPU to access data from remote field devices. Each channel shall be individually programmed for dial in, dial out, radio, multi-drop (RS-485) and direct (RS-232) operation. Each channel shall be able to send or receive analog or discrete data using standard RTU commands (for example Read Coil). Multiple commands can be sent to each slave with each command requesting either single point or multiple continuous points up to 250 bytes. Data to be sent or stored shall be placed in the CPU reference data tables. The module shall function as a master and allow data to be read/written up to 32 slaves and support RTU mode (binary) and Modbus mode (ASCII). Port connections shall be made to a single 25-pin connector, with a pinout that provides RS-232 signals for port one, and RS-232 and RS-485 signals for port two. A "Y" cable that separates port one signals from port two shall be included. Three LEDs visible through the front of the module shall provide module status and port status. Report by exception shall be supported by the module provided.

INSTALLATION REQUIREMENTS

Two Remote Terminal Units (RTUs) shall be installed by Contractor. The RTU's are to be installed and wired as shown on the plans.

The Contractor shall be responsible for making a complete, operational loop check of each circuit installed by the Contractor and connected to the input and output terminals of the RTU. Loop checks shall be performed by simulating a contact closure as necessary to prove the operation of each circuit. The complete functional check including the SCADA Master PLC and workstations shall be performed under different contracts.

10-3.17 ELECTRICAL EQUIPMENT

UTILITY AND LIGHTING PANELS

The utility and lighting panels shall conform to the following requirements and as shown on the plans:

A. The bus shall be copper.
B. Boxes shall be made from code gage galvanized steel.
C. The trim shall be made form code gage steel and painted ANSI 61 gray.
D. Doors 1200 mm or less shall have a single point lock. Doors over 1200 mm shall have a three point catch and lock.
E. The ground bar and neutral bar shall be standard, bolted in box.
F. The enclosure shall be NEMA Type 4X. The top and bottom gutters shall be minimum 139 mm and the side gutters shall be 152 mm.

The Contractor shall install single-phase and three-phase general purpose individually mounted panels, self-cooled, as specified herein and as shown on the plans. The panels and all components shall be designed, manufactured and tested in accordance with the latest applicable standards of ANSI and NEMA.

Submittals

Submittal package shall consist of six copies. Submittals shall be delivered to the Engineer at least 180 days prior to the start of the installation. The Engineer shall be allowed 90 days for review of the submittals. The following information shall be submitted to the Engineer:

A. Dimension drawing and weight.
B. Technical certification sheet.
C. Transformer ratings.
D. Component ratings.

Qualifications

For the equipment specified herein, the manufacturer shall be ISO 9000, 9001 or 9002 certified. The manufacturer of this equipment shall have produced similar electrical equipment. When requested by the Engineer, an acceptable list of installations with similar equipment shall be provided.

Ratings

Voltage ratings shall be as shown on the plans. Units shall be designed for continuous operation at rated kVA, for 24 hours a day, 365 days a year operation, with normal life expectancy as defined in ANSI C57.96. Where applicable, transformer sound levels shall not exceed 45 dB for a 10 to 50 kVA rated self-cooled transformer as defined by ANSI and NEMA.

Construction

Each single-phase panel shall include a main primary breaker. Main primary and feeder breakers shall be enclosed with a padlock lockable hinged door.

Each three-phase to single-phase panel shall include a main breaker, step-down transformer breaker. Main primary, secondary and feeder breakers shall be enclosed with a padlock lockable hinged door.

Transformer

Transformers for the three-phase panels shall be insulated with a 185°C-insulation system. Required performance shall be obtained without exceeding the above-indicated temperature rise in a 40°C maximum ambient, with a 30°C average over 24 hours. All insulation materials shall be flame-retardant and shall not support combustion in conformance with the requirements in ASTM Designation D 635.

Transformer core shall be constructed with high-grade, non-aging, grain-oriented silicon steel with high magnetic permeability, and low hysteresis and eddy current losses. Maximum magnetic flux densities shall be substantially below the saturation point. The transformer core volume shall allow efficient transformer operation at 10 percent above the nominal tap voltage. The core laminations shall be tightly clamped and compressed. Coils shall be wound of electrical grade aluminum with continuous wound construction. The core and coil assembly shall be completely encapsulated in a proportioned mixture of resin and aggregate to provide a moisture-proof, shock-resistant seal. The core and coil encapsulation system shall minimize the sound level. The core of the transformer shall be grounded to the enclosure. The transformer shall include two 5 percent taps below nominal voltage.

Enclosure

The enclosure shall be made of heavy-gage steel and the maximum temperature of the enclosure shall not exceed 90°C. The enclosure shall be totally enclosed, non-ventilated, NEMA 4X. Each panel to be mounted outside on the platforms shall be installed in a NEMA 4X stainless steel enclosure.

Circuit Breaker Type B

The circuit breaker Type B shall be a molded case circuit breaker providing complete circuit overcurrent protection by having inverse time and instantaneous tripping characteristics and shall be designed, manufactured, assembled and tested in accordance with UL 489 and NEMA AB-1 standards. The frame rating and settings shall be as specified on the plans.

The molded case circuit breakers shall be operated by a toggle-type handle and shall have a quick-make, quick-break over-center switching mechanism that is mechanically trip free from the toggle handle so that the contacts cannot be held closed against short circuits and abnormal currents. All poles shall be so constructed that contacts open, close and trip simultaneously in the either ON or OFF position. All breaker covers shall have molded-in "ON" and "OFF" position.

The circuit breaker frames shall employ high strength, molded-polyester, glass-reinforced cases and covers. The breaker frame shall have legible, tamper-proof nameplates containing maximum frame ampere ratings, maximum voltage ratings and interrupting ratings in accordance with UL standards. All breaker frames sizes shall have external means for manually tripping the breaker and exercising the mechanism and trip latch member.

The molded case circuit breakers shall have inverse time and instantaneous tripping characteristics. Automatic tripping of the breaker shall be clearly indicated by the handle position. Contacts shall be non-welding silver alloy and arc extinction shall be accomplished by means of arc chutes.

The circuit breaker rating shall be as specified on the plans and as required by the load and coordination studies.

Ground fault protection shall be provided where indicated. Shunt trips, bell alarms and auxiliary switches shall be provided.

DRY TYPE TRANSFORMER

The Contractor shall install single-phase and three-phase general purpose individually mounted dry-type transformers of the two-winding type, self-cooled as specified herein, and as shown on the contract plans. The transformers and all components shall be designed, manufactured and tested in accordance with the latest applicable standards of ANSI and NEMA.

Submittals

Submittal package shall consist of six copies. Submittals shall be delivered to the Engineer at least 180 days prior to the start of the installation. The Engineer shall be allowed 90 days for review of the submittals. The following information shall be submitted to the Engineer for review and approval:

A. Dimension drawing and weight.
B. Technical certification sheet.
C. Conduit entry and exit locations.

Qualifications

For the equipment specified herein, the manufacturer shall be ISO 9000, 9001 or 9002 certified. The manufacturer of this equipment shall have produced similar electrical equipment. When requested by the Engineer, an acceptable list of installations with similar equipment shall be provided. The transformers shall be suitable for and certified to meet all applicable seismic requirements of the California Building Code (CBC) for Zone 4 application.

Ratings

The transformer's kVA and voltage ratings shall be as shown on the plans. Transformers shall be designed for continuous operation at rated kVA, for 24 hours a day, 365 days a year operation, with normal life expectancy as defined in ANSI C57.96. Transformer sound levels shall not exceed the following ANSI and NEMA levels for self-cooled ratings:

A. Up to 9 kVA less than 40 dB.
B. 10 to 50 kVA less than 45 dB.

Construction

Transformers shall be insulated as follows:

A. Rated 2 kVA and below: 150°C insulation system based upon 80°C rise.
B. Rated 3 kVA to 15 kVA: 185°C insulation system based upon 115°C rise.
C. Rated 15 kVA and above: 220°C insulation system based upon 150°C rise.

All insulation materials shall be flame-retardant and shall not support combustion in conformance with the requirements in ASTM Designation D 635. Transformer core shall be constructed with high-grade, non-aging, grain-oriented silicon steel with high magnetic permeability, and low hysteresis and eddy current losses. Maximum magnetic flux densities shall be substantially below the saturation point. The transformer core volume shall allow efficient transformer operation at 10 percent above the nominal tap voltage. The core laminations shall be tightly clamped and compressed. Coils shall be wound of electrical grade aluminum with continuous wound construction. On units rated 15 kVA and below the core and coil assembly shall be completely encapsulated in a proportioned mixture of resin and aggregate to provide a moisture-proof, shock-resistant seal. The core and coil encapsulation system shall minimize the sound level. The transformer shall include two 5 percent taps below nominal voltage.

Enclosure

The enclosure shall be made of heavy-gage steel. All transformers shall be equipped with a wiring compartment suitable for conduit entry and large enough to allow convenient wiring. The maximum temperature of the enclosure shall not exceed 90°C. The core of the transformer shall be grounded to the enclosure.

On encapsulated units rated 15 kVA and below the enclosure construction shall be totally enclosed, non-ventilated, NEMA 4X, with lifting eyes. Enclosures shall be finished with ANSI 61 color weather-resistant enamel.

SAFETY SWITCH

Submittal package shall consist of six copies. Submittals shall be delivered to the Engineer at least 180 days prior to the start of the installation. The Engineer shall be allowed 90 days for review of the submittals. The following information shall be submitted to the Engineer for review and approval:

A. Dimension drawing and weight.
B. Technical certification sheet.
C. Conduit entry and exit locations.

The safety switch shall be a heavy-duty low-voltage safety switch with the following requirements:

A. Rated for 600 V(ac).
B. Terminals be copper-aluminum.
C. The enclosure shall be NEMA Type 4X dust-tight and oil-tight enclosure.
D. A metal nameplate shall be mounted on the front cover that contains switch information (type, catalog number, electrical ratings V, A, and horsepower).
E. Handle whose position is easily recognizable and padlockable in the "Off" position.
F. Visible blades.
G. Reinforced fuse clips.
H. Nonteasible, positive, quick-make quick-break mechanisms.
I. Switch assembly plus operating handle as an integral part of the enclosure.
J. Switch shall be UL listed, horsepower rated, meet Federal specification WS-885c, and NEMA specifications KSI-1990.
K. Switch shall have defeatable door interlocks that prevent the door from opening when the operating handle is in the "ON" position.
L. Switch shall have line terminal shields.

10-3.18 STRONG MOTION DETECTION SYSTEM

The Contractor shall install the strong motion detection system in accordance with the details shown on the plans, and the provisions in "Concrete Structures," "Steel Structures," "Miscellaneous Metal" and "Clean and Paint Structural Steel" elsewhere in these special provisions.

GENERAL

Attention is directed to "Cooperation" and "Order of Work" of these special provisions.

Upon completion of installation at each seismic sensor enclosures and seismic recorder locations on the bridge the California Geological Survey (CGS) will install, test, and adjust the sensors and recorders of the seismic monitoring system.

System layouts shown on the plans are generally diagrammatic and location of equipment is approximate. Exact routing of conduits and other facilities and location of equipment is to be governed by structural conditions and other obstructions, and shall be coordinated with the work of other trades. Equipment requiring maintenance and inspection shall be located where it is readily accessible for the performance of such maintenance and inspection.

ORDER OF WORK

At each seismic sensor and recorder location, the sequence of work shall be as follows:

A. Mount the seismic sensor enclosures, seismic sensor cable junction boxes and the complete conduit system.
B. Ensure that electrical power and ISDN telephone service is present at the recorder location.
C. Notify the Engineer 20 working days in advance when California Geological Survey (CGS) is to install and test their equipment as specified elsewhere in these special provisions.
D. Construct the free field.

COORDINATION WITH CALIFORNIA GEOLOGICAL SURVEY

A. **Access and Contractor Assistance**
The Contractor shall provide CGS personnel means and equipment to safely access and perform work at all recorder, sensor and antenna locations after all Contractor-installed equipment, conduit and cabling is completed. The Contractor's assistance shall include transportation of equipment on the job site, traffic control, and movement of stored materials or parked vehicles where necessary.

B. **California Geological Survey Requirements**
At recorder location, CGS will require the following:

1. Convenient access to the recorder housing prior to their installation for the purpose of preparing to mount the recorders into the housing.
2. Approximately three days access at recorder location to install and wire the recorder.
3. Access to recorder location during the installation and testing of the sensors wired to that recorder.
4. Approximately three days access at recorder location during the final system testing and any necessary troubleshooting and repairs.

At normal sensor locations: CGS will require approximately 30 minutes work time, excluding transit time, at each sensor location on a minimum of two occasions (installation and operational testing) to accomplish their work.

MATERIAL

Electrical Boxes

1. Seismic Sensor Enclosure and Cast Iron Box, Submersible (Enclosure Type No. 1): The enclosure and cover shall be cast iron, with the word "SEISMIC" cast into the cover. The enclosure shall withstand submersion in water to two-meter in depth for extended periods (6 hours) without any leakage. Cast mounting lugs shall be attached on two opposing sides, with a minimum of two lugs on each side. The enclosure shall have one each bossed, drilled and tapped (NPT) hole to accept a conduit connector centered between mounting lugs on one side only. The enclosure shall have one each mounting button drilled and tapped for 6.35-mm x 6.35 mm x 508 mm located in the center (±3.175 mm) of the inside bottom of the box. The enclosure finish shall be hot-dip galvanized.

   The enclosure shall be equivalent to one of the following:

   a. O-Z Gedney P/N YF-121206-SUB, with raised cast lettering "SEISMIC" in cover. One each mounting button P/N 1MBT installed in the center inside the bottom of the box. Mounting lugs P/N 4ML1816 attached. One each P/N BDT100 installed centered between mounting lugs on one side only.
   b. Crouse Hinds P/N WCB121208-1-000G with mounting straps and raised cast lettering "SEISMIC" in cover. One each mounting pad, blind tapped for 6.35 mm x 508 mm installed in the center inside box. One each drilled and tapped (NPT) hole centered between mounting straps on one side only.

2. Seismic Sensor Enclosure Stainless Steel Box with Hinged Cover (Enclosure Types 2-4): Enclosure to be NEMA Type 4X. Box to have padlock hasp installed of same material as enclosure. The backside of the enclosure must be a flush and smooth surface. Ground stud (6.35 mm x 508 mm) to be installed on inside lower right corner of door when viewed with door open and hinge on left side of box.

   The enclosure shall be equivalent to the following: Circle AW P/N 14126-4XSCHC or Hoffman P/N A-1412CHNFSS6, without upper and lower mounting flanges, with No. 10-32 ground stud installed on inside lower right corner of the door (when viewed with door open and hinge on left side of box) and with padlock hasp similar to P/N A-PLKJIC in 316L stainless steel installed.

   The watertight connectors shall be equivalent to Crouse Hinds P/N CGB397.

3. State-Furnished Materials: Attention is directed to Section 8-1.01, "State Furnished Materials", of these special provisions. The following materials will be furnished to the Contractor:

   a. FBA pigtails
   b. Seismic sensor mounting plates

   The Contractor shall notify the Engineer in writing not less than 20 working days in advance when the Contractor wants the DMG to deliver the State-furnished material to the Contractor.

State-furnished and installed material – The following materials shall be furnished and installed by State forces:

a. Seismic sensors on bridge and at free field
b. Seismic recorders

4. Sensor Cable: Sensore cable shall be eight tinned copper insulated conductors (4 twisted shielded pairs with individual drain wires), AWG No. 22 (7 x 30 stranded) with insulation 0.178 mm thick. Cable shall have an overall aluminum-polyester shield, AWG No. 22 stranded tinned copper drain wires, an outer jacket 0.229 mm thick and an overall nominal outside diameter of 7.62 mm or less. Cable shall be instrument cable, NEC rated CL2P plenum cable rated for 150°C. Cable shall have a color code as specified below:

   a. Color Code: 1st pair – red, black;
      2nd pair – white, brown;
      3rd pair – blue, violet;
      4th pair – yellow, orange.

   b. Sensor cable shall be United Wire and Cable Co., Inc.; Consolidated Wire and Cable; or equal.
   c. Spare cables shall be the same as sensor cables.

5. Interconnecting cable: Interconnecting cable shall be eight tinned copper insulated conductors (4 twisted pairs), AWG No. 22 (7 x 32 stranded) with insulation 0.178 mm thick and an overall aluminum-polyester shield. Cable shall have an overall tinned copper braid shield (90 percent coverage), an AWG No. 24 stranded tinned copper drain wire, an outer jacket 0.229 mm thick and an overall nominal outside diameter of 8.89 mm or less. Cable shall be EIA RS-485 Applications cable, NEC rated CL2P plenum cable rated for 150°C. Cable shall have a color code as specified below:

   1st pair – White/Blue Stripe, Blue/White Stripe;
   2nd pair – White/Orange Stripe, Orange/White Stripe;
   3rd pair – White/Green Stripe, Green/White Stripe;
   4th pair – White/Brown Stripe, Brown/White Stripe.

   Interconnecting cable shall be United Wire and Cable Co., Inc.; Consolidated Wire and Cable; or equal.

6. Seismic Sensor Cable Junction Box: Seismic sensor cable junction boxes shall be NEMA Type 4, 14-gage steel enclosure with lift-off cover of the size as shown on the plans. Finish shall be hot-dip galvanized. A nameplate with the inscription "Seismic Junction Box" shall be installed on the cover of each junction box.

   No unused openings shall be left in any box. Two-piece knockout seals shall be installed as required to close openings.

   Junction boxes shall be installed at the locations and elevations shown on the plans or specified herein. Adjustments to locations may be made as required by structural conditions and to suit coordination requirements of other trades.

TELEPHONE SERVICE

The permits, licenses and all charges required for the connection of the Integrated System Digital Network (ISDN) telephone service are included on the Skyway contract.

TESTING

After all the seismic sensors and recorders have been installed and connected by the CGS, the seismic monitoring system shall be tested by the CGS to insure that the system functions properly. The Contractor shall make necessary repairs and replacements at the Contractor's expense if the resource of the problem is determined to be part of the Contractor's scope of work.

SUBMITTALS

A list of materials and equipment to be installed, manufacturer's descriptive data, and such other data as may be requested by the Engineer shall be submitted for approval. The Engineer will require 3 weeks to review the submittal after a complete set has been received, as determined by the Engineer.

Manufacturer's descriptive data shall be submitted for the following:

A. Conduit – list by size and installation method
B. Pull boxes – each type
C. Seismic sensor enclosures – each type
D. Seismic sensor cable junction boxes – each type
E. Seismic recorder cabinet

Attention is directed to the provisions in Section 5-1.01, "Authority of the Engineer," of the Standard Specifications. The Engineer may request submittals for materials or products where submittals have not been specified in these special provisions, or may request that additional information be included in the specified submittals, as necessary to determine the quality or acceptability of such materials or products.

The submittals shall be delivered to the Office of Structure Design, Documents Unit, Fourth Floor, 1801 – 30th Street, Sacramento, California 94274-0001.

Each submission of drawings, materials lists, and descriptive data shall consist of at least five copies. Two copies will be returned to the Contractor either approved for use or returned for correction and re-submittal.

Each separate item submitted shall bear a descriptive title, the name of the project, district, county, and contract number. Plans and detailed drawings shall not be larger than 559 mm x 915 mm.

The material list shall be complete as to name of manufacturer, catalog number, size, capacity, finish, all pertinent ratings, and identification symbols used on the plans and in the special provisions for each unit.

Unapproved samples and samples not incorporated in the work shall be removed from State Right of Way, when directed by the Engineer.

10-3.19 CLOSEOUT SUBMITTALS

Project record drawings shall be submitted in accordance with the requirements listed below.

One set of the project plans shall be kept on file by the Contractor for the sole purpose of recording as-built information and shall be so marked. Data to be recorded shall include, but not be limited to, all clarification and change orders, location of underground utilities, and changes in size, manufacture or location of features shown on the plans. In addition, the locations of significant items such as main filters, controls, isolating valves and similar items shall be highlighted on this set of as-built plans.

All corrections shall be made in red ink or red pencil. Superseded material shall be neatly lined out. Original figures shall not be eradicated nor written over. Each sheet shall be clearly marked as having "As-Built Changes" or "No As-Built Changes" as appropriate. The Contractor shall sign and date each sheet of the plans certifying that all information shown is correct.

Additional plans shall be submitted when as-built information cannot be clearly shown on existing plans. Supplemental plans for as-built information shall be not less than 279 mm x 432 mm in size and shall have the contract number on each plan.

Final location of all underground work shall be recorded by depth from finished grade and by offset distance from permanent surface structures, for example: buildings, curbs and walks. Equipment within the building and all concealed conduits shall be recorded by offset distances from building walls.

The Contractor shall periodically review the set of record drawings with the Engineer during the progress of the work to assure that all changes and other required information are being recorded.

Before completion of the work, the Contractor shall request a review of the as-built plans to determine completeness and adequacy. If the as-built plans are unacceptable, the Contractor shall inspect, measure and survey the project and record the required additional information.

The as-built plans shall be delivered to the Engineer prior to acceptance of the contract.

10-3.20 INSTALL LIGHT POLE AND FIXTURE

This work shall consist of inspection, delivery, assembly and installation of lighting pole and fixture in conformance with details shown on the plans and the requirements of these special provisions.

Attention is directed to "State-Furnished Materials," of these special provisions, regarding light poles and fixtures.

Each light pole and fixture consists of the components shown on the plans.

State-furnished light pole and fixture shall be assembled and installed by the Contractor.

WORKING DRAWINGS

The Contractor shall submit working drawings in conformance with the provisions in Section, "Working Drawings," of these special provisions.

Working drawings shall include complete listing of light pole and fixture or components and methods, equipment and procedures for shipping, assembly and installation that the Contractor proposes to use.

Working drawing submittals shall include the following:

A. Light pole and fixture shipping plans including details of handling and supporting of the light pole and fixture.
B. Installation plans including the following:

    1. Method, equipment, sequence and procedures that the Contractor proposes to use for installation of the light pole and fixture.
    2. Measures that will protect the light pole and fixture from damage during shipping and installation.

C. Details of lifting locations and mechanisms shall be supplied by the Contractor as a supplement to the working drawings with light pole and fixture inspection report as specified in these special provisions. The light pole and fixture inspection report shall include the condition of light pole and fixture and any existing damages that may have occurred prior to the Contractor's delivery. If no damage is found, the Contractor shall include a statement in the light pole and fixture inspection report to state that the light poles and fixtures are in good condition and acceptable for delivery and installation.

After complete working drawings and supplement are received by the Engineer, the Contractor shall allow the Engineer 20 working days to review the submittal. No delivery or installation of the roadway lighting assembly shall begin until the Engineer has reviewed and approved the working drawing and supplement submittal.

INSTALLATION

Prior to transporting the light pole and fixture to the bridge site, the Contractor shall inspect the light pole and fixture at the light pole and fixture storage location as specified elsewhere in these special provisions. The Contractor shall furnish the light pole and fixture inspection report as specified in the working drawing and supplement.

Each light pole and fixture shall be handled and transported in a manner that assures protection during transportation, on-loading, and off-loading.

Damaged light pole and fixture during loading, shipping, and installation shall be replaced by the Contractor at the Contractor's expense as specified in Section 6-1.02, "State-Furnished Materials," of the Standard Specification. No extension of time or compensation will be made for replacement light pole and fixture.

The light pole and fixture shall be installed according to manufacturer's recommendations.

10-3.21 LIGHT POLE FOUNDATION

Light pole foundation shall consist of constructing light pole foundations for light poles and fixtures as shown on the plans and as specified in these special provisions.

Concrete and reinforcement for light pole foundations shall conform to "Concrete Structures" and "Reinforcement," of these special provisions.

Anchor bolts not designated on the plans as high strength (HS) or stainless steel shall conform to the requirements in ASTM Designation: F 1554, Grade 36. High strength anchor bolts shall conform to the requirements in ASTM Designation: F 1554, Grade 105.

Anchor bolts shall be placed in proper position and to proper height and alignment, and shall be held in place by means of a template until the concrete sets. Deviation from the true position shown on the plans, vertical and height, shall not exceed 1 mm.

One double heavy hex nut, one leveling nut, and 2 washers shall be provided for the upper threaded portion of each anchor bolt. The Contractor shall provide temporary protection, as determined by the Engineer, of exposed bolt threads for each bolt.

All ferrous metal parts shall be galvanized and shall not be painted.

Full compensation for furnishing and placing concrete, reinforcement, anchor bolts, leveling nuts, double heavy hex nuts, washers and temporary removable timber template, including temporary protection of anchor bolt threads, shall be considered as included in the contract price paid per cubic meter for structural concrete (bridge) and no separate payment will be made therefor.

10-3.22 LIGHTING (LOCATION 1)

Lighting (Location 1) work to be done includes installation of street lighting poles along Macalla Road, and shall include, but not limited to the foundation, base plate, anchor bolts, pole, and luminaries, all as shown on the plans and in conformance with these special provisions and City and County of San Francisco requirements.

All portions of the Location 1 lighting assembly shall have a minimum design wind velocity rating of 130 km/h.

The Contractor shall provide continuous operation of the existing systems until new equipment is operational.

10-3.23 FOUNDATIONS

Reinforced cast-in-drilled-hole concrete pile foundations for lighting standards shall conform to the provisions in "Piling" of these special provisions.

10-3.24 STANDARDS, STEEL PEDESTALS, AND POSTS

Standards, steel pedestals, and posts for traffic signal and lighting standards shall conform to the provisions in Section 86-2.04, "Standards, Steel Pedestals and Posts," of the Standard Specifications, "Steel Structures" of these special provisions, the City and County of San Francisco standards and the following requirements.

Steel bolts not designated on the plans as high-strength (HS) or stainless steel shall be for general applications and shall conform to the requirements in ASTM Designation: A 307.

Where the plans refer to the side tenon detail at the end of the signal mast arm, the applicable tip tenon detail may be substituted.

The sign mounting hardware shall be installed at the locations shown on the plans.

Handhole reinforcement rings for standards, steel pedestals, and posts shall be continuous around the handholes.

Type 1 standards shall be assembled and set with the handhole on the downstream side of the pole in relation to traffic or as shown on the plans.

All ferrous metal parts of tubular sign structures shall be galvanized and shall not be painted.

10-3.25 SERVICE

Circuit breakers shall be the cable-in/cable-out type, mounted on non-energized clips. All circuit breakers shall be mounted vertically with the up position of the handle being the "ON" position.

10-3.26 LUMINAIRES

Ballasts shall be electronic (where available) autotransformer or high reactance type when electronic ballasts are not available.

10-3.27 PHOTOELECTRIC CONTROLS

Photoelectric units for illuminated signs shall have a "turn-on" level of between 215 lux and 323 lux (corresponds to a switching level of approximately 430 lux to 646 lux measured in the horizontal plane). "Turn-off" level shall not exceed 3 times the "turn-on" level.

10-3.28 PAYMENT

The contract lump sum prices paid for the following items shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in the listed items below, complete in place, including manuals, preparation and delivery of any and all proposals, plans, submittals, or other documents to the Engineer, warranty work or modifications, software or software changes, testing and training, as shown on the plans, as specified in the Standard Specifications and these special provisions, and as directed by the Engineer.

A. Underground (Electrical Duct Bank).
B. YBI Transition Structures Roadway Westbound.
C. YBI Transition Structures Girder Westbound.
D. YBI Transition Structures Roadway Eastbound.
E. YBI Transition Structures Girder Eastbound.
F. SCADA Remote Terminal Unit System.
G. Lighting (Location 1).
H. Install Strong Motion Detection System.
I. Install Call Box System.
J. Install Light Pole and Fixture

The contract unit price paid for each of the following items shall include full compensation for furnishing all materials, tools, equipment, and incidentals required for the traffic operations system, as shown on the plans, as specified in these special provisions, and as directed by the Engineer:

A. Camera Unit With Housing.
B. Pan and Tilt Unit.
C. Camera Control Unit.
D. Video Transmitter Duplex Data.
E. Preformed Loop Detector Station (10 Loops per Station).
F. Fiber Optic Data Modems.
G. Fiber Optic Splice Closure.

The contract price paid in meters for each of the following items shall include full compensation for furnishing the materials as shown on the plans, as specified in these special provisions, and as directed by the Engineer:

A. Fiber Optic Cable (72-Fiber Indoor/Outdoor).
B. Fiber Optic Cable (12-Fiber Indoor/Outdoor.

If any of the fabrication sites for the materials listed are located more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impractical and difficult to determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing these listed materials from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $2000:

1. Changeable message signs

AMENDMENTS TO THE STANDARD SPECIFICATIONS

DATED JULY 1999

(Issued 07-18-08)

SECTION 0 GLOBAL REVISIONS
(Issued 07-01-08)

Global revisions are changes to contract documents not specific to a section of the Standard Specifications.

In each contract document at each occurrence:

1. Except where existing asphalt concrete is described, replace "asphalt concrete" with "hot mix asphalt"
2. Except where existing AC is described, replace "AC" with "HMA" where AC means asphalt concrete
3. Replace "Engineer's Estimate" with "verified Bid Item List"
4. Replace "Notice to Contractors" with "Notice to Bidders"
5. Except in "Contract Project Information Signs," replace "Project Information" with "Supplemental Project Information"

Where Standard Specifications refer to the special provisions to describe the work, interpret the reference to the Bid Item List, the special provisions, or both.

Interpret a reference to the Standard Specifications as a reference to the Standard Specifications as amended. in these Amendments to the Standard Specifications.

^^

SECTION 1 DEFINITIONS AND TERMS
(Issued 07-01-08)

Replace Section 1 with:

SECTION 1 GENERAL
1-1 GENERAL
1-1.01 GENERAL

Section 1 includes general rules of interpretation.

The Department is gradually standardizing the style and language of the specifications. The new style and language includes:

1. Use of:

    1.1. Imperative mood
    1.2. Introductory modifiers
    1.3. Conditional clauses

2. Elimination of:

    2.1. Language variations
    2.2. Definitions for industry-standard terms
    2.3. Redundant specifications
    2.4. Needless cross-references

The use of this new style does not change the meaning of a specification not yet using this style.

The specifications are written to the Bidder before award and the Contractor after. Before award, interpret sentences written in the imperative mood as starting with "The Bidder must" and interpret "you" as "the Bidder" and "your" as "the Bidder's." After award, interpret sentences written in the imperative mood as starting with "The Contractor must" and interpret "you" as "the Contractor" and "your" as "the Contractor's."

Unless an object or activity is specified to be less than the total, the quantity or amount is all of the object or activity.

All items in a list apply unless the items are specified as choices.

Headings are included for the purposes of organization and referencing. Inclusion of a heading with no related content, "Reserved," or "Not Used" does not indicate that no specification exists for that subject; applicable specifications may be covered in a general or referenced specification.

1-2 REFERENCES

1-2.01 REFERENCES

A reference within parentheses to a law or regulation is included in the contract for convenience only and is not a comprehensive listing of related laws and regulations. Lack of a reference does not indicate no related laws or regulations exist.

If the version of a referenced document is not specified, use the current version in effect on the date of Notice to Bidders.

A reference to a subsection includes the section's general specifications of which the subsection is a part.

A code not specified as a Federal code is a California code.

1-3 ABBREVIATIONS AND MEASUREMENT UNITS

1-3.01 ABBREVIATIONS

Abbreviations

Abbreviation	Meaning
AAN	American Association of Nurserymen
AASHTO	American Association of State Highway and Transportation Officials
AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
ANSI	American National Standards Institute
APHA	American Public Health Association
API	American Petroleum Institute
AREMA	American Railway Engineering and Maintenance-of-Way Association
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
AWG	American Wire Gage
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
CIH	Certified Industrial Hygienist
DBE	Disadvantaged Business Enterprise
DVBE	Disabled Veteran Business Enterprise
EIA	Electronic Industries Alliance
ETL	Electrical Testing Laboratories
FHWA	Federal Highway Administration
IEEE	Institute of Electrical and Electronics Engineers
NETA	National Electrical Testing Association, Inc.
NEMA	National Electrical Manufacturers Association
PLAC	permit, license, agreement, certification, or any combination of these
SSPC	The Society for Protective Coatings
UL	Underwriters' Laboratories Inc.

1-3.02 MEASUREMENT UNITS

Some of the symbols for units of measurement used in the specifications and in the Bid Item List are defined as follows. The symbols for other units of measurement used in the specifications are as defined in ASTM E 380 or in the various specifications and test referenced in the specifications.

Measurement Units

Symbols as used in the specifications	Symbols as used in the Bid Item List	Meaning
A	—	amperes
—	EA	each
g	G	gram
kg	KG	kilogram
ha	HA	hectare (10 000 m^2)
h	H	hour
J	—	joule
—	LNKM	lane kilometer
L	L	liter
—	LS	lump sum
m	M	meter
km	KM	kilometer
mm	MM	millimeter
µm	—	micrometer
nm	—	nanometer
m^2	M2	square meter
m^3	M3	cubic meter
N	—	newton
N·m	—	newton meter
Ω	—	ohm
Pa	—	pascal
kPa	—	kilopascal
MPa	—	megapascal
s	—	second
—	STA	station (100 m)
—	TAB	tablet
tonne	TONN	metric ton (1000 kg)
W	—	watt

1-4 DEFINITIONS

1-4.01 GENERAL

Interpret terms as defined in the contract documents. A construction-industry term not defined in the contract documents has the meaning defined in Means Illustrated Construction Dictionary, Condensed Version, Second Edition.

1-4.02 GLOSSARY

acceptance: Formal written acceptance by the Director of an entire contract that has been completed in all respects in accordance with the plans and specifications and any modifications to them previously approved.

base: Layer of specified material of planned thickness placed immediately below the pavement or surfacing.

basement material: Material in excavation or embankments underlying the lowest layer of subbase, base, pavement, surfacing, or other specified layer to be placed.

bid item: Specific work unit for which the bidder provides a price.

Bid Item List: List of bid items and the associated quantities.

Bid Item List, verified: Bid Item List with verified prices. The Contract Proposal of Low Bidder at the Department's Web site is the verified Bid Item List.

bridge: Structure, with a bridge number, that carries a utility facility, or railroad, highway, pedestrian or other traffic, over a water course or over or under or around any obstruction.

building-construction contract: Contract that has "building construction" on the cover of the Notice to Bidders and Special Provisions.

business day: Day on the calendar except Saturday or holiday.

California Manual on Uniform Traffic Control Devices: The California Manual on Uniform Traffic Control Devices for Streets and Highways (California MUTCD) is issued by the Department of Transportation and is the Federal Highway Administration's MUTCD 2003 Edition, as amended for use in California.

Certified Industrial Hygienist: Industrial hygienist certified in comprehensive practice by the American Board of Industrial Hygiene.

conduit: Pipe or tube in which smaller pipes, tubes, or electrical conductors are inserted or are to be inserted.

contract: Written and executed contract between the Department and the Contractor.

contract bonds: Security for the payment of workers and suppliers furnishing materials, labor, and services and for guaranteeing the Contractor's work performance.

contract item: Bid item.

Contractor: Person or business or its legal representative entering into a contract with the Department for performance of the work.

culvert: Structure, other than a bridge, that provides an opening under a roadway for drainage or other purposes.

day: 24 consecutive hours running from midnight to midnight; calendar day.

deduction: Amount of money permanently taken from progress payment and final payment. Deductions are not retentions under Pub Cont Code § 7107.

Department: Department of Transportation as defined in St & Hwy Code § 20 and authorized in St & Hwy Code § 90; its authorized representatives.

detour: Temporary route for traffic around a closed road part. A passageway through a job site is not a detour.

Director: Department's Director.

Disabled Veteran Business Enterprise: Business certified as a DVBE by the Office of Small Business and DVBE Services, Department of General Services.

divided highway: Highway with separated traveled ways for traffic, generally in opposite directions.

Engineer: Department's Chief Engineer acting either directly or through properly authorized agents; the agents acting within the scope of the particular duties delegated to them.

Federal-aid contract: Contract that has a Federal-aid project number on the cover of the Notice to Bidders and Special Provisions.

fixed costs: Labor, material, or equipment cost directly incurred by the Contractor as a result of performing or supplying a particular bid item that remains constant regardless of the item's quantity.

frontage road: Local street or road auxiliary to and located generally on the side of an arterial highway for service to abutting property and adjacent areas and for control of access.

grading plane: Basement material surface on which the lowest layer of subbase, base, pavement, surfacing, or other specified layer is placed.

highway: Whole right of way or area that is reserved for and secured for use in constructing the roadway and its appurtenances.

holiday:

1. Every Sunday
2. January 1st, New Year's Day
3. 3rd Monday in January, Birthday of Martin Luther King, Jr.
4. February 12th, Lincoln's Birthday
5. 3rd Monday in February, Washington's Birthday
6. March 31st, Cesar Chavez Day
7. Last Monday in May, Memorial Day
8. July 4th, Independence Day
9. 1st Monday in September, Labor Day
10. 2nd Monday in October, Columbus Day
11. November 11th, Veterans Day
12. 4th Thursday in November, Thanksgiving Day
13. Day after Thanksgiving Day
14. December 25th, Christmas Day

If January 1st, February 12th, March 31st, July 4th, November 11th, or December 25th falls on a Sunday, the Monday following is a holiday. If November 11th falls on a Saturday, the preceding Friday is a holiday. Interpret "legal holiday" as "holiday."

informal-bid contract: Contract that has "Informal Bid Authorized by Pub Cont Code §10122" on the cover of the Notice to Bidders and Special Provisions.

Information Handout: Supplemental project information furnished to bidders as a handout.

laboratory: Laboratory authorized by the Department to test materials.

liquidated damages: Amount prescribed in the specifications, pursuant to the authority of Pub Cont Code § 10226, to be paid to the State or to be deducted for each day's delay in completing the whole or any specified portion of the work beyond the time allowed in the specifications.

median: Portion of a divided highway separating the traveled ways for traffic in opposite directions including inside shoulders.

Notice to Bidders: Document that provides a general work description, bidder and bid specifications, and the time and location the Department receives bids.

pavement: Uppermost layer of material placed on the traveled way or shoulders. This term is used interchangeably with surfacing.

plans: Official project plans and Standard Plans, profiles, typical cross sections, working drawings and supplemental drawings, or reproductions thereof, approved by the Engineer, which show the location, character, dimensions and details of the work to be performed. These documents are to be considered as a part of the plans.

In the above definition, the following terms are defined as follows:

Standard Plans: Standard Plans issued by the Department.

project plans: Specific details and dimensions peculiar to the work supplemented by the Standard Plans insofar as the same may apply.

roadbed: Area between the intersection of the upper surface of the roadway and the side slopes or curb lines. The roadbed rises in elevation as each increment or layer of subbase, base, surfacing or pavement is placed. Where the medians are so wide as to include areas of undisturbed land, a divided highway is considered as including 2 separate roadbeds.

roadway: Highway portion included between the outside lines of sidewalks, or curbs, slopes, ditches, channels, waterways, and including all the appertaining structures, and other features necessary to proper drainage and protection.

shoulder: Roadway portion contiguous with the traveled way for accommodation of stopped vehicles, for emergency use, and for lateral support of base and surface courses.

special provisions: Specific clauses setting forth conditions or requirements peculiar to the work and supplementary to these Standard Specifications. The Department's publication titled "Labor Surcharge And Equipment Rental Rates" is part of the special provisions.

specifications: Directions, provisions, and requirements contained in these Standard Specifications, Amendments to the Standard Specifications, and the special provisions. Where the term "these specifications" or "these Standard Specifications" is used in this book, it means the provisions set forth in this book.

State: State of California, including its agencies, departments, or divisions, whose conduct or action is related to the work.

Structure Design: Offices of Structure Design of the Department.

subbase: Layer of specified material of planned thickness between a base and the basement material.

subgrade: Roadbed portion on which pavement, surfacing, base, subbase, or a layer of any other material is placed.

substructure: Bridge portions below the bridge seats, tops of piers, haunches of rigid frames, or below the spring lines of arches. Backwalls and parapets of abutments and wingwalls of bridges are portions of the substructure.

superstructure: Bridge portion except the bridge substructure.

supplemental project information: Information relevant to the project, specified as supplemental project information, and made available to bidders.

surfacing: Uppermost layer of material placed on the traveled way, or shoulders. This term is used interchangeably with pavement.

traffic lane: Portion of a traveled way for the movement of a single line of vehicles.

traveled way: Portion of the roadway for the movement of vehicles, exclusive of shoulders.

total bid: Sum of the item totals as verified by the Department; original contract price.

withhold: Money temporarily or permanently taken from progress payment. Withholds are not retentions under Pub Cont Code § 7107.

work: All the work specified, indicated, shown or contemplated in the contract to construct the improvement, including all alterations, amendments, or extensions to it made by contract change order or other written orders of the Engineer.

1-5 DISTRICTS

District Composition and Office Addresses

District	Counties	Location Address	Mailing Address
1	Del Norte (DN), Humboldt (Hum), Lake (Lak), Mendocino (Men)	1656 UNION ST EUREKA, CA	PO BOX 3700 EUREKA CA 95502
2	Lassen (Las), Modoc (Mod), Plumas (Plu), Shasta (Sha), Siskiyou (Sis), Tehama (Teh), Trinity (Tri)	1657 RIVERSIDE DR REDDING, CA	PO BOX 496073 REDDING CA 96049-6073
3	Butte (But), Colusa (Col), El Dorado (ED), Glenn (Gle), Nevada (Nev), Placer (Pla), Sacramento (Sac), Sierra (Sie), Sutter (Sut), Yolo (Yol), Yuba (Yub)	703 B ST MARYSVILLE, CA	PO BOX 911 MARYSVILLE CA 95901
4	Alameda (Ala), Contra Costa (CC), Marin (Mrn), Napa (Nap), San Francisco (SF), San Mateo (SM), Santa Clara (SCl), Solano (Sol), Sonoma (Son)	111 GRAND AVE OAKLAND, CA	PO BOX 23660 OAKLAND CA 94623-0660
5	Monterey (Mon), San Benito (SBt), San Luis Obispo (SLO), Santa Barbara (SB), Santa Cruz (SCr)	50 HIGUERA ST SAN LUIS OBISPO, CA	50 HIGUERA ST SAN LUIS OBISPO CA 93401-5415
6	Fresno (Fre), Kern (Ker), Kings (Kin), Madera (Mad), Tulare (Tul)	1352 W. OLIVE AVE FRESNO, CA	PO BOX 12616 FRESNO CA 93728-2616
7	Los Angeles (LA), Ventura (Ven)	100 S. MAIN ST LOS ANGELES	100 S MAIN ST LOS ANGELES CA 90012
8	Riverside (Riv), San Bernardino (SBd)	464 W 4TH ST SAN BERNARDINO, CA	464 W 4TH ST SAN BERNARDINO CA 92401-1400
9	Inyo (Iny), Mono (Mno)	500 S MAIN ST BISHOP, CA	500 S MAIN ST BISHOP CA 93514-3423
10	Alpine (Alp), Amador (Ama), Calaveras (Cal), Mariposa (Mpa), Merced (Mer), San Joaquin (SJ), Stanislaus (Sta), Tuolumne (Tuo)	1976 E CHARTER WAY STOCKTON, CA	PO BOX 2048 STOCKTON CA 95201
11	Imperial (Imp), San Diego (SD)	4050 TAYLOR ST SAN DIEGO, CA	4050 TAYLOR ST SAN DIEGO CA 92110-2737
12	Orange (Ora)	3347 MICHELSON DR STE 100 IRVINE, CA	3347 MICHELSON DR STE 100 IRVINE CA 92612-0661

A project with work in District 1, 2, or 3 is a North Region project. For Districts 1, 2, and 3, interpret each reference to the district office as the North Region office. The North Region office address is the District 3 address.

1-6 WEB SITES, ADDRESSES, AND TELEPHONE NUMBERS

Web Sites, Addresses, and Telephone Numbers

Agency, Department Unit, or Reference	Web Site	Address	Telephone No.
Bid Document Unit		MSC 26 BID DOCUMENT UNIT DEPARTMENT OF TRANSPORTATION 1120 N ST RM 200 SACRAMENTO CA 95814-5605	
Department	www.dot.ca.gov		
Department of General Services, Office of Small Business and DVBE Services	www.pd.dgs.ca.gov/smbus/default.htm	OFFICE OF SMALL BUSINESS AND DVBE SERVICES DEPARTMENT OF GENERAL SERVICES 707 3RD ST WEST SACRAMENTO CA 95605-2811	(800) 559-5529 (916) 375-4940
Department of Industrial Relations	www.dir.ca.gov		
Department of Industrial Relations, Division of Apprenticeship Standards		455 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102	
Office Engineer		MSC 43 OFFICE ENGINEER DEPARTMENT OF TRANSPORTATION 1727 30TH ST SACRAMENTO CA 95816-7005	
Office Engineer–Verified Bid Results	http://www.dot.ca.gov/hq/esc/oe/awards/bidsum_html/6week_list.html.		
Offices of Structure Design, Documents Unit		MSC 9-4/4I DOCUMENTS UNIT OFFICES OF STRUCTURE DESIGN DEPARTMENT OF TRANSPORTATION 1801 30TH ST SACRAMENTO CA 95816-7006	(916) 227-8252
Publication Distribution Unit		PUBLICATION UNIT DEPARTMENT OF TRANSPORTATION 1900 ROYAL OAKS DRIVE SACRAMENTO CA 95815-3800	
Transportation Laboratory		MATERIALS AND ENGINEERING TESTING SERVICES AND GEOTECHNICAL SERVICES DEPARTMENT OF TRANSPORTATION 5900 FOLSOM BLVD SACRAMENTO CA 95819-4612	(916) 227-7000
Department's Pre-Qualified Products List	http://www.dot.ca.gov/hq/esc/approved_products_list		

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SECTION 2 PROPOSAL REQUIREMENTS AND CONDITIONS
(Issued 07-18-08)

Replace Section 2 with:

SECTION 2 BIDDING

2-1.01 GENERAL

Section 2, "Bidding," includes specifications related to bid eligibility and the bidding process.

2-1.02 BID INELIGIBILITY

A firm that has provided architectural or engineering services to the Department for this contract before bid submittal for this contract is prohibited from any of the following:

1. Submit a bid
2. Subcontract for a part of the work
3. Supply materials

2-1.03 BID DOCUMENTS

2-1.03A General

Standard Specifications and Standard Plans may be viewed at the Department's Web site and may be purchased at the Publication Distribution Unit.

Special provisions, Amendments to the Standard Specifications, and project plans may be viewed at:

1. Department's Web site
2. District office of the district in which the work is located
3. Districts 4 and 12 offices
4. Bid Document Unit

Bid books, special provisions, Amendments to the Standard Specifications, and project plans may be obtained at the Bid Document Unit.

2-1.03B Supplemental Project Information

If supplemental project information is available, it is specified in the special provisions.

The Information Handout may be viewed and obtained at the Bid Document Unit.

If rock cores are available, you may view them by sending a request to Coreroom@dot.ca.gov.

Make your request at least 7 days before viewing. Include in your request:

1. District-County-Route
2. Bridge number
3. Contract number
4. Viewing date
5. Contact information, including telephone number.

If bridge as-built drawings are available:

1. For a project in District 1 through 6 or 10, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357
2. For a project in District 7, 8, 9, 11, or 12, you may request them from the Office of Structure Maintenance and Investigations, fax (916) 227-8357, and are available at the Office of Structure Maintenance and Investigations, Los Angeles, CA, telephone (213) 897-0877

As-built drawings may not show existing dimensions and conditions. Where new construction dimensions are dependent on existing bridge dimensions, verify the field dimensions and adjust dimensions of the work to fit existing conditions.

2-1.04 SMALL BUSINESS ENTERPRISE GOAL

The Department has established an overall 25 percent small business participation goal. To determine if the goal is achieved, the Department is tracking small business participation on all contracts.

Contractors, subcontractors, suppliers, and service providers who qualify as small business are encouraged to apply for certification as a small business by submitting their application to the Department of General Services, Office of Small Business and DVBE Services.

2-1.05 DISADVANTAGED BUSINESS ENTERPRISES

Section 2-1.05, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Under 49 CFR 26.13(b):

> The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

- In order to ensure the Department achieves its federally mandated statewide overall DBE goal, the Department encourages the participation of DBEs as defined in 49 CFR 26.

2-1.06 DISABLED VETERAN BUSINESS ENTERPRISES

2-1.06A General

Section 2-1.06, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

Take necessary and reasonable steps to ensure that DVBEs have opportunity to participate in the contract.

Comply with Mil & Vet Code § 999 et seq.

2-1.06B No Goal

Section 2-1.06B, "No Goal," applies if no DVBE goal is shown in the Notice to Bidders.

The Department encourages bidders to obtain DVBE participation in order to ensure the Department achieves its State-mandated overall DVBE goal.

2-1.06C Goal

Section 2-1.06C, "Goal," applies if a DVBE goal is shown in the Notice to Bidders.

Make work available to DVBEs and select work parts consistent with available DVBE subcontractors and suppliers.

Meet the goal shown or demonstrate that you made good faith efforts to meet this goal.

If a DVBE joint venture is used, submit the joint venture agreement with the Certified DVBE Subcontractor Summary form.

The Department determines a bidder has made good faith efforts if it submits evidence within the specified time that it:

1. Contacted the Office of Small Business and DVBE Services, Department of General Services
2. Advertised in trade media and media focusing on DVBEs unless time limits the Department imposes do not allow the advertising
3. Submitted invitations to bid to potential DVBE contractors
4. Considered available DVBEs

2-1.07 SMALL BUSINESS AND NON–SMALL BUSINESS SUBCONTRACTOR PREFERENCES (GOVT CODE § 14835 ET SEQ. AND 2 CA CODE OF REGS § 1896 ET SEQ.)

2-1.07A General

Section 2-1.07, "Small Business and Non–Small Business Subcontractor Preferences (Govt Code § 14835 et seq. and 2 CA Code of Regs § 1896 et seq.)," applies to a non-Federal-aid contract.

2-1.07B Small Business Preference

The Department allows a bidder certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. You attached a copy of your Office of Small Business and DVBE Services small business certification to the form

3. The apparent low bidder is not certified as a small business

The bidder's signature on the Request for Small Business Preference or Non–Small Business Preference form certifies that the bidder is certified as a small business at the time and day of bid or has submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The small business preference is a reduction for bid comparison in the total bid submitted by the small business subcontractor by the lesser of:

1. 5 percent of the total bid of the apparent low bidder
2. $50,000

If this reduction results in the small business contractor becoming the low bidder, the contract award is based on the total bid, not the reduced bid.

2-1.07C Non–Small Business Subcontractor Preference

The Department allows a bidder not certified as a small business by the Office of Small Business and DVBE Services, Department of General Services, a preference if:

1. You completed a Request for Small Business Preference or Non–Small Business Preference form
2. The apparent low bidder is not certified as a small business and has not requested preference

The listed subcontractors and suppliers must be certified as a small business at the time and day of bid or must have submitted a complete application to the Department of General Services. The complete application and any required substantiating documentation must be received by the Department of General Services by 5:00 p.m. on bid opening date.

The Department of General Services determines if a bidder was certified on bid opening date. The Department confirms the bidder's status as a small business before applying the small business preference.

The non–small business subcontractor preference is a reduction for bid comparison in the total bid submitted by the non–small business contractor requesting the preference by the lesser of:

1. 5 percent of the total bid of the apparent low bidder
2. $50,000

If this reduction results in the non–small business contractor becoming the low bidder or a tie with a non-small business apparent low bidder not requesting the preference, the contract award is based on the total bid, not the reduced bid.

2-1.08 DVBE INCENTIVE EVALUATION

The Department applies the Small Business and Non–Small Business preference during bid verification and proceeds with the following evaluation for DVBE incentive.

The Department grants a DVBE incentive to bidders who achieve a DVBE participation of 1 percent or greater of the value of their bid (Mil & Vet Code and Code of Regs § 1896.98 et seq).

The DVBE incentive is a reduction, for bid comparison only, in the total bid submitted by the lesser of:

1. Percentage of DVBE achievement, rounded to 2 decimal places, of the verified total bid of the original low bidder
2. 5 percent of the total bid of the apparent low bidder
3. $100,000

The Department applies DVBE incentive and determines if bid ranking changes.

A non-small business bidder cannot displace a small business bidder. However, a small business bidder with higher DVBE achievement can displace another small business bidder.

The Department proceeds with awarding the contract to the new apparent low bidder and posts the new verified bid results at its Office Engineer Web site.

2-1.09 PREFERENCE HIERARCHY

If a small business bidder and a non–small business bidder request preferences and the reductions result in a tied bid, the Department awards the contract to the small business bidder.

If a small business bidder and a non–small business bidder request preference and the reductions result in a tied bid, the Department awards the contract to the small business bidder.

If a DVBE bidder and a non-DVBE bidder request preferences and the reduction results in a tied bid, the Department awards the contract to the DVBE bidder.

2-1.10 CALIFORNIA COMPANIES

Under Pub Cont Code § 6107, the Department gives preference to a "California company," as defined, for bid comparison purposes over a nonresident contractor from any state that gives or requires a preference to be given contractors from that state on its public entity construction contracts.

Complete a California Company Preference form.

The California company reciprocal preference amount is equal to the preference amount applied by the state of the nonresident contractor with the lowest responsive bid unless the California company is eligible for a small business preference or a non–small business subcontractor preference; in which case the preference amount is the greater of the two, but not both.

If the bidder submitting the lowest responsive bid is a California company and with the reciprocal preference, a California company's responsive bid is equal to or less than the original lowest responsive bid, the Department awards the contract to the California company on the basis of its total bid, not the reduced bid used for comparison except as specified in Section 2-1.09, "Preference Hierarchy."

2-1.11 JOB SITE AND DOCUMENT EXAMINATION

Examine the job site and bid documents.

Bid submission is your acknowledgment that you have examined the job site and bid documents and are satisfied with:

1. General and local conditions to be encountered
2. Character, quality, and scope of work to be performed
3. Quantities of materials to be furnished
4. Character, quality, and quantity of surface and subsurface materials or obstacles
5. Requirements of the contract

2-1.12 BID DOCUMENT COMPLETION

2-1.12A General

Complete forms in the Bid book. Submit the forms with your bid except:

1. For the following 2 forms for non-federal-aid non-informal-bid contracts:

   1.1. Certified DVBE Summary form. You may submit this form with your bid. If you do not and you are the apparent low bidder or the second or third low bidder, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 4th business day after bid opening. If you are not the apparent low bidder or the second or third low bidder, you are not required to submit this form unless the Department asks for it.

   1.2. Certified Small Business Subcontractor form. If you are applying for the non–small business subcontractor preference, you may submit this information with your bid. If you do not, submit it so that it is received at the Office Engineer no later than 4:00 p.m. on the 2nd business day after bid opening.

2. On the Subcontractor List you may either submit the percentage of each bid item subcontracted with your bid or fax the percentage to (916) 227-6282 within 24 hours after bid opening.

Except for the percentage of each bid item subcontracted, do not fax submittals.

2-1.12B Bid Item List and Bid Comparison

Submit a bid based on the work item quantities the Department shows in the Bid Item List.

For a lump sum based bid, the Department compares bids based on the total price.

For a unit price based bid, the Department compares bids based on the sum of the item totals.

For a cost plus time based bid, the Department compares bids based on the sum of the item totals and the total bid for time.

2-1.12C Subcontractor List

In the Subcontractor List, list each subcontractor to perform work in an amount in excess of 1/2 of 1 percent of the total bid or $10,000, whichever is greater (Pub Cont Code § 4100 et seq.). The Subcontractor List must show the name, address, and work portions to be performed by each subcontractor listed. Show work portion by bid item number, description, and percentage of each bid item subcontracted.

2-1.13 BIDDER'S SECURITY

Submit your bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

1. Cash
2. Cashier's check
3. Certified check
4. Bidder's bond signed by a surety insurer who is licensed in California

Make checks and bonds payable to the Department of Transportation.

If paying with a bidder's bond, you may use the form in the Bid book. If you do not use the form in the Bid book, use a form containing the same information.

2-1.14 BID SUBMITTAL

Submit your bid:

1. Under sealed cover
2. Marked as a bid
3. Identifying the contract number and the bid opening date

If an agent other than the authorized corporation officer or a partnership member signs the bid, file a Power of Attorney with the Department either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

2-1.15 BID WITHDRAWAL

You or an authorized agent may withdraw a bid before the bid opening date and time by submitting a written bid withdrawal request at the location where the bid was submitted. Withdrawing a bid does not prevent you from submitting a new bid.

After the bid opening time, you cannot withdraw a bid.

2-1.16 BID OPENING

The Department publicly opens and reads bids at the time and place described in the Notice to Bidders. The Department invites bidders or their authorized agents to attend.

2-1.17 BID REJECTION

The Department may reject:

1. All bids
2. A nonresponsive bid

2-1.18 BID RELIEF

The Department may grant bid relief under Pub Cont Code § 5100 et seq. Submit any request for bid relief to the Office Engineer. For Relief of Bid Request form, go to:

http://www.dot.ca.gov/hq/esc/oe/contractor_info/relief.pdf

2-1.19 SUBMITTAL FAILURE HISTORY

The Department considers a bidder's past failure to submit documents required after bid opening in determining a bidder's responsibility.

2-1.20 BID RIGGING

Section 2-1.20, "Bid Rigging," applies to a Federal-aid contract.

The U.S. Department of Transportation (DOT) provides a toll-free hotline to report bid rigging activities. Use the hotline to report bid rigging, bidder collusion, and other fraudulent activities. The hotline number is (800) 424-9071. The service is available Monday through Friday between 11:00 a.m. and 8:00 p.m. and is confidential and anonymous. The hotline is part of the DOT's effort to identify and investigate highway construction contract fraud and abuse and is operated under the direction of the DOT Inspector General.

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SECTION 3 AWARD AND EXECUTION OF CONTRACT
(Issued 07-01-08)

Replace Section 3 with:

SECTION 3 CONTRACT AWARD AND EXECUTION

3-1.01 SCOPE

Section 3, "Contract Award and Execution," includes specifications related to contract award and execution.

3-1.02 CONTRACT AWARD

Submit any bid protest to the Office Engineer.

If the Department awards the contract, the award is made to the lowest responsible bidder within the number of days shown in the following table:

Contract Award Period

Days	Project Estimated Cost shown in the Notice to Bidders
30	< $200 million
60	≥ $200 million

The Department may extend the specified award period if the bidder agrees.

3-1.03 CONTRACT BONDS (PUB CONT CODE §§ 10221 AND 10222)

The successful bidder must furnish 2 bonds:

1. Payment bond to secure the claim payments of laborers, workers, mechanics, or materialmen providing goods, labor, or services under the contract. This bond must be equal to at least 100 percent of the total bid.
2. Performance bond to guarantee the faithful performance of the contract. This bond must be equal to at least 50 percent of the total bid.

The Department furnishes the successful bidder with bond forms.

3-1.04 CONTRACTOR LICENSE

For a Federal-aid contract, the Contractor must be properly licensed as a contractor (Pub Cont Code § 10164) from contract award through contract acceptance.

For a non-Federal-aid contract:

1. The Contractor must be properly licensed as a contractor from bid opening through contract acceptance (Bus & Prof Code § 7028.15)
2. Joint venture bidders must obtain a joint venture license before contract award (Bus & Prof Code § 7029.1)

3-1.05 INSURANCE POLICIES

The successful bidder must submit:

1. Copy of its commercial general liability policy and its excess policy or binder until such time as a policy is available, including the declarations page, applicable endorsements, riders, and other modifications in effect at the time of contract execution. Standard ISO form No. CG 0001 or similar exclusions are allowed if not inconsistent

with Section 7-1.12, "Indemnification and Insurance." Allowance of additional exclusions is at the discretion of the Department.

2. Certificate of insurance showing all other required coverages. Certificates of insurance, as evidence of required insurance for the auto liability and any other required policy, shall set forth deductible amounts applicable to each policy and all exclusions that are added by endorsement to each policy. The evidence of insurance shall provide that no cancellation, lapse, or reduction of coverage will occur without 10 days prior written notice to the Department.
3. A declaration under the penalty of perjury by a CPA certifying the accountant has applied GAAP guidelines confirming the successful bidder has sufficient funds and resources to cover any self-insured retentions if the self-insured retention is $50,000 or higher.

If the successful bidder uses any form of self-insurance for workers compensation in lieu of an insurance policy, it shall submit a certificate of consent to self-insure under Labor Code § 3700.

3-1.06 SMALL BUSINESS PARTICIPATION REPORT

A Small Business Participation Report will be included in the contract documents to be executed by the successful bidder. The purpose of this form is to collect small business participation data. Even if no small business participation is reported, the successful bidder must execute and return the form.

3-1.07 PAYEE DATA RECORD

The Department includes a Payee Data Record in the contract documents to be signed by the successful bidder, the payee. Complete the form.

3-1.08 CALTRANS BIDDER - DBE INFORMATION FORM

Section 3-1.08, "Caltrans Bidder - DBE Information Form," applies to a Federal-aid contract.

The Department includes a Caltrans Bidder - DBE Information form in the contract documents to be signed by the successful bidder.

The purpose of this form is to collect data required under 49 CFR 26.

Sign the form.

The Department encourages you to complete the form.

The Department encourages you to provide written confirmation from each DBE that the DBE is participating in the contract. A copy of a DBE's quote serves as written confirmation. If a DBE is participating as a joint venture partner, the Department encourages you to submit a copy of the joint venture agreement.

3-1.09 CONTRACT EXECUTION

The successful bidder must sign the contract.

Deliver to the Office Engineer:

1. Signed Contract form
2. Contract bonds
3. Documents identified in Section 3-1.05, "Insurance Policies"
4. Small Business Participation Report
5. Payee data record
6. For a Federal-aid contract, Caltrans Bidder - DBE Information form

For a non-informal-bid contract, the Office Engineer must receive these documents before the 10th business day after the bidder receives the contract.

For an informal-bid contract, the Office Engineer must receive these documents before the 5th business day after the bidder receives the contract.

The bidder's security may be forfeited for failure to execute the contract (Pub Cont Code §§ 10181 and 10182).

The following is a copy of the Contract form:

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CONTRACT
DES-OE-0103A (NEW 3/2008)

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
CONTRACT NO. _____

This contract is entered into between the State of California's Department of Transportation and the Contractor named below:

_____
CONTRACTOR'S NAME

The parties agree to comply with the terms of the following exhibits that are by this reference made a part of this contract.

Exhibit A - Bid book dated _____

Exhibit B - Notice to Bidders and Special Provisions dated _____

Exhibit C - Project Plans approved _____

Exhibit D - Standard Specifications dated _____

Exhibit E - Standard Plans dated _____

Exhibit F - Addenda

Exhibits A, B, C, and F are those exhibits identified with the same contract number as this contract.

This contract has been executed by the following parties:

CONTRACTOR

CONTRACTOR'S NAME *(if other than an individual, state whether a corporation, partnership, etc.)*

BY *(Authorized Signature)*	DATE SIGNED *(Do not type)*

PRINTED NAME AND TITLE OF PERSON SIGNING

FEDERAL EMPLOYER IDENTIFICATION NUMBER

DEPARTMENT OF TRANSPORTATION

BY *(Authorized Signature)*	DATE SIGNED *(Do not type)*

PRINTED NAME AND TITLE OF PERSON SIGNING

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

3-1.10 BIDDERS' SECURITIES

The Department keeps the securities of the 1st, 2nd, and 3rd lowest responsible bidders until the contract has been executed. The other bidders' securities, other than bidders' bonds, are returned upon determination of the 1st, 2nd, and 3rd apparent lowest bidders, and their bidders' bonds are of no further effect.

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SECTION 4 SCOPE OF WORK
(Issued 07-01-08)

Add to Section 4-1.01:

Nothing in the specifications voids the Contractor's public safety responsibilities.

Add:

4-1.015 PROJECT DESCRIPTION

Construct the work described in the special provisions and on project plans and by the bid items. The special provisions, project plans, and bid item descriptions set forth the specifications that apply.

Add:

4-1.035 VALUE ENGINEERING

4-1.035A General

Reserved

4-1.035B Value Engineering Change Proposal

You may submit a VECP to reduce any of the following:

1. Total cost of construction
2. Construction activity duration
3. Traffic congestion

Before preparing a VECP, meet with the Engineer to discuss:

1. Proposal concept
2. Permit issues
3. Impact on other projects
4. Project impacts, including traffic, schedule, and later stages
5. Peer reviews
6. Overall proposal merits
7. Review times required by the Department and other agencies

The VECP must not impair the project's essential functions or characteristics, such as:

1. Service life
2. Operation economy
3. Maintenance ease
4. Desired appearance
5. Design and safety

The VECP must include:

1. Description of the contract specifications and drawing details for performing the work and the proposed changes.
2. Itemization of contract specifications and drawing details that would be changed.

3. Detailed cost estimate for performing the work under the existing contract and under the proposed change. Determine the estimates under Section 9-1.03, "Force Account Payment."
4. Deadline for the Engineer to decide on the changes.
5. Bid items affected and resulting quantity changes.

The Department is not required to consider a VECP. If a VECP is similar to a change in the plans or specifications being considered by the Department at the time the proposal is submitted or if the proposal is based on or similar to drawings or specifications adopted by the Department before Contract award, the Department does not accept the VECP and may make these changes without VECP payments.

Until the Department approves a change order incorporating the VECP or parts of it, continue to perform the work under the contract. If the Department does not approve a change order before the deadline stated in the VECP or other date you subsequently stated in writing, the VECP is rejected. The Department does not adjust time or payment for a rejected VECP.

The Department decides whether to accept a VECP and the estimated net construction-cost savings from adopting the VECP or parts of it.

The Department may require you to accept a share of the investigation cost as a condition of reviewing a VECP. After written acceptance, the Department considers the VECP and deducts the agreed cost.

If the Department accepts the VECP or parts of it, the Department issues a change order that:

1. Incorporates changes in the contract necessary to implement the VECP or the parts adopted
2. Includes the Department's acceptance conditions
3. States the estimated net construction-cost savings resulting from the VECP
4. Obligates the Department to pay you 50 percent of the estimated net savings

In determining the estimated net construction-cost savings, the Department excludes your VECP preparation cost and the Department's VECP investigation cost, including parts paid by you.

If a VECP providing for a reduction in working days is accepted by the Department, 50 percent of the reduction is deducted from contract time.

If a VECP providing for a reduction in traffic congestion or avoiding traffic congestion is accepted by the Department, the Department pays 60 percent of the estimated net savings in construction costs attributable to the VECP. Submit detailed traffic handling comparisons between the existing contract and the proposed change, including estimates of the traffic volumes and congestion.

The Department may apply an accepted VECP for general use on other contracts.

If an accepted VECP is adopted for general use, the Department pays only the contractor who first submitted the VECP and only to the contracts awarded to that contractor before the submission of the accepted VECP.

If the Department does not adopt a general-use VECP, an identical or similar submitted proposal is eligible for acceptance.

4-1.035C Value Analysis Workshop

Section 4-1.035C, "Value Analysis Workshop," applies to a non-building-work contract with a total bid of over $5 million.

You may request a value analysis workshop by submitting a request after contract approval.

The Department offers a value analysis workshop to:

1. Identify value enhancing opportunities
2. Consider changes to the contract that will reduce the total cost of construction, construction activity duration, or traffic congestion without impairing the essential functions specified for a VECP in Section 4-1.035B, "Value Engineering Change Proposal."

If the request is authorized, you and the Engineer:

1. Schedule a value analysis workshop
2. Select a facilitator and workshop site
3. Agree to other workshop administrative details

The workshop must be conducted under the methods described in the Department's Value Analysis Team Guide available at:

http://www.dot.ca.gov/hq/oppd/value/

The facilitator must be a certified value specialist as recognized by the Society of American Value Engineers.

The Department reimburses you for 1/2 of the workshop cost. The workshop cost is the sum of the workshop-facilitator cost and the workshop-site cost. The Department determines the workshop cost based on the facilitator and workshop-site invoice prices minus any available or offered discounts. The Department does not pay you for any other associated costs.

^^

SECTION 5 CONTROL OF WORK
(Issued 07-01-08)

Add:

5-1.005 GENERAL

Failure to comply with any specification part is a breach of the contract and a waiver of your right to time or payment adjustment.

After contract approval, submit documents and direct questions to the Engineer. Orders, approvals, and requests to the Contractor are by the Engineer.

The Engineer furnishes the following in writing:

1. Approvals
2. Notifications
3. Orders

The Contractor must furnish the following in writing:

1. Assignments
2. Notifications
3. Proposals
4. Requests, sequentially numbered
5. Subcontracts
6. Test results

The Department rejects a form if it has any error or any omission.

Convert foreign language documents to English.

Use contract administration forms available at the Department's Web site.

If the last day for submitting a document falls on a Saturday or holiday, it may be submitted on the next business day with the same effect as if it had been submitted on the day specified.

Add to 5-1.01:

Failure to enforce a contract provision does not waive enforcement of any contract provision.

Add:

5-1.012 PARTNERING

5-1.012A General

The Department encourages partnering to cooperatively manage the contract using the following principles:

1. Establishing early and regular communications with involved parties in the project
2. Establishing a relationship of shared trust, equity, and commitment
3. Developing strategies for quantifying the mutual goals
4. Developing strategies for timely communication and decision making
5. Solving potential problems at the lowest level before they negatively impact the project
6. Developing a plan for periodic joint evaluation based on the quantified mutual goals

Partnering does not void any contract part.

5-1.012B Partnering Workshop

Section 5-1.012, "Partnering Workshop," applies to a contract with a total bid of over $1 million.

You may request a partnering workshop by submitting a request after contract approval. After the Engineer receives the request, you and the Engineer:

1. Schedule a partnering workshop
2. Select a partnering facilitator and workshop site
3. Agree to other workshop administrative details

If both parties agree, you and the Engineer conduct additional partnering workshops.

The Department reimburses you for 1/2 of the workshop cost. The workshop cost is the sum of the workshop-facilitator cost and the workshop-site cost. The Department determines the workshop cost based on the facilitator and workshop-site invoice prices minus any available or offered discounts. The Department does not pay you for any other associated costs.

5-1.012C Training in Partnering Concepts

Section 5-1.012C, "Training in Partnering Concepts," applies to a contract with a total bid of $25 million or more.

A trainer conducts a 1-day session of Training in Partnering Concepts locally for the Contractor's and the Engineer's representatives.

Send 2 representatives to the session. One of these 2 must be the assigned representative specified in Section 5-1.06, "Superintendence," of the Standard Specifications.

You and the Engineer cooperatively schedule the session and select the trainer and training site.

Upon your written request, the trainer conducts the session before the initial partnering workshop.

The Department pays for the trainer and the training site.

The Department does not pay for other costs you incur in association with the session.

Add:

5-1.015 RECORDS

5-1.015A General

Reserved

5-1.015B Record Retention

Retain project records from bid preparation through:

1. Final payment
2. Resolution of claims, if any

For at least 3 years after the later of these, retain cost records, including records of:

1. Bid preparation
2. Overhead
3. Payrolls
4. Payments to suppliers and subcontractors
5. Cost accounting

Maintain the records in an organized way in the original format, electronic and hard copy, conducive to professional review and audit.

5-1.015C Record Inspection, Copying, and Auditing

Make your records available for inspection, copying, and auditing by State representatives for the same time frame specified under Section 5-1.015B, "Record Retention." The records of subcontractors and suppliers must be made available for inspection, copying, and auditing by State representatives for the same period. Before contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier 5 business days before inspection, copying, or auditing.

If an audit is to start more than 30 days after contract acceptance, the State representative notifies the Contractor, subcontractor, or supplier when the audit is to start.

5-1.015D Cost Accounting Records

Maintain cost accounting records for the project distinguishing between the following work cost categories:

1. Contract item work
2. Work character changes
3. Force account work
4. Extra work
5. Work performed under protests and claim notifications
6. Overhead
7. Subcontractors, suppliers, owner-operators, and professional services

Cost accounting records must include:

1. Final cost code lists and definitions
2. Itemization of the materials used and corresponding vendor's invoice copies
3. Direct cost of labor
4. Equipment rental charges
5. Workers' certified payrolls
6. Equipment:

    6.1. Size
    6.2. Type
    6.3. Identification number
    6.4. Hours operated

5-1.015E Extra Work Bills

Maintain separate records for force account costs.

Submit extra work bills using the Department's Internet extra work billing system.

The Contractor submitting and the Engineer approving an extra work bill using the Internet force account work billing system is the same as each party signing the report.

The Department provides billing system:

1. Training within 30 days of your written request
2. Accounts and user identification to your assigned representatives after a representative has received training

Each representative must maintain a unique password.

Replace Section 5-1.02A with:

5-1.02A Excavation Safety Plans

The Construction Safety Orders of the Division of Occupational Safety and Health shall apply to all excavations. For all excavations 1.5 m or more in depth, the Contractor shall submit to the Engineer a detailed plan showing the design and details of the protective systems to be provided for worker protection from the hazard of caving ground during excavation. The detailed plan shall include any tabulated data and any design calculations used in the preparation of the plan. Excavation shall not begin until the detailed plan has been reviewed and approved by the Engineer.

Detailed plans of protective systems for which the Construction Safety Orders require design by a registered professional engineer shall be prepared and signed by an engineer who is registered as a Civil Engineer in the State of California, and shall include the soil classification, soil properties, soil design calculations that demonstrate adequate stability of the protective system, and any other design calculations used in the preparation of the plan.

No plan shall allow the use of a protective system less effective than that required by the Construction Safety Orders.

If the detailed plan includes designs of protective systems developed only from the allowable configurations and slopes, or Appendices, contained in the Construction Safety Orders, the plan shall be submitted at least 5 days before the Contractor intends to begin excavation. If the detailed plan includes designs of protective systems developed from tabulated data, or designs for which design by a registered professional engineer is required, the plan shall be submitted at least 3 weeks before the Contractor intends to begin excavation.

Attention is directed to Section 7-1.01E, "Trench Safety."

Replace Section 5-1.04 with:

5-1.04 CONTRACT COMPONENTS

A component in one contract part applies as if appearing in each. The parts are complementary and describe and provide for a complete work.

If a discrepancy exists:

1. The governing ranking of contract parts in descending order is:

    1.1. Special provisions
    1.2. Project plans
    1.3. Revised Standard Plans
    1.4. Standard Plans
    1.5. Amendments to the Standard Specifications
    1.6. Standard Specifications
    1.7. Supplemental project information

2. Written numbers and notes on a drawing govern over graphics
3. A detail drawing governs over a general drawing
4. A detail specification governs over a general specification
5. A specification in a section governs over a specification referenced by that section

If a discrepancy is found or confusion arises, request correction or clarification.

Add:

5-1.055 SUBCONTRACTING

5-1.055A General

No subcontract releases you from the contract or relieves you of your responsibility for a subcontractor's work.

If you violate Pub Cont Code § 4100 et seq., the Department may exercise the remedies provided under Pub Cont Code § 4110. The Department may refer the violation to the Contractors State License Board as provided under Pub Cont Code § 4111.

Except for specialty item work that is done by subcontract, perform work equaling at least 50 percent of the value of the original total bid with your employees and with equipment owned or rented by you, with or without operators. Specialty items are designated by either an (S) or (S-F) in the verified Bid Item List. When the entire specialty item work is done by subcontract, the value of work will be based on the amount bid for the item. When a portion of a specialty item work is done by subcontract, the value is based on the subcontracted percentage of the amount bid for the item.

Each subcontract must comply with the contract.

Each subcontractor must have an active and valid State contractor's license with a classification appropriate for the work to be performed (Bus & Prof Code, § 7000 et seq.).

Submit copies of subcontracts upon request.

Before subcontracted work starts, submit a Subcontracting Request form.

Do not use a debarred contractor; a current list of debarred contractors is available at the Department of Industrial Relations' Web site.

Upon request, immediately remove and not again use a subcontractor who fails to prosecute the work satisfactorily.

5-1.055B Disadvantaged Business Enterprises

Section 5-1.055B, "Disadvantaged Business Enterprises," applies to a Federal-aid contract.

Use each subcontractor as listed on the Subcontractor List form unless you receive authorization for a substitution.

The Department requests the Contractor to:

1. Notify the Engineer of any changes to its anticipated DBE participation
2. Provide this notification before starting the affected work

Maintain records including:

1. Name and business address of each 1st-tier subcontractor
2. Name and business address of each DBE subcontractor, DBE vendor, and DBE trucking company, regardless of tier

2. Date of payment and total amount paid to each business

If you are a DBE contractor, include the date of work performed by your own forces and the corresponding value of the work.

Before the 15th of each month, submit a Monthly DBE Trucking Verification form.

For a DBE that leases trucks from a non-DBE, count only the fee or commission the DBE receives as a result of the lease arrangement.

If a DBE subcontractor is decertified before completing subcontracted work, the subcontractor must notify you in writing of the decertification date. If a subcontractor becomes a certified DBE before completing subcontracted work, the subcontractor must notify you in writing of the certification date. Submit the notifications. On contract work completion, complete a Disadvantaged Business Enterprises (DBE) Certification Status Change form. Submit the form within 90 days of contract acceptance.

Upon contract work completion, complete a Final Report – Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subcontractors form. Submit it within 90 days of contract acceptance. The Department withholds $10,000 until the form is submitted. The Department releases the withhold upon submission of the completed form.

5-1.055C Disabled Veteran Business Enterprises

Section 5-1.055C, "Disabled Veteran Business Enterprises," applies to a non-Federal-aid contract.

If a DVBE goal is shown in the Notice to Bidders:

1. Use each DVBE as shown on the Certified DVBE Summary form unless you receive authorization for a substitution
2. The requirement that DVBEs be certified by the bid opening date does not apply to DVBE substitutions after contract award
3. Maintain records of subcontracts made with certified DVBEs. Include in the records:

    3.1. Name and business address of each business
    3.2. Total amount paid to each business

4. For the purpose of determining compliance with Pub Cont Code § 10115 et seq.:

    4.1. Provide the Department relevant information requested
    4.2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

        4.2.1. Interviewing employees
        4.2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

If no DVBE goal is shown in the Notice to Bidders and if you obtain DVBE participation, submit the participating DVBE names and value of work or supplies supplied by each DVBE transaction upon contract completion.

5-1.055D Non–Small Businesses

Section 5-1.055D, "Non–Small Businesses," applies to a non-Federal-aid contract.

Use each subcontractor as shown on the Certified Small Business Listing for the Non–Small Business Preference form unless you receive authorization for a substitution.

The requirement that small businesses be certified by the bid opening date does not apply to small business substitutions after contract award.

Maintain records of subcontracts made with certified small business subcontractors and records of materials purchased from certified small business suppliers. Include in the records:

1. Name and business address of each business
2. Total amount paid to each business

For the purpose of determining compliance with 2 CA Code of Regs § 1896 et seq.:

1. Provide the Department relevant information requested.
2. Upon reasonable notice and during normal business hours, permit access to its premises for the purpose of:

    2.1. Interviewing employees

2.2. Inspecting and copying books, records, accounts and other material that may be relevant to a matter under investigation

Replace Section 5-1.07 with:

5-1.07 LINES AND GRADES

The Engineer places stakes and marks under Chapter 12, "Construction Surveys," of the Department's Surveys Manual.

Submit your request for Department-furnished stakes:

1. On a Request for Construction Stakes form. Ensure:

   1.1. Requested staking area is ready for stakes
   1.2. You use the stakes in a reasonable time

2. A reasonable time before starting an activity using the stakes

Establish priorities for stakes and note priorities on the request.

Preserve stakes and marks placed by the Engineer. If the stakes or marks are destroyed, the Engineer replaces them at the Engineer's earliest convenience and deducts the cost.

Replace Section 5-1.116 with:

5-1.116 DIFFERING SITE CONDITIONS (23 CFR 635.109)

5-1.116A Contractor's Notification

Promptly notify the Engineer if you find either of the following:

1. Physical conditions differing materially from either of the following:

   1.1. Contract documents
   1.2. Job site examination

2. Physical conditions of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in the work provided for in the contract

Include details explaining the information you relied on and the material differences you discovered.

If you fail to notify the Engineer promptly, you waive the differing site condition claim for the period between your discovery of the differing site condition and your notification to the Engineer.

If you disturb the site after discovery and before the Engineer's investigation, you waive the differing site condition claim.

5-1.116B Engineer's Investigation and Decision

Upon your notification, the Engineer investigates job site conditions and:

1. Notifies you whether to resume affected work
2. Decides whether the condition differs materially and is cause for an adjustment of time, payment, or both

5-1.116C Protests

You may protest the Engineer's decision by:

1. Submitting an Initial Notice of Potential Claim within 5 business days after receipt of the Engineer's notification
2. Complying with claim procedures

The Initial Notice of Potential Claim must detail the differences in your position from the Engineer's determination and support your position with additional information, including additional geotechnical data. Attach to the Initial Notice of Potential Claim a certification stating that you complied with Section 2-1.11, "Job Site and Document Examination."

Promptly submit supplementary information when obtained.

Replace Section 5-1.14 with:

5-1.14 COST REDUCTION INCENTIVE

Comply with Section 4-1.035B, "Value Engineering Change Proposal."

Add:

5-1.15 DISPUTE RESOLUTION

5-1.15A General

Section 5-1.15, "Dispute Resolution," applies to a contract with 100 or more working days.

In the Dispute Resolution Advisor Agreement and in the Dispute Review Board Agreement, interpret a reference to the special provisions as a reference to the Amendments to the Standard Specifications. In the Dispute Review Board Agreement, replace "Proposal and Contract" with "Bid book." Where the section title does not match the section number for a reference, refer to the referenced title.

5-1.15B Dispute Resolution Advisor

Section 5-1.15B, "Dispute Resolution Advisor," applies to a contract from $3 million to $10 million.

A dispute resolution advisor, hereinafter referred to as "DRA", is chosen by the Department and the Contractor to assist in the resolution of disputes. The DRA is a part of the contract administrative claims process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The DRA shall not serve as a substitute for filing a protest or a notice of potential claim.

The DRA shall be established by the Department and the Contractor within 30 days of contract approval.

The Department and the Contractor shall each propose 3 potential DRA candidates. Each potential candidate shall provide the Department and the Contractor with their disclosure statement. The disclosure statement shall include a resume of the potential candidate's experience and a declaration statement describing past, present, anticipated, and planned relationships with all parties involved in this contract.

The Department and the Contractor shall select one of the 6 nominees to be the DRA. If the Department and the Contractor cannot agree on one candidate, the Department and the Contractor shall each choose one of the 3 nominated by the other. The final selection of the DRA will be decided by a coin toss between the two candidates.

The Department and the Contractor shall complete and adhere to the Dispute Resolution Advisor Agreement. No DRA meeting shall take place until the Dispute Resolution Advisor Agreement has been signed by all parties, unless all parties agree to sign it at the first meeting.

If DRA needs outside technical services, technical services shall be preapproved by both the Department and the Contractor.

DRA recommendations are nonbinding.

The Contractor shall not use the DRA for disputes between subcontractors or suppliers that have no grounds for a lawsuit against the Department.

DRA replacement is selected in the same manner as the original selection. The appointment of a replacement DRA will begin promptly upon determination of the need for replacement. The Dispute Resolution Advisor Agreement shall be amended to reflect the change of the DRA.

Failure of the Contractor to participate in selecting DRA will result in the withhold of 25 percent of the estimated value of all work performed during each estimate period that the Contractor fails to comply. DRA withholds will be released for payment on the next monthly progress payment following the date that the Contractor has provided assistance in choosing the DRA and no interest will be due the Contractor.

The State and the Contractor shall bear the costs and expenses of the DRA equally.

The DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting either at the start of the project or for a dispute. A member serving on more than one State DRA or Dispute Review Board, regardless the number of meetings per day shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel, and incidentals for each day or portion thereof that the DRA is at an authorized DRA meeting.

No additional compensation will be made for time spent by the DRA to review and research activities outside the official DRA meetings unless that time, such as time spent evaluating and preparing recommendations on specific issues presented to the DRA, has been specifically agreed to in advance by the State and Contractor. Time away from the project that has been specifically agreed to in advance by the Department and the Contractor will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services.

The State will provide conference facilities for DRA meetings at no cost to the Contractor.

The Contractor shall make direct payments to the DRA for participation in authorized meetings and approved hourly rate charges from invoices submitted.

The State will reimburse the Contractor for the State's share of the costs.

There will be no markups applied to expenses associated with the DRA, either by the DRA or by the Contractor when requesting payment of the State's share of DRA expenses. Regardless of the DRA recommendation, neither party will be entitled to reimbursement of DRA costs from the other party.

The Contractor shall submit extra work bills and include invoices with original supporting documents for reimbursement of the State's share.

The cost of technical services will be borne equally by the State and Contractor. There will be no markups for these costs.

A copy of the "Dispute Resolution Advisor Agreement" to be executed by the Contractor, State and the DRA is as follows:

Form CEM 6206 Rev (04-06-07)

DISPUTE RESOLUTION ADVISOR AGREEMENT

_____
(Contract Identification)

Contract No. _____

THIS DISPUTE RESOLUTION ADVISOR AGREEMENT, hereinafter called "AGREEMENT", made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ hereinafter called the "CONTRACTOR," and _____, the Dispute Resolution Advisor, hereinafter called the "DRA." .

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRA to assist in resolving disputes; and

WHEREAS, the DRA is composed of one person, chosen by the CONTRACTOR and the STATE;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRA hereto agree as follows:

SECTION I DESCRIPTION OF WORK

To assist in the timely resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRA. The DRA is to fairly and impartially consider disputes placed before it and provide recommendations for resolution of these disputes to the parties. The DRA shall provide recommendations based on the facts related to the dispute, the contract and applicable laws and regulations. The DRA shall perform the services necessary to participate in the DRA's actions as designated in Section III, Scope of Work.

SECTION II DRA QUALIFICATIONS

DRA shall be knowledgeable in the type of construction and contract documents anticipated by the contract and shall have completed training through the Dispute Review Board Foundation. In addition, it is desirable for the DRA to have served on several State Dispute Review Boards (DRB).

No DRA shall have prior direct involvement in this contract. No DRA shall have a financial interest in this contract or parties thereto, including but not limited to the CONTRACTOR, subcontractors, suppliers, consultants, and legal and business services, within a period 6 months prior to award and during this contract. Exceptions to above are compensation for services on this or other DRAs and DRBs or retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.

DRA shall fully disclose all direct or indirect professional or personal relationships with all key members of the contract.

SECTION III SCOPE OF WORK

The Scope of Work of the DRA includes, but is not limited to, the following:

A. PROCEDURES

The DRA shall meet with the parties at the start of the project to establish procedures that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. The DRA established procedures shall only be implemented upon approval by the parties. Subsequent meetings shall be held only to hear disputes between the parties.

The DRA shall not meet with, or discuss contract issues with individual parties.

State shall provide the DRA with the contract and all written correspondence regarding the dispute between the parties and, if available, the Contractor's supplemental notice of potential claim, and the Engineer's response to the supplemental notice of potential claim.

The parties shall not call the DRA who served on this contract as a witness in arbitration proceedings, which may arise from this contract.

The DRA shall have no claim against the STATE or the CONTRACTOR, or both, from claimed harm arising out of the parties' evaluations of the DRA's opinions.

B. DISPUTE MEETING

The term "dispute meeting" as used in this subsection shall refer to both the informal and traditional dispute meeting processes, unless otherwise noted.

If the CONTRACTOR requests a dispute meeting with the DRA, the Contractor must simultaneously notify the STATE. Upon being notified of the need for a dispute meeting, the DRA shall review and consider the dispute. The DRA shall determine the time and location of the dispute meeting with due consideration for the needs and preferences of the parties, while recognizing the importance of a speedy resolution to the dispute.

Dispute meetings shall be conducted at any location that would be convenient and provide required facilities and access to necessary documentation.

Only the STATE's Resident Engineer or Area Construction Engineer and the CONTRACTOR's or subcontractor's, if the dispute involves a subcontractor, Superintendent or Project Manager may present information at a dispute meeting. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute. The exception to this is technical services, as described below:

> The DRA, with approval of the parties, may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the two parties as specified in an approved contract change order. The CONTRACTOR shall not be entitled to markups for the payments made for these services.

At the dispute meeting the DRA may ask questions, seek clarification, and request further clarification of data presented by either of the parties as may be necessary to assist in making a fully informed recommendation. However, the DRA shall refrain from expressing opinions on the merits of statements on matters under dispute during the parties' presentations. Each party will be given ample time to fully present its position, make rebuttals, provide relevant documents, and respond to DRA questions and requests.

There shall be no testimony under oath or cross-examination, during DRA dispute meetings. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRA in conformance with the rules and regulations established at the first meeting between the DRA and parties. These established rules and regulations need not comply with prescribed legal laws of evidence.

Failure to attend a dispute meeting by either of the parties shall be conclusively considered by the DRA as indication that the non-attending party considers all written documents and correspondence submitted as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals at the meeting until all aspects of the dispute are thoroughly covered.

1. TRADITIONAL DISPUTE MEETING:

The following procedure shall be used for the traditional dispute meeting:

a. Within 5 days, after receiving the STATE's written response to the CONTRACTOR's supplemental notice of potential claim, the CONTRACTOR shall refer the dispute to the DRA, if the CONTRACTOR wishes to further pursue the dispute. The CONTRACTOR shall make the referral in writing to the DRA, simultaneously

copied to the STATE. The written dispute referral shall describe the disputed matter in individual discrete segments, so that it will be clear to both parties and the DRA what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.

b. The parties shall each be afforded an opportunity to be present and to be heard by the DRA, and to offer evidence. Either party furnishing written evidence or documentation to the DRA must furnish copies of such information to the other party a minimum of 10 days prior to the date the DRA is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRA may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRA. The DRA shall not consider evidence not furnished in conformance with the terms specified herein.

c. Upon receipt by the DRA of a written referral of a dispute, the DRA shall convene to review and consider the dispute. The dispute meeting shall be held no later than 25 days after receipt of the written referral unless otherwise agreed to by all parties.

d. The DRA shall furnish a written report to both parties. The DRA may request clarifying information of either party within 5 days after the DRA dispute meeting. Requested information shall be submitted to the DRA within 5 days of the DRA request. The DRA shall complete its report and submit it to the parties within 10 days of the DRA dispute meeting, except that time extensions may be granted at the request of the DRA with the written concurrence of both parties. The report shall summarize the facts considered, the contract language, law or regulation viewed by the DRA as pertinent to the dispute, and the DRA's interpretation and philosophy in arriving at its conclusions and recommendations and, if appropriate, recommends guidelines for determining compensation. The DRA's written opinion shall stand on its own, without attachments or appendices.

e. Within 10 days after receiving the DRA's report, both parties shall respond to the DRA in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRA's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRA recommendation. Immediately after responses have been received from both parties, the DRA shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRA's report from the DRA prior to responding to the report. The DRA shall consider any clarification request only if submitted within 5 days of receipt of the DRA's report, and if submitted simultaneously in writing to both the DRA and the other party. Each party may submit only one request for clarification for any individual DRA report. The DRA shall respond, in writing, to requests for clarification within 5 days of receipt of such requests.

f. Either party may seek a reconsideration of the DRA's recommendation. The DRA shall only grant reconsideration based upon submission of new evidence and if the request is submitted within the 10 day time limit specified for response to the DRA's written report. Each party may submit only one request for reconsideration regarding an individual DRA recommendation.

g. If the parties are able to settle their dispute with the aid of the DRA's report, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties. If the parties cannot agree on compensation within 30 days of the acceptance by both parties of the settlement, either party may request the DRA to make a recommendation regarding compensation.

2. INFORMAL DISPUTE MEETING

An informal dispute meeting shall be convened, only if, the parties and the DRA agree that this dispute resolution process is appropriate to settle the dispute.

The following procedure shall be used for the informal dispute meeting:

a. The parties shall furnish the DRA with one copy of pertinent documents requested by the DRA that are or may become necessary for the DRA to perform its function. The party furnishing documents shall furnish such documents to the other party at the same time the document is provided to the DRA.

b. After the dispute meeting has concluded; the DRA shall deliberate in private the same day, until a response to the parties is reached or as otherwise agreed to by the parties.

c. The DRA then verbally delivers its recommendation with findings to the parties.

d. After the recommendation is presented, the parties may ask for clarifications.

e. Occasionally the DRA on complex issues may be unable to formulate a recommendation based on the information given at a dispute meeting. However, the DRA may provide the parties with advice on strengths and weaknesses of their prospective positions, in the hope of the parties reaching settlement.

f. If the parties are able to settle their dispute with the aid of the DRA's opinion, the STATE and CONTRACTOR shall promptly accept and implement the settlement of the parties.

g. The DRA will not be bound by its oral recommendation in the event that a dispute is later heard by the DRA in a traditional dispute meeting.

Unless the dispute is settled, use of the informal dispute meeting does not relive the parties of their responsibilities under Section 5-1.12, "Dispute Resolution Advisor," of the Special Provisions or Subsection, "Traditional Dispute Meeting," of this AGREEMENT. There will be no extension of time allowed for the process to permit the use of the informal dispute meeting, unless otherwise agreed to by the parties.

SECTION IV TIME FOR BEGINNING AND COMPLETION

Once established, the DRA shall be in operation until the day the Director accepts the contract. The DRA shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE or as agreed to by the parties.

SECTION V PAYMENT

DRA shall be compensated at an agreed rate of $1,500 per day for time spent per meeting, either at the start of the project or for a dispute. A member serving on more than one State DRA or DRB, regardless the number of meetings per day, shall not be paid more than the agreed rate per day. The agreed rate shall be considered full compensation for onsite time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof that the DRA is at an authorized DRA meeting. No additional compensation will be made for time spent by DRA to review and research activities outside the official DRA meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRA), has been specifically agreed to in advance by the parties. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $150 per hour. The agreed amount of $150 per hour shall include all incidentals including expenses for telephone, fax, and computer services. The State will provide administrative services such as conference facilities to the DRA.

A. PAYMENT PROCESSING

CONTRACTOR shall make direct payments to DRA for their participation in authorized meetings and approved hourly rate charges, from invoices submitted by the DRA, and technical services.

DRA may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRA until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

B. INSPECTION OF COSTS RECORDS

DRA and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

SECTION VI ASSIGNMENT OF TASKS OF WORK

DRA shall not assign the work of this AGREEMENT.

SECTION VII TERMINATION OF A DRA MEMBER

DRA may resign after providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. The DRA may be terminated, by either party, for failing to fully comply at all times with all required employment or financial disclosure conditions of DRA membership in conformance with the terms of the contract and this AGREEMENT. Each party shall document the need for replacement and substantiate the replacement request in writing to the other party and the DRA.

SECTION VIII LEGAL RELATIONS

The parties hereto mutually understand and agree that the DRA in the performance of duties is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRA from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRA.

SECTION IX CONFIDENTIALITY

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRA, which documents and records are marked "Confidential - for use by the DRA only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRA findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of this AGREEMENT. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRA. However, the parties understand that such documents may be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

SECTION X DISPUTES

Disputes between the parties arising out of the work or other terms of this AGREEMENT that cannot be resolved by negotiation and mutual concurrence between the parties or through the administrative process provided in the contract shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications. Disputes between the DRA and the parties that cannot be resolved by negotiation and mutual concurrence shall be resolved in the appropriate forum.

SECTION XI VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION

In the event that any party, including the DRA, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

SECTION XII FEDERAL REVIEW AND REQUIREMENTS

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRA in progress, except for private meetings or deliberations of the DRA.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

SECTION XIII CERTIFICATION OF CONTRACTOR, DRA, AND STATE

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRA

By: _____

Title: _____

CONTRACTOR	CALIFORNIA STATE DEPARTMENT OF TRANSPORTATION
By: _____	By: _____
Title: _____	Title: _____

5-1.15C Dispute Review Board

- Section 5-1.15C, "Dispute Review Board," applies to a contract over $10 million.

5-1.15C(1) General

To assist in the resolution of disputes or potential claims arising out of the work of this project, a Dispute Review Board, hereinafter referred to as the "DRB," shall be established by the Engineer and Contractor cooperatively upon approval of the contract. The DRB is intended to assist the contract administrative claims resolution process as specified in the provisions in Section 9-1.04, "Notice of Potential Claim," and Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications and these special provisions. The DRB shall not serve as a substitute for provisions in the specifications in regard to filing potential claims. The requirements and procedures established in this section shall be a prerequisite to filing a claim, filing for arbitration, or filing for litigation prior or subsequent to project completion.

The DRB shall be utilized when dispute or potential claim resolution at the project level is unsuccessful. The DRB shall function as specified herein until the day of acceptance of the contract, at which time the work of the DRB will cease except for completion of unfinished reports. No DRB dispute meetings shall take place later than 30 days prior to acceptance of contract. After acceptance of contract, disputes or potential claims which have followed the dispute resolution processes of the Standard Specifications and these special provisions, but have not been resolved, shall be stated or restated by the Contractor, in response to the Proposed Final Estimate within the time limits provided in Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. The State will review those claims in conformance with the provisions in Section 9-1.07B of the Standard Specifications. Following the adherence to and completion of the contractual administrative claims procedure, the Contractor may file for arbitration in conformance with the provisions in Section 9-1.10, "Arbitration," of the Standard Specifications and these special provisions.

Disputes, as used in this section, shall include differences of opinion, properly noticed as provided hereinafter, between the State and Contractor on matters related to the work and other subjects considered by the State or Contractor, or by both, to be of concern to the DRB on this project, except matters relating to Contractor, subcontractor or supplier potential claims not actionable against the Department as specified in these special provisions or quantification of disputes for overhead type expenses or costs. Disputes for overhead type expenses or costs shall conform to the requirements of Section 9-1.07B, "Final Payment and Claims," of the Standard Specifications. Whenever the term "dispute" or "disputes" is used herein, it shall be deemed to include potential claims as well as disputes.

The DRB shall serve as an advisory body to assist in the resolution of disputes between the State and the Contractor, hereinafter referred to as the "parties." The DRB shall consider disputes referred to it, and furnish written reports containing findings and recommendations pertaining to those disputes, to the parties to aid in resolution of the differences between them. DRB findings and recommendations are not binding on the parties.

5-1.15C(2) Selection Process, Disclosure and Appointments

The DRB shall consist of one member selected by the State and approved by the Contractor, one member selected by the Contractor and approved by the State, and a third member selected by the first 2 members and approved by both the State and the Contractor. The third member shall act as the DRB Chairperson.

DRB members shall be especially knowledgeable in the type of construction and contract documents potentially anticipated by the contract. DRB members shall discharge their responsibilities impartially as an independent body, considering the facts and circumstances related to the matters under consideration, pertinent provisions of the contract and applicable laws and regulations.

The State and the Contractor shall nominate and approve DRB members in conformance with the terms and conditions of the Dispute Review Board Agreement and these special provisions, within 45 days of the approval of the contract. Each party shall provide written notification to the other of the name of their selected DRB nominee along with the prospective member's complete written disclosure statement.

Disclosure statements shall include a resume of the prospective member's experience and a declaration statement describing past, present, anticipated, and planned relationships, including indirect relationships through the prospective member's primary or full-time employer, to this project and with the parties involved in this construction contract, including but not limited to, relevant subcontractors or suppliers to the parties, parties' principals, or parties' counsel. DRB members shall also include a full disclosure of close professional or personal relationships with all key members of the contract. Objections to nominees must be based on a specific breach or violation of nominee responsibilities or on nominee qualifications under these provisions unless otherwise specified. The Contractor or the State may, on a one-time basis, object to the other's nominee without specifying a reason and this person will not be selected for the DRB. Another person shall then be nominated within 15 days.

The first duty of the State and Contractor selected members of the DRB shall be to select and recommend a prospective third DRB member to the parties for final selection and approval. The first 2 DRB members shall proceed with the selection of the third DRB member immediately upon receiving written notification from the State of their selection, and shall provide their recommendation simultaneously to the parties within 15 days of the notification.

The first 2 DRB members shall select a third DRB member subject to mutual approval of the parties or may mutually concur on a list of potentially acceptable third DRB members and submit the list to the parties for final selection and approval

of the third member. The goal in the selection of the third member is to complement the professional experience of the first 2 members and to provide leadership for the DRB's activities.

The third prospective DRB member shall supply a full disclosure statement to the first 2 DRB members and to the parties prior to appointment.

An impasse shall be considered to have been reached if the parties are unable to approve a third member within 15 days of receipt of the recommendation of the first 2 DRB members, or if the first 2 DRB members are unable to agree upon a recommendation within their 15 day time limit. In the event of an impasse in selection of third DRB member the State and the Contractor shall each propose 3 candidates for the third DRB member position. The parties shall select the candidates proposed under this paragraph from the current list of arbitrators certified by the Public Works Contract Arbitration Committee created by Article 7.2 (commencing with Section 10245) of the State Contract Act. The first 2 DRB members shall then select one of the 6 proposed candidates in a blind draw.

No DRB member shall have prior direct involvement in this contract. No member shall have a financial interest in this contract or the parties thereto, within a period of 6 months prior to award of this contract or during the contract, except as follows:

A. Compensation for services on this DRB.
B. Ownership interest in a party or parties, documented by the prospective DRB member, that has been reviewed and determined in writing by the State to be sufficiently insignificant to render the prospective member acceptable to the State.
C. Service as a member of other Dispute Review Boards on other contracts.
D. Retirement payments or pensions received from a party that are not tied to, dependent on or affected by the net worth of the party.
E. The above provisions apply to parties having a financial interest in this contract, including but not limited to contractors, subcontractors, suppliers, consultants, and legal and business services.

The Contractor or the State may reject any of the 3 DRB members who fail to fully comply at all times with all required employment and financial disclosure conditions of DRB membership as described in the Dispute Review Board Agreement and as specified herein. A copy of the Dispute Review Board Agreement is included in this section.

The Contractor, the State, and the 3 members of the DRB shall complete and adhere to the Dispute Review Board Agreement in administration of this DRB within 15 days of the parties' concurrence in the selection of the third member. No DRB meeting shall take place until the Dispute Review Board Agreement has been signed by all parties. The State authorizes the Engineer to execute and administer the terms of the Agreement. The person(s) designated by the Contractor as authorized to execute contract change orders shall be authorized to execute and administer the terms of this agreement, or to delegate the authority in writing. The operation of the DRB shall be in conformance with the terms of the Dispute Review Board Agreement.

5-1.15C(3) Compensation

The State and the Contractor shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time, (such as time spent evaluating and preparing recommendations on specific issues presented to the DRB), has been specifically agreed to in advance by the State and Contractor. Time away from the project, which has been specifically agreed to in advance by the parties, will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the Department, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The State will provide, at no cost to the Contractor, administrative services such as conference facilities and secretarial services to the DRB. These special provisions and the Dispute Review Board Agreement state the provisions for compensation and expenses of the DRB. DRB members shall be compensated at the same daily and hourly rate. The Contractor shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The State will reimburse the Contractor for the State's share of the costs. There will be no markups applied to expenses connected with the DRB, either by the DRB members or by the Contractor when requesting payment of the State's share of DRB expenses. Regardless of the DRB recommendation, neither party shall be entitled to reimbursement of DRB costs from the other party.

5-1.15C(4) Replacement of DRB Members

Service of a DRB member may be terminated at any time with not less than 15 days notice as follows:

A. The State may terminate service of the State appointed member.
B. The Contractor may terminate service of the Contractor appointed member.
C. Upon the written recommendation of the State and Contractor appointed members for the removal of the third member.
D. Upon resignation of a member.
E. The State or Contractor may terminate the service of any member who fails to fully comply with all required employment and financial disclosure conditions of DRB membership.

When a member of the DRB is replaced, the replacement member shall be appointed in the same manner as the replaced member was appointed. The appointment of a replacement DRB member will begin promptly upon determination of the need for replacement and shall be completed within 15 days. Changes in either of the DRB members chosen by the 2 parties will not require re-selection of the third member, unless both parties agree to such re-selection in writing. The Dispute Review Board Agreement shall be amended to reflect the change of a DRB member.

5-1.15C(5) Operation

The following procedure shall be used for dispute resolution:

A. If the Contractor objects to any decision, act or order of the Engineer, the Contractor shall give written notice of potential claim in conformance with the provisions in Section 9-1.04, "Notice of Potential Claim," of the Standard Specifications and these special provisions, including the provision of applicable cost documentation; or file written protests or notices in conformance with the provisions in the Standard Specifications and these special provisions.
B. The Engineer will respond, in writing, to the Contractor's written supplemental notice of potential claim within 20 days of receipt of the notice.
C. Within 15 days after receipt of the Engineer's written response, the Contractor shall, if the Contractor still objects, file a written reply with the Engineer, stating clearly and in detail the basis of the objection.
D. Following an objection to the Engineer's written response, the Contractor shall refer the dispute to the DRB if the Contractor wishes to further pursue the objection to the Engineer's decision. The Contractor shall make the referral in writing to the DRB, simultaneously copied to the State, within 21 days after receipt of the written response from the Engineer. The written dispute referral shall describe the disputed matter in individual discrete segments so that it will be clear to both parties and the DRB what discrete elements of the dispute have been resolved, and which remain unresolved, and shall include an estimate of the cost of the affected work and impacts, if any, on project completion.
E. By failing to submit the written notice of referral to the DRB, within 21 days after receipt of the Engineer's written response to the supplemental notice of potential claim, the Contractor waives future claims and arbitration on the matter in contention.
F. The Contractor and the State shall each be afforded an opportunity to be present and to be heard by the DRB, and to offer evidence. Either party furnishing written evidence or documentation to the DRB must furnish copies of such information to the other party a minimum of 15 days prior to the date the DRB is scheduled to convene the meeting for the dispute. Either party shall produce such additional evidence as the DRB may deem necessary to reach an understanding and a determination of the dispute. The party furnishing additional evidence shall furnish copies of such additional evidence to the other party at the same time the evidence is provided to the DRB. The DRB shall not consider evidence not furnished in conformance with the terms specified herein.
G. Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral unless otherwise agreed to by all parties. The DRB shall determine the time and location of the DRB dispute meeting, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of a timely hearing of the dispute.
H. There shall be no participation of either party's attorneys at DRB dispute meetings.
I. There shall be no participation of persons who are not directly involved in the contract or who do not have direct knowledge of the dispute, including but not limited to consultants, except for expert testimony allowed at the discretion of the DRB and with approval prior to the dispute meeting by both parties.
J. The DRB shall furnish a report, containing findings and recommendations as described in the Dispute Review Board Agreement, in writing to both the State and the Contractor. The DRB may request clarifying information of either party within 10 days after the DRB dispute meeting. Requested information shall be submitted to the DRB within 10 days of the DRB request. The DRB shall complete its report, including minority opinion, if any, and submit it to

the parties within 30 days of the DRB dispute meeting, except that time extensions may be granted at the request of the DRB with the written concurrence of both parties. The report shall include the facts and circumstances related to the matters under consideration, pertinent provisions of the contract, applicable laws and regulations, and actual costs and time incurred as shown on the Contractor's cost accounting records. The DRB shall make recommendations on the merit of the dispute and, if appropriate, recommend guidelines for determining compensation.

K. Within 30 days after receiving the DRB's report, both the State and the Contractor shall respond to the DRB in writing signifying that the dispute is either resolved or remains unresolved. Failure to provide the written response within the time specified, or a written rejection of the DRB's recommendation or response to a request for reconsideration presented in the report by either party, shall conclusively indicate that the party(s) failing to respond accepts the DRB recommendation. Immediately after responses have been received from both parties, the DRB shall provide copies of both responses to the parties simultaneously. Either party may request clarification of elements of the DRB's report from the DRB prior to responding to the report. The DRB shall consider any clarification request only if submitted within 10 days of receipt of the DRB's report, and if submitted simultaneously in writing to both the DRB and the other party. Each party may submit only one request for clarification for any individual DRB report. The DRB shall respond, in writing, to requests for clarification within 10 days of receipt of such requests.

L. The DRB's recommendations, stated in the DRB's reports, are not binding on either party. Either party may seek a reconsideration of a recommendation of the DRB. The DRB shall only grant a reconsideration based upon submission of new evidence and if the request is submitted within the 30-day time limit specified for response to the DRB's written report. Each party may submit only one request for reconsideration regarding an individual DRB recommendation.

M. If the State and the Contractor are able to resolve their dispute with the aid of the DRB's report, the State and Contractor shall promptly accept and implement the recommendations of the DRB. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

N. The State or the Contractor shall not call DRB members who served on the DRB for this contract as witnesses in arbitration proceedings which may arise from this contract, and all documents created by the DRB shall be inadmissible as evidence in subsequent arbitration proceedings, except the DRB's final written reports on each issue brought before it.

O. The State and Contractor shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

P. The DRB members shall have no claim against the State or the Contractor, or both, from claimed harm arising out of the parties' evaluations of the DRB's report.

5-1.15C(6) Disputes Involving Subcontractor Potential Claims

For purposes of this section, a "subcontractor potential claim" shall include any potential claim by a subcontractor (including also any pass through potential claims by a lower tier subcontractor or supplier) against the Contractor that is actionable by the Contractor against the Department which arises from the work, services, or materials provided or to be provided in connection with the contract. If the Contractor determines to pursue a dispute against the Department that includes a subcontractor potential claim, the dispute shall be processed and resolved in conformance with these special provisions and in conformance with the following:

A. The Contractor shall identify clearly in submissions pursuant to this section, that portion of the dispute that involves a subcontractor potential claim or potential claims.

B. The Contractor shall include, as part of its submission pursuant to Step D above, a certification (False Claims Act Certification) by the subcontractor's or supplier's officer, partner, or authorized representative with authority to bind the subcontractor and with direct knowledge of the facts underlying the subcontractor potential claim. The Contractor shall submit a certification that the subcontractor potential claim is acknowledged and forwarded by the Contractor. The form for these certifications is available from the Engineer.

C. At DRB dispute meetings involving one or more subcontractor potential claims, the Contractor shall require that each subcontractor involved in the dispute have present an authorized representative with actual knowledge of the facts underlying the subcontractor potential claim to assist in presenting the subcontractor potential claim and to answer questions raised by the DRB members or the Department's representatives.

D. Failure by the Contractor to declare a subcontractor potential claim on behalf of its subcontractor (including lower tier subcontractors' and suppliers' pass through potential claims) at the time of submission of the Contractor's potential claims, as provided hereunder, shall constitute a release of the State by the Contractor of such subcontractor potential claim.

E. The Contractor shall include in all subcontracts under this contract that subcontractors and suppliers of any tier (a) agree to submit subcontractor potential claims to the Contractor in a proper form and in sufficient time to allow processing by the Contractor in conformance with the Dispute Review Board resolution specifications; (b) agree to be bound by the terms of the Dispute Review Board provisions to the extent applicable to subcontractor potential claims; (c) agree that, to the extent a subcontractor potential claim is involved, completion of all steps required under these Dispute Review Board special provisions shall be a condition precedent to pursuit by the subcontractor of other remedies permitted by law, including without limitation of a lawsuit against the Contractor; and (d) agree that the existence of a dispute resolution process for disputes involving subcontractor potential claims shall not be deemed to create any claim, right, or cause of action by any subcontractor or supplier against the Department.

Notwithstanding the foregoing, this Dispute Review Board special provision shall not apply to, and the DRB shall not have the authority to consider, subcontractor potential claims between the subcontractor(s) or supplier(s) and the Contractor that are not actionable by the Contractor against the Department.

5-1.15C(7) Dispute Review Board Agreement

A copy of the "Dispute Review Board Agreement" to be executed by the Contractor, State and the 3 DRB members after approval of the contract follows:

Form 6202 Rev (09/01/02)

DISPUTE REVIEW BOARD AGREEMENT

_____
(Contract Identification)

Contract No. _____

THIS DISPUTE REVIEW BOARD AGREEMENT, hereinafter called "AGREEMENT", made and entered into this _____ day of _____, _____, between the State of California, acting through the California Department of Transportation and the Director of Transportation, hereinafter called the "STATE," _____ _____ hereinafter called the "CONTRACTOR," and the Dispute Review Board, hereinafter called the "DRB" consisting of the following members:

_____ ,
(Contractor Appointee)

_____ ,
(State Appointee)

and _____
(Third Person)

WITNESSETH, that

WHEREAS, the STATE and the CONTRACTOR, hereinafter called the "parties," are now engaged in the construction on the State Highway project referenced above; and

WHEREAS, the special provisions for the above referenced contract provides for the establishment and operation of the DRB to assist in resolving disputes; and

WHEREAS, the DRB is composed of three members, one selected by the STATE, one selected by the CONTRACTOR, and the third member selected by the other two members and approved by the parties;

NOW THEREFORE, in consideration of the terms, conditions, covenants, and performance contained herein, or attached and incorporated and made a part hereof, the STATE, the CONTRACTOR, and the DRB members hereto agree as follows:

SECTION I DESCRIPTION OF WORK

To assist in the resolution of disputes between the parties, the contract provides for the establishment and the operation of the DRB. The intent of the DRB is to fairly and impartially consider disputes placed before it and provide written recommendations for resolution of these disputes to both parties. The members of this DRB shall perform the services necessary to participate in the DRB's actions as designated in Section II, Scope of Work.

SECTION II SCOPE OF WORK

The scope of work of the DRB includes, but is not limited to, the following:

A. OBJECTIVE

The principal objective of the DRB is to assist in the timely resolution of disputes between the parties arising from performance of this contract. It is not intended for either party to default on their normal responsibility to amicably and fairly settle their differences by indiscriminately assigning them to the DRB. It is intended that the mere existence of the DRB will encourage the parties to resolve disputes without resorting to this review procedure. But when a dispute that is serious enough to warrant the DRB's review does develop, the process for prompt and efficient action will be in place.

B. PROCEDURES

The DRB shall render written reports on disputes between the parties arising from the construction contract. Prior to consideration of a dispute, the DRB shall establish rules and regulations that will govern the conduct of its business and reporting procedures in conformance with the requirements of the contract and the terms of this AGREEMENT. DRB recommendations, resulting from its consideration of a dispute, shall be furnished in writing to both parties. The recommendations shall be based on facts and circumstances involved in the dispute, pertinent contract provisions, applicable laws and regulations. The recommendations shall find one responsible party in a dispute; shared or "jury" determinations shall not be rendered. The DRB shall make recommendations on the merit of the dispute, and if appropriate, recommend guidelines for determining compensation. If the parties cannot agree on compensation within 60 days of the acceptance by both parties of the DRB's recommendation, either party may request the DRB to make a recommendation regarding compensation.

The DRB shall refrain from officially giving advice or consulting services to anyone involved in the contract. The individual members shall act in a completely independent manner and while serving as members of the DRB shall have no consulting business connections with either party or its principals or attorneys or other affiliates (subcontractors, suppliers, etc.) who have a beneficial interest in the contract.

During scheduled meetings of the DRB as well as during dispute meetings, DRB members shall refrain from expressing opinions on the merits of statements on matters under dispute or potential dispute. Opinions of DRB members expressed in private sessions shall be kept strictly confidential. Individual DRB members shall not meet with, or discuss contract issues with individual parties, except as directed by the DRB Chairperson. Such discussions or meetings shall be disclosed to both parties. Other discussions regarding the project between the DRB members and the parties shall be in the presence of all three members and both parties. Individual DRB members shall not undertake independent investigations of any kind pertaining to disputes or potential disputes, except with the knowledge of both parties and as expressly directed by the DRB Chairperson.

C. CONSTRUCTION SITE VISITS, PROGRESS MEETINGS AND FIELD INSPECTIONS

The DRB members shall visit the project site and meet with representatives of the parties to keep abreast of construction activities and to develop familiarity with the work in progress. Scheduled progress meetings shall be held at or near the project site. The DRB shall meet at least once at the start of the project, and at least once every 4 months thereafter. The frequency, exact time, and duration of additional site visits and progress meetings shall be as recommended by the DRB and approved by the parties consistent with the construction activities or matters under consideration and dispute. Each meeting shall consist of a round table discussion and a field inspection of the work being performed on the contract, if necessary. Each meeting shall be attended by representatives of both parties. The agenda shall generally be as follows:

1. Meeting opened by the DRB Chairperson.
2. Remarks by the STATE's representative.
3. A description by the CONTRACTOR's representative of work accomplished since the last meeting; the current schedule status of the work; and a forecast for the coming period.
4. An outline by the CONTRACTOR's representative of potential problems and a description of proposed solutions.
5. An outline by the STATE's representative of the status of the work as the STATE views it.
6. A brief description by the CONTRACTOR's or STATE's representative of potential claims or disputes which have surfaced since the last meeting.

7. A summary by the STATE's representative, the CONTRACTOR's representative, or the DRB of the status of past disputes and potential claims.

The STATE's representative will prepare minutes of all progress meetings and circulate them for revision and approval by all concerned within 10 days of the meeting.

The field inspection shall cover all active segments of the work, the DRB being accompanied by both parties' representatives. The field inspection may be waived upon mutual agreement of the parties.

D. DRB CONSIDERATION AND HANDLING OF DISPUTES

Upon receipt by the DRB of a written referral of a dispute, the DRB shall convene to review and consider the dispute. The dispute meeting shall be held no earlier than 30 days and no later than 60 days after receipt of the written referral, unless otherwise agreed to by all parties. The DRB shall determine the time and location of DRB dispute meetings, with due consideration for the needs and preferences of the parties while recognizing the paramount importance of speedy resolution of issues. No dispute meetings shall take place later than 30 days prior to acceptance of contract.

Normally, dispute meetings shall be conducted at or near the project site. However, any location that would be more convenient and still provide required facilities and access to necessary documentation shall be satisfactory.

Both parties shall be given the opportunity to present their evidence at these dispute meetings. It is expressly understood that the DRB members are to act impartially and independently in the consideration of the contract provisions, applicable laws and regulations, and the facts and conditions surrounding any dispute presented by either party, and that the recommendations concerning any such dispute are advisory and nonbinding on the parties.

The DRB may request that written documentation and arguments from both parties be sent to each DRB member, through the DRB Chairperson, for review before the dispute meeting begins. A party furnishing written documentation to the DRB shall furnish copies of such information to the other party at the same time that such information is supplied to the DRB.

DRB dispute meetings shall be informal. There shall be no testimony under oath or cross-examination. There shall be no reporting of the procedures by a shorthand reporter or by electronic means. Documents and verbal statements shall be received by the DRB in conformance with acceptance standards established by the DRB. These standards need not comply with prescribed legal laws of evidence.

The third DRB member shall act as Chairperson for dispute meetings and all other DRB activities. The parties shall have a representative at all dispute meetings. Failure to attend a duly noticed dispute meeting by either of the parties shall be conclusively considered by the DRB as indication that the non-attending party considers written submittals as their entire and complete argument. The claimant shall discuss the dispute, followed by the other party. Each party shall then be allowed one or more rebuttals until all aspects of the dispute are thoroughly covered. DRB members shall ask questions, seek clarification, and request further data from either of the parties as may be necessary to assist in making a fully informed recommendation. The DRB may request from either party documents or information that would assist the DRB in making its findings and recommendations including, but not limited to, documents used by the CONTRACTOR in preparing the bid for the project. A refusal by a party to provide information requested by the DRB may be considered by the DRB as an indication that the requested material would tend to disprove that party's position. In large or complex cases, additional dispute meetings may be necessary in order to consider all the evidence presented by both parties. All involved parties shall maintain the confidentiality of all documents and information, as provided in this AGREEMENT.

During dispute meetings, no DRB member shall express an opinion concerning the merit of any facet of the case. DRB deliberations shall be conducted in private, with interim individual views kept strictly confidential.

After dispute meetings are concluded, the DRB shall meet in private and reach a conclusion supported by 2 or more members. Private sessions of the DRB may be held at a location other than the job site or by electronic conferencing as deemed appropriate, in order to expedite the process.

The DRB's findings and recommendations, along with discussion of reasons therefor, shall then be submitted as a written report to both parties. Recommendations shall be based on the pertinent contract provisions, applicable laws and regulations, and facts and circumstances related to the dispute. The report shall be thorough in discussing the facts considered, the contract language, law or regulation viewed by the DRB as pertinent to the issues, and the DRB's interpretation and philosophy in arriving at its conclusions and recommendations. The DRB's report shall stand on its own, without attachments or appendices. The DRB Chairperson shall furnish a copy of the written recommendation report to the DRB Coordinator, Division of Construction, MS 44, P.O. Box 942874, Sacramento, CA 94274.

With prior written approval of both parties, the DRB may obtain technical services necessary to adequately review the disputes presented, including audit, geotechnical, schedule analysis and other services. The parties' technical staff may supply those services as appropriate. The cost of technical services, as agreed to by the parties, shall be borne equally by the 2 parties as specified in an approved contract change order. The CONTRACTOR will not be entitled to markups for the payments made for these services.

The DRB shall resist submittal of incremental portions of information by either party, in the interest of making a fully informed decision and recommendation.

The DRB shall make every effort to reach a unanimous decision. If this proves impossible, the dissenting member shall prepare a minority opinion, which shall be included in the DRB's report.

Although both parties should place weight upon the DRB's recommendations, they are not binding. Either party may appeal a recommendation to the DRB for reconsideration. However, reconsideration shall only be allowed when there is new evidence to present, and the DRB shall accept only one appeal from each party pertaining to an individual DRB recommendation. The DRB shall hear appeals in conformance with the terms described in the Section entitled "Dispute Review Board" in the special provisions.

E. DRB MEMBER REPLACEMENT

Should the need arise to appoint a replacement DRB member, the replacement DRB member shall be appointed in the same manner as the original DRB members were appointed. The selection of a replacement DRB member shall begin promptly upon notification of the necessity for a replacement and shall be completed within 15 days. This AGREEMENT shall be amended to indicate change in DRB membership.

SECTION III CONTRACTOR RESPONSIBILITIES

The CONTRACTOR shall furnish to each DRB member one copy of pertinent documents that are or may become necessary for the DRB to perform their function. Pertinent documents are written notices of potential claim, responses to those notices, drawings or sketches, calculations, procedures, schedules, estimates, or other documents which are used in the performance of the work or in justifying or substantiating the CONTRACTOR's position. The CONTRACTOR shall also furnish a copy of such pertinent documents to the STATE, in conformance with the terms outlined in the special provisions.

SECTION IV STATE RESPONSIBILITIES

The STATE will furnish the following services and items:

A. CONTRACT RELATED DOCUMENTS

The STATE will furnish to each DRB member one copy of Notice to Contractors and Special Provisions, Proposal and Contract, Plans, Standard Specifications, and Standard Plans, change orders, written instructions issued by the STATE to the CONTRACTOR, or other documents pertinent to any dispute that has been referred to the DRB and necessary for the DRB to perform its function.

B. COORDINATION AND SERVICES

The STATE, through the Engineer, will, in cooperation with the CONTRACTOR, coordinate the operations of the DRB. The Engineer will arrange or provide conference facilities at or near the project site and provide secretarial and copying services to the DRB without charge to the CONTRACTOR.

SECTION V TIME FOR BEGINNING AND COMPLETION

Once established, the DRB shall be in operation until the day of acceptance of the contract. The DRB members shall not begin work under the terms of this AGREEMENT until authorized in writing by the STATE.

SECTION VI PAYMENT

A. ALL INCLUSIVE RATE PAYMENT

The STATE and the CONTRACTOR shall bear the costs and expenses of the DRB equally. Each DRB member shall be compensated at an agreed rate of $1,200 per day if time spent per meeting, including on-site time plus one hour of travel time, is greater than 4 hours. Each DRB member shall be compensated at an agreed rate of $700 per day if time spent per meeting, including on-site time plus one hour of travel time, is less than or equal to 4 hours. The agreed rates shall be considered full compensation for on-site time, travel expenses, transportation, lodging, time for travel and incidentals for each day, or portion thereof, that the DRB member is at an authorized DRB meeting. No additional compensation will be made for time spent by DRB members in review and research activities outside the official DRB meetings unless that time has been specifically agreed to in advance by the STATE and CONTRACTOR. Time away from the project that has been specifically agreed to in advance by the parties will be compensated at an agreed rate of $125 per hour. The agreed amount of $125 per hour shall include all incidentals including expenses for telephone, fax, and computer services. Members serving on more than one DRB involving the State, regardless of the number of meetings per day, shall not be paid more than the all inclusive rate per day or rate per hour for an individual project. The STATE will provide, at no cost to the CONTRACTOR, administrative services such as conference facilities and secretarial services to the DRB.

B. PAYMENTS

DRB members shall be compensated at the same rate. The CONTRACTOR shall make direct payments to each DRB member for their participation in authorized meetings and approved hourly rate charges from invoices submitted by each DRB member. The STATE will reimburse the CONTRACTOR for its share of the costs of the DRB.

The DRB members may submit invoices to the CONTRACTOR for partial payment for work performed and services rendered for their participation in authorized meetings not more often than once per month during the progress of the work. The invoices shall be in a format approved by the parties and accompanied by a general description of activities performed during that billing period. Payment for hourly fees, at the agreed rate, shall not be paid to a DRB member until the amount and extent of those fees are approved by the STATE and CONTRACTOR.

Invoices shall be accompanied by original supporting documents, which the CONTRACTOR shall include with the extra work billing when submitting for reimbursement of the STATE's share of cost from the STATE. The CONTRACTOR will be reimbursed for one-half of approved costs of the DRB. No markups will be added to the CONTRACTOR's payment.

C. INSPECTION OF COSTS RECORDS

The DRB members and the CONTRACTOR shall keep available for inspection by representatives of the STATE and the United States, for a period of 3 years after final payment, the cost records and accounts pertaining to this AGREEMENT. If any litigation, claim, or audit arising out of, in connection with, or related to this contract is initiated before the expiration of the 3-year period, the cost records and accounts shall be retained until such litigation, claim, or audit involving the records is completed.

SECTION VII ASSIGNMENT OF TASKS OF WORK

The DRB members shall not assign the work of this AGREEMENT.

SECTION VIII TERMINATION OF DRB MEMBERS

DRB members may resign from the DRB by providing not less than 15 days written notice of the resignation to the STATE and CONTRACTOR. DRB members may be terminated by their original appointing power or by either party, for failing to fully comply at all times with all required employment and financial disclosure conditions of DRB membership in conformance with the terms of the contract.

SECTION IX LEGAL RELATIONS

The parties hereto mutually understand and agree that the DRB member in the performance of duties on the DRB, is acting in the capacity of an independent agent and not as an employee of either party.

No party to this AGREEMENT shall bear a greater responsibility for damages or personal injury than is normally provided by Federal or State of California Law.

Notwithstanding the provisions of this contract that require the CONTRACTOR to indemnify and hold harmless the STATE, the parties shall jointly indemnify and hold harmless the DRB members from and against all claims, damages, losses, and expenses, including but not limited to attorney's fees, arising out of and resulting from the findings and recommendations of the DRB.

SECTION X CONFIDENTIALITY

The parties hereto mutually understand and agree that all documents and records provided by the parties in reference to issues brought before the DRB, which documents and records are marked "Confidential - for use by the DRB only," shall be kept in confidence and used only for the purpose of resolution of subject disputes, and for assisting in development of DRB findings and recommendations; that such documents and records will not be utilized or revealed to others, except to officials of the parties who are authorized to act on the subject disputes, for any purposes, during the life of the DRB. Upon termination of this AGREEMENT, said confidential documents and records, and all copies thereof, shall be returned to the parties who furnished them to the DRB. However, the parties understand that such documents shall be subsequently discoverable and admissible in court or arbitration proceedings unless a protective order has been obtained by the party seeking further confidentiality.

SECTION XI DISPUTES

Disputes between the parties hereto, including disputes between the DRB members and either party or both parties, arising out of the work or other terms of this AGREEMENT, which cannot be resolved by negotiation and mutual concurrence between the parties, or through the administrative process provided in the contract, shall be resolved by arbitration as provided in Section 9-1.10, "Arbitration," of the Standard Specifications.

SECTION XII VENUE, APPLICABLE LAW, AND PERSONAL JURISDICTION

In the event that any party, including an individual member of the DRB, deems it necessary to institute arbitration proceedings to enforce any right or obligation under this AGREEMENT, the parties hereto agree that such action shall be initiated in the Office of Administrative Hearings of the State of California. The parties hereto agree that all questions shall be resolved by arbitration by application of California law and that the parties to such arbitration shall have the right of appeal from such decisions to the Superior Court in conformance with the laws of the State of California. Venue for the arbitration shall be Sacramento or any other location as agreed to by the parties.

SECTION XIII FEDERAL REVIEW AND REQUIREMENTS

On Federal-Aid contracts, the Federal Highway Administration shall have the right to review the work of the DRB in progress, except for private meetings or deliberations of the DRB.

Other Federal requirements in this agreement shall only apply to Federal-Aid contracts.

SECTION XIV CERTIFICATION OF THE CONTRACTOR, THE DRB MEMBERS, AND THE STATE

IN WITNESS WHEREOF, the parties hereto have executed this AGREEMENT as of the day and year first above written.

DRB MEMBER

By: _____

Title: _____

DRB MEMBER

By: _____

Title : _____

DRB MEMBER

By : _____

Title : _____

CONTRACTOR

By: _____

Title: _____

CALIFORNIA STATE DEPARTMENT
OF TRANSPORTATION

By: _____

Title: _____

^^

SECTION 6 CONTROL OF MATERIALS
(Issued 07-01-08)

Replace Section 6-1.05 with:

6-1.05 Specific Brand or Trade Name and Substitution

A reference to a specific brand or trade name establishes a quality standard and is not intended to limit competition. You may use a product that is equal to or better than the specified brand or trade name if approved.

Submit a substitution request within a time period that:

1. Follows Contract award
2. Allows 30 days for review
3. Causes no delay

Include substantiating data with the substitution request that proves the substitution:

1. Is of equal or better quality and suitability

2. Causes no delay in product delivery and installation

Add:

6-1.075 GUARANTEE

Guarantee the work remains free from substantial defects for 1 year after contract acceptance except for work parts for which you were relieved of maintenance and protection. Guarantee each of these relieved work parts for 1 year after the relief date.

The guarantee excludes damage or displacement caused by an event outside your control including:

1. Normal wear and tear
2. Improper operation
3. Insufficient maintenance
4. Abuse
5. Unauthorized change
6. Act of God

During the guarantee period, repair or replace each work portion having a substantial defect.

The Department does not pay for corrective work.

During corrective work activities, provide insurance coverage specified for coverage before contract acceptance.

The contract bonds must be in full force and effect until the later of:

1. Expiration of guarantee period
2. Completion of corrective work

If a warranty specification conflicts with Section 6-1.075, "Guarantee," comply with the warranty specification.

During the guarantee period, the Engineer monitors the completed work. If the Engineer finds work having a substantial defect, the Engineer lists work parts and furnishes you the list.

Within 10 days of receipt of the list, submit for authorization a detailed plan for correcting the work. Include a schedule that includes:

1. Start and completion dates
2. List of labor, equipment, materials, and any special services you plan to use
3. Work related to the corrective work, including traffic control and temporary and permanent pavement markings

The Engineer notifies you when the plan is authorized. Start corrective work and related work within 15 days of notice.

If the Engineer determines corrective work is urgently required to prevent injury or property damage:

1. The Engineer furnishes you a request to start emergency repair work and a list of parts requiring corrective work
2. Mobilize within 24 hours and start work
3. Submit a corrective work plan within 5 days of starting emergency repair work

If you fail to perform work as specified, the Department may perform the work and bill you.

Add:

6-1.085 BUY AMERICA (23 CFR 635.410)

For a Federal-aid contract, furnish steel and iron materials to be incorporated into the work that are produced in the United States except:

1. Foreign pig iron and processed, pelletized, and reduced iron ore may be used in the domestic production of the steel and iron materials [60 Fed Reg 15478 (03/24/1995)]
2. If the total combined cost of the materials does not exceed the greater of 0.1 percent of the total bid or $2 500, material produced outside the United States may be used

Production includes:

1. Processing steel and iron materials, including smelting or other processes that alter the physical form or shape (such as rolling, extruding, machining, bending, grinding, and drilling) or chemical composition
2. Coating application, including epoxy coating, galvanizing, and painting, that protects or enhances the value of steel and iron materials

For steel and iron materials to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies all production processes occurred in the United States except for the above exceptions.

Add:

6-1.087 BUY AMERICA (PUB RES CODE § 42703(d))

Furnish crumb rubber to be incorporated into the work that is produced in the United States and is derived from waste tires taken from vehicles owned and operated in the United States.

For crumb rubber to be incorporated into the work, submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance," of the Standard Specifications that certifies only crumb rubber manufactured in the United States and derived from waste tires taken from vehicles owned and operated in the United States is used.

In Section 6-2.01 delete the 4th paragraph.

In Section 6-2.01 replace the 7th paragraph with:

Upon the Contractor's written request, the Department tests materials from an untested local source. If satisfactory material from that source is used in the work, the Department does not charge the Contractor for the tests; otherwise, the Department deducts the test cost.

In Section 6-2.01 delete the 8th paragraph.

In Section 6-2.02 delete the 3rd paragraph.

In Section 6-2.02 in the 7th paragraph, replace the 2nd sentence with:

The Department deducts the charges for the removed material.

In Section 6-3.01 delete the 4th paragraph.

In Section 6-3.01 in the 6th paragraph, delete the 1st sentence.

In Section 6-3.01 add:

As used in Section 6-3.01, "Testing," tests are tests to assure the quality and to determine the acceptability of the work.

The Department deducts costs of testing work found to be noncompliant.

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SECTION 7 LEGAL RELATIONS AND RESPONSIBILITY
(Issued 07-01-08)

Replace Section 7-1.01 with:

7-1.01 LAWS TO BE OBSERVED

Comply with laws, regulations, orders, decrees, and PLACs applicable to the project. Indemnify and defend the State against any claim or liability arising from the violation of a law, regulation, order, decree, or PLAC by you or your employees. Immediately report to the Engineer in writing a discrepancy or inconsistency between the contract and a law, regulation, order, decree, or PLAC.

In Section 7-1.01A replace the 1st clause with:

Work on the job site must comply with Labor Code §§ 1727 and 1770-1815 and 8 CA Code of Regs § 16000 et seq. Work includes roadside production and processing of materials.

In Section 7-1.01A(2) in the 1st paragraph, replace item 3 with:

3. Upon becoming aware of the subcontractor's failure to pay the specified prevailing rate of wages to the subcontractor's workers, the Contractor must diligently take corrective action to stop or rectify the failure, including withholding sufficient funds due the subcontractor for work performed on the public works project.

In Section 7-1.01A(2) replace the 2nd paragraph with:

Pursuant to Section 1775 of the Labor Code, the Division of Labor Standards Enforcement must notify the Contractor on a public works project within 15 days of the receipt by the Division of Labor Standards Enforcement of a complaint of the failure of a subcontractor on that public works project to pay workers the general prevailing rate of per diem wages. If the Division of Labor Standards Enforcement determines that employees of a subcontractor were not paid the general prevailing rate of per diem wages and if the Department did not withhold sufficient money under the contract to pay those employees the balance of wages owed under the general prevailing rate of per diem wages, the Contractor must withhold an amount of moneys due the subcontractor sufficient to pay those employees the general prevailing rate of per diem wages if requested by the Division of Labor Standards Enforcement. The Contractor must pay any money withheld from and owed to a subcontractor upon receipt of notification by the Division of Labor Standards Enforcement that the wage complaint has been resolved. If notice of the resolution of the wage complaint has not been received by the Contractor within 180 days of the filing of a valid notice of completion or acceptance of the public works project, whichever occurs later, the Contractor must pay all moneys withheld from the subcontractor to the Department. The Department withholds these moneys pending the final decision of an enforcement action.

In Section 7-1.01A(2) replace the 7th paragraph with:

Changes in general prevailing wage determinations apply to the contract when the Director of Industrial Relations has issued them at least 10 days before advertisement (Labor Code § 1773.6 and 8 CA Code of Regs 16204).

In Section 7-1.01A(3) replace the 2nd paragraph with:

The Department withholds the penalties specified in subdivision (g) of Labor Code § 1776 for noncompliance with the requirements in Section 1776.

In Section 7-1.01A(3) replace the 4th paragraph with:

The Department withholds for delinquent or inadequate payroll records (Labor Code § 1771.5). If the Contractor has not submitted an adequate payroll record by the month's 15th day for the period ending on or before the 1st of that month, the Department withholds 10 percent of the monthly progress estimate, exclusive of mobilization. The Department does not withhold more than $10 000 or less than $1000.

In Section 7-1.01A(3) delete the 5th paragraph.

Replace Section 7-1.01A(6) with:

7-1.01A(6) (Blank)

Add:

7-1.01K Solid Waste Disposal and Recycling

Submit an annual Solid Waste Disposal and Recycling Report between January 1 and 15 for each year work is performed under the Contract at any time during the previous calendar year. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 through December 31 of the previous calendar year.

Submit a final annual Solid Waste Disposal and Recycling Report within 5 business days after Contract acceptance. Show the types and amounts of project-generated solid waste taken to or diverted from landfills or reused on the project from January 1 to contract acceptance.

For each failure to submit a completed form, the Department withholds $10,000.

Add:

7-1.01L Asbestos and Hazardous Substances

• Upon discovery, immediately stop working in and notify the Engineer of areas where asbestos or a hazardous substance is present if the:

1. Contractor reasonably believes the substance is asbestos as defined in Labor Code § 6501.7 or a hazardous substance as defined in Health & Safety Code §§ 25316 and 25317
2. Presence is not described in the contract
3. Substance has not been made harmless

Add:

7-1.01M Archaeological Discoveries

If archaeological materials are discovered at the job site, protect and leave them undisturbed in place and comply with:

1. Pub Res Code §§ 5097.5, 5097.98, and 5097.99
2. 14 CA Code of Regs § 4308
4. Penal Code § 622-1/2
5. Health & Safety Code § 7050.5

Archaeological materials are the remains of past human activity including historic-period archaeological materials and prehistoric Native American archaeological materials. Nonhuman fossils are not archaeological materials unless they show direct evidence of human use or alteration or when found in direct physical association with archaeological materials

Historic-period archaeological materials include cultural remains beginning with initial European contact in California but at least 50 years old and include:

1. Trash deposits or clearly defined disposal pits containing tin cans, bottles, ceramic dishes, or other refuse indicating previous occupation or use of the site
2. Structural remains of stone, brick, concrete, wood, or other building material found above or below ground
3. Human skeletal remains from the historic period, with or without coffins or caskets, including any associated grave goods

Prehistoric Native American archaeological materials include:

1. Human skeletal remains or associated burial goods such as beads or ornaments
2. Evidence of tool making or hunting such as arrowheads and associated chipping debris of fine-grained materials such as obsidian, chert, or basalt
3. Evidence of plant processing such as pestles, grinding slabs, or stone bowls
4. Evidence of habitation such as cooking pits, stone hearths, packed or burnt earth floors

5. Remains from food processing such as concentrations of discarded or burnt animal bone, shellfish remains, or burnt rocks used in cooking

Immediately upon discovering archaeological materials, stop all work within an 18.5-meter radius of the archaeological materials and notify the Engineer. Archaeological materials discovered are the property of the State. Do not resume work within the 18.5-meter radius of the discovery until the Engineer gives you written approval. If, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of an archeological discovery or investigation or recovery of archeological materials, you will be compensated for resulting losses and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays," of the Standard Specifications.

The Department may use other forces to investigate and recover archaeological materials from the location of the discovery. If ordered by the Engineer furnish labor, material, tools, and equipment to secure the location of the discovery and assist in the investigation or recovery of archaeological materials; the cost of this work will be paid for as extra work as specified in Section 4-1.03D, "Extra Work," of the Standard Specifications.

In Section 7-1.02 in the 2nd paragraph, replace the 4th sentence with:

Trucks used to haul treated base, portland cement concrete, or hot mix asphalt shall enter onto the base to dump at the nearest practical entry point ahead of spreading equipment.

In Section 7-1.02 between the 4th and 5th paragraphs, add:

Loads imposed on existing, new, or partially completed structures shall not exceed the load carrying capacity of the structure or any portion of the structure as determined by AASHTO LRFD with interims and California Amendments, Design Strength Limit State II. The compressive strength of concrete (f'_c) to be used in computing the load carrying capacity shall be the smaller of the following:

1. Actual compressive strength at the time of loading
2. Value of f'_c shown on the plans for that portion of the structure or 2.5 times the value of f_c (extreme fiber compressive stress in concrete at service loads) shown on the plans for portions of the structure where no f'_c is shown

In Section 7-1.09 replace the 8th paragraph with:

Signs, lights, flags, and other warning and safety devices and their use shall conform to the requirements set forth in Part 6 of the California MUTCD except where a discrepancy exists between the California MUTCD and the specifications; for discrepancies, comply with the specifications. Signs or other protective devices furnished and erected by the Contractor, at the Contractor's expense, as above provided, shall not obscure the visibility of, nor conflict in intent, meaning and function of either existing signs, lights and traffic control devices or any construction area signs and traffic control devices for which furnishing of, or payment for, is provided elsewhere in the specifications. Signs furnished and erected by the Contractor, at the Contractor's expense, shall be approved by the Engineer as to size, wording and location.

In Section 7-1.09 replace the 14th paragraph with:

The Contractor shall notify the Engineer not less than 18 days and no more than 90 days prior to the anticipated start of an operation that will change the vertical or horizontal clearance available to public traffic (including shoulders).

In Section 7-1.09 replace the 16th paragraph with:

When vertical clearance is temporarily reduced to 4.72 m or less, low clearance warning signs shall be placed in accordance with Part 2 of the California MUTCD and as directed by the Engineer. Signs shall conform to the dimensions, color, and legend requirements of the California MUTCD and these specifications except that the signs shall have black letters and numbers on an orange retroreflective background. W12-2P signs shall be illuminated so that the signs are clearly visible.

Add to Section 7-1.09:

The Contractor shall install temporary railing (Type K) between a lane open to public traffic and an excavation, obstacle or storage area when the following conditions exist:

A. Excavations-The near edge of the excavation is 3.6 m or less from the edge of the lane, except:

   1. Excavations covered with sheet steel or concrete covers of adequate thickness to prevent accidental entry by traffic or the public.
   2. Excavations less than 0.3 m deep.
   3. Trenches less than 0.3 m wide for irrigation pipe or electrical conduit, or excavations less than 0.3 m in diameter.
   4. Excavations parallel to the lane for the purpose of pavement widening or reconstruction.
   5. Excavations in side slopes, where the slope is steeper than 4:1 (horizontal:vertical).
   6. Excavations protected by existing barrier or railing.

B. Temporarily Unprotected Permanent Obstacles-The work includes the installation of a fixed obstacle together with a protective system, such as a sign structure together with protective railing, and the Contractor elects to install the obstacle prior to installing the protective system; or the Contractor, for the Contractor's convenience and with permission of the Engineer, removes a portion of an existing protective railing at an obstacle and does not replace such railing complete in place during the same day.

C. Storage Areas-Material or equipment is stored within 3.6 m of the lane and the storage is not otherwise prohibited by the provisions of the Standard Specifications and these special provisions.

The approach end of temporary railing (Type K), installed in conformance with the provisions in this section "Public Safety" and in Section 7-1.09, "Public Safety," of the Standard Specifications, shall be offset a minimum of 4.6 m from the edge of the traffic lane open to public traffic. The temporary railing shall be installed on a skew toward the edge of the traffic lane of not more than 0.3 m transversely to 3 m longitudinally with respect to the edge of the traffic lane. If the 4.6 m minimum offset cannot be achieved, the temporary railing shall be installed on the 10 to 1 skew to obtain the maximum available offset between the approach end of the railing and the edge of the traffic lane, and an array of temporary crash cushion modules shall be installed at the approach end of the temporary railing.

Temporary railing (Type K) shall be secured in place before starting work for which the temporary railing is required.

Except for installing, maintaining and removing traffic control devices, whenever work is performed or equipment is operated in the following work areas, the Contractor shall close the adjacent traffic lane unless otherwise provided in the Standard Specifications and these special provisions:

Approach Speed of Public Traffic (Posted Limit) (Kilometers Per Hour)	Work Areas
Over 72 (45 Miles Per Hour)	Within 1.8 m of a traffic lane but not on a traffic lane
56 to 72 (35 to 45 Miles Per Hour)	Within 0.9 m of a traffic lane but not on a traffic lane

The lane closure provisions of this section shall not apply if the work area is protected by permanent or temporary railing or barrier.

When traffic cones or delineators are used to delineate a temporary edge of a traffic lane, the line of cones or delineators shall be considered to be the edge of the traffic lane, however, the Contractor shall not reduce the width of an existing lane to less than 3 m without written approval from the Engineer.

When work is not in progress on a trench or other excavation that required closure of an adjacent lane, the traffic cones or portable delineators used for the lane closure shall be placed off of and adjacent to the edge of the traveled way. The spacing of the cones or delineators shall be not more than the spacing used for the lane closure.

Suspended loads or equipment shall not be moved nor positioned over public traffic or pedestrians.

In Section 7-1.11 in the 2nd paragraph, replace the last sentence with:

The cost of the repairs must be borne by the Contractor and will be deducted.

Replace Section 7-1.12 with:

7-1.12 INDEMNIFICATION AND INSURANCE

The Contractor's obligations regarding indemnification of the State of California and the requirements for insurance shall conform to the provisions in Section 3-1.025, "Insurance Policies," and Sections 7-1.12A, "Indemnification," and 7-1.12B, "Insurance," of this Section 7-1.12.

7-1.12A Indemnification

The Contractor shall defend, indemnify, and save harmless the State, including its officers, employees, and agents (excluding agents who are design professionals) from any and all claims, demands, causes of action, damages, costs, expenses, actual attorneys' fees, losses or liabilities, in law or in equity (Section 7-1.12A Claims) arising out of or in connection with the Contractor's performance of this contract for:

1. Bodily injury including, but not limited to, bodily injury, sickness or disease, emotional injury or death to persons, including, but not limited to, the public, any employees or agents of the Contractor, the State, or any other contractor; and
2. Damage to property of anyone including loss of use thereof; caused or alleged to be caused in whole or in part by any negligent or otherwise legally actionable act or omission of the Contractor or anyone directly or indirectly employed by the Contractor or anyone for whose acts the Contractor may be liable.

Except as otherwise provided by law, these requirements apply regardless of the existence or degree of fault of the State. The Contractor is not obligated to indemnify the State for Claims arising from conduct delineated in Civil Code Section 2782 and to Claims arising from any defective or substandard condition of the highway that existed at or before the start of work, unless this condition has been changed by the work or the scope of the work requires the Contractor to maintain existing highway facilities and the Claim arises from the Contractor's failure to maintain. The Contractor's defense and indemnity obligation shall extend to Claims arising after the work is completed and accepted if the Claims are directly related to alleged acts or omissions by the Contractor that occurred during the course of the work. State inspection is not a waiver of full compliance with these requirements.

The Contractor's obligation to defend and indemnify shall not be excused because of the Contractor's inability to evaluate liability or because the Contractor evaluates liability and determine that the Contractor is not liable. The Contractor shall respond within 30 days to the tender of any Claim for defense and indemnity by the State, unless this time has been extended by the State. If the Contractor fails to accept or reject a tender of defense and indemnity within 30 days, in addition to any other remedy authorized by law, the Department may withhold such funds the State reasonably considers necessary for its defense and indemnity until disposition has been made of the Claim or until the Contractor accepts or rejects the tender of defense, whichever occurs first.

With respect to third-party claims against the Contractor, the Contractor waives all rights of any type to express or implied indemnity against the State, its officers, employees, or agents (excluding agents who are design professionals).

Nothing in the Contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these indemnification specifications.

7-1.12B Insurance

7-1.12B(1) General

Nothing in the contract is intended to establish a standard of care owed to any member of the public or to extend to the public the status of a third-party beneficiary for any of these insurance specifications.

7-1.12B(2) Casualty Insurance

The Contractor shall procure and maintain insurance on all of its operations with companies acceptable to the State as follows:

1. The Contractor shall keep all insurance in full force and effect from the beginning of the work through contract acceptance.
2. All insurance shall be with an insurance company with a rating from A.M. Best Financial Strength Rating of A- or better and a Financial Size Category of VII or better.
3. The Contractor shall maintain completed operations coverage with a carrier acceptable to the State through the expiration of the patent deficiency in construction statute of repose set forth in Code of Civil Procedure Section 337.1.

7-1.12B(3) Workers' Compensation and Employer's Liability Insurance

In accordance with Labor Code Section 1860, the Contractor shall secure the payment of worker's compensation in accordance with Labor Code Section 3700.

In accordance with Labor Code Section 1861, the Contractor shall submit to the Department the following certification before performing the work:

> I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Contract execution constitutes certification submittal.

The Contractor shall provide Employer's Liability Insurance in amounts not less than:

1. $1 000 000 for each accident for bodily injury by accident
2. $1 000 000 policy limit for bodily injury by disease
3. $1 000 000 for each employee for bodily injury by disease

If there is an exposure of injury to the Contractor's employees under the U.S. Longshoremen's and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations, or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

7-1.12B(4) Liability Insurance

7-1.12B(4)(a) General

The Contractor shall carry General Liability and Umbrella or Excess Liability Insurance covering all operations by or on behalf of the Contractor providing insurance for bodily injury liability and property damage liability for the following limits and including coverage for:

1. Premises, operations, and mobile equipment
2. Products and completed operations
3. Broad form property damage (including completed operations)
4. Explosion, collapse, and underground hazards
5. Personal injury
6. Contractual liability

7-1.12B(4)(b) Liability Limits/Additional Insureds

The limits of liability shall be at least the amounts shown in the following table:

Total Bid	For Each Occurrence[1]	Aggregate for Products/Completed Operation	General Aggregate[2]	Umbrella or Excess Liability[3]
≤$1 000 000	$1 000 000	$2 000 000	$2 000 000	$5 000 000
>$1 000 000 ≤$5 000 000	$1 000 000	$2 000 000	$2 000 000	$10 000 000
>$5 000 000 ≤$25 000 000	$2 000 000	$2 000 000	$4 000 000	$15 000 000
>$25 000 000	$2 000 000	$2 000 000	$4 000 000	$25 000 000

1. Combined single limit for bodily injury and property damage.
2. This limit shall apply separately to the Contractor's work under this contract.
3. The umbrella or excess policy shall contain a clause stating that it takes effect (drops down) in the event the primary limits are impaired or exhausted.

The Contractor shall not require certified Small Business subcontractors to carry Liability Insurance that exceeds the limits in the table above. Notwithstanding the limits specified herein, at the option of the Contractor, the liability insurance limits for certified Small Business subcontractors of any tier may be less than those limits specified in the table. For Small Business subcontracts, "Total Bid" shall be interpreted as the amount of subcontracted work to a certified Small Business.

The State, including its officers, directors, agents (excluding agents who are design professionals), and employees, shall be named as additional insureds under the General Liability and Umbrella Liability Policies with respect to liability arising

out of or connected with work or operations performed by or on behalf of the Contractor under this contract. Coverage for such additional insureds does not extend to liability:

1. Arising from any defective or substandard condition of the roadway which existed at or before the time the Contractor started work, unless such condition has been changed by the work or the scope of the work requires the Contractor to maintain existing roadway facilities and the claim arises from the Contractor's failure to maintain;
2. For claims occurring after the work is completed and accepted unless these claims are directly related to alleged acts or omissions of the Contractor that occurred during the course of the work; or
3. To the extent prohibited by Insurance Code Section 11580.04

Additional insured coverage shall be provided by a policy provision or by an endorsement providing coverage at least as broad as Additional Insured (Form B) endorsement form CG 2010, as published by the Insurance Services Office (ISO), or other form designated by the Department.

7-1.12B(4)(c) Contractor's Insurance Policy is Primary

The policy shall stipulate that the insurance afforded the additional insureds applies as primary insurance. Any other insurance or self-insurance maintained by the State is excess only and shall not be called upon to contribute with this insurance.

7-1.12B(5) Automobile Liability Insurance

The Contractor shall carry automobile liability insurance, including coverage for all owned, hired, and nonowned automobiles. The primary limits of liability shall be not less than $1 000 000 combined single limit each accident for bodily injury and property damage. The umbrella or excess liability coverage required under Section 7-1.12B(4)(b) also applies to automobile liability.

7-1.12B(6) Policy Forms, Endorsements, and Certificates

The Contractor shall provide its General Liability Insurance under Commercial General Liability policy form No. CG0001 as published by the Insurance Services Office (ISO) or under a policy form at least as broad as policy form No. CG0001.

7-1.12B(7) Deductibles

The State may expressly allow deductible clauses, which it does not consider excessive, overly broad, or harmful to the interests of the State. Regardless of the allowance of exclusions or deductions by the State, the Contractor is responsible for any deductible amount and shall warrant that the coverage provided to the State is in accordance with Section 7-1.12B, "Insurance."

7-1.12B(8) Enforcement

The Department may assure the Contractor's compliance with its insurance obligations. Ten days before an insurance policy lapses or is canceled during the contract period, the Contractor shall submit to the Department evidence of renewal or replacement of the policy.

If the Contractor fails to maintain any required insurance coverage, the Department may maintain this coverage and withhold or charge the expense to the Contractor or terminate the Contractor's control of the work in accordance with Section 8-1.08, "Termination of Control."

The Contractor is not relieved of its duties and responsibilities to indemnify, defend, and hold harmless the State, its officers, agents, and employees by the Department's acceptance of insurance policies and certificates.

Minimum insurance coverage amounts do not relieve the Contractor for liability in excess of such coverage, nor do they preclude the State from taking other actions available to it, including the withholding of funds under this contract.

7-1.12B(9) Self-Insurance

Self-insurance programs and self-insured retentions in insurance policies are subject to separate annual review and approval by the State.

If the Contractor uses a self-insurance program or self-insured retention, the Contractor shall provide the State with the same protection from liability and defense of suits as would be afforded by first-dollar insurance. Execution of the contract is the Contractor's acknowledgement that the Contractor will be bound by all laws as if the Contractor were an insurer as defined under Insurance Code Section 23 and that the self-insurance program or self-insured retention shall operate as insurance as defined under Insurance Code Section 22.

In Section 7-1.13 delete the 5th and 6th paragraphs.

Add:

7-1.50 FEDERAL LAWS FOR FEDERAL-AID CONTRACTS

7-1.50A General

Section 7-1.50, "Federal Laws for Federal-Aid Contracts," includes specifications required in a Federal-aid construction contract and applies to a Federal-aid contract.

Form FHWA-1273 is included in the contract in Section 7-1.50B, "FHWA-1273." Some contract terms on the form are different than those used in other contract parts as shown in the following table:

FHWA-1273 Terms and Department Equivalencies

FHWA-1273 Term	Equivalent Term Used in Other Contract Parts
SHA	Department
SHA contracting officer	Engineer
SHA resident engineer	Engineer

7-1.50B FHWA-1273

FHWA-1273 Electronic version -- March 10, 1994
with revised Section VI

REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

I. General
II. Nondiscrimination
III. Nonsegregated Facilities
IV. Payment of Predetermined Minimum Wage
V. Statements and Payrolls
VI. Record of Materials, Supplies, and Labor
VII. Subletting or Assigning the Contract
VIII. Safety: Accident Prevention
IX. False Statements Concerning Highway Projects
X. Implementation of Clean Air Act and Federal Water Pollution Control Act
XI. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion
XII. Certification Regarding Use of Contract Funds for Lobbying

ATTACHMENTS

A. Employment Preference for Appalachian Contracts (included in Appalachian contracts only)

I. GENERAL

1. These contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
2. Except as otherwise provided for in each section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions, and further require their inclusion in any lower tier subcontract or purchase order that may in turn be made. The Required Contract Provisions shall not be incorporated by reference in any case. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with these Required Contract Provisions.
3. A breach of any of the stipulations contained in these Required Contract Provisions shall be sufficient grounds for termination of the contract.
4. A breach of the following clauses of the Required Contract Provisions may also be grounds for debarment as provided in 29 CFR 5.12:

   Section I, paragraph 2;
   Section IV, paragraphs 1, 2, 3, 4, and 7;
   Section V, paragraphs 1 and 2a through 2g.

5. Disputes arising out of the labor standards provisions of Section IV (except paragraph 5) and Section V of these Required Contract Provisions shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor (DOL) as set forth in 29 CFR 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the DOL, or the contractor's employees or their representatives.
6. **Selection of Labor:** During the performance of this contract, the contractor shall not:

   a. discriminate against labor from any other State, possession, or territory of the United States (except for employment preference for Appalachian contracts, when applicable, as specified in Attachment A), or
   b. employ convict labor for any purpose within the limits of the project unless it is labor performed by convicts who are on parole, supervised release, or probation.

II. NONDISCRIMINATION

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

1. **Equal Employment Opportunity:** Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630 and 41 CFR 60) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The Equal Opportunity Construction Contract Specifications set forth under 41 CFR 60-4.3 and the provisions of the American Disabilities Act of 1990 (42 U.S.C. 12101 *et seq.*) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

   a. The contractor will work with the State highway agency (SHA) and the Federal Government in carrying out EEO obligations and in their review of his/her activities under the contract.
   b. The contractor will accept as his operating policy the following statement:

      "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, preapprenticeship, and/or on-the-job training."

2. **EEO Officer:** The contractor will designate and make known to the SHA contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active contractor program of EEO and who must be assigned adequate authority and responsibility to do so.
3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

   a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
   b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
   c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minority group employees.
   d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
   e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

4. **Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minority groups in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority group applicants may be referred to the contractor for employment consideration.
b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, he is expected to observe the provisions of that agreement to the extent that the system permits the contractor's compliance with EEO contract provisions. (The DOL has held that where implementation of such agreements have the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Executive Order 11246, as amended.)
c. The contractor will encourage his present employees to refer minority group applicants for employment. Information and procedures with regard to referring minority group applicants will be discussed with employees.

5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with his obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of his avenues of appeal.

6. **Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minority group and women employees, and applicants for employment.
b. Consistent with the contractor’s work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision.
c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
d. The contractor will periodically review the training and promotion potential of minority group and women employees and will encourage eligible employees to apply for such training and promotion.

7. **Unions**: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use his/her best efforts to obtain the cooperation of such unions to increase opportunities for minority groups and women within the unions, and to effect referrals by such unions of minority and female employees. Actions by the contractor either directly or through a contractor’s association acting as agent will include the procedures set forth below:

a. The contractor will use best efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minority group members and women for membership in the unions and increasing the skills of minority group employees and women so that they may qualify for higher paying employment.

b. The contractor will use best efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the SHA and shall set forth what efforts have been made to obtain such information.
d. In the event the union is unable to provide the contractor with a reasonable flow of minority and women referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minority group persons and women. (The DOL has held that it shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees.) In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these specifications, such contractor shall immediately notify the SHA.

8. **Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment.

a. The contractor shall notify all potential subcontractors and suppliers of his/her EEO obligations under this contract.
b. Disadvantaged business enterprises (DBE), as defined in 49 CFR 23, shall have equal opportunity to compete for and perform subcontracts which the contractor enters into pursuant to this contract. The contractor will use his best efforts to solicit bids from and to utilize DBE subcontractors or subcontractors with meaningful minority group and female representation among their employees. Contractors shall obtain lists of DBE construction firms from SHA personnel.
c. The contractor will use his best efforts to ensure subcontractor compliance with their EEO obligations.

9. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the SHA and the FHWA.

a. The records kept by the contractor shall document the following:

1. The number of minority and non-minority group members and women employed in each work classification on the project;
2. The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women;
3. The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minority and female employees; and
4. The progress and efforts being made in securing the services of DBE subcontractors or subcontractors with meaningful minority and female representation among their employees.

b. The contractors will submit an annual report to the SHA each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data.

III. NONSEGREGATED FACILITIES

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $10,000 or more.)

a. By submission of this bid, the execution of this contract or subcontract, or the consummation of this material supply agreement or purchase order, as appropriate, the bidder, Federal-aid construction contractor, subcontractor, material supplier, or vendor, as appropriate, certifies that the firm does not maintain or provide for its employees any segregated facilities at any of its establishments, and that the firm does not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The firm agrees that a breach of this certification is a violation of the EEO provisions of this contract. The firm further certifies that no employee will be denied access to adequate facilities on the basis of sex or disability.

b. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, timeclocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive, or are, in fact, segregated on the basis of race, color, religion, national origin, age or disability, because of habit, local custom, or otherwise. The only exception will be for the disabled when the demands for accessibility override (e.g. disabled parking).

c. The contractor agrees that it has obtained or will obtain identical certification from proposed subcontractors or material suppliers prior to award of subcontracts or consummation of material supply agreements of $10,000 or more and that it will retain such certifications in its files.

IV. PAYMENT OF PREDETERMINED MINIMUM WAGE

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural minor collectors, which are exempt.)

1. **General:**

a. All mechanics and laborers employed or working upon the site of the work will be paid unconditionally and not less often than once a week and without subsequent deduction or rebate on any account [except such payroll deductions as are permitted by regulations (29 CFR 3) issued by the Secretary of Labor under the Copeland Act (40 U.S.C. 276c)] the full amounts of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment. The payment shall be computed at wage rates not less than those contained in the wage determination of the Secretary of Labor (hereinafter "the wage determination") which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor or its subcontractors and such laborers and mechanics. The wage determination (including any additional classifications and wage rates conformed under paragraph 2 of this Section IV and the DOL poster (WH-1321) or Form FHWA-1495) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. For the purpose of this Section, contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis- Bacon Act (40 U.S.C. 276a) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of Section IV, paragraph 3b, hereof. Also, for the purpose of this Section, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in paragraphs 4 and 5 of this Section IV.

b. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein, provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed.

c. All rulings and interpretations of the Davis-Bacon Act and related acts contained in 29 CFR 1, 3, and 5 are herein incorporated by reference in this contract.

2. **Classification:**

a. The SHA contracting officer shall require that any class of laborers or mechanics employed under the contract, which is not listed in the wage determination, shall be classified in conformance with the wage determination.

b. The contracting officer shall approve an additional classification, wage rate and fringe benefits only when the following criteria have been met:

1. the work to be performed by the additional classification requested is not performed by a classification in the wage determination;
2. the additional classification is utilized in the area by the construction industry;
3. the proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
4. with respect to helpers, when such a classification prevails in the area in which the work is performed.

c. If the contractor or subcontractors, as appropriate, the laborers and mechanics (if known) to be employed in the additional classification or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the DOL, Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, D.C. 20210. The Wage and Hour Administrator, or an authorized

representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

d. In the event the contractor or subcontractors, as appropriate, the laborers or mechanics to be employed in the additional classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. Said Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 2c or 2d of this Section IV shall be paid to all workers performing work in the additional classification from the first day on which work is performed in the classification.

3. **Payment of Fringe Benefits:**

a. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor or subcontractors, as appropriate, shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly case equivalent thereof.

b. If the contractor or subcontractor, as appropriate, does not make payments to a trustee or other third person, he/she may consider as a part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

4. **Apprentices and Trainees (Programs of the U.S. DOL) and Helpers:**

a. Apprentices:

1. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the DOL, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice.
2. The allowable ratio of apprentices to journeyman-level employees on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any employee listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate listed in the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor or subcontractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman-level hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.
3. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator for the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.
4. In the event the Bureau of Apprenticeship and Training, or a State apprenticeship agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor or subcontractor will no longer be

permitted to utilize apprentices at less than the applicable predetermined rate for the comparable work performed by regular employees until an acceptable program is approved.

b. Trainees:

1. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the DOL, Employment and Training Administration.
2. The ratio of trainees to journeyman-level employees on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.
3. Every trainee must be paid at not less than the rate specified in the approved program for his/her level of progress, expressed as a percentage of the journeyman-level hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman-level wage rate on the wage determination which provides for less than full fringe benefits for apprentices, in which case such trainees shall receive the same fringe benefits as apprentices.
4. In the event the Employment and Training Administration withdraws approval of a training program, the contractor or subcontractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Helpers:

Helpers will be permitted to work on a project if the helper classification is specified and defined on the applicable wage determination or is approved pursuant to the conformance procedure set forth in Section IV.2. Any worker listed on a payroll at a helper wage rate, who is not a helper under an approved definition, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.

5. **Apprentices and Trainees (Programs of the U.S. DOT):**

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

6. **Withholding:**

The SHA shall upon its own action or upon written request of an authorized representative of the DOL withhold, or cause to be withheld, from the contractor or subcontractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements which is held by the same prime contractor, as much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the SHA contracting officer may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

7. **Overtime Requirements:**

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers, mechanics, watchmen, or guards (including apprentices, trainees, and helpers described in paragraphs 4 and 5 above) shall require or permit any laborer, mechanic, watchman, or guard in any workweek in

which he/she is employed on such work, to work in excess of 40 hours in such workweek unless such laborer, mechanic, watchman, or guard receives compensation at a rate not less than one-and-one-half times his/her basic rate of pay for all hours worked in excess of 40 hours in such workweek.

8. **Violation:**

Liability for Unpaid Wages; Liquidated Damages: In the event of any violation of the clause set forth in paragraph 7 above, the contractor and any subcontractor responsible thereof shall be liable to the affected employee for his/her unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer, mechanic, watchman, or guard employed in violation of the clause set forth in paragraph 7, in the sum of $10 for each calendar day on which such employee was required or permitted to work in excess of the standard work week of 40 hours without payment of the overtime wages required by the clause set forth in paragraph 7.

9. **Withholding for Unpaid Wages and Liquidated Damages:**

The SHA shall upon its own action or upon written request of any authorized representative of the DOL withhold, or cause to be withheld, from any monies payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to the contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph 8 above.

V. STATEMENTS AND PAYROLLS

(Applicable to all Federal-aid construction contracts exceeding $2,000 and to all related subcontracts, except for projects located on roadways classified as local roads or rural collectors, which are exempt.)

1. **Compliance with Copeland Regulations (29 CFR 3):**

The contractor shall comply with the Copeland Regulations of the Secretary of Labor which are herein incorporated by reference.

2. **Payrolls and Payroll Records:**

a. Payrolls and basic records relating thereto shall be maintained by the contractor and each subcontractor during the course of the work and preserved for a period of 3 years from the date of completion of the contract for all laborers, mechanics, apprentices, trainees, watchmen, helpers, and guards working at the site of the work.

b. The payroll records shall contain the name, social security number, and address of each such employee; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalent thereof the types described in Section 1(b)(2)(B) of the Davis Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. In addition, for Appalachian contracts, the payroll records shall contain a notation indicating whether the employee does, or does not, normally reside in the labor area as defined in Attachment A, paragraph 1. Whenever the Secretary of Labor, pursuant to Section IV, paragraph 3b, has found that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section 1(b)(2)(B) of the Davis Bacon Act, the contractor and each subcontractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, that the plan or program has been communicated in writing to the laborers or mechanics affected, and show the cost anticipated or the actual cost incurred in providing benefits. Contractors or subcontractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprentices and trainees, and ratios and wage rates prescribed in the applicable programs.

c. Each contractor and subcontractor shall furnish, each week in which any contract work is performed, to the SHA resident engineer a payroll of wages paid each of its employees (including apprentices, trainees, and helpers, described in Section IV, paragraphs 4 and 5, and watchmen and guards engaged on work during the preceding weekly payroll period). The payroll submitted shall set out accurately and completely all of the information required to be maintained under paragraph 2b of this Section V. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal stock number 029- 005-0014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

d. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his/her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

1. that the payroll for the payroll period contains the information required to be maintained under paragraph 2b of this Section V and that such information is correct and complete;
2. that such laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR 3;
3. that each laborer or mechanic has been paid not less that the applicable wage rate and fringe benefits or cash equivalent for the classification of worked performed, as specified in the applicable wage determination incorporated into the contract.

e. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 2d of this Section V.
f. The falsification of any of the above certifications may subject the contractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 231.
g. The contractor or subcontractor shall make the records required under paragraph 2b of this Section V available for inspection, copying, or transcription by authorized representatives of the SHA, the FHWA, or the DOL, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the SHA, the FHWA, the DOL, or all may, after written notice to the contractor, sponsor, applicant, or owner, take such actions as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

VI. RECORD OF MATERIALS, SUPPLIES, AND LABOR

(As of May 22, 2007, Form FHWA-47 is no longer required.)

VII. SUBLETTING OR ASSIGNING THE CONTRACT

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the State. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635).

   a. "Its own organization" shall be construed to include only workers employed and paid directly by the prime contractor and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor, assignee, or agent of the prime contractor.
   b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph 1 of Section VII is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the SHA contracting officer determines is necessary to assure the performance of the contract.
4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the SHA contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the SHA has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

VIII. SAFETY: ACCIDENT PREVENTION

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the SHA contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).
3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 333).

IX. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by Engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, the following notice shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

NOTICE TO ALL PERSONNEL ENGAGED ON FEDERAL-AID HIGHWAY PROJECTS

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined not more that $10,000 or imprisoned not more than 5 years or both."

X. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

(Applicable to all Federal-aid construction contracts and to all related subcontracts of $100,000 or more.)

By submission of this bid or the execution of this contract, or subcontract, as appropriate, the bidder, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any facility that is or will be utilized in the performance of this contract, unless such contract is exempt under the Clean Air Act, as amended (42 U.S.C. 1857 *et seq*., as amended by Pub.L. 91-604), and under the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq*., as amended by Pub.L. 92-500), Executive Order 11738, and regulations in implementation thereof (40 CFR 15) is not listed, on the date of contract award, on the U.S. Environmental Protection Agency (EPA) List of Violating Facilities pursuant to 40 CFR 15.20.
2. That the firm agrees to comply and remain in compliance with all the requirements of Section 114 of the Clean Air Act and Section 308 of the Federal Water Pollution Control Act and all regulations and guidelines listed thereunder.

3. That the firm shall promptly notify the SHA of the receipt of any communication from the Director, Office of Federal Activities, EPA, indicating that a facility that is or will be utilized for the contract is under consideration to be listed on the EPA List of Violating Facilities.
4. That the firm agrees to include or cause to be included the requirements of paragraph 1 through 4 of this Section X in every nonexempt subcontract, and further agrees to take such action as the government may direct as a means of enforcing such requirements.

XI. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

1. **Instructions for Certification - Primary Covered Transactions:**

(Applicable to all Federal-aid contracts - 49 CFR 29)

a. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
c. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
d. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
e. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations.
f. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
g. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the nonprocurement portion of the "Lists of Parties Excluded From Federal Procurement or Nonprocurement Programs" (Nonprocurement List) which is compiled by the General Services Administration.
i. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
j. Except for transactions authorized under paragraph f of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Primary Covered Transactions

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

   a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
   b. Have not within a 3-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph 1b of this certification; and
   d. Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

2. **Instructions for Certification - Lower Tier Covered Transactions:**

   (Applicable to all subcontracts, purchase orders and other lower tier transactions of $25,000 or more - 49 CFR 29)
   a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
   b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
   c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
   d. The terms "covered transaction," "debarred," "suspended," "ineligible," "primary covered transaction," "participant," "person," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
   e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
   f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
   g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
   h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is

not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

*** * * * ***

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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XII. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

(Applicable to all Federal-aid construction contracts and to all related subcontracts which exceed $100,000 - 49 CFR 20)

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

   a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

   b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.
3. The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed $100,000 and that all such recipients shall certify and disclose accordingly.

7-1.50C Female and Minority Goals

To comply with Section II, "Nondiscrimination," of "Required Contract Provisions Federal-Aid Construction Contracts," the Department is including in Section 7-1.50C, "Female and Minority Goals," female and minority utilization goals for Federal-aid construction contracts and subcontracts that exceed $10,000.

The nationwide goal for female utilization is 6.9 percent.

The goals for minority utilization [45 Fed Reg 65984 (10/3/1980)] are as follows:

Minority Utilization Goals

	Economic Area	Goal (Percent)
174	Redding CA: Non-SMSA Counties: CA Lassen; CA Modoc; CA Plumas; CA Shasta; CA Siskiyou; CA Tehema	6.8
175	Eureka, CA Non-SMSA Counties: CA Del Norte; CA Humboldt; CA Trinity	6.6
176	San Francisco-Oakland-San Jose, CA: SMSA Counties: 7120 Salinas-Seaside-Monterey, CA CA Monterey 7360 San Francisco-Oakland CA Alameda; CA Contra Costa; CA Marin; CA San Francisco; CA San Mateo 7400 San Jose, CA CA Santa Clara, CA 7485 Santa Cruz, CA CA Santa Cruz 7500 Santa Rosa CA Sonoma 8720 Vallejo-Fairfield-Napa, CA CA Napa; CA Solano Non-SMSA Counties: CA Lake; CA Mendocino; CA San Benito	 28.9 25.6 19.6 14.9 9.1 17.1 23.2
177	Sacramento, CA: SMSA Counties: 6920 Sacramento, CA CA Placer; CA Sacramento; CA Yolo Non-SMSA Counties CA Butte; CA Colusa; CA El Dorado; CA Glenn; CA Nevada; CA Sierra; CA Sutter; CA Yuba	 16.1 14.3
178	Stockton-Modesto, CA: SMSA Counties: 5170 Modesto, CA CA Stanislaus 8120 Stockton, CA CA San Joaquin Non-SMSA Counties CA Alpine; CA Amador; CA Calaveras; CA Mariposa; CA Merced; CA Toulumne	 12.3 24.3 19.8
179	Fresno-Bakersfield, CA SMSA Counties: 0680 Bakersfield, CA CA Kern 2840 Fresno, CA CA Fresno Non-SMSA Counties: CA Kings; CA Madera; CA Tulare	 19.1 26.1 23.6
180	Los Angeles, CA: SMSA Counties: 0360 Anaheim-Santa Ana-Garden Grove, CA CA Orange 4480 Los Angeles-Long Beach, CA CA Los Angeles 6000 Oxnard-Simi Valley-Ventura, CA CA Ventura 6780 Riverside-San Bernardino-Ontario, CA	 11.9 28.3 21.5 19.0

	CA Riverside; CA San Bernardino 7480 Santa Barbara-Santa Maria-Lompoc, CA CA Santa Barbara Non-SMSA Counties CA Inyo; CA Mono; CA San Luis Obispo	 19.7 24.6
181	San Diego, CA: SMSA Counties 7320 San Diego, CA CA San Diego Non-SMSA Counties CA Imperial	 16.9 18.2

For each July during which work is performed under the contract, you and each non-material-supplier subcontractor with a subcontract of $10,000 or more must complete Form FHWA PR-1391 (Appendix C to 23 CFR 230). Submit the forms by August 15.

7-1.50D Training

Section 7-1.50D, "Training," applies if a training goal is specified in the special provisions.

As part of your equal opportunity affirmative action program, provide on-the-job training to develop full journeymen in the types of trades or job classifications involved.

You have primary responsibility for meeting this training goal.

If you subcontract a contract part, determine how many trainees or apprentices are to be trained by the subcontractor.

Include these training requirements in your subcontract.

Where feasible, 25 percent of apprentices or trainees in each occupation must be in their 1st year of apprenticeship or training.

Distribute the number of apprentices or trainees among the work classifications on the basis of your needs and the availability of journeymen in the various classifications within a reasonable recruitment area.

Before starting work, submit to the Department:

1. Number of apprentices or trainees to be trained for each classification
2. Training program to be used
3. Training starting date for each classification

Obtain the Department's approval for this submitted information before you start work. The Department credits you for each apprentice or trainee you employ on the work who is currently enrolled or becomes enrolled in an approved program.

The primary objective of Section 7-1.50D, "Training," is to train and upgrade minorities and women toward journeymen status. Make every effort to enroll minority and women apprentices or trainees, such as conducting systematic and direct recruitment through public and private sources likely to yield minority and women apprentices or trainees, to the extent they are available within a reasonable recruitment area. Show that you have made the efforts. In making these efforts, do not discriminate against any applicant for training.

Do not employ as an apprentice or trainee an employee:

1. In any classification in which the employee has successfully completed a training course leading to journeyman status or in which the employee has been employed as a journeyman
2. Who is not registered in a program approved by the State's State Administrator of Apprenticeship

Ask the employee if the employee has successfully completed a training course leading to journeyman status or has been employed as a journeyman. Your records must show the employee's answers to the questions.

In your training program, establish the minimum length and training type for each classification. The Department and FHWA approves a program if one of the following is met:

1. It is calculated to:

    1.1. Meet the your equal employment opportunity responsibilities
    1.2. Qualify the average apprentice or trainee for journeyman status in the classification involved by the end of the training period

2. It is registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or the State of California, Department of Industrial Relations, Division of Apprenticeship Standards recognized by the Bureau and training programs approved by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training, and it is administered in a way consistent with the equal employment responsibilities of federal-aid highway construction contracts

Obtain the State's approval for your training program before you start work involving the classification covered by the program.

Provide training in the construction crafts, not in clerk-typist or secretarial-type positions. Training is allowed in lower level management positions such as office engineers, estimators, and timekeepers if the training is oriented toward construction applications. Training is allowed in the laborer classification if significant and meaningful training is provided and approved by the division office. Off-site training is allowed if the training is an integral part of an approved training program and does not make up a significant part of the overall training.

The Department reimburses you 80 cents per hour of training given an employee on this contract under an approved training program:

1. Unless you receive training funds from another source that prohibits you from receiving additional payment for the same training
2. For on-site training
3. For off-site training if the apprentice or trainee is currently employed on a federal-aid project and you do at least one of the following:

    3.1. Contribute to the cost of the training
    3.2. Provide the instruction to the apprentice or trainee
    3.3. Pay the apprentice's or trainee's wages during the off-site training period

4. If you comply with Section 7-1.50D, "Training," or prove you made a good faith effort to do so

Each apprentice or trainee must:

1. Begin training on the project as soon as feasible after the start of work involving the apprentice's or trainee's skill
2. Remain on the project as long as training opportunities exist in the apprentice's or trainee's work classification or until the apprentice or trainee has completed the training program

Furnish the apprentice or trainee:

1. Copy of the program you will comply with in providing the training
2. Certification showing the type and length of training satisfactorily completed

Maintain records and submit reports documenting your performance under Section 7-1.50D, "Training."

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SECTION 8 PROSECUTION AND PROGRESS
(Issued 07-01-08)

Replace Section 8-1.01 with:

8-1.01 (Blank)

In Section 8-1.02 replace the 2nd paragraph with:

If the Contractor assigns the right to receive contract payments, the Department accepts the assignment upon the Engineer's receipt of a notice. Assigned payments remain subject to deductions and withholds described in the contract. The Department may use withheld payments for work completion whether payments are assigned or not.

Add to Section 8-1.08:

For a Federal-aid contract, the Department may terminate your control of the work for failure to include "Required Contract Provisions, Federal-Aid Construction Contracts" in subcontracts.

^^

SECTION 9 MEASUREMENT AND PAYMENT
(Issued 07-01-08)

In Section 9-1.02 in the 1st paragraph, replace the last sentence with:

Neither the payment of any estimate nor of any retained percentage or withhold relieves the Contractor of any obligation to make good any defective work or material.

Add to Section 9-1.02:

The Department pays 10 percent per year interest for unpaid and undisputed:

1. Progress payments
2. After-acceptance payment except for claims

For these payments, interest starts to accrue 30 days after the 1st working day following the 20th day of the month payment is due.

The Department pays 6 percent per year interest for accepted claims. Interest starts to accrue 61 days after the Department accepts a Final Claim Statement.

The Department pays 6 percent per year interest for awards in arbitration (Civ Code § 3289).

If the amount of a deduction or withhold exceeds final payment, the Department invoices you for the difference, to be paid upon receipt.

In Section 9-1.03A replace the 3rd and 4th paragraphs with:

The above markups shall constitute full compensation for all delay costs, overhead costs and profit which shall be deemed to include all items of expense not specifically designated as cost or equipment rental in Sections 9-1.03A(1), "Labor," 9-1.03A(2), "Materials," and 9-1.03A(3), "Equipment Rental." The total payment made as provided above shall be deemed to be the actual cost of the work and shall constitute full compensation therefor.

If a subcontractor performs work at force account, accept an additional 5 percent markup to the total cost of that work paid at force account, including markups specified in Section 9-1.03, "Force Account Payment," as reimbursement for additional administrative costs.

In Section 9-1.03C delete the 6th paragraph.

Replace Section 9-1.04 with:

9-1.04 NOTICE OF POTENTIAL CLAIM

It is the intention of this section that disputes between the parties arising under and by virtue of the contract be brought to the attention of the Engineer at the earliest possible time in order that the matters may be resolved, if possible, or other appropriate action promptly taken.

Disputes will not be considered unless the Contractor has first complied with specified notice or protest requirements, including Section 4-1.03, "Changes," Section 5-1.116, "Differing Site Conditions," Section 8-1.06, "Time of Completion," Section 8-1.07, "Liquidated Damages," and Section 8-1.10, "Utility and Non-Highway Facilities."

For disputes arising under and by virtue of the contract, including an act or failure to act by the Engineer, the Contractor shall provide a signed written initial notice of potential claim to the Engineer within 5 days from the date the dispute first arose. The initial notice of potential claim shall provide the nature and circumstances involved in the dispute which shall

remain consistent through the dispute. The initial notice of potential claim shall be submitted on Form CEM-6201A furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Contractor shall assign an exclusive identification number for each dispute, determined by chronological sequencing, based on the date of the dispute.

The exclusive identification number for each dispute shall be used on the following corresponding documents:

1. Initial notice of potential claim
2. Supplemental notice of potential claim
3. Full and final documentation of potential claim
4. Corresponding claim included in the Contractor's written statement of claims

The Contractor shall provide the Engineer the opportunity to examine the site of work within 5 days from the date of the initial notice of potential claim. The Contractor shall proceed with the performance of contract work unless otherwise specified or directed by the Engineer.

Throughout the disputed work, the Contractor shall maintain records that provide a clear distinction between the incurred direct costs of disputed work and that of undisputed work. The Contractor shall allow the Engineer access to the Contractor's project records deemed necessary by the Engineer to evaluate the potential claim within 20 days of the date of the Engineer's written request.

Within 15 days of submitting the initial notice of potential claim, the Contractor shall provide a signed supplemental notice of potential claim to the Engineer that provides the following information:

1. The complete nature and circumstances of the dispute which caused the potential claim
2. The contract provisions that provide the basis of claim
3. The estimated cost of the potential claim, including an itemized breakdown of individual costs and how the estimate was determined
4. A time impact analysis of the project schedule that illustrates the effect on the scheduled completion date due to schedule changes or disruptions where a request for adjustment of contract time is made

The information provided in items 1 and 2 above shall provide the Contractor's complete reasoning for additional compensation or adjustments.

The supplemental notice of potential claim shall be submitted on Form CEM-6201B furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655. The Engineer will evaluate the information presented in the supplemental notice of potential claim and provide a written response to the Contractor within 20 days of its receipt. If the estimated cost or effect on the scheduled completion date changes, the Contractor shall update information in items 3 and 4 above as soon as the change is recognized and submit this information to the Engineer.

Within 30 days of the completion of work related to the potential claim, the Contractor shall provide the full and final documentation of potential claim to the Engineer that provides the following information:

1. A detailed factual narration of events fully describing the nature and circumstances that caused the dispute, including, but not limited to, necessary dates, locations, and items of work affected by the dispute
2. The specific provisions of the contract that support the potential claim and a statement of the reasons these provisions support and provide a basis for entitlement of the potential claim
3. When additional monetary compensation is requested, the exact amount requested calculated in conformance with Section 9-1.03, "Force Account Payment," or Section 8-1.09, "Right of Way Delays," including an itemized breakdown of individual costs. These costs shall be segregated into the following cost categories:

    3.1. Labor – A listing of individuals, classifications, regular hours and overtime hours worked, dates worked, and other pertinent information related to the requested reimbursement of labor costs
    3.2. Materials – Invoices, purchase orders, location of materials either stored or incorporated into the work, dates materials were transported to the project or incorporated into the work, and other pertinent information related to the requested reimbursement of material costs
    3.3. Equipment – Listing of detailed description (make, model, and serial number), hours of use, dates of use and equipment rates. Equipment rates shall be at the applicable State rental rate as listed in the Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates," in effect when the affected work related to the dispute was performed.
    3.4. Other categories as specified by the Contractor or the Engineer

4. When an adjustment of contract time is requested the following information shall be provided:

4.1. The specific dates for which contract time is being requested
4.2. The specific reasons for entitlement to a contract time adjustment
4.3. The specific provisions of the contract that provide the basis for the requested contract time adjustment
4.4. A detailed time impact analysis of the project schedule. The time impact analysis shall show the effect of changes or disruptions on the scheduled completion date to demonstrate entitlement to a contract time adjustment.

5. The identification and copies of the Contractor's documents and the substance of oral communications that support the potential claim

The full and final documentation of the potential claim shall be submitted on Form CEM-6201C furnished by the Department and shall be certified with reference to the California False Claims Act, Government Code Sections 12650-12655.

Pertinent information, references, arguments, and data to support the potential claim shall be included in the full and final documentation of potential claim. Information submitted subsequent to the full and final documentation submittal will not be considered. Information required in the full and final documentation of potential claim, as listed in items 1 to 5 above, that is not applicable to the dispute may be exempted as determined by the Engineer. No full and final documentation of potential claim will be considered that does not have the same nature and circumstances, and basis of claim as those specified on the initial and supplemental notices of potential claim.

The Engineer will evaluate the information presented in the full and final documentation of potential claim and provide a written response to the Contractor within 30 days of its receipt unless otherwise specified. The Engineer's receipt of the full and final documentation of potential claim shall be evidenced by postal receipt or the Engineer's written receipt if delivered by hand. If the full and final documentation of potential claim is submitted by the Contractor after acceptance of the work by the Director, the Engineer need not provide a written response.

Provisions in this section shall not apply to those claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate. Administrative disputes are disputes of administrative deductions or withholds, contract item quantities, contract item adjustments, interest payments, protests of contract change orders as provided in Section 4-1.03A, "Procedure and Protest," and protests of the Weekly Statement of Working Days as provided in Section 8-1.06, "Time of Completion." Administrative disputes that occur prior to issuance of the proposed final estimate shall follow applicable requirements of this section. Information listed in the supplemental notice and full and final documentation of potential claim that is not applicable to the administrative dispute may be exempted as determined by the Engineer.

Unless otherwise specified in the special provisions, the Contractor may pursue the administrative claim process pursuant to Section 9-1.07B, "Final Payment and Claims," for any potential claim found by the Engineer to be without merit.

Failure of the Contractor to conform to specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract, and is deemed as the Contractor's waiver of the potential claim and a waiver of the right to a corresponding claim for the disputed work in the administrative claim process in conformance with Section 9-1.07B, "Final Payment of Claims," and shall operate as a bar to arbitration pursuant to Section 10240.2 of the California Public Contract Code.

Replace Section 9-1.05 with:

9-1.05 STOP NOTICE WITHHOLDS

The Department may withhold payments to cover claims filed under Civ Code § 3179 et seq.

Add:

9-1.053 PERFORMANCE FAILURE WITHHOLDS

During each estimate period you fail to comply with a contract part, including submittal of a document as specified, the Department withholds a part of the progress payment. The documents include quality control plans, schedules, traffic control plans, and water pollution control submittals.

For 1 performance failure, the Department withholds 25 percent of the progress payment but does not withhold more than 10 percent of the total bid.

For multiple performance failures, the Department withholds 100 percent of the progress payment but does not withhold more than 10 percent of the total bid.

The Department returns performance-failure withholds in the progress payment following the correction of noncompliance.

Add:

9-1.055 PENALTY WITHHOLDS

Penalties include fines and damages that are proposed, assessed, or levied against you or the Department by a governmental agency or citizen lawsuit. Penalties are also payments made or costs incurred in settling alleged permit violations of Federal, State, or local laws, regulations, or requirements. The cost incurred may include the amount spent for mitigation or correcting a violation.

If you or the Department is assessed a penalty, the Department may withhold the penalty amount until the penalty disposition has been resolved. The Department may withhold penalty funds and notify you within 15 days of the withhold. If the penalty amount is less than the amount being withheld from progress payments for retentions, the Department will not withhold the penalty amount.

If the penalty is resolved for less than the amount withheld, the Department pays interest at a rate of 6 percent per year on the excess withhold. If the penalty is not resolved, the withhold becomes a deduction.

Instead of the withhold, you may provide a bond payable to the Department of Transportation equal to the highest estimated liability for any disputed penalties proposed.

Add:

9-1.057 PROGRESS WITHHOLDS FOR FEDERAL-AID CONTRACTS

Section 9-1.057, "Progress Withholds for Federal-Aid Contracts," applies to a Federal-aid contract.

The Department withholds 10 percent of a partial payment for noncompliant progress. Noncompliant progress occurs when:

1. Total days to date exceed 75 percent of the revised contract working days
2. Percent of working days elapsed exceeds the percent of value of work completed by more than 15 percent

The Engineer determines the percent of working days elapsed by dividing the total days to date by the revised contract working days and converting the quotient to a percentage.

The Engineer determines the percent of value of work completed by summing payments made to date and the amount due on the current progress estimate, dividing this sum by the current total estimated value of the work, and converting the quotient to a percentage. These amounts are shown on the Progress Payment Voucher.

When the percent of working days elapsed minus the percent of value of work completed is less than or equal to 15 percent, the Department returns the withhold in the next progress payment.

In Section 9-1.06 replace the 3rd paragraph with:

For a non-Federal-aid project, the Department retains 10 percent of the estimated value of the work done and 10 percent of the value of materials estimated to have been furnished and delivered and unused or furnished and stored as part security for the fulfillment of the contract by the Contractor, except that at any time after 20 percent of the work has been completed, if the Engineer finds that satisfactory progress is being made, the Department may reduce the total amount being retained from payment pursuant to the above requirements to 5 percent of the total estimated value of the work and materials and may also reduce the amount retained from any of the remaining partial payments to 5 percent of the estimated value of the work and materials. In addition, on any partial payment made after 95 percent of the work has been completed, the Department may reduce the amount retained from payment pursuant to the requirements of this Section 9-1.06, to such lesser amount as the Department determines is adequate security for the fulfillment of the balance of the work and other requirements of the contract, but in no event is that amount reduced to less than 125 percent of the estimated value of the work yet to be completed as determined by the Engineer. The reduction is made only upon the request of the Contractor and must be approved in writing by the surety on the performance bond and by the surety on the payment bond. The approval of the surety must be submitted to the Disbursing Officer of the Department; the signature of the person executing the approval for the surety must be properly acknowledged and the power of attorney authorizing the person to give that consent must either accompany the document or be on file with the Department. The retentions specified in this paragraph are those defined in Pub Cont Code § 7107(b).

In Section 9-1.06 in the 4th paragraph, replace the 1st sentence with:

The Department shall pay monthly to the Contractor, while carrying on the work, the balance not retained, as aforesaid, after deducting therefrom all previous payments and all sums to be deducted or withheld under the provisions of the contract.

In Section 9-1.065 replace the title and the 1st and 2nd paragraphs with:

9-1.065 RELEASE OF RETAINED FUNDS

The Department releases retained funds if you:

1. Request release of the retention (Pub Cont Code § 10263) in writing
2. Deposit securities equivalent to the funds you want released into escrow with the State Treasurer or with a bank acceptable to the Department
3. Are the beneficial owner of and receive interest on the deposited securities substituted for the retained funds

In Section 9-1.07A replace the 2nd sentence with:

The Department pays the balance due less previous payments, deductions, withholds, and retentions under the provisions of the contract and those further amounts that the Engineer determines to be necessary pending issuance of the proposed final estimate and payment thereon.

Replace Section 9-1.07B with:

9-1.07B Final Payment and Claims

After acceptance by the Director, the Engineer makes a proposed final estimate of the total amount payable to the Contractor, including an itemization of the total amount, segregated by contract item quantities, extra work, and other basis for payment, and shows each deduction made or to be made for prior payments and amounts to be deducted, withheld, or retained under the provisions of the contract. Prior estimates and payments are subject to correction in the proposed final estimate. The Contractor must submit written approval of the proposed final estimate or a written statement of claims arising under or by virtue of the contract so that the Engineer receives the written approval or statement of claims no later than close of business of the 30th day after receiving the proposed final estimate. The Contractor's receipt of the proposed final estimate must be evidenced by postal receipt. The Engineer's receipt of the Contractor's written approval or statement of claims must be evidenced by postal receipt or the Engineer's written receipt if delivered by hand.

On the Contractor's approval, or if the Contractor files no claim within the specified period of 30 days, the Engineer will issue a final estimate in writing in conformance with the proposed final estimate submitted to the Contractor, and within 30 days thereafter the State will pay the entire sum so found to be due. That final estimate and payment thereon shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

If the Contractor within the specified period of 30 days files claims, the Engineer will issue a semifinal estimate in conformance with the proposed final estimate submitted to the Contractor and within 30 days thereafter the State will pay the sum found to be due. The semifinal estimate and corresponding payment shall be conclusive and binding against both parties to the contract on each question relating to the amount of work done and the compensation payable therefor, except insofar as affected by the claims filed within the time and in the manner required hereunder and except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Except for claims for overhead costs and administrative disputes that occur after issuance of the proposed final estimate, the Contractor shall only provide the following two items of information for each claim:

1. The exclusive identification number that corresponds to the supporting full and final documentation of potential claim
2. The final amount of requested additional compensation

If the final amount of requested additional compensation is different than the amount of requested compensation included in the full and final documentation of potential claim, the Contractor shall provide in the written statement of claims the reasons for the changed amount, the specific provisions of the contract which support the changed amount, and a statement of the reasons the provisions support and provide a basis for the changed amount. If the Contractor's claim fails to provide an exclusive identification number or if there is a disparity in the provided exclusive identification number, the Engineer will notify the Contractor of the omission or disparity. The Contractor shall have 15 days after receiving notification from the Engineer to correct the omission or disparity. If after the 15 days has elapsed, there is still an omission or disparity of the exclusive identification number assigned to the claim, the Engineer will assign the number. No claim will be considered that has any of the following deficiencies:

1. The claim does not have the same nature, circumstances, and basis as the corresponding full and final documentation of potential claim.
2. The claim does not have a corresponding full and final documentation of potential claim.
3. The claim was not included in the written statement of claims.
4. The Contractor did not comply with applicable notice or protest requirements of Sections 4-1.03, "Changes," 5-1.116, "Differing Site Condition," 8-1.06, "Time of Completion," 8-1.07, "Liquidated Damages," 8-1.10, "Utility and Non-Highway Facilities," and 9-1.04, "Notice of Potential Claim."

Administrative disputes that occur after issuance of the proposed final estimate shall be included in the Contractor's written statement of claims in sufficient detail to enable the Engineer to ascertain the basis and amounts of those claims.

The Contractor shall keep full and complete records of the costs and additional time incurred for work for which a claim for additional compensation is made. The Engineer or designated claim investigators or auditors shall have access to those records and any other records as may be required by the Engineer to determine the facts or contentions involved in the claims. Failure to permit access to those records shall be sufficient cause for denying the claims.

The written statement of claims submitted by the Contractor shall be accompanied by a notarized certificate containing the following language:

Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code Section 12650 et. seq., the undersigned,

_____ .
(name)

_____ *of*
(title)

_____ .
(company)

hereby certifies that the claim for the additional compensation and time, if any, made herein for the work on this contract is a true statement of the actual costs incurred and time sought, and is fully documented and supported under the contract between parties.

Dated _____

/s/ _____

Subscribed and sworn before me this _____ day

of _____ .

_____
(Notary Public)
My Commission
Expires _____

Failure to submit the notarized certificate will be sufficient cause for denying the claim.

Any claim for overhead, in addition to being certified as stated above, shall be supported and accompanied by an audit report of an independent Certified Public Accountant. Omission of a supporting audit report of an independent Certified Public Accountant shall result in denial of the claim and shall operate as a bar to arbitration, as to the claim, in conformance with the requirements in Section 10240.2 of the California Public Contract Code. Any claim for overhead shall be subject to audit by the State at its discretion. The costs of performing an audit examination and submitting the report shall be borne by the Contractor. The Department will deduct an offset amount for field and home office overhead paid on all added work from any claim for overhead as appropriate, as determined by the Department. The value of the added work equals the value of the work completed minus the total bid. The home office overhead offset equals 5 percent of the added work. The field office overhead offset equals 5-1/2 percent of the added work. The Certified Public Accountant's audit examination shall be performed in conformance with the requirements of the American Institute of Certified Public Accountants Attestation Standards. The audit examination and report shall depict the Contractor's project and company-wide financial records and shall specify the actual overall average daily rates for both field and home office overhead for the entire duration of the project, and whether the costs have been properly allocated. The rates of field and home office overhead shall exclude unallowable costs as determined in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31. The audit examination and report shall determine if the rates of field and home office overhead are:

1. Allowable in conformance with the requirements in Title 48 of the Federal Acquisition Regulations, Chapter 1, Part 31.
2. Adequately supported by reliable documentation.
3. Related solely to the project under examination.

Costs or expenses incurred by the State in reviewing or auditing claims that are not supported by the Contractor's cost accounting or other records shall be deemed to be damages incurred by the State within the meaning of the California False Claims Act.

If the Engineer determines that a claim requires additional analysis, the Engineer will schedule a board of review meeting. The Contractor shall meet with the review board or person and make a presentation in support of the claim. Attendance by the Contractor at the board of review meeting shall be mandatory.

The District Director of the District that administered the contract will make the final determination of any claims which remain in dispute after completion of claim review by the Engineer or board of review meeting.

The final determination of claims will be sent to the Contractor by hand delivery or deposit in the U.S. mail. The Engineer will then make and issue the Engineer's final estimate in writing and within 30 days thereafter the State will pay the entire sum, if any, found due thereon. That final estimate shall be conclusive and binding against both parties to the contract on all questions relating to the amount of work done and the compensation payable therefor, except as otherwise provided in Sections 9-1.03C, "Records," and 9-1.09, "Clerical Errors."

Failure of the Contractor to conform to the specified dispute procedures shall constitute a failure to pursue diligently and exhaust the administrative procedures in the contract and shall operate as a bar to arbitration in conformance with the requirements in Section 10240.2 of the California Public Contract Code.

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SECTION 12: CONSTRUCTION AREA TRAFFIC CONTROL DEVICES
(Issued 10-06-06)

In Section 12-1.01 replace the 2nd paragraph with:

Attention is directed to Part 6 of the California MUTCD. Nothing in this Section 12 is to be construed as to reduce the minimum standards in these manuals.

Replace Section 12-2.01 with:

12-2.01 FLAGGERS

Flaggers while on duty and assigned to traffic control or to give warning to the public that the highway is under construction and of any dangerous conditions to be encountered as a result thereof, shall perform their duties and shall be provided with the necessary equipment in conformance with Part 6 of the California MUTCD. The equipment shall be furnished and kept clean and in good repair by the Contractor at the Contractor's expense.

In Section 12-3.01 replace the 1st paragraph with:

In addition to the requirements in Part 6 of the California MUTCD, all devices used by the Contractor in the performance of the work shall conform to the provisions in this Section 12-3.

In Section 12-3.01 replace the 5th paragraph with:

Retroreflective sheeting shall conform to the requirements in ASTM Designation: D 4956 and to the special provisions.

In Section 12-3.06 replace the 1st paragraph with:

The term "Construction Area Signs" shall include all temporary signs required for the direction of public traffic through or around the work during construction. Construction area signs are shown in or referred to in Part 6 of the California MUTCD.

In Section 12-3.06 replace the 4th paragraph with:

All construction area signs shall conform to the dimensions, color and legend requirements of the plans, Part 6 of the California MUTCD and these specifications. All sign panels shall be the product of a commercial sign manufacturer, and shall be as specified in these specifications.

In Section 12-3.06 replace the 8th paragraph with:

Used signs with the specified sheeting material will be considered satisfactory if they conform to the requirements for visibility and legibility and the colors conform to the requirements in Part 6 of the California MUTCD. A significant difference between day and nighttime retroreflective color will be grounds for rejecting signs.

Section 12-3.06A, "Stationary Mounted Signs," of the Standard Specifications is amended by deleting the third, fourth, fifth, and sixth paragraphs.

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SECTION 15: EXISTING HIGHWAY FACILITIES
(Issued 11-02-04)

In Section 15-2.07 replace the 6th paragraph with:

Full compensation for removing, salvaging, reconstructing, relocating or resetting end caps, return caps, terminal sections, and buried post anchors, for metal beam guard railings and thrie beam barriers, and for connecting reconstructed, relocated or reset railings and barriers to new and existing facilities, including connections to concrete, shall be considered as included in the contract price paid per meter for the type of railing or barrier work involved and no additional compensation will be allowed therefor.

^^

SECTION 19: EARTHWORK
(Issued 07-31-07)

In Section 19-1.02 replace the 3rd paragraph with:

In addition to the provisions in Sections 5-1.02, "Plans and Working Drawings," and 5-1.02A, "Excavation Safety Plans," detailed plans of the protective systems for excavations on or affecting railroad property will be reviewed for adequacy of protection provided for railroad facilities, property, and traffic. These plans shall be submitted at least 9 weeks before the Contractor intends to begin excavation requiring the protective systems. Approval by the Engineer of the detailed plans for the protective systems will be contingent upon the plans being satisfactory to the railroad company involved.

Replace Section 19-1.03 with:

19-1.03 GRADE TOLERANCE

Immediately prior to placing subsequent layers of material thereon, the grading plane shall conform to one of the following:

A. When hot mix asphalt is to be placed on the grading plane, the grading plane at any point shall not vary more than 15 mm above or below the grade established by the Engineer.
B. When subbase or base material to be placed on the grading plane is to be paid for by the tonne, the grading plane at any point shall not vary more than 30 mm above or below the grade established by the Engineer.
C. When the material to be placed on the grading plane is to be paid for by the cubic meter, the grading plane at any point shall be not more than 15 mm above the grade established by the Engineer.

In Section 19-3.025C replace the 1st paragraph with:

Cementitious material used in soil cement bedding shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

In Section 19-3.025C replace the 4th paragraph with:

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Soil cement bedding shall contain not less than 175 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

In Section 19-3.062 replace the 1st paragraph with:

Slurry cement backfill shall consist of a fluid, workable mixture of aggregate, cementitious material, and water.

In Section 19-3.062 replace the 5th paragraph with:

Cementitious material shall conform to the provisions in Section 90-2.01, "Cementitious Materials." Supplementary cementitious material will not be required.

In Section 19-3.062 replace the 8th paragraph with:

The aggregate, cementitious material, and water shall be proportioned either by mass or by volume. Slurry cement backfill shall contain not less than 110 kg of cementitious material per cubic meter. The water content shall be sufficient to produce a fluid, workable mix that will flow and can be pumped without segregation of the aggregate while being placed.

^^

SECTION 20: EROSION CONTROL AND HIGHWAY PLANTING
(Issued 08-17-07)

Replace Section 20-2.03 with:

20-2.03 SOIL AMENDMENT

Soil amendment shall comply with the requirements in the California Food and Agricultural Code.

Soil amendment producers shall comply with the following:

1. Be fully permitted to produce compost as specified under the California Integrated Waste Management Board, Local Enforcement Agencies and any other State and Local Agencies that regulate Solid Waste Facilities. If exempt from State permitting requirements, the composting facility must certify that it follows guidelines and procedures for production of compost meeting the environmental health standards of Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7.
2. Be a participant in United States Composting Council's Seal of Testing Assurance program.

Soil amendment shall be composted and may be derived from any single, or mixture of any of the following feedstock materials:

1. Green material consisting of chipped, shredded, or ground vegetation; or clean processed recycled wood products
2. Biosolids
3. Manure
4. Mixed food waste

Soil amendment feedstock materials shall be composted to reduce weed seeds, pathogens and deleterious materials as specified under Title 14, California Code of Regulations, Division 7, Chapter 3.1, Article 7, Section 17868.3.

Soil amendment shall not be derived from mixed municipal solid waste and must be reasonably free of visible contaminates. Soil amendment must not contain paint, petroleum products, pesticides or any other chemical residues harmful to animal life or plant growth. Soil amendment must not possess objectionable odors.

Metal concentrations in soil amendment must not exceed the maximum metal concentrations listed in Title 14, California Code of Regulations, Division 7, Chapter 3.1, Section 17868.2.

Soil amendment must comply with the following:

Physical/Chemical Requirements

Property	Test Method	Requirement
pH	*TMECC 04.11-A, Elastometric pH 1:5 Slurry Method, pH Units	6.0–8.0
Soluble Salts	TMECC 04.10-A, Electrical Conductivity 1:5 Slurry Method dS/m (mmhos/cm)	0-10.0
Moisture Content	TMECC 03.09-A, Total Solids & Moisture at 70+/- 5 deg C, % Wet Weight Basis	30–60
Organic Matter Content	TMECC 05.07-A, Loss-On-Ignition Organic Matter Method (LOI), % Dry Weight Basis	30–65
Maturity	TMECC 05.05-A, Germination and Vigor Seed Emergence Seedling Vigor % Relative to Positive Control	 80 or Above 80 or Above
Stability	TMECC 05.08-B, Carbon Dioxide Evolution Rate mg CO_2-C/g OM per day	8 or below
Particle Size	TMECC 02.02-B Sample Sieving for Aggregate Size Classification % Dry Weight Basis	95% Passing 5/8 inch 70% Passing 3/8 inch
Pathogen	TMECC 07.01-B, Fecal Coliform Bacteria < 1000 MPN/gram dry wt.	Pass
Pathogen	TMECC 07.01-B, Salmonella < 3 MPN/4 grams dry wt.	Pass
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Plastic, Glass and Metal, % > 4mm fraction	Combined Total: < 1.0
Physical Contaminants	TMECC 02.02-C, Man Made Inert Removal and Classification: Sharps (Sewing needles, straight pins and hypodermic needles), % > 4mm fraction	None Detected

*TMECC refers to "Test Methods for the Examination of Composting and Compost," published by the United States Department of Agriculture and the United States Compost Council (USCC).

Prior to application, the Contractor shall provide the Engineer with a copy of the soil amendment producer's Compost Technical Data Sheet and a copy of the compost producers STA certification. The Compost Technical Data Sheet shall include laboratory analytical test results, directions for product use, and a list of product ingredients.

Prior to application, the Contractor shall provide the Engineer with a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the Standard Specifications.

In Section 20-2.10 delete the 8th, 9th, and 10th paragraphs.

Replace Section 20-2.25 with:

20-2.25 BACKFLOW PREVENTERS

Backflow preventers shall be one of the reduced pressure principle devices as specified in these specifications and the special provisions.

Backflow preventers shall be factory assembled and shall include 2 check valves, one pressure differential relief valve, 2 shut-off valves and 4 test cocks. Backflow preventer and valves shall be the same size as the pipeline in which they are installed, unless otherwise shown on the plans.

Backflow preventer shut-off valves shall be manufactured from iron or bronze and shall be either resilient wedged gate valves, resilient seated and fully ported ball valves, or resilient seated butterfly valves. Threaded type shut-off valves shall be provided with a union on one side of each valve. Unions shall be brass or malleable iron.

In Section 20-3.04A delete the last paragraph.

Replace Section 20-4.055 with:

20-4.055 PRUNING

Pruning of plants shall be consistent with American National Standards Institute (ANSI), "Tree, Shrub and Other Woody Plant Maintenance Standard Practices," ANSI 300 (Part 1)-2001 and "Best Management Practices Tree Pruning," 2002 (ISBN 1-881956318), published by the International Society of Arboriculture, P.O. Boc 3129, Champaign, IL 61826.

Replace Section 20-5.03J with:

20-5.03J Check and Test Backflow Preventers

Backflow preventers shall be checked and tested for proper operation by a certified Backflow Preventer Tester. The tester shall hold a valid certification as a Backflow Preventer Tester from the local governing authority in which the device to be tested is located. The local governing authority shall be the county, city or water purveyor having the governing authority over testing of backflow preventers involved. If the local governing authority does not have a certification program for Backflow Preventer Testers, the tester shall have a certificate from one of the following:

A. The American Water Works Association.
B. A county which has a certification program for Backflow Preventer Testers.

Tests for proper operation shall conform to the requirements of the governing authority.
The Engineer shall be notified at least 5 days prior to testing backflow preventers.
One copy of the test results for each backflow preventer tested shall be furnished to the Engineer.
Backflow preventers, installed by the Contractor, failing required tests shall be repaired at the Contractor's expense.

^^

SECTION 25: AGGREGATE SUBBASES
(Issued 02-16-07)

In Section 25-1.02A replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

Replace Section 25-1.02B with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel

3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

^^

SECTION 26 AGGREGATE BASE
(Issued 02-16-07)

In Section 26-1.02A replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

In Section 26-1.02B replace the 1st paragraph with:

Aggregate must be clean and free from organic matter and other deleterious substances. Aggregate must consist of any combination of:

1. Broken stone
2. Crushed gravel
3. Natural rough surfaced gravel
4. Sand
5. Up to 100 percent of any combination of processed:

    5.1. Asphalt concrete
    5.2. Portland cement concrete
    5.3. Lean concrete base
    5.4. Cement treated base

^^

SECTION 27 CEMENT TREATED BASES
(Issued 07-31-07)

In Section 27-1.02 replace the 1st paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 27-1.02 replace the 3rd and 4th paragraphs with:

Aggregate for use in Class A cement treated base shall be of such quality that when mixed with cement in an amount not to exceed 5 percent by mass of the dry aggregate and compacted at optimum moisture content, the compressive strength of a sample of the compacted mixture shall not be less than 5.2 MPa at 7 days, when tested by California Test 312.

Aggregate for use in Class B cement treated base shall have a Resistance (R-value) of not less than 60 before mixing with cement and a Resistance (R-value) of not less than 80 after mixing with cement in an amount not to exceed 2.5 percent by mass of the dry aggregate.

In Section 27-1.07 replace the 9th paragraph with:

When surfacing material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as surfacing. This filling shall be done as a separate operation prior to placing the lowest layer of surfacing, and full compensation for this filling will be considered as included in the contract price paid for cement treated base and no additional compensation will be allowed therefor.

^^

SECTION 28 LEAN CONCRETE BASE
(Issued 07-31-07)

In Section 28-1.02 replace the 1st paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 28-1.02 replace the 6th paragraph with:

Aggregate shall be of such quality that, when mixed with cement in an amount not to exceed 180 kg per cubic meter, and tested in conformance with the requirements in California Test 548, the compressive strength of a sample will be not less than 5.0 MPa at 7 days.

In Section 28-1.06 replace the 2nd paragraph with:

In advance of curing operations, lean concrete base to be surfaced with hot mix asphalt shall be textured with a drag strip of burlap, a broom or a spring steel tine device which will produce scoring in the finished surface. The scoring shall be parallel with the centerline or transverse thereto. The operation shall be performed at a time and in a manner to produce the coarsest texture practical for the method used.

In Section 28-1.08 replace the 2nd paragraph with:

Hardened lean concrete base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with lean concrete base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement, and full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.
2 When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation that the pavement is placed. Full compensation for this filling will be considered as included in the contract price paid per cubic meter for lean concrete base and no additional compensation will be allowed therefor.

^^

SECTION 29: TREATED PERMEABLE BASES
(Issued 07-31-07)

In Section 29-1.02A replace the 4th paragraph with:

The type and grade of asphalt binder to be mixed with aggregate will be specified in the special provisions.

In Section 29-1.02B replace the 2nd paragraph with:

Cement shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

In Section 29-1.04A replace the 1st paragraph with:

Aggregates and asphalt for asphalt treated permeable base shall be stored, proportioned and mixed in the same manner provided for storing, proportioning and mixing aggregates and asphalt for hot mix asphalt in Section 39-1.08, "Production," except as follows:

1. The aggregate need not be separated into sizes.
2. The temperature of the aggregate before adding the asphalt binder shall be not less than 135°C nor more than 165°C.
3. Asphalt treated permeable base stored in excess of 2 hours shall not be used in the work.
4. The aggregate shall be combined with 2.5 percent paving asphalt by mass of the dry aggregate. After testing samples of the Contractor's proposed aggregate supply, the Engineer may order an increase or decrease in the asphalt content. If an increase or decrease is ordered, and the increase or decrease exceeds the specified amount by more than 0.1-percent by mass of the dry aggregate, the compensation payable to the Contractor for the asphalt treated permeable base will be increased or decreased on the basis of the total increase or decrease in asphalt.
5. The asphalt content of the asphalt mixture will be determined, at the option of the Engineer, by extraction tests in conformance with the requirements in California Test 310 or 362, or will be determined in conformance with the requirements in California Test 379. The bitumen ratio kilograms of asphalt per 100 kg of dry aggregate shall not vary by more than 0.5-kg of asphalt above or 0.5-kg of asphalt below the amount designated by the Engineer. Compliance with this requirement will be determined either by taking samples from trucks at the plant or from the mat behind the paver before rolling. If the sample is taken from the mat behind the paver, the bitumen ratio shall be not less than the amount designated by the Engineer, less 0.7-kg of asphalt per 100 kg of dry aggregate.

In Section 29-1.04B replace the 2nd paragraph with:

Cement treated permeable base shall contain not less than 170 kg of cement per cubic meter.

In Section 29-1.05 replace the 1st paragraph with:

Asphalt treated permeable base shall be spread and compacted as specified for hot mix asphalt under the "Method" construction process in Section 39, "Hot Mix Asphalt," and these specifications.

In Section 29-1.07 replace the 2nd paragraph with:

Hardened treated permeable base with a surface lower than 15 mm below the grade established by the Engineer shall be removed and replaced with treated permeable base which complies with these specifications, or if permitted by the Engineer, the low areas shall be filled with pavement material as follows:

1. When pavement material is hot mix asphalt, the low areas shall be filled with hot mix asphalt conforming to the requirements for the lowest layer of hot mix asphalt to be placed as pavement. This shall be done as a separate operation prior to placing the lowest layer of pavement.
2. When pavement material is portland cement concrete, the low areas shall be filled with pavement concrete at the time and in the same operation in which the pavement is placed.

3. Full compensation for filling low areas will be considered as included in the contract price paid per cubic meter for treated permeable base and no additional compensation will be allowed therefor.

^^

SECTION 37 BITUMINOUS SEALS
(Issued 08-17-07)

In Section 37-1.03 replace the 4th, 5th, and 6th paragraphs with:

On 2-lane two-way roadways, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to both sides of the traveled way where screenings are being spread on a traffic lane. The first W8-7 sign in each direction shall be placed where traffic first encounters loose screenings, regardless of which lane the screenings are being spread on. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along each side of the traveled way and at public roads or streets entering the seal coat area as directed by the Engineer.

On multilane roadways (freeways, expressways and multilane conventional highways) where screenings are being spread on a traffic lane, W8-7 "LOOSE GRAVEL" signs and W13-1 (35) speed advisory signs shall be furnished and placed adjacent to the outside edge of the traveled way nearest to the lane being worked on. The first W8-7 sign shall be placed where the screenings begin with respect to the direction of travel on that lane. The W13-1 (35) signs need not be placed in those areas with posted speed limits of less than 40 MPH. The signs shall be placed at maximum 600-m intervals along the edge of traveled way and at on-ramps, public roads or streets entering the seal coat area as directed by the Engineer.

The W8-7 and W13-1 signs shall be maintained in place at each location until final brooming of the seal coat surface at that location is completed. The W8-7 and W13-1 signs shall conform to the provisions for construction area signs in Section 12, "Construction Area Traffic Control Devices." The signs may be set on temporary portable supports with the W13-1 below the W8-7 or on barricades with the W13-1 sign alternating with the W8-7 sign.

In Section 37-1.07 replace the 2nd paragraph with:

Rollers shall be oscillating type pneumatic-tired rollers. A minimum of 2 pneumatic-tired rollers conforming to the provisions in Section 39-3.03 "Spreading and Compacting Equipment," shall be furnished.

In Section 37-1.09 replace the 2nd paragraph with:

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in applying seal coat, complete in place, including furnishing, placing, maintaining, and removing W8-7 and W13-1 signs, when required, and temporary supports or barricades for the signs, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

^^

SECTION 39 HOT MIX ASPHALT
(Issued 03-21-08)

Replace Section 39 with:

SECTION 39 HOT MIX ASPHALT

39-1 GENERAL

39-1.01 DESCRIPTION

Section 39 includes specifications for producing and placing hot mix asphalt (HMA) by mixing aggregate and asphalt binder at a mixing plant and spreading and compacting the HMA mixture.

The special provisions specify one or more type of HMA, including:

1. Type A
2. Type B
3. Open graded friction course (OGFC). OGFC includes hot mix asphalt (open graded), rubberized hot mix asphalt (open graded) (RHMA-O) and rubberized hot mix asphalt (open graded high binder) (RHMA-O-HB)
4. Rubberized hot mix asphalt (gap graded) (RHMA-G)

The special provisions specify the HMA construction process, including:

1. Standard
2. Method
3. Quality Control / Quality Assurance (QC / QA)

39-1.02 MATERIALS

39-1.02A GEOSYNTHETIC PAVEMENT INTERLAYER

Geosynthetic pavement interlayer must comply with the specifications for pavement reinforcing fabric in Section 88, "Engineering Fabrics."

39-1.02B TACK COAT

Tack coat must comply with the specifications for asphaltic emulsion in Section 94, "Asphaltic Emulsion," or asphalt binder in Section 92, "Asphalts." Choose the type and grade.

39-1.02C ASPHALT BINDER

Asphalt binder in HMA must comply with Section 92, "Asphalts," or Section 39-1.02D, "Asphalt Rubber Binder." The special provisions specify the grade.

Asphalt binder for geosynthetic pavement interlayer must comply with Section 92, "Asphalts." Choose from Grades PG 64-10, PG 64-16, or PG 70-10.

39-1.02D ASPHALT RUBBER BINDER

General

Use asphalt rubber binder in RHMA-G, RHMA-O, and RHMA-O-HB. Asphalt rubber binder must be a combination of:

1. Asphalt binder
2. Asphalt modifier
3. Crumb rubber modifier (CRM)

The combined asphalt binder and asphalt modifier must be 80.0 ± 2.0 percent by mass of the asphalt rubber binder.

Asphalt Modifier

Asphalt modifier must be a resinous, high flash point, and aromatic hydrocarbon, and comply with:

Asphalt Modifier for Asphalt Rubber Binder

Quality Characteristic	ASTM	Specification
Viscosity, m^2/s (x 10^{-6}) at 100 °C	D 445	X ± 3 [a]
Flash Point, CL.O.C., °C	D 92	207 minimum
Molecular Analysis		
Asphaltenes, percent by mass	D 2007	0.1 maximum
Aromatics, percent by mass	D 2007	55 minimum

Note:
[a] The symbol "X" is the proposed asphalt modifier viscosity. "X" must be between 19 and 36. A change in "X" requires a new asphalt rubber binder design.

Asphalt modifier must be from 2.0 percent to 6.0 percent by mass of the asphalt binder in the asphalt rubber binder.

Crumb Rubber Modifier

CRM consists of a ground or granulated combination of scrap tire CRM and high natural CRM. CRM must be 75.0 ± 2.0 percent scrap tire CRM and 25.0 ± 2.0 percent high natural CRM by total mass of CRM. Scrap tire CRM must be from any combination of automobile tires, truck tires, or tire buffings.

Sample and test scrap tire CRM and high natural CRM separately. CRM must comply with:

Crumb Rubber Modifier for Asphalt Rubber Binder

Quality Characteristic	Test Method	Specification
Scrap tire CRM gradation (% passing 2.36-mm sieve)	LP-10	100
High natural CRM gradation (% passing 2.00-mm sieve)	LP-10	100
Wire in CRM (% max.)	LP-10	0.01
Fabric in CRM (% max.)	LP-10	0.05
CRM particle length (mm max.) [a]	--	4.75
CRM specific gravity [a]	CT 208	1.1 – 1.2
Natural rubber content in high natural CRM (%) [a]	ASTM D 297	40.0 – 48.0

Note:
[a] Test at mix design and for Certificate of Compliance.

Only use CRM ground and granulated at ambient temperature. If steel and fiber are cryogenically separated, it must occur before grinding and granulating. Only use cryogenically produced CRM particles that can be ground or granulated and not pass through the grinder or granulator.

CRM must be dry, free-flowing particles that do not stick together. CRM must not cause foaming when combined with the asphalt binder and asphalt modifier. You may add calcium carbonate or talc up to 3 percent by mass of CRM.

Asphalt Rubber Binder Design and Profile

Submit in writing an asphalt rubber binder design and profile. In the design, designate the asphalt, asphalt modifier, and CRM and their proportions. The profile is not a specification and only serves to indicate expected trends in asphalt rubber binder properties during binder production. The profile must include the same component sources for the asphalt rubber binder used.

Design the asphalt rubber binder from testing you perform for each quality characteristic and for the reaction temperatures expected during production. The 24-hour (1,440-minute) interaction period determines the design profile. At a minimum, mix asphalt rubber binder components, take samples, and perform and record the following tests:

Asphalt Rubber Binder Reaction Design Profile

Test	Minutes of Reaction [a]							Limits
	45	60	90	120	240	360	1440	
Cone penetration @ 77 °F, 0.10-mm (ASTM D 217)	X [b]				X		X	25 - 70
Resilience @ 77 °F, percent rebound (ASTM D 5329)	X				X		X	18 min.
Field softening point, °F (ASTM D 36)	X				X		X	125 - 165
Viscosity, centipoises (LP-11)	X	X	X	X	X	X	X	1,500 - 4,000

Notes:
[a] Six hours (360 minutes) after CRM addition, reduce the oven temperature to 135 °C for a period of 16 hours. After the 16-hour (1320 minutes) cool-down after CRM addition, reheat the binder to the reaction temperature expected during production for sampling and testing at 24 hours (1440 minutes).
[b] "X" denotes required testing

Asphalt Rubber Binder

After interacting for a minimum of 45 minutes, asphalt rubber binder must comply with:

Asphalt Rubber Binder

Quality Characteristic	Test for Quality Control or Acceptance	Test Method	Specification	
			Minimum	Maximum
Cone penetration @ 77 °F, 0.10-mm	Acceptance	ASTM D 217	25	70
Resilience @ 77 °F, percent rebound	Acceptance	ASTM D 5329	18	--
Field softening point, °F	Acceptance	ASTM D 36	125	165
Viscosity @ 350 °F, centipoises	Quality Control	LP-11	1,500	4,000

39-1.02E AGGREGATE

Aggregate must be clean and free from deleterious substances. Aggregate:

1. Retained on the 4.75-mm sieve is coarse
2. Passing the 4.75-mm sieve is fine
3. Added and passing the 0.6-mm sieve is supplemental fine, including:

    3.1. Hydrated lime
    3.2. Portland cement
    3.3. Fines from dust collectors

The special provisions specify the aggregate gradation for each HMA type.

The specified aggregate gradation is before the addition of asphalt binder and includes supplemental fines. The Engineer tests for aggregate grading under California Test 202, modified by California Test 105 if there is a difference in specific gravity of 0.2 or more between the coarse and fine parts of different aggregate blends.

Choose a sieve size target value (TV) within each target value limit presented in the aggregate gradation tables.

Aggregate Gradation
(Percentage Passing)
HMA Types A and B

19-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
25-mm	100	—
19-mm	90 – 100	TV ±5
12.5-mm	70 - 90	TV ±6
4.75-mm	45 - 55	TV ±7
2.36-mm	32 - 40	TV ±5
0.6-mm	12 - 21	TV ±4
0.075-mm	2 - 7	TV ±2

12.5-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	95 - 99	TV ±6
9.5-mm	75 - 95	TV ±6
4.75-mm	55 - 66	TV ±7
2.36-mm	38 - 49	TV ±5
0.6-mm	15 - 27	TV ±4
0.075-mm	2 - 8	TV ±2

9.5-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
12.5-mm	100	—
9.5-mm	95 - 100	TV ±6
4.75-mm	58 - 72	TV ±7
2.36-mm	34 - 48	TV ±6
0.6-mm	18 - 32	TV ±5
0.075-mm	2 - 9	TV ±2

4.75-mm HMA Types A and B

Sieve Sizes	Target Value Limits	Allowable Tolerance
9.5-mm	100	—
4.75-mm	95 - 100	TV ±7
2.36-mm	72 - 77	TV ±7
0.6-mm	37 - 43	TV ±7
0.075-mm	2 - 12	TV ±4

Rubberized Hot Mix Asphalt - Gap Graded (RHMA-G)

19-mm RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
25-mm	100	—
19-mm	95 - 100	TV ±5
12.5-mm	83 - 87	TV ±6
9.5-mm	65 - 70	TV ±6
4.75-mm	28 - 42	TV ±7
2.36-mm	14 - 22	TV ±5
0.075-mm	0 - 6	TV ±2

12.5-mm RHMA-G

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	90 - 100	TV ±6
9.5-mm	83 - 87	TV ±6
4.75-mm	28 - 42	TV ±7
2.36-mm	14 - 22	TV ±5
0.075-mm	0 - 6	TV ±2

Open Graded Friction Course (OGFC)

25-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
37.5-mm	100	—
25-mm	99 - 100	TV ±5
19-mm	85 - 96	TV ±5
12.5-mm	55 - 71	TV ±6
4.75-mm	10 - 25	TV ±7
2.36-mm	6 - 16	TV ±5
0.075-mm	1 - 6	TV ±2

12.5-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
19-mm	100	—
12.5-mm	95 - 100	TV ±6
9.5-mm	78 - 89	TV ±6
4.75-mm	28 - 37	TV ±7
2.36-mm	7 - 18	TV ±5
0.6-mm	0 - 10	TV ±4
0.075-mm	0 - 3	TV ±2

9.5-mm OGFC

Sieve Sizes	Target Value Limits	Allowable Tolerance
12.5-mm	100	—
9.5-mm	90 - 100	TV ±6
4.75-mm	29 - 36	TV ±7
2.36-mm	7 - 18	TV ±6
0.6-mm	0 - 10	TV ±5
0.075-mm	0 - 3	TV ±2

Before the addition of asphalt binder and lime treatment, aggregate must comply with:

Aggregate Quality

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Percent of crushed particles	CT 205				
Coarse aggregate (% min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (% max.)	CT 211				
Loss at 100 Rev.		12	--	12	12
Loss at 500 Rev.		45	50	40	40
Sand equivalent (min.) [a]	CT 217	47	42	47	--
Fine aggregate angularity (% min.) [b]	AASHTO T 304 Method A	45	45	45	--
Flat and elongated particles (% max. by mass @ 5:1)	ASTM D 4791	10	10	10	10
K_c factor (max.)	CT 303	1.7	1.7	1.7	--
K_f factor (max.)	CT 303	1.7	1.7	1.7	--

Notes:
[a] Reported value must be the average of 3 tests from a single sample.
[b] The Engineer waives this specification if HMA contains less than 10 percent of nonmanufactured sand by mass of total aggregate.

39-1.02F RECLAIMED ASPHALT PAVEMENT

You may produce HMA using reclaimed asphalt pavement (RAP). HMA produced using RAP must comply with the specifications for HMA except aggregate quality specifications do not apply to RAP. You may substitute RAP aggregate for a part of the virgin aggregate in HMA in a quantity not exceeding 15 percent of the aggregate blend. Do not use RAP in OGFC and RHMA-G.

Assign the substitution rate of RAP aggregate for virgin aggregate with the job mix formula (JMF) submittal. The JMF must include the percent of RAP used. If you change your assigned RAP aggregate substitution rate by more than 5 percent (within the 15 percent limit), submit a new JMF.

Process RAP from asphalt concrete. You may process and stockpile RAP throughout the project's life. Prevent material contamination and segregation. Store RAP in stockpiles on smooth surfaces free of debris and organic material. Processed RAP stockpiles must consist only of homogeneous RAP.

39-1.03 HOT MIX ASPHALT MIX DESIGN REQUIREMENTS

39-1.03A GENERAL

A mix design consists of performing California Test 367 and laboratory procedures on combinations of aggregate gradations and asphalt binder contents to determine the optimum binder content (OBC) and HMA mixture qualities. If RAP is used, use Laboratory Procedure LP-9. The result of the mix design becomes the proposed JMF.

Use Form CEM-3512 to document aggregate quality and mix design data. Use Form CEM-3511 to present the JMF.

Laboratories testing aggregate qualities and preparing the mix design and JMF must be qualified under the Department's Independent Assurance Program. Take samples under California Test 125.

The Engineer reviews the aggregate qualities, mix design, and JMF and verifies and accepts the JMF.

You may change the JMF during production. Do not use the changed JMF until the Engineer accepts it. Except when adjusting the JMF in compliance with Section 39-1.03E, "Job Mix Formula Verification," perform a new mix design and submit in writing a new JMF submittal for changing any of the following:

1. Target asphalt binder percentage
2. Asphalt binder supplier
3. Asphalt rubber binder supplier
4. Component materials used in asphalt rubber binder or percentage of any component materials
5. Combined aggregate gradation
6. Aggregate sources

7. Substitution rate for RAP aggregate of more than 5 percent
8. Any material in the JMF

For OGFC, submit in writing a complete JMF submittal except asphalt binder content. The Engineer determines the asphalt binder content under California Test 368 within 20 days of your complete JMF submittal and provides you a Form CEM-3513.

39-1.03B HOT MIX ASPHALT FOR JOB MIX FORMULA

Determine the proposed JMF from a mix design that complies with:

Hot Mix Asphalt for Job Mix Formula

Quality Characteristic	Test Method	HMA Type		
		A	B	RHMA-G
Air voids content (%)	CT 367[a]	4.0	4.0	Special Provisions
Voids in mineral aggregate (% min.)	LP-2			
4.75-mm grading		17.0	17.0	--
9.5-mm grading		15.0	15.0	--
12.5-mm grading		14.0	14.0	18.0 – 23.0[b]
19-mm grading		13.0	13.0	18.0 – 23.0[b]
Voids filled with asphalt (%)	LP-3			
4.75-mm grading		76.0 – 80.0	76.0 – 80.0	Note d
9.5-mm grading		73.0 – 76.0	73.0 – 76.0	
12.5-mm grading		65.0 – 75.0	65.0 – 75.0	
19-mm grading		65.0 – 75.0	65.0 – 75.0	
Dust proportion	LP-4			
4.75-mm and 9.5-mm gradings		0.9 – 2.0	0.9 – 2.0	Note d
12.5-mm and 19-mm gradings		0.6 – 1.3	0.6 – 1.3	
Stabilometer value (min.) [c]	CT 366			
4.75-mm and 9.5-mm gradings		30	30	--
12.5-mm and 19-mm gradings		37	35	23

Notes:

[a] Calculate the air voids content of each specimen using California Test 309 and Lab Procedure LP-1. Modify California Test 367, Paragraph C5, to use the exact air voids content specified in the selection of OBC.
[b] Voids in mineral aggregate for RHMA-G must be within this range.
[c] Modify California Test 304, Part 2.B.2.c: "After compaction in the compactor, cool to 60 °± 3 °C by allowing the briquettes to cool at room temperature for 0.5-hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[d] Report this value in the JMF submittal.

For stability, prepare 3 briquettes separately at the proposed JMF and test for compliance. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points. The average air void content may vary from the specified air void content by ±0.5 percent.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity. If you choose to determine bulk specific gravity with new briquettes and your tests fail, you may not test again using the stability briquettes.

39-1.03C JOB MIX FORMULA SUBMITTAL

Each JMF submittal must consist of:

1. Proposed JMF on Form CEM-3511
2. Mix design documentation on Form CEM-3512 dated within 12 months of submittal
3. JMF verification on Form CEM-3513 dated within 12 months of production start, if applicable
4. Materials Safety Data Sheets (MSDS) for:

   4.1. Asphalt binder
   4.2. Base asphalt binder used in asphalt rubber binder

4.3. CRM and asphalt modifier used in asphalt rubber binder
4.4. Blended asphalt rubber binder mixture
4.5. Supplemental fine aggregate except fines from dust collectors
4.6. Antistrip additives

If the JMF must be verified or if the Engineer requests, submit samples of the following materials in labeled containers weighing no more than 22.5 kg each (notify the Engineer at least 2 business days before sampling materials):

1. Coarse, fine, and supplemental fine aggregate from stockpiles, cold feed belts, or hot bins. Samples must include at least 55 kg for each coarse aggregate, 35 kg for each fine aggregate, and 4.5 kg for each type of supplemental fines. The Department combines these aggregate samples to comply with the JMF target values submitted on Form CEM-3511.
2. RAP from stockpiles or RAP system. Samples must be at least 30 kg.
3. Asphalt binder from the binder supplier. Samples must be in two 1-liter cylindrical shaped cans with open top and friction lids.
4. Asphalt rubber binder with the components blended in the proportions to be used. Samples must be in four 1-liter cylindrical shaped cans with open top and friction lids.

39-1.03D JOB MIX FORMULA REVIEW

The Engineer reviews each mix design and proposed JMF within 5 business days from the complete JMF submittal. The review consists of reviewing the mix design procedures and comparing the proposed JMF with the specifications.

The Engineer may verify aggregate qualities during this review period.

39-1.03E JOB MIX FORMULA VERIFICATION

If you cannot submit a Department-verified JMF on Form CEM-3513 dated within 12 months before HMA production, the Engineer verifies the JMF.

Based on your testing and production experience, you may submit on Form CEM-3511 an adjusted JMF before the Engineer's verification testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

Test samples from the HMA plant to be used to determine possible JMF adjustments.

For HMA Type A, Type B, and RHMA-G, the Engineer verifies the JMF from samples taken from HMA produced by the plant to be used. The Engineer verifies each proposed JMF within 20 days of receiving a complete JMF submittal and verification samples. Verification is testing for compliance with the specifications for:

1. Aggregate quality
2. Aggregate gradation (JMF TV ± tolerance)
3. Asphalt binder content (JMF TV ± tolerance)
4. HMA quality specified in the table Hot Mix Asphalt for Job Mix Formula except:

   4.1. Air voids content (design value ± 2.0 percent)
   4.2. Voids filled with asphalt (report only if an adjustment for asphalt binder content target value is less than ± 0.3 percent from optimum binder content)
   4.3. Dust proportion (report only if an adjustment for asphalt binder content target value is less than ± 0.3 percent from optimum binder content)

If you request in writing, the Engineer verifies RHMA-G quality requirements within 3 business days of sampling.

In the Engineer's presence, under California Test 125, and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Sample RAP from the RAP system. Sample HMA from any of the following locations:

1. The plant
2. A truck
3. A windrow
4. Behind a paver

You may sample from a different project including a non-Department project if you make arrangements for the Engineer to be present during sampling.

For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and use 1 part for your testing.

The Engineer prepares 3 briquettes from a single split sample. To verify the JMF for stability, the Engineer tests the 3 briquettes and reports the average of 3 tests. The Engineer prepares new briquettes if the range of stability for the 3 briquettes is more than 12 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer may prepare 3 new briquettes and determine a new bulk specific gravity. If the Engineer chooses to determine bulk specific gravity with new briquettes and the Engineer's tests fail, the Engineer may not test again using the stability briquettes.

If the Engineer verifies the JMF, the Engineer provides you a Form CEM-3513.

If the Engineer's tests on plant-produced samples do not verify the JMF, the Engineer notifies you in writing and you must submit a new JMF submittal or submit an adjusted JMF based on your testing. JMF adjustments may include a change in the:

1. Asphalt binder content target value up to ±0.6 percent from the optimum binder content value submitted on Form CEM-3512 except do not adjust the target value for asphalt rubber binder for RHMA-G below 7.0 percent
2. Aggregate gradation target values within the target value limits specified in the aggregate gradation tables

You may adjust the JMF only once due to a failed verification test. An adjusted JMF requires a new Form CEM-3511 and verification of a plant-produced sample.

The Engineer reverifies the JMF if HMA production has stopped for longer than 30 days and the verified JMF is older than 12 months.

For each HMA type and aggregate size specified, the Engineer verifies at the State's expense up to 2 proposed JMF including a JMF adjusted after verification failure. The Engineer deducts $3,000 from payments for each verification exceeding this limit. This deduction does not apply to verifications initiated by the Engineer or if a JMF expires while HMA production is stopped longer than 30 days.

39-1.03F JOB MIX FORMULA ACCEPTANCE

You may start HMA production if:

1. The Engineer's review of the JMF shows compliance with the specifications.
2. The Department has verified the JMF within 12 months before HMA production.
3. The Engineer accepts the verified JMF.

39-1.04 CONTRACTOR QUALITY CONTROL

39-1.04A GENERAL

Establish, maintain, and change a quality control system to ensure materials and work comply with the specifications. Submit quality control test results to the Engineer within 3 days of a request except when QC / QA is specified.

39-1.04B PREPAVING CONFERENCE

Meet with the Engineer at a prepaving conference at a mutually agreed time and place. Discuss methods of performing the production and paving work.

39-1.04C ASPHALT RUBBER BINDER

Take asphalt rubber binder samples from the feed line connecting the asphalt rubber binder tank to the HMA plant. Sample and test asphalt rubber binder under Laboratory Procedure LP-11.

Test asphalt rubber binder for compliance with the viscosity specifications in Section 39-1.02, "Materials." During asphalt rubber binder production and HMA production using asphalt rubber binder, measure viscosity every hour with not

less than 1 reading for each asphalt rubber binder batch. Log measurements with corresponding time and asphalt rubber binder temperature. Submit the log daily in writing.

Submit a Certificate of Compliance under Section 6-1.07, "Certificates of Compliance." With the Certificate of Compliance, submit test results in writing for CRM and asphalt modifier with each truckload delivered to the HMA plant. A Certificate of Compliance for asphalt modifier must not represent more than 2250 kg. Use an AASHTO-certified laboratory for testing.

Sample and test gradation and wire and fabric content of CRM once per 4500 kg of scrap tire CRM and once per 1500 kg of high natural CRM. Sample and test scrap tire CRM and high natural CRM separately.

Submit certified weight slips in writing for the CRM and asphalt modifier furnished.

39-1.04D AGGREGATE

Determine the aggregate moisture content and RAP moisture content in continuous mixing plants at least twice a day during production and adjust the plant controller. Determine the RAP moisture content in batch mixing plants at least twice a day during production and adjust the plant controller.

39-1.04E RECLAIMED ASPHALT PAVEMENT

Perform RAP quality control testing each day.

Sample RAP once daily and determine the RAP aggregate gradation under Laboratory Procedure LP-9 and submit the results to the Engineer in writing with the combined aggregate gradation.

39-1.04F CORES

For Standard and QC / QA projects, take 100-mm or 150-mm diameter cores at least once every 5 business days. Take 1 core for every 225 tonnes of HMA from random locations the Engineer designates. Take cores in the Engineer's presence and backfill and compact holes with material authorized by the Engineer. Before submitting a core to the Engineer, mark it with the core's location and place it in a protective container.

If a core is damaged, replace it with a core taken within 0.3 m longitudinally from the original core. Relocate any core located within 0.3 m of a rumble strip to 0.3 m transversely away from the rumble strip.

39-1.04G BRIQUETTES

Prepare 3 briquettes separately for each stability determination. Report the average of 3 tests. Prepare new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

You may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If you use the same briquettes and tests using bulk specific gravity fail, you may prepare 3 new briquettes and determine a new bulk specific gravity. If you choose to determine bulk specific gravity with new briquettes and your tests fail, you may not test again using the stability briquettes.

39-1.05 ENGINEER'S ACCEPTANCE

The Engineer's acceptance of HMA is specified in the sections for each HMA construction process.

The Engineer samples materials for testing under California Test 125 and the applicable test method. Sampling must be statistically-based and random.

The Engineer takes HMA and aggregate samples during production and splits each sample into 2 parts. The Engineer tests 1 part to verify quality control test results and reserves and stores the remaining part. If you request, the Engineer splits samples and provides you with a part.

The Engineer accepts HMA based on:

1. Accepted JMF
2. Accepted QCP for Standard and QC / QA
3. Compliance with the HMA Acceptance tables
4. Acceptance of a lot for QC / QA
5. Visual inspection

The Engineer prepares 3 briquettes separately for each stability determination. The Engineer reports the average of 3 tests. The Engineer prepares new briquettes and test if the range of stability for the 3 briquettes is more than 12 points.

The Engineer may use the briquettes used for stability testing to determine bulk specific gravity under CT 308. If the Engineer uses the same briquettes and the tests using bulk specific gravity fail, the Engineer may prepare 3 new briquettes and determine a new bulk specific gravity. If the Engineer chooses to determine bulk specific gravity with new briquettes and the Engineer tests fail, the Engineer may not test again using the stability briquettes.

39-1.06 DISPUTE RESOLUTION

You and the Engineer must work together to avoid potential conflicts and to resolve disputes regarding test result discrepancies. Notify the Engineer in writing within 5 days of receiving a test result if you dispute the test result.

If you or the Engineer dispute each other's test results, submit written quality control test results and copies of paperwork including worksheets used to determine the disputed test results to the Engineer. An Independent Third Party (ITP) performs referee testing. Before the ITP participates in a dispute resolution, the ITP must be accredited under the Department's Independent Assurance Program. The ITP must be independent of the project. By mutual agreement, the ITP is chosen from:

1. A Department laboratory
2. A Department laboratory in a district or region not in the district or region the project is located
3. The Transportation Laboratory
4. A laboratory not currently employed by you or your HMA producer

If split quality control or acceptance samples are not available, the ITP uses any available material representing the disputed HMA for evaluation.

39-1.07 PRODUCTION START-UP EVALUATION

The Engineer evaluates HMA production and placement at production start-up.

Within the first 680 tonnes produced on the first day of HMA production, in the Engineer's presence and from the same production run, take samples of:

1. Aggregate
2. Asphalt binder
3. RAP
4. HMA

Sample aggregate from cold feed belts or hot bins. Take RAP samples from the RAP system. Sample HMA under California Test 125. For aggregate, RAP, and HMA, split the samples into at least 4 parts and label their containers. Submit 3 split parts to the Engineer and keep 1 part.

For Standard and QC / QA projects, you and the Engineer must test the split samples for compliance with specifications. You and the Engineer must report test results in writing within 3 business days of sampling.

For Standard and QC / QA projects, take 100-mm or 150-mm diameter cores within the first 680 tonnes on the first day of HMA production. For each core, the Engineer reports the bulk specific gravity determined under California Test 308, Method A in addition to the percent of maximum theoretical density. You may test for in-place density at the core locations and include them in your production tests for percent of maximum theoretical density.

39-1.08 PRODUCTION

39-1.08A GENERAL

Produce HMA in a batch mixing plant or a continuous mixing plant. Proportion aggregate by hot or cold feed control.

HMA plants must be Department-qualified. Before production, the HMA plant must have a current qualification under the Department's Materials Plant Quality Program.

During production, you may adjust:

1. Hot or cold feed proportion controls for virgin aggregate and RAP
2. The set point for asphalt binder content

39-1.08B MIXING

Mix HMA ingredients into a homogeneous mixture of coated aggregates.

Asphalt binder must be between 135 °C and 190 °C when mixed with aggregate.

Asphalt rubber binder must be between 177 °C and 218 °C when mixed with aggregate.

Aggregate must not be more than 163 °C when mixed with asphalt binder. Aggregate temperature specifications do not apply when you use RAP.

HMA with or without RAP must not be more than 163 °C.

39-1.08C ASPHALT RUBBER BINDER

Deliver scrap tire CRM and high natural CRM in separate bags.

Either proportion and mix asphalt binder, asphalt modifier, and CRM simultaneously or premix the asphalt binder and asphalt modifier before adding CRM. If you premix asphalt binder and asphalt modifier, the asphalt binder must be between 177 °C and 218 °C when you add asphalt modifier. Mix them for at least 20 minutes. When you add CRM, the asphalt binder and asphalt modifier must be between 177 °C and 218 °C.

Do not use asphalt rubber binder during the first 45 minutes of the reaction period. During this period, the asphalt rubber binder mixture must be between 177 °C and the lower of 218 °C or 6 °C below the asphalt binder's flash point indicated in the MSDS.

If any asphalt rubber binder is not used within 4 hours after the reaction period, discontinue heating. If the asphalt rubber binder drops below 177 °C, reheat before use. If you add more scrap tire CRM to the reheated asphalt rubber binder, the binder must undergo a 45-minute reaction period. The added scrap tire CRM must not exceed 10 percent of the total asphalt rubber binder mass. Reheated and reacted asphalt rubber binder must comply with the viscosity specifications for asphalt rubber binder in Section 39-1.02, "Materials." Do not reheat asphalt rubber binder more than twice.

39-1.09 SUBGRADE, TACK COAT, AND GEOSYNTHETIC PAVEMENT INTERLAYER

39-1.09A GENERAL

Prepare subgrade or apply tack coat to surfaces receiving HMA. If specified, place geosynthetic pavement interlayer over a coat of asphalt binder.

39-1.09B SUBGRADE

Subgrade to receive HMA must comply with the compaction and elevation tolerance specifications in the sections for the material involved. Subgrade must be free of loose and extraneous material. If HMA is paved on existing base or pavement, remove loose paving particles, dirt, and other extraneous material by any means including flushing and sweeping.

39-1.09C TACK COAT

Apply tack coat:

1. To existing pavement including planed surfaces
2. Between HMA layers
3. To vertical surfaces of:

    3.1. Curbs
    3.2. Gutters
    3.3. Construction joints

Before placing HMA, apply tack coat in 1 application at the minimum residual rate specified for the condition of the underlying surface:

Tack Coat Application Rates for HMA Type A, Type B, and RHMA-G

HMA Overlay over:	Minimum Residual Rates (liters per square meter)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA (between layers)	0.09	0.14	0.09
Existing AC and PCC pavement	0.14	0.18	0.14
Planed pavement	0.23	0.27	0.18

Tack Coat Application Rates for OGFC

OGFC over:	Minimum Residual Rates (liters per square meter)		
	CSS1/CSS1h, SS1/SS1h and QS1h/CQS1h Asphaltic Emulsion	CRS1/CRS2, RS1/RS2 and QS1/CQS1 Asphaltic Emulsion	Asphalt Binder and PMRS2/PMCRS2 and PMRS2h/PMCRS2h Asphaltic Emulsion
New HMA	0.14	0.18	0.14
Existing AC and PCC pavement	0.23	0.27	0.18
Planed pavement	0.27	0.32	0.23

Apply to vertical surfaces with a residual tack coat rate that will thoroughly coat the vertical face without running off.

If you request in writing and the Engineer authorizes, you may change tack coat rates.

Immediately in advance of placing HMA, apply additional tack coat to damaged areas or where loose or extraneous material is removed.

Close areas receiving tack coat to traffic. Do not track tack coat onto pavement surfaces beyond the job site.

Asphalt binder tack coat must be between 140 °C and 175 °C when applied.

39-1.09D GEOSYNTHETIC PAVEMENT INTERLAYER

Before placing the geosynthetic pavement interlayer and asphalt binder:

1. Repair cracks 6 mm and wider, spalls, and holes in the pavement. The State pays for this repair work under Section 4-1.03D, "Extra Work."
2. Clean the pavement of loose and extraneous material.

Immediately before placing the interlayer, apply 1.13 liter ± 0.14 liter of asphalt binder per square meter of interlayer or until the fabric is saturated. Apply asphalt binder the width of the geosynthetic pavement interlayer plus 75 mm on each side. At interlayer overlaps, apply asphalt binder on the lower interlayer the same overlap distance as the upper interlayer.

Align and place the interlayer with no overlapping wrinkles, except a wrinkle that overlaps may remain if it is less than 12.5 mm thick. If the overlapping wrinkle is more than 12.5 mm thick, cut the wrinkle out and overlap the interlayer no more than 50 mm.

The minimum HMA thickness over the interlayer must be 35 mm thick including conform tapers. Do not place the interlayer on a wet or frozen surface.

Overlap the interlayer borders between 50 mm and 100 mm. In the direction of paving, overlap the following roll with the preceding roll at any break.

You may use rolling equipment to correct distortions or wrinkles in the interlayer.

If asphalt binder tracked onto the interlayer or brought to the surface by construction equipment causes interlayer displacement, cover it with a small quantity of HMA.

Before placing HMA on the interlayer, do not expose the interlayer to:

1. Traffic except for crossings under traffic control and only after you place a small HMA quantity
2. Sharp turns from construction equipment
3. Damaging elements

Pave HMA on the interlayer during the same work shift.

39-1.10 SPREADING AND COMPACTING EQUIPMENT

Paving equipment for spreading must be:

1. Self-propelled
2. Mechanical
3. Equipped with a screed or strike-off assembly that can distribute HMA the full width of a traffic lane
4. Equipped with a full-width compacting device
5. Equipped with automatic screed controls and sensing devices that control the thickness, longitudinal grade, and transverse screed slope

Install and maintain grade and slope references.

The screed must produce a uniform HMA surface texture without tearing, shoving, or gouging.

The paver must not leave marks such as ridges and indentations unless you can eliminate them by rolling.

Rollers must be equipped with a system that prevents HMA from sticking to the wheels. You may use a parting agent that does not damage the HMA or impede the bonding of layers.

In areas inaccessible to spreading and compacting equipment:

1. Spread the HMA by any means to obtain the specified lines, grades and cross sections.
2. Use a pneumatic tamper, plate compactor, or equivalent to achieve thorough compaction.

39-1.11 TRANSPORTING, SPREADING, AND COMPACTING

Do not pave HMA on a wet pavement or frozen surface.

You may deposit HMA in a windrow and load it in the paver if:

1. Paver is equipped with a hopper that automatically feeds the screed
2. Loading equipment can pick up the windrowed material and deposit it in the paver hopper without damaging base material
3. Activities for deposit, pick-up, loading, and paving are continuous
4. HMA temperature in the windrow does not fall below 127 °C

You may pave HMA in 1 or more layers on areas less than 1.5 m wide and outside the traveled way including shoulders. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture.

HMA handled, spread, or windrowed must not stain the finished surface of any improvement including pavement.

Do not use petroleum products such as kerosene or diesel fuel to release HMA from trucks, spreaders, or compactors.

HMA must be free of:

1. Segregation
2. Coarse or fine aggregate pockets
3. Hardened lumps

Longitudinal joints in the top layer must match specified lane edges. Alternate longitudinal joint offsets in lower layers at least 0.15 m from each side of the specified lane edges. You may request in writing other longitudinal joint placement patterns.

Until the adjoining through lane's top layer has been paved, do not pave the top layer of:

1. Shoulders
2. Tapers
3. Transitions
4. Road connections
5. Private drives
6. Curve widenings
7. Chain control lanes
8. Turnouts
9. Left turn pockets

If the number of lanes change, pave each through lane's top layer before paving a changing lane's top layer. Simultaneous to paving a through lane's top layer, you may pave an adjoining area's top layer including shoulders. Do not operate spreading equipment on any area's top layer until completing final compaction.

If HMA (leveling) is specified, fill and level irregularities and ruts with HMA before spreading HMA over base, existing surfaces, or bridge decks. You may use mechanical equipment other than a paver for these areas. The equipment must produce a uniform smoothness and texture. HMA used to change an existing surface's cross slope or profile is not HMA (leveling).

If placing HMA against the edge of existing pavement, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. If placing HMA against the edge of a longitudinal or transverse construction joint and the joint is damaged or not placed to a neat line, sawcut or grind the pavement straight and vertical along the joint and remove extraneous material without damaging the surface remaining in place. Repair or remove and replace damaged pavement at your expense.

Rolling must leave the completed surface compacted and smooth without tearing, cracking, or shoving. Complete finish rolling activities before the pavement surface temperature is:

1. Below 65 °C for HMA with unmodified binder
2. Below 60 °C for HMA with modified binder
3. Below 93 °C for RHMA-G

If a vibratory roller is used as a finish roller, turn the vibrator off.

Do not use a pneumatic tired roller to compact RHMA-G.

For Standard and QC/QA, if a 19-mm aggregate grading is specified, you may use a 12.5-mm aggregate grading if the total layer thickness is between 38 mm and 60 mm thick.

Spread and compact HMA under Section 39-3.03, "Spreading and Compacting Equipment," and Section 39-3.04, "Transporting, Spreading, and Compacting," if either:

1. Total paved thickness is less than 45 mm.
2. Total paved thickness is less than 60 mm and a 19-mm aggregate grading is specified and used.
3. You spread and compact at:

    3.1. Asphalt concrete surfacing replacement areas
    3.2. Leveling courses
    3.3. Detours not included in the final roadway prism
    3.4. Areas the Engineer determines conventional compaction and compaction measurement methods are impeded

Do not allow traffic on new HMA pavement until its mid-depth temperature is below 71 °C.

If you request in writing and the Engineer authorizes, you may cool HMA Type A and Type B with water when rolling activities are complete. Apply water under Section 17, "Watering."

Spread sand at a rate between 0.5 kg and 1 kg per square meter on new RHMA-G, RHMA-O, and RHMA-O-HB pavement when finish rolling is complete. Sand must be free of clay or organic matter. Sand must comply with Section 90-3.03, "Fine Aggregate Grading." Keep traffic off the pavement until spreading sand is complete.

39-1.12 SMOOTHNESS

39-1.12A GENERAL

Determine HMA smoothness with a profilograph and a straightedge.

Smoothness specifications do not apply to OGFC placed on existing pavement not constructed under the same project.

If portland cement concrete is placed on HMA:

1. Cold plane the HMA finished surface to within specified tolerances if it is higher than the grade specified by the Engineer.
2. Remove and replace HMA if the finished surface is lower than 15 mm below the grade specified by the Engineer.

39-1.12B STRAIGHTEDGE

The HMA pavement top layer must not vary from the lower edge of a 3.66-m long straightedge:

1. More than 3 mm when the straight edge is laid parallel with the centerline
2. More than 6 mm when the straightedge is laid perpendicular to the centerline and extends from edge to edge of a traffic lane
3. More than 6 mm when the straightedge is laid within 7.3 m of a pavement conform

39-1.12C PROFILOGRAPH

Under California Test 526, determine the zero (null) blanking band Profile Index (PI_0) and must-grinds on the top layer of HMA Type A, Type B, and RHMA-G pavement. Take 2 profiles within each traffic lane, 3 feet from and parallel with the edge of each lane.

A must-grind is a deviation of 1 m or more in a length of 7.5 m. You must correct must-grinds.

For OGFC, only determine must-grinds when placed over HMA constructed under the same project. The top layer of the underlying HMA must comply with the smoothness specifications before placing OGFC.

Profile pavement in the Engineer's presence. Choose the time of profiling.

On tangents and horizontal curves with a centerline radius of curvature 600 m or more, the PI_0 must be at most 75 mm per 160-m section.

On horizontal curves with a centerline radius of curvature between 300 m and 600 m including pavement within the superelevation transitions, the PI_0 must be at most 150 mm per 160-m section.

Before the Engineer accepts HMA pavement for smoothness, submit written final profilograms.

Submit 1 electronic copy of profile information in Microsoft Excel and 1 electronic copy of longitudinal pavement profiles in ".erd" format or other ProVAL compatible format to the Engineer and to:

Smoothness@dot.ca.gov

The following HMA pavement areas do not require a $PI_{0.}$ You must measure these areas with a 3.6-m straightedge and determine must-grinds with a profilograph:

1. New HMA with a total thickness less than or equal to 75 mm
2. HMA sections of city or county streets and roads, turn lanes and collector lanes that are less than 460 m in length

The following HMA pavement areas do not require a $PI_{0.}$ You must measure these areas with a 3.6-m straightedge:

1. Horizontal curves with a centerline radius of curvature less than 300 m including pavement within the superelevation transitions of those curves
2. Within 3.66 m of a transverse joint separating the pavement from:

    2.1. Existing pavement not constructed under the same project
    2.2. A bridge deck or approach slab

3. Exit ramp termini, truck weigh stations, and weigh-in-motion areas
4. If steep grades and superelevation rates greater than 6 percent are present on:

    4.1. Ramps
    4.2. Connectors

5. Turn lanes and areas around manholes or drainage transitions
6. Acceleration and deceleration lanes for at-grade intersections
7. Shoulders and miscellaneous areas
8. HMA pavement within 1 m from and parallel to the construction joints formed between curbs, gutters, or existing pavement

39-1.12D SMOOTHNESS CORRECTION

If the top layer of HMA Type A, Type B, or RHMA-G pavement does not comply with the smoothness specifications, grind the pavement to within tolerances, remove and replace it, or place an overlay of HMA. The Engineer must authorize your choice of correction before the work begins.

Remove and replace the areas of OGFC not in compliance with the must-grind and straightedge specifications, except you may grind OGFC for correcting smoothness:

1. At a transverse joint separating the pavement from pavement not constructed under the same project
2. Within 3.66 m of a transverse joint separating the pavement from a bridge deck or approach slab

Corrected HMA pavement areas must be uniform rectangles with edges:

1. Parallel to the nearest HMA pavement edge or lane line
2. Perpendicular to the pavement centerline

After correcting for smoothness, measure the corrected HMA pavement surface with a profilograph and a 3.66-m straightedge until the pavement is within specified tolerances. If a must-grind area or straightedged pavement cannot be corrected to within specified tolerances, remove and replace the pavement.

On ground areas not overlaid with OGFC, apply fog seal coat under Section 37-1, "Seal Coats."

39-1.13 MISCELLANEOUS AREAS AND DIKES

Miscellaneous areas are outside the traveled way and include:

1. Median areas not including inside shoulders
2. Island areas
3. Sidewalks

4. Gutters
5. Gutter flares
6. Ditches
7. Overside drains
8. Aprons at the ends of drainage structures

Spread miscellaneous areas in 1 layer and compact to the specified lines and grades.
For miscellaneous areas and dikes:

1. Do not submit a JMF.
2. Choose the 9.5-mm or 12.5-mm HMA Type A and Type B aggregate gradations.
3. Minimum asphalt binder content must be 6.8 percent for 9.5-mm aggregate and 6.0 percent for 12.5-mm aggregate. If you request in writing and the Engineer authorizes, you may reduce the minimum asphalt binder content.
4. Choose asphalt binder Grade PG 70-10 or the same grade specified for HMA.

39-1.14 SHOULDER RUMBLE STRIP

Construct shoulder rumble strips by rolling or grinding indentations in the top layer of new HMA surfacing.
Select the method and equipment for constructing ground-in indentations.
Do not construct shoulder rumble strips on structures or approach slabs.
Construct rumble strips within 50 mm of the specified alignment. Roller or grinding equipment must be equipped with a sighting device enabling the operator to maintain the rumble strip alignment.
Rolled-in indentations must not vary from the specified dimensions by more than 10 percent.
Ground-in indentations must comply with the specified dimensions within 1.5 mm in depth or 10 percent in length and width.
The Engineer orders grinding or removal and replacement of noncompliant rumble strips to bring them within specified tolerances. Ground surface areas must be neat and uniform in appearance.
The grinding equipment must be equipped with a vacuum attachment to remove residue.
Dispose of removed material under Section 7-1.13, "Disposal of Material Outside the Highway Right of Way."
On ground areas, apply fog seal coat under Section 37-1, "Seal Coats."

39-2 STANDARD

39-2.01 DESCRIPTION

If HMA is specified as Standard, construct it under Section 39-1, "General," this Section 39-2, "Standard," and Section 39-5, "Measurement and Payment."

39-2.02 CONTRACTOR QUALITY CONTROL

39-2.02A QUALITY CONTROL PLAN

Establish, implement, and maintain a Quality Control Plan (QCP) for HMA. The QCP must describe the organization and procedures you will use to:

1. Control the quality characteristics
2. Determine when corrective actions are needed (action limits)
3. Implement corrective actions

When you submit the proposed JMF, submit the written QCP. You and the Engineer must discuss the QCP during the prepaving conference.
The QCP must address the elements affecting HMA quality including:

1. Aggregate
2. Asphalt binder
3. Additives
4. Production
5. Paving

39-2.02B QUALITY CONTROL TESTING

Perform sampling and testing at the specified frequency for the following quality characteristics:

Minimum Quality Control – Standard

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			
			A	B	RHMA-G	OGFC
Aggregate gradation[a]	CT 202	1 per 680 tonnes and any remaining part	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]
Sand equivalent (min.) [c]	CT 217		47	42	47	--
Asphalt binder content (%)	CT 379 or 382		JMF ± 0.45	JMF ± 0.45	JMF ± 0.50	JMF +0.50 -0.70
HMA moisture content (%, max.)	CT 226 or CT 370	1 per 2250 tonnes but not less than 1 per paving day	1.0	1.0	1.0	1.0
Percent of maximum theoretical density (%) [d, e]	Quality control plan	2 per business day (min.)	91 - 97	91 - 97	91 - 97	--
Stabilometer value (min.) [c, f] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	CT 366	One per 3600 tonnes or 2 per 5 business days, which-ever is more	30 37	30 35	-- 23	-- --
Air voids content (%) [c, g]	CT 367		4 ± 2	4 ± 2	Specification ± 2	--
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [h]	CT 226 or CT 370	2 per day during production	--	--	--	--
Percent of crushed particles coarse aggregate (%, min.) One fractured face Two fractured faces Fine aggregate (%, min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face	CT 205	As necessary and designat-ed in the QCP. At least once per project	90 75 70	25 -- 20	-- 90 70	90 75 90
Los Angeles Rattler (%, max.) Loss at 100 rev. Loss at 500 rev.	CT 211		12 45	-- 50	12 40	12 40

Fine aggregate angularity (%, min.)	AASHTO T 304, Method A		Report only	Report only	Report only	--
Flat and elongated particles (%, max. by mass @ 5:1)	ASTM D 4791		Report only	Report only	Report only	Report only
Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-3		 76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	 76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	Report only	--
Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-2		 17.0 15.0 14.0 13.0	 17.0 15.0 14.0 13.0	 -- -- 18.0 – 23.0 [j] 18.0 – 23.0 [j]	--
Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	LP-4		 0.9 – 2.0 0.6 – 1.3	 0.9 – 2.0 0.6 – 1.3	 Report only	--
Smoothness	Section 39-1.12	--	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge and must-grind
Asphalt rubber binder viscosity @ 177 °C, centipoises	Section 39-1.02D	--	--	--	1,500 – 4,000	1,500 – 4,000
Crumb rubber modifier	Section 39-1.02D	--	--	--	Section 39-1.02D	Section 39-1.02D

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Report the average of 3 tests from a single split sample.
[d] Required for HMA Type A, Type B, and RHMA-G if the total paved thickness is at least 45 mm.
[e] Determine maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[f] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 69 °C for a minimum of 2 hours and not more than 3 hours."
[g] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[h] For adjusting the plant controller at the HMA plant.
[i] Report only if the adjustment for asphalt binder content target value is less than ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

For any single quality characteristic except smoothness, if 2 consecutive quality control test results do not comply with the action limits or specifications:

1. Stop production.
2. Notify the Engineer in writing.
3. Take corrective action.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-2.03 ENGINEER'S ACCEPTANCE

39-2.03A TESTING

The Engineer samples for acceptance testing and tests for:

HMA Acceptance - Standard

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Aggregate gradation [a]	CT 202	JMF ± Tolerance [c]	JMF ± Tolerance [c]	JMF ± Tolerance [c]	JMF ± Tolerance [c]
Sieve / 19 mm / 12.5 mm / 9.5 mm					
12.5-mm / X [b] /					
9.5-mm / / X /					
4.75-mm / / / X					
2.36-mm / X / X / X					
0.075-mm / X / X / X					
Sand equivalent (min.) [d]	CT 217	47	42	47	--
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5	JMF +0.50 -0.70
HMA moisture content (%, max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0
Percent of maximum theoretical density (%) [e, f]	CT 375	91 – 97	91 – 97	91 – 97	--
Stabilometer value (min.) [d, g]	CT 366				
4.75-mm and 9.5-mm gradings		30	30	--	--
12.5-mm and 19-mm gradings		37	35	23	--
Air voids content (%) [d, h]	CT 367	4 ± 2	4 ± 2	Specification ± 2	--
Percent of crushed particles	CT 205				
Coarse aggregate (%, min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (%, min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (%, max.)	CT 211				
Loss at 100 rev.		12	--	12	12
Loss at 500 rev.		45	50	40	40
Fine aggregate angularity (%, min.)	AASHTO T 304, Method A	Report only	Report only	Report only	--
Flat and elongated particles (%, max. by mass @ 5:1)	ASTM D 4791	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) [i]	LP-3				--
4.75-mm grading		76.0 – 80.0	76.0 – 80.0	Report only	
9.5-mm grading		73.0 – 76.0	73.0 – 76.0		
12.5-mm grading		65.0 – 75.0	65.0 – 75.0		
19-mm grading		65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) [i]	LP-2				--
4.75-mm grading		17.0	17.0	--	
9.5-mm grading		15.0	15.0	--	
12.5-mm grading		14.0	14.0	18.0 – 23.0 [j]	
19-mm grading		13.0	13.0	18.0 – 23.0 [j]	
Dust proportion [i]	LP-4				--
4.75-mm and 9.5-mm				Report only	

gradings 12.5-mm and 19-mm gradings		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3		
Smoothness	Section 39-1.12	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge, must-grind, and PI_0	3.66-m straightedge and must-grind
Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.02(C) and Section 39-1.02D	Section 92-1.02(C) and Section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.
[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[d] The Engineer reports the average of 3 tests from a single split sample.
[e] The Engineer determines percent of maximum theoretical density if the total paved thickness is at least 45 mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[f] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ±3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 680 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

The Engineer tests the core you take from each 225 tonnes of HMA production. The Engineer determines the percent of maximum theoretical density for each core by determining the core's density and dividing by the maximum theoretical density.

If the total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from cores taken from the final layer measured the full depth of the total paved HMA thickness.

For percent of maximum theoretical density, the Engineer determines a deduction for each test result outside the specifications in compliance with:

Reduced Payment Factors for Percent of Maximum Theoretical Density

HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor	HMA Type A and B and RHMA-G Percent of Maximum Theoretical Density	Reduced Payment Factor
91.0	0.0000	97.0	0.0000
90.9	0.0125	97.1	0.0125
90.8	0.0250	97.2	0.0250
90.7	0.0375	97.3	0.0375
90.6	0.0500	97.4	0.0500
90.5	0.0625	97.5	0.0625
90.4	0.0750	97.6	0.0750
90.3	0.0875	97.7	0.0875
90.2	0.1000	97.8	0.1000
90.1	0.1125	97.9	0.1125
90.0	0.1250	98.0	0.1250
89.9	0.1375	98.1	0.1375
89.8	0.1500	98.2	0.1500
89.7	0.1625	98.3	0.1625
89.6	0.1750	98.4	0.1750
89.5	0.1875	98.5	0.1875
89.4	0.2000	98.6	0.2000
89.3	0.2125	98.7	0.2125
89.2	0.2250	98.8	0.2250
89.1	0.2375	98.9	0.2375
89.0	0.2500	99.0	0.2500
< 89.0	Remove and Replace	> 99.0	Remove and Replace

39-2.04 TRANSPORTING, SPREADING, AND COMPACTING

Determine the number of rollers needed to obtain the specified density and surface finish.

39-3 METHOD

39-3.01 DESCRIPTION

If HMA is specified as Method, construct it under Section 39-1, "General," this Section 39-3, "Method," and Section 39-5, "Measurement and Payment."

39-3.02 ENGINEER'S ACCEPTANCE

39-3.02A TESTING

The Engineer samples for acceptance testing and tests for:

HMA Acceptance - Method

Quality Characteristic	Test Method	HMA Type			
		A	B	RHMA-G	OGFC
Aggregate gradation [a]	CT 202	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]
Sand equivalent (min.) [c]	CT 217	47	42	47	--
Asphalt binder content (%)	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5	JMF +0.50 -0.70
HMA moisture content (%, max.)	CT 226 or CT 370	1.0	1.0	1.0	1.0
Stabilometer value (min.) [c, d,]	CT 366				
4.75-mm and 9.5-mm gradings		30	30	--	--
12.5-mm and 19-mm gradings		37	35	23	--
Percent of crushed particles	CT 205				
Coarse aggregate (% min.)					
One fractured face		90	25	--	90
Two fractured faces		75	--	90	75
Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.)					
One fractured face		70	20	70	90
Los Angeles Rattler (% max.)	CT 211				
Loss at 100 rev.		12	--	12	12
Loss at 500 rev.		45	50	40	40
Air voids content (%) [c, e]	CT 367	4 ± 2	4 ± 2	Specification ± 2	--
Fine aggregate angularity (% min.)	AASHTO T 304, Method A	Report only	Report only	Report only	--
Flat and elongated particles (% max. by mass @ 5:1)	ASTM D 4791	Report only	Report only	Report only	Report only
Voids filled with asphalt (%) [f]	LP-3				--
4.75-mm grading		76.0 – 80.0	76.0 – 80.0	Report only	
9.5-mm grading		73.0 – 76.0	73.0 – 76.0		
12.5-mm grading		65.0 – 75.0	65.0 – 75.0		
19-mm grading		65.0 – 75.0	65.0 – 75.0		
Voids in mineral aggregate (% min.) [f]	LP-2				--
4.75-mm grading		17.0	17.0	--	
9.5-mm grading		15.0	15.0	--	
12.5-mm grading		14.0	14.0	18.0 – 23.0 [g]	
19-mm grading		13.0	13.0	18.0 – 23.0 [g]	
Dust proportion [f]	LP-4				--
4.75-mm and 9.5-mm gradings		0.9 – 2.0	0.9 – 2.0	Report only	
12.5-mm and 19-mm gradings		0.6 – 1.3	0.6 – 1.3		
Smoothness	Section 39-1.12	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind	3.66-m straightedge and must-grind

Asphalt binder	Various	Section 92	Section 92	Section 92	Section 92
Asphalt rubber binder	Various	--	--	Section 92-1.02(C) and Section 39-1.02D	Section 92-1.02(C) and Section 39-1.02D
Asphalt modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D
Crumb rubber modifier	Various	--	--	Section 39-1.02D	Section 39-1.02D

[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] The Engineer reports the average of 3 tests from a single split sample.
[d] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ±3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[e] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[f] Report only if the adjustment for asphalt binder content target value is less than ± 0.3 percent from OBC.
[g] Voids in mineral aggregate for RHMA-G must be within this range.

No single test result may represent more than the smaller of 680 tonnes or 1 day's production.

For any single quality characteristic except smoothness, if 2 consecutive acceptance test results do not comply with the specifications:

1. Stop production.
2. Take corrective action.
3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-3.03 SPREADING AND COMPACTING EQUIPMENT

Each paver spreading HMA Type A and Type B must be followed by 3 rollers:

1. One vibratory roller specifically designed to compact HMA. The roller must be capable of at least 2,500 vibrations per minute and must be equipped with amplitude and frequency controls. The roller's gross static mass must be at least 6.8 tonnes.
2. One oscillating type pneumatic-tired roller at least 1.2 m wide. Pneumatic tires must be of equal size, diameter, type, and ply. The tires must be inflated to 415 kilopascals minimum and maintained so that the air pressure does not vary more than 35 kilopascals.
3. One steel-tired, 2-axle tandem roller. The roller's gross static mass must be at least 6.8 tonnes.

Each roller must have a separate operator. Rollers must be self-propelled and reversible.

Compact RHMA-G under the specifications for compacting HMA Type A and Type B except do not use pneumatic-tired rollers.

Compact OGFC with steel-tired, 2-axle tandem rollers. If placing over 272 tonnes of OGFC per hour, use at least 3 rollers for each paver. If placing less than 272 tonnes of OGFC per hour, use at least 2 rollers for each paver. Each roller must weigh between 2250 kilograms to 3075 kilograms per linear meter of drum width. Turn the vibrator off.

39-3.04 TRANSPORTING, SPREADING, AND COMPACTING

Pave HMA in maximum 75-mm thick compacted layers.

If the surface to be paved is both in sunlight and shade, pavement surface temperatures are taken in the shade.

Spread HMA Type A and Type B only if atmospheric and surface temperatures are:

Minimum Atmospheric and Surface Temperatures

Compacted Layer Thickness, mm	Atmospheric,° F		Surface,° F	
	Unmodified Asphalt Binder	Modified Asphalt Binder[a]	Unmodified Asphalt Binder	Modified Asphalt Binder [a]
< 45	12.8	10.0	15.6	12.8
45 – 75	7.2	7.2	10.0	10.0

Note:
[a] Except asphalt rubber binder.

If the asphalt binder for HMA Type A and Type B is:

1. Unmodified asphalt binder, complete:

   1.1. First coverage of breakdown compaction before the surface temperature drops below 120 °C
   1.2. Breakdown and intermediate compaction before the surface temperature drops below 95 °C
   1.3. Finish compaction before the surface temperature drops below 65 °C

2. Modified asphalt binder, complete:

   2.1. First coverage of breakdown compaction before the surface temperature drops below 115 °C
   2.2. Breakdown and intermediate compaction before the surface temperature drops below 85 °C
   2.3. Finish compaction before the surface temperature drops below 60 °C

For RHMA-G:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete the first coverage of breakdown compaction before the surface temperature drops below 140 °C.
3. Complete breakdown and intermediate compaction before the surface temperature drops below 120 °C.
4. Complete finish compaction before the surface temperature drops below 95 °C.
5. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with unmodified asphalt binder:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and the surface temperature is at least 15.6 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 95 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For OGFC with modified asphalt binder except asphalt rubber binder:

1. Only spread and compact if the atmospheric temperature is at least 10 °C and the surface temperature is at least 10 °C.
2. Complete first coverage using 2 rollers before the surface temperature drops below 115 °C.
3. Complete all compaction before the surface temperature drops below 85 °C.
4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until you transfer the mixture to the paver's hopper or to the pavement surface.

For RHMA-O and RHMA-O-HB:

1. Only spread and compact if the atmospheric temperature is at least 12.8 °C and surface temperature is at least 15.6 °C.
2 Complete the 1st coverage using 2 rollers before the surface temperature drops below 140 °C.
3. Complete compaction before the surface temperature drops below 120 °C.

4. If the atmospheric temperature is below 21 °C, cover loads in trucks with tarpaulins. The tarpaulins must completely cover the exposed load until the mixture is transferred to the paver's hopper or to the pavement surface.

For RHMA-G and OGFC, tarpaulins are not required if the time from discharge to truck until transfer to the paver's hopper or the pavement surface is less than 30 minutes.

HMA compaction coverage is the number of passes needed to cover the paving width. A pass is 1 roller's movement parallel to the paving in either direction. Overlapping passes are part of the coverage being made and are not a subsequent coverage. Do not start a coverage until completing the prior coverage.

Start rolling at the lower edge and progress toward the highest part.

Perform breakdown compaction of each layer of HMA Type A, Type B, and RHMA-G with 3 coverages using a vibratory roller. The speed of the vibratory roller in kilometers per hour must not exceed the vibrations per minute divided by 1,600. If the HMA layer thickness is less than 25 mm, turn the vibrator off. The Engineer may order fewer coverages if the HMA layer thickness is less than 45 mm.

Perform intermediate compaction of each layer of HMA Type A and Type B with 3 coverages using a pneumatic-tired roller at a speed not to exceed 8 kilometers per hour.

Perform finish compaction of HMA Type A, Type B, and RHMA-G with 1 coverage using a steel-tired roller.

Compact OGFC with 2 coverages using steel-tired rollers.

39-4 QUALITY CONTROL / QUALITY ASSURANCE

39-4.01 DESCRIPTION

If HMA is specified as Quality Control / Quality Assurance, construct it under Section 39-1, "General," this Section 39-4, "Quality Control / Quality Assurance," and Section 39-5, "Measurement and Payment."

39-4.02 GENERAL

The QC / QA construction process consists of:

1. Establishing, maintaining, and changing if needed a quality control system providing assurance the HMA complies with the specifications
2. Sampling and testing at specified intervals, or sublots, to demonstrate compliance and to control process
3. The Engineer sampling and testing at specified intervals to verify testing process and HMA quality
4. The Engineer using test results, statistical evaluation of verified quality control tests, and inspection to accept HMA for payment

A lot is a quantity of HMA. The Engineer designates a new lot when:

1. 20 sublots are complete
2. The JMF changes
3. Production stops for more than 30 days

Each lot consists of no more than 20 sublots. A sublot is 680 tonnes except HMA paved at day's end greater than 225 tonnes is a sublot. If HMA paved at day's end is less than 225 tonnes, you may either make this quantity a sublot or include it in the previous sublot's test results for statistical evaluation.

39-4.03 CONTRACTOR QUALITY CONTROL

39-4.03A GENERAL

Use a composite quality factor, QF_C, and individual quality factors, QF_{QCi}, to control your process and evaluate quality control program. For quality characteristics without quality factors, use your quality control plan's action limits to control process.

Control HMA quality including:

1. Materials
2. Proportioning
3. Spreading and compacting
4. Finished roadway surface

Develop, implement, and maintain a quality control program that includes:

1. Inspection

2. Sampling
3. Testing

39-4.03B QUALITY CONTROL PLAN

With the JMF submittal, submit a written Quality Control Plan (QCP). The QCP must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement. Discuss the QCP with the Engineer during the prepaving conference.

The Engineer reviews each QCP within 5 business days from the submittal. Hold HMA production until the Engineer accepts the QCP in writing. The Engineer's QCP acceptance does not mean your compliance with the QCP will result in acceptable HMA. Section 39-1.05, "Engineer's Acceptance," specifies HMA acceptance.

The QCP must include the name and qualifications of a Quality Control Manager. The Quality Control Manager administers the QCP and during paving must be at the job site within 3 hours of receiving notice. The Quality Control Manager must not be any of the following on the project:

1. Foreman
2. Production or paving crewmember
3. Inspector
4. Tester

The QCP must include action limits and details of corrective action you will take if a test result for any quality characteristic falls outside an action limit.

As work progresses, you must submit a written QCP supplement to change quality control procedures, personnel, tester qualification status, or laboratory accreditation status.

39-4.03C QUALITY CONTROL INSPECTION, SAMPLING, AND TESTING

Sample, test, inspect, and manage HMA quality control.

Provide a roadway inspector while HMA paving activities are in progress. Provide a plant inspector during HMA production.

Inspectors must comply with the Department's Quality Control Manual for Hot Mix Asphalt Production and Placement.

Provide a testing laboratory and personnel for quality control testing. Provide the Engineer unrestricted access to the quality control activities. Before providing services for the project, the Engineer reviews, accredits, and qualifies the testing laboratory and personnel under the Department's Independent Assurance Program.

The minimum random sampling and testing for quality control is:

Minimum Quality Control – QC / QA

Quality Characteristic	Test Method	Minimum Sampling and Testing Frequency	HMA Type			Location of Sampling	Maximum Reporting Time Allowance
			A	B	RHMA-G		
Aggregate gradation [a]	CT 202	1 per 680 tonnes	JMF ± Tolerance [b]	JMF ± Tolerance [b]	JMF ± Tolerance [b]	CT 125	24 hours
Asphalt binder content (%)	CT 379 or 382		JMF ±0.45	JMF ±0.45	JMF ±0.5	Loose Mix Behind Paver See CT 125	
Percent of maximum theoretical density (%) [c, d]	QC Plan		92 - 96	92 - 96	91 - 96	QC Plan	
Aggregate moisture content at continuous mixing plants and RAP moisture content at continuous mixing plants and batch mixing plants [e]	CT 226 or CT 370	2 per day during production	--	--	--	Stockpiles or cold feed belts	--
Sand equivalent (min.) [f]	CT 217	1 per 680 tonnes	47	42	47	CT 125	24 hours
HMA moisture content (%, max.)	CT 226 or CT 370	1 per 2250 tonnes but not less than 1 per paving day	1.0	1.0	1.0	Loose Mix Behind Paver See CT 125	24 hours
Stabilometer Value (min.) [f, h] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	CT 366	1 per 3600 tonnes or 2 per 5 business days, whichever is more	30 37	30 35	-- 23		48 hours
Air voids content (%) [f, h]	CT 367		4 ± 2	4 ± 2	Specification ± 2		

Percent of crushed particles coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face	CT 205	As neces-sary and designat-ed in QCP. At least once per project.	90 75 70	25 -- 20	-- 90 70	CT 125	48 hours
Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.	CT 211		12 45	-- 50	12 40	CT 125	
Fine aggregate angularity (% min.)	AASHTO T 304, Method A		Report only	Report only	Report only	CT 125	
Flat and elongated particle (% max. by mass @ 5:1)	ASTM D 4791					CT 125	
Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-2		76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0	76.0 – 80.0 73.0 – 76.0 65.0 – 75.0 65.0 – 75.0		LP-2	
Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading	LP-3		17.0 15.0 14.0 13.0	17.0 15.0 14.0 13.0	-- -- 18.0 – 23.0[j] 18.0 – 23.0[j]	LP-3	
Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings	LP-4		0.9 – 2.0 0.6 – 1.3	0.9 – 2.0 0.6 – 1.3	Report only	LP-4	
Smoothness	Section 39-1.12	--	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	--	
Asphalt rubber binder viscosity @ 177 °C, centipoises	Section 39-1.02D	--	--	--	1,500 – 4,000	Section 39-1.02D	24 hours
Crumb rubber modifier	Section 39-1.02D	--	--	--	Section 39-1.02D	Section 39-1.02D	48 hours

Notes:
[a] Determine combined aggregate gradation containing RAP under Laboratory Procedure LP-9.
[b] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[c] Required for HMA Type A, Type B, and RHMA-G if the total paved thickness is at least 45 mm.

[d] Determine maximum theoretical density (California Test 309) at the frequency specified for test maximum density under California Test 375, Part 5 D.
[e] For adjusting the plant controller at the HMA plant.
[f] Report the average of 3 tests from a single split sample.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[h] Determine the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

Within the specified reporting time, submit written test results including:

1. Sampling location, quantity, and time
2. Testing results
3. Supporting data and calculations

If test results for any quality characteristic are beyond the action limits in the QCP, take corrective actions. Document the corrective actions taken in the inspection records under Section 39-4.03E, "Records of Inspection and Testing."

Stop production, notify the Engineer in writing, take corrective action, and demonstrate compliance with the specifications before resuming production and placement on the State highway if:

1. A lot's composite quality factor, Q_{FC}, or an individual quality factor, QF_{QCi} for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF_{QCi} for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, QF_{QCi}, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

39-4.03D CHARTS AND RECORDS

Record sampling and testing results for quality control on forms provided in the "Quality Control Manual for Hot Mix Asphalt Production and Placement," or on forms you submit with the QCP. The QCP must also include form posting locations and submittal times.

Submit quality control test results using the Department's statistical evaluation program, HMAPay, available at

www.dot.ca.gov/hq/construc/hma/index.htm

39-4.03E RECORDS OF INSPECTION AND TESTING

During HMA production, submit in writing a daily:

1. HMA Construction Daily Record of Inspection. Also make this record available at the HMA plant and job site each day.
2. HMA Inspection and Testing Summary. Include in the summary:

    2.1. Test forms with the testers' signatures and Quality Control Manager's initials.
    2.2. Inspection forms with the inspectors' signatures and Quality Control Manager's initials.
    2.3. A list and explanation of deviations from the specifications or regular practices.
    2.4. A signed statement by the Quality Control Manager that says:

    "It is hereby certified that the information contained in this record is accurate, and that information, tests, or calculations documented herein comply with the specifications of the contract and the standards set forth in the testing procedures. Exceptions to this certification are documented as part of this record."

Retain for inspection the records generated as part of quality control including inspection, sampling, and testing for at least 3 years after final acceptance.

39-4.03F STATISTICAL EVALUATION

General

Determine a lot's composite quality factor, QF_C, and the individual quality factors, QF_{QCi}. Perform statistical evaluation calculations to determine these quality factors based on quality control test results for:

1. Aggregate gradation
2. Asphalt binder content
3. Percent of maximum theoretical density

The Engineer grants a waiver and you must use 1.0 as the individual quality factor for percent of maximum theoretical density, QF_{QC5}, for HMA paved in:

1. Areas where the total paved thickness is less than 45 mm
2. Areas where the total paved thickness is less than 60 mm and a 19-mm grading is specified and used
3. Dig outs
4. Leveling courses
5. Detours not part of the finished roadway prism
6. Areas where, in the opinion of the Engineer, compaction or compaction measurement by conventional methods is impeded

Statistical Evaluation Calculations

Use the Variability-Unknown / Standard Deviation Method to determine the percentage of a lot not in compliance with the specifications. The number of significant figures used in the calculations must comply with AASHTO R-11, Absolute Method.

Determine the percentage of work not in compliance with the specification limits for each quality characteristic as follows:

1. Calculate the arithmetic mean ($\overline{X}$) of the test values

$$\overline{X} = \frac{\Sigma x}{n}$$

where:

x = individual test values
n = number of test values

2. Calculate the standard deviation

$$s = \sqrt{\frac{n\,(\Sigma x^2)-(\Sigma x)^2}{n(n-1)}}$$

where:

$\sum(x^2)$ = sum of the squares of individual test values
$(\sum x)^2$ = sum of the individual test values squared
n = number of test values

3. Calculate the upper quality index (Qu)

$$Q_u = \frac{USL - \overline{X}}{s}$$

where:

USL = target value plus the production tolerance or upper specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

4. Calculate the lower quality index (QL);

$$Q_L = \frac{\overline{X} - LSL}{s}$$

where:

LSL = target value minus production tolerance or lower specification limit
s = standard deviation
$\overline{X}$ = arithmetic mean

5. From the table, Upper Quality Index Q_U or Lower Quality Index Q_L, of this Section 39-4.03F, "Statistical Evaluation", determine P_U ;

where:

P_U = the estimated percentage of work outside the USL.
$P_U = 0$, when USL is not specified.

6. From the table, Upper Quality Index Q_U or Lower Quality Index Q_L, of this Section 39-4.03F, "Statistical Evaluation," determine P_L;

where:

P_L = the estimated percentage of work outside the LSL.
$P_L = 0$, when LSL is not specified.

7. Calculate the total estimated percentage of work outside the USL and LSL, percent defective

Percent defective = $P_U + P_L$

P_U and P_L are determined from:

P_U or P_L	**Upper Quality Index Q_U or Lower Quality Index Q_L**												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
0	1.72	1.88	1.99	2.07	2.13	2.20	2.28	2.34	2.39	2.44	2.48	2.51	2.56
1	1.64	1.75	1.82	1.88	1.91	1.96	2.01	2.04	2.07	2.09	2.12	2.14	2.16
2	1.58	1.66	1.72	1.75	1.78	1.81	1.84	1.87	1.89	1.91	1.93	1.94	1.95
3	1.52	1.59	1.63	1.66	1.68	1.71	1.73	1.75	1.76	1.78	1.79	1.80	1.81
4	1.47	1.52	1.56	1.58	1.60	1.62	1.64	1.65	1.66	1.67	1.68	1.69	1.70
5	1.42	1.47	1.49	1.51	1.52	1.54	1.55	1.56	1.57	1.58	1.59	1.59	1.60
6	1.38	1.41	1.43	1.45	1.46	1.47	1.48	1.49	1.50	1.50	1.51	1.51	1.52
7	1.33	1.36	1.38	1.39	1.40	1.41	1.41	1.42	1.43	1.43	1.44	1.44	1.44
8	1.29	1.31	1.33	1.33	1.34	1.35	1.35	1.36	1.36	1.37	1.37	1.37	1.38
9	1.25	1.27	1.28	1.28	1.29	1.29	1.30	1.30	1.30	1.31	1.31	1.31	1.31
10	1.21	1.23	1.23	1.24	1.24	1.24	1.25	1.25	1.25	1.25	1.25	1.26	1.26
11	1.18	1.18	1.19	1.19	1.19	1.19	1.20	1.20	1.20	1.20	1.20	1.20	1.20
12	1.14	1.14	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15	1.15
13	1.10	1.10	1.10	1.10	1.10	1.10	1.11	1.11	1.11	1.11	1.11	1.11	1.11
14	1.07	1.07	1.07	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06	1.06
15	1.03	1.03	1.03	1.03	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02	1.02
16	1.00	0.99	0.99	0.99	0.99	0.98	0.98	0.98	0.98	0.98	0.98	0.98	0.98
17	0.97	0.96	0.95	0.95	0.95	0.95	0.94	0.94	0.94	0.94	0.94	0.94	0.94
18	0.93	0.92	0.92	0.92	0.91	0.91	0.91	0.91	0.90	0.90	0.90	0.90	0.90
19	0.90	0.89	0.88	0.88	0.88	0.87	0.87	0.87	0.87	0.87	0.87	0.87	0.87
20	0.87	0.86	0.85	0.85	0.84	0.84	0.84	0.83	0.83	0.83	0.83	0.83	0.83
21	0.84	0.82	0.82	0.81	0.81	0.81	0.80	0.80	0.80	0.80	0.80	0.80	0.79
22	0.81	0.79	0.79	0.78	0.78	0.77	0.77	0.77	0.76	0.76	0.76	0.76	0.76
23	0.77	0.76	0.75	0.75	0.74	0.74	0.74	0.73	0.73	0.73	0.73	0.73	0.73
24	0.74	0.73	0.72	0.72	0.71	0.71	0.70	0.70	0.70	0.70	0.70	0.70	0.70
25	0.71	0.70	0.69	0.69	0.68	0.68	0.67	0.67	0.67	0.67	0.67	0.67	0.66
26	0.68	0.67	0.67	0.65	0.65	0.65	0.64	0.64	0.64	0.64	0.64	0.64	0.63
27	0.65	0.64	0.63	0.62	0.62	0.62	0.61	0.61	0.61	0.61	0.61	0.61	0.60
28	0.62	0.61	0.60	0.59	0.59	0.59	0.58	0.58	0.58	0.58	0.58	0.58	0.57
29	0.59	0.58	0.57	0.57	0.56	0.56	0.55	0.55	0.55	0.55	0.55	0.55	0.54
30	0.56	0.55	0.54	0.54	0.53	0.53	0.52	0.52	0.52	0.52	0.52	0.52	0.52
31	0.53	0.52	0.51	0.51	0.50	0.50	0.50	0.49	0.49	0.49	0.49	0.49	0.49
32	0.50	0.49	0.48	0.48	0.48	0.47	0.47	0.47	0.46	0.46	0.46	0.46	0.46
33	0.47	0.48	0.45	0.45	0.45	0.44	0.44	0.44	0.44	0.43	0.43	0.43	0.43
34	0.45	0.43	0.43	0.42	0.42	0.42	0.41	0.41	0.41	0.41	0.41	0.41	0.40
35	0.42	0.40	0.40	0.39	0.39	0.39	0.38	0.38	0.38	0.38	0.38	0.38	0.38
36	0.39	0.38	0.37	0.37	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36	0.36
37	0.36	0.35	0.34	0.34	0.34	0.33	0.33	0.33	0.33	0.33	0.33	0.33	0.32
38	0.33	0.32	0.32	0.31	0.31	0.31	0.30	0.30	0.30	0.30	0.30	0.30	0.30
39	0.30	0.30	0.29	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28	0.28
40	0.28	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
41	0.25	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23	0.23
42	0.23	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20	0.20
43	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18	0.18
44	0.16	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15	0.15
45	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13	0.13
46	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10
47	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
48	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05
49	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

1. If the value of Q_U or Q_L does not correspond to a value in the table, use the next lower value.
2. If Q_U or Q_L are negative values, P_U or P_L is equal to 100 minus the table value for P_U or P_L.

Quality Factor Determination

Determine individual quality factors, QF_{QCi}, using percent defective = $P_U + P_L$ and:

Quality Factors

Quality Factor	Maximum Allowable Percent Defective ($P_U + P_L$)												
	Sample Size (n)												
	5	6	7	8	9	10-11	12-14	15-17	18-22	23-29	30-42	43-66	>66
1.05				0	0	0	0	0	0	0	0	0	0
1.04			0	1	3	5	4	4	4	3	3	3	3
1.03		0	2	4	6	8	7	7	6	5	5	4	4
1.02		1	3	6	9	11	10	9	8	7	7	6	6
1.01	0	2	5	8	11	13	12	11	10	9	8	8	7
1.00	22	20	18	17	16	15	14	13	12	11	10	9	8
0.99	24	22	20	19	18	17	16	15	14	13	11	10	9
0.98	26	24	22	21	20	19	18	16	15	14	13	12	10
0.97	28	26	24	23	22	21	19	18	17	16	14	13	12
0.96	30	28	26	25	24	22	21	19	18	17	16	14	13
0.95	32	29	28	26	25	24	22	21	20	18	17	16	14
0.94	33	31	29	28	27	25	24	22	21	20	18	17	15
0.93	35	33	31	29	28	27	25	24	22	21	20	18	16
0.92	37	34	32	31	30	28	27	25	24	22	21	19	18
0.91	38	36	34	32	31	30	28	26	25	24	22	21	19
0.90	39	37	35	34	33	31	29	28	26	25	23	22	20
0.89	41	38	37	35	34	32	31	29	28	26	25	23	21
0.88	42	40	38	36	35	34	32	30	29	27	26	24	22
0.87	43	41	39	38	37	35	33	32	30	29	27	25	23
0.86	45	42	41	39	38	36	34	33	31	30	28	26	24
0.85	46	44	42	40	39	38	36	34	33	31	29	28	25
0.84	47	45	43	42	40	39	37	35	34	32	30	29	27
0.83	49	46	44	43	42	40	38	36	35	33	31	30	28
0.82	50	47	46	44	43	41	39	38	36	34	33	31	29
0.81	51	49	47	45	44	42	41	39	37	36	34	32	30
0.80	52	50	48	46	45	44	42	40	38	37	35	33	31
0.79	54	51	49	48	46	45	43	41	39	38	36	34	32
0.78	55	52	50	49	48	46	44	42	41	39	37	35	33
0.77	56	54	52	50	49	47	45	43	42	40	38	36	34
0.76	57	55	53	51	50	48	46	44	43	41	39	37	35
0.75	58	56	54	52	51	49	47	46	44	42	40	38	36
	60	57	55	53	52	51	48	47	45	43	41	40	37
	61	58	56	55	53	52	50	48	46	44	43	41	38
Reject	62	59	57	56	54	53	51	49	47	45	44	42	39
	63	61	58	57	55	54	52	50	48	47	45	43	40
	64	62	60	58	57	55	53	51	49	48	46	44	41
Reject Values Greater Than Those Shown Above													

Notes:

1. To obtain a quality factor when the estimated percent outside specification limits from table, "Upper Quality Index Q_U or Lower Quality Index Q_L," does not correspond to a value in the table, use the next larger value.

Compute the composite of single quality factors, QF_C, for a lot using:

$$QF_C = \sum_{i=1}^{5} w_i \, QF_{QC_i}$$

where:

QF_C = the composite quality factor for the lot rounded to 2 decimal places.
QF_{QCi} = the quality factor for the individual quality characteristic.
w = the weighting factor listed in the table HMA Acceptance – QC / QA.

i = the quality characteristic index number in the table HMA Acceptance – QC / QA.

39-4.04 ENGINEER'S QUALITY ASSURANCE

39-4.04A GENERAL

The Engineer assures quality by:

1. Reviewing mix designs and proposed JMF
2. Inspecting procedures
3. Conducting oversight of quality control inspection and records
4. Verification sampling and testing during production and paving

39-4.04B VERIFICATION SAMPLING AND TESTING

General

The Engineer samples:

1. Aggregate to verify gradation
2. HMA to verify asphalt binder content

Verification

For aggregate gradation and asphalt binder content, the ratio of verification testing frequency to the minimum quality control testing frequency is 1:5. The Engineer performs at least 3 verification tests per lot.

Using the t-test, the Engineer compares quality control tests results for aggregate gradation and asphalt binder content with corresponding verification test results. The Engineer uses the average and standard deviation of up to 20 sequential sublots for the comparison. When there are less than 20 sequential sublots, the Engineer uses the maximum number of sequential sublots available. The 21st sublot becomes the 1st sublot (n = 1) in the next lot.

The t-value for a group of test data is computed as follows:

$$t = \frac{\left|\overline{X}_c - \overline{X}_v\right|}{S_p\sqrt{\frac{1}{n_c} + \frac{1}{n_v}}} \qquad \text{and} \qquad S_p^2 = \frac{S_c^2(n_c - 1) + S_v^2(n_v - 1)}{n_c + n_v - 2}$$

where:

n_c = Number of quality control tests (2 minimum, 20 maximum).
n_v = Number of verification tests (minimum of 1 required).
$\overline{X}_c$ = Mean of quality control tests.
$\overline{X}_v$ = Mean of verification tests.
S_p = Pooled standard deviation (When $n_v = 1$, $S_p = S_c$).
S_c = Standard deviation of quality control tests.
S_v = Standard deviation of verification tests (when $n_v > 1$).

The comparison of quality control test results and the verification test results is at a level of significance of $\alpha = 0.025$. The Engineer computes t and compares it to the critical t-value, t_{crit}, from:

Critical T-Value

Degrees of freedom (n_c+n_v-2)	t_{crit} (for α = 0.025)	Degrees of freedom (n_c+n_v-2)	t_{crit} (for α = 0.025)
1	24.452	18	2.445
2	6.205	19	2.433
3	4.177	20	2.423
4	3.495	21	2.414
5	3.163	22	2.405
6	2.969	23	2.398
7	2.841	24	2.391
8	2.752	25	2.385
9	2.685	26	2.379
10	2.634	27	2.373
11	2.593	28	2.368
12	2.560	29	2.364
13	2.533	30	2.360
14	2.510	40	2.329
15	2.490	60	2.299
16	2.473	120	2.270
17	2.458	∞	2.241

If the t-value computed is less than or equal to t_{crit}, quality control test results are verified.

If the t-value computed is greater than t_{crit} and both $\overline{X}_v$ and $\overline{X}_c$ comply with acceptance specifications, the quality control tests are verified. You may continue to produce and place HMA with the following allowable differences:

1. $\left|\overline{X}_v - \overline{X}_c\right| \leq 1.0$ percent for any grading
2. $\left|\overline{X}_v - \overline{X}_c\right| \leq 0.1$ percent for asphalt binder content

If the t-value computed is greater than t_{crit} and the $\left|\overline{X}_v - \overline{X}_c\right|$ for grading and asphalt binder content are greater than the allowable differences, quality control test results are not verified and:

1. The Engineer notifies you in writing.
2. You and the Engineer must investigate why the difference exist.
3. If the reason for the difference cannot be found and corrected, the Engineer's test results are used for acceptance and pay.

39-4.05 ENGINEER'S ACCEPTANCE

39-4.05A TESTING

The Engineer samples for acceptance testing and tests for:

HMA Acceptance – QC / QA

Index (i)	Quality Characteristic				Weight-ing Factor (w)	Test Method	HMA Type		
							A	B	RHMA-G
		Aggregate gradation [a]				CT 202	JMF ± Tolerance [c]		
	Sieve	3/4"	1/2"	3/8"					
1	12.5-mm	X [b]	--	--	0.05				
1	9.5-mm	--	X	--	0.05				
1	4.75-mm	--	--	X	0.05				
2	2.36-mm	X	X	X	0.10				
3	0.075-mm	X	X	X	0.15				
4	Asphalt binder content (%)				0.30	CT 379 or 382	JMF ± 0.45	JMF ± 0.45	JMF ± 0.5
5	Percent of maximum theoretical density (%) [d, e]				0.40	CT 375	92 – 96	92 – 96	91 – 96
	Sand equivalent (min.) [f]					CT 217	47	42	47
	Stabilometer value (min.) [f, g] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings					CT 366	 30 37	 30 35	 -- 23
	Air voids content (%)[f, h]					CT 367	4 ± 2	4 ± 2	Specification ± 2
	Percent of crushed particles coarse aggregate (% min.) One fractured face Two fractured faces Fine aggregate (% min) (Passing 4.75-mm sieve and retained on 2.36-mm sieve.) One fractured face					CT 205	 90 70 70	 25 -- 20	 -- 90 70
	HMA moisture content (%, max.)					CT 226 or CT 370	1.0	1.0	1.0
	Los Angeles Rattler (% max.) Loss at 100 rev. Loss at 500 rev.					CT 211	 12 45	 -- 50	 12 45
	Fine aggregate angularity (% min.)					AASHTO T 304, Method A	Report only	Report only	Report only
	Flat and elongated particle (% max. by mass @ 5:1)					ASTM D 4791	Report only	Report only	Report only
	Voids in mineral aggregate (% min.) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading					LP-2	 17.0 15.0 14.0 13.0	 17.0 15.0 14.0 13.0	(Note j) -- -- 18.0 - 23.0 18.0 - 23.0
	Voids filled with asphalt (%) [i] 4.75-mm grading 9.5-mm grading 12.5-mm grading 19-mm grading					LP-3	 76.0 - 80.0 73.0 - 76.0 65.0 - 75.0 65.0 - 75.0	 76.0 - 80.0 73.0 - 76.0 65.0 - 75.0 65.0 - 75.0	Report only

	Dust proportion [i] 4.75-mm and 9.5-mm gradings 12.5-mm and 19-mm gradings		LP-4	 0.9 - 2.0 0.6 - 1.3	 0.9 – 2.0 0.6 – 1.3	Report only
	Smoothness		Section 39-1.12	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0	3.66-m straight-edge, must-grind, and PI_0
	Asphalt binder		Various	Section 92	Section 92	Section 92
	Asphalt rubber binder		Various	--	--	Section 92-1.02(C) and Section 39-1.02D
	Asphalt modifier		Various	--	--	Section 39-1.02D
	Crumb rubber modifier		Various	--	--	Section 39-1.02D

Notes:
[a] The Engineer determines combined aggregate gradations containing RAP under Laboratory Procedure LP-9.
[b] "X" denotes the sieves the Engineer considers for the specified aggregate gradation.
[c] The tolerances must comply with the allowable tolerances in Section 39-1.02E, "Aggregate."
[d] The Engineer determines percent of maximum theoretical density if the total paved thickness is at least 45 mm under California Test 375 except the Engineer uses:

1. California Test 308, Method A, to determine in-place density of each core instead of using the nuclear gauge in Part 4, "Determining In-Place Density By The Nuclear Density Device."
2. California Test 309 to determine maximum theoretical density instead of calculating test maximum density in Part 5, "Determining Test Maximum Density."

[e] The Engineer determines maximum theoretical density (California Test 309) at the frequency specified for Test Maximum Density under California Test 375, Part 5.D.
[f] The Engineer reports the average of 3 tests from a single split sample.
[g] Modify California Test 304, Part 2.B.2.c: "After compaction in the mechanical compactor, cool to 60 °C ± 3 °C by allowing the briquettes to cool at room temperature for 0.5 hour, then place the briquettes in the oven at 60 °C for a minimum of 2 hours and not more than 3 hours."
[h] The Engineer determines the bulk specific gravity of each lab-compacted briquette under California Test 308, Method A, and theoretical maximum specific gravity under California Test 309.
[i] Report only if the adjustment for asphalt binder content target value is less than ± 0.3 percent from OBC.
[j] Voids in mineral aggregate for RHMA-G must be within this range.

The Engineer determines the percent of maximum theoretical density from the average density of 3 cores you take from every 680 tonnes of production or part thereof divided by the maximum theoretical density.

If the total paved thickness is at least 45 mm and any layer is less than 45 mm, the Engineer determines the percent of maximum theoretical density from cores taken from the final layer measured the full depth of the total paved HMA thickness.

The Engineer stops production and terminates a lot if:

1. The lot's composite quality factor, Q_{FC}, or an individual quality factor, QF_{QCi} for i = 3, 4, or 5, is below 0.90 determined under Section 39-4.03F, "Statistical Evaluation"
2. An individual quality factor, QF_{QCi} for i = 1 or 2, is below 0.75
3. Quality characteristics for which a quality factor, QF_{QCi}, is not determined has 2 consecutive acceptance or quality control tests not in compliance with the specifications

For any single quality characteristic for which a quality factor, QF_{QCi}, is not determined, except smoothness, if 2 consecutive acceptance test results do not comply with specifications:

1. Stop production.
2. Take corrective action.

3. In the Engineer's presence, take samples and split each sample into 4 parts. Test 1 part for compliance with the specifications and submit 3 parts to the Engineer. The Engineer tests 1 part for compliance with the specifications and reserves and stores 2 parts.
4. Demonstrate compliance with the specifications before resuming production and placement on the State highway.

39-4.05B STATISTICAL EVALUATION, DETERMINATION OF QUALITY FACTORS AND ACCEPTANCE

Statistical Evaluation and Determination of Quality Factors

To determine the individual quality factor, $QF_{QCi,}$ for any quality factor i = 1 through 5 or a lot's composite quality factor, QF_C, for acceptance and payment adjustment, the Engineer uses the evaluation specifications under Section 39-4.03F, "Statistical Evaluation," and:

1. Verified quality control test results for aggregate gradation
2. Verified quality control test results for asphalt binder content
3. The Engineer's test results for percent of maximum theoretical density

Lot Acceptance Based on Quality Factors

The Engineer accepts a lot based on the quality factors determined for aggregate gradation and asphalt binder content, QF_{QCi} for i = 1 through 4, using the total number of verified quality control test result values and the total percent defective ($P_U + P_L$).

The Engineer accepts a lot based on the quality factor determined for maximum theoretical density, QF_{QC5}, using the total number of test result values from cores and the total percent defective ($P_U + P_L$).

The Engineer calculates the quality factor for the lot, QF_C, which is a composite of weighted individual quality factors, QF_{QCi}, determined for each quality characteristic in the table "HMA Acceptance – QC / QA" in Section 39-4.05A, "Testing."

The Engineer accepts a lot based on quality factors if:

1. The current composite quality factor, QF_C, is 0.90 or greater
2. Each individual quality factor, QF_{QCi} for i = 3, 4, and 5, is 0.90 or greater
3. Each individual quality factor, QF_{QCi} for i = 1 and 2, is 0.75 or greater

No single quality characteristic test may represent more than the smaller of 680 tonnes or 1 day's production.

Payment Adjustment

If a lot is accepted, the Engineer adjusts payment with the following formula:

$$PA = \sum_{i=1}^{n} HMACP * wi * \left[QFQCi * (HMATT - WHMATTi) + WHMATTi\right] - (HMACP * HMATT)$$

where:

PA =	Payment adjustment rounded to 2 decimal places.
HMACP =	HMA contract price.
HMATT =	HMA total tonnes represented in the lot.
$WHMATT_i$ =	Total tonnes of waived quality characteristic HMA.
QF_{QCi} =	Running quality factor for the individual quality characteristic. QF_{QCi} for i = 1 through 4 must be from verified Contractor's QC results. QF_{QC5} must be determined from the Engineer's results on cores taken for percent of maximum theoretical density determination.
w =	Weighting factor listed in the HMA acceptance table.
i =	Quality characteristic index number in the HMA acceptance table.

If the payment adjustment is a negative value, the Engineer deducts this amount from payment. If the payment adjustment is a positive value, the Engineer adds this amount to payment.

The 21st sublot becomes the 1st sublot (n = 1) in the next lot. When the 21st sequential sublot becomes the 1st sublot, the previous 20 sequential sublots become a lot for which the Engineer determines a quality factor. The Engineer uses this quality factor to pay for the HMA in the lot. If the next lot consists of less than 8 sublots, these sublots must be added to the previous lot for quality factor determination using 21 to 27 sublots.

39-4.05C DISPUTE RESOLUTION

For a lot, if you or the Engineer dispute any quality factor, QF_{QCi}, or verification test result, every sublot in that lot must be retested.

Referee tests must be performed under the specifications for acceptance testing.

Any quality factor, QF_{QCi}, must be determined using the referee tests.

For any quality factor, QF_{QCi}, for i = 1 through 5, dispute resolution:

1. If the difference between the quality factors for QF_{QCi} using the referee test result and the disputed test result is less than or equal to 0.01, the original test result is correct.
2. If the difference between the quality factor for QF_{QCi} using the referee test result and the disputed test result is more than 0.01, the quality factor determined from the referee tests supersedes the previously determined quality factor.

39-5 MEASUREMENT AND PAYMENT

39-5.01 MEASUREMENT

The contract item for HMA is measured by mass. The mass of each HMA mixture designated in the Engineer's Estimate must be the combined mixture mass.

If tack coat, asphalt binder, and asphaltic emulsion are paid with separate contract items, their contract items are measured under Section 92, "Asphalts," or Section 94, "Asphaltic Emulsions," as the case may be.

If recorded batch mass are printed automatically, the contract item for HMA is measured by using the printed batch mass, provided:

1. Total aggregate and supplemental fine aggregate mass per batch is printed. If supplemental fine aggregate is weighed cumulatively with the aggregate, the total aggregate batch mass must include the supplemental fine aggregate mass.
2. Total asphalt binder mass per batch is printed.
3. Each truckload's zero tolerance mass is printed before weighing the first batch and after weighing the last batch.
4. Time, date, mix number, load number and truck identification is correlated with a load slip.
5. A copy of the recorded batch mass is certified by a licensed weighmaster and submitted to the Engineer.

The contract item for placing HMA dike is measured by the linear meter along the completed length. The contract item for placing HMA in miscellaneous areas is measured as the in-place compacted area in square meters. In addition to the quantities measured on a linear meter or square meter basis, the HMA for dike and miscellaneous areas are measured by mass.

The contract item for shoulder rumble strips is measured by the station along each shoulder on which the rumble strips are constructed without deductions for gaps between indentations.

The contract item for geosynthetic pavement interlayer is measured by the square meter for the actual pavement area covered.

39-5.02 PAYMENT

The contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing hot mix asphalt, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If HMA is specified to comply with Section 39-4, "Quality Control / Quality Assurance," the Engineer adjusts payment under that section.

Full compensation for the Quality Control Plan and prepaving conference is included in the contract prices paid per tonne for hot mix asphalt as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for performing and submitting mix designs and for Contractor sampling, testing, inspection, testing facilities, and preparation and submittal of results is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

Full compensation for reclaimed asphalt pavement is included in the contract prices paid per tonne for HMA as designated in the Engineer's Estimate and no additional compensation will be allowed therefor.

The contract price paid per tonne for hot mix asphalt (leveling) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in hot mix asphalt (leveling), complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract prices paid per station for rumble strips as designated in the Engineer's Estimate include full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved in constructing rumble

strips, including fog seal coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State will pay for HMA dike at the contract price per linear meter for place HMA dike and by the tonne for HMA. The contract prices paid per linear meter for place hot mix asphalt dike as designated in the Engineer's Estimate include full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA dike, complete in place, including excavation, backfill, and preparation of the area to receive the dike, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The State pays for HMA specified to be a miscellaneous area at the contract price per square meter for place hot mix asphalt (miscellaneous area) and per tonne for hot mix asphalt. The contract price paid per square meter for place hot mix asphalt (miscellaneous area) includes full compensation for furnishing all labor, tools, equipment, and incidentals, and for doing all the work involved in placing HMA (miscellaneous area) complete in place, including excavation, backfill, and preparation of the area to receive HMA (miscellaneous area), as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If the Quality Control / Quality Assurance construction process is specified, HMA placed in dikes and miscellaneous areas is paid for at the contract price per tonne for hot mix asphalt under Section 39-4, "Quality Control / Quality Assurance." Section 39-4.05B, "Statistical Evaluation, Determination of Quality Factors and Acceptance," does not apply to HMA placed in dikes and miscellaneous areas.

If there are no contract items for place hot mix asphalt dike and place hot mix asphalt (miscellaneous area) and the work is specified, full compensation for constructing HMA dikes and HMA (miscellaneous areas) including excavation, backfill, and preparation of the area to receive HMA dike or HMA (miscellaneous area) is included in the contract price paid per tonne for the hot mix asphalt designated in the Engineer's Estimate and no separate payment will be made therefor.

The contract price paid per square meter for geosynthetic pavement interlayer includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing geosynthetic pavement interlayer, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per tonne for paving asphalt (binder, geosynthetic pavement interlayer) includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying paving asphalt (binder, geosynthetic pavement interlayer), complete in place, including spreading sand to cover exposed binder material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

Full compensation for small quantities of HMA placed on geosynthetic pavement interlayer to prevent displacement during construction is included in the contract price paid per tonne for the HMA being paved over the interlayer and no separate payment will be made therefor.

The contract price paid per tonne for tack coat includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in applying tack coat, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

If there is no item for tack coat and the work is specified, full compensation for tack coat is included in the contract price paid per tonne for hot mix asphalt as designated in the Engineer's Estimate and no separate payment will be made therefor.

The Engineer does not adjust payment for increases or decreases in the quantities for tack coat, regardless of the reason for the increase or decrease. Section 4-1.03B, "Increased or Decreased Quantities," does not apply to the items for tack coat.

Full compensation for performing smoothness testing, submitting written and electronic copies of tests, and performing corrective work including applying fog seal coat is included in the contract price paid per tonne for the HMA designated in the Engineer's Estimate and no separate payment will be made therefor.

Full compensation for spreading sand on RHMA-G, RHMA-O, and RHMA-O-HB surfaces and for sweeping and removing excess sand is included in the contract price paid per tonne for rubberized hot mix asphalt as designated in the Engineer's Estimate and no separate payment will be made therefor.

If the Engineer fails to comply with a specification within a specified time, and if, in the opinion of the Engineer, work completion is delayed because of the failure, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

If the dispute resolution ITP determines the Engineer's test results are correct, the Engineer deducts the ITP's testing costs from payments. If the ITP determines your test results are correct, the State pays the ITP's testing costs. If, in the Engineer's opinion, work completion is delayed because of incorrect Engineer test results, the Engineer adjusts payment and contract time under Section 8-1.09, "Right of Way Delays."

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SECTION 40 PORTLAND CEMENT CONCRETE PAVEMENT
(Issued 01-05-07)

Delete Section 40-1.015.

Replace Section 40-1.05 with:

40-1.05 PROPORTIONING

Aggregate and cementitious material proportioning shall conform to the provisions in Section 90-5, "Proportioning."

Replace Section 40-1.105 with:

40-1.105 EXIT RAMP TERMINI

Concrete pavement shall be constructed at the ends of exit ramps when required by the plans or the special provisions. Texturing for exit ramp termini shall be by means of heavy brooming in a direction normal to ramp centerline. The hardened surface shall have a coefficient of friction not less than 0.35 as determined by California Test 342. Minimum cementitious material content of concrete in pavement for exit ramp termini shall be 350 kg/m^3.

In Section 40-1.08 replace the 4th paragraph with:

Straight tie bars shall be deformed reinforcing steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420; ASTM Designation: A 996/A 996M, Grade 350 or 420; or ASTM Designation: A 706/A 706M.

In Section 40-1.14 replace the 1st paragraph with:

The contract price paid per cubic meter for concrete pavement shall include full compensation for furnishing all labor, materials (including cementitious material in the amount specified), tools, equipment, and incidentals, and for doing all the work involved in constructing the portland cement concrete pavement, complete in place, as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

^^

SECTION 41 PAVEMENT SUBSEALING AND JACKING
(Issued 01-05-07)

In Section 41-1.02 replace the 2nd and 3rd paragraphs with:

Cement for grout shall be Type II portland cement conforming to the provisions in Section 90-2.01A, "Cement."

Fly ash shall conform to the requirements in AASHTO Designation: M 295 for either Class C or for Class F. The brand of fly ash used in the work shall conform to the provisions for approval of admixture brands in Section 90-4.03, "Admixture Approval."

In Section 41-1.02 replace the 5th paragraph with:

Chemical admixtures and calcium chloride may be used. Chemical admixtures in the grout mix shall conform to the provisions in Section 90-4, "Admixtures." Calcium chloride shall conform to ASTM Designation: D 98.

^^

SECTION 42: GROOVE AND GRIND PAVEMENT
(Issued 12-31-01)

In Section 42-2.02 in the 3rd paragraph in the 1st subparagraph, replace the last sentence with:

After grinding has been completed, the pavement shall conform to the straightedge and profile requirements specified in Section 40-1.10, "Final Finishing."

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SECTION 49 PILING
(Issued 06-06-08)

In Section 49-1.03 replace the 1st paragraph with:

Foundation piles of any material shall be of such length as is required to obtain the specified penetration, and to extend into the cap or footing block as shown on the plans, or specified in the special provisions.

In Section 49-1.03 replace the 4th paragraph with:

Modification to the specified installation methods and specified pile tip elevation will not be considered at locations where tension or lateral load demands control design pile tip elevations or when the plans state that specified pile tip elevation shall not be revised.

In Section 49-1.03 replace the 6th and 7th paragraphs with:

Indicator compression pile load testing shall conform to the requirements in ASTM Designation: D 1143-81. The pile shall sustain the first compression test load applied which is equal to the nominal resistance in compression, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of compression load testing.

Indicator tension pile load testing shall conform to the requirements in ASTM Designation: D 3689-90. The loading apparatus described as "Load Applied to Pile by Hydraulic Jack(s) Acting at One End of Test Beam(s) Anchored to the Pile" shall not be used. The pile shall sustain the first tension test load applied which is equal to the nominal resistance in tension, as shown on the plans, with no more than 13 mm total vertical movement at the top of the pile measured relative to the top of the pile prior to the start of tension load testing.

In Section 49-1.03 replace the 9th paragraph with:

The Contractor shall furnish piling of sufficient length to obtain the specified tip elevation shown on the plans or specified in the special provisions.

In Section 49-1.03, delete the 10th paragraph

In Section 49-1.04 replace the 4th, 5th, and 6th paragraphs with:

Load test piles and anchor piles which are not to be incorporated in the completed structure shall be removed in conformance with the provisions in Section 15-4.02, "Removal Methods," and the remaining holes shall be backfilled with earth or other suitable material approved by the Engineer.

Load test anchorages in piles used as anchor piles shall conform to the following requirements:

A. High strength threaded steel rods shall conform to the provisions for bars in Section 50-1.05, "Prestressing Steel," except Type II bars shall be used.
B. High strength steel plates shall conform to the requirements in ASTM Designation: A 709/A 709M, Grade 345.
C. Anchor nuts shall conform to the provisions in the second paragraph in Section 50-1.06, "Anchorages and Distribution."

The Contractor may use additional cementitious material in the concrete for the load test and anchor piles.

In Section 49-1.05 replace the 1st paragraph with:

Driven piles shall be installed with impact hammers that are approved in writing by the Engineer. Impact hammers shall be steam, hydraulic, air or diesel hammers. Impact hammers shall develop sufficient energy to drive the piles at a penetration rate of not less than 3 mm per blow at the specified nominal resistance.

In Section 49-1.05 replace the 7th paragraph with:

When necessary to obtain the specified penetration and when authorized by the Engineer, the Contractor may supply and operate one or more water jets and pumps, or furnish the necessary drilling apparatus and drill holes not greater than the least dimension of the pile to the proper depth and drive the piles therein. Jets shall not be used at locations where the stability of embankments or other improvements would be endangered. In addition, for steel piles, steel shells, or steel casings, when necessary to obtain the specified penetration or to prevent damage to the pile during installation, the Contractor shall provide special driving tips or heavier pile sections or take other measures as approved by the Engineer.

The use of followers or underwater hammers for driving piles will be permitted if authorized in writing by the Engineer. When a follower or underwater hammer is used, its efficiency shall be verified by furnishing the first pile in each bent or footing sufficiently long and driving the pile without the use of a follower or underwater hammer.

In Section 49-1.07 replace the 2nd paragraph with:

Timber piles shall be fresh-headed and square and when permitted by the Engineer, the heads of the piles may be protected by means of heavy steel or wrought iron rings. During driving operations timber piling shall be restrained from lateral movement at intervals not to exceed 6 m over the length between the driving head and the ground surface. During driving operations, the timber pile shall be kept moving by continuous operation of the hammer. When the blow count exceeds either 2 times the blow count required in 300 mm, or 3 times the blow count required in 75 mm for the nominal resistance as shown on the plans, computed in conformance with the provisions in Section 49-1.08, "Pile Driving Acceptance Criteria," additional aids shall be used to obtain the specified penetration. These aids may include the use of water jets or drilling, where permitted, or the use of a larger hammer employing a heavy ram striking with a low velocity.

Replace Section 49-1.08 with:

49-1.08 PILE DRIVING ACCEPTANCE CRITERIA

Except for piles to be load tested, driven piles shall be driven to a value of not less than the nominal resistance shown on the plans unless otherwise specified in the special provisions or permitted in writing by the Engineer. In addition, when a pile tip elevation is specified, driven piles shall penetrate at least to the specified tip elevation, unless otherwise permitted in writing by the Engineer. Piles to be load tested shall be driven to the specified tip elevation.

When the pile nominal resistance is omitted from the plans or the special provisions, timber piles shall be driven to a nominal resistance of 800 kN, and steel and concrete piles shall be driven to a nominal resistance of 1250 kN.

The nominal resistance for driven piles shall be determined from the following formula in which "R_u" is the nominal resistance in kilonewtons, "E_r" is the manufacturer's rating for joules of energy developed by the hammer at the observed field drop height, and "N" is the number of hammer blows in the last 300 millimeters. (maximum value to be used for N is 100):

$$R_u = (7 * (E_r)^{1/2} * \log_{10} (0.83 * N)) - 550$$

In Section 49-2.03 replace the 1st paragraph with:

When preservative treatment of timber piles is required by the plans or specified in the special provisions, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and the applicable AWPA Use Category.

In Section 49-2.04 replace the 1st paragraph with:

A. An application of wood preservative conforming to the provisions in Section 58-1.04, "Wood Preservative for Manual Treatment," shall first be applied to the head of the pile and a protective cap shall then be built up by applying alternate layers of loosely woven fabric and hot asphalt or tar similar to membrane waterproofing, using 3 layers of asphalt or tar and 2 layers of fabric. The fabric shall measure at least 150 mm more in each direction than the diameter of the pile and shall be turned down over the pile and the edges secured by binding with 2 turns of No.

10 galvanized wire. The fabric shall be wired in advance of the application of the final layer of asphalt or tar, which shall extend down over the wiring.

B. The sawed surface shall be covered with 3 applications of a hot mixture of 60 percent creosote and 40 percent roofing pitch, or thoroughly brushcoated with 3 applications of hot creosote and covered with hot roofing pitch. A covering of 3.50-mm nominal thickness galvanized steel sheet shall be placed over the coating and bent down over the sides of each pile to shed water.

In Section 49-3.01 the 5th paragraph is deleted

In Section 49-3.01 replace the 6th and 7th paragraphs with:

Except for precast prestressed concrete piles in a corrosive environment, lifting anchors used in precast prestressed concrete piles shall be removed, and the holes filled in conformance with the provisions in Section 51-1.18A, "Ordinary Surface Finish."

Lifting anchors used in precast prestressed concrete piles in a corrosive environment shall be removed to a depth of at least 25 mm below the surface of the concrete, and the resulting hole shall be filled with epoxy adhesive before the piles are delivered to the job site. The epoxy adhesive shall conform to the provisions in Sections 95-1, "General," and 95-2.01, "Binder (Adhesive), Epoxy Resin Base (State Specification 8040-03)."

In Section 49-4.01 replace the 1st and 2nd paragraphs with:

Cast-in-place concrete piles shall consist of one of the following:

A. Steel shells driven permanently to the required nominal resistance and penetration and filled with concrete.
B. Steel casings installed permanently to the required penetration and filled with concrete.
C. Drilled holes filled with concrete.
D. Rock sockets filled with concrete.

The drilling of holes shall conform to the provisions in these specifications. Concrete filling for cast-in-place concrete piles is designated by compressive strength and shall have a minimum 28-day compressive strength of 25 MPa. At the option of the Contractor, the combined aggregate grading for the concrete shall be either the 25-mm maximum grading, the 12.5-mm maximum grading, or the 9.5-mm maximum grading. Concrete shall conform to the provisions in Section 90, "Portland Cement Concrete," and Section 51, "Concrete Structures." Reinforcement shall conform to the provisions in Section 52, "Reinforcement."

In Section 49-4.03 replace the 4th paragraph with:

After placing reinforcement and prior to placing concrete in the drilled hole, if caving occurs or deteriorated foundation material accumulates on the bottom of the hole, the bottom of the drilled hole shall be cleaned. The Contractor shall verify that the bottom of the drilled hole is clean.

In Section 49-4.04 replace the 1st and 2nd paragraphs with:

Steel shells shall be sufficiently watertight to exclude water during the placing of concrete. The shells may be cylindrical or tapered, step-tapered, or a combination of either, with cylindrical sections.

In Section 49-4.05 replace the 1st paragraph with:

After being driven and prior to placing reinforcement and concrete therein, the steel shells shall be examined for collapse or reduced diameter at any point. Any shell which is improperly driven or broken or shows partial collapse to such an extent as to materially decrease its nominal resistance will be rejected. Rejected shells shall be removed and replaced, or a new shell shall be driven adjacent to the rejected shell. Rejected shells which cannot be removed shall be filled with concrete by the Contractor at the Contractor's expense. When a new shell is driven to replace a rejected shell, the Contractor, at the Contractor's expense, shall enlarge the footing as determined necessary by the Engineer.

In Section 49-4.05 replace the 3rd paragraph with:

Steel pipe piles shall conform to the following requirements:

1. Steel pipe piles less than 360 mm in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 2 or 3.
2. Steel pipe piles 360 mm and greater in diameter shall conform to the requirements in ASTM Designation: A 252, Grade 3.
3. Steel pipe piles shall be of the nominal diameter and nominal wall thickness shown on the plans or specified in the special provisions.
4. The carbon equivalency (CE) of steel for steel pipe piles, as defined in AWS D 1.1, Section XI5.1, shall not exceed 0.45.
5. The sulfur content of steel for steel pipe piles shall not exceed 0.05-percent.
6. Seams in steel pipe piles shall be complete penetration welds.

In Section 49-6.01 replace the 1st paragraph with:

The length of timber, steel, and precast prestressed concrete piles, and of cast-in-place concrete piles consisting of driven shells filled with concrete, shall be measured along the longest side, from the tip elevation shown on the plans to the plane of pile cut-off.

In Section 49-6.02 replace the 3rd paragraph with:

The contract price paid per meter for cast-in-drilled-hole concrete piling shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in drilling holes, disposing of material resulting from drilling holes, temporarily casing holes and removing water when necessary, furnishing and placing concrete and reinforcement, and constructing reinforced concrete extensions, complete in place, to the required penetration, as shown on the plans, as specified in these specifications and in the special provisions, and as directed by the Engineer.

In Section 49-6.02 replace the 7th paragraph with:

The contract unit price paid for drive pile shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in driving timber, concrete and steel piles, driving steel shells for cast-in-place concrete piles, placing filling materials for cast-in-place concrete piles and cutting off piles, all complete in place to the required nominal resistance and penetration as shown on the plans and as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 49-6.02 replace the 9th paragraph with:

Full compensation for all jetting, drilling, providing special driving tips or heavier sections for steel piles or shells, or other work necessary to obtain the specified penetration and nominal resistance of the piles, for predrilling holes through embankment and filling the space remaining around the pile with sand or pea gravel, for disposing of material resulting from jetting, drilling or predrilling holes, and for all excavation and backfill involved in constructing concrete extensions as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer shall be considered as included in the contract unit price paid for drive pile or in the contract price paid per meter for cast-in-drilled-hole concrete piling, and no additional compensation will be allowed therefor.

In Section 49-6.02, add:

Full compensation for furnishing and placing additional testing reinforcement, for load test anchorages, and for cutting off test piles, shall be considered as included in the contract price paid for piling of the type or class shown in the Engineer's Estimate, and no additional compensation will be allowed.

No additional compensation or extension of time will be made for additional foundation investigation, installation and testing of indicator piling, cutting off piling and restoring the foundation investigation and indicator pile sites, and review of request by the Engineer.

When pile tips are revised by the Engineer for timber, steel, and precast prestressed concrete piles, and for cast-in-place concrete piles consisting of driven shells filled with concrete, the additional length required, including all materials, equipment, and labor for furnishing, splicing, and installing the piling, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

All remedial work required to achieve the required nominal resistance, including suspending driving operations above the required tip elevation and redriving piles at a later time, when directed by the Engineer, will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

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SECTION 50 PRESTRESSING CONCRETE
(Issued 04-04-08)

In Section 50-1.02, between the 2nd and 3rd paragraphs add:

Each working drawing submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate working drawing submittal.

In Section 50-1.02 delete the 8th paragraph.

Replace Section 50-1.05 with:

50-1.05 PRESTRESSING STEEL

Prestressing steel shall be high-tensile wire conforming to the requirements in ASTM Designation: A 421, including Supplement I; high-tensile seven-wire strand conforming to the requirements in ASTM Designation: A 416; or uncoated high-strength steel bars conforming to the requirements in ASTM Designation: A 722, including all supplementary requirements. The maximum mass requirement of ASTM Designation: A 722 will not apply.

In addition to the requirements of ASTM Designation: A 722, for deformed bars, the reduction of area shall be determined from a bar from which the deformations have been removed. The bar shall be machined no more than necessary to remove the deformations over a length of 300 mm, and reduction will be based on the area of the machined portion.

In addition to the requirements specified herein, epoxy-coated seven-wire prestressing steel strand shall be grit impregnated and filled in conformance with the requirements in ASTM Designation: A 882/A 882M, including Supplement I, and the following:

A. The film thickness of the coating after curing shall be 381 µm to 1143 µm.
B. Prior to coating the strand, the Contractor shall furnish to the Transportation Laboratory a representative 230-g sample from each batch of epoxy coating material to be used. Each sample shall be packaged in an airtight container identified with the manufacturer's name and batch number.
C. Prior to use of the epoxy-coated strand in the work, written certifications referenced in ASTM Designation: A 882/A 882M, including a representative load-elongation curve for each size and grade of strand to be used and a copy of the quality control tests performed by the manufacturer, shall be furnished to the Engineer.
D. In addition to the requirements in Section 50-1.10, "Samples for Testing," four 1.5-m long samples of coated strand and one 1.5-m long sample of uncoated strand of each size and reel shall be furnished to the Engineer for testing. These samples, as selected by the Engineer, shall be representative of the material to be used in the work.
E. Epoxy-coated strand shall be cut using an abrasive saw.
F. All visible damage to coatings caused by shipping and handling, or during installation, including cut ends, shall be repaired in conformance with the requirements in ASTM Designation: A 882/A 882M. The patching material shall be furnished by the manufacturer of the epoxy powder and shall be applied in conformance with the manufacturer's written recommendations. The patching material shall be compatible with the original epoxy coating material and shall be inert in concrete.

All bars in any individual member shall be of the same grade, unless otherwise permitted by the Engineer.

When bars are to be extended by the use of couplers, the assembled units shall have a tensile strength of not less than the manufacturer's minimum guaranteed ultimate tensile strength of the bars. Failure of any one sample to meet this requirement will be cause for rejection of the heat of bars and lot of couplers. The location of couplers in the member shall be subject to approval by the Engineer.

Wires shall be straightened if necessary to produce equal stress in all wires or wire groups or parallel lay cables that are to be stressed simultaneously or when necessary to ensure proper positioning in the ducts.

Where wires are to be button-headed, the buttons shall be cold formed symmetrically about the axes of the wires. The buttons shall develop the minimum guaranteed ultimate tensile strength of the wire. No cold forming process shall be used that causes indentations in the wire. Buttonheads shall not contain wide open splits, more than 2 splits per head, or splits not parallel with the axis of the wire.

Prestressing steel shall be protected against physical damage and rust or other results of corrosion at all times from manufacture to grouting or encasing in concrete. Prestressing steel that has sustained physical damage at any time shall be

rejected. The development of visible rust or other results of corrosion shall be cause for rejection, when ordered by the Engineer.

Epoxy-coated prestressing steel strand shall be covered with an opaque polyethylene sheeting or other suitable protective material to protect the strand from exposure to sunlight, salt spray, and weather. For stacked coils, the protective covering shall be draped around the perimeter of the stack. The covering shall be adequately secured; however, it should allow for air circulation around the strand to prevent condensation under the covering. Epoxy-coated strand shall not be stored within 300 m of ocean or tidal water for more than 2 months.

Prestressing steel shall be packaged in containers or shipping forms for the protection of the steel against physical damage and corrosion during shipping and storage. Except for epoxy-coated strand, a corrosion inhibitor which prevents rust or other results of corrosion, shall be placed in the package or form, or shall be incorporated in a corrosion inhibitor carrier type packaging material, or when permitted by the Engineer, may be applied directly to the steel. The corrosion inhibitor shall have no deleterious effect on the steel or concrete or bond strength of steel to concrete. Packaging or forms damaged from any cause shall be immediately replaced or restored to original condition.

The shipping package or form shall be clearly marked with a statement that the package contains high-strength prestressing steel, and the type of corrosion inhibitor used, including the date packaged.

Prestressing steel for post-tensioning which is installed in members prior to placing and curing of the concrete, and which is not epoxy-coated, shall be continuously protected against rust or other results of corrosion, until grouted, by means of a corrosion inhibitor placed in the ducts or applied to the steel in the duct. The corrosion inhibitor shall conform to the provisions specified herein.

When steam curing is used, prestressing steel for post-tensioning shall not be installed until the steam curing is completed.

Water used for flushing ducts shall contain either quick lime (calcium oxide) or slaked lime (calcium hydroxide) in the amount of 0.01-kg/L. Compressed air used to blow out ducts shall be oil free.

When prestressing steel for post-tensioning is installed in the ducts after completion of concrete curing, and if stressing and grouting are completed within 10 days after the installation of the prestressing steel, rust which may form during those 10 days will not be cause for rejection of the steel. Prestressing steel installed, tensioned, and grouted in this manner, all within 10 days, will not require the use of a corrosion inhibitor in the duct following installation of the prestressing steel. Prestressing steel installed as above but not grouted within 10 days shall be subject to all the requirements in this section pertaining to corrosion protection and rejection because of rust. The requirements in this section pertaining to tensioning and grouting within 10 days shall not apply to epoxy-coated prestressing steel strand.

Any time prestressing steel for pretensioning is placed in the stressing bed and is exposed to the elements for more than 36 hours prior to encasement in concrete, adequate measures shall be taken by the Contractor, as approved by the Engineer, to protect the steel from contamination or corrosion.

After final fabrication of the seven-wire prestressing steel strand, no electric welding of any form shall be performed on the prestressing steel. Whenever electric welding is performed on or near members containing prestressing steel, the welding ground shall be attached directly to the steel being welded.

Pretensioned prestressing steel shall be cut off flush with the end of the member. For epoxy-coated prestressing steel, only abrasive saws shall be used to cut the steel. The exposed ends of the prestressing steel and a 25-mm strip of adjoining concrete shall be cleaned and painted. Cleaning shall be by wire brushing or abrasive blast cleaning to remove all dirt and residue on the metal or concrete surfaces. Immediately after cleaning, the surfaces shall be covered with one application of unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint," except that 2 applications shall be applied to surfaces which will not be covered by concrete or mortar. Aerosol cans shall not be used. The paint shall be thoroughly mixed at the time of application and shall be worked into any voids in the prestressing tendons.

In Section 50-1.07 replace the 2nd paragraph with:

Ducts shall be fabricated with either welded or interlocked seams. Galvanizing of the welded seam will not be required. Ducts shall have sufficient strength to maintain their correct alignment during placing of concrete. Joints between sections of duct shall be positive metallic connections which do not result in angle changes at the joints. Waterproof tape shall be used at the connections. Ducts shall be bent without crimping or flattening. Transition couplings connecting the ducts to anchoring devices shall be either ferrous metal or polyolefin. Ferrous metal transition couplings need not be galvanized.

In Section 50-1.07 replace the 7th paragraph with:

All ducts with a total length of 120 m or more shall be vented. Vents shall be placed at intervals of not more than 120 m and shall be located within 2 m of every high point in the duct profile. Vents shall be 12 mm minimum diameter standard pipe or suitable plastic pipe. Connections to ducts shall be made with metallic or plastic structural fasteners. Plastic components, if selected, shall not react with the concrete or enhance corrosion of the prestressing steel and shall be free of water soluble chlorides. The vents shall be mortar tight, taped as necessary, and shall provide means for injection of grout

through the vents and for sealing the vents. Ends of vents shall be removed 25 mm below the roadway surface after grouting has been completed.

In Section 50-1.08 replace the 6th paragraph with:

The following formula and friction coefficients shall be used in calculating friction losses in tendons:

$To = Txe\ (\mu\alpha + KL)$

Where:

To = steel stress at jacking end
Tx = steel stress at any point x
e = base of Naperian logarithms
μ = friction curvature coefficient
α = total angular change of prestressing steel profile in radians from jacking end to point x
K = friction wobble coefficient (=0.00066/m)
L = length of prestressing steel from jacking end to point x

Type of Steel Tendon	Length of Tendon L(m)	Type of Duct	μ
Wire or Strand	0 to less than 183	Rigid or semi-rigid galvanized sheet metal	0.15
	183 to less than 275		0.20
	275 to less than 366		0.25
	Greater than or equal to 366		0.25*
Wire or Strand	All	Plastic	0.23
	All	Rigid Steel Pipes	0.25*
High Strength Bar	All	Rigid or semi-rigid galvanized sheet metal	0.30

* With the use of lubrication

In Section 50-1.08 in the 11th paragraph, replace item 2 with:

2. When the concrete is designated by class or cementitious material content, either the concrete compressive strength shall have reached the strength shown on the plans at the time of stressing or at least 28 days shall have elapsed since the last concrete to be prestressed has been placed, whichever occurs first.

In Section 50-1.08 replace the 13th and 14th paragraphs with:

Prestressing steel in pretensioned members shall not be cut or released until the concrete in the member has attained a compressive strength of not less than the value shown on the plans or 28 MPa, whichever is greater. In addition to these concrete strength requirements, when epoxy-coated prestressing steel strand is used, the steel shall not be cut or released until the temperature of the concrete surrounding the strand is less than 65°C, and falling.

When ordered by the Engineer, prestressing steel strands in pretensioned members, if tensioned individually, shall be checked by the Contractor for loss of prestress not more than 48 hours prior to placing concrete for the members. The method and equipment for checking the loss of prestress shall be subject to approval by the Engineer. Strands which show a loss of prestress in excess of 3 percent shall be retensioned to the original computed jacking stress.

In Section 50-1.09 replace the 2nd and 3rd paragraphs with:

Grout shall consist of cement and water and may contain an admixture if approved by the Engineer.
Cement shall conform to the provisions in Section 90-2.01A, "Cement."

In Section 50-1.10 replace the 5th paragraph with:

The following samples of materials and tendons, selected by the Engineer from the prestressing steel at the plant or jobsite, shall be furnished by the Contractor to the Engineer well in advance of anticipated use:

A. For wire or bars, one 2-m long sample and for strand, one 1.5-m long sample, of each size shall be furnished for each heat or reel.
B. For epoxy-coated strand, one 1.5-m long sample of uncoated strand of each size shall be furnished for each reel.
C. If the prestressing tendon is a bar, one 2-m long sample shall be furnished and in addition, if couplers are to be used with the bar, two 1.25-m long samples of bar, equipped with one coupler and fabricated to fit the coupler, shall be furnished.

In Section 50-1.11 replace the 1st paragraph with:

No separate payment will be made for pretensioning precast concrete members. Payment for pretensioning precast concrete members shall be considered as included in the contract price paid for furnish precast members as provided for in Section 51, "Concrete Structures."

In Section 50-1.11 replace the 2nd paragraph with:

The contract lump sum prices paid for prestressing cast-in-place concrete of the types listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in furnishing, placing, and tensioning the prestressing steel in cast-in-place concrete structures, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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SECTION 51: CONCRETE STRUCTURES
(Issued 05-02-08)

In Section 51-1.05 replace the 11th paragraph with:

Form panels for exposed surfaces shall be furnished and placed in uniform widths of not less than 0.9-m and in uniform lengths of not less than 1.8 m, except at the end of continuously formed surfaces where the final panel length required is less than 1.8 m. Where the width of the member formed is less than 0.9-m, the width of the panels shall be not less than the width of the member. Panels shall be arranged in symmetrical patterns conforming to the general lines of the structure. Except when otherwise provided herein or shown on the plans, panels for vertical surfaces shall be placed with the long dimension horizontal and with horizontal joints level and continuous. Form panels for curved surfaces of columns shall be continuous for a minimum of one quarter of the circumference, or 1.8 m. For walls with sloping footings which do not abut other walls, panels may be placed with the long dimension parallel to the footing. Form panels on each side of the panel joint shall be precisely aligned, by means of supports or fasteners common to both panels, to result in a continuous unbroken concrete plane surface. When prefabricated soffit panels are used, form filler panels joining prefabricated panels shall have a uniform minimum width of 0.3-m and shall produce a smooth uniform surface with consistent longitudinal joint lines between the prefabricated panels.

In Section 51-1.06A replace the 1st paragraph with:

The Contractor shall submit to the Engineer working drawings and design calculations for falsework proposed for use at bridges. For bridges where the height of any portion of the falsework, as measured from the ground line to the soffit of the superstructure, exceeds 4.25 m; or where any individual falsework clear span length exceeds 4.85 m; or where provision for vehicular, pedestrian, or railroad traffic through the falsework is made; the drawings shall be signed by an engineer who is registered as a Civil Engineer in the State of California. Six sets of the working drawings and 2 copies of the design calculations shall be furnished. Additional working drawings and design calculations shall be submitted to the Engineer when specified in "Railroad Relations and Insurance" of the special provisions.

In Section 51-1.06A replace the 2nd paragraph with:

The falsework drawings shall include details of the falsework erection and removal operations showing the methods and sequences of erection and removal and the equipment to be used. The details of the falsework erection and removal operations shall demonstrate the stability of all or any portions of the falsework during all stages of the erection and removal operations.

In Section 51-1.06A replace the 7th paragraph with:

In the event that several falsework plans are submitted simultaneously, or an additional plan is submitted for review before the review of a previously submitted plan has been completed, the Contractor shall designate the sequence in which the plans are to be reviewed. In such event, the time to be provided for the review of any plan in the sequence shall be not less than the review time specified above for that plan, plus 2 weeks for each plan of higher priority which is still under review. A falsework plan submittal shall consist of plans for a single bridge or portion thereof. For multi-frame bridges, each frame shall require a separate falsework plan submittal.

In Section 51-1.06A, add:

If structural composite lumber is proposed for use, the falsework drawings shall clearly identify the structural composite lumber members by grade (E value), species, and type. The Contractor shall provide technical data from the manufacturer showing the tabulated working stress values of the composite lumber. The Contractor shall furnish a certificate of compliance as specified in Section 6-1.07, "Certificates of Compliance," for each delivery of structural composite lumber to the project site.

For falsework piles with a calculated loading capacity greater than 900 kN, the falsework piles shall be designed by an engineer who is registered as either a Civil Engineer or a Geotechnical Engineer in the State of California, and the calculations shall be submitted to the Engineer.

In Section 51-1.06A(1) replace the 1st paragraph with:

The design load for falsework shall consist of the sum of dead and live vertical loads, and an assumed horizontal load. The minimum total design load for any falsework, including members that support walkways, shall be not less than 4800 N/m^2 for the combined live and dead load regardless of slab thickness.

In Section 51-1.06A(1) replace the 8th paragraph with:

In addition to the minimum requirements specified in this Section 51-1.06A, falsework for box girder structures with internal falsework bracing systems using flexible members capable of withstanding tensile forces only, shall be designed to include the vertical effects caused by the elongation of the flexible member and the design horizontal load combined with the dead and live loads imposed by concrete placement for the girder stems and connected bottom slabs. Falsework comprised of individual steel towers with bracing systems using flexible members capable of withstanding tensile forces only to resist overturning, shall be exempt from these additional requirements.

In Section 51-1.06B replace the 3rd paragraph with:

When falsework is supported on piles, the piles shall be driven and the actual nominal resistance assessed in conformance with the provisions in Section 49, "Piling."

In Section 51-1.06B, add:

For falsework piles with a calculated nominal resistance greater than 1800 kN, the Contractor shall conduct dynamic monitoring of pile driving and generate field acceptance criteria based on a wave equation analysis. These analyses shall be signed by an engineer who is registered as a Civil Engineer in the State of California and submitted to the Engineer prior to completion of falsework erection.

Prior to the placement of falsework members above the stringers, the final bracing system for the falsework shall be installed.

In Section 51-1.06C, add:

The falsework removal operation shall be conducted in such a manner that any portion of the falsework not yet removed remains in a stable condition at all times.

In Section 51-1.09 replace the 6th paragraph with:

Vibrators used to consolidate concrete containing epoxy-coated bar reinforcement or epoxy-coated prestressing steel shall have a resilient covering to prevent damage to the epoxy-coating on the reinforcement or prestressing steel.

In Section 51-1.11 replace the 6th paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 51-1.12D replace the 4th paragraph with:

Expanded polystyrene shall be a commercially available polystyrene board. Expanded polystyrene shall have a minimum flexural strength of 240 kPa determined in conformance with the requirements in ASTM Designation: C 203 and a compressive yield strength of between 110 and 275 kPa at 5 percent compression. Surfaces of expanded polystyrene against which concrete is placed shall be faced with hardboard. Hardboard shall be 3 mm minimum thickness, conforming to ANSI A135.4, any class. Other facing materials may be used provided they furnish equivalent protection. Boards shall be held in place by nails, waterproof adhesive, or other means approved by the Engineer.

In Section 51-1.12F, add:

The opening of the joints at the time of placing shall be that shown on the plans adjusted for temperature. Care shall be taken to avoid impairment of the clearance in any manner.

In Section 51-1.12F replace the 1st and 2nd paragraphs with:

Where shown on the plans, joints in structures shall be sealed with joint seals, joint seal assemblies, or seismic joints in conformance with the details shown on the plans, the provisions in these specifications, and the special provisions.

Type A and AL joint seals shall consist of a groove in the concrete that is filled with field-mixed silicone sealant.

In Section 51-1.12F replace the 4th and 5th paragraphs with:

Joint seal assemblies and seismic joints shall consist of metal or metal and elastomeric assemblies which are anchored or cast into a recess in the concrete over the joint. Strip seal joint seal assemblies consist of only one joint cell. Modular unit joint seal assemblies consist of more than one joint cell.

The Movement Rating (MR) shall be measured normal to the longitudinal axis of the joint. The type of seal to be used for the MR shown on the plans shall be as follows:

Movement Rating (MR)	Seal Type
MR ≤ 25 mm	Type A or Type B
25 mm < MR ≤ 50 mm	Type B
50 mm < MR ≤ 100 mm	Joint Seal Assembly (Strip Seal)
MR > 100 mm	Joint Seal Assembly (Modular Unit) or Seismic Joint

In Section 51-1.12F(3)(a) replace the 1st and 2nd paragraphs with:

The sealant must consist of a 2-component silicone sealant that will withstand up to ±50 percent movement.

Silicone sealants must be tested under California Test 435 and must comply with the following:

Specification	Requirement
Modulus at 150 percent elongation	35–520 kPa
Recovery	17 mm max.
Notch Test	Notched or loss of bond 6 mm, max.
Water Resistance	Notched or loss of bond 6 mm, max.
Ultraviolet Exposure ASTM Designation: G 154, Table X2.1,Cycle 2.	No more than slight checking or cracking.
Cone Penetration	4.5-12.0 mm

In Section 51-1.12F(3)(a) delete the 3rd and 8th paragraphs.

In Section 51-1.12F(3)(a) replace the 10th paragraph with:

A Certificate of Compliance accompanied by a certified test report must be furnished for each batch of silicone sealant in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

In Section 51-1.12F(3)(b) replace the 2nd paragraph with:

The preformed elastomeric joint seal must conform to the requirements in ASTM D 2628 and the following:

1. The seal must consist of a multichannel, nonporous, homogeneous material furnished in a finished extruded form.
2. The minimum depth of the seal measured at the contact surface must be at least 95 percent of the minimum uncompressed width of the seal as designated by the manufacturer.
3. When tested in conformance with the requirements in California Test 673 for Type B seals, joint seals must provide a movement rating (MR) of not less than that shown on the plans.
4. The top and bottom edges of the joint seal must maintain continuous contact with the sides of the groove over the entire range of joint movement.
5. The seal must be furnished full length for each joint with no more than 1 shop splice in any 18 m length of seal.
6. The Contractor must demonstrate the adequacy of the procedures to be used in the work before installing seals in the joints.
7. One field splice per joint may be made at locations and by methods approved by the Engineer. The seals are to be manufactured full length for the intended joint, then cut at the approved splice section and rematched before splicing. The Contractor must submit splicing details prepared by the joint seal manufacturer for approval before beginning splicing work.
8. Shop splices and field splices must have no visible offset of exterior surfaces and must show no evidence of bond failure.
9. At all open ends of the seal that would admit water or debris, each cell must be filled to a depth of 80 mm with commercial quality open cell polyurethane foam or closed by other means subject to approval by the Engineer.

In Section 51-1.12F(3)(b) replace the 7th paragraph with:

The joint seal must be installed full length for each joint with equipment that does not twist or distort the seal, elongate the seal longitudinally, or otherwise cause damage to the seal or to the concrete forming the groove.

Replace Section 51-1.12F(3)(c), with:

(c) Joint Seal Assemblies and Seismic Joints

Joint seal assemblies and seismic joints shall be furnished and installed in joints in bridge decks as shown on the plans and as specified in the special provisions.

In Section 51-1.12H(1) replace the 8th paragraph with:

The elastomer, as determined from test specimens, shall conform to the following:

Test	ASTM Designation	Requirement
Tensile strength, MPa	D 412	15.5 Min.
Elongation at break, percent	D 412	350 Min.
Compression set, 22 h at 70°C, percent	D 395 (Method B)	25 Max.
Tear strength, kN/m	D 624 (Die C)	31.5 Min.
Hardness (Type A)	D 2240 with 2 kg. mass	55 ±5
Ozone resistance 20% strain, 100 h at 40°C ±2°C	D 1149 (except 100 ±20 parts per 100 000 000)	No cracks
Instantaneous thermal stiffening at -40°C	D 1043	Shall not exceed 4 times the stiffness measured at 23°C
Low temperature brittleness at -40°C	D 746 (Procedure B)	Pass

In Section 51-1.12H(1) in the 9th paragraph replace the table, with:

Tensile strength, percent	-15
Elongation at break, percent	-40; but not less than 300% total elongation of the material
Hardness, points	+10

In Section 51-1.12H(2) replace the 1st paragraph with:

Steel reinforced elastomeric bearings shall conform to the requirements for steel-laminated elastomeric bearings in ASTM Designation: D 4014 and the following:

A. The bearings shall consist of alternating steel laminates and internal elastomer laminates with top and bottom elastomer covers. Steel laminates shall have a nominal thickness of 1.9 mm (14 gage). Internal elastomer laminates shall have a thickness of 12 mm, and top and bottom elastomer covers shall each have a thickness of 6 mm. The combined thickness of internal elastomer laminates and top and bottom elastomer covers shall be equal to the bearing pad thickness shown on the plans. The elastomer cover to the steel laminates at the sides of the bearing shall be 3 mm. If guide pins or other devices are used to control the side cover over the steel laminates, any exposed portions of the steel laminates shall be sealed by vulcanized patching. The length, width, or diameter of the bearings shall be as shown on the plans.
B. The total thickness of the bearings shall be equal to the thickness of elastomer laminates and covers plus the thickness of the steel laminates.
C. Elastomer for steel reinforced elastomeric bearings shall conform to the provisions for elastomer in Section 51-1.12H(1), "Plain and Fabric Reinforced Elastomeric Bearing Pads."
D. A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall be furnished to the Engineer certifying that the bearings to be furnished conform to all of the above provisions. The Certificate of Compliance shall be supported by a certified copy of the results of tests performed by the manufacturer on the bearings.
E. One sample bearing shall be furnished to the Engineer from each lot of bearings to be furnished for the contract. Samples shall be available at least 3 weeks in advance of intended use. The sample bearing shall be one of the following:

Bearing Pad Thickness as Shown on the Plans	Sample Bearing
≤ 50 mm	Smallest complete bearing shown on the plans
> 50 mm	* 57 ± 3 mm thick sample not less than 200 mm x 305 mm in plan and cut by the manufacturer from the center of one of the thickest complete bearings

* The sample bearing plus remnant parts of the complete bearing shall be furnished to the Engineer.

F. A test specimen taken from the sample furnished to the Engineer will be tested in conformance with the requirements in California Test 663. Specimens tested shall show no indication of loss of bond between the elastomer and steel laminates.

In Section 51-1.135 replace the 1st paragraph with:

Mortar shall be composed of cementitious material, sand, and water proportioned and mixed as specified in this Section 51-1.135.

In Section 51-1.135 replace the 3rd paragraph with:

The proportion of cementitious material to sand, measured by volume, shall be 1:2 unless otherwise specified.

In Section 51-1.14 replace the 4th paragraph with:

Neoprene shall be manufactured from a vulcanized elastomeric compound containing neoprene as the sole elastomer and shall conform to the following:

Test	ASTM Designation	Requirement
Tensile strength, MPa	D 412	13.8 Min.
Elongation at break, percent	D 412	300 Min.
Compression set, 22 h at 70°C, percent	D 395 (Method B)	30 Max.
Tear strength, kN/m	D 624 (Die C)	26.3 Min.
Hardness (Type A)	D 2240	55±5
Ozone resistance 20% strain, 100 h at 38°C ±1°C	D 1149 (except 100± 20 parts per 100 000 000)	No cracks
Low temperature brittleness at -40°C	D 746 (Procedure B)	Pass
Flame resistance	C 542	Must not propagate flame
Oil Swell, ASTM Oil #3, 70 h at 100°C, volume change, percent	D 471	80 Max.
Water absorption, immersed 7 days at 70°C, change in mass, percent	D 471	15 Max.

In Section 51-1.17 in 4th paragraph, replace the 1st sentence with:

The smoothness of completed roadway surfaces of structures, approach slabs and the adjacent 15 m of approach pavement, and the top surfaces of concrete decks which are to be covered with another material, will be tested by the Engineer with a bridge profilograph in conformance with the requirements in California Test 547 and the requirements herein.

In Section 51-1.17 delete the 7th paragraph

In Section 51-1.17 delete the 13th paragraph

In Section 51-1.17 delete the 14th paragraph

Add Section:

51-1.17A DECK CRACK TREATMENT

The Contractor shall use all means necessary to minimize the development of shrinkage cracks.

The Contractor shall remove all equipment and materials from the deck and clean the surface as necessary for the Engineer to measure the surface crack intensity. Surface crack intensity will be determined by the Engineer after completion of concrete cure, before prestressing, and before the release of falsework. In any 50 square meter portion of deck within the limits of the new concrete deck, should the intensity of cracking be such that there are more than 5 m of cracks whose width at any location exceeds 0.5 mm**,** the deck shall be treated with methacrylate resin. The area of deck to be treated shall have a width that extends for the entire width of new deck inside the concrete barriers and a length that extends at least 1.5 m beyond the furthest single continuous crack outside the 50 square meter portion, measured from where that crack exceeds 0.5 mm in width, as determined by the Engineer.

Deck crack treatment shall include furnishing, testing, and application of methacrylate resin and sand. If grinding is required, deck treatment shall take place before grinding.

51-1.17A(1) Submittals

Before starting deck treatment, the Contractor shall submit plans in conformance with Section 5-1.02, "Plans and Working Drawings," for the following:

1. Public safety plan for the use of methacrylate resin
2. Placement plan for the construction operation

The plans shall identify materials, equipment, and methods to be used.

The public safety plan for the use of methacrylate resin shall include details for the following:

1. Shipping
2. Storage
3. Handling
4. Disposal of residual methacrylate resin and the containers

The placement plan for construction shall include the following:

1. Schedule of deck treatment for each bridge. The schedule shall be consistent with "Maintaining Traffic," of the special provisions and shall include time for the Engineer to perform California Test 342.
2. Methods and materials to be used, including the following:

    2.1. Description of equipment for applying the resin
    2.2. Description of equipment for applying the sand
    2.3. Gel time range and final cure time for the resin

If the measures proposed in the safety plan are inadequate to provide for public safety associated with the use of methacrylate resin, the Engineer will reject the plan and direct the Contractor to revise the plan. Directions for revisions will be in writing and include detailed comments. The Engineer will notify the Contractor of the approval or rejection of a submitted or revised plan within 15 days of receipt of that plan.

In the event the Engineer fails to complete the review within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

51-1.17A(2) Materials

Before using methacrylate resin, a Material Safety Data Sheet shall be submitted for each shipment of resin.

Methacrylate resin shall be low odor and have a high molecular weight. Before adding initiator, the resin shall have a maximum volatile content of 30 percent when tested in conformance with the requirements in ASTM Designation: D 2369, and shall conform to the following:

PROPERTY	REQUIREMENT	TEST METHOD
* Viscosity	0.025 Pa·s, maximum, (Brookfield RVT with UL adaptor, 50 RPM at 25°C	ASTM D 2196
* Specific Gravity	0.90 minimum, at 25°C	ASTM D 1475
* Flash Point	82°C, minimum	ASTM D 3278
* Vapor Pressure	1.0 mm Hg, maximum, at 25°C	ASTM D 323
Tack-free Time	400 minutes, maximum, at 25°C	Specimen prepared per California Test 551
PCC Saturated Surface-Dry Bond Strength	3.5 MPa, minimum at 24 hours and 21±1°C	California Test 551
* Test shall be performed before adding initiator.		

51-1.17A(3) Testing

The Contractor shall allow 20 days for sampling and testing by the Engineer of the methacrylate resin before proposed use. If bulk resin is to be used, the Contractor shall notify the Engineer in writing at least 15 days before the delivery of the bulk resin to the job site. Bulk resin is any resin stored in containers in excess of 209 liters.

Before starting production treatment, the Contractor shall treat a test area of approximately 50 square meters that is within the project limits and at a location approved by the Engineer. When available the test area shall be outside of the traveled way. Weather and pavement conditions during the test treatment shall be similar to those expected on the deck. Equipment used for testing shall be similar to those used for deck treating operations.

During test and production deck treatment, test tiles shall be used to evaluate the resin cure time. The Contractor shall coat at least one 102 mm x 102 mm commercial quality smooth glazed tile for each batch of methacrylate resin. The coated tile shall be placed adjacent to the corresponding treated area. Sand shall not be applied to the test tiles.

The acceptance criteria for a treated area is as follows:

1. The test tiles are dry to the touch.
2. The treated deck surface is tack free (non-oily).
3. The sand cover adheres and resists brushing by hand.
4. Excess sand has been removed by vacuuming or sweeping.
5. The coefficient of friction is at least 0.35 when tested in conformance with California Test 342.

If a test or production area fails to meet the acceptance criteria, as determined by the Engineer, the treatment will be rejected, and the treatment shall be removed and replaced until the area complies with the acceptance criteria.

51-1.17A(4) Construction

Equipment shall be fitted with suitable traps, filters, drip pans, or other devices as necessary to prevent oil or other deleterious material from being deposited on the deck.

Before deck treatment with methacrylate resin, the bridge deck surface shall be cleaned by abrasive blasting, and all loose material shall be blown from visible cracks using high-pressure air. Concrete curing seals shall be cleaned from the deck surface to be treated, and the deck shall be dry when blast cleaning is performed. If the deck surface becomes contaminated at any time before placing the resin, the deck surface shall be cleaned by abrasive blasting.

Where abrasive blasting is being performed within 3 m of a lane occupied by public traffic, the residue including dust shall be removed immediately after contact between the abrasive and the surface being treated. The removal shall be by a vacuum attachment operating concurrently with the abrasive blasting operation.

A compatible promoter/initiator system shall be capable of providing the resin gel time range shown on the placement plan. Gel time shall be adjusted to compensate for the changes in temperature throughout treatment application.

Resin shall be applied by machine and by using a two-part resin system with a promoted resin for one part and an initiated resin for the other part. This two-part resin system shall be combined at equal volumes to the spray bars through separate positive displacement pumps. Combining of the 2 components shall be by either static in-line mixers or by external

intersecting spray fans. The pump pressure at the spray bars shall not be great enough to cause appreciable atomization of the resin. Compressed air shall not be used to produce the spray. A shroud shall be used to enclose the spray bar apparatus.

At the Contractor's option, manual application may be used. For manual application, (1) the quantity of resin mixed with promoter and initiator shall be limited to 20 L at a time, and (2) the resin shall be distributed by squeegees and brooms within 10 minutes after application.

The Contractor shall apply methacrylate resin only to the specified area. Barriers, railing, joints, and drainage facilities shall be adequately protected to prevent contamination by the treatment material. Contaminated items shall be repaired at the Contractor's expense.

The relative humidity shall be less than 90 percent at the time of treatment. The prepared area shall be dry and the surface temperature shall be at least 10°C , and not more than 38°C when the resin is applied. The rate of application of promoted/initiated resin shall be 2.2 square meter per liter; the exact rate shall be determined by the Engineer.

The deck surfaces to be treated shall be completely covered with resin so the resin penetrates and fills all cracks. The resin shall be applied within 5 minutes after complete mixing. A significant increase in viscosity shall be cause for rejection. Excess material shall be redistributed by squeegees or brooms within 10 minutes after application. For textured deck surfaces, including grooved surfaces, excess material shall be removed from the texture indentations.

After the resin has been applied, at least 20 minutes shall elapse before applying sand. The sand shall be commercial quality dry blast sand. At least 95 percent of the sand shall pass the 2.36-mm sieve and at least 95 percent shall be retained on the 850-µm sieve. The sand shall be applied at a rate of approximately one kilogram per square meter or until refusal as determined by the Engineer.

Traffic will not be allowed on treated areas until the acceptance criteria has been met as determined by the Engineer.

In Section 51-1.18C replace the 2nd paragraph with:

When Class 2 surface finish (gun finish) is specified, ordinary surface finish shall first be completed. The concrete surfaces shall then be abrasive blasted to a rough texture and thoroughly washed down with water. While the washed surfaces are damp, but not wet, a finish coating of machine applied mortar, approximately 6 mm thick, shall be applied in not less than 2 passes. The coating shall be pneumatically applied and shall consist of either (1) sand, cementitious material, and water mechanically mixed prior to its introduction to the nozzle or (2) premixed sand and cementitious material to which water is added prior to its expulsion from the nozzle. The use of admixtures shall be subject to the approval of the Engineer as provided in Section 90, "Portland Cement Concrete." Unless otherwise specified, supplementary cementitious materials will not be required. The proportion of cementitious material to sand shall be not less than one to 4, unless otherwise directed by the Engineer. Sand shall be of a grading suitable for the purpose intended. The machines shall be operated and the coating shall be applied in conformance with standard practice. The coating shall be firmly bonded to the concrete surfaces on which it is applied.

In Section 51-1.18C replace the 5th paragraph with:

When surfaces to be finished are in pedestrian undercrossings, the sand shall be silica sand and the cementitious material shall be standard white portland cement.

In Section 51-1.23 replace the 14th paragraph with:

Full compensation for drilling holes for dowels and grouting dowels in drilled holes; furnishing and placing mortar for mortaring spaces and recesses in and between precast members; furnishing and placing grit for walkways, stair treads and landings; furnishing and placing expansion joint filler, sheet packing, board fillers, elastomeric bearing pads, sliding joints, sliding bearings and preformed fabric pads; and grinding or grooving, as required, shall be considered as included in the contract prices paid for the various items of concrete work and no additional compensation will be allowed therefor.

In Section 51-1.23 add after the 16th paragraph:

Full compensation for deck crack treatment, including execution of the public safety plan, shall be considered as included in the contract price paid per cubic yard for structural concrete, bridge, and no additional compensation will be allowed therefor.

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SECTION 52 REINFORCEMENT
(Issued 12-07-07)

In Section 52-1.02A replace the 1st paragraph with:

Reinforcing bars shall be low-alloy steel deformed bars conforming to the requirements in ASTM Designation: A 706/A 706M, except that deformed or plain billet-steel bars conforming to the requirements in ASTM Designation: A 615/A 615M, Grade 280 or 420, may be used as reinforcement in the following 5 categories:

A. Slope and channel paving,
B. Minor structures,
C. Sign and signal foundations (pile and spread footing types),
D. Roadside rest facilities, and
E. Concrete barrier Type 50 and Type 60 series and temporary railing.

In Section 52-1.04 replace the 3rd paragraph with:

A Certificate of Compliance conforming to the provisions in Section 6-1.07, "Certificates of Compliance," shall also be furnished for each shipment of epoxy-coated bar reinforcement or wire reinforcement certifying that the coated reinforcement conforms to the requirements in ASTM Designation: A 775/A 775M or A 884/A 884M respectively, and the provisions in Section 52-1.02B, "Epoxy-coated Reinforcement." The Certificate of Compliance shall include all of the certifications specified in ASTM Designation: A 775/A 775M or A 884/A 884M respectively.

In Section 52-1.06 replace the 3rd paragraph with:

Hooks and bends shall conform to the provisions of the Building Code Requirements for Structural Concrete of the American Concrete Institute.

In Section 52-1.07 in the 3rd paragraph, delete item C

In Section 52-1.07 replace the 11th paragraph with:

Attention is directed to the provisions in Section 7-1.09, "Public Safety." Whenever a portion of an assemblage of bar reinforcing steel that is not encased in concrete exceeds 6 m in height, the Contractor shall submit to the Engineer for approval, in accordance with the provisions in Section 5-1.02, "Plans and Working Drawings," working drawings and design calculations for the temporary support system to be used. The working drawings and design calculations shall be signed by an engineer who is registered as a Civil Engineer in the State of California. The temporary support system shall be designed to resist all expected loads and shall be adequate to prevent collapse or overturning of the assemblage. If the installation of forms or other work requires revisions to or temporary release of any portion of the temporary support system, the working drawings shall show the support system to be used during each phase of construction. The minimum horizontal wind load to be applied to the bar reinforcing steel assemblage, or to a combined assemblage of reinforcing steel and forms, shall be the sum of the products of the wind impact area and the applicable wind pressure value for each height zone. The wind impact area is the total projected area of the cage normal to the direction of the applied wind. Wind pressure values shall be determined from the following table:

Height Zone (Meters above ground)	Wind Pressure Value (Pa)
0-9.0	960
9.1-15.0	1200
15.1-30.0	1440
Over 30	1675

Replace Section 52-1.08 with:

52-1.08 SPLICING

Splices of reinforcing bars shall consist of lap splices, service splices, or ultimate butt splices.

Splicing of reinforcing bars will not be permitted at a location designated on the plans as a "No-Splice Zone." At the option of the Contractor, reinforcing bars may be continuous at locations where splices are shown on the plans. The location of splices, except where shown on the plans, shall be determined by the Contractor using available commercial lengths where practicable.

Unless otherwise shown on the plans, splices in adjacent reinforcing bars at any particular section shall be staggered. The minimum distance between staggered lap splices or mechanical lap splices shall be the same as the length required for a lap splice in the largest bar. The minimum distance between staggered butt splices shall be 600 mm, measured between the midpoints of the splices along a line which is centered between the axes of the adjacent bars.

52-1.08A Lap Splicing Requirements

Splices made by lapping shall consist of placing reinforcing bars in contact and wiring them together, maintaining the alignment of the bars and the minimum clearances. Should the Contractor elect to use a butt welded or mechanical splice at a location not designated on the plans as requiring a service or ultimate butt splice, this splice shall conform to the testing requirements for service splice.

Reinforcing bars shall not be spliced by lapping at locations where the concrete section is not sufficient to provide a minimum clear distance of 50 mm between the splice and the nearest adjacent bar. The clearance to the surface of the concrete specified in Section 52-1.07, "Placing," shall not be reduced.

Reinforcing bars Nos. 43 and 57 shall not be spliced by lapping.

Where ASTM Designations: A 615/A 615M, Grade 420 or A 706/A 706M reinforcing bars are required, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 45 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 60 diameters of the smaller bar joined, except when otherwise shown on the plans.

Where ASTM Designation: A 615/A 615M, Grade 280 reinforcing bars are permitted, the length of lap splices shall be as follows: Reinforcing bars No. 25 or smaller shall be lapped at least 30 diameters of the smaller bar joined; and reinforcing bars Nos. 29, 32, and 36 shall be lapped at least 45 diameters of the smaller bar joined, except when otherwise shown on the plans.

Splices in bundled bars shall conform to the following:

A In bundles of 2 bars, the length of the lap splice shall be the same as the length of a single bar lap splice.
B. In bundles of 3 bars, the length of the lap splice shall be 1.2 times the length of a single bar lap splice.

Welded wire fabric shall be lapped such that the overlap between the outermost cross wires is not less than the larger of:

A. 150 mm,
B. The spacing of the cross wires plus 50 mm, or
C. The numerical value of the longitudinal wire size (MW-Size Number) times 370 divided by the spacing of the longitudinal wires in millimeters.

52-1.08B Service Splicing and Ultimate Butt Splicing Requirements

Service splices and ultimate butt splices shall be either butt welded or mechanical splices, shall be used at the locations shown on the plans, and shall conform to the requirements of these specifications and the special provisions.

52-1.08B(1) Mechanical Splices

Mechanical splices to be used in the work shall be on the Department's current prequalified list before use. The prequalified list can be obtained from the Department's internet site listed in the special provisions or by contacting the Transportation Laboratory directly.

When tested in conformance with the requirements in California Test 670, the total slip shall not exceed the values listed in the following table:

Reinforcing Bar Number	Total Slip (μm)
13	250
16	250
19	250
22	350
25	350
29	350
32	450
36	450
43	600
57	750

Slip requirements shall not apply to mechanical lap splices, splices that are welded, or splices that are used on hoops.

Splicing procedures shall be in conformance with the manufacturer's recommendations, except as modified in this section. Splices shall be made using the manufacturer's standard equipment, jigs, clamps, and other required accessories.

Splice devices shall have a clear coverage of not less than 40 mm measured from the surface of the concrete to the outside of the splice device. Stirrups, ties, and other reinforcement shall be adjusted or relocated, and additional reinforcement shall be placed, if necessary, to provide the specified clear coverage to reinforcement.

The Contractor shall furnish the following information for each shipment of splice material in conformance with the provisions in Section 6-1.07, "Certificates of Compliance:"

A. The type or series identification of the splice material including tracking information for traceability.
B. The bar grade and size number to be spliced.
C. A copy of the manufacturer's product literature giving complete data on the splice material and installation procedures.
D. A statement that the splicing systems and materials used in conformance with the manufacturer's installation procedures will develop the required tensile strengths, based on the nominal bar area, and will conform to the total slip requirements and the other requirements in these specifications.
E. A statement that the splice material conforms to the type of mechanical splice in the Department's current prequalified list.

52-1.08B(2) Butt Welded Splices

Except for resistance butt welds, butt welded splices of reinforcing bars shall be complete joint penetration butt welds conforming to the requirements in AWS D 1.4, and these specifications.

Welders and welding procedures shall be qualified in conformance with the requirements in AWS D 1.4.

Only the joint details and dimensions as shown in Figure 3.2, "Direct Butt Joints," of AWS D 1.4, shall be used for making complete joint penetration butt welds of bar reinforcement. Split pipe backing shall not be used.

Butt welds shall be made with multiple weld passes using a stringer bead without an appreciable weaving motion. The maximum stringer bead width shall be 2.5 times the diameter of the electrode and slagging shall be performed between each weld pass. Weld reinforcement shall not exceed 4 mm in convexity.

Electrodes used for welding shall meet the minimum Charpy V-notch impact requirement of 27°J at –20°C.

For welding of bars conforming to the requirements of ASTM Designation: A 615/A 615M, Grade 280 or Grade 420, the requirements of Table 5.2, "Minimum Preheat and Interpass Temperatures," of AWS D 1.4 are superseded by the following:

> The minimum preheat and interpass temperatures shall be 200°C for Grade 280 bars and 300°C for Grade 420 bars. Immediately after completing the welding, at least 150 mm of the bar on each side of the splice shall be covered by an insulated wrapping to control the rate of cooling. The insulated wrapping shall remain in place until the bar has cooled below 90°C.

When welding different grades of reinforcing bars, the electrode shall conform to Grade 280 bar requirements and the preheat shall conform to the Grade 420 bar requirements.

In the event that any of the specified preheat, interpass, and post weld cooling temperatures are not met, all weld and heat affected zone metal shall be removed and the splice rewelded.

Welding shall be protected from air currents, drafts, and precipitation to prevent loss of heat or loss of arc shielding. The method of protecting the welding area from loss of heat or loss of arc shielding shall be subject to approval by the Engineer.

Reinforcing bars shall not be direct butt spliced by thermite welding.

Procedures to be used in making welded splices in reinforcing bars, and welders employed to make splices in reinforcing bars, shall be qualified by tests performed by the Contractor on sample splices of the type to be used, before making splices to be used in the work.

52-1.08B(3) Resistance Butt Welds

Shop produced resistance butt welds shall be produced by a fabricator who is approved by the Transportation Laboratory. The list of approved fabricators can be obtained from the Department's internet site or by contacting the Transportation Laboratory directly.

Before manufacturing hoops using resistance butt welding, the Contractor shall submit to the Engineer the manufacturer's Quality Control (QC) manual for the fabrication of hoops. As a minimum, the QC manual shall include the following:

A. The pre-production procedures for the qualification of material and equipment.
B. The methods and frequencies for performing QC procedures during production.
C. The calibration procedures and calibration frequency for all equipment.
D. The welding procedure specification (WPS) for resistance welding.
E. The method for identifying and tracking lots.

52-1.08C Service Splice and Ultimate Butt Splice Testing Requirements

The Contractor shall designate in writing a splicing Quality Control Manager (QCM). The QCM shall be responsible directly to the Contractor for 1) the quality of all service and ultimate butt splicing including the inspection of materials and workmanship performed by the Contractor and all subcontractors; and 2) submitting, receiving, and approving all correspondence, required submittals, and reports regarding service and ultimate splicing to and from the Engineer.

The QCM shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors, who will provide other services or materials for the project. The QCM may be an employee of the Contractor.

Testing on prequalification and production sample splices shall be performed at the Contractor's expense, at an independent qualified testing laboratory. The laboratory shall not be employed or compensated by any subcontractor, or by other persons or entities hired by subcontractors who will provide other services or materials for the project, and shall have the following:

A. Proper facilities, including a calibrated tensile testing machine capable of breaking the largest size of reinforcing bar to be tested.
B. A device for measuring the total slip of the reinforcing bars across the splice to the nearest 25 µm, that, when placed parallel to the longitudinal axis of the bar is able to simultaneously measure movement across the splice at 2 locations 180 degrees apart.
C. Operators who have received formal training for performing the testing requirements of ASTM Designation: A 370 and California Test 670.
D. A record of annual calibration of testing equipment performed by an independent third party that has 1) standards that are traceable to the National Institute of Standards and Technology, and 2) a formal reporting procedure, including published test forms.

The Contractor shall provide samples for quality assurance testing in conformance with the provisions in these specifications and the special provisions.

Prequalification and production sample splices and testing shall conform to California Test 670 and these specifications.

The Contractor shall ensure that sample splices are properly secured and transported to the testing laboratory in such a manner that no alterations to the physical conditions occur during transportation. Sample splices shall be tested in the same condition as received. No modifications to the sample splices shall be made before testing.

Each set or sample splice, as defined herein, shall be identified as representing either a prequalification or production test sample splice.

For the purpose of production testing, a lot of either service splices or ultimate butt splices is defined as 1) 150, or fraction thereof, of the same type of mechanical splices used for each bar size and each bar deformation pattern that is used in the work, or 2) 150, or fraction thereof, of complete joint penetration butt welded splices or resistance butt welded splices for each bar size used in the work. If different diameters of hoop reinforcement are shown on the plans, separate lots shall be used for each different hoop diameter.

Whenever a lot of splices is rejected, the rejected lot and subsequent lots of splices shall not be used in the work until 1) the QCM performs a complete review of the Contractor's quality control process for these splices, 2) a written report is submitted to the Engineer describing the cause of failure for the splices in this lot and provisions for preventing similar

failures in future lots, and 3) the Engineer has provided the Contractor with written notification that the report is acceptable. The Engineer shall have 3 working days after receipt of the report to provide notification to the Contractor. In the event the Engineer fails to provide notification within the time allowed, and if, in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in providing notification, the Contractor will be compensated for any resulting loss, and an extension of time will be granted in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

52-1.08C(1) Splice Prequalification Report

Before using any service splices or ultimate butt splices in the work, the Contractor shall submit a Splice Prequalification Report. The report shall include splice material information, names of the operators who will be performing the splicing, and descriptions of the positions, locations, equipment, and procedures that will be used in the work.

The Splice Prequalification Report shall also include certifications from the fabricator for prequalifications of operators and procedures based on sample tests performed no more than 2 years before submitting the report. Each operator shall be certified by performing 2 sample splices for each bar size of each splice type that the operator will be performing in the work. For deformation-dependent types of splice devices, each operator shall be certified by performing 2 additional samples for each bar size and deformation pattern that will be used in the work.

Prequalification sample splices shall be tested by an independent qualified testing laboratory and shall conform to the appropriate production test criteria and slip requirements specified herein. When epoxy-coated reinforcement is required, resistance butt welded sample splices shall have the weld flash removed by the same procedure as will be used in the work, before coating and testing. The Splice Prequalification Report shall include the certified test results for all prequalification sample splices.

The QCM shall review and approve the Splice Prequalification Report before submitting it to the Engineer for approval. The Contractor shall allow 2 weeks for the review and approval of a complete report before performing any service splicing or ultimate butt splicing in the work. In the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

52-1.08C(2) Service Splice Test Criteria

Service production and quality assurance sample splices shall be tensile tested in conformance with the requirements in ASTM Designation: A 370 and California Test 670 and shall develop a minimum tensile strength of not less than 550 MPa.

52-1.08C(2)(a) Production Test Requirements for Service Splices

Production tests shall be performed by the Contractor's independent laboratory for all service splices used in the work. A production test shall consist of testing 4 sample splices prepared for each lot of completed splices. The samples shall be prepared by the Contractor using the same splice material, position, operators, location, and equipment, and following the same procedure as used in the work.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

The 4 samples from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 samples of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 samples shall be tested for, and shall conform to, the requirements for total slip. Should this sample not meet the total slip requirements, one retest, in which the 3 remaining samples are tested for total slip, will be allowed. Should any of the 3 remaining samples not conform to the total slip requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in this Section 52-1.08C(2),"Service Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," one additional production test shall be performed on the same lot of splices. This additional production test shall consist of testing 4 samples splices that have been randomly selected by the Engineer and removed by the Contractor from the actual completed lot of splices. Should any of the 4 splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in this Section 52-1.08C(2), "Service Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed before the Engineer selects additional splices from this lot for further testing.

52-1.08C(2)(b) Quality Assurance Test Requirements for Service Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional service quality assurance sample splices. These service quality assurance sample splices shall be prepared in the same manner as specified herein for service production sample splices.

These 4 additional quality assurance sample splices shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sample splices shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 samples of splices will not be tested. Sample splices not accompanied by the supporting documentation required in Section 52-1.08B(1), for mechanical splices, or in Section 52-1.08B(3), for resistance butt welds, will not be tested.

Quality assurance testing will be performed in conformance with the requirements for service production sample splices in Section 52-1.08C(2)(a), "Production Test Requirements for Service Splices."

52-1.08C(3) Ultimate Butt Splice Test Criteria

Ultimate production and quality assurance sample splices shall be tensile tested in conformance with the requirements described in ASTM Designation: A 370 and California Test 670.

A minimum of 1 control bar shall be removed from the same bar as, and adjacent to, all ultimate prequalification, production, and quality assurance sample splices. The lengths of control bars shall conform to the lengths specified for sample splices in California Test 670. The portion of adjacent bar remaining in the work shall also be identified with weatherproof markings that correspond to its adjacent control bar.

Each sample splice and its associated control bar shall be identified and marked as a set. Each set shall be identified as representing a prequalification, production, or quality assurance sample splice.

The portion of hoop reinforcing bar, removed to obtain a sample splice and control bar, shall be replaced using a prequalified ultimate mechanical butt splice, or the hoop shall be replaced in kind.

Reinforcing bars, other than hoops, from which sample splices are removed, shall be repaired using ultimate mechanical butt splices conforming to the provisions in Section 52-1.08C(1), "Splice Prequalification Report," or the bars shall be replaced in kind. These bars shall be repaired or replaced such that no splices are located in any "No Splice Zone" shown on the plans.

Ultimate production and quality assurance sample splices shall rupture in the reinforcing bar either: 1) outside of the affected zone or 2) within the affected zone, provided that the sample splice has achieved at least 95 percent of the ultimate tensile strength of the control bar associated with the sample splice. In addition, necking of the bar, as defined in California Test 670, shall occur at rupture regardless of whether the bar breaks inside or outside the affected zone.

The affected zone is the portion of the reinforcing bar where any properties of the bar, including the physical, metallurgical, or material characteristics, have been altered by fabrication or installation of the splice.

The ultimate tensile strength shall be determined for all control bars by tensile testing the bars to rupture, regardless of where each sample splice ruptures. If 2 control bars are tested for one sample splice, the bar with the lower ultimate tensile strength shall be considered the control bar.

52-1.08C(3)(a) Production Test Requirements for Ultimate Butt Splices

Production tests shall be performed for all ultimate butt splices used in the work. A production test shall consist of testing 4 sets of sample splices and control bars removed from each lot of completed splices, except when quality assurance tests are performed.

After the splices in a lot have been completed, and the bars have been epoxy-coated when required, the QCM shall notify the Engineer in writing that the splices in this lot conform to the specifications and are ready for testing. Except for hoops, sample splices will be selected by the Engineer at the job site. Sample splices for hoops will be selected by the Engineer either at the job site or a fabrication facility.

After notification has been received, the Engineer will randomly select the 4 sample splices to be removed from the lot and place tamper-proof markings or seals on them. The Contractor shall select the adjacent control bar for each sample splice bar, and the Engineer will place tamper-proof markings or seals on them. These ultimate production sample splices and control bars shall be removed by the Contractor, and tested by an independent qualified testing laboratory.

At least one week before testing, the Contractor shall notify the Engineer in writing of the date when and the location where the testing of the samples will be performed.

A sample splice or control bar from any set will be rejected if a tamper-proof marking or seal is disturbed before testing.

The 4 sets from each production test shall be securely bundled together and identified with a completed sample identification card before shipment to the independent laboratory. The card will be furnished by the Engineer. Bundles of samples containing fewer than 4 sets of splices shall not be tested.

Before performing any tensile tests on production test sample splices, one of the 4 sample splices shall be tested for, and shall conform to, the requirements for total slip. Should this sample splice not meet these requirements, one retest, in which

the 3 remaining sample splices are tested for total slip, will be allowed. Should any of the 3 remaining sample splices not conform to these requirements, all splices in the lot represented by this production test will be rejected.

If 3 or more sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be considered acceptable.

Should only 2 sample splices from a production test conform to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," one additional production test shall be performed on the same lot of splices. Should any of the 4 sample splices from this additional test fail to conform to these provisions, all splices in the lot represented by these production tests will be rejected.

If only one sample splice from a production test conforms to the provisions in Section 52-1.08C(3), "Ultimate Butt Splice Test Criteria," all splices in the lot represented by this production test will be rejected.

If a production test for a lot fails, the Contractor shall repair or replace all reinforcing bars from which sample splices were removed, complete in place, before the Engineer selects additional splices from this lot for further testing.

Production tests will not be required on repaired splices from a lot, regardless of the type of prequalified ultimate mechanical butt splice used to make the repair. However, should an additional production test be required, the Engineer may select any repaired splice for the additional production test.

52-1.08C(3)(b) Quality Assurance Test Requirements for Ultimate Butt Splices

For the first production test performed, and for at least one, randomly selected by the Engineer, of every 5 subsequent production tests, or portion thereof, the Contractor shall concurrently prepare 4 additional ultimate quality assurance sample splices along with associated control bars.

Each time 4 additional ultimate quality assurance sample splices are prepared, 2 of these quality assurance sample splice and associated control bar sets and 2 of the production sample splice and associated control bar sets, together, shall conform to the requirements for ultimate production sample splices in Section 52-1.08C(3)(a),"Production Test Requirements for Ultimate Butt Splices."

The 2 remaining quality assurance sample splice and associated control bar sets, along with the 2 remaining production sample splice and associated control bar sets shall be shipped to the Transportation Laboratory for quality assurance testing. The 4 sets shall be securely bundled together and identified by location and contract number with weatherproof markings before shipment. Bundles containing fewer than 4 sets will not be tested.

Quality assurance testing will be performed in conformance with the requirements for ultimate production sample splices in Section 52-1.08C(3)(a), "Production Test Requirements for Ultimate Butt Splices."

52-1.08C(3)(c) Nondestructive Splice Tests

When the specifications allow for welded sample splices to be taken from other than the completed lot of splices, the Contractor shall meet the following additional requirements.

Except for resistance butt welded splices, radiographic examinations shall be performed on 25 percent of all complete joint penetration butt welded splices from a production lot. The size of a production lot will be a maximum of 150 splices. The Engineer will select the splices which will compose the production lot and also the splices within each production lot to be radiographically examined.

All required radiographic examinations of complete joint penetration butt welded splices shall be performed by the Contractor in conformance with the requirements in AWS D 1.4 and these specifications.

Before radiographic examination, welds shall conform to the requirements in Section 4.4, "Quality of Welds," of AWS D 1.4.

Should more than 12 percent of the splices which have been radiographically examined in any production lot be defective, an additional 25 percent of the splices, selected by the Engineer from the same production lot, shall be radiographically examined. Should more than 12 percent of the cumulative total of splices tested from the same production lot be defective, all remaining splices in the lot shall be radiographically examined.

Additional radiographic examinations performed due to the identification of defective splices shall be at the Contractor's expense.

All defects shall be repaired in conformance with the requirements in AWS D 1.4.

The Contractor shall notify the Engineer in writing 48 hours before performing any radiographic examinations.

The radiographic procedure used shall conform to the requirements in AWS D1.1, AWS D1.4, and the following:

A. Two exposures shall be made for each complete joint penetration butt welded splice. For each of the 2 exposures, the radiation source shall be centered on each bar to be radiographed. The first exposure shall be made with the radiation source placed at zero degrees from the top of the weld and perpendicular to the weld root and identified with a station mark of "0." The second exposure shall be at 90 degrees to the "0" station mark and shall be identified with a station mark of "90." When obstructions prevent a 90 degree placement of the radiation source for the second

exposure, and when approved in writing by the Engineer, the source may be rotated, around the centerline of the reinforcing bar, a maximum of 25 degrees.

B. For field produced complete joint penetration butt welds, no more than one weld shall be radiographed during one exposure. For shop produced complete joint penetration butt welds, if more than one weld is to be radiographed during one exposure, the angle between the root line of each weld and the direction to the radiation source shall be not less than 65 degrees.

C. Radiographs shall be made by either X-ray or gamma ray. Radiographs made by X-ray or gamma rays shall have densities of not less than 2.3 nor more than 3.5 in the area of interest. A tolerance of 0.05 in density is allowed for densitometer variations. Gamma rays shall be from the iridium 192 isotope and the emitting specimen shall not exceed 4.45 mm in the greatest diagonal dimension.

D. The radiographic film shall be placed perpendicular to the radiation source at all times; parallel to the root line of the weld unless source placement determines that the film must be turned; and as close to the root of the weld as possible.

E. The minimum source to film distance shall be maintained so as to ensure that all radiographs maintain a maximum geometric unsharpness of 0.020 at all times, regardless of the size of the reinforcing bars.

F. Penetrameters shall be placed on the source side of the bar and perpendicular to the radiation source at all times. One penetrameter shall be placed in the center of each bar to be radiographed, perpendicular to the weld root, and adjacent to the weld. Penetrameter images shall not appear in the weld area.

G. When radiography of more than one weld is being performed per exposure, each exposure shall have a minimum of one penetrameter per bar, or 3 penetrameters per exposure. When 3 penetrameters per exposure are used, one penetrameter shall be placed on each of the 2 outermost bars of the exposure, and the remaining penetrameter shall be placed on a centrally located bar.

H. An allowable weld buildup of 4 mm may be added to the total material thickness when determining the proper penetrameter selection. No image quality indicator equivalency will be accepted. Wire penetrameters or penetrameter blocks shall not be used.

I. Penetrameters shall be sufficiently shimmed using a radiographically identical material. Penetrameter image densities shall be a minimum of 2.0 and a maximum of 3.6.

J. Radiographic film shall be Class 1, regardless of the size of reinforcing bars.

K. Radiographs shall be free of film artifacts and processing defects, including, but not limited to, streaks, scratches, pressure marks or marks made for the purpose of identifying film or welding indications.

L. Each splice shall be clearly identified on each radiograph and the radiograph identification and marking system shall be established between the Contractor and the Engineer before radiographic inspection begins. Film shall be identified by lead numbers only; etching, flashing or writing in identifications of any type will not be permitted. Each piece of film identification information shall be legible and shall include, as a minimum, the following information: Contractor's name, date, name of nondestructive testing firm, initials of radiographer, contract number, part number and weld number. The letter "R" and repair number shall be placed directly after the weld number to designate a radiograph of a repaired weld.

M. Radiographic film shall be developed within a time range of one minute less to one minute more than the film manufacturer's recommended maximum development time. Sight development will not be allowed.

N. Processing chemistry shall be done with a consistent mixture and quality, and processing rinses and tanks shall be clean to ensure proper results. Records of all developing processes and any chemical changes to the developing processes shall be kept and furnished to the Engineer upon request. The Engineer may request, at any time, that a sheet of unexposed film be processed in the presence of the Engineer to verify processing chemical and rinse quality.

O. The results of all radiographic interpretations shall be recorded on a signed certification and a copy kept with the film packet.

P. Technique sheets prepared in conformance with the requirements in ASME Boiler and Pressure Vessels Code, Section V, Article 2 Section T-291 shall also contain the developer temperature, developing time, fixing duration and all rinse times.

52-1.08D Reporting Test Results

A Production Test Report for all testing performed on each lot shall be prepared by the independent testing laboratory performing the testing and submitted to the QCM for review and approval. The report shall be signed by an engineer who represents the laboratory and is registered as a Civil Engineer in the State of California. The report shall include, as a minimum, the following information for each test: contract number, bridge number, lot number and location, bar size, type of splice, length of mechanical splice, length of test specimen, physical condition of test sample splice and any associated control bar, any notable defects, total measured slip, ultimate tensile strength of each splice, and for ultimate butt splices, limits of affected zone, location of visible necking area, ultimate tensile strength and 95 percent of this ultimate tensile

strength for each control bar, and a comparison between 95 percent of the ultimate tensile strength of each control bar and the ultimate tensile strength of its associated splice.

The QCM must review, approve, and forward each Production Test Report to the Engineer for review before the splices represented by the report are encased in concrete. The Engineer will have 3 working days to review each Production Test Report and respond in writing after a complete report has been received. Should the Contractor elect to encase any splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review and provide notification within the time allowed, and if, in the opinion of the Engineer, the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

Quality assurance test results for each bundle of 4 sets or 4 samples of splices will be reported in writing to the Contractor within 3 working days after receipt of the bundle by the Transportation Laboratory. In the event that more than one bundle is received on the same day, 2 additional working days shall be allowed for providing test results for each additional bundle received. A test report will be made for each bundle received. Should the Contractor elect to encase splices before receiving notification from the Engineer, it is expressly understood that the Contractor will not be relieved of the responsibility for incorporating material in the work that conforms to the requirements of the plans and specifications. Material not conforming to these requirements will be subject to rejection. Should the Contractor elect to wait to encase splices pending notification by the Engineer, and in the event the Engineer fails to complete the review within the time allowed, and in the opinion of the Engineer, completion of the work is delayed or interfered with by reason of the Engineer's delay in completing the review, the Contractor will be compensated for any resulting loss, and an extension of time will be granted, in the same manner as provided for in Section 8-1.09, "Right of Way Delays."

In Section 52-1.11 after the 7th paragraph, add:

If a portion or all of the reinforcing steel is epoxy-coated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the epoxy-coated reinforcement will be reduced $5000 for each epoxy-coating facility located more than 480 air line kilometers from both Sacramento and Los Angeles and an additional $3000 ($8000 total) for each epoxy-coating facility located more than 4800 air line kilometers from both Sacramento and Los Angeles.

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SECTION 53 SHOTCRETE

(Issued 11-02-07)

In Section 53-1.01 replace the 3rd paragraph with:

The dry-mix process shall consist of delivering dry mixed aggregate and cementitious material pneumatically or mechanically to the nozzle body and adding water and mixing the materials in the nozzle body. The wet-mix process shall consist of delivering mixed aggregate, cement, and water pneumatically to the nozzle and adding any admixture at the nozzle.

In Section 53-1.02 replace the 1st, 2nd, 3rd and 4th paragraphs with:

Cementitious material, fine aggregate, and mixing water shall conform to the provisions in Section 90, "Portland Cement Concrete."

Shotcrete to be mixed and applied by the dry-mix process shall consist of one part cementitious material to not more than 4.5 parts fine aggregate, thoroughly mixed in a dry state before being charged into the machine. Measurement may be either by volume or by mass. The fine aggregate shall contain not more than 6 percent moisture by mass.

Shotcrete to be mixed and applied by the wet-mix process shall consist of cementitious material, fine aggregate, and water and shall contain not less than 375 kilograms of cementitious material per cubic meter. A maximum of 30 percent pea gravel may be substituted for fine aggregate. The maximum size of pea gravel shall be such that 100 percent passes the 12.5 mm screen and at least 90 percent passes the 9.5 mm screen.

Admixtures may be added to shotcrete and shall conform to the provisions in Section 90-4, "Admixtures."

In Section 53-1.04 in the 3rd paragraph, replace the 3rd subparagraph with:

Aggregate and cementitious material that have been mixed for more than 45 minutes shall not be used unless otherwise permitted by the Engineer.

Replace Section 53-1.07 with:

53-1.07 MEASUREMENT

Quantities of shotcrete will be measured by the cubic meter computed from measurements, along the slope, of actual areas placed and the theoretical thickness shown on the plans. The Department does not pay for shotcrete placed outside the dimensions shown on the plans or to fill low foundation.

Replace Section 53-1.08 with:

53-1.08 PAYMENT

The contract price paid per cubic meter for shotcrete shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in placing shotcrete, including preparing the foundation, wire reinforcement, structure backfill, joint filling material, and if required by the plans, drains with sacked pervious backfill material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

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SECTION 55 STEEL STRUCTURES
(Issued 05-02-08)

In Section 55-1.01 replace the 3rd paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 55-1.01 replace the 4th paragraph with:

Details of connections for highway bridges selected for use by the Contractor shall conform to the AASHTO LRFD Bridge Design Specifications with Caltrans Amendments.

Details of design selected by the Contractor, fabrication and workmanship, for steel railway bridges shall conform to the requirements of the Specifications for Steel Railway Bridges, for Fixed Spans Not Exceeding 400 Feet in Length of the AREMA, as set forth in the special provisions.

In Section 55-1.05 replace the 3rd paragraph with:

Construction methods and equipment employed by the Contractor shall conform to the provisions in Section 7-1.02, "Load Limitations."

In Section 55-2.01 replace the 4th and 5th paragraphs with:

All structural steel plate used for the fabrication of tension members, tension flanges, eyebars and hanger plates and for splice plates of tension members, tension flanges and eyebars shall meet the longitudinal Charpy V-notch impact value requirements specified herein. Sampling procedures shall conform to the requirements in ASTM Designation: A 673. The H (Heat) frequency of testing shall be used for structural steels conforming to the requirements in ASTM Designations: A 709/A 709M, Grades 36 [250], 50 [345], 50W [345W], and HPS 50W [345W]. The P (Piece) frequency of testing shall be used for structural steel conforming to the requirements in ASTM Designation: A 709/A 709M, Grades HPS 70W [485W], 100 [690], and 100W [690W]. Charpy V-notch impact values shall be determined in conformance with the requirements in ASTM Designation: E 23.

Charpy V-notch (CVN) impact values shall conform to the following minimum values for non fracture critical members:

Material Conforming to ASTM Designation: A 709/A 709M	CVN Impact Value (Joules at Temp.)
Grade 36 [250]	20 at 4°C
Grade 50 [345]* (50 mm and under in thickness)	20 at 4°C
Grade 50W [345W]* (50 mm and under in thickness)	20 at 4°C
Grade 50 [345]* (Over 50 mm to 100 mm in thickness)	27 at 4°C
Grade 50W [345W]* (Over 50 mm to 100 mm in thickness)	27 at 4°C
Grade HPS 50W [345W]* (100 mm and under in thickness)	27 at -12°C
Grade HPS 70W [485]* (100 mm and under in thickness)	34 at -23°C
Grade 100 [490] (65 mm and under in thickness)	34 at -18°C
Grade 100W [490W] (Over 65 mm to 100 mm in thickness)	48 at -18°C

* If the yield point of the material exceeds 450 MPa, the temperature for the CVN impact value for acceptability shall be reduced 8°C for each increment of 70 MPa above 450 MPa.

Structural Steel Materials

Material	Specification
Structural steel:	
Carbon steel	ASTM: A 709/A 709M, Grade 36 [250] or {A 36/A 36M}a
High strength low alloy columbium vanadium steel	ASTM: A 709/A 709M, Grade 50 [345]or {A 572/A 572M, Grade 50 [345]}a
High strength low alloy structural steel	ASTM: A 709/A 709M, Grade 50W [345W], Grade HPS 50W [HSP 345W], or {A 588/A 588M}a
High strength low alloy structural steel plate	ASTM: A 709/A 709M, Grade HPS 70W [HPS 485W]
High-yield strength, quenched and tempered alloy steel plate suitable for welding	ASTM: A 709/A 709M, Grade 100 [690] and Grade 100W [690W], or {A 514/A 514M}a
Steel fastener components for general applications:	
Bolts and studs	ASTM: A 307
Headed anchor bolts	ASTM: A 307, Grade B, including S1 supplementary requirements
Nonheaded anchor bolts	ASTM: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO: M 314 supplementary requirements or AASHTO: M 314, Grade 36 or 55, including S1 supplementary requirements
High-strength bolts and studs	ASTM: A 449, Type 1
High-strength threaded rods	ASTM: A 449, Type 1
High-strength nonheaded anchor bolts	ASTM: A 449, Type 1
Nuts	ASTM: A 563, including Appendix X1b
Washers	ASTM: F 844

Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM: A 325, Type 1
Tension control bolts	ASTM: F 1852, Type 1
Nuts	ASTM: A 563, including Appendix X1b
Hardened washers	ASTM : F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM: F 959, Type 325, zinc-coated
Carbon steel for forgings, pins and rollers	ASTM: A 668/A 668M, Class D
Alloy steel for forgings	ASTM: A 668/A 668M, Class G
Pin nuts	ASTM: A 36/A 36M
Carbon-steel castings	ASTM: A 27/A 27M, Grade 65-35, Class 1
Malleable iron castings	ASTM: A 47, Grade 32510 or A 47M, Grade 22010
Gray iron castings	ASTM: A 48, Class 30B
Carbon steel structural tubing	ASTM: A 500, Grade B or A 501
Steel pipe (Hydrostatic testing will not apply)	ASTM: A 53, Type E or S, Grade B; A 106, Grade B; or A 139, Grade B
Stud connectors	ASTM: A 108 and AASHTO/AWS D1.5

a Grades that may be substituted for the equivalent ASTM Designation: A 709 steel, at the Contractor's option, subject to the modifications and additions specified and to the requirements of A 709.

b Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

In Section 55-2.02 in the 1st paragraph, replace the 1st sentence with:

Unless otherwise specified or shown on the plans, all structural steel plates, shapes, and bars shall conform to ASTM Designation: A 709/A 709M, Grade 50 [345].

In Section 55-3.05 replace the 1st paragraph with:

Surfaces of bearing and base plates and other metal surfaces that are to come in contact with each other or with ground concrete surfaces or with asbestos sheet packing shall be flat to within one mm tolerance in 305 mm and to within 2 mm tolerance overall. Surfaces of bearing and base plates and other metal bearing surfaces that are to come in contact with preformed fabric pads, elastomeric bearing pads, or mortar shall be flat to within 3 mm tolerance in 305 mm and to within 5 mm tolerance overall.

In Section 55-3.14, after the 9th paragraph add:

If a torque multiplier is used in conjunction with a calibrated wrench as a method for tightening fastener assemblies to the required tension, both the multiplier and the wrench shall be calibrated together as a system. The same length input and output sockets and extensions that will be used in the work shall also be included in the calibration of the system. The manufacturer's torque multiplication ratio shall be adjusted during calibration of the system, such that when this adjusted ratio is multiplied by the actual input calibrated wrench reading, the product is a calculated output torque that is within 2 percent of the true output torque. When this system is used in the work to perform any installation tension testing, rotational capacity testing, fastener tightening, or tension verification, it shall be used, intact as calibrated.

In Section 55-3.17 replace the 2nd paragraph with:

The minimum size of all fillet welds, except those to reinforce groove welds, shall be as shown in the following table:

Base Metal Thickness of the Thicker Part Joined (Millimeters)	*Minimum Size of Fillet Weld (Millimeters)
To 19 inclusive	6
Over 19	8

* Except that the weld size need not exceed the thickness of the thinner part joined.

In Section 55-3.19 replace the 3rd paragraph with:

Immediately before setting bearing assemblies or masonry plates directly on ground concrete surfaces, the Contractor shall thoroughly clean the surfaces of the concrete and the metal to be in contact and shall apply a coating of nonsag polysulfide or polyurethane caulking conforming to the requirements in ASTM Designation: C 920 to contact areas to provide full bedding.

In Section 55-3.19 replace the 5th paragraph with:

Mortar to be placed below masonry plates or bearing plates of the bearing assemblies and in anchor bolt sleeves or canisters shall conform to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

In Section 55-4.02 replace the 6th paragraph with:

If a portion or all of the structural steel is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in these expenses, it is agreed that payment to the Contractor for furnishing the structural steel from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000 or by an amount computed at $0.044 per kilogram of structural steel fabricated, whichever is greater, or in the case of each fabrication site located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced $8000 or by $0.079 per kilogram of structural steel fabricated, whichever is greater.

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SECTION 56 SIGNS

(Issued 03-16-07)

In Section 56-1.01 delete the 3rd paragraph.

Replace Section 56-1.02A with:

56-1.02A Bars, Plates, Shapes, and Structural Tubing

Bars, plates, and shapes shall be structural steel conforming to the requirements in ASTM Designation: A 36/A 36M, except, at the option of the Contractor, the light fixture mounting channel shall be continuous-slot steel channel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230], or aluminum Alloy 6063-T6 extruded aluminum conforming to the requirements in ASTM Designation: B 221 or B 221M.

Structural tubing shall be structural steel conforming to the requirements in ASTM Designation: A 500, Grade B.

Removable sign panel frames shall be constructed of structural steel conforming to the requirements in ASTM Designation: A 36/A 36M.

Replace Section 56-1.02B with:

56-1.02B Sheets

Sheets shall be carbon-steel sheets conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation SS, Grade 33[230].

Ribbed sheet metal for box beam-closed truss sign structures shall be fabricated from galvanized sheet steel conforming to the requirements in ASTM Designation: A 653/A 653M, Designation SS, Grade 33[230]. Sheet metal panels shall be G 165 coating designation in conformance with the requirements in ASTM Designation: A 653/A 653M.

Replace Section 56-1.02F with:

56-1.02F Steel Walkway Gratings

Steel walkway gratings shall be furnished and installed in conformance with the details shown on the plans and the following provisions:

A. Gratings shall be the standard product of an established grating manufacturer.
B. Material for gratings shall be structural steel conforming to the requirements in ASTM Designation: A 1011/A 1011M, Designation CS, Type B.
C. For welded type gratings, each joint shall be full resistance welded under pressure, to provide a sound, completely beaded joint.
D. For mechanically locked gratings, the method of fabrication and interlocking of the members shall be approved by the Engineer, and the fabricated grating shall be equal in strength to the welded type.
E. Gratings shall be accurately fabricated and free from warps, twists, or other defects affecting their appearance or serviceability. Ends of all rectangular panels shall be square. The tops of the bearing bars and cross members shall be in the same plane. Gratings distorted by the galvanizing process shall be straightened.

In Section 56-1.03 replace the 5th through the 13th paragraphs with:

Clips, eyes, or removable brackets shall be affixed to all signs and all posts and shall be used to secure the sign during shipping and for lifting and moving during erection as necessary to prevent damage to the finished galvanized or painted surfaces. Brackets on tubular sign structures shall be removed after erection. Details of the devices shall be shown on the working drawings.

High-strength bolted connections, where shown on the plans, shall conform to the provisions in Section 55-3.14, "Bolted Connections," except that only fastener assemblies consisting of a high-strength bolt, nut, hardened washer, and direct tension indicator shall be used.

High-strength fastener assemblies, and any other bolts, nuts, and washers attached to sign structures shall be zinc-coated by the mechanical deposition process.

Nuts for high-strength bolts designated as snug-tight shall not be lubricated.

An alternating snugging and tensioning pattern for anchor bolts and high-strength bolted splices shall be used. Once tensioned, high-strength fastener components and direct tension indicators shall not be reused.

For bolt diameters less than 10 mm, the diameter of the bolt hole shall be not more than 0.80-mm larger than the nominal bolt diameter. For bolt diameters greater than or equal to 10 mm, the diameter of the bolt hole shall be not more than 1.6 mm larger than the nominal bolt diameter.

Sign structures shall be fabricated into the largest practical sections prior to galvanizing.

Ribbed sheet metal panels for box beam closed truss sign structures shall be fastened to the truss members by cap screws or bolts as shown on the plans, or by 4.76 mm stainless steel blind rivets conforming to Industrial Fasteners Institute, Standard IFI-114, Grade 51. The outside diameter of the large flange rivet head shall be not less than 15.88 mm in diameter. Web splices in ribbed sheet metal panels may be made with similar type blind rivets of a size suitable for the thickness of material being connected.

Spalling or chipping of concrete structures shall be repaired by the Contractor at the Contractor's expense.

In Section 56-1.03 after the 13th paragraph add:

Overhead sign supports shall have an aluminum identification plate permanently attached near the base, adjacent to the traffic side on one of the vertical posts, using either stainless steel rivets or stainless steel screws. As a minimum, the information on the plate shall include the name of the manufacturer, the date of manufacture and the contract number.

In Section 56-1.10 replace the 4th paragraph with:

The contract price paid per kilogram for install sign structure of the type or types designated in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in installing sign structures, complete in place, including installing anchor bolt assemblies, removable sign panel frames, and sign panels and performing any welding, painting or galvanizing required during installation, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 56-2.02B replace the 5th paragraph with:

Douglas fir and Hem-Fir posts shall be treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and in conformance with AWPA Use Category System: UC4A, Commodity Specification A. Posts shall be incised and the minimum retention of preservative shall be as specified in AWPA Standards.

In Section 56-2.03 replace the 4th paragraph with:

Backfill material for metal posts shall consist of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 275 kilograms of cementitious material per cubic meter.

^^

SECTION 57: TIMBER STRUCTURES
(Issued 10-12-04)

In Section 57-1.02A replace the 2nd paragraph with:

When preservative treatment of timber and lumber is required, the treatment shall conform to the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA's Use Category 4B. The type of treatment to be used will be shown on the plans or specified in the special provisions.

^^

SECTION 58: PRESERVATIVE TREATMENT OF LUMBER, TIMBER AND PILING
(Issued 11-18-05)

In Section 58-1.02 replace the 1st paragraph with:

Timber, lumber, and piling shall be pressure treated after millwork is completed. Preservatives, treatment, and results of treatment shall conform to the requirements in AWPA Standards U1 and T1. Treatment of lumber and timber shall conform to the specified AWPA Use Category cited in the special provisions, on the plans, or elsewhere in these specifications.

In Section 58-1.02 delete the 2nd paragraph

^^

SECTION 59: PAINTING
(Issued 01-19-07)

In Section 59-1.02 replace the 1st paragraph with:

Paint shall be applied only on thoroughly dry surfaces and during periods of favorable weather. Blast cleaning or application of solvent-borne paint will not be permitted when the atmospheric or surface temperature is at or below 2°C or above 38°C, or when the relative humidity exceeds 85 percent at the site of the work. Application of water-borne paint will not be permitted when the atmospheric or surface temperature is at or below 10°C, or above 38°C, or when the relative humidity exceeds 75 percent at the site of the work. Application of paint will not be permitted when the steel surface temperature is less than 3°C above the dew point, or when freshly painted surfaces may become damaged by rain, fog or condensation, or when it can be anticipated that the atmospheric temperature or relative humidity will not remain within the specified application conditions during the drying period, except as provided in the following paragraph for enclosures. If uncured paint is damaged by the elements, it shall be replaced or repaired by the Contractor at the Contractor's expense.

In Section 59-1.05 replace the 2nd paragraph with:

Paint or paint stains on surfaces not designated to be painted shall be removed by the Contractor at the Contractor's expense and to the satisfaction of the Engineer.

In Section 59-2.01, between the 1st and 2nd paragraph add:

Unless otherwise specified, no painting Contractors or subcontractors will be permitted to commence work without having the following current "SSPC: The Society for Protective Coatings" (formerly the Steel Structures Painting Council) certifications in good standing:

A. For cleaning and painting structural steel in the field, certification in conformance with the requirements in Qualification Procedure No. 1, "Standard Procedure For Evaluating Painting Contractors (Field Application to Complex Industrial Structures)" (SSPC-QP 1).
B. For removing paint from structural steel, certification in conformance with the requirements in Qualification Procedure No. 2, "Standard Procedure For Evaluating Painting Contractors (Field Removal of Hazardous Coatings from Complex Structures)" (SSPC-QP 2).
C. For cleaning and painting structural steel in a permanent painting facility, certification in conformance with the requirements in Qualification Procedure No. 3, "Standard Procedure For Evaluating Qualifications of Shop Painting Applicators" (SSPC-QP 3). The AISC's Sophisticated Paint Endorsement (SPE) quality program will be considered equivalent to SSPC-QP 3.

In Section 59-2.03 replace the 3rd paragraph with:

Exposed steel or other metal surfaces to be blast cleaned shall be cleaned in conformance with the requirements in Surface Preparation Specification No. 6, "Commercial Blast Cleaning," of the "SSPC: The Society for Protective Coatings." Blast cleaning shall leave all surfaces with a dense, uniform, angular anchor pattern of not less than 35 µm as measured in conformance with the requirements in ASTM Designation: D 4417.

In Section 59-2.06 replace the 1st paragraph with:

Dirt, loose rust and mill scale, or paint which is not firmly bonded to the surfaces shall be removed in conformance with the requirements in Surface Preparation Specification No. 2, "Hand Tool Cleaning," of the "SSPC: The Society for Protective Coatings." Edges of old remaining paint shall be feathered.

In Section 59-2.12 replace the 3rd and 4th paragraphs with:

Contact surfaces of stiffeners, railings, built up members or open seam exceeding 6 mils in width that would retain moisture, shall be caulked with polysulfide or polyurethane sealing compound conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O, or other approved material.

The dry film thickness of the paint will be measured in place with a calibrated Type 2 magnetic film thickness gage in conformance with the requirements in SSPC-PA 2, "Measurement of Dry Coating Thickness with Magnetic Gages," of the "SSPC: The Society for Protective Coatings," except that there shall be no limit to the number or location of spot measurements to verify compliance with specified thickness requirements.

In Section 59-2.13 replace the 3rd paragraph with:

Mechanical mixers shall be used in mixing the primer. After mixing, the zinc-rich primer shall be strained through a 0.6 to 0.25 mm screen or a double layer of cheesecloth immediately prior to or during pouring into the spray pot.

^^

SECTION 64: PLASTIC PIPE
(Issued 07-31-07)

In Section 64-1.06 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 250 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

In Section 64-1.06 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

^^

SECTION 65: REINFORCED CONCRETE PIPE
(Issued 07-31-07)

In Section 65-1.02 replace the 1st paragraph with:

Cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplemental cementitious material shall conform to AASHTO Designation: M 170M.

In Section 65-1.02A(1) in the 11th paragraph, replace item C with:

c. Cementitious material and aggregate for non-reinforced concrete pipe shall conform to the provisions in Section 65-1.02, "Materials."

In Section 65-1.035 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete in conformance with the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item.

In Section 65-1.035 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

In Section 65-1.06 in the 2nd paragraph, replace the 1st subparagraph with:

Cement Mortar.- Mortar shall be composed of one part cementitious material and 2 parts sand by volume. Supplementary cementitious material will not be required.

^^

SECTION 66 CORRUGATED METAL PIPE
(Issued 07-31-07)

In Section 66-1.045 replace the 1st paragraph with:

At locations where pipe is to be backfilled with concrete as shown on the plans, the concrete backfill shall be constructed of minor concrete or Class 4 concrete conforming to the provisions in Section 90, "Portland Cement Concrete." Minor concrete shall contain not less than 225 kg of cementitious material per cubic meter. The concrete to be used will be designated in the contract item or shown on the plans.

In Section 66-1.045 replace the 3rd paragraph with:

The surface of the concrete backfill shall be broomed with a heavy broom to produce a uniform rough surface if hot mix asphalt is to be placed directly thereon.

^^

SECTION 68 SUBSURFACE DRAINS

(Issued 07-31-07)

In Section 68-3.02D replace the 1st and 2nd paragraphs with:

Concrete for splash pads shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

Mortar placed where edge drain outlets and vents connect to drainage pipe and existing drainage inlets shall conform to the provisions in Section 51-1.135, "Mortar."

In Section 68-3.03 replace the 13th paragraph with:

Cement treated permeable material, which is not covered with hot mix asphalt within 12 hours after compaction of the permeable material, shall be cured by either sprinkling the material with a fine spray of water every 4 hours during daylight hours or covering the material with a white polyethylene sheet, not less than 6 mils thick. The above curing requirements shall begin at 7:00 a.m. on the morning following compaction of the cement treated permeable material and continue for the next 72 hours or until the material is covered with hot mix asphalt, whichever is less. The cement treated permeable material shall not be sprayed with water during the first 12 hours after compacting, but may be covered with the polyethylene sheet during the first 12 hours or prior to the beginning of the cure period.

In Section 68-3.03 replace the 17th and 18th paragraphs with:

Hot mix asphalt for backfilling trenches in existing paved areas shall be produced from commercial quality aggregates and asphalt and mixed at a central mixing plant. The aggregate shall conform to the 19 mm grading, or the 12.5 mm grading for Type A and Type B hot mix asphalt specified in Section 39-1.02E, "Aggregate." The amount of asphalt binder to be mixed with the aggregate shall be between 4 percent and 7 percent by weight of the dry aggregate, as determined by the Engineer.

Hot mix asphalt backfill shall be spread and compacted in approximately 2 equal layers by methods that will produce a hot mix asphalt surfacing of uniform smoothness, texture and density. Each layer shall be compacted before the temperature of the mixture drops below 120ºC. Prior to placing the hot mix asphalt backfill, a tack coat of asphaltic emulsion conforming to the provisions in Section 94, "Asphaltic Emulsions," shall be applied to the vertical edges of existing pavement at an approximate rate of 0.25 liters per square meter.

In Section 68-3.03 replace the 20th paragraph with:

Type A pavement markers conforming to the details shown on the plans and the provisions in Section 85, "Pavement Markers," shall be placed on paved shoulders or dikes at outlet, vent and cleanout locations as directed by the Engineer. The waiting period for placing pavement markers on new hot mix asphalt surfacing will not apply.

Replace Section 68-3.05 with:

68-3.05 PAYMENT

The contract price paid per meter for plastic pipe (edge drain) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work

involved in installing edge drains complete in place, including excavation (and removal of any concrete deposits that may occur along the lower edge of the concrete pavement in Type 1 installations) and hot mix asphalt backfill for Type 1 edge drain installation, tack coat, filter fabric, and treated permeable material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract price paid per meter for plastic pipe (edge drain outlet) of the size or sizes shown in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals and for doing all the work involved in installing edge drain outlets, vents and cleanouts complete in place, including outlet and vent covers, expansion plugs, pavement markers, concrete splash pads, connecting outlets and vents to drainage facilities, and excavation and backfill [aggregate base, hot mix asphalt, tack coat, and native material] for outlets, vents, and cleanouts to be installed in embankments and existing shoulders, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

^^

SECTION 69 OVERSIDE DRAINS
(Issued 07-31-07)

In Section 69-1.01 replace the 1st paragraph with:

This work shall consist of furnishing and installing entrance tapers, pipe downdrains, tapered inlets, flume downdrains, anchor assemblies, reducers, slip joints and hot mix asphalt overside drains to collect and carry surface drainage down the roadway slopes as shown on the plans or as directed by the Engineer and as specified in these specifications and the special provisions.

Replace Section 69-1.02D with:

69-1.02D Hot Mix Asphalt

Hot mix asphalt for overside drains shall conform to the provisions in Section 39-1.13, "Miscellaneous Areas."

Replace Section 69-1.04 with:

69-1.04 HOT MIX ASPHALT OVERSIDE DRAINS

Hot mix asphalt overside drains shall be constructed as shown on the plans or as directed by the Engineer. The hot mix asphalt shall be placed in conformance with the provisions in Section 39-1.13, "Miscellaneous Areas."

In Section 69-1.06 replace the 2nd paragraph with:

Quantities of hot mix asphalt placed for overside drains will be paid for as provided in Section 39-5, "Measurement and Payment," for hot mix asphalt placed in miscellaneous areas.

^^

SECTION 70 MISCELLANEOUS FACILITIES
(Issued 01-05-07)

In Section 70-1.02C replace the 2nd paragraph with:

Precast concrete flared end sections shall conform to the requirements for Class III Reinforced Concrete Pipe in AASHTO Designation: M 170M. Cementitious materials and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M. The area of steel reinforcement per meter of flared end section shall be at least equal to the minimum steel requirements for circular reinforcement in circular pipe for the internal diameter of the circular portion of the flared end section. The basis of

acceptance of the precast concrete flared end section shall conform to the requirements of Section 5.1.2 of AASHTO Designation: M 170M.

In Section 70-1.02H replace the 1st paragraph with:

Precast concrete pipe risers and pipe reducers, and precast concrete pipe sections, adjustment rings and tapered sections for pipe energy dissipators, pipe inlets and pipe manholes shall conform to the requirements in AASHTO Designation: M 199M, except that the cementitious material and aggregate shall conform to the provisions in Section 90-2, "Materials," except that mortar strengths relative to Ottawa sand and grading requirements shall not apply to the aggregate. Use of supplementary cementitious material shall conform to the requirements in AASHTO Designation: M 170M.

In Section 70-1.03 replace the 2nd paragraph with:

Cutoff walls for precast concrete flared end sections shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

^^

SECTION 72: SLOPE PROTECTION
(Issued 11-18-05)

In Section 72-4.04 replace the 6th paragraph with:

Pervious backfill material, if required by the plans, shall be placed as shown. A securely tied sack containing 0.03-m^3 of pervious backfill material shall be placed at each weep hole and drain hole. The sack material shall conform to the provisions in Section 88-1.03, "Filter Fabric."

^^

SECTION 73 CONCRETE CURBS AND SIDEWALKS
(Issued 07-31-07)

In Section 73-1.01 in the 2nd paragraph, replace item 2 with:

2. Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter except that when extruded or slip-formed curbs are constructed using 9.5-mm maximum size aggregate, minor concrete shall contain not less than 325 kg of cementitious material per cubic meter.

In Section 73-1.06 replace the 15th paragraph with:

Where hot mix asphalt or portland cement concrete pavements are to be placed around or adjacent to manholes, pipe inlets or other miscellaneous structures in sidewalk, gutter depression, island paving, curb ramps or driveway areas, the structures shall not be constructed to final grade until after the pavements have been constructed for a reasonable distance on each side of the structures.

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SECTION 74 PUMPING PLANT EQUIPMENT
(Issued 07-01-08)

In Section 74-1.02 delete the 2nd paragraph.

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SECTION 75 MISCELLANEOUS METAL
(Issued 01-18-08)

In Section 75-1.02 in the 10th paragraph, replace the table with:

Material	Specification
Steel bars, plates and shapes	ASTM Designation: A 36/A 36M or A 575, A 576 (AISI or M Grades 1016 through 1030)
Steel fastener components for general applications:	
Bolts and studs	ASTM Designation: A 307
Headed anchor bolts	ASTM Designation: A 307, Grade B, including S1 supplementary requirements
Nonheaded anchor bolts	ASTM Designation: A 307, Grade C, including S1 supplementary requirements and S1.6 of AASHTO Designation: M 314 supplementary requirements or AASHTO Designation: M 314, Grade 36 or 55, including S1 supplementary requirements
High-strength bolts and studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: A 449, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Washers	ASTM Designation: F 844
Components of high-strength steel fastener assemblies for use in structural steel joints:	
Bolts	ASTM Designation: A 325, Type 1
Tension control bolts	ASTM Designation: F 1852, Type 1
Nuts	ASTM Designation: A 563, including Appendix X1*
Hardened washers	ASTM Designation: F 436, Type 1, Circular, including S1 supplementary requirements
Direct tension indicators	ASTM Designation: F 959, Type 325, zinc-coated
Stainless steel fasteners (Alloys 304 & 316) for general applications:	
Bolts, screws, studs, threaded rods, and nonheaded anchor bolts	ASTM Designation: F 593 or F 738M
Nuts	ASTM Designation: F 594 or F 836M
Washers	ASTM Designation: A 240/A 240M and ANSI B 18.22M
Carbon-steel castings	ASTM Designation: A 27/A 27M, Grade 65-35 [450-240], Class 1
Malleable iron castings	ASTM Designation: A 47, Grade 32510 or A 47M, Grade 22010
Gray iron castings	ASTM Designation: A 48, Class 30B
Ductile iron castings	ASTM Designation: A 536, Grade 65-45-12
Cast iron pipe	Commercial quality
Steel pipe	Commercial quality, welded or extruded
Other parts for general Applications	Commercial quality

* Zinc-coated nuts that will be tightened beyond snug or wrench tight shall be furnished with a dyed dry lubricant conforming to Supplementary Requirement S2 in ASTM Designation: A 563.

In Section 75-1.03 replace the 2nd paragraph with:

Miscellaneous bridge metal shall consist of the following, except as further provided in Section 51-1.19, "Utility Facilities," and in the special provisions:

A. Bearing assemblies, equalizing bolts and expansion joint armor in concrete structures.
B. Expansion joint armor in steel structures.
C. Manhole frames and covers, frames and grates, ladder rungs, guard posts and access door assemblies.
D. Deck drains, area drains, retaining wall drains, and drainage piping, except drainage items identified as "Bridge Deck Drainage System" in the special provisions.

In Section 75-1.03 replace the 7th paragraph with:

Sheet steel for access doors shall be galvanized sheet conforming to the requirements in ASTM Designation: A 653/A 653M, Coating Designation Z600 {G210}.

In Section 75-1.03 replace the 13th paragraph with:

Concrete anchorage devices shall be mechanical expansion or resin capsule types installed in drilled holes or cast-in-place insert types. The anchorage devices shall be selected from the Department's Pre-Qualified Products List at:

http://www.dot.ca.gov/hq/esc/approved_products_list

The anchorage devices shall be a complete system, including threaded studs, hex nuts, and cut washers. Thread dimensions for externally threaded concrete anchorage devices prior to zinc coating, shall conform to the requirements in ANSI Standard: B1.1 having Class 2A tolerances or ANSI Standard: B1.13M having Grade 6g tolerances. Thread dimensions for internally threaded concrete anchorage devices shall conform to the requirements in ASTM A 563.

In Section 75-1.03 replace the 18th paragraph with:

Mechanical expansion anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.90 mm:

Stud Diameter (millimeters)	Sustained Tension Test Load (kilonewtons)
*18.01-21.00	22.2
15.01-18.00	18.2
12.01-15.00	14.2
9.01-12.00	9.34
6.00-9.00	4.23

* Maximum stud diameter permitted for mechanical expansion anchors.

Resin capsule anchors shall, when installed in accordance with the manufacturer's instructions and these specifications and tested in conformance with the requirements in California Test 681, withstand the application of a sustained tension test load of at least the following values for at least 48 hours with a movement not greater than 0.25 mm:

Stud Diameter (millimeters)	Sustained Tension Test Load (kilonewtons)
29.01-33.00	137.9
23.01-29.00	79.6
21.01-23.00	64.1
18.01-21.00	22.2
15.01-18.00	18.2
12.01-15.00	14.2
9.01-12.00	9.34
6.00-9.00	4.23

At least 25 days before use, the Contractor shall submit one sample of each resin capsule anchor per lot to the Transportation Laboratory for testing. A lot of resin capsule anchors is 100 units, or fraction thereof, of the same brand and product name.

In Section 75-1.03 in the 19th paragraph, replace the table with:

Stud Diameter (millimeters)	Ultimate Tensile Load (kilonewtons)
30.01-33.00	112.1
27.01-30.00	88.1
23.01-27.00	71.2
20.01-23.00	51.6
16.01-20.00	32.0
14.01-16.00	29.4
12.00-14.00	18.7

In Section 75-1.03, replace the 20th paragraph with:

The Pre-Qualified Products List for concrete anchorage devices has been developed from data previously furnished by suppliers or manufacturers for each type and size. Approval of additional anchorage device types and sizes is contingent upon the Contractor submitting to the Engineer one sample of each type of concrete anchorage device, manufacturer's installation instructions, and certified results of tests, either by a private testing laboratory or the manufacturer, indicating compliance with the above requirements.

In Section 75-1.03 in the 22nd paragraph, replace the table with:

Installation Torque Values, (newton meters)

Stud Diameter (millimeters)	Shell Type Mechanical Expansion Anchors	Integral Stud Type Mechanical Expansion Anchors	Resin Capsule Anchors and Cast-in-Place Inserts
29.01-33.00	—	—	540
23.01-29.00	—	—	315
21.01-23.00	—	—	235
18.01-21.00	110	235	200
15.01-18.00	45	120	100
12.01-15.00	30	65	40
9.01-12.00	15	35	24
6.00-9.00	5	10	—

In Section 75-1.03, replace the 24th paragraph with:

Sealing compound, for caulking and adhesive sealing, shall be a polysulfide or polyurethane material conforming to the requirements in ASTM Designation: C 920, Type S, Grade NS, Class 25, Use O.

In Section 75-1.035 replace the 3rd paragraph with:

Cables shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer.

In Section 75-1.035 replace the 12th paragraph with:

Concrete for filling cable drum units shall conform to the provisions in Section 90-10, "Minor Concrete," or at the option of the Contractor, may be a mix with 9.5 mm maximum size aggregate and not less than 400 kilograms of cementitious material per cubic meter.

In Section 75-1.05 replace the 2nd paragraph with:

At the option of the Contractor, material thinner than 3.2 mm shall be galvanized either before fabrication in conformance with the requirements of ASTM Designation: A 653/A 653M, Coating Designation Z600, or after fabrication in conformance with the requirements of ASTM Designation: A 123, except that the weight of zinc coating shall average not less than 365 g per square meter of actual surface area with no individual specimen having a coating weight of less than 305 g per square meter.

^^

SECTION 80 FENCES
(Issued 01-05-07)

In Section 80-3.01B(2) replace the 2nd paragraph with:

Posts and braces to be treated shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A or B.

In Section 80-3.01F replace the 4th paragraph with:

Portland cement concrete for metal post and brace footings and for deadmen shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

In Section 80-4.01C replace the 4th paragraph with:

Portland cement concrete for metal post and for deadmen shall be produced from minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 275 kg of cementitious material per cubic meter.

^^

SECTION 81: MONUMENTS
(Issued 06-30-06)

In Section 81-1.02 replace the 5th paragraph with:

At the option of the Contractor, the frame and cover for Type B and Type D survey monuments shall be fabricated from either cast steel or gray cast iron. The covers shall fit into the frames without rocking.

In Section 81-1.02 replace the 7th paragraph with:

Granular material for Type B and Type D survey monuments shall be gravel, crushed gravel, crushed rock or any combination thereof. Granular material shall not exceed 37.5 mm in greatest dimension.

^^

SECTION 82: MARKERS AND DELINEATORS
(Issued 06-30-06)

In Section 82-1.02B replace the 1st paragraph with:

Steel for metal posts shall conform to the requirements in ASTM Designation: A 36/A 36M. The posts shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing."

In Section 82-1.02D replace the 3rd paragraph with:

The zinc-coated steel sheet shall conform to the requirements in ASTM Designation: A 653/A 653M, Classification: Commercial Steel (CS Types A, B and C). The steel sheets shall be galvanized in conformance with the requirements in Section 75-1.05, "Galvanizing." The zinc-coated surface shall be prepared for painting in a manner designed to produce optimum paint adherence. The surface preparation shall be accomplished without damaging or removing the zinc coating. Any evidence of damage or removal of the zinc coating shall be cause for rejection of the entire lot.

In Section 82-1.02D replace the 11th paragraph with:

When tested in conformance with the requirements in California Test 671, the painted metal target plates shall, in general, have satisfactory resistance to weathering, humidity, salt spray and chemicals; the enamel coating shall have satisfactory adherence and impact resistance, a pencil lead hardness of HB minimum, 60° specular gloss of 80 percent minimum, an excitation purity of 3 percent maximum as received and after 1000 hours in an artificial weathering device in conformance with the requirements in ASTM Designation: G 155, Table X3.1, Cycle 1, and a daylight luminous directional reflectance ("Y" value) of 70 minimum.

In Section 82-1.02F replace the 2nd paragraph with:

Reflectors for flexible target plates on Type K object markers and target plates on Class 2 delineators, and reflectors for Class 1 delineators shall be made from impact resistant retroreflective sheeting as specified in the special provisions. The color of the retroreflective sheeting shall conform to the color designated on the plans and the Chromaticity Coordinates specified in ASTM Designation: D 4956, or the PR color number specified by the Federal Highway Administration's Color Tolerance Chart.

In Section 82-1.02F replace the 4th paragraph with:

The instrumental method of determining color shall conform to the requirements specified in ASTM Designation: D 4956. In the event of any dispute concerning the test results of instrumental testing, the visual test shall prevail.

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SECTION 83: RAILINGS AND BARRIERS
(Issued 08-17-07)

In Section 83-1.02 replace the 7th paragraph with:

Mortar shall conform to the provisions in Section 51-1.135, "Mortar," and shall consist of one part by volume of cementitious material and 3 parts of clean sand.

In Section 83-1.02B replace the 1st paragraph with:

The rail elements, backup plates, terminal sections, end and return caps, bolts, nuts and other fittings shall conform to the requirements in AASHTO Designation: M 180, except as modified in this Section 83-1.02B and as specified in Section 83-1.02. The rail elements, backup plates, terminal sections, end and return caps shall conform to Class A, Type 1 W-Beam guard railing as shown in AASHTO Designation: M 180. The edges and center of the rail element shall contact each post block. Rail element joints shall be lapped not less than 316 mm and bolted. The rail metal, in addition to conforming to the requirements in AASHTO Designation: M 180, shall withstand a cold bend, without cracking, of 180 degrees around a mandrel of a diameter equal to 2.5 times the thickness of the plate.

In Section 83-1.02B replace the 9th paragraph with:

The grades and species of wood posts and blocks shall be No. 1 timbers (also known as No. 1 structural) Douglas fir or No. 1 timbers Southern yellow pine. Wood posts and blocks shall be graded in conformance with the provisions in Section 57-2, "Structural Timber," of the Standard Specifications, except allowances for shrinkage after mill cutting shall in no case exceed 5 percent of the American Lumber Standards minimum sizes, at the time of installation.

In Section 83-1.02B replace the 11th paragraph with:

After fabrication, wood posts and blocks shall be pressure treated in conformance with Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4A, Commodity Specification A.

In Section 83-1.02B replace the 12th paragraph with:

If copper naphthenate, ammoniacal copper arsenate, chromated copper arsenate, ammoniacal copper zinc arsenate, ammoniacal copper quat or copper azole is used to treat the wood posts and blocks, the bolt holes shall be treated as follows:

A. Before the bolts are inserted, bolt holes shall be filled with a grease, recommended by the manufacturer for corrosion protection, which will not melt or run at a temperature of 65°C.

In Section 83-1.02B replace the 24th paragraph with:

End anchor assemblies and rail tensioning assemblies for metal beam guard railing shall be constructed as shown on the plans and shall conform to the following provisions:

1. An end anchor assembly (Type SFT) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a wood post, a steel foundation tube, a steel soil plate and hardware.
2. An end anchor assembly (Type CA) for metal beam guard railing shall consist of an anchor cable, an anchor plate, a single anchor rod or double anchor rods, hardware and one concrete anchor.
3. A rail tensioning assembly for metal beam guard railing shall consist of an anchor cable, an anchor plate, and hardware.
4. The anchor plate, metal plates, steel foundation tubes and steel soil plate shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M.
5. The anchor rods shall be fabricated of steel conforming to the requirements in ASTM Designation: A 36/A 36M, A 441 or A 572, or ASTM Designation: A 576, Grades 1018, 1019, 1021 or 1026. The eyes shall be hot forged or formed with full penetration welds. After fabrication, anchor rods with eyes that have been formed with any part of the eye below 870°C during the forming operation or with eyes that have been closed by welding shall be thermally stress relieved prior to galvanizing. The completed anchor rod, after galvanizing, shall develop a strength of 220 kN.
6. In lieu of built-up fabrication of anchor plates as shown on the plans, anchor plates may be press-formed from steel plate, with or without welded seams.
7. All bolts and nuts shall conform to the requirements in ASTM Designation: A 307, unless otherwise specified in the special provisions or shown on the plans.
8. Anchor cable shall be 19 mm preformed, 6 x 19, wire strand core or independent wire rope core (IWRC), galvanized in conformance with the requirements in Federal Specification RR-W-410, right regular lay, manufactured of improved plow steel with a minimum breaking strength of 200 kN. Two certified copies of mill test reports of each manufactured length of cable used shall be furnished to the Engineer. The overall length of each cable anchor assembly shall be as shown on the plans, but shall be a minimum of 2 m.
9. Where shown on the plans, cable clips and a cable thimble shall be used to attach cable to the anchor rod. Thimbles shall be commercial quality, galvanized steel. Cable clips shall be commercial quality drop forged galvanized steel.
10. The swaged fitting shall be machined from hot-rolled bars of steel conforming to AISI Designation: C 1035, and shall be annealed suitable for cold swaging. The swaged fitting shall be galvanized before swaging. A lock pin hole to accommodate a 6 mm, plated, spring steel pin shall be drilled through the head of the swage fitting to retain the stud in proper position. The manufacturer's identifying mark shall be stamped on the body of the swage fitting.
11. The 25 mm nominal diameter stud shall conform to the requirements in ASTM Designation: A 449 after galvanizing. Prior to galvanizing, a 10 mm slot for the locking pin shall be milled in the stud end.
12. The swaged fittings, stud and nut assembly shall develop the specified breaking strength of the cable.
13. The cable assemblies shall be shipped as a complete unit including stud and nut.
14. Clevises shall be drop forged galvanized steel and shall develop the specified breaking strength of the cable.
15. One sample of cable properly fitted with swaged fitting and right hand thread stud at both ends as specified above, including a clevis when shown on the plans, one meter in total length, shall be furnished the Engineer for testing.
16. The portion of the anchor rod to be buried in earth shall be coated with a minimum 0.5 mm thickness of coal tar enamel conforming to AWWA Standard: C203 or a coal tar epoxy conforming to the requirements in Steel Structures Painting Council Paint Specification No. 16, Coal-Tar Epoxy-Polymide Black Paint or Corps of Engineers Specification, Formula C-200a, Coal-Tar Epoxy Paint.
17. Metal components of the anchor assembly shall be fabricated in conformance with good shop practice and shall be hot-dip galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."
18. Anchor cables shall be tightened after the concrete anchor has cured for at least 5 days.

19. Concrete used to construct anchors for end anchor assemblies shall be Class 3 or minor concrete conforming to the provisions in Section 90, "Portland Cement Concrete."
20. Concrete shall be placed against undisturbed material of the excavated holes for end anchors. The top 300 mm of holes shall be formed, if required by the Engineer.
21. Reinforcing steel in concrete anchors for end anchor assemblies shall conform to the provisions in Section 52, "Reinforcement."

In Section 83-1.02D replace the 2nd paragraph with:

Structural shapes, tubing, plates, bars, bolts, nuts, and washers shall be structural steel conforming to the provisions in Section 55-2, "Materials." Other fittings shall be commercial quality.

In Section 83-1.02E replace the 2nd paragraph with:

Pipe for posts and braces shall be standard steel pipe or pipe that conforms to the provisions in Section 80-4.01A, "Posts and Braces."

In Section 83-1.02E, delete the 3rd paragraph

In Section 83-1.02E in the 7th paragraph, replace the 2nd sentence with:

Cable shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

In Section 83-1.02I replace the 5th paragraph with:

Where shown on the plans, cables used in the frame shall be 8 mm in diameter, wire rope, with a minimum breaking strength of 22 kN and shall be galvanized in conformance with the requirements in Federal Specification RR-W-410.

In Section 83-1.02I replace the 14th paragraph with:

Chain link fabric shall be either 11-gage Type I zinc-coated fabric conforming to the requirements in AASHTO M 181 or 11-gage Type IV polyvinyl chloride (PVC) coated fabric conforming to the requirements in Federal Specification RR-F-191/1.

In Section 83-1.03 replace the 2nd paragraph with:

Except for metal beam guard railing within the pay limits of a terminal system end treatment or transition railing (Type WB), metal beam guard railing will be measured by the meter along the face of the rail element from end post to end post of the completed railing at each installation. The point of measurement at each end post will be the center of the bolt attaching the rail element to the end post.

In Section 83-1.03 replace the 7th and 8th paragraphs with:

The quantities of end anchor assemblies (Type SFT or Type CA) and rail tensioning assemblies will be measured as units determined from actual count. An end anchor assembly (Type CA) with 2 cables attached to one concrete anchor will be counted as one terminal anchor assembly (Type CA) for measurement and payment.

The quantities of return and end caps and the various types of terminal sections for metal beam guard railing will be determined as units from actual count.

In Section 83-1.04 replace the 3rd and 4th paragraphs with:

The contract unit prices paid for end anchor assembly (Type SFT), end anchor assembly (Type CA), and rail tensioning assembly shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in constructing the end anchor assemblies, complete in place, including drilling anchor plate bolt holes in rail elements, driving steel foundation tubes, excavating for concrete anchor holes and disposing of surplus material, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

The contract unit prices paid for return caps, end caps, and the various types of terminal sections for metal beam guard railing shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all the work involved in installing terminal sections, return and end caps, complete in place, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 83-2.02B replace the 2nd paragraph with:

Rail elements, backup plates, terminal connectors, terminal sections, and return caps shall conform to Class A, Type 1 thrie beam guard railing as shown in AASHTO Designation: M 180.

In Section 83-2.02B replace the 14th paragraph with:

All metal work shall be fabricated in the shop, and no punching, cutting or welding will be permitted in the field. Rail elements shall be lapped so that the exposed ends will not face approaching traffic. Terminal sections and return caps shall be installed in conformance with the manufacturer's recommendation.

In Section 83-2.02D(2) replace the 1st paragraph with:

Type 50 and 60 series concrete barriers shall be constructed of minor concrete conforming to the provisions in Section 90-10, "Minor Concrete," except as follows:

a. The maximum size of aggregate used for extruded or slip-formed concrete barriers shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5-mm or smaller than 9.5-mm.
b. If the 9.5 mm maximum size aggregate grading is used to construct extruded or slip-formed concrete barriers, the cementitious material content of the minor concrete shall be not less than 400 kilograms per cubic meter.

In Section 83-2.02D(2) replace the 3rd paragraph with:

The concrete paving between the tops of the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) and the optional concrete slab at the base between the 2 walls of concrete barrier (Types 50E, 60E, 60GE, and 60SE) shall be constructed of minor concrete conforming to the provisions of Section 90-10, "Minor Concrete," except that the minor concrete shall contain not less than 300 kilograms of cementitious material per cubic meter.

In Section 83-2.03 replace the 1st and 2nd paragraphs with:

Except for single thrie beam barrier within the pay limits of transition railing (Type STB), single thrie beam barrier will be measured by the meter from end post to end post along the face of the rail element of the installed barrier. Single thrie beam barriers constructed on each side of piers under structures or other obstructions will be measured for payment along each line of the installed barrier.

Except for double thrie beam barrier within the pay limits of transition railing (Type DTB), double thrie beam barrier will be measured by the meter from end post to end post along the center line of the installed barrier.

In Section 83-2.03 replace the 5th and 6th paragraphs with:

The quantity of return caps, terminal connectors and the various types of terminal sections for single and double thrie beam barriers will be determined as units from actual count.

The quantity of end anchor assemblies will be paid for as units determined from actual count.

In Section 83-2.04 replace the 1st and 2nd paragraphs with:

The various types of thrie beam barrier, measured as specified in Section 83-2.03, "Measurement," will be paid for at the contract price per meter for single or double thrie beam barrier, whichever applies, and the contract unit price or prices for end anchor assemblies, return caps, terminal connectors and the various types of terminal sections.

The above prices and payments shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in constructing the barrier, complete in place, including drilling holes for wood posts, driving posts, backfilling the space around posts, excavating and backfilling end anchor assembly holes, connecting thrie beam barrier to concrete surfaces and disposing of surplus excavated material, and for furnishing, placing, removing and disposing of the temporary railing for closing the gap between existing barrier and the barrier being constructed as shown on the plans, and as specified in these specifications and the special provisions, and as directed by the Engineer.

In Section 83-2.04 replace the 4th paragraph with:

Steel plate barrier attached to concrete barrier at overhead sign foundations, electroliers, drainage structures, and other locations shown on the plans will be measured and paid for as the type of concrete barrier attached thereto.

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SECTION 84: TRAFFIC STRIPES AND PAVEMENT MARKINGS
(Issued 07-21-06)

In Section 84-2.02 replace the 1st paragraph with:

The thermoplastic material shall conform to State Specification PTH-02SPRAY, PTH-02HYDRO or PTH-02ALKYD. Glass beads to be applied to the surface of the molten thermoplastic material shall conform to the requirements of State Specification 8010-004 (Type II).

In Section 84-3.02 replace the 1st paragraph with:

Paint for traffic stripes and pavement markings shall conform to the following State Specifications:

Paint Type	Color	State Specification No.
Waterborne Traffic Line	White, Yellow and Black	PTWB-01
Acetone-Based	White, Yellow and Black	PT-150VOC(A)
Waterborne Traffic Line for disabled persons' parking, and other curb markings	Blue, Red and Green	Federal Specification No. TT-P-1952D

In Section 84-3.02 replace the 4th paragraph with:

The kind of paint to be used (waterborne or acetone-based) shall be determined by the Contractor based on the time of year the paint is applied and local air pollution control regulations.

In Section 84-3.05 replace the 1st paragraph with:

Traffic stripes and pavement markings shall be applied only on dry surfaces and only during periods of favorable weather. Painting shall not be performed when the atmospheric temperature is below 5°C when using acetone-based paint or below 10°C when using water borne paint; when freshly painted surfaces may become damaged by rain, fog, or condensation; nor when it can be anticipated that the atmospheric temperature will drop below the aforementioned 5°C or 10°C temperatures during the drying period.

In Section 84-3.05, delete the 3rd paragraph.

In Section 84-3.05 replace the 10th paragraph with:

Paint to be applied in 2 coats shall be applied approximately as follows:

Paint Type	Square Meter Coverage Per Liter	
	First Coat	Second Coat
Waterborne Paint	6	6
Acetone-Based Paint	10	5

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SECTION 85: PAVEMENT MARKERS
(Issued 07-31-07)

In Section 85-1.03 replace the 2nd through 5th paragraphs with:

Sampling

Twenty markers selected at random will constitute a representative sample for each lot of markers.

The lot size shall not exceed 25 000 markers.

Tolerances

Three test specimens will be randomly selected from the sample for each test and tested in conformance with these specifications. Should any one of the 3 specimens fail to conform with the requirements in these specifications, 6 additional specimens will be tested. The failure of any one of these 6 specimens shall be cause for rejection of the entire lot or shipment represented by the sample.

The entire sample of retroreflective pavement markers will be tested for reflectance. The failure of 10 percent or more of the original sampling shall be cause for rejection.

Replace Section 85-1.04 with:

85-1.04 NON-REFLECTIVE PAVEMENT MARKERS

Non-reflective pavement markers (Types A and AY) shall be, at the option of the Contractor, either ceramic or plastic conforming to these specifications.

The top surface of the marker shall be convex with a gradual change in curvature. The top, bottom and sides shall be free of objectionable marks or discoloration that will affect adhesion or appearance.

The bottom of markers shall have areas of integrally formed protrusions or indentations, which will increase the effective bonding surface area of adhesive. The bottom surface of the marker shall not deviate more than 1.5 mm from a flat surface. The areas of protrusion shall have faces parallel to the bottom of the marker and shall project approximately one mm from the bottom.

In Section 85-1.04A, delete the 2nd through 4th paragraphs.

In Section 85-1.04A replace the 5th paragraph with:

Testing

Tests shall be performed in conformance with the requirements in California Test 669.

Test	Test Description	Requirement
a	Bond strength	4.8 MPa, min.
b	Glaze thickness	180 µm, min.
c	Hardness	6 Moh, min.
d	Luminance factor, Type A, white markers only, glazed surface	75, min.
e	Yellowness index, Type A, white markers only, glazed surface	7, max.
f	Color-yellow, Type AY, yellow markers only. The chromaticity coordinates shall be within a color box defined in CTM 669	Pass
g	Compressive strength	6700 N, min.
h	Water absorption	2.0 %, max.
i	Artificial weathering, 500 hours exposure, yellowness index	20, max.

Replace Section 85-1.04B with:

85-1.04B Non-Reflective Pavement Markers (Plastic)

Plastic non-reflective pavement markers Types A and AY shall be, at the option of the Contractor, either polypropylene or acrylonitrile-butadiene-styrene (ABS) plastic type.

Plastic markers shall conform to the testing requirements specified in Section 85-1.04A, "Non-Reflective Pavement Markers (Ceramic)," except that Tests a, b, c, and h shall not apply. The plastic markers shall not be coated with substances that interfere with the ability of the adhesive bonding to the marker.

In Section 85-1.05 replace the 6th and 7th paragraphs with:

Testing

Tests shall be performed in conformance with the requirements in California Test 669.

Test Description	Requirement		
Bond strength[a]	3.4 MPa, min.		
Compressive strength[b]	8900 N, min.		
Abrasion resistance, marker must meet the respective specific intensity minimum requirements after abrasion.	Pass		
Water Soak Resistance	No delamination of the body or lens system of the marker nor loss of reflectance		
	Specific Intensity		
Reflectance	Clear	Yellow	Red
0° Incidence Angle, min.	3.0	1.5	0.75
20° Incidence Angle, min.	1.2	0.60	0.30
After one year field evaluation	0.30	0.15	0.08

a. Failure of the marker body or filler material prior to reaching 3.4 MPa shall constitute a failing bond strength test.

b. Deformation of the marker of more than 3 mm at a load of less than 8900 N or delamination of the shell and the filler material of more than 3 mm regardless of the load required to break the marker shall be cause for rejection of the markers as specified in Section 85-1.03, "Sampling, Tolerances and Packaging."

Pavement markers to be placed in pavement recesses shall conform to the above requirements for retroreflective pavement markers except that the minimum compressive strength requirement shall be 5338 N.

In Section 85-1.05 delete the 8th paragraph.

In Section 85-1.06 replace the 6th paragraph with:

Pavement markers shall not be placed on new hot mix asphalt surfacing or seal coat until the surfacing or seal coat has been opened to public traffic for a period of not less than 7 days when hot melt bituminous adhesive is used, and not less than 14 days when epoxy adhesive is used.

In Section 85-1.06 replace the 8th paragraph with:

Epoxy adhesive shall not be used to apply non-reflective plastic pavement markers.

In Section 85-1.06 in the 14th paragraph, replace the 2nd sentence with:

Cleaning shall be done by blast cleaning on all surfaces regardless of age or type, except that blast cleaning of clean, new hot mix asphalt and clean, new seal coat surfaces will not be required when hot melt bituminous adhesive is used.

In Section 85-1.06 in the 14th paragraph, replace the 7th sentence with:

Soft rags moistened with mineral spirits conforming to Army Mil-PRF-680A(1) or kerosene may be used, if necessary, to remove adhesive from exposed faces of pavement markers.

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SECTION 86: SIGNALS, LIGHTING AND ELECTRICAL SYSTEMS
(Issued 07-01-08)

In Section 86-1.01 replace the 2nd paragraph with:

The locations of signals, beacons, standards, lighting fixtures, signs, controls, services and appurtenances shown on the plans are approximate and the exact locations will be approved by the Engineer in the field.

In Section 86-1.02 delete the 2nd paragraph.

In Section 86-1.06 replace the 10th paragraph with:

These provisions will not relieve the Contractor in any manner of the Contractor's responsibilities as provided in Section 7-1.12, "Indemnification and Insurance," and Section 7-1.16, "Contractor's Responsibility for the Work and Materials."

In Section 86-2.02 in the 1st paragraph, replace the 1st sentence with:

Improvements such as sidewalks, curbs, gutters, portland cement concrete and hot mix asphalt pavement, underlying material, lawns and plants and any other improvements removed, broken or damaged by the Contractor's operations, shall be replaced or reconstructed with the same kind of material as found on the work or with materials of equal quality.

In Section 86-2.03 replace the 1st paragraph with:

Except for concrete for cast-in-drilled-hole concrete pile foundations, portland cement concrete shall conform to Section 90-10, "Minor Concrete."

In Section 86-2.03 replace the 4th paragraph with:

After each post, standard, and pedestal on structures is in proper position, mortar shall be placed under the base plate as shown on the plans. The exposed portions shall be formed to present a neat appearance. Mortar shall conform to Section 51-1.135, "Mortar," except the mortar shall consist of one part by volume of cementitious material and 3 parts of clean sand and shall contain only sufficient moisture to permit packing. Mortar shall be cured by keeping it damp for 3 days.

In Section 86-2.03 replace the 5th paragraph with:

Reinforced cast-in-drilled-hole concrete pile foundations for traffic signal and lighting standards shall conform to the provisions in Section 49, "Piling," with the following exceptions: 1) Material resulting from drilling holes shall be disposed of in conformance with the provisions in Section 86-2.01, "Excavating and Backfilling," and 2) Concrete filling for cast-in-drilled-hole concrete piles will not be considered as designated by compressive strength.

In Section 86-2.03 replace the 7th paragraph with:

Forms shall be true to line and grade. Tops of foundations for posts and standards, except special foundations, shall be finished to curb or sidewalk grade or as directed by the Engineer. Forms shall be rigid and securely braced in place. Conduit ends and anchor bolts shall be placed in proper position and to proper height, and anchor bolts shall be held in place by means of rigid top and bottom templates. The bottom template shall be made of steel. The bottom template shall provide proper spacing and alignment of the anchor bolts near their bottom embedded end. The bottom template shall be installed before placing footing concrete. Anchor bolts shall not be installed more than 1:40 from vertical.

In Section 86-2.03, delete the 8th paragraph.

In Section 86-2.03 replace the 12th paragraph with:

Plumbing of the standards shall be accomplished by adjusting the leveling nuts before placing the mortar or before the foundation is finished to final grade. Shims or other similar devices shall not be used for plumbing or raking of posts, standards, or pedestals. After final adjustments of both top nuts and leveling nuts on anchorage assemblies have been made, firm contact shall exist between all bearing surfaces of the anchor bolt nuts, washers, and the base plates.

In Section 86-2.04 replace the 1st and 2nd paragraphs with:

Bolts, nuts and washers, and anchor bolts for use in signal and lighting support structures shall conform to the provisions in Section 55-2, "Materials." Except when bearing-type connections or slipbases are specified, high-strength bolted connections shall conform to the provisions in Section 55-3.14, "Bolted Connections." Welding, nondestructive testing (NDT) of welds, and acceptance and repair criteria for NDT of steel members shall conform to the requirements of AWS D1.1 and the special provisions.

On each lighting standard except Type 1, one rectangular corrosion resistant metal identification tag shall be permanently attached above the hand hole, near the base of the standard, using stainless steel rivets. On each signal pole support, two corrosion resistant metal identification tags shall be attached, one above the hand hole near the base of the vertical standard and one on the underside of the signal mast arm near the arm plate. As a minimum, the information on each identification tag shall include the name of the manufacturer, the date of manufacture, the identification number as shown on the plans, the contract number, and a unique identification code assigned by the fabricator. This number shall be traceable to a particular contract and the welds on that component, and shall be readable after the support structure is coated and installed. The lettering shall be a minimum of 7 mm high. The information may be either depressed or raised, and shall be legible.

In Section 86-2.04 replace the 4th paragraph with:

Ferrous metal parts of standards, with shaft length of 4.6 m and longer, shall conform to the details shown on the plans, the provisions in Section 55-2, "Materials," except as otherwise noted, and the following requirements:

A. Except as otherwise specified, standards shall be fabricated from sheet steel of weldable grade having a minimum yield strength, after fabrication, of 276 MPa.
B. Certified test reports which verify conformance to the minimum yield strength requirements shall be submitted to the Engineer. The test reports may be the mill test reports for the as-received steel or, when the as-received steel has a lower yield strength than required, the Contractor shall provide supportive test data which provides assurance that the Contractor's method of cold forming will consistently increase the tensile properties of the steel to meet the specified minimum yield strength. The supportive test data shall include tensile properties of the steel after cold forming for specific heats and thicknesses.
C. When a single-ply 8-mm thick pole is specified, a 2-ply pole with equivalent section modulus may be substituted.
D. Standards may be fabricated of full-length sheets or shorter sections. Each section shall be fabricated from not more than 2 pieces of sheet steel. Where 2 pieces are used, the longitudinal welded seams shall be directly opposite one another. When the sections are butt-welded together, the longitudinal welded seams on adjacent sections shall be placed to form continuous straight seams from base to top of standard.
E. Butt-welded circumferential joints of tubular sections requiring CJP groove welds shall be made using a metal sleeve backing ring inside each joint. The sleeve shall be 3-mm nominal thickness, or thicker, and manufactured from steel having the same chemical composition as the steel in the tubular sections to be joined. When the sections to be joined have different specified minimum yield strengths, the steel in the sleeve shall have the same chemical composition as the tubular section having the higher minimum yield strength. The width of the metal sleeve shall be consistent with the type of NDT chosen and shall be a minimum width of 25 mm. The sleeve shall be centered at the joint and be in contact with the tubular section at the point of the weld at time of fit-up.
F. Welds shall be continuous.
G. The weld metal at the transverse joint shall extend to the sleeve, making the sleeve an integral part of the joint.
H. During fabrication, longitudinal seams on vertical tubular members of cantilevered support structures shall be centered on and along the side of the pole that the pole plate is located. Longitudinal seams on horizontal tubular members, including signal and luminaire arms, shall be within +/-45 degrees of the bottom of the arm.
I. The longitudinal seam welds in steel tubular sections may be made by the electric resistance welding process.
J. Longitudinal seam welds shall have 60 percent minimum penetration, except that within 150 mm of circumferential welds, longitudinal seam welds shall be CJP groove welds. In addition, longitudinal seam welds on lighting support structures having telescopic pole segment splices shall be CJP groove welds on the female end for a length on each end equal to the designated slip fit splice length plus 150 mm.

K. Exposed circumferential welds, except fillet and fatigue-resistant welds, shall be ground flush (-0, +2 mm) with the base metal prior to galvanizing or painting.

L. Circumferential welds and base plate-to-pole welds may be repaired only one time without written permission from the Engineer.

M. Exposed edges of the plates that make up the base assembly shall be finished smooth and exposed corners of the plates shall be broken unless otherwise shown on the plans. Shafts shall be provided with slip-fitter shaft caps.

N. Flatness of surfaces of 1) base plates that are to come in contact with concrete, grout, or washers and leveling nuts; 2) plates in high-strength bolted connections; 3) plates in joints where cap screws are used to secure luminaire and signal arms; and 4) plates used for breakaway slip base assemblies shall conform to the requirements in ASTM A6.

O. Standards shall be straight, with a permissive variation not to exceed 25 mm measured at the midpoint of a 9-m or 11-m standard and not to exceed 20 mm measured at the midpoint of a 5-m through 6-m standard. Variation shall not exceed 25 mm at a point 4.5 m above the base plate for Type 35 and Type 36 standards.

P. Zinc-coated nuts used on fastener assemblies having a specified preload (obtained by specifying a prescribed tension, torque value, or degree of turn) shall be provided with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the zinc coating on the nut so that the presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Q. No holes shall be made in structural members unless the holes are shown on the plans or are approved in writing by the Engineer.

R. Standards with an outside diameter of 300 mm or less shall be round. Standards with an outside diameter greater than 300 mm shall be round or multisided. Multisided standards shall have a minimum of 12 sides which shall be convex and shall have a minimum bend radius of 100 mm.

S. Mast arms for standards shall be fabricated from material as specified for standards, and shall conform to the dimensions shown on the plans.

T. The cast steel option for slip bases shall be fabricated from material conforming to the requirements in ASTM Designation: A 27/A 27M, Grade 70-40. Other comparable material may be used if written permission is given by the Engineer. The casting tolerances shall be in conformance with the Steel Founder's Society of America recommendations (green sand molding).

U. One casting from each lot of 50 castings or less shall be subject to radiographic inspection, in conformance with the requirements in ASTM Designation: E 94. The castings shall comply with the acceptance criteria severity level 3 or better for the types and categories of discontinuities in conformance with the requirements in ASTM Designations: E 186 and E 446. If the one casting fails to pass the inspection, 2 additional castings shall be radiographed. Both of these castings shall pass the inspection, or the entire lot of 50 will be rejected.

V. Material certifications, consisting of physical and chemical properties, and radiographic films of the castings shall be filed at the manufacturer's office. These certifications and films shall be available for inspection upon request.

W. High-strength bolts, nuts, and flat washers used to connect slip base plates shall conform to the requirements in ASTM Designation: A 325 or A 325M and shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing."

X. Plate washers shall be fabricated by saw cutting and drilling steel plate conforming to the requirements in AISI Designation: 1018, and be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." Prior to galvanizing, burrs and sharp edges shall be removed and holes shall be chamfered sufficiently on each side to allow the bolt head to make full contact with the washer without tension on the bolt.

Y. High-strength cap screws shown on the plans for attaching arms to standards shall conform to the requirements in ASTM Designation: A 325, A 325M, or A 449, and shall comply with the mechanical requirements in ASTM Designation: A 325 or A 325M after galvanizing. The cap screws shall be galvanized in conformance with the provisions in Section 75-1.05, "Galvanizing." The threads of the cap screws shall be coated with a colored lubricant that is clean and dry to the touch. The color of the lubricant shall be in contrast to the color of the zinc coating on the cap screw so that presence of the lubricant is visually obvious. In addition, either the lubricant shall be insoluble in water, or fastener components shall be shipped to the job site in a sealed container.

Z. Unless otherwise specified, bolted connections attaching signal or luminaire arms to poles shall be considered slip critical. Galvanized faying surfaces on plates on luminaire and signal arms and matching plate surfaces on poles shall be roughened by hand using a wire brush prior to assembly and shall conform to the requirements for Class C surface conditions for slip-critical connections in "Specification for Structural Joints Using ASTM A 325 or A 490 Bolts," a specification approved by the Research Council on Structural Connections (RCSC) of the Engineering Foundation. For faying surfaces required to be painted, the paint shall be an approved type, brand, and thickness that has been tested and approved according to the RCSC Specification as a Class B coating.

AA. Samples of fastener components will be randomly taken from each production lot by the Engineer and submitted, along with test reports required by appropriate ASTM fastener specifications, for QA testing and evaluation. Sample sizes for each fastener component shall be as determined by the Engineer.

In Section 86-2.04 replace the 7th paragraph with:

To avoid interference of arm plate-to-tube welds with cap screw heads, and to ensure cap screw heads can be turned using conventional installation tools, fabricators shall make necessary adjustments to details prior to fabrication and properly locate the position of arm tubes on arm plates during fabrication.

In Section 86-2.05C in the 18th paragraph, replace the 4th and 5th subparagraphs with:

The conduit shall be placed in the bottom of the trench, and the trench shall be backfilled with minor concrete conforming to the provisions in Section 90-10, "Minor Concrete." Minor concrete shall contain not less than 350 kilograms of cementitious material per cubic meter. Concrete backfill shall be placed to the pavement surface except, when the trench is in hot mix asphalt pavement and additional pavement is not being placed, the top 30 mm of the trench shall be backfilled with hot mix asphalt produced from commercial quality paving asphalt and aggregates.

Prior to spreading hot mix asphalt, tack coat shall be applied in conformance with the provisions in Section 39, "Hot Mix Asphalt." Spreading and compacting of hot mix asphalt shall be performed by any method which will produce a hot mix asphalt surfacing of uniform smoothness, texture and density.

In Section 86-2.05C in the 23rd paragraph, replace the 3rd subparagraph with:

Precast concrete conduit cradles shall conform to the dimensions shown on the plans and shall be constructed of minor concrete and commercial quality welded wire fabric. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," and shall contain not less than 350 kilograms of cementitious material per cubic meter. The cradles shall be moist cured for not less than 3 days.

In Section 86-2.05C in the 23rd paragraph, replace the 7th subparagraph with:

The space around conduits through bridge abutment walls shall be filled with mortar conforming to the provisions in Section 51-1.135, "Mortar," except that the proportion of cementitious material to sand shall be 1:3.

In Section 86-2.07 replace the 5th paragraph with:

Concrete placed around and under traffic pull boxes as shown on the plans shall be minor concrete conforming to the provisions in Section 90-10, "Minor Concrete."

In Section 86-2.08A in the 1st paragraph in the table, after the heading replace the 4th row with:

Traffic Signal Controller Cabinet	Ungrounded Circuit Conductor	Blk	None	CON-1	6
	Grounded Circuit Conductor	Wht	None	CON-2	6

In Section 86-2.08B replace the 2nd paragraph with:

At any point, the minimum insulation thickness of any Type USE, RHH, or RHW insulation shall be 1.0 mm for conductor sizes No. 14 to No. 10, inclusive; and 1.3 mm for No. 8 to No. 2, inclusive.

At any point, the minimum insulation thickness of any Type THW or TW wires shall be 0.7 mm for conductor sizes No. 14 to No. 10, inclusive; 1.0 mm for No. 8; and 1.4 mm for No. 6 to No. 2, inclusive.

In Section 86-2.12 replace the 6 and 7th paragraphs with:

After fabrication, wood poles shall be pressure treated in conformance with the provisions in Section 58, "Preservative Treatment of Lumber, Timber and Piling," and AWPA Use Category System: UC4B, Commodity Specification D.

Wood poles, when specified in the special provisions to be painted, shall be treated with waterborne wood preservatives.

In Section 86-2.15 replace the 1st paragraph with:

Galvanizing shall be in conformance with the provisions in Section 75-1.05, "Galvanizing," except that cabinets may be constructed of material galvanized prior to fabrication in conformance with the requirements in ASTM Designation: A 653/653M, Coating Designation G 90, in which case all cut or damaged edges shall be painted with at least 2 applications of

approved unthinned zinc-rich primer (organic vehicle type) conforming to the provisions in Section 91, "Paint." Aerosol cans shall not be used. Other types of protective coating must be approved by the Engineer prior to installation.

In Section 86-2.16, in the 13th paragraph, replace item B with:

B. Salt Spray Resistance - The undercutting of the film of the coating system shall not exceed 3 mm average, from lines scored diagonally and deep enough to expose the base metal, after 336 hours exposure in a salt spray cabinet in conformance with the requirements in ASTM Designation: B 117.

In Section 86-4.01 replace the 1st paragraph with:

Each vehicle signal face shall be of the adjustable type conforming to the requirements in Institute of Transportation Engineers (ITE) Publication: ST-017B, "Vehicle Traffic Control Signal Heads."

In Section 86-4.01A in the 1st paragraph, replace the 1st and 3rd subparagraphs with:

Lenses, reflectors, reflector assemblies, lamp receptacles, lamps, wiring and light distribution shall conform to the requirements in ITE Publication: ST-017B.

All reflectors shall conform to the requirements in ITE Publication: ST-017B except that reflectors shall be made of silvered glass or of specular aluminum with an anodic coating. Reflector ring holder shall be made of cast aluminum.

In Section 86-4.01B replace the 1st paragraph with:

Each signal section housing shall be either die-cast or permanent mold-cast aluminum conforming to ITE Publication: ST-017B or, when specified in the special provisions, shall be structural plastic.

In Section 86-4.01C replace the 1st paragraph with:

Lamp receptacles and wiring shall conform to ITE Publication: ST-017B. The metal portion of the medium base lamp socket shall be brass, copper or phosphor bronze.

In Section 86-4.01D replace the 1st paragraph with:

Each signal section shall be provided with a removable visor conforming to the requirements in ITE Publication: ST-017B. Visors are classified, on the basis of lens enclosure, as full circle, tunnel (bottom open), or cap (bottom and lower sides open). Unless otherwise specified, visors shall be the tunnel type.

In Section 86-4.02A replace the 1st paragraph with:

Light emitting diode signal modules shall be designed as retrofit replacements for optical units of standard traffic signal sections and shall not require special tools for installation. Light emitting diode signal modules shall fit into existing traffic signal section housings built in conformance with the requirements in the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" without modification to the housing.

In Section 86-4.02A replace the 7th paragraph with:

Light emitting diode signal modules shall be protected against dust and moisture intrusion in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures to protect the internal components.

In Section 86-4.02B replace the 1st paragraph with:

The minimum initial luminous intensity values for light emitting diode signal modules shall conform to the requirements in Section 11.04 of the Institute of Transportation Engineers (ITE) publication ST-017B, "Vehicle Traffic Control Signal Heads (VTCSH)" at 25°C.

In Section 86-4.02C replace the 3rd paragraph with:

The light emitting diode signal module on-board circuitry shall include voltage surge protection to withstand high-repetition noise transients as specified in Section 2.1.6 of NEMA Standard TS2.

In Section 86-4.02D(1), in the 4th paragraph, replace the 7th subparagraph with:

Moisture resistance testing shall be performed on light emitting diode signal modules in conformance with the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

In Section 86-4.05 replace the 2nd paragraph with:

Each programmed visibility signal section shall provide a nominal 300-mm diameter circular or arrow indication. Color and arrow configuration shall conform to the requirements in ITE Publication: ST-017B.

In Section 86-4.06 replace the 1st paragraph with:

Message symbols for pedestrian signal faces shall be white WALKING PERSON and Portland orange UPRAISED HAND conforming to the requirements in the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

In Section 86-4.06(A) in the 1st paragraph, replace the 3rd subparagraph with:

Each reflector assembly shall consist of a double reflector or 2 single reflectors. Each reflector shall be made of either aluminum or plastic. Reflectors shall conform to the requirements in Institute of Transportation Engineers Publication: ST-017B, "Vehicle Traffic Control Signal Heads." Plastic reflectors shall consist of molded or vacuum-formed plastic with a vacuum-deposited aluminum reflecting surface. The plastic material shall not distort when the reflector is used with the lamp of the wattage normally furnished with the signal. In addition, the UL nonmechanical loading temperature of the material shall exceed, by at least 10°C, the maximum temperature in the signal section with the lamp "ON" and measured in an ambient air temperature of 25°C in conformance with the requirements in UL Publication UL 746B. Each completed reflector shall, when operated with the appropriate lamp and lens, provide the message brightness specified.

In Section 86-4.07 replace the 10th paragraph with:

The luminance of the "UPRAISED HAND" symbol shall be 3750 cd/m^2 minimum. The color of "UPRAISED HAND" shall be Portland orange conforming to the requirements of the Institute of Transportation Engineers Standards: "Pedestrian Traffic Control Signal Indications" and "California MUTCD." The height of each symbol shall be not less than 250 mm and the width of each symbol shall be not less than 165 mm.

In Section 86-4.07C replace the 2nd paragraph with:

On-board circuitry of the light emitting diode pedestrian signal modules shall include voltage surge protection to withstand high-repetition noise transients as stated in Section 2.1.6 of NEMA Standard TS2.

In Section 86-4.07D(1) replace the 2nd paragraph with:

A quantity of 2 units for each design shall be submitted for Design Qualification Testing. Test units shall be submitted to the Transportation Laboratory, after manufacturer's testing is complete.

In Section 86-4.07D(1) in the 4th paragraph, replace the 5th and 7th subparagraphs with:

Mechanical vibration testing shall be in conformance with the requirements in Military Specification MIL-STD-883, Test Method 2007, using three 4-minute cycles along each x, y and z axis, at a force of 2.5 Gs, with a frequency sweep from 2 Hz to 120 Hz. The loosening of the lens or of internal components, or other physical damage shall be cause for rejection.

Moisture resistance testing shall be performed on modules mounted in a standard pedestrian signal housing in conformance to the requirements in NEMA Standard 250 for Type 4 enclosures. Evidence of internal moisture after testing shall be cause for rejection.

In Section 86-5.07A(5) in Section "Elastomeric Sealant" in the 1st paragraph, replace the 2nd sentence with:

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

In Section 86-5.07A(5) in Section "Asphatic Emulsion Sealant" in the 1st paragraph, replace the 1st sentence with:

Asphaltic emulsion sealant shall conform to the requirements in State Specification 8040-41A-15 and shall be used only for filling slots in hot mix asphalt pavement.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 1st paragraph, replace the 3rd sentence with:

Sealant shall be suitable for use in both hot mix asphalt and portland cement concrete.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant" in the 2nd paragraph in the table, after the heading replace rows 1 through 3 with:

Cone Penetration, 25°C, 150 g, 5 s	D 5329, Sec. 6	3.5 mm, max.
Flow, 60°C	D 5329, Sec. 8	5 mm, max.
Resilience, 25°C	D 5329, Sec. 12	25%, min.

In Section 86-5.07A(5) in Section "Hot-Melt Rubberized Asphalt Sealant", replace the 10th paragraph with:

If hot mix asphalt surfacing is to be placed, the loop conductors shall be installed prior to placing the uppermost layer of hot mix asphalt. The conductors shall be installed, as shown on the plans, in the compacted layer of hot mix asphalt immediately below the uppermost layer. Installation details shall be as shown on the plans, except the sealant shall fill the slot flush to the surface.

In Section 86-5.01D replace the 1st paragraph with:

When a foundation for a pressure-sensitive vehicle detector is to be removed, the hole left by removing the detector frame and foundation shall be filled with minor concrete, except the roadway surface shall be reconstructed with material to match existing surfacing. Minor concrete shall conform to the provisions in Section 90-10, "Minor Concrete," except that the concrete shall contain not less than 250 kilograms of cementitious material per cubic meter for hot mix asphalt surfaced roadways and not less than 350 kilograms of cementitious material per cubic meter for portland cement concrete surfaced roadways.

In Section 86-6.065 in Section "Mounting Assemblies", replace the 3rd paragraph with:

At least 4.9 m of clearance shall be provided between the bottom of the fixture and the roadway.

In Section 86-8.01 replace the 1st paragraph with:

The contract lump sum price or prices paid for signal, ramp metering, flashing beacon, lighting, sign illumination, traffic monitoring station, highway advisory radio systems, closed circuit television systems, or combinations thereof; for modifying or removing those systems; for temporary systems; or the lump sum or unit prices paid for various units of those systems; or the lump sum or per meter price paid for conduit of the various sizes, types and installation methods listed in the Engineer's Estimate shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals, and for doing all the work involved in furnishing and installing, modifying, or removing the systems, combinations or units thereof, as shown on the plans, as specified in these specifications and the special provisions, and as directed by the Engineer, including any necessary pull boxes (except when the type required is shown as a separate contract item); excavation and backfill; concrete foundations (except when shown as a separate contract item); pedestrian barricades; furnishing and installing illuminated street name signs; installing sign panels on pedestrian barricades, on flashing beacon standards, and on traffic signal mast arms; restoring sidewalk, pavement and appurtenances damaged or destroyed during construction; salvaging existing materials; and making all required tests.

In Section 86-8.01, between the 1st and 2nd paragraph add:

If a portion or all of the poles for signal, lighting and electrical systems pursuant to Standard Specification Section 86, "Signals, Lighting and Electrical Systems," is fabricated more than 480 air line kilometers from both Sacramento and Los Angeles, additional shop inspection expenses will be sustained by the State. Whereas it is and will be impracticable and extremely difficult to ascertain and determine the actual increase in such expenses, it is agreed that payment to the Contractor for furnishing such items from each fabrication site located more than 480 air line kilometers from both Sacramento and Los Angeles will be reduced $5000; in addition, in the case where a fabrication site is located more than 4800 air line kilometers from both Sacramento and Los Angeles, payment will be reduced an additional $3000 per each fabrication site ($8000 total per site).

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SECTION 88: ENGINEERING FABRIC
(Issued 01-15-02)

Replace Section 88-1.02 with:

88-1.02 Pavement Reinforcing Fabric

Pavement reinforcing fabric shall be 100 percent polypropylene staple fiber fabric material, needle-punched, thermally bonded on one side, and conform to the following:

Specification	Requirement
Weight, grams per square meter ASTM Designation: D 5261	140
Grab tensile strength (25-mm grip), kilonewtons, min. in each direction ASTM Designation: D 4632	0.45
Elongation at break, percent min. ASTM Designation: D 4632	50
Asphalt retention by fabric, grams per square meter. (Residual Minimum) ASTM Designation: D 6140	900

Note: Weight, grab, elongation and asphalt retention are based on Minimum Average Roll Value (MARV)

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SECTION 90 PORTLAND CEMENT CONCRETE
(Issued 03-16-07)

Replace Section 90 with:

SECTION 90 PORTLAND CEMENT CONCRETE
90-1 GENERAL

90-1.01 DESCRIPTION

Portland cement concrete shall be composed of cementitious material, fine aggregate, coarse aggregate, admixtures if used, and water, proportioned and mixed as specified in these specifications.

The Contractor shall determine the mix proportions for concrete in conformance with these specifications.

Class 1 concrete shall contain not less than 400 kg of cementitious material per cubic meter.

Class 2 concrete shall contain not less than 350 kg of cementitious material per cubic meter.

Class 3 concrete shall contain not less than 300 kg of cementitious material per cubic meter.

Class 4 concrete shall contain not less than 250 kg of cementitious material per cubic meter.

Minor concrete shall contain not less than 325 kg of cementitious material per cubic meter unless otherwise specified in these specifications or the special provisions.

Unless otherwise designated on the plans or specified in these specifications or the special provisions, the amount of cementitious material used per cubic meter of concrete in structures or portions of structures shall conform to the following:

Use	Cementitious Material Content (kg/m^3)
Concrete designated by compressive strength:	
Deck slabs and slab spans of bridges	400 min., 475 max.
Roof sections of exposed top box culverts	400 min., 475 max.
Other portions of structures	350 min., 475 max.
Concrete not designated by compressive strength:	
Deck slabs and slab spans of bridges	400 min.
Roof sections of exposed top box culverts	400 min.
Prestressed members	400 min.
Seal courses	400 min.
Other portions of structures	350 min.
Concrete for precast members	350 min., 550 max.

Whenever the 28-day compressive strength shown on the plans is greater than 25 MPa, the concrete shall be designated by compressive strength. If the plans show a 28-day compressive strength that is 28 MPa or greater, an additional 14 days will be allowed to obtain the specified strength. The 28-day compressive strengths shown on the plans that are 25 MPa or less are shown for design information only and are not a requirement for acceptance of the concrete.

Concrete designated by compressive strength shall be proportioned such that the concrete will attain the strength shown on the plans or specified in the special provisions.

Before using concrete for which the mix proportions have been determined by the Contractor, or in advance of revising those mix proportions, the Contractor shall submit in writing to the Engineer a copy of the mix design.

Compliance with cementitious material content requirements will be verified in conformance with procedures described in California Test 518 for cement content. For testing purposes, supplementary cementitious material shall be considered to be cement. Batch proportions shall be adjusted as necessary to produce concrete having the specified cementitious material content.

If any concrete has a cementitious material, portland cement, or supplementary cementitious material content that is less than the minimum required, the concrete shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $0.55 for each kilogram of cementitious material, portland cement, or supplementary cementitious material that is less than the minimum required. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract. The deductions will not be made unless the difference between the contents required and those actually provided exceeds the batching tolerances permitted by Section 90-5, "Proportioning." No deductions will be made based on the results of California Test 518.

The requirements of the preceding paragraph shall not apply to minor concrete or commercial quality concrete.

90-2 MATERIALS

90-2.01 CEMENTITIOUS MATERIALS

Unless otherwise specified, cementitious material shall be either a combination of Type II or Type V portland cement and a supplementary cementitious material, or a blended cement.

Cementitious materials used in cast-in-place concrete for exposed surfaces of like elements of a structure shall be from the same sources and of the same proportions.

Cementitious materials shall be protected from moisture until used. Sacked cementitious materials shall be piled to permit access for tallying, inspecting, and identifying each shipment.

Facilities shall be provided to ensure that cementitious materials meeting this Section 90-2.01 are kept separate from other cementitious materials. Sampling cementitious materials shall be in conformance with California Test 125.

The Contractor shall furnish a Certificate of Compliance for cementitious materials in conformance with the provisions in Section 6-1.07, "Certificates of Compliance." The Certificate of Compliance shall indicate the source by name and location (including country, state, and city). If cementitious material is delivered directly to the job site, the Certificate of Compliance shall be signed by the cementitious material supplier. If the cementitious material is used in ready-mixed concrete or in precast concrete products purchased as such by the Contractor, the Certificate of Compliance shall be signed by the manufacturer of the concrete or product.

90-2.01A CEMENT

Portland cement shall conform to the requirements in ASTM Designation: C 150 except, using a 10-sample moving average, limestone shall not exceed 2.5 percent. The C_3S content of Type II cement shall not exceed 65 percent.

Blended cement shall conform to the requirements for Portland Blast-Furnace Slag, Cement Type IS (MS) or Portland-Pozzolan Cement, Type IP (MS) in AASHTO Designation: M 240 and shall be comprised of an intimate and uniform blend of Type II or Type V cement and supplementary cementitious material in an amount conforming to the requirements in Section 90-2.01C, "Required Use of Supplementary Cementitious Materials."

In addition, blended cement, Type II portland cement, and Type V portland cement shall conform to the following requirements:

A. The cement shall not contain more than 0.60-percent by mass of alkalies, calculated as the percentage of Na_2O plus 0.658 times the percentage of K_2O, when determined by methods as required in AASHTO Designation: T 105;
B. The autoclave expansion shall not exceed 0.50-percent; and
C. Mortar, containing the cement to be used and Ottawa sand, when tested in conformance with California Test 527, shall not expand in water more than 0.010-percent and shall not contract in air more than 0.048-percent, except that when cement is to be used for precast prestressed concrete piling, precast prestressed concrete members, or steam cured concrete products, the mortar shall not contract in air more than 0.053-percent.

Type III portland cement shall be used only as specified in the special provisions or with the approval of the Engineer. Type III portland cement shall conform to the additional requirements listed above for Type II portland cement, except when tested in conformance with California Test 527, mortar containing Type III portland cement shall not contract in air more than 0.075-percent.

90-2.01B SUPPLEMENTARY CEMENTITIOUS MATERIALS (SCM)

Fly ash shall conform to the requirements in AASHTO Designation: M 295, Class F, and the following:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.
C. Commingling of fly ash from different sources at uncontrolled ratios is permissible only if the following criteria are satisfied:

    1. Sources of fly ash to be commingled shall be on the approved list of materials for use in concrete.
    2. Testing of the commingled product is the responsibility of the fly ash supplier.
    3. Each fly ash's running average of density shall not differ from any other by more than $0.25 g/cm^3$ at the time of commingling.
    4. Each fly ash's running average of loss on ignition shall not differ from any other by more than one percent at the time of commingling.
    5. The final product of commingled fly ash shall conform to the requirement in AASHTO Designation: M 295.

Raw or calcined natural pozzolans shall conform to the requirements in AASHTO Designation: M 295, Class N and the following requirements:

A. Calcium oxide content shall not exceed 10 percent.
B. The available alkali, as sodium oxide equivalent, shall not exceed 1.5 percent when determined in conformance with the requirements in ASTM Designation: C 311 or the total alkali, as sodium oxide equivalent, shall not exceed 5.0 percent when determined in conformance with the requirements in AASHTO Designation: T 105.

Ground Granulated Blast Furnace Slag (GGBFS) shall conform to the requirements in AASHTO Designation: M 302, Grade 100 or Grade 120.

Silica Fume shall conform to the requirements of AASHTO Designation: M 307 with reduction in mortar expansion of 80 percent, minimum, using the cement from the proposed mix design.

90-2.01C REQUIRED USE OF SUPPLEMENTARY CEMENTITIOUS MATERIALS

The amount of portland cement and SCM used in portland cement concrete shall conform to the minimum cementitious material content provisions in Section 90-1.01, "Description," or Section 90-4.05, "Optional Use of Chemical Admixtures," and the following:

A. If a blended cement conforming to the provisions in Section 90-2.01A, "Cement," is used, the minimum amount of SCM incorporated into the cement shall conform to the provisions in this Section 90-2.01C.
B. Fly ash or natural pozzolan, silica fume, or GGBFS shall not be used with Type IP or Type IS cements.

Use of SCMs shall conform to the following:

A. If fly ash or natural pozzolan is used:

1. The minimum amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
2. The minimum amount of fly ash or natural pozzolan shall be:
   a. Fifteen percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is equal to or less than 2 percent by mass;
   b. Twenty-five percent by mass of the total amount of cementitious material if the calcium oxide content of fly ash or natural pozzolan is greater than 2 percent by mass.

B. The total amount of fly ash or natural pozzolan shall not exceed 35 percent by mass of the total amount of cementitious material to be used in the mix. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and fly ash or natural pozzolan per cubic meter shall not exceed the specified maximum cementitious material content.
C. If silica fume is used:

1. The amount of silica fume shall not be less than 10 percent by mass of the total amount of cementitious material.
2. The amount of portland cement shall not be less than 75 percent by mass of the specified minimum cementitious material content.
3. If Section 90-1.01, "Description," specifies a maximum cementitious material content in kilograms per cubic meter, the total mass of portland cement and silica fume per cubic meter shall not exceed the specified maximum cementitious material content.

D. If GGBFS is used:

1. The minimum amount of GGBFS shall be either:
   a. Forty percent of the total cementitious material to be used, if the aggregates used in the concrete are on the Department's list of "Approved Aggregates For Use in Concrete with Reduced Fly Ash."
   b. No less than 50 percent.
2. The amount of GGBFS shall not exceed 60 percent by mass of the total amount of cementitious materials to be used.

90-2.02 AGGREGATES

Aggregates shall be free from deleterious coatings, clay balls, roots, bark, sticks, rags, and other extraneous material.

The Contractor shall provide safe and suitable facilities, including necessary splitting devices for obtaining samples of aggregates, in conformance with California Test 125.

Aggregates shall be of such character that it will be possible to produce workable concrete within the limits of water content provided in Section 90-6.06, "Amount of Water and Penetration."

Aggregates shall have not more than 10 percent loss when tested for soundness in conformance with the requirements in California Test 214. The soundness requirement for fine aggregate will be waived, provided that the durability index, D_f, of the fine aggregate is 60 or greater when tested for durability in conformance with California Test 229.

If the results of any one or more of the Cleanness Value, Sand Equivalent, or aggregate grading tests do not meet the requirements specified for "Operating Range" but all meet the "Contract Compliance" requirements, the placement of concrete shall be suspended at the completion of the current pour until tests or other information indicate that the next material to be used in the work will comply with the requirements specified for "Operating Range."

If the results of either or both the Cleanness Value and coarse aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place, and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented

by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

If the results of either or both the Sand Equivalent and fine aggregate grading tests do not meet the requirements specified for "Contract Compliance," the concrete that is represented by the tests shall be removed. However, if the Engineer determines that the concrete is structurally adequate, the concrete may remain in place and the Contractor shall pay to the State $4.60 per cubic meter for paving concrete and $7.20 per cubic meter for all other concrete for the concrete represented by these tests and left in place. The Department may deduct the amount from any moneys due, or that may become due, the Contractor under the contract.

The 2 preceding paragraphs apply individually to the "Contract Compliance" requirements for coarse aggregate and fine aggregate. When both coarse aggregate and fine aggregate do not conform to the "Contract Compliance" requirements, both paragraphs shall apply. The payments specified in those paragraphs are in addition to any payments made in conformance with the provisions in Section 90-1.01, "Description."

No single Cleanness Value, Sand Equivalent, or aggregate grading test shall represent more than 250 m^3 of concrete or one day's pour, whichever is smaller.

When the source of an aggregate is changed, the Contractor shall adjust the mix proportions and submit in writing to the Engineer a copy of the mix design before using the aggregates.

90-2.02A COARSE AGGREGATE

Coarse aggregate shall consist of gravel, crushed gravel, crushed rock, reclaimed aggregate, crushed air-cooled iron blast furnace slag or combinations thereof. Crushed air-cooled blast furnace slag shall not be used in reinforced or prestressed concrete.

Reclaimed aggregate is aggregate that has been recovered from plastic concrete by washing away the cementitious material. Reclaimed aggregate shall conform to all aggregate requirements.

Coarse aggregate shall conform to the following quality requirements:

Tests	California Test	Requirements
Loss in Los Angeles Rattler (after 500 revolutions)	211	45% max.
Cleanness Value		
Operating Range	227	75 min.
Contract Compliance	227	71 min.

In lieu of the above Cleanness Value requirements, a Cleanness Value "Operating Range" limit of 71, minimum, and a Cleanness Value "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the coarse aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Coarse aggregate sampled at the completion of processing at the aggregate production plant had a Cleanness Value of not less than 82 when tested in conformance with the requirements in California Test 227; and
B. Prequalification tests performed in conformance with the requirements in California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

90-2.02B FINE AGGREGATE

Fine aggregate shall consist of natural sand, manufactured sand produced from larger aggregate or a combination thereof. Manufactured sand shall be well graded.

Fine aggregate shall conform to the following quality requirements:

Test	California Test	Requirements
Organic Impurities	213	Satisfactory[a]
Mortar Strengths Relative to Ottawa Sand	515	95%, min.
Sand Equivalent:		
Operating Range	217	75, min.
Contract Compliance	217	71, min.

a Fine aggregate developing a color darker than the reference standard color solution may be accepted if it is determined by the Engineer, from mortar strength tests, that a darker color is acceptable.

In lieu of the above Sand Equivalent requirements, a Sand Equivalent "Operating Range" limit of 71, minimum, and a Sand Equivalent "Contract Compliance" limit of 68, minimum, will be used to determine the acceptability of the fine aggregate if the Contractor furnishes a Certificate of Compliance, as provided in Section 6-1.07, "Certificates of Compliance," certifying that:

A. Fine aggregate sampled at the completion of processing at the aggregate production plant had a Sand Equivalent value of not less than 82 when tested by California Test 217; and
B. Prequalification tests performed in conformance with California Test 549 indicated that the aggregate would develop a relative strength of not less than 95 percent and would have a relative shrinkage not greater than 105 percent, based on concrete.

90-2.03 WATER

In conventionally reinforced concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 1000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO_4, when tested in conformance with California Test 417. In prestressed concrete work, the water for curing, for washing aggregates, and for mixing shall be free from oil and shall not contain more than 650 parts per million of chlorides as Cl, when tested in conformance with California Test 422, nor more than 1300 parts per million of sulfates as SO_4, when tested in conformance with California Test 417. In no case shall the water contain an amount of impurities that will cause either: 1) a change in the setting time of cement of more than 25 percent when tested in conformance with the requirements in ASTM Designation: C 191 or ASTM Designation: C 266 or 2) a reduction in the compressive strength of mortar at 14 days of more than 5 percent, when tested in conformance with the requirements in ASTM Designation: C 109, when compared to the results obtained with distilled water or deionized water, tested in conformance with the requirements in ASTM Designation: C 109.

In nonreinforced concrete work, the water for curing, for washing aggregates and for mixing shall be free from oil and shall not contain more than 2000 parts per million of chlorides as Cl, when tested in conformance with California Test 422, or more than 1500 parts per million of sulfates as SO_4, when tested in conformance with California Test 417.

In addition to the above provisions, water for curing concrete shall not contain impurities in a sufficient amount to cause discoloration of the concrete or produce etching of the surface.

Water reclaimed from mixer wash-out operations may be used in mixing concrete. The water shall not contain coloring agents or more than 300 parts per million of alkalis ($Na_2O + 0.658\ K_2O$) as determined on the filtrate. The specific gravity of the water shall not exceed 1.03 and shall not vary more than ±0.010 during a day's operations.

90-2.04 ADMIXTURE MATERIALS

Admixture materials shall conform to the requirements in the following ASTM Designations:

A. Chemical Admixtures—ASTM Designation: C 494.
B. Air-entraining Admixtures—ASTM Designation: C 260.

90-3 AGGREGATE GRADINGS

90-3.01 GENERAL

Before beginning concrete work, the Contractor shall submit in writing to the Engineer the gradation of the primary aggregate nominal sizes that the Contractor proposes to furnish. If a primary coarse aggregate or the fine aggregate is separated into 2 or more sizes, the proposed gradation shall consist of the gradation for each individual size, and the proposed proportions of each individual size, combined mathematically to indicate one proposed gradation. The proposed gradation shall meet the grading requirements shown in the table in this section, and shall show the percentage passing each of the sieve sizes used in determining the end result.

The Engineer may waive, in writing, the gradation requirements in this Section 90-3.01 and in Sections 90-3.02, "Coarse Aggregate Grading," 90-3.03, "Fine Aggregate Grading," and 90-3.04, "Combined Aggregate Gradings," if, in the Engineer's opinion, furnishing the gradation is not necessary for the type or amount of concrete work to be constructed.

Gradations proposed by the Contractor shall be within the following percentage passing limits:

Primary Aggregate Nominal Size	Sieve Size	Limits of Proposed Gradation
37.5-mm x 19-mm	25-mm	19 - 41
25-mm x 4.75-mm	19-mm	52 - 85
25-mm x 4.75-mm	9.5-mm	15 - 38
12.5-mm x 4.75-mm	9.5-mm	40 - 78
9.5-mm x 2.36-mm	9.5-mm	50 - 85
Fine Aggregate	1.18-mm	55 - 75
Fine Aggregate	600-µm	34 - 46
Fine Aggregate	300-µm	16 - 29

Should the Contractor change the source of supply, the Contractor shall submit in writing to the Engineer the new gradations before their intended use.

90-3.02 COARSE AGGREGATE GRADING

The grading requirements for coarse aggregates are shown in the following table for each size of coarse aggregate:

	Percentage Passing Primary Aggregate Nominal Sizes							
	37.5-mm x 19-mm		25-mm x 4.75-mm		12.5-mm x 4.75-mm		9.5-mm x 2.36-mm	
Sieve Sizes	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance	Operating Range	Contract Compliance
50-mm	100	100	—	—	—	—	—	—
37.5-mm	88 - 100	85 - 100	100	100	—	—	—	—
25-mm	X ±18	X ±25	88 - 100	86 - 100	—	—	—	—
19-mm	0 - 17	0 - 20	X ±15	X ±22	100	100	—	—
12.5-mm	—	—	—	—	82 - 100	80 - 100	100	100
9.5-mm	0 - 7	0 - 9	X ±15	X ±22	X ±15	X ±22	X ±15	X ±20
4.75-mm	—	—	0 - 16	0 - 18	0 - 15	0 - 18	0 - 25	0 - 28
2.36-mm	—	—	0 - 6	0 - 7	0 - 6	0 - 7	0 - 6	0 - 7

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

Coarse aggregate for the 37.5-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," shall be furnished in 2 or more primary aggregate nominal sizes. Each primary aggregate nominal size may be separated into 2 sizes and stored separately, provided that the combined material conforms to the grading requirements for that particular primary aggregate nominal size.

When the 25-mm, maximum, combined aggregate grading as provided in Section 90-3.04, "Combined Aggregate Gradings," is to be used, the coarse aggregate may be separated into 2 sizes and stored separately, provided that the combined material shall conform to the grading requirements for the 25-mm x 4.75-mm primary aggregate nominal size.

90-3.03 FINE AGGREGATE GRADING

Fine aggregate shall be graded within the following limits:

Sieve Sizes	Percentage Passing	
	Operating Range	Contract Compliance
9.5-mm	100	100
4.75-mm	95 - 100	93 - 100
2.36-mm	65 - 95	61 - 99
1.18-mm	X ±10	X ±13
600-µm	X ±9	X ±12
300-µm	X ±6	X ±9
150-µm	2 - 12	1 - 15
75-µm	0 - 8	0 - 10

In the above table, the symbol X is the gradation that the Contractor proposes to furnish for the specific sieve size as provided in Section 90-3.01, "General."

In addition to the above required grading analysis, the distribution of the fine aggregate sizes shall be such that the difference between the total percentage passing the 1.18-mm sieve and the total percentage passing the 600-µm sieve shall be between 10 and 40, and the difference between the percentage passing the 600-µm and 300-µm sieves shall be between 10 and 40.

Fine aggregate may be separated into 2 or more sizes and stored separately, provided that the combined material conforms to the grading requirements specified in this Section 90-3.03.

90-3.04 COMBINED AGGREGATE GRADINGS

Combined aggregate grading limits shall be used only for the design of concrete mixes. Concrete mixes shall be designed so that aggregates are combined in proportions that shall produce a mixture within the grading limits for combined aggregates as specified herein.

The combined aggregate grading, except when otherwise specified in these specifications or the special provisions, shall be either the 37.5-mm, maximum grading, or the 25-mm, maximum grading, at the option of the Contractor.

Grading Limits of Combined Aggregates

Sieve Sizes	Percentage Passing			
	37.5-mm Max.	25-mm Max.	12.5-mm Max.	9.5-mm Max.
50-mm	100	—	—	—
37.5-mm	90 - 100	100	—	—
25-mm	50 - 86	90 - 100	—	—
19-mm	45 - 75	55 - 100	100	—
12.5-mm	—	—	90-100	100
9.5-mm	38 - 55	45 - 75	55 - 86	50 - 100
4.75-mm	30 - 45	35 - 60	45 - 63	45 - 63
2.36-mm	23 - 38	27 - 45	35 - 49	35 - 49
1.18-mm	17 - 33	20 - 35	25 - 37	25 - 37
600-µm	10 - 22	12 - 25	15 - 25	15 - 25
300-µm	4 - 10	5 - 15	5 - 15	5 - 15
150-µm	1 - 6	1 - 8	1 - 8	1 - 8
75-µm	0 - 3	0 - 4	0 - 4	0 - 4

Changes from one grading to another shall not be made during the progress of the work unless permitted by the Engineer.

90-4 ADMIXTURES

90-4.01 GENERAL

Admixtures used in portland cement concrete shall conform to and be used in conformance with the provisions in this Section 90-4 and the special provisions. Admixtures shall be used when specified or ordered by the Engineer and may be used at the Contractor's option as provided herein.

Chemical admixtures and air-entraining admixtures containing chlorides as Cl in excess of one percent by mass of admixture, as determined by California Test 415, shall not be used.

Admixtures shall be uniform in properties throughout their use in the work. Should it be found that an admixture as furnished is not uniform in properties, its use shall be discontinued.

If more than one admixture is used, the admixtures shall be compatible with each other so that the desirable effects of all admixtures used will be realized.

Chemical admixtures shall be used in conformance with the manufacturer's written recommendations.

90-4.02 MATERIALS

Admixture materials shall conform to the provisions in Section 90–2.04, "Admixture Materials."

90-4.03 ADMIXTURE APPROVAL

No admixture brand shall be used in the work unless it is on the Department's current list of approved brands for the type of admixture involved.

Admixture brands will be considered for addition to the approved list if the manufacturer of the admixture submits to the Transportation Laboratory a sample of the admixture accompanied by certified test results demonstrating that the admixture complies with the requirements in the appropriate ASTM Designation and these specifications. The sample shall be sufficient to permit performance of all required tests. Approval of admixture brands will be dependent upon a determination as to compliance with the requirements, based on the certified test results submitted, together with tests the Department may elect to perform.

If the Contractor proposes to use an admixture of a brand and type on the current list of approved admixture brands, the Contractor shall furnish a Certificate of Compliance from the manufacturer, as provided in Section 6-1.07, "Certificates of Compliance," certifying that the admixture furnished is the same as that previously approved. If a previously approved admixture is not accompanied by a Certificate of Compliance, the admixture shall not be used in the work until the Engineer has had sufficient time to make the appropriate tests and has approved the admixture for use. The Engineer may take samples for testing at any time, whether or not the admixture has been accompanied by a Certificate of Compliance.

90-4.04 REQUIRED USE OF CHEMICAL ADMIXTURES

If the use of a chemical admixture is specified, the admixture shall be used at the dosage specified, except that if no dosage is specified, the admixture shall be used at the dosage normally recommended by the manufacturer of the admixture.

90-4.05 OPTIONAL USE OF CHEMICAL ADMIXTURES

The Contractor may use Type A or F, water-reducing; Type B, retarding; or Type D or G, water-reducing and retarding admixtures as described in ASTM Designation: C 494 to conserve cementitious material or to facilitate any concrete construction application subject to the following conditions:

A. If a water-reducing admixture or a water-reducing and retarding admixture is used, the cementitious material content specified or ordered may be reduced by a maximum of 5 percent by mass, except that the resultant cementitious material content shall be not less than 300 kilograms per cubic meter; and
B. When a reduction in cementitious material content is made, the dosage of admixture used shall be the dosage used in determining approval of the admixture.

Unless otherwise specified, a Type C accelerating chemical admixture conforming to the requirements in ASTM Designation: C 494, may be used in portland cement concrete. Inclusion in the mix design submitted for approval will not be required provided that the admixture is added to counteract changing conditions that contribute to delayed setting of the portland cement concrete, and the use or change in dosage of the admixture is approved in writing by the Engineer.

90-4.06 REQUIRED USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment is specified or ordered by the Engineer, the air-entraining admixture shall be used in amounts to produce a concrete having the specified air content as determined by California Test 504.

90-4.07 OPTIONAL USE OF AIR-ENTRAINING ADMIXTURES

When air-entrainment has not been specified or ordered by the Engineer, the Contractor will be permitted to use an air-entraining admixture to facilitate the use of any construction procedure or equipment provided that the average air content, as determined by California Test 504, of 3 successive tests does not exceed 4 percent, and no single test value exceeds 5.5 percent. If the Contractor elects to use an air-entraining admixture in concrete for pavement, the Contractor shall so indicate at the time the Contractor designates the source of aggregate.

90-4.08 BLANK

90-4.09 BLANK

90-4.10 PROPORTIONING AND DISPENSING LIQUID ADMIXTURES

Chemical admixtures and air-entraining admixtures shall be dispensed in liquid form. Dispensers for liquid admixtures shall have sufficient capacity to measure at one time the prescribed quantity required for each batch of concrete. Each dispenser shall include a graduated measuring unit into which liquid admixtures are measured to within ±5 percent of the prescribed quantity for each batch. Dispensers shall be located and maintained so that the graduations can be accurately read from the point at which proportioning operations are controlled to permit a visual check of batching accuracy prior to discharge. Each measuring unit shall be clearly marked for the type and quantity of admixture.

Each liquid admixture dispensing system shall be equipped with a sampling device consisting of a valve located in a safe and readily accessible position such that a sample of the admixture may be withdrawn slowly by the Engineer.

If more than one liquid admixture is used in the concrete mix, each liquid admixture shall have a separate measuring unit and shall be dispensed by injecting equipment located in such a manner that the admixtures are not mixed at high concentrations and do not interfere with the effectiveness of each other. When air-entraining admixtures are used in conjunction with other liquid admixtures, the air-entraining admixture shall be the first to be incorporated into the mix, unless it is demonstrated that a different sequence improves performance.

When automatic proportioning devices are required for concrete pavement, dispensers for liquid admixtures shall operate automatically with the batching control equipment. The dispensers shall be equipped with an automatic warning system in good operating condition that will provide a visible or audible signal at the point at which proportioning operations are controlled when the quantity of admixture measured for each batch of concrete varies from the preselected dosage by more than 5 percent, or when the entire contents of the measuring unit are not emptied from the dispenser into each batch of concrete.

Unless liquid admixtures are added to premeasured water for the batch, their discharge into the batch shall be arranged to flow into the stream of water so that the admixtures are well dispersed throughout the batch, except that air-entraining admixtures may be dispensed directly into moist sand in the batching bins provided that adequate control of the air content of the concrete can be maintained.

Liquid admixtures requiring dosages greater than 2.5 L/m^3 shall be considered to be water when determining the total amount of free water as specified in Section 90-6.06, "Amount of Water and Penetration."

90-4.11 BLANK

90-5 PROPORTIONING

90-5.01 STORAGE OF AGGREGATES

Aggregates shall be stored or stockpiled in such a manner that separation of coarse and fine particles of each size shall be avoided and the various sizes shall not become intermixed before proportioning.

Aggregates shall be stored or stockpiled and handled in a manner that prevent contamination by foreign materials. In addition, storage of aggregates at batching or mixing facilities that are erected subsequent to the award of the contract and that furnish concrete to the project shall conform to the following:

A. Intermingling of the different sizes of aggregates shall be positively prevented. The Contractor shall take the necessary measures to prevent intermingling. The preventive measures may include, but are not necessarily limited to, physical separation of stockpiles or construction of bulkheads of adequate length and height; and
B. Contamination of aggregates by contact with the ground shall be positively prevented. The Contractor shall take the necessary measures to prevent contamination. The preventive measures shall include, but are not necessarily limited to, placing aggregates on wooden platforms or on hardened surfaces consisting of portland cement concrete, asphalt concrete, or cement treated material.

In placing aggregates in storage or in moving the aggregates from storage to the weigh hopper of the batching plant, any method that may cause segregation, degradation, or the combining of materials of different gradings that will result in any size of aggregate at the weigh hopper failing to meet the grading requirements shall be discontinued. Any method of handling aggregates that results in excessive breakage of particles shall be discontinued. The use of suitable devices to reduce impact of falling aggregates may be required by the Engineer.

90-5.02 PROPORTIONING DEVICES

Weighing, measuring, or metering devices used for proportioning materials shall conform to the requirements in Section 9-1.01, "Measurement of Quantities," and this Section 90-5.02. In addition, automatic weighing systems shall comply with the requirements for automatic proportioning devices in Section 90-5.03A, "Proportioning for Pavement."

Automatic devices shall be automatic to the extent that the only manual operation required for proportioning the aggregates, cement, and supplementary cementitious material for one batch of concrete is a single operation of a switch or starter.

Proportioning devices shall be tested as frequently as the Engineer may deem necessary to ensure their accuracy.

Weighing equipment shall be insulated against vibration or movement of other operating equipment in the plant. When the plant is in operation, the mass of each batch of material shall not vary from the mass designated by the Engineer by more than the tolerances specified herein.

Equipment for cumulative weighing of aggregate shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the aggregate. For systems with individual weigh hoppers for the various sizes of aggregate, the zero tolerance shall be ±0.5 percent of the individual batch mass designated for each size of aggregate. Equipment for cumulative weighing of cement and supplementary cementitious material shall have a zero tolerance of ±0.5 percent of the designated total batch mass of the cement and supplementary cementitious material. Equipment for weighing cement or supplementary cementitious material separately shall have a zero tolerance of ±0.5 percent of their designated individual batch masses. Equipment for measuring water shall have a zero tolerance of ±0.5 percent of its designated mass or volume.

The mass indicated for any batch of material shall not vary from the preselected scale setting by more than the following:

A. Aggregate weighed cumulatively shall be within 1.0 percent of the designated total batch mass of the aggregate. Aggregates weighed individually shall be within 1.5 percent of their respective designated batch masses; and
B. Cement shall be 99 to 102 percent of its designated batch mass. When weighed individually, supplementary cementitious material shall be 99 to 102 percent of its designated batch mass. When supplementary cementitious material and cement are permitted to be weighed cumulatively, cement shall be weighed first to 99 to 102 percent of its designated batch mass, and the total for cement and supplementary cementitious material shall be 99 to 102 percent of the sum of their designated batch masses; and
C. Water shall be within 1.5 percent of its designated mass or volume.

Each scale graduation shall be approximately 0.001 of the total capacity of the scale. The capacity of scales for weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregates shall not exceed that of commercially available scales having single graduations indicating a mass not exceeding the maximum permissible mass variation above, except that no scale shall be required having a capacity of less than 500 kg, with 0.5-kg graduations.

90-5.03 PROPORTIONING

Proportioning shall consist of dividing the aggregates into the specified sizes, each stored in a separate bin, and combining them with cementitious material and water as provided in these specifications. Aggregates shall be proportioned by mass.

At the time of batching, aggregates shall have been dried or drained sufficiently to result in a stable moisture content such that no visible separation of water from aggregate will take place during transportation from the proportioning plant to the point of mixing. In no event shall the free moisture content of the fine aggregate at the time of batching exceed 8 percent of its saturated, surface-dry mass.

Should separate supplies of aggregate material of the same size group, but of different moisture content or specific gravity or surface characteristics affecting workability, be available at the proportioning plant, withdrawals shall be made from one supply exclusively and the materials therein completely exhausted before starting upon another.

Bulk Type IP (MS) cement shall be weighed in an individual hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer.

Bulk cement and supplementary cementitious material may be weighed in separate, individual weigh hoppers or may be weighed in the same weigh hopper and shall be kept separate from the aggregates until the ingredients are released for discharge into the mixer. If the cement and supplementary cementitious material are weighed cumulatively, the cement shall be weighed first.

If cement and supplementary cementitious material are weighed in separate weigh hoppers, the weigh systems for the proportioning of the aggregate, the cement, and the supplementary cementitious material shall be individual and distinct from all other weigh systems. Each weigh system shall be equipped with a hopper, a lever system, and an indicator to constitute an individual and independent material weighing device. The cement and the supplementary cementitious material shall be discharged into the mixer simultaneously with the aggregate.

The scales and weigh hoppers for bulk weighing cement, supplementary cementitious material, or cement plus supplementary cementitious material shall be separate and distinct from the aggregate weighing equipment.

For batches of one cubic meter or more, the batching equipment shall conform to one of the following combinations:

A. Separate boxes and separate scale and indicator for weighing each size of aggregate.
B. Single box and scale indicator for all aggregates.
C. Single box or separate boxes and automatic weighing mechanism for all aggregates.

In order to check the accuracy of batch masses, the gross mass and tare mass of batch trucks, truck mixers, truck agitators, and non-agitating hauling equipment shall be determined when ordered by the Engineer. The equipment shall be weighed on scales designated by the Engineer.

90-5.03A PROPORTIONING FOR PAVEMENT

Aggregates and bulk supplementary cementitious material for use in pavement shall be proportioned by mass by means of automatic proportioning devices of approved type conforming to these specifications.

The Contractor shall install and maintain in operating condition an electronically actuated moisture meter that will indicate, on a readily visible scale, changes in the moisture content of the fine aggregate as it is batched within a sensitivity of 0.5 percent by mass of the fine aggregate.

The batching of cement, supplementary cementitious material, or cement plus supplementary cementitious material and aggregate shall be interlocked so that a new batch cannot be started until all weigh hoppers are empty, the proportioning devices are within zero tolerance, and the discharge gates are closed. The interlock shall permit no part of the batch to be discharged until all aggregate hoppers and the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper are charged with masses that are within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If interlocks are required for cement and supplementary cementitious material charging mechanisms and cement and supplementary cementitious material are weighed cumulatively, their charging mechanisms shall be interlocked to prevent the introduction of mineral admixture until the mass of cement in the cement weigh hopper is within the tolerances specified in Section 90-5.02, "Proportioning Devices."

If concrete is completely mixed in stationary paving mixers, the supplementary cementitious materials shall be weighed in a separate weigh hopper and the supplementary cementitious material and cement shall be introduced simultaneously into the mixer proportionately with the aggregate. If the Contractor provides certification that the stationary mixer is capable of mixing the cement, supplementary cementitious material, aggregates, and water uniformly before discharge, weighing the supplementary cementitious material cumulatively with the cement is permitted. Certification shall contain the following:

A. Test results for 2 compressive strength test cylinders of concrete taken within the first one-third and 2 compressive strength test cylinders of concrete taken within the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength";
B. Calculations demonstrating that the difference in the averages of 2 compressive strengths taken in the first one-third is no greater than 7.5 percent different than the averages of 2 compressive strengths taken in the last one-third of the concrete discharged from a single batch from the stationary paving mixer. Strength tests and cylinder preparation will be in conformance with the provisions of Section 90-9, "Compressive Strength;" and
C. The mixer rotation speed and time of mixing before discharge that are required to produce a mix that meets the requirements above.

The discharge gate on the cement and supplementary cementitious material hoppers or the cement plus supplementary cementitious material hopper shall be designed to permit regulating the flow of cement, supplementary cementitious material, or cement plus supplementary cementitious material into the aggregate as directed by the Engineer.

If separate weigh boxes are used for each size of aggregate, the discharge gates shall permit regulating the flow of each size of aggregate as directed by the Engineer.

Material discharged from the several bins shall be controlled by gates or by mechanical conveyors. The means of withdrawal from the several bins, and of discharge from the weigh box, shall be interlocked so that not more than one bin can discharge at a time, and so that the weigh box cannot be tripped until the required quantity from each of the several bins has been deposited therein. Should a separate weigh box be used for each size of aggregate, all may be operated and discharged simultaneously.

If the discharge from the several bins is controlled by gates, each gate shall be actuated automatically so that the required mass is discharged into the weigh box, after which the gate shall automatically close and lock.

The automatic weighing system shall be designed so that all proportions required may be set on the weighing controller at the same time.

90-6 MIXING AND TRANSPORTING

90-6.01 GENERAL

Concrete shall be mixed in mechanically operated mixers, except that when permitted by the Engineer, batches not exceeding 0.25-m^3 may be mixed by hand methods in conformance with the provisions in Section 90-6.05, "Hand-Mixing."

Equipment having components made of aluminum or magnesium alloys that would have contact with plastic concrete during mixing, transporting, or pumping of portland cement concrete shall not be used.

Concrete shall be homogeneous and thoroughly mixed, and there shall be no lumps or evidence of undispersed cementitious material.

Uniformity of concrete mixtures will be determined by differences in penetration as determined by California Test 533, or slump as determined by ASTM Designation: C 143, and by variations in the proportion of coarse aggregate as determined by California Test 529.

When the mix design specifies a penetration value, the difference in penetration, determined by comparing penetration tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed 10 mm. When the mix design specifies a slump value, the difference in slump, determined by comparing slump tests on 2 samples of mixed concrete from the same batch or truck mixer load, shall not exceed the values given in the table below. Variation in the proportion of coarse aggregate will be determined by comparing the results of tests of 2 samples of mixed concrete from the same batch or truck mixer load and the difference between the 2 results shall not exceed 100 kg per cubic meter of concrete.

Average Slump	Maximum Permissible Difference
Less than 100-mm	25-mm
100-mm to 150-mm	38-mm
Greater than 150-mm to 225-mm	50-mm

The Contractor shall furnish samples of the freshly mixed concrete and provide satisfactory facilities for obtaining the samples.

90-6.02 MACHINE MIXING

Concrete mixers may be of the revolving drum or the revolving blade type, and the mixing drum or blades shall be operated uniformly at the mixing speed recommended by the manufacturer. Mixers and agitators that have an accumulation of hard concrete or mortar shall not be used.

The temperature of mixed concrete, immediately before placing, shall be not less than 10°C or more than 32°C. Aggregates and water shall be heated or cooled as necessary to produce concrete within these temperature limits. Neither aggregates nor mixing water shall be heated to exceed 65°C. If ice is used to cool the concrete, discharge of the mixer will not be permitted until all ice is melted.

The batch shall be so charged into the mixer that some water will enter in advance of cementitious materials and aggregates. All water shall be in the drum by the end of the first one-fourth of the specified mixing time.

Cementitious materials shall be batched and charged into the mixer by means that will not result either in loss of cementitious materials due to the effect of wind, in accumulation of cementitious materials on surfaces of conveyors or hoppers, or in other conditions that reduce or vary the required quantity of cementitious material in the concrete mixture.

Paving and stationary mixers shall be operated with an automatic timing device. The timing device and discharge mechanism shall be interlocked so that during normal operation no part of the batch will be discharged until the specified mixing time has elapsed.

The total elapsed time between the intermingling of damp aggregates and all cementitious materials and the start of mixing shall not exceed 30 minutes.

The size of batch shall not exceed the manufacturer's guaranteed capacity.

When producing concrete for pavement or base, suitable batch counters shall be installed and maintained in good operating condition at job site batching plants and stationary mixers. The batch counters shall indicate the exact number of batches proportioned and mixed.

Concrete shall be mixed and delivered to the job site by means of one of the following combinations of operations:

A. Mixed completely in a stationary mixer and the mixed concrete transported to the point of delivery in truck agitators or in nonagitating hauling equipment (central-mixed concrete).
B. Mixed partially in a stationary mixer, and the mixing completed in a truck mixer (shrink-mixed concrete).
C. Mixed completely in a truck mixer (transit-mixed concrete).
D. Mixed completely in a paving mixer.

Agitators may be truck mixers operating at agitating speed or truck agitators. Each mixer and agitator shall have attached thereto in a prominent place a metal plate or plates on which is plainly marked the various uses for which the equipment is designed, the manufacturer's guaranteed capacity of the drum or container in terms of the volume of mixed concrete and the speed of rotation of the mixing drum or blades.

Truck mixers shall be equipped with electrically or mechanically actuated revolution counters by which the number of revolutions of the drum or blades may readily be verified.

When shrink-mixed concrete is furnished, concrete that has been partially mixed at a central plant shall be transferred to a truck mixer and all requirements for transit-mixed concrete shall apply. No credit in the number of revolutions at mixing speed will be allowed for partial mixing in a central plant.

90-6.03 TRANSPORTING MIXED CONCRETE

Mixed concrete may be transported to the delivery point in truck agitators or truck mixers operating at the speed designated by the manufacturer of the equipment as agitating speed, or in nonagitating hauling equipment, provided the consistency and workability of the mixed concrete upon discharge at the delivery point is suitable for adequate placement and consolidation in place, and provided the mixed concrete after hauling to the delivery point conforms to the provisions in Section 90-6.01, "General."

Truck agitators shall be loaded not to exceed the manufacturer's guaranteed capacity and shall maintain the mixed concrete in a thoroughly mixed and uniform mass during hauling.

Bodies of nonagitating hauling equipment shall be constructed so that leakage of the concrete mix, or any part thereof, will not occur at any time.

Concrete hauled in open-top vehicles shall be protected during hauling against rain or against exposure to the sun for more than 20 minutes when the ambient temperature exceeds 24°C.

No additional mixing water shall be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer. If the Engineer authorizes additional water to be incorporated into the concrete, the drum shall be revolved not less than 30 revolutions at mixing speed after the water is added and before discharge is commenced.

The rate of discharge of mixed concrete from truck mixer-agitators shall be controlled by the speed of rotation of the drum in the discharge direction with the discharge gate fully open.

If a truck mixer or agitator is used for transporting concrete to the delivery point, discharge shall be completed within 1.5 hours or before 250 revolutions of the drum or blades, whichever occurs first, after the introduction of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or if the temperature of the concrete is 30°C or above, the time allowed may be less than 1.5 hours. If an admixture is used to retard the set time, the temperature of the concrete shall not exceed 30°C, the time limit shall be 2 hours, and the revolution limitation shall be 300.

If nonagitating hauling equipment is used for transporting concrete to the delivery point, discharge shall be completed within one hour after the addition of the cement to the aggregates. Under conditions contributing to quick stiffening of the concrete, or when the temperature of the concrete is 30°C or above, the time between the introduction of cement to the aggregates and discharge shall not exceed 45 minutes.

Each load of concrete delivered at the job site shall be accompanied by a weighmaster certificate showing the mix identification number, nonrepeating load number, date and time at which the materials were batched, the total amount of water added to the load, and for transit-mixed concrete, the reading of the revolution counter at the time the truck mixer is charged with cement. This weighmaster certificate shall also show the actual scale masses (kilograms) for the ingredients batched. Theoretical or target batch masses shall not be used as a substitute for actual scale masses.

Weighmaster certificates shall be provided in printed form, or if approved by the Engineer, the data may be submitted in electronic media. Electronic media shall be presented in a tab-delimited format on a 90 mm diskette with a capacity of at least 1.4 megabytes. Captured data, for the ingredients represented by each batch shall be "line feed, carriage return" (LFCR) and "one line, separate record" with allowances for sufficient fields to satisfy the amount of data required by these specifications.

The Contractor may furnish a weighmaster certificate accompanied by a separate certificate that lists the actual batch masses or measurements for a load of concrete provided that both certificates are imprinted with the same nonrepeating load number that is unique to the contract and delivered to the job site with the load.

Weighmaster certificates furnished by the Contractor shall conform to the provisions in Section 9-1.01, "Measurement of Quantities."

90-6.04 TIME OR AMOUNT OF MIXING

Mixing of concrete in paving or stationary mixers shall continue for the required mixing time after all ingredients, except water and admixture, if added with the water, are in the mixing compartment of the mixer before any part of the batch is released. Transfer time in multiple drum mixers shall not be counted as part of the required mixing time.

The required mixing time, in paving or stationary mixers, of concrete used for concrete structures, except minor structures, shall be not less than 90 seconds or more than 5 minutes, except that when directed by the Engineer in writing, the requirements of the following paragraph shall apply.

The required mixing time, in paving or stationary mixers, except as provided in the preceding paragraph, shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at the mixing speed for transit-mixed concrete shall not be less than that recommended by the mixer manufacturer, but in no case shall the number of revolutions be less than that required to consistently produce concrete conforming to the provisions for uniformity in Section 90-6.01, "General."

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

90-6.05 HAND-MIXING

Hand-mixed concrete shall be made in batches of not more than 0.25-m^3 and shall be mixed on a watertight, level platform. The proper amount of coarse aggregate shall be measured in measuring boxes and spread on the platform and the fine aggregate shall be spread on this layer, the 2 layers being not more than 0.3-meters in total depth. On this mixture shall be spread the dry cementitious materials and the whole mass turned no fewer than 2 times dry; then sufficient clean water shall be added, evenly distributed, and the whole mass again turned no fewer than 3 times, not including placing in the carriers or forms.

90-6.06 AMOUNT OF WATER AND PENETRATION

The amount of water used in concrete mixes shall be regulated so that the penetration of the concrete as determined by California Test 533 or the slump of the concrete as determined by ASTM Designation: C 143 is within the nominal values shown in the following table. When the penetration or slump of the concrete is found to exceed the nominal values listed, the mixture of subsequent batches shall be adjusted to reduce the penetration or slump to a value within the nominal range shown. Batches of concrete with a penetration or slump exceeding the maximum values listed shall not be used in the work. If Type F or Type G chemical admixtures are added to the mix, the penetration requirements shall not apply and the slump shall not exceed 225 mm after the chemical admixtures are added.

Type of Work	Nominal		Maximum	
	Penetration (mm)	Slump (mm)	Penetration (mm)	Slump (mm)
Concrete Pavement	0 - 25	—	40	—
Non-reinforced concrete facilities	0 - 35	—	50	—
Reinforced concrete structures				
Sections over 300-mm thick	0 - 35	—	65	—
Sections 300-mm thick or less	0 - 50	—	75	—
Concrete placed under water	—	150 - 200	—	225
Cast-in-place concrete piles	65 - 90	130 - 180	100	200

The amount of free water used in concrete shall not exceed 183 kg/m^3, plus 20 kg for each required 100 kg of cementitious material in excess of 325 kg/m^3.

The term free water is defined as the total water in the mixture minus the water absorbed by the aggregates in reaching a saturated surface-dry condition.

If there are adverse or difficult conditions that affect the placing of concrete, the above specified penetration and free water content limitations may be exceeded providing the Contractor is granted permission by the Engineer in writing to increase the cementitious material content per cubic meter of concrete. The increase in water and cementitious material shall be at a ratio not to exceed 30 kg of water per added 100 kg of cementitious material per cubic meter. Full compensation for additional cementitious material and water added under these conditions shall be considered as included in the contract price paid for the concrete work involved and no additional compensation will be allowed therefor.

The equipment for supplying water to the mixer shall be constructed and arranged so that the amount of water added can be measured accurately. Any method of discharging water into the mixer for a batch shall be accurate within 1.5 percent of the quantity of water required to be added to the mix for any position of the mixer. Tanks used to measure water shall be designed so that water cannot enter while water is being discharged into the mixer and discharge into the mixer shall be made rapidly in one operation without dribbling. All equipment shall be arranged so as to permit checking the amount of water delivered by discharging into measured containers.

90-7 CURING CONCRETE

90-7.01 METHODS OF CURING

Newly placed concrete shall be cured by the methods specified in this Section 90-7.01 and the special provisions.

90-7.01A WATER METHOD

The concrete shall be kept continuously wet by the application of water for a minimum curing period of 7 days after the concrete has been placed.

Cotton mats, rugs, carpets, or earth or sand blankets may be used as a curing medium to retain the moisture during the curing period.

If a curing medium consisting of cotton mats, rugs, carpets, polyethylene sheeting, polyethylene sheeting on burlap, or earth or sand blankets is to be used to retain the moisture, the entire surface of the concrete shall be kept damp by applying water with a nozzle that so atomizes the flow that a mist and not a spray is formed, until the surface of the concrete is covered with the curing medium. The moisture from the nozzle shall not be applied under pressure directly upon the concrete and shall not be allowed to accumulate on the concrete in a quantity sufficient to cause a flow or wash the surface. At the expiration of the curing period, the concrete surfaces shall be cleared of all curing media.

At the option of the Contractor, a curing medium consisting of white opaque polyethylene sheeting extruded onto burlap may be used to cure concrete structures. The polyethylene sheeting shall have a minimum thickness of 100 µm, and shall be extruded onto 283.5-gram burlap.

At the option of the Contractor, a curing medium consisting of polyethylene sheeting may be used to cure concrete columns. The polyethylene sheeting shall have a minimum thickness of 250 µm achieved in a single layer of material.

If the Contractor chooses to use polyethylene sheeting or polyethylene sheeting on burlap as a curing medium, these media and any joints therein shall be secured as necessary to provide moisture retention and shall be within 75 mm of the concrete at all points along the surface being cured. When these media are used, the temperature of the concrete shall be monitored during curing. If the temperature of the concrete cannot be maintained below 60°C, use of these curing media shall be disallowed.

When concrete bridge decks and flat slabs are to be cured without the use of a curing medium, the entire surface of the bridge deck or slab shall be kept damp by the application of water with an atomizing nozzle as specified above, until the concrete has set, after which the entire surface of the concrete shall be sprinkled continuously with water for a period of not less than 7 days.

90-7.01B CURING COMPOUND METHOD

Surfaces of the concrete that are exposed to the air shall be sprayed uniformly with a curing compound.

Curing compounds to be used shall be as follows:

1. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B, except the resin type shall be poly-alpha-methylstyrene.
2. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class B.
3. Pigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 2, Class A.
4. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class B.
5. Nonpigmented curing compound conforming to the requirements in ASTM Designation: C 309, Type 1, Class A.
6. Nonpigmented curing compound with fugitive dye conforming to the requirements in ASTM Designation: C 309, Type 1-D, Class A.

The infrared scan for the dried vehicle from curing compound (1) shall match the infrared scan on file at the Transportation Laboratory.

The loss of water for each type of curing compound, when tested in conformance with the requirements in California Test 534, shall not be more than 0.15-kg/m^2 in 24 hours.

The curing compound to be used will be specified elsewhere in these specifications or in the special provisions.

If the use of curing compound is required or permitted elsewhere in these specifications or in the special provisions and no specific kind is specified, any of the curing compounds listed above may be used.

Curing compound shall be applied at a nominal rate of 3.7 m^2/L, unless otherwise specified.

At any point, the application rate shall be within ±1.2 m^2/L of the nominal rate specified, and the average application rate shall be within ±0.5 m^2/L of the nominal rate specified when tested in conformance with the requirements in California Test 535. Runs, sags, thin areas, skips, or holidays in the applied curing compound shall be evidence that the application is not satisfactory.

Curing compounds shall be applied using power operated spray equipment. The power operated spraying equipment shall be equipped with an operational pressure gage and a means of controlling the pressure. Hand spraying of small and irregular areas that are not reasonably accessible to mechanical spraying equipment, in the opinion of the Engineer, may be permitted.

The curing compound shall be applied to the concrete following the surface finishing operation, immediately before the moisture sheen disappears from the surface, but before any drying shrinkage or craze cracks begin to appear. In the event of any drying or cracking of the surface, application of water with an atomizing nozzle as specified in Section 90-7.01A, "Water Method," shall be started immediately and shall be continued until application of the compound is resumed or started; however, the compound shall not be applied over any resulting freestanding water. Should the film of compound be damaged from any cause before the expiration of 7 days after the concrete is placed in the case of structures and 72 hours in the case of pavement, the damaged portion shall be repaired immediately with additional compound.

At the time of use, compounds containing pigments shall be in a thoroughly mixed condition with the pigment uniformly dispersed throughout the vehicle. A paddle shall be used to loosen all settled pigment from the bottom of the container, and a power driven agitator shall be used to disperse the pigment uniformly throughout the vehicle.

Agitation shall not introduce air or other foreign substance into the curing compound.

The manufacturer shall include in the curing compound the necessary additives for control of sagging, pigment settling, leveling, de-emulsification, or other requisite qualities of a satisfactory working material. Pigmented curing compounds shall be manufactured so that the pigment does not settle badly, does not cake or thicken in the container, and does not become granular or curdled. Settlement of pigment shall be a thoroughly wetted, soft, mushy mass permitting the complete and easy vertical penetration of a paddle. Settled pigment shall be easily redispersed, with minimum resistance to the sideways manual motion of the paddle across the bottom of the container, to form a smooth uniform product of the proper consistency.

Curing compounds shall remain sprayable at temperatures above 4°C and shall not be diluted or altered after manufacture.

The curing compound shall be packaged in clean 1040-L totes, 210-L barrels, or 19-L pails, or shall be supplied from a suitable storage tank located at the job site. The containers shall comply with "Title 49, Code of Federal Regulations, Hazardous Materials Regulations." The 1040-L totes and the 210-L barrels shall have removable lids and airtight fasteners. The 19-L pails shall be round and have standard full open head and bail. Lids with bungholes will not be permitted. Settling or separation of solids in containers, except tanks, must be completely redispersed with low speed mixing prior to use, in conformance with these specifications and the manufacturer's recommendations. Mixing shall be accomplished either manually by use of a paddle or by use of a mixing blade driven by a drill motor, at low speed. Mixing blades shall be the type used for mixing paint. On-site storage tanks shall be kept clean and free of contaminants. Each tank shall have a permanent system designed to completely redisperse settled material without introducing air or other foreign substances.

Steel containers and lids shall be lined with a coating that will prevent destructive action by the compound or chemical agents in the air space above the compound. The coating shall not come off the container or lid as skins. Containers shall be filled in a manner that will prevent skinning. Plastic containers shall not react with the compound.

Each container shall be labeled with the manufacturer's name, kind of curing compound, batch number, volume, date of manufacture, and volatile organic compound (VOC) content. The label shall also warn that the curing compound containing pigment shall be well stirred before use. Precautions concerning the handling and the application of curing compound shall be shown on the label of the curing compound containers in conformance with the Construction Safety Orders and General Industry Safety Orders of the State.

Containers of curing compound shall be labeled to indicate that the contents fully comply with the rules and regulations concerning air pollution control in the State.

When the curing compound is shipped in tanks or tank trucks, a shipping invoice shall accompany each load. The invoice shall contain the same information as that required herein for container labels.

Curing compound will be sampled by the Engineer at the source of supply, at the job site, or at both locations.

Curing compound shall be formulated so as to maintain the specified properties for a minimum of one year. The Engineer may require additional testing before use to determine compliance with these specifications if the compound has not been used within one year or whenever the Engineer has reason to believe the compound is no longer satisfactory.

Tests will be conducted in conformance with the latest ASTM test methods and methods in use by the Transportation Laboratory.

90-7.01C WATERPROOF MEMBRANE METHOD

The exposed finished surfaces of concrete shall be sprayed with water, using a nozzle that so atomizes the flow that a mist and not a spray is formed, until the concrete has set, after which the curing membrane, shall be placed. The curing membrane shall remain in place for a period of not less than 72 hours.

Sheeting material for curing concrete shall conform to the requirements in AASHTO Designation: M 171 for white reflective materials.

The sheeting material shall be fabricated into sheets of such width as to provide a complete cover for the entire concrete surface. Joints in the sheets shall be securely cemented together in such a manner as to provide a waterproof joint. The joint seams shall have a minimum lap of 100 mm.

The sheets shall be securely weighted down by placing a bank of earth on the edges of the sheets or by other means satisfactory to the Engineer.

Should any portion of the sheets be broken or damaged before the expiration of 72 hours after being placed, the broken or damaged portions shall be immediately repaired with new sheets properly cemented into place.

Sections of membrane that have lost their waterproof qualities or have been damaged to such an extent as to render them unfit for curing the concrete shall not be used.

90-7.01D FORMS-IN-PLACE METHOD

Formed surfaces of concrete may be cured by retaining the forms in place. The forms shall remain in place for a minimum period of 7 days after the concrete has been placed, except that for members over 0.5-m in least dimension the forms shall remain in place for a minimum period of 5 days.

Joints in the forms and the joints between the end of forms and concrete shall be kept moisture tight during the curing period. Cracks in the forms and cracks between the forms and the concrete shall be resealed by methods subject to the approval of the Engineer.

90-7.02 CURING PAVEMENT

The entire exposed area of the pavement, including edges, shall be cured by the waterproof membrane method, or curing compound method using curing compound (1) or (2) as the Contractor may elect. Should the side forms be removed before the expiration of 72 hours following the start of curing, the exposed pavement edges shall also be cured. If the pavement is cured by means of the curing compound method, the sawcut and all portions of the curing compound that have been disturbed by sawing operations shall be restored by spraying with additional curing compound.

Curing shall commence as soon as the finishing process provided in Section 40-1.10, "Final Finishing," has been completed. The method selected shall conform to the provisions in Section 90-7.01, "Methods of Curing."

When the curing compound method is used, the compound shall be applied to the entire pavement surface by mechanical sprayers. Spraying equipment shall be of the fully atomizing type equipped with a tank agitator that provides for continual agitation of the curing compound during the time of application. The spray shall be adequately protected against wind, and the nozzles shall be so oriented or moved mechanically transversely as to result in the minimum specified rate of coverage being applied uniformly on exposed faces. Hand spraying of small and irregular areas, and areas inaccessible to mechanical spraying equipment, in the opinion of the Engineer, will be permitted. When the ambient air temperature is above 15°C, the Contractor shall fog the surface of the concrete with a fine spray of water as specified in Section 90-7.01A, "Water Method." The surface of the pavement shall be kept moist between the hours of 10:00 a.m. and 4:30 p.m. on the day the concrete is placed. However, the fogging done after the curing compound has been applied shall not begin until the compound has set sufficiently to prevent displacement. Fogging shall be discontinued if ordered in writing by the Engineer.

90-7.03 CURING STRUCTURES

Newly placed concrete for cast-in-place structures, other than highway bridge decks, shall be cured by the water method, the forms-in-place method, or, as permitted herein, by the curing compound method, in conformance with the provisions in Section 90-7.01, "Methods of Curing."

The curing compound method using a pigmented curing compound may be used on concrete surfaces of construction joints, surfaces that are to be buried underground, and surfaces where only ordinary surface finish is to be applied and on which a uniform color is not required and that will not be visible from a public traveled way. If the Contractor elects to use the curing compound method on the bottom slab of box girder spans, the curing compound shall be curing compound (1).

The top surface of highway bridge decks shall be cured by both the curing compound method and the water method. The curing compound shall be curing compound (1).

Concrete surfaces of minor structures, as defined in Section 51-1.02, "Minor Structures," shall be cured by the water method, the forms-in-place method or the curing compound method.

When deemed necessary by the Engineer during periods of hot weather, water shall be applied to concrete surfaces being cured by the curing compound method or by the forms-in-place method, until the Engineer determines that a cooling effect is no longer required. Application of water for this purpose will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

90-7.04 CURING PRECAST CONCRETE MEMBERS

Precast concrete members shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing." Curing shall be provided for the minimum time specified for each method or until the concrete reaches its design strength, whichever is less. Steam curing may also be used for precast members and shall conform to the following provisions:

A. After placement of the concrete, members shall be held for a minimum 4-hour presteaming period. If the ambient air temperature is below 10°C, steam shall be applied during the presteaming period to hold the air surrounding the member at a temperature between 10°C and 32°C.
B. To prevent moisture loss on exposed surfaces during the presteaming period, members shall be covered as soon as possible after casting or the exposed surfaces shall be kept wet by fog spray or wet blankets.
C. Enclosures for steam curing shall allow free circulation of steam about the member and shall be constructed to contain the live steam with a minimum moisture loss. The use of tarpaulins or similar flexible covers will be

permitted, provided they are kept in good repair and secured in such a manner as to prevent the loss of steam and moisture.

D. Steam at the jets shall be at low pressure and in a saturated condition. Steam jets shall not impinge directly on the concrete, test cylinders, or forms. During application of the steam, the temperature rise within the enclosure shall not exceed 22°C per hour. The curing temperature throughout the enclosure shall not exceed 65°C and shall be maintained at a constant level for a sufficient time necessary to develop the required transfer strength. Control cylinders shall be covered to prevent moisture loss and shall be placed in a location where temperature is representative of the average temperature of the enclosure.

E. Temperature recording devices that will provide an accurate, continuous, permanent record of the curing temperature shall be provided. A minimum of one temperature recording device per 60 m of continuous bed length will be required for checking temperature.

F. Members in pretension beds shall be detensioned immediately after the termination of steam curing while the concrete and forms are still warm, or the temperature under the enclosure shall be maintained above 15°C until the stress is transferred to the concrete.

G. Curing of precast concrete will be considered completed after termination of the steam curing cycle.

90-7.05 CURING PRECAST PRESTRESSED CONCRETE PILES

Newly placed concrete for precast prestressed concrete piles shall be cured in conformance with the provisions in Section 90-7.04, "Curing Precast Concrete Members," except that piles in a corrosive environment shall be cured as follows:

A. Piles shall be either steam cured or water cured. If water curing is used, the piles shall be kept continuously wet by the application of water in conformance with the provisions in Section 90-7.01A, "Water Method."

B. If steam curing is used, the steam curing provisions in Section 90-7.04, "Curing Precast Concrete Members," shall apply except that the piles shall be kept continuously wet for their entire length for a period of not less than 3 days, including the holding and steam curing periods.

90-7.06 CURING SLOPE PROTECTION

Concrete slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Concreted-rock slope protection shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing," with a blanket of earth kept wet for 72 hours, or by sprinkling with a fine spray of water every 2 hours during the daytime for a period of 3 days.

90-7.07 CURING MISCELLANEOUS CONCRETE WORK

Exposed surfaces of curbs shall be cured by pigmented curing compounds as specified in Section 90-7.01B, "Curing Compound Method."

Concrete sidewalks, gutter depressions, island paving, curb ramps, driveways, and other miscellaneous concrete areas shall be cured in conformance with any of the methods specified in Section 90-7.01, "Methods of Curing."

Shotcrete shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

Mortar and grout shall be cured by keeping the surface damp for 3 days.

After placing, the exposed surfaces of sign structure foundations, including pedestal portions, if constructed, shall be cured for at least 72 hours by spraying with water, by a moist earth blanket, or by any of the methods provided in Section 90-7.01, "Methods of Curing."

90-8 PROTECTING CONCRETE

90-8.01 GENERAL

In addition to the provisions in Section 7-1.16, "Contractor's Responsibility for the Work and Materials," the Contractor shall protect concrete as provided in this Section 90-8. If required by the Engineer, the Contractor shall submit a written outline of the proposed methods for protecting the concrete.

The Contractor shall protect concrete from damage from any cause, which shall include, but not be limited to: rain, heat, cold, wind, Contractor's actions, and actions of others.

Concrete shall not be placed on frozen or ice-coated ground or subgrade nor on ice-coated forms, reinforcing steel, structural steel, conduits, precast members, or construction joints.

Under rainy conditions, placing of concrete shall be stopped before the quantity of surface water is sufficient to damage surface mortar or cause a flow or wash of the concrete surface, unless the Contractor provides adequate protection against damage.

Concrete that has been frozen or damaged by other causes, as determined by the Engineer, shall be removed and replaced by the Contractor at the Contractor's expense.

90-8.02 PROTECTING CONCRETE STRUCTURES

Structure concrete and shotcrete used as structure concrete shall be maintained at a temperature of not less than 7°C for 72 hours after placing and at not less than 4°C for an additional 4 days.

90-8.03 PROTECTING CONCRETE PAVEMENT

Pavement concrete shall be maintained at a temperature of not less than 4°C for 72 hours.

Except as provided in Section 7-1.08, "Public Convenience," the Contractor shall protect concrete pavement against construction and other activities that abrade, scar, discolor, reduce texture depth, lower coefficient of friction, or otherwise damage the surface. Stockpiling, drifting, or excessive spillage of soil, gravel, petroleum products, and concrete or asphalt mixes on the surface of concrete pavement is prohibited unless otherwise specified in these specifications, the special provisions or permitted by the Engineer.

If ordered by the Engineer or shown on the plans or specified in the special provisions, pavement crossings shall be constructed for the convenience of public traffic. The material and work necessary for the construction of the crossings, and their subsequent removal and disposal, will be paid for at the contract unit prices for the items of work involved and if there are no contract items for the work involved, payment for pavement crossings will be made by extra work as provided in Section 4-1.03D, "Extra Work.". Where public traffic will be required to cross over the new pavement, Type III portland cement may be used in concrete, if permitted in writing by the Engineer. The pavement may be opened to traffic as soon as the concrete has developed a modulus of rupture of 3.8 MPa. The modulus of rupture will be determined by California Test 523.

No traffic or Contractor's equipment, except as hereinafter provided, will be permitted on the pavement before a period of 10 days has elapsed after the concrete has been placed, nor before the concrete has developed a modulus of rupture of at least 3.8 MPa. Concrete that fails to attain a modulus of rupture of 3.8 MPa within 10 days shall not be opened to traffic until directed by the Engineer.

Equipment for sawing weakened plane joints will be permitted on the pavement as specified in Section 40-1.08B, "Weakened Plane Joints."

When requested in writing by the Contractor, the tracks on one side of paving equipment will be permitted on the pavement after a modulus of rupture of 2.4 MPa has been attained, provided that:

A. Unit pressure exerted on the pavement by the paver shall not exceed 135 kPa;
B. Tracks with cleats, grousers, or similar protuberances shall be modified or shall travel on planks or equivalent protective material, so that the pavement is not damaged; and
C. No part of the track shall be closer than 0.3-m from the edge of pavement.

In case of visible cracking of, or other damage to the pavement, operation of the paving equipment on the pavement shall be immediately discontinued.

Damage to the pavement resulting from early use of pavement by the Contractor's equipment as provided above shall be repaired by the Contractor.

The State will furnish the molds and machines for testing the concrete for modulus of rupture, and the Contractor, at the Contractor's expense, shall furnish the material and whatever labor the Engineer may require.

90-9 COMPRESSIVE STRENGTH

90-9.01 GENERAL

Concrete compressive strength requirements consist of a minimum strength that shall be attained before various loads or stresses are applied to the concrete and, for concrete designated by strength, a minimum strength at the age of 28 days or at the age otherwise allowed in Section 90-1.01, "Description." The various strengths required are specified in these specifications or the special provisions or are shown on the plans.

The compressive strength of concrete will be determined from test cylinders that have been fabricated from concrete sampled in conformance with the requirements of California Test 539. Test cylinders will be molded and initially field cured in conformance with California Test 540. Test cylinders will be cured and tested after receipt at the testing laboratory in conformance with the requirements of California Test 521. A strength test shall consist of the average strength of 2 cylinders fabricated from material taken from a single load of concrete, except that, if any cylinder should show evidence of improper sampling, molding, or testing, that cylinder shall be discarded and the strength test shall consist of the strength of the remaining cylinder.

When concrete compressive strength is specified as a prerequisite to applying loads or stresses to a concrete structure or member, test cylinders for other than steam cured concrete will be cured in conformance with Method 1 of California

Test 540. The compressive strength of concrete determined for these purposes will be evaluated on the basis of individual tests.

When concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete strength to be used as a basis for acceptance of other than steam cured concrete will be determined from cylinders cured in conformance with Method 1 of California Test 540. If the result of a single compressive strength test at the maximum age specified or allowed is below the specified strength but is 95 percent or more of the specified strength, the Contractor shall make corrective changes, subject to approval of the Engineer, in the mix proportions or in the concrete fabrication procedures, before placing additional concrete, and shall pay to the State $14 for each in-place cubic meter of concrete represented by the deficient test. If the result of a single compressive strength test at the maximum age specified or allowed is below 95 percent of the specified strength, but is 85 percent or more of the specified strength, the Contractor shall make the corrective changes specified above, and shall pay to the State $20 for each in place cubic meter of concrete represented by the deficient test. In addition, such corrective changes shall be made when the compressive strength of concrete tested at 7 days indicates, in the judgment of the Engineer, that the concrete will not attain the required compressive strength at the maximum age specified or allowed. Concrete represented by a single test that indicates a compressive strength of less than 85 percent of the specified 28-day compressive strength will be rejected in conformance with the provisions in Section 6-1.04, "Defective Materials."

If the test result indicates that the compressive strength at the maximum curing age specified or allowed is below the specified strength, but is 85 percent or more of the specified strength, payments to the State as required above shall be made, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength of the concrete placed in the work meets or exceeds the specified 28-day compressive strength. If the test result indicates a compressive strength at the maximum curing age specified or allowed below 85 percent, the concrete represented by that test will be rejected, unless the Contractor, at the Contractor's expense, obtains and submits evidence acceptable to the Engineer that the strength and quality of the concrete placed in the work are acceptable. If the evidence consists of tests made on cores taken from the work, the cores shall be obtained and tested in conformance with the requirements in ASTM Designation: C 42.

No single compressive strength test shall represent more than 250 m^3.

If a precast concrete member is steam cured, the compressive strength of the concrete will be determined from test cylinders that have been handled and stored in conformance with Method 3 of California Test 540. The compressive strength of steam cured concrete will be evaluated on the basis of individual tests representing specific portions of production. If the concrete is designated by 28-day compressive strength rather than by cementitious material content, the concrete shall be considered to be acceptable whenever its compressive strength reaches the specified 28-day compressive strength provided that strength is reached in not more than the maximum number of days specified or allowed after the member is cast.

When concrete is specified by compressive strength, prequalification of materials, mix proportions, mixing equipment, and procedures proposed for use will be required prior to placement of the concrete. Prequalification shall be accomplished by the submission of acceptable certified test data or trial batch reports by the Contractor. Prequalification data shall be based on the use of materials, mix proportions, mixing equipment, procedures, and size of batch proposed for use in the work.

Certified test data, in order to be acceptable, shall indicate that not less than 90 percent of at least 20 consecutive tests exceed the specified strength at the maximum number of cure days specified or allowed, and none of those tests are less than 95 percent of specified strength. Strength tests included in the data shall be the most recent tests made on concrete of the proposed mix design and all shall have been made within one year of the proposed use of the concrete.

Trial batch test reports, in order to be acceptable, shall indicate that the average compressive strength of 5 consecutive concrete cylinders, taken from a single batch, at not more than 28 days (or the maximum age allowed) after molding shall be at least 4 MPa greater than the specified 28-day compressive strength, and no individual cylinder shall have a strength less than the specified strength at the maximum age specified or allowed. Data contained in the report shall be from trial batches that were produced within one year of the proposed use of specified strength concrete in the project. Whenever air-entrainment is required, the air content of trial batches shall be equal to or greater than the air content specified for the concrete without reduction due to tolerances.

Tests shall be performed in conformance with either the appropriate California Test methods or the comparable ASTM test methods. Equipment employed in testing shall be in good condition and shall be properly calibrated. If the tests are performed during the life of the contract, the Engineer shall be notified sufficiently in advance of performing the tests in order to witness the test procedures.

The certified test data and trial batch test reports shall include the following information:

A. Date of mixing.
B. Mixing equipment and procedures used.
C. The size of batch in cubic meters and the mass, type, and source of all ingredients used.
D. Penetration or slump (if the concrete will be placed under water or placed in cast-in-place concrete piles) of the concrete.

E. The air content of the concrete if an air-entraining admixture is used.
F. The age at time of testing and strength of all concrete cylinders tested.

Certified test data and trial batch test reports shall be signed by an official of the firm that performed the tests.

When approved by the Engineer, concrete from trial batches may be used in the work at locations where concrete of a lower quality is required and the concrete will be paid for as the type or class of concrete required at that location.

After materials, mix proportions, mixing equipment, and procedures for concrete have been prequalified for use, additional prequalification by testing of trial batches will be required prior to making changes that, in the judgment of the Engineer, could result in a strength of concrete below that specified.

The Contractor's attention is directed to the time required to test trial batches and the Contractor shall be responsible for production of trial batches at a sufficiently early date so that the progress of the work is not delayed.

When precast concrete members are manufactured at the plant of an established manufacturer of precast concrete members, the mix proportions of the concrete shall be determined by the Contractor, and a trial batch and prequalification of the materials, mix proportions, mixing equipment, and procedures will not be required.

90-10 MINOR CONCRETE

90-10.01 GENERAL

Concrete for minor structures, slope paving, curbs, sidewalks and other concrete work, when designated as minor concrete on the plans, in the specifications, or in the contract item, shall conform to the provisions specified herein.

The Engineer, at the Engineer's discretion, will inspect and test the facilities, materials and methods for producing the concrete to ensure that minor concrete of the quality suitable for use in the work is obtained.

90-10.02 MATERIALS

Minor concrete shall conform to the following requirements:

90-10.02A CEMENTITIOUS MATERIAL

Cementitious material shall conform to the provisions in Section 90-1.01, "Description."

90-10.02B AGGREGATE

Aggregate shall be clean and free from deleterious coatings, clay balls, roots, and other extraneous materials.

Use of crushed concrete or reclaimed aggregate is acceptable only if the aggregate satisfies all aggregate requirements.

The Contractor shall submit to the Engineer for approval, a grading of the combined aggregate proposed for use in the minor concrete. After acceptance of the grading, aggregate furnished for minor concrete shall conform to that grading, unless a change is authorized in writing by the Engineer.

The Engineer may require the Contractor to furnish periodic test reports of the aggregate grading furnished. The maximum size of aggregate used shall be at the option of the Contractor, but in no case shall the maximum size be larger than 37.5 mm or smaller than 19 mm.

The Engineer may waive, in writing, the gradation requirements in this Section 90-10.02B, if, in the Engineer's opinion, the furnishing of the gradation is not necessary for the type or amount of concrete work to be constructed.

90-10.02C WATER

Water used for washing, mixing, and curing shall be free from oil, salts, and other impurities that would discolor or etch the surface or have an adverse affect on the quality of the concrete.

90-10.02D ADMIXTURES

The use of admixtures shall conform to the provisions in Section 90-4, "Admixtures."

90-10.03 PRODUCTION

Cementitious material, water, aggregate, and admixtures shall be stored, proportioned, mixed, transported, and discharged in conformance with recognized standards of good practice that will result in concrete that is thoroughly and uniformly mixed, that is suitable for the use intended, and that conforms to requirements specified herein. Recognized standards of good practice are outlined in various industry publications such as are issued by American Concrete Institute, AASHTO, or the Department.

The cementitious material content of minor concrete shall conform to the provisions in Section 90-1.01, "Description."

The amount of water used shall result in a consistency of concrete conforming to the provisions in Section 90-6.06, "Amount of Water and Penetration." Additional mixing water shall not be incorporated into the concrete during hauling or after arrival at the delivery point, unless authorized by the Engineer.

Discharge of ready-mixed concrete from the transporting vehicle shall be made while the concrete is still plastic and before stiffening occurs. An elapsed time of 1.5 hours (one hour in nonagitating hauling equipment), or more than 250 revolutions of the drum or blades, after the introduction of the cementitious material to the aggregates, or a temperature of concrete of more than 32°C will be considered conditions contributing to the quick stiffening of concrete. The Contractor shall take whatever action is necessary to eliminate quick stiffening, except that the addition of water will not be permitted.

The required mixing time in stationary mixers shall be not less than 50 seconds or more than 5 minutes.

The minimum required revolutions at mixing speed for transit-mixed concrete shall be not less than that recommended by the mixer manufacturer, and shall be increased, if necessary, to produce thoroughly and uniformly mixed concrete.

When a high range water-reducing admixture is added to the concrete at the job site, the total number of revolutions shall not exceed 300.

Each load of ready-mixed concrete shall be accompanied by a weighmaster certificate that shall be delivered to the Engineer at the discharge location of the concrete, unless otherwise directed by the Engineer. The weighmaster certificate shall be clearly marked with the date and time of day when the load left the batching plant and, if hauled in truck mixers or agitators, the time the mixing cycle started.

A Certificate of Compliance conforming to the provisions in Section 6–1.07, "Certificates of Compliance," shall be furnished to the Engineer, prior to placing minor concrete from a source not previously used on the contract, stating that minor concrete to be furnished meets contract requirements, including minimum cementitious material content specified.

90-10.04 CURING MINOR CONCRETE

Curing minor concrete shall conform to the provisions in Section 90-7, "Curing Concrete."

90-10.05 PROTECTING MINOR CONCRETE

Protecting minor concrete shall conform to the provisions in Section 90-8, "Protecting Concrete," except the concrete shall be maintained at a temperature of not less than 4°C for 72 hours after placing.

90-10.06 MEASUREMENT AND PAYMENT

Minor concrete will be measured and paid for in conformance with the provisions specified in the various sections of these specifications covering concrete construction when minor concrete is specified in the specifications, shown on the plans, or indicated by contract item in the Engineer's Estimate.

90-11 MEASUREMENT AND PAYMENT

90-11.01 MEASUREMENT

Portland cement concrete will be measured in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

For concrete measured at the mixer, the volume in cubic meters shall be computed as the total mass of the batch in kilograms divided by the density of the concrete in kilograms per cubic meter. The total mass of the batch shall be calculated as the sum of all materials, including water, entering the batch. The density of the concrete will be determined in conformance with the requirements in California Test 518.

90-11.02 PAYMENT

Portland cement concrete will be paid for in conformance with the provisions specified in the various sections of these specifications covering construction requiring concrete.

Full compensation for furnishing and incorporating admixtures required by these specifications or the special provisions will be considered as included in the contract prices paid for the concrete involved and no additional compensation will be allowed therefor.

Should the Engineer order the Contractor to incorporate any admixtures in the concrete when their use is not required by these specifications or the special provisions, furnishing the admixtures and adding them to the concrete will be paid for as extra work as provided in Section 4-1.03D, "Extra Work."

Should the Contractor use admixtures in conformance with the provisions in Section 90-4.05, "Optional Use of Chemical Admixtures," or Section 90-4.07, "Optional Use of Air-entraining Admixtures," or should the Contractor request and obtain permission to use other admixtures for the Contractor's benefit, the Contractor shall furnish those admixtures and incorporate them into the concrete at the Contractor's expense and no additional compensation will be allowed therefor.

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SECTION 91 PAINT
(Issued 11-18-05)

Replace Section 91-3 with:

91-3 PAINTS FOR TIMBER

91-3.01 WOOD PRIMER, LATEX-BASE

Classification:

This specification covers a ready-mixed priming paint for use on unpainted wood or exterior woodwork. It shall conform with the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for exterior wood primers, and be listed on the Exterior Latex Wood Primer MPI List Number 6.

91-3.02 PAINT; LATEX-BASE FOR EXTERIOR WOOD, WHITE AND TINTS

Classification:

This specification covers a ready-mixed paint for use on wood surfaces subject to outside exposures. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products List:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

Unpainted wood shall first be primed with wood primer conforming to the provisions in Section 91-3.01, "Wood Primer, Latex-Base."

Replace Section 91-4 with:

91-4 MISCELLANEOUS PAINTS

91-4.01 THROUGH 91-4.04 (BLANK)

91-4.05 PAINT; ACRYLIC EMULSION, EXTERIOR WHITE AND LIGHT AND MEDIUM TINTS

Classification:

This specification covers an acrylic emulsion paint designed for use on exterior masonry. This paint shall conform to the requirements in the Detailed Performance Standards of the Master Painters Institute (MPI) for Paint, Latex, Exterior, and shall be listed on the following MPI Approved Products Lists:

A. Exterior Latex, Flat MPI Gloss Level 1, MPI List Number 10.
B. Exterior Latex, Semi-Gloss, MPI Gloss Level 5, MPI List Number 11.
C. Exterior Latex, Gloss, MPI Gloss Level 6, MPI List Number 119.

This paint may be tinted by using "universal" or "all purpose" concentrates.

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SECTION 92 ASPHALTS
(Issued 03-21-08)

Replace Section 92 with:

SECTION 92 ASPHALTS

92-1.01 DESCRIPTION

Asphalt is refined petroleum or a mixture of refined liquid asphalt and refined solid asphalt that are prepared from crude petroleum. Asphalt is:

1. Free from residues caused by the artificial distillation of coal, coal tar, or paraffin

2. Free from water
3. Homogeneous

92-1.02 MATERIALS

GENERAL

Furnish asphalt under the Department's "Certification Program for Suppliers of Asphalt." The Department maintains the program requirements, procedures, and a list of approved suppliers at:

http://www.dot.ca.gov/hq/esc/Translab/fpm/fpmcoc.htm

Transport, store, use, and dispose of asphalt safely.
Prevent the formation of carbonized particles caused by overheating asphalt during manufacturing or construction.

GRADES

Performance graded (PG) asphalt binder is:

Performance Graded Asphalt Binder

Property	AASHTO Test Method	Specification Grade				
		PG 58-22 [a]	PG 64-10	PG 64-16	PG 64-28	PG 70-10
Original Binder						
Flash Point, Minimum °C	T 48	230	230	230	230	230
Solubility, Minimum % [b]	T 44	99	99	99	99	99
Viscosity at 135°C, [c] Maximum, Pa·s	T 316	3.0	3.0	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	58	64	64	64	70
Minimum G*/sin(delta), kPa		1.00	1.00	1.00	1.00	1.00
RTFO Test, [e] Mass Loss, Maximum, %	T 240	1.00	1.00	1.00	1.00	1.00
RTFO Test Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	58	64	64	64	70
Minimum G*/sin(delta), kPa		2.20	2.20	2.20	2.20	2.20
Ductility at 25°C Minimum, cm	T 51	75	75	75	75	75
PAV [f] Aging, Temperature, °C	R 28	100	100	100	100	110
RTFO Test and PAV Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C	T 315	22 [d]	31 [d]	28 [d]	22 [d]	34 [d]
Maximum G*sin(delta), kPa		5000	5000	5000	5000	5000
Creep Stiffness, Test Temperature, °C	T 313	-12	0	-6	-18	0
Maximum S-value, Mpa		300	300	300	300	300
Minimum M-value		0.300	0.300	0.300	0.300	0.300

Notes:

a. Use as asphalt rubber base stock for high mountain and high desert area.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

d. Test the sample at 3°C higher if it fails at the specified test temperature. G*sin(delta) remains 5000 kPa maximum.

e. "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T 240 or ASTM Designation: D 2872. The residue from mass change determination may be used for other tests.

f. "PAV" means Pressurized Aging Vessel.

Performance graded polymer modified asphalt binder (PG Polymer Modified) is:

Performance Graded Polymer Modified Asphalt Binder [a]

Property	AASHTO Test Method	Specification Grade		
		PG 58-34 PM	PG 64-28 PM	PG 76-22 PM
Original Binder				
Flash Point, Minimum °C	T 48	230	230	230
Solubility, Minimum % [b]	T 44[c]	98.5	98.5	98.5
Viscosity at 135°C, [d] Maximum, Pa·s	T 316	 3.0	 3.0	 3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	 58 1.00	 64 1.00	 76 1.00
RTFO Test , Mass Loss, Maximum, %	T 240	 1.00	 1.00	 1.00
RTFO Test Aged Binder				
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T 315	 58 2.20	 64 2.20	 76 2.20
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum (delta), %	T 315	 Note e 80	 Note e 80	 Note e 80
Elastic Recovery[f], Test Temp., °C Minimum recovery, %	T 301	 25 75	 25 75	 25 65
PAV[g] Aging, Temperature, °C	R 28	 100	 100	 110
RTFO Test and PAV Aged Binder				
Dynamic Shear, Test Temp. at 10 rad/s, °C Maximum G*sin(delta), kPa	T 315	 16 5000	 22 5000	 31 5000
Creep Stiffness, Test Temperature, °C Maximum S-value, MPa Minimum M-value	T 313	 -24 300 0.300	 -18 300 0.300	 -12 300 0.300

Notes:

a. Do not modify PG Polymer Modified using acid modification.

b. The Engineer waives this specification if the supplier is a Quality Supplier as defined by the Department's "Certification Program for Suppliers of Asphalt."

c. The Department allows ASTM D 5546 instead of AASHTO T 44

d. The Engineer waives this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.

e. Test temperature is the temperature at which G*/sin(delta) is 2.2 kPa. A graph of log G*/sin(delta) plotted against temperature may be used to determine the test temperature when G*/sin(delta) is 2.2 kPa. A graph of (delta) versus temperature may be used to determine delta at the temperature when G*/sin(delta) is 2.2 kPa. The Engineer also accepts direct measurement of (delta) at the temperature when G*/sin(delta) is 2.2 kPa.

f. Tests without a force ductility clamp may be performed.

g. "PAV" means Pressurized Aging Vessel.

SAMPLING

Provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. Make the sampling device accessible between 600 and 750 mm above the platform. Provide a receptacle for flushing the sampling device.

Include with the sampling device a valve:

1. Between 10 and 20 mm in diameter
2. Manufactured in a manner that a one-liter sample may be taken slowly at any time during plant operations

3. Maintained in good condition

Replace failed valves.

In the Engineer's presence, take 2 one-liter samples per operating day. Provide round, friction top, one-liter containers for storing samples.

92-1.03 EXECUTION

If asphalt is applied, you must comply with the heating and application specifications for liquid asphalt in Section 93, "Liquid Asphalts."

92-1.04 MEASUREMENT

If the contract work item for asphalt is paid by mass, the Department measures asphalt tonnes by complying with the specifications for mass determination of liquid asphalt in Section 93, "Liquid Asphalts."

The Engineer determines the asphalt mass from volumetric measurements if you:

1. Use a partial asphalt load.
2. Use asphalt at a location other than a mixing plant and no scales within 35 km are available and suitable.
3. Deliver asphalt in either of the following:

   3.1. A calibrated truck with each tank accompanied by its measuring stick and calibration card.
   3.2. A truck equipped with a calibrated thermometer that determines the asphalt temperature at the delivery time and with a vehicle tank meter complying with the specifications for weighing, measuring, and metering devices in Section 9-1.01, "Measurement of Quantities."

If you furnish hot mix asphalt from a mixing plant producing material for only one project, the Engineer determines the asphalt quantity by measuring the volume in the tank at the project's start and end provided the tank is calibrated and equipped with its measuring stick and calibration card.

The Engineer determines pay quantities from volumetric measurements as follows:

1. Before converting the volume to mass, the Engineer reduces the measured volume to that which the asphalt would occupy at 15°C.
2. The Engineer uses 981 L/tonne and 1020 g/L for the average mass and volume for PG and PG Polymer Modified asphalt grades at 15°C.
3. The Engineer uses the Conversion Table in Section 93, "Liquid Asphalts."

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SECTION 93: LIQUID ASPHALTS
(Issued 11-03-06)

In Section 93-1.04 replace the 9th paragraph with:

The following Legend and Conversion Table is to be used for converting volumes of liquid asphalt products, Grades 70 to 3000, inclusive, and paving asphalt Grades PG 58-22, PG 64-10, PG 64-16, PG 64-28, and PG 70-10, and Grades PG 58-34 PM, PG 64-28 PM, and PG 76-22 PM.

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SECTION 95: EPOXY
(Issued 03-16-07)

Replace Section 95 with:

SECTION 95: EPOXY

95-1 GENERAL

95-1.01 DESCRIPTION

These specifications are intended to specify epoxy that will meet service requirements for highway construction.

Epoxy shall be furnished as 2 components, which shall be mixed together at the site of the work.

95-1.02 SAMPLING AND TESTING

Epoxy shall not be used prior to sampling and testing unless its use is permitted prior to sampling and testing in conformance with the provisions in Section 6-1.07, "Certificates of Compliance."

Tests will be conducted in conformance with the latest test methods of the American Society for Testing and Materials, and California Test Methods in use by the Transportation Laboratory.

Epoxy components shall be formulated to maintain the specified properties for a minimum of one year. The Engineer may require additional testing of any epoxy component that has not been used within one year of manufacture.

95-1.03 PACKAGING, LABELING AND STORING

Each component shall be packaged in containers of size proportional to the amount of that component in the mix so that one container of each component is used in mixing one batch of epoxy. The containers shall be of such design that all of the contents may be readily removed and shall be well sealed to prevent leakage. The containers and labeling shall meet U.S. Department of Transportation Hazardous Material Shipping Regulations, and the containers shall be of a material, or lined with a material, of such character as to resist any action by the components. Each container shall be clearly labeled with the ASTM Designation: C881 Class and Type; designation (Component A or B); manufacturer's name; date of manufacture; batch number (a batch shall consist of a single charge of all components in a mixing chamber); all directions for use (as specified elsewhere) and such warning or precautions concerning the contents as may be required by State or Federal Laws and Regulations. The manufacturer of the finished epoxy components shall furnish a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," and a copy of the label for each material. The certificate shall include a list, by Title and Section, of the State and Federal packaging and labeling laws and regulations that the manufacturer has complied with.

Attention is directed to the characteristic of some epoxy components to crystallize or thicken excessively prior to use when stored at temperatures below 2ºC. Any material which shows evidence of crystallization or a permanent increase in viscosity or settling of pigments which cannot be readily redispersed with a paddle shall not be used.

95-1.04 DIRECTIONS FOR USE

At the time of mixing, components A and B shall be at a temperature between 15°C and 30°C, unless otherwise specified. Any heating of the adhesive components shall be done by application of indirect heat. Immediately prior to mixing, each component shall be thoroughly mixed with a paddle. Separate paddles shall be used to stir each component. Immediately prior to use, the 2 components shall be thoroughly mixed together in the specified ratios. No solvent shall be added to any epoxy.

After mixing, epoxies shall be placed in the work and any overlaying or inserted material which is to be bonded to the work by the epoxy shall also be placed before thickening of the epoxy has begun. Surfaces upon which epoxy is to be placed shall be free of rust, paint, grease, asphalt, and loose and deleterious material. When epoxy is used as a binder to make epoxy concrete or mortar, the 2 components of epoxy shall be thoroughly mixed together before the aggregate is added and, unless otherwise specified, the mix proportions shall consist of one part of binder to approximately 4 parts of aggregate, by volume. Aggregate for use in epoxy concrete and mortar shall be clean and shall have a moisture content of not more than 0.50-percent when tested by California Test 226. Surfaces against which epoxy concrete and mortar are to be placed shall be primed with a coat of the epoxy used just prior to placing the concrete or mortar.

95-2 TYPES OF EPOXIES

95-2.01 BINDER (ADHESIVE), EPOXY RESIN BASE

Classification:

This specification covers a low viscosity epoxy formulated primarily for use in making high-strength epoxy concrete and epoxy mortar and in pressure grouting of cracks in concrete. For load bearing applications, use ASTM Designation: C 881, Type IV, Grade 1, Class B or C. Class B or C shall be used depending on the substrate and ambient temperatures. Use Grade B for atmospheric and surface temperatures as low as 4°C. Use Class C when temperatures are 15°C or higher. For non-load bearing applications use ASTM Designation: C881, Type I, Grade 1, Class B or C. Apply no thicker than

recommended by the manufacturer. Thick sections of this epoxy are not suitable for use in freeze thaw environments. In a freeze-thaw environment, increase the aggregate loading to improve the properties of the epoxy concrete.

Directions for Use:

Mix in conformance with the manufacturer's written recommendations. No more material shall be mixed than can be used within the pot-life from the time mixing operations are started.

95-2.02 (BLANK)

95-2.03 EPOXY RESIN ADHESIVE FOR BONDING NEW CONCRETE TO OLD CONCRETE

Classification:

This specification covers a low viscosity paste epoxy formulated primarily for use in bonding new portland cement concrete to hardened portland cement concrete. The epoxy shall meet the specification requirements of ASTM Designation: C 881, Type V, Grade 2. This epoxy is available in 2 Classes: Class C for general use at temperature greater than 15°C and Class B for use when cure temperatures are below 15°C and above 4°C, or when a faster cure is required.

Directions for Use:

The mixing ratio and use shall be in conformance with the manufacturer's written recommendations. When measuring as individual Components A and B, stir and tap the measuring containers to remove possible air voids. The ingredients in Components A and B shall be thoroughly dispersed such that each component forms a uniform paste. Do not mix more material than can be spread within the pot life from the time mixing operations are started. The spreading rate shall be sufficient to thoroughly coat the surface. Spread the mixed adhesive by brush or roller over blast-cleaned concrete at a rate recommended by the manufacturer. The new concrete shall be placed against the adhesive coating on the old concrete before the adhesive has set. If the adhesive has set and is not tacky prior to placing the new concrete, a new coating of adhesive shall be applied.

95-2.04 RAPID SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

Classification:

This specification covers a high viscosity paste, rapid set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The adhesive shall meet ASTM Designation: C 881, Type IV, Grade 3, Class B and C except that the gel time may be shorter than 30 minutes. The adhesive shall conform to these requirements and the following.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum, at 25°C	30
Bond Strength to Concrete, Time, minutes (maximum) to reach not less than 1.4 MPa	
at 25ºC ±1ºC	35
at 10ºC ±1ºC	45
Slant Shear Strength	
2 days at 25ºC ±1ºC, MPa	7
14 days at 25ºC ±1ºC, plus water soak, MPa	10.5
Tensile Adhesion and Cohesion	
Ceramic marker bottom, MPa	4.8 min.
Ceramic marker bottom, including post cure, MPa	4.8 min.
Retroreflective pavement marker bottom, MPa	3.4 min.
Color of mixed epoxy	gray
Glass transition temperature, Tg, samples conditioned at 25°C for 24 hours, ASTM Designation: D 4065	30ºC min.

Directions for Use:

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

95-2.05 STANDARD SET EPOXY ADHESIVE FOR PAVEMENT MARKERS

Classification:

This specification covers a high viscosity paste standard set epoxy formulated primarily for use in bonding pavement markers to portland cement concrete and asphalt concrete. The epoxy shall meet ASTM Designation: C 881, Type IV, Viscosity Grade 3, Classes B or C, except that the gel time may be shorter than 30 minutes.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum, at 25ºC	30
Bond Strength to Concrete, Time (maximum) to reach not less than 1.4 MPa	
at 25ºC ±1ºC	3.5 hours
at 13ºC ±1ºC	24 hours
Slant Shear Strength	
2 days at 25ºC ±1ºC, MPa	7 min.
14 days at 25ºC ±1ºC, plus water soak, MPa	10.5 min.
Tensile Adhesion and Cohesion	
Ceramic marker bottom, MPa	4.8 min.
Ceramic marker bottom, including post cure, MPa	4.8 min.
Reflective pavement marker bottom, MPa	3.4 min.
Color of Mixed Components	gray
Glass transition temperature, Tg, samples conditioned at 25ºC for 24 hours, ASTM Designation: D 4065	30ºC min.

Directions for Use:

Components A and B shall be mixed in conformance with the manufacturer's written recommendations. When an automatic proportioning and mixing machine is used, the temperature of the components shall be maintained by indirect heating or cooling, so that the adhesive will meter, mix and extrude properly. The maximum temperature shall be such that after proper mixing no excess adhesive shall flow from under the marker other than that specified in Section 85-1.06, "Placement."

95-2.06 (BLANK)

95-2.07 (BLANK)

95-2.08 (BLANK)

95-2.09 EPOXY SEALANT FOR INDUCTIVE LOOPS

Classification:

This specification covers a high viscosity liquid epoxy formulated primarily for use in sealing inductive wire loops and leads imbedded in asphalt concrete and portland cement concrete for traffic signal controls and vehicle counters. This epoxy is to be used for repair work on existing spalls, cracks and other deformations in and around saw cuts housing inductor loops and leads. The rapid cure allows minimum traffic delay. This sealant is suitable for use in freeze-thaw areas. The epoxy shall meet ASTM Designation: C 881, Type I, Grade 2 and the following requirements.

Characteristics of Combined Components:

All tests shall be performed in conformance with the requirements in California Test 434.

Property	Requirement
Gel time, minutes, maximum	30
On 3-mm cast sheet, cured 18 hours at 25ºC, + 5 hours at 70ºC	
Tensile Strength, MPa	2.7 min.
Elongation, percent	90 min.
Shore D Hardness	45 min.

Directions for Use:

Saw cuts shall be cleaned with compressed air to remove all excess moisture and debris. For repairing damaged saw cuts, all loose spalled material shall be cleaned away from the saw cut, chipping back to sound asphalt concrete or portland cement concrete and all loose material cleaned from loop wires.

The mixing ratio shall be in conformance with the manufacturer's recommendations. No more material shall be mixed than can be used within the gel time from the time mixing operations are started.

When automatic mixing equipment is used for mixing the sealant, the provisions in the twelfth paragraph in Section 85-1.06, "Placement," shall apply.

95-2.10 (BLANK)

95-2.11 EPOXY RESIN ADHESIVE FOR INJECTION GROUTING OF PORTLAND CEMENT CONCRETE PAVEMENTS

Directions for Use:

Both components and the mixed material shall contain no solvents. The mixing ratio of the components in terms of volume and mass shall be clearly stated. The material shall be suitable for use in the mixing equipment used by the applicator. Epoxy adhesive samples shall be furnished to the Engineer for testing at least 12 days before the expected time of use.

Characteristics of Adhesive:

Test[a]	California Test	Requirement
Brookfield Viscosity, No. 3 Spindle at 20 rpm, Pa·s at 25ºC	434, Part 4	0.9 max.
Gel time, minutes	434, Part 1	2 to 15
Slant Shear Strength on Dry Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC	434, Part 5[b]	41.4 min.
Slant Shear Strength on Wet Concrete, MPa, after 4 days of cure in air at 25ºC ±1ºC	434, Part 5[b]	21.1 min.
Tensile Strength, Mpa	434, Part 7, except test after 4 days of cure at 25ºC ±1ºC	31.0 min.
Elongation, %	434, Part 7, except test after 4 days of cure at 25ºC ±1ºC	10 max.

a The mixing ratio used will be that recommended by the manufacturer.

b For slant shear strength on concrete, delete Sections B-1 and B-5 of California Test 434, Part 5. For dry concrete, use Step "2" below only. For wet concrete, use both Steps "1" & "2":

1 Soak blocks in water for 24 hours at 25ºC ±1ºC. Remove and wipe off excess water.

2 Mix epoxy as described in California Test 434, Part 1, and apply a coat approximately 250 µm thick to each diagonal surface. Place four 3-mm square pieces of shim stock 305 µm thick on one block to control final film thickness. Before pressing the coated surfaces together, leave the blocks so that the coated surfaces are horizontal until the epoxy reacts slightly to prevent excessive flow.

END OF AMENDMENTS