



**District 4 Consultant Services Unit**

**Recently Executed A&E Contracts**

Contract No. <sup>8</sup>	Contract Description	Contract Advertisement Status	Firm Selected	Recently Executed Contracts Month/Year	Contract Type	Contract Term (Number of years)	Contract Amount	Contract Goal				
								SBE	Total DBE		DVBE	
									DBE <sup>1</sup>	UDBE <sup>2</sup>		
1	04A3645	District wide Visual Impact Analysis	Completed	PB Americas <sup>3</sup>	Apr 13 2011	Landscape Design	3.0	\$ 2.0 M	NA	16.00%	21.00%	NA
2	04A3632	A&E Conventional Land Surveying Services/On Call-East Bay	Completed	Chaudhary & Associates (SBE) <sup>3</sup>	Apr 25 2011	Surveying	2.0	\$ 2.7 M	NA	6.75%	6.75%	NA
3	04A3706	District wide Material Sampling and Testing in North Bay	Completed	Signet	Jun 6 2011	Materials Sampling and Testing	3.0	\$ 2.0 M	NA	10.25%	6.75%	NA
5	04A3824	Old SFOBB EASTSPAN Removal	Completed	TY Lin	Oct 27 2011	Design	5.0	\$ 13 M	25%	NA	NA	5%
4	04A3819	Independent Quality Assurance Service and Material Testing Service for the Bay Bridge Project (SFOBB)	Completed	Alta Vista (DVBE)	Dec 5 2011	Construction Support	5.0	\$ 21.0 M	25%	NA	NA	5%
6	04A3858 04NEW368-11	District wide Construction Claims Services	Completed	CH2M Hill	Feb 2012	Construction Support	3.0	\$ 3.0 M	NA	6.75%	6.75%	NA

**Recently Executed Non A&E Contracts**

1	04A3752	Public Service Announcement & Media Buy for the May 2011 SFOBB Detours & Closures	Completed	Pro Prose (SBE)	Apr 1 2011	Emergency Media Buy Contract	0.5	\$ 270 K	100%	NA	NA	NA
2	04A3597	SBE/DBE/DVBE Staff Support for the Toll Bridge Program Office of Small Business (RFP)	Completed	Padilla & Associates (SBE) (UDBE)	Apr 1 2011	Toll Bridge SB Administration	3.0	\$ 1.0 M	25%	NA	NA	3%
3	04A3624	Air Sampling & Monitoring for the Caldecott Tunnel fourth bore project.	Completed	Sterling & Associates	Apr 1 2011	Emergency During Construction	0.5	\$ 49 K	NA	NA	NA	NA
4	04A3709	Seed Propagation, Slope Stabilization for Devil Slide Project (IFB)	Completed	Watersched Nursery (UDBE)	Jun 30 2011	Environmental Mitigation	3.0	\$ 48 K	NA	NA	100.00%	NA
5	04A3862	Route 87 (Guadalupe) Biological Mitigation and Monitoring (IFB)	Completed	Professional Tree Care Co. (SBE)	Jan 12 2012	Environmental Mitigation	3.0	\$ 225 K	100%	NA	NA	5%
6	04A3943 04NEW389-12	Emergency Contract for Statewide Media Buy for the SFOBB closure in Feb. 2012	Completed	The TMD Group (SBE)	Jan 11 2012	Emergency Media Buy Contract	0.6	\$ 182 K	100%	NA	NA	NA
7	04A3944 04NEW388-12	Emergency Contract for the Bay Area Media Buy for the SFOBB closure in Feb. 2012	Completed	Radio Wave Marketing (SBE)	Jan 11 2012	Emergency Media Buy Contract	0.6	\$ 207 K	100%	NA	NA	NA
8	CMAS 0412000169-2 04NEW381-12	Provide Video Documentation of Caldecott Tunnel Project (CMAS)	Completed	Design Media, Inc. (SBE)	Jan 18 2012	Public Affairs	1.0	\$ 200K	100%	NA	NA	NA
9	04NEW380-12	Provide Public Information Support to Various Projects in District 4 (CMAS), North Bay	Completed	TMD Group (SBE) (DBE)	Jun 2012	Public Affairs	1.0	\$ 250K	NA	NA	NA	NA
10	04NEW386-12	Provide Public Information Support to Various Projects in District 4 (CMAS), South Bay	Completed	Radio Wave Marketing (SBE)	Jun 2012	Public Affairs	1.0	\$ 250K	NA	NA	NA	NA

**Upcoming New A&E Contracts**

Contract No. <sup>8</sup>	Contract Description	Contract Advertisement Status <sup>5</sup>	Top Ranked Consultant <sup>6</sup>	Estimated Contract Execution Month/Year <sup>7</sup>	Contract Type	Contract Term (Number of years)	Contract Amount	Contract Goal				
								SBE	Total DBE		DVBE	
									DBE	UDBE		

**Upcoming New Non-A&E Contracts**

1	04A3954 04NEW382-12	<u>District wide On-Call Hazardous Waste Remedial Actions in Constructions (Multi-Provider)</u>	Spring 2012	TBD	Jun 2012	Construction	3.0	\$ 3.5 M	NA	TBD	TBD	NA
2	04A3993 04New391-12	<u>Update the Caltrans Cultural Resources Database (CCRD) (RFP)</u>	Spring 2012	TBD	Apr 2012	Cultural Resources	2.0	TBD	NA	TBD	TBD	NA
3	04A3941 04NEW392-12	<u>Granton Rancheria Heritage Management Project, Transportation Enhancement (TE) (RFP)</u>	03/23 - 04/24 (A)	TBD	Jun 2012	Cultural Resources	2.0	TBD	NA	TBD	TBD	NA
4	04A3945 04NEW384-12	<u>Provide Public Information Support to the SFOBB Project (RFP)</u>	03/13 - 04/28 (A)	TBD	Jun 2012	SFOBB Public Affairs	4.0	TBD	25%	NA	NA	5%
5	04NEW390-12	<u>Replacement Contract for D-04 Calmentor</u>	Completed	TBD	Apr 2012	Public Affairs	3.0	TBD	NA	NA	NA	NA

Note: <sup>1</sup> Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement

<sup>2</sup> Underutilized Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement

<sup>3</sup> Prime Consultant is a District 4 Calmentor Member

<sup>4</sup> No DBE participation under the race neutral program. However, DVBE participation is expected to be between 5% to 10% before contract ends

<sup>5</sup> For upcoming contracts, visit <http://caltrans-opac.ca.gov/aeinfo.htm>

<sup>6</sup> Top Ranked Firm is selected based on Qualification. Cost Negotiation to follow the interview selection.

<sup>7</sup> Estimated execution date of July & beyond subject to passing of budget.

<sup>8</sup> Contract # in parenthesis represents (Former Contract #)

Legend: (A) Actual Dates  
(T) Target Date  
TEXT Underlined: Updates/Changes on the last reporting



CALTRANS DIST. 4 CONSULTANT SERVICES  
DBE UTILIZATION  
Federal Funded Contracts  
Quarterly Update

Contracts with Identifiable DBE (RNDBE & UDBE) Dollars

Update: 5/11/2012

Col 1	Contract Number	Prime Consultant	Type of Work	Contract Amount	DBE Goal						Invoiced to Date						% Contract Completion		COMMENT		
					Total (DBE=Non-UDBE+UDBE)		Non-UDBE		UDBE		Total =Prime+DBEs		Total (DBE=Non-UDBE+UDBE)		Non-UDBE		UDBE			Time	\$
					\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%			
Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12	Col 13	Col 14	Col 15	Col 16	Col 17	Col 18	Col 19	Col 20	Col 21		
1	04A1601	* HNTB	DESIGN	\$16,000,000	\$2,720,000	17%	NA	NA	NA	NA	\$13,182,165	\$2,493,966	19%	NA	NA	NA	NA	94%	82%		
2	04A2311	* PB AMERICAS	CONST FIELD SUPPORT	\$4,900,000	\$1,225,000	25%	NA	NA	NA	NA	\$1,431,236	\$379,046	26%	NA	NA	NA	NA	80%	29%	Small dollar use of this On-Call Contract	
3	04A2312	* URS CORPORATION	CONST. CLAIMS	\$5,000,000	\$1,500,000	30%	NA	NA	NA	NA	\$3,082,732	\$378,416	12%	NA	NA	NA	NA	80%	62%		
4	04A2889	*KIMLEY-HORN	TRAFFIC MGMT PLANNING	\$4,999,999	\$500,000	10%	NA	NA	NA	NA	\$843,042	\$0	0%	NA	NA	NA	NA	69%	17%	Small dollar use of this On-Call Contract	
5	04A2917	* URS CORPORATION	CONSTRUCTION CLAIMS	\$3,000,000	\$540,000	18%	NA	NA	NA	NA	\$1,161,165	\$239,395	21%	NA	NA	NA	NA	97%	39%		
6	04A3161	* DKS ASSOCIATES (DBE)	TRAFFIC OPERATIONS	\$1,500,000	\$150,000	10%	NA	NA	NA	NA	\$537,350	\$4,400	1%	NA	NA	NA	NA	100%	36%	D4 Staff is able to complete some of the work expected to be done by DBE	
7	04A3324	* CALTROP ENGINEERING CORPORATION	PROGRAM MANAGEMENT	\$3,000,000	\$405,000	13.5%	NA	NA	NA	NA	\$525,561	\$29,630	6%	NA	NA	NA	NA	87%	18%	04A2872 work transferred to this contract. DVBE/SBE subconsultant unable to hire a new employee after an active employee left the firm. Original intended work to support PPM with PRSM has not yet materialized.	
<b>SUBTOTAL</b>				<b>\$33,400,000</b>	<b>\$6,540,000</b>	<b>20%</b>	<b>\$0</b>	<b>0%</b>	<b>\$0</b>	<b>0%</b>	<b>\$19,920,209</b>	<b>\$3,524,853</b>	<b>18%</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>				
8	04A3350	WRECO (DBE)	DISTRICTWIDE HYDRAULICS	\$3,000,000	\$2,160,000	72%	\$0	0%	\$2,160,000	72%	\$1,125,872	\$1,065,260	95%	\$0	0%	\$1,065,260	95%	81%	38%	Exceeding UDBE goal	
9	04A3374	CH2MHill	ENVIRONMENTAL SUPPORT	\$5,000,000	\$887,500	17.75%	\$550,000	11%	\$337,500	6.75%	\$2,544,233	\$740,938	29%	\$598,384	24%	\$142,554	6%	53%	51%	Exceeding Non-UDBE Goal; will meet UDBE goal by end of contract	
10	04A3375	URS	ENVIRONMENTAL SUPPORT	\$3,000,000	\$510,000	17%	\$120,000	4%	\$390,000	13%	\$1,405,129	\$272,983	19%	\$98,403	7%	\$174,580	12%	53%	47%	Exceeding Non-UDBE goal; will meet UDBE goal by end of contract	
11	04A3376	GARCIA & ASSOCIATES (DBE)	ENVIRONMENTAL SUPPORT	\$4,500,000	\$2,778,750	61.75%	\$2,475,000	55%	\$303,750	6.75%	\$1,529,442	\$845,112	55%	\$795,881	52%	\$49,231	3%	53%	34%	Expected to meet the goal by the end of contract	
12	04A3460	VALI COOPER	CONST SUPPORT	\$5,500,000	\$1,375,000	25%	\$1,003,750	18.25%	\$371,250	6.75%	\$741,377	\$419,365	57%	\$419,365	57%	\$0	0%	54%	13%	Exceeding Non-UDBE Goal; will meet UDBE goal by end of contract	
13	04A3461	CHAUDHARY(DBE)(SBE)	CONST SUPPORT	\$4,000,000	\$1,000,000	25%	\$730,000	18.25%	\$270,000	6.75%	\$437,564	\$58,428	13%	\$58,428	13%	\$0	0%	53%	11%	Expected to meet the goal by the end of contract	
14	04A3462	GHIRARDELLI ASSOCIATES (SBE) (DBE)	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$732,858	\$538,511	73%	\$538,511	73%	\$0	0%	54%	24%	Increasing effort to achieve UDBE and Non-UDBE goals	
15	04A3502	S&C (SBE)	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$313,182	\$0	0%	\$0	0%	\$0	0%	62%	10%	Expected to meet the goal by the end of contract	
16	04A3503	URS	CONST SUPPORT	\$2,000,000	\$500,000	25%	\$365,000	18.25%	\$135,000	6.75%	\$243,966	\$227,659	93%	\$0	0%	\$227,659	93%	62%	12%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
17	04A3504	MENDOZA	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$0	\$0	0%	\$0	0%	\$0	0%	62%	0%	Proposed work has not yet materialized	
18	04A3508	URS	DESIGN	\$4,000,000	\$540,000	13.5%	\$270,000	6.75%	\$270,000	6.75%	\$4,924	\$0	0%	\$0	0%	\$0	0%	62%	0%	Expected to meet the goal by the end of contract	
19	04A3576	HILL INTERNATIONAL	CONST SUPPORT	\$3,000,000	\$952,500	31.75%	\$750,000	25%	\$202,500	6.75%	\$273,750	\$79,905	29%	\$0	0%	\$79,905	29%	6%	9%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
20	04A3573	PSOMAS	R/W ENGR	\$4,500,000	\$630,000	14%	\$315,000	7%	\$315,000	7%	\$1,738,917	\$324,176	19%	\$0	0%	\$324,176	19%	48%	39%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
21	04A3574	URS	LAND SURVEYING	\$2,000,000	\$300,000	15%	\$0	0%	\$300,000	15%	\$355,227	\$83,409	23%	\$0	0%	\$83,409	23%	47%	18%	Exceeding UDBE goal	
22	04A3575	TOWILL, INC.	LAND SURVEYING	\$2,250,000	\$337,500	15%	\$0	0%	\$337,500	15%	\$347,931	\$69,934	20%	\$0	0%	\$69,934	20%	47%	15%	Exceeding UDBE goal	
23	04A3578	GEOCON, INC.	ENVIRONMENTAL	\$3,621,354	\$488,883	14%	\$244,441	6.75%	\$244,441	6.75%	\$558,477	\$381,568	68%	\$356,593	64%	\$24,975	4%	47%	15%	Exceeding Non-UDBE Goal; will meet UDBE goal by end of contract	
24	04A3553	STANTEC CONSULTING	ENVIRONMENTAL	\$1,200,000	\$243,000	20%	\$162,000	13.50%	\$81,000	6.75%	\$278,832	\$20,740	7%	\$0	0%	\$20,740	7%	48%	23%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
25	04A3572	PSOMAS	SPECIALTY SURVEYING	\$1,500,000	\$210,000	14%	\$105,000	7.00%	\$105,000	7.00%	\$451,667	\$33,042	7%	\$33,042	7%	\$0	0%	41%	30%	Meeting Non-UDBE Goal; will meet UDBE goal by end of contract	
26	04A3632	CHAUDHARY(DBE)(SBE)	LAND SURVEYING	\$2,700,000	\$364,500	14%	\$0	0.00%	\$364,500	13.50%	\$990,869	\$0	0%	\$0	0%	\$0	0%	48%	37%	Expected to meet the goal by the end of contract	
27	04A3610	TETRA TECH	ENVIRONMENTAL ENG. SUPPORT	\$3,000,000	\$510,000	17%	\$307,500	10.25%	\$202,500	6.75%	\$694,302	\$30,703	4%	\$7,959	1%	\$22,744	3%	41%	23%	Expected to meet the goal by the end of contract	
28	04A3629	WRECO (DBE)	WATER QUALITY	\$1,750,000	\$236,250	14%	\$118,125	6.75%	\$118,125	6.75%	\$686,624	\$407,818	59%	\$0	0%	\$407,818	59%	35%	39%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
29	04A3645	PB AMERICAS	LANDSCAPE DESIGN	\$2,000,000	\$740,000	37%	\$320,000	16.00%	\$420,000	21.00%	\$0	\$0	0%	\$0	0%	\$0	0%	36%	0%	Proposed work has not yet materialized	
30	04A3706	SIGNET	MATERIALS TESTING (North Bay Labs)	\$2,000,000	\$340,000	17%	\$205,000	10.25%	\$135,000	6.75%	\$610,139	\$0	0%	\$0	0%	\$0	0%	39%	31%	Expected to meet the goal by the end of the contract	
<b>SUBTOTAL</b>				<b>\$69,521,354</b>	<b>\$17,353,883</b>	<b>25%</b>	<b>\$9,683,316</b>	<b>13.93%</b>	<b>\$7,670,566</b>	<b>11.03%</b>	<b>\$16,065,282</b>	<b>\$5,599,551</b>	<b>35%</b>	<b>\$2,906,566</b>	<b>18%</b>	<b>\$2,692,985</b>	<b>17%</b>				
<b>GRAND TOTAL</b>				<b>\$102,921,354</b>	<b>\$23,893,883</b>	<b>23.22%</b>					<b>\$35,985,491</b>	<b>\$9,124,404</b>	<b>25.36%</b>								

(DBE) - Disadvantaged Business Enterprise (UDBE) - Underutilized Disadvantaged Business Enterprise Categories of UDBE: 1)African American 2)Native American 3)Asian Pacific Islander 4)Women Owned Business Note: 1-Contracts marked with * were advertised prior to 07/01/2009 under the Race Neutral program with DBE only aspirational goals. 2-Expired contracts as of reporting date above are not included. 3-Contracts with Double ** are Joint Ventures 4-NA: not applicable	Total Proposed non-UDBE Goal (Col 8/Col 5) (Lines 7 to 30)	<b>13.93%</b>	Total non-UDBE Achieved To Date (Col 15/Col 12) (Lines 7 to 30)	<b>18.09%</b>	Statewide Non-UDBE Goal	<b>6.75%</b>
	Total Proposed UDBE Goal (Col 10/Col 5) (Lines 7 to 30)	<b>11.03%</b>	Total UDBE Achieved To Date (Col 17/Col 12) (Lines 7 to 30)	<b>16.76%</b>	Statewide UDBE Goal	<b>6.75%</b>
	Total Proposed DBE Goal (Col 6/Col 5) (Lines 1 to 30)	<b>23.22%</b>	Total DBE Achieved To Date (Col 13/Col 12) (Lines 1 to 30)	<b>25.36%</b>	Statewide DBE Goal	<b>13.50%</b>

Revision History:  
 (7/11/11) Reformating: Separated contracts before 7/1/09 and after 7/1/09 to include SUBTOTALS. As per SBC request  
 \\Sv04nr01\vol1\1D4CSUD\Small Business Council\District 4\Reports\DBE Achievement Reports\DBE Quarterly Reports\A&E - DBE & DVBE Status Update (06-14-10) Clear.xls



CALTRANS DIST. 4 CONSULTANT SERVICES  
SBE & DVBE UTILIZATION  
Non-Federally Funded Contracts  
Quarterly Update

Contracts with Identifiable DVBE and SBE Dollars

Update: 5/11/2012

Col 1	Contract Number	Prime Consultant	Type of Work	Contract Amount	Contract Goal				Invoiced to Date					% Contract Completion		COMMENTS
					DVBE		SBE		Total	DVBE		SBE		Time	\$	
					\$	%	\$	%	\$	\$	%	\$	%			
Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12	Col 13	Col 14	Col 15	Col 16	Col 17	
1	04A2835	PINNACLE ONE	CLAIMS AND SCHEDULING (SSD-YBITS)	\$8,000,000	\$240,000	3.00%	\$2,000,000	25%	\$2,283,359	\$0	0.00%	\$987,741	43%	61%	29%	Exceeding SBE goal; will meet DVBE goal by end of project
2	04A2870	ATHALYE CONSULTING (DBE) (SBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$150,000	3.00%	\$1,250,000	25%	\$1,970,771	\$387,811	19.68%	\$1,744,742	89%	60%	39%	Exceeding SBE and DVBE goals
3	04A2871	TRS CONSULTANTS, INC. (DBE) (SBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$150,000	3.00%	\$2,500,000	50%	\$2,442,549	\$0	0.00%	\$2,397,909	98%	60%	49%	Exceeding SBE goal; will meet DVBE goal by end of project
4	04A2893	CH2MHILL	CLAIMS & SCHEDULING (OTD 1-2)	\$7,000,000	\$210,000	3.00%	\$1,750,000	25%	\$1,576,429	\$5,243	0.33%	\$51,734	3%	60%	23%	Expected to meet the goals by the end of contract
5	04A2968	HNTB	PROGRAM/PROJECT MANAGEMENT	\$24,443,940	\$733,318	3.00%	\$12,221,970	50%	\$18,409,433	\$14,543	0.08%	\$9,123,074	50%	57%	75%	Expected to meet the DVBE goal but will exceed SBE goal by end of contract
6	04A3116	PB AMERICA	ENVIRONMENTAL SERVICES	\$5,000,000	\$250,000	5.00%	\$1,250,000	25%	\$3,103,900	\$77,985	2.51%	\$1,236,504	40%	100%	62%	Exceeding SBE goal; did not meet DVBE goal. Invoices are still coming in.
8	04A3357	CH2MHILL	CLAIMS AND SCHEDULING (SAS)	\$10,000,000	\$1,000,000	10.00%	\$2,500,000	25%	\$2,962,283	\$657,631	22.20%	\$825,662	28%	46%	30%	Exceeding SBE and DVBE goals
9	04A3395	VALI COOPER	CONSTRUCTION SUPPORT	\$12,500,000	\$625,000	5.00%	\$6,250,000	50%	\$1,885,594	\$17,506	0.93%	\$813,985	43%	40%	15%	Expected to meet the goals by the end of contract
10	04A3500	MACTEC	MATERIALS TESTING	\$12,000,000	\$600,000	5.00%	\$3,000,000	25%	\$874,838	\$36,945	4.22%	\$0	0%	59%	7%	Expected to meet SBE goal; may exceed DVBE goal by end of contract
11	04A3544	GHIRARDELLI ASSOCIATES (SBE), (DBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$0	0.00%	\$0	0%	\$0	\$0	0.00%	\$0	0%	48%	0%	Work has not yet materialized
12	04A3819	ALTA VISTA (DVBE)	CONSTRUCTION SUPPORT	\$21,000,000	\$1,050,000	5.00%	\$5,250,000	25%	\$0	\$0	0.00%	\$0	0%	0%	0%	Work has not yet materialized
13	04A3824	TY LIN	DESIGN	\$13,000,000	\$650,000	5.00%	\$3,250,000	25%	\$0	\$0	0.00%	\$0	0%	0%	0%	Work has not yet materialized
<b>TOTAL</b>				<b>\$139,943,940</b>	<b>\$5,658,318</b>	<b>4%</b>	<b>\$41,221,970</b>	<b>29%</b>	<b>\$35,509,157</b>	<b>\$1,197,664</b>	<b>3%</b>	<b>\$17,181,351</b>	<b>48%</b>			

(DBE) - Disadvantaged Business Enterprise (DVBE) - Disabled Veteran Business Enterprise (SBE) - Small Business Enterprise  Note: Contracts that are expired as of the reporting date above are excluded.	Average Proposed DVBE (Col 6/Col 5)	<b>4%</b>	Total DVBE Achieved To Date (Col 11/Col 10)	<b>3%</b>	Statewide DVBE Goal	<b>5%</b>
	Average Proposed SBE (Col 8/Col 5)	<b>29%</b>	Total SBE Achieved To Date (Col 13/Col 10)	<b>48%</b>	Statewide SBE Aspirational Goal	<b>25%</b>