



District 4 Consultant Services Unit

Recently Executed A&E Contracts

Contract No. ⁸	Contract Description	Contract Advertisement Status	Firm Selected	Recently Executed Contracts Month/Year	Contract Type	Contract Term (Number of years)	Contract Amount	Contract Goal			
								SBE	Total DBE		DVBE
									DBE ¹	UDBE ²	
1 04A3645	District wide Visual Impact Analysis	Completed	PB Americas ³	Apr 13 2011	Landscape Design	3.0	\$ 2.0 M	NA	16.00%	21.00%	NA
2 04A3632	A&E Conventional Land Surveying Services/On Call-East Bay	Completed	Chaudhary & Associates (SBE) ³	Apr 25 2011	Surveying	2.0	\$ 2.7 M	NA	6.75%	6.75%	NA
3 04A3706	District wide Material Sampling and Testing in North Bay	Completed	Signet	Jun 6 2011	Materials Sampling and Testing	3.0	\$ 2.0 M	NA	10.25%	6.75%	NA
5 04A3824	Old SFOBB EASTSPAN Removal	Completed	TY Lin	Oct 27 2011	Design	5.0	\$ 13 M	25%	NA	NA	5%
4 04A3819	Independent Quality Assurance Service and Material Testing Service for the Bay Bridge Project (SFOBB)	Completed	Alta Vista (DVBE)	Dec 5 2011	Construction Support	5.0	\$ 21.0 M	25%	NA	NA	5%
6 04A3858 04NEW368-11	District wide Construction Claims Services	Completed	CH2M Hill	Feb 2012	Construction Support	3.0	\$ 3.0 M	NA	6.75%	6.75%	NA

Recently Executed Non A&E Contracts

1 04A3752	Public Service Announcement & Media Buy for the May 2011 SFOBB Detours & Closures	Completed	Pro Prose (SBE)	Apr 1 2011	Emergency Media Buy Contract	0.5	\$ 270 K	100%	NA	NA	NA
2 04A3597	SBE/DBE/DVBE Staff Support for the Toll Bridge Program Office of Small Business (RFP)	Completed	Padilla & Associates (SBE) (UDBE)	Apr 1 2011	Toll Bridge SB Administration	3.0	\$ 1.0 M	25%	NA	NA	3%
3 04A3624	Air Sampling & Monitoring for the Caldecott Tunnel fourth bore project.	Completed	Sterling & Associates	Apr 1 2011	Emergency During Construction	0.5	\$ 49 K	NA	NA	NA	NA
4 04A3709	Seed Propagation, Slope Stabilization for Devil Slide Project (IFB)	Completed	Watersched Nursery (UDBE)	Jun 30 2011	Environmental Mitigation	3.0	\$ 48 K	NA	NA	100.00%	NA
5 04A3862	Route 87 (Guadalupe) Biological Mitigation and Monitoring (IFB)	Completed	Professional Tree Care Co. (SBE)	Jan 12 2012	Environmental Mitigation	3.0	\$ 225 K	100%	NA	NA	5%
6 04A3943 04NEW389-12	Emergency Contract for Statewide Media Buy for the SFOBB closure in Feb. 2012	Completed	The TMD Group (SBE)	Jan 11 2012	Emergency Media Buy Contract	0.6	\$ 182 K	100%	NA	NA	NA
7 04A3944 04NEW388-12	Emergency Contract for the Bay Area Media Buy for the SFOBB closure in Feb. 2012	Completed	Radio Wave Marketing (SBE)	Jan 11 2012	Emergency Media Buy Contract	0.6	\$ 207 K	100%	NA	NA	NA
8 CMAS 0412000169-2 04NEW381-12	Provide Video Documentation of Caldecott Tunnel Project (CMAS)	Completed	Design Media, Inc. (SBE)	Jan 18 2012	Public Affairs	1.0	\$ 200K	100%	NA	NA	NA
9 04NEW380-12 CMAS4-05-03-0167A	Provide Public Information Support to Various Projects in District 4 (CMAS), North Bay	Completed	TMD Group (SBE) (DBE)	Jun 4 2012	Public Affairs	1.0	\$ 250K	100%	NA	NA	NA
10 04NEW386-12 CMAS4-11-03-009F	Provide Public Information Support to Various Projects in District 4 (CMAS), South Bay	Completed	Circle Point	May 30 2012	Public Affairs	1.0	\$ 250K	100%	NA	NA	NA
11 04NEW390-12 CMAS4-09-03-0398A	Replacement Contract for D-04 Calmentor	Completed	Golden Bear (SBE)	Jun 14 2012	Prog/Proj Mgmt	3.0	\$232K	100%	NA	NA	NA

Upcoming New A&E Contracts

Contract No. ⁸	Contract Description	Contract Advertisement Status ⁵	Top Ranked Consultant ⁶	Estimated Contract Execution Month/Year ⁷	Contract Type	Contract Term (Number of years)	Contract Amount	Contract Goal			
								SBE	Total DBE		DVBE
									DBE	UDBE	
1 04A3993 04New391-12	<u>Update the Caltrans Cultural Resources Database (CCRD) (TE)</u>	<u>FALL 2012</u>	<u>TBD</u>	<u>Oct 2012</u>	<u>Cultural Resources</u>	<u>2.0</u>	<u>\$1.3 M</u>	<u>NA</u>	<u>TBD</u>	<u>TBD</u>	<u>NA</u>
2 04A3941 04NEW392-12	<u>Granton Rancheria Heritage Management Project, Transportation Enhancement (TE)</u>	<u>FALL 2012</u>	<u>TBD</u>	<u>Oct 2012</u>	<u>Cultural Resources</u>	<u>2.0</u>	<u>\$ 900K</u>	<u>NA</u>	<u>TBD</u>	<u>TBD</u>	<u>NA</u>
3 04NEW394-13	<u>District-wide On-Call Hydraulic Engineering Services</u>	<u>FALL 2012</u>	<u>TBD</u>	<u>Sept 2012</u>	<u>Hydraulics</u>	<u>3.0</u>	<u>\$2.5 M</u>	<u>NA</u>	<u>TBD</u>	<u>TBD</u>	<u>NA</u>

Upcoming New Non-A&E Contracts

1 04A3954 04NEW382-12	<u>District wide On-Call Hazardous Waste Remedial Actions in Constructions (Multi-Provider)</u>	<u>Summer 2012</u>	<u>TBD</u>	<u>Aug 2012</u>	<u>Construction</u>	<u>3.0</u>	<u>\$ 3.5 M</u>	<u>NA</u>	<u>TBD</u>	<u>TBD</u>	<u>NA</u>
2 04A3945 04NEW384-12	<u>Provide Public Information Support to the SFOBB Project (RFP)</u>	<u>Completed</u>	<u>TBD</u>	<u>Jun 2012</u>	<u>SFOBB Public Affairs</u>	<u>3.0</u>	<u>TBD</u>	<u>25%</u>	<u>NA</u>	<u>NA</u>	<u>5%</u>

Note: ¹ Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement

² Underutilized Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement

³ Prime Consultant is a District 4 Calmentor Member

⁴ No DBE participation under the race neutral program. However, DVBE participation is expected to be between 5% to 10% before contract ends

⁵ For upcoming contracts, visit <http://caltrans-opac.ca.gov/aeinfo.htm>

⁶ Top Ranked Firm is selected based on Qualification. Cost Negotiation to follow the interview selection.

⁷ Estimated execution date of July & beyond subject to passing of budget.

⁸ Contract # in parenthesis represents (Former Contract #)

Legend: (A) Actual Dates
(T) Target Date
TEXT Underlined: Updates/Changes on the last reporting



CALTRANS DIST. 4 CONSULTANT SERVICES
DBE UTILIZATION
Federal Funded Contracts
Quarterly Update

Contracts with Identifiable DBE (RNDBE & UDBE) Dollars

Update: 7/11/2012

Col 1	Contract Number	Prime Consultant	Type of Work	Contract Amount	DBE Goal						Invoiced to Date						% Contract Completion		COMMENT		
					Total (DBE= Non-UDBE+UDBE)		Non-UDBE		UDBE		Total =Prime+DBEs		Total (DBE= Non-UDBE+UDBE)		Non-UDBE		UDBE			Time	\$
					\$	%	\$	%	\$	%	\$	%	\$	%	\$	%	\$	%			
Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12	Col 13	Col 14	Col 15	Col 16	Col 17	Col 18	Col 19	Col 20	Col 21		
1	04A1601	* HNTB	DESIGN	\$16,000,000	\$2,720,000	17%	NA	NA	NA	NA	\$13,912,745	\$3,192,555	23%	NA	NA	NA	NA	95%	87%		
2	04A2311	* PB AMERICAS	CONST FIELD SUPPORT	\$4,900,000	\$1,225,000	25%	NA	NA	NA	NA	\$1,574,046	\$379,046	24%	NA	NA	NA	NA	82%	32%	Small dollar use of this On-Call Contract	
3	04A2312	* URS CORPORATION	CONST. CLAIMS	\$5,000,000	\$1,500,000	30%	NA	NA	NA	NA	\$3,082,732	\$378,416	12%	NA	NA	NA	NA	82%	62%		
4	04A2889	*KIMLEY-HORN	TRAFFIC MGMT PLANNING	\$4,999,999	\$500,000	10%	NA	NA	NA	NA	\$937,629	\$0	0%	NA	NA	NA	NA	71%	19%	Small dollar use of this On-Call Contract	
5	04A2917	* URS CORPORATION	CONSTRUCTION CLAIMS	\$3,000,000	\$540,000	18%	NA	NA	NA	NA	\$1,198,680	\$239,395	20%	NA	NA	NA	NA	100%	40%		
6	04A3161	* DKS ASSOCIATES (DBE)	TRAFFIC OPERATIONS	\$1,500,000	\$150,000	10%	NA	NA	NA	NA	\$540,097	\$4,400	1%	NA	NA	NA	NA	78%	36%	D4 Staff is able to complete some of the work expected to be done by DBE	
7	04A3324	* CALTROP ENGINEERING CORPORATION	PROGRAM MANAGEMENT	\$3,000,000	\$405,000	13.5%	NA	NA	NA	NA	\$944,923	\$29,630	3%	NA	NA	NA	NA	93%	31%	04A2872 work transferred to this contract. DVBE/SBE subconsultant unable to hire a new employee after an active employee left the firm. Original intended work to support PPM with PRSM has not yet materialized.	
SUBTOTAL				\$33,400,000	\$6,540,000	20%	\$0	0%	\$0	0%	\$21,253,223	\$4,223,442	20%	NA	NA	NA	NA				
8	04A3350	WRECO (DBE)	DISTRICTWIDE HYDRAULICS	\$3,000,000	\$2,160,000	72%	\$0	0%	\$2,160,000	72%	\$1,170,584	\$1,109,972	95%	\$0	0%	\$1,109,972	95%	86%	39%	Exceeding UDBE goal	
9	04A3374	CH2MHill	ENVIRONMENTAL SUPPORT	\$5,000,000	\$887,500	17.75%	\$550,000	11%	\$337,500	6.75%	\$2,621,692	\$825,030	31%	\$636,084	24%	\$188,946	7%	58%	52%	Exceeding contract goals	
10	04A3375	URS	ENVIRONMENTAL SUPPORT	\$3,000,000	\$510,000	17%	\$120,000	4%	\$390,000	13%	\$1,543,161	\$279,287	18%	\$104,707	7%	\$174,580	11%	58%	51%	Exceeding Non-UDBE goal; will meet UDBE goal by end of contract	
11	04A3376	GARCIA & ASSOCIATES (DBE)	ENVIRONMENTAL SUPPORT	\$4,500,000	\$2,778,750	61.75%	\$2,475,000	55%	\$303,750	6.75%	\$1,622,932	\$913,356	56%	\$847,210	52%	\$66,146	4%	58%	36%	Expected to meet the goal by the end of contract	
12	04A3460	VALI COOPER	CONST SUPPORT	\$5,500,000	\$1,375,000	25%	\$1,003,750	18.25%	\$371,250	6.75%	\$808,470	\$666,139	82%	\$208,457	26%	\$457,682	57%	59%	15%	Exceeding contract goals	
13	04A3461	CHAUDHARY(DBE)(SBE)	CONST SUPPORT	\$4,000,000	\$1,000,000	25%	\$730,000	18.25%	\$270,000	6.75%	\$460,459	\$58,428	13%	\$58,428	13%	\$0	0%	58%	12%	Expected to meet the goal by the end of contract	
14	04A3462	GHIRARDELLI ASSOCIATES (SBE) (DBE)	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$823,771	\$623,726	76%	\$623,726	76%	\$0	0%	59%	27%	Exceeding Non-UDBE Goal; will meet UDBE goal by end of contract	
15	04A3502	S&C (SBE)	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$452,212	\$0	0%	\$0	0%	\$0	0%	68%	15%	Expected to meet the goal by the end of contract	
16	04A3503	URS	CONST SUPPORT	\$2,000,000	\$500,000	25%	\$365,000	18.25%	\$135,000	6.75%	\$256,580	\$239,445	93%	\$0	0%	\$239,445	93%	68%	13%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
17	04A3504	MENDOZA	CONST SUPPORT	\$3,000,000	\$750,000	25%	\$547,500	18.25%	\$202,500	6.75%	\$0	\$0	0%	\$0	0%	\$0	0%	68%	0%	Proposed work has not yet materialized	
18	04A3508	URS	DESIGN	\$4,000,000	\$540,000	13.5%	\$270,000	6.75%	\$270,000	6.75%	\$54,560	\$0	0%	\$0	0%	\$0	0%	68%	1%	Expected to meet the goal by the end of contract	
19	04A3576	HILL INTERNATIONAL	CONST SUPPORT	\$3,000,000	\$952,500	31.75%	\$750,000	25%	\$202,500	6.75%	\$387,220	\$102,912	27%	\$0	0%	\$102,912	27%	6%	13%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
20	04A3573	PSOMAS	R/W ENGR	\$4,500,000	\$630,000	14%	\$315,000	7%	\$315,000	7%	\$1,936,079	\$362,707	19%	\$0	0%	\$362,707	19%	53%	43%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
21	04A3574	URS	LAND SURVEYING	\$2,000,000	\$300,000	15%	\$0	0%	\$300,000	15%	\$465,116	\$100,419	22%	\$0	0%	\$100,419	22%	52%	23%	Exceeding UDBE goal	
22	04A3575	TOWILL, INC.	LAND SURVEYING	\$2,250,000	\$337,500	15%	\$0	0%	\$337,500	15%	\$410,311	\$86,864	21%	\$0	0%	\$86,864	21%	52%	18%	Exceeding UDBE goal	
23	04A3578	GEOCON, INC.	ENVIRONMENTAL	\$3,621,354	\$488,883	14%	\$244,441	6.75%	\$244,441	6.75%	\$1,222,451	\$390,383	32%	\$365,408	30%	\$24,975	2%	52%	34%	Exceeding Non-UDBE Goal; will meet UDBE goal by end of contract	
24	04A3553	STANTEC CONSULTING	ENVIRONMENTAL	\$1,200,000	\$243,000	20%	\$162,000	13.50%	\$81,000	6.75%	\$315,669	\$30,329	10%	\$0	0%	\$30,329	10%	54%	26%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
25	04A3572	PSOMAS	SPECIALTY SURVEYING	\$1,500,000	\$210,000	14%	\$105,000	7.00%	\$105,000	7.00%	\$546,146	\$71,129	13%	\$71,129	13%	\$0	0%	46%	36%	Meeting Non-UDBE Goal; will meet UDBE goal by end of contract	
26	04A3632	CHAUDHARY(DBE)(SBE)	LAND SURVEYING	\$2,700,000	\$364,500	14%	\$0	0.00%	\$364,500	13.50%	\$1,055,021	\$0	0%	\$0	0%	\$0	0%	56%	39%	Expected to meet the goal by the end of contract	
27	04A3610	TETRA TECH	ENVIRONMENTAL ENG. SUPPORT	\$3,000,000	\$510,000	17%	\$307,500	10.25%	\$202,500	6.75%	\$781,987	\$55,055	7%	\$7,959	1%	\$47,096	6%	47%	26%	Expected to meet the goal by the end of contract	
28	04A3629	WRECO (DBE)	WATER QUALITY	\$1,750,000	\$236,250	14%	\$118,125	6.75%	\$118,125	6.75%	\$819,485	\$479,638	59%	\$0	0%	\$479,638	59%	40%	47%	Exceeding UDBE goal; will meet Non-UDBE goal by end of contract	
29	04A3645	PB AMERICAS	LANDSCAPE DESIGN	\$2,000,000	\$740,000	37%	\$320,000	16.00%	\$420,000	21.00%	\$276,599	\$110,457	40%	\$110,457	40%	\$0	0%	42%	14%	Proposed work has not yet materialized	
30	04A3706	SIGNET	MATERIALS TESTING (North Bay Labs)	\$2,000,000	\$340,000	17%	\$205,000	10.25%	\$135,000	6.75%	\$614,182	\$57,771	9%	\$57,771	9%	\$0	0%	46%	31%	Expected to meet the goal by the end of the contract	
SUBTOTAL				\$69,521,354	\$17,353,883	25%	\$9,683,316	13.93%	\$7,670,566	11.03%	\$18,644,686	\$6,563,046	35%	\$3,091,335	17%	\$3,471,711	19%				
GRAND TOTAL				\$102,921,354	\$23,893,883	23.22%					\$39,897,909	\$10,786,488	27.04%								

(DBE) - Disadvantaged Business Enterprise (UDBE) - Underutilized Disadvantaged Business Enterprise Categories of UDBE: 1)African American 2)Native American 3)Asian Pacific Islander 4)Women Owned Business Note: 1-Contracts marked with * were advertised prior to 07/01/2009 under the Race Neutral program with DBE only aspirational goals. 2-Expired contracts as of reporting date above are not included. 3-Contracts with Double ** are Joint Ventures 4-NA: not applicable	Total Proposed non-UDBE Goal (Col 8/Col 5) (Lines 8 to 30)	13.93%	Total non-UDBE Achieved To Date (Col 15/Col 12) (Lines 8 to 30)	16.58%	Statewide Non-UDBE Goal	6.75%
	Total Proposed UDBE Goal (Col 10/Col 5) (Lines 8 to 30)	11.03%	Total UDBE Achieved To Date (Col 17/Col 12) (Lines 8 to 30)	18.62%	Statewide UDBE Goal	6.75%
	Total Proposed DBE Goal (Col 6/Col 5) (Lines 1 to 30)	23.22%	Total DBE Achieved To Date (Col 13/Col 12) (Lines 1 to 30)	27.04%	Statewide DBE Goal	13.50%

Revision History:
 (7/11/11) Reformating: Separated contracts before 7/1/09 and after 7/1/09 to include SUBTOTALS. As per SBC request
 \\Sv04nr01\vol1\DCSUD\Small Business Council\District 4\Reports\DBE Achievement Reports\DBE Quarterly Reports\A&E - DBE & DVBE Status Update (06-14-10) Clear.xls



CALTRANS DIST. 4 CONSULTANT SERVICES
SBE & DVBE UTILIZATION
Non-Federally Funded Contracts
Quarterly Update

Contracts with Identifiable DVBE and SBE Dollars

Update: 7/11/2012

Col 1	Contract Number	Prime Consultant	Type of Work	Contract Amount	Contract Goal				Invoiced to Date					% Contract Completion		COMMENTS
					DVBE		SBE		Total	DVBE		SBE		Time	\$	
					\$	%	\$	%	\$	\$	%	\$	%			
Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12	Col 13	Col 14	Col 15	Col 16	Col 17	
1	04A2835	PINNACLE ONE	CLAIMS AND SCHEDULING (SSD-YBITS)	\$8,000,000	\$240,000	3.00%	\$2,000,000	25%	\$3,089,720	\$0	0.00%	\$1,075,716	35%	63%	39%	Exceeding SBE goal; will meet DVBE goal by end of project
2	04A2870	ATHALYE CONSULTING (DBE) (SBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$150,000	3.00%	\$1,250,000	25%	\$2,918,470	\$578,929	19.84%	\$2,298,310	79%	62%	58%	Exceeding SBE and DVBE goals
3	04A2871	TRS CONSULTANTS, INC. (DBE) (SBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$150,000	3.00%	\$2,500,000	50%	\$2,442,549	\$0	0.00%	\$2,397,909	98%	62%	49%	Exceeding SBE goal; will meet DVBE goal by end of project
4	04A2893	CH2MHILL	CLAIMS & SCHEDULING (OTD 1-2)	\$7,000,000	\$210,000	3.00%	\$1,750,000	25%	\$1,576,429	\$5,243	0.33%	\$51,734	3%	62%	23%	Expected to meet the goals by the end of contract
5	04A2968	HNTB	PROGRAM/PROJECT MANAGEMENT	\$24,443,940	\$733,318	3.00%	\$12,221,970	50%	\$19,189,891	\$17,497	0.09%	\$9,588,885	50%	59%	79%	Expected to meet the DVBE goal. Meeting SBE goal
6	04A3116	PB AMERICA	ENVIRONMENTAL SERVICES	\$5,000,000	\$250,000	5.00%	\$1,250,000	25%	\$3,281,204	\$93,185	2.84%	\$1,396,263	43%	100%	66%	Exceeding SBE goal; did not meet DVBE goal. Invoices are still coming in.
8	04A3357	CH2MHILL	CLAIMS AND SCHEDULING (SAS)	\$10,000,000	\$1,000,000	10.00%	\$2,500,000	25%	\$3,166,512	\$861,532	27.21%	\$723,806	23%	49%	32%	Exceeding DVBE goal; will meet SBE goal by end of project
9	04A3395	VALI COOPER	CONSTRUCTION SUPPORT	\$12,500,000	\$625,000	5.00%	\$6,250,000	50%	\$2,069,028	\$17,709	0.86%	\$856,684	41%	43%	17%	Expected to meet the goals by the end of contract
10	04A3500	MACTEC	MATERIALS TESTING	\$12,000,000	\$600,000	5.00%	\$3,000,000	25%	\$874,838	\$36,945	4.22%	\$0	0%	64%	7%	Expected to meet SBE goal; may exceed DVBE goal by end of contract
11	04A3544	GHIRARDELLI ASSOCIATES (SBE), (DBE)	CONSTRUCTION SUPPORT	\$5,000,000	\$0	0.00%	\$0	0%	\$0	\$0	0.00%	\$0	0%	53%	0%	Work has not yet materialized
12	04A3819	ALTA VISTA (DVBE)	CONSTRUCTION SUPPORT	\$21,000,000	\$1,050,000	5.00%	\$5,250,000	25%	\$0	\$0	0.00%	\$0	0%	0%	0%	Work has not yet materialized
13	04A3824	TY LIN	DESIGN	\$13,000,000	\$650,000	5.00%	\$3,250,000	25%	\$0	\$0	0.00%	\$0	0%	0%	0%	Work has not yet materialized
TOTAL				\$139,943,940	\$5,658,318	4%	\$41,221,970	29%	\$38,608,641	\$1,611,040	4%	\$18,389,307	48%			

(DBE) - Disadvantaged Business Enterprise (DVBE) - Disabled Veteran Business Enterprise (SBE) - Small Business Enterprise Note: Contracts that are expired as of the reporting date above are excluded.	Average Proposed DVBE (Col 6/Col 5)	4.04%	Total DVBE Achieved To Date (Col 11/Col 10)	4.17%	Statewide DVBE Goal	5.00%
	Average Proposed SBE (Col 8/Col 5)	29.46%	Total SBE Achieved To Date (Col 13/Col 10)	47.63%	Statewide SBE Aspirational Goal	25.00%