



Agenda

CALTRANS SMALL BUSINESS COUNCIL MEETING

Wednesday October 21, 2009

1:00 p.m. – 2:00 p.m.

District 4 – Executive Conference Room, 15-240

111 Grand Avenue, Oakland, CA

Participants:

Dan McElhinney, Chairperson, District 4, District Director; Members of the Caltrans Small Business Council; Other Caltrans Management Staff and Guests

Special Guest(s):

Time	Agenda Item	Presenter
1:00 p.m.	Item #1: Welcome/Introductions	Dan McElhinney, Chief Deputy District Director
1:05p.m.	Item #2: Approval of Minutes August 19, 2009 September 16, 2009	Dan McElhinney, Chief Deputy District Director
1:10p.m.	Item #3: District 4 Update A Look Ahead at Upcoming Projects: <ul style="list-style-type: none"> • Construction Projects • Maintenance Projects • Design Projects • Architectural & Engineering Contracts • Toll Bridge Program • District 4, Small Business Update <ul style="list-style-type: none"> ○ Doyle Drive Update 	Bob Finney, Deputy District Director, Construction Stanley Ng, Maintenance Services Branch Chief Brian White, Chief Office of Business Management Romy Fuentes, District Branch Chief, Transportation Engineer Derek Pool, Toll Bridge Small Business George Crosby, Branch Chief, Small Business Program Paul Pendergast, Pendergast & Associates
1:40 p.m.	Item #4: Review of Action Items	Brian White, Chief Office of Business Management
1:50 p.m.	Item #5: New Business <ul style="list-style-type: none"> • Cancellation of Dec. SBC Meeting 	Dan McElhinney, Chief Deputy District Director
2:00 p.m	Adjourn: Next Meeting – Wednesday November 18, 2009 at 1:00 pm	Dan McElhinney, Chief Deputy District Director



D4 Small Business Council Meeting Minutes

DATE September 16, 2009

TIME: 1:00- 2:30pm

LOCATION: District 4, Executive Conference Room
111 Grand Ave., Oakland, CA

D4 CALTRANS STAFF PRESENT

Dan McElhinney, Chief DDD
Bob Finney, DDD, Construction
Brigetta Smith, DDD, External Affairs
Brian White, DDD, Administration (Acting)
Nigel Blampied, Div. of Prog/ Proj. Mgmt
Kim Byrne, Office of Business Mgmt
George Crosby, Small Business Program
Romy Fuentes, Consultant Services
Adriana Harris, Small Business Program
Nita Logan, Div. of Design
Osayahde Nesbitt, Small Business Prog
Stanley Ng, Div. of Maintenance
Derek Pool, Toll Bridge Small Bus. Prog.
Saeed Safi, Division of Construction
Augusta Solano, Small Business Program
Dennis Turchon, Div. of Construction

COUNCIL MEMBERS

Arvin Chaudhary, ACEC/ CELSOC
Marie DeLaParra, Oakland AACC
Paul Guerrero, CA Small Bus Entrepreneurs
Diana LaCome, National Concilio of America
Eddy Lau, AAAE
Peter Ramirez, SDVOC
Leslie Sakai, AAAE
Jesus Vargas, HCC/WTS (**ABSENT**)

GUESTS

Derf Butler, Pendergast & Assoc.
Cameron Higgins, Ghiradelli & Assoc.
Carrolyn Kubota, SBTRC
Pat Padilla, Padilla & Assoc.
Paul Pendergast, Pendergast & Assoc.
Lynn VanWinkle, Pendergast & Assoc.
MC Yu, AAAE

Item #1: Introductions

Chief Deputy District Director Dan McElhinney welcomed everyone, and the meeting attendees introduced themselves. He provided regards from District Director Sartipi who was in Sacramento at a statewide Directors' meeting. Mr. McElhinney announced the promotion of Ms. Brigetta Smith to the position of Deputy District Director of External Affairs, and the council welcomed her to the meeting.

Item #2: Approval of Minutes

August 19, 2009 Meeting Minutes:

Approval of minutes was deferred until October to give the council time for review.

Item #3: District 4 Updates

Construction Update:

Mr. Bob Finney referred to the documents "Forecast of Upcoming Construction Projects in District 4 as of 9/12/09" and "Awarded Projects in District 4 as of 9/12/09" to update the council on this topic. Mr. Finney noted 3 upcoming bid openings in September. In addition, he drew the council's attention to a change: the bid opening date for SFOBB contract #0120S1 has been moved to 12/15/09. Referring to the section "Projects Awarded," Mr. Finney highlighted that all contractors had met or exceeded their UDBE goals. In particular, Flatiron West, Inc. had met its UDBE goal of 5% on \$50.6M contract #165421. Paul Guerrero asked if DBE goals could be tracked on the monthly report, and Mr. Finney responded that HQ database does not have track the DBEs. Mr. Guerrero said that because there is an overall 13% UDBE/DBE goal, the DBE goal must be the difference between the overall goal and the UDBE goal. Mr. Guerrero said that the contractor is responsible for meeting the UDBE goal, but Caltrans is responsible for meeting the DBE goal. Mr. Finney said that DBE goals are not mentioned in the contract language. Mr. Lau said that he agreed with Mr. Finney that answer would be in the contract language, and he observed that when the race conscious program was implemented, language in the contracts must have changed. He suggested comparing contracts before and after the changeover. Mr. Finney said that he had the contract

language with him, and he distributed to the council 2 documents: "Notice to Bidders and Special Provisions for Construction on State Highway in Napa County Near St Helena at 2.0 Miles East of Conn Creek Bridge In D4 on Rte 128 (dated 9/9/09)" and "Notice to Contractors and Special Provisions for Construction on State Highway in the City and County of San Francisco at Various Locations from Junipero Serra Blvd to California Street D4 Rte 1 (dated 4/14/08)." Mr. Guerrero agreed that the contract language does not clarify the goal for DBEs and whether the goal was achieved. Referring to the document dated 9/9/09, Mr. Finney highlighted the sections on page 1 which stated that the UDBE Contract goal is 7% and on page 9 which stated that bidders are asked to "submit written confirmation from each DBE that the DBE is participating in the contract." Mr. Finney observed that DBE information is not captured in the Caltrans database and is not a requirement of the project. Mr. Lau expressed concern that the 13.5% overall goal was not emphasized up front in the document. Referencing the *Code of Federal Regulations*, Mr. Guerrero said that in a race neutral program, a goal is established, and when that goal cannot be reached through race neutral means, then race conscious means must be implemented. Mr. Guerrero wondered how Caltrans could move from the race neutral program if Caltrans is not following the regulations. Mr. Guerrero said that if Caltrans is not going to do this, then Caltrans should go back to a race conscious program for Hispanics. Mr. McElhinney directed the council to p. 8 of the 8-19-09 D4 SBC minutes and asked Mr. Guerrero if the language in Statewide Action Item 1709s describes appropriately his issue, and Mr. Guerrero said that it describes his issue exactly. Mr. White reminded Mr. Guerrero that he had brought up that issue at the 6-17-09 D4 SBC meeting and at that time this matter was elevated to the Statewide SBC and assigned to Mr. Guerrero and Mr. Camacho to raise with the Statewide Council. Mr. Crosby noted that Ms. Olivia Fonseca had provided via email a preliminary response, which was read into the minutes at the 8-19-09 meeting by Mr. Crosby [see p. 6 of the 8-19-09 D4 SBC minutes]. Mr. Guerrero underscored the importance of collecting and tracking RN goal attainment. Mr. Finney replied that the council has to ask for this tracking since it is not currently done. There is no contractual requirement at award to collect and report data on RN attainment, as there is for RC attainment. Ms. LaCome said that the council is concerned that the Hispanics and Subcontinent Asians have been excluded because they are not in the Race Conscious UDBE category, and adding language at the front of the contracts saying that there are race neutral goals of 6.75% to still be attained would be helpful.

Ms. LaCome asked for an overview of the Labor Day Closure of the Bay Bridge. Dan McElhinney reported that it was a great success and on Friday the bridge was cleared and closed in 21 minutes. With the new detour, there have been minor delays as drivers become familiar it. There were 29 hours of float built into the schedule which helped absorb challenges with disconnecting the old section and moving the new section into place. During the structural inspection, a crack was discovered in an eye bar on Saturday night. The repair parts were delivered and replaced during Monday night. The work was completed Tuesday morning and Director Iwasaki was able to announce the reopening of the bridge on time for the Tuesday morning commute. Inspection in the future will be stepped up in the next 3 or 4 years until demolition of the old structure happens in 2013. Mr. Dennis Turchon added that local SBE contractor Kel Crane was key in the repair.

Maintenance Update:

Mr. Stanley Ng referred to the documents "District 4 Emergency Contracts Small Business Utilization FY 09/10 Report - Director's Orders Force Account FY 09/10 (dated 9/8/09)," "District Director's Orders Force Account FY 09/10 Revised End of FY Report" [NOTE: should read "08/09"], "Upcoming Director's Orders Informal Bid FY 09/10," and "Doyle Drive Relocation (as of 9/8/09)" to brief the council on this topic. Referring to the "Director's Orders Force Account" report, Mr. Ng updated the council that the percentages had changed because the CC Myers contract had been reduced in value from \$5M to \$3M. The revised percentages are 37% SBE, 41% Non-SBE, and 18% Not Yet Awarded. Referring to the "Informal Bid" report, Mr. Ng said that the bid opening date for EA #2S3704 had been changed to 9/16/09 and was no longer tentative. Referring to the Revised FY 08/09 End of FY Report, of \$4.4M, 10% went to Non-SBEs and 90% to SBEs. Mr. Ng noted that 2 contracts that had been awarded to SBE Murelco Electrical were subsequently awarded to Continental Electric and SBE Columbia Electric after it was discovered that Murelco did not have a valid contractor's license. In response to Mr. Guerrero's question, Mr. Ng explained that because these were emergency force account contracts, Murelco was contacted

from the list of potential contractors, and it was then discovered that Murelco's license had expired. Mr. Ng next provided a preview of the Doyle Drive Utility Relocation report, explaining that this would show the distribution of SBE and Non-SBE dollars spent on the \$19M utility relocation contract. Mr. Lau asked why the \$19M contract was not shown on the "Director's Orders Force Account FY 09/10" report, and Mr. Ng replied that the \$19M contract was awarded in FY 08/09.

Design Update:

Ms. Nita Logan referred to the document "Proposed Minor B Projects for Small Business, FY 09/10 (dated 9/15/09)" to brief the council on this topic. Ms. Logan reported that there are 4 projects for FY 09/10 for a total of \$435,000: for EA #IL9100, the district is compiling a list of prospective bidders; for EA #2L2001, there are 8 prospective contractors and DPAC is in the process of preparing the bid package; for EA #2L3400, the bid package is not yet ready; and for EA #2L2900, the project is in circulation, which means that the project is being circulated and finalized internally in the district before sending to DPAC. Mr. Guerrero asked if projects up to \$250,000 would be included as Minor Bs in the case that AB 31 is signed by the governor, and Mr. White responded that they would. Mr. McElhinney observed that passage of AB 31 would increase the number of projects using the simplified process.

A&E Update:

Mr. Romy Fuentes referred to the following reports: "Recently Executed A&E Contracts (9/15/09)," "Recently Executed Non-A&E Contracts (9/15/09)," and "Upcoming New A&E Contracts (9/15/09)." Regarding to the "Upcoming New A&E Contracts" section, Mr. Fuentes said that advertisement for contract #04A3313 has been completed. The top ranked consultant is Caltrop, and the contract lists the RNDBE (race neutral DBE) goal as 6.75% and the UDBE (underutilized DBE) goal as 6.75%. Mr. Fuentes reported that there are 4 contracts currently advertised: #s04A3373, 04A3374, 04A3375, and 04A3376. Mr. Fuentes highlighted that \$12.5M contract #04A3373 has an SBE goal of 50% and a DVBE goal of 5%. Mr. Fuentes updated the council that contract #04A3376 for \$4.5M had been unbundled from #04A3374 and 04A3375. The contract amounts for #s 04A3374 and 04A3375 were now \$5M and \$3M, respectively. All 3 contracts have a RNDBE goal of 6.75% and a UDBE goal of 6.75%. Mr. Lau encouraged D4 to set very high DBE goals and noted that D7 had set 50% DBE goals on two projects, subsequently awarded to DBE firms. Mr. Fuentes responded that setting higher DBE goals would be ideal for construction management, but for on-call environmental contracts there is higher risk, so setting higher goals is not advisable. Mr. Lau asked how Caltrans would prevent all 3 contracts being awarded to the same firm, and Mr. Fuentes responded that Caltrans would do outreach to increase the pool of applicants, and Mr. Blampied said that that can't be prevented. Mr. Lau said that it is important to look at a company's manpower, and Mr. Blampied said that Caltrans does evaluate that. Mr. Blampied said that the selections are being made on the same day which should mitigate against this problem. Mr. Ramirez suggested that some of the work goes to the small business community, and Mr. Fuentes said that by unbundling Caltrans hopes to make the contracts more attractive to smaller companies. In response to Mr. Ramirez's question, Mr. Fuentes said that the contracts couldn't be broken down further because of the scope of work. Mr. Guerrero suggested having one short list and awarding the contracts to the 3 lowest bidders, and Mr. Blampied said that Caltrans' lawyers have said that this is not possible. Mr. McElhinney underscored that selection panels are reminded that capacity is an important factor to consider in award decisions and that the small business program is a priority in D4. Mr. Chaudhary suggested modifying the scopes of work to take into consideration different needs in North, South, and East Bay locations. Mr. Fuentes noted that with an on-call contract the specific type of work is unpredictable. In response to Ms. LaCome's question, Mr. Fuentes said that the RNDBE percentages are found in the contracts.

Toll Bridge Program Update:

Mr. Derek Pool and Ms. Pat Padilla referred to the following documents to provide an update to the council on SFOBB Toll Bridge Active Project Prime Contractor SB/DVBE Commitments: "Prime CC Myers SFOBB SB/DVBE Contractor Commitment Report for SSD (YBI Detour) Contract #04-0120R4 (9/2/09) and "Prime MCM Construction, Inc. SFOBB SB/DVBE Contractor Commitment Report for OTD1 Contract #04-0120L4

(9/3/09)." Mr. Pool explained that the SAS report was not available this month because the internal audit had not been completed, and the attainment reports would be prepared quarterly. Referring to the OTD1 report, Ms. Padilla said that the only change had been to the current contract value which has dropped close to a \$1M due to adjustments made because of actual work on change orders issued. Ms. Padilla noted that Kel Crane, Inc. is listed as having just over \$500,000 in reported commitment; this should increase as a result of the work done on the Bay Bridge during the Labor Day Closure. Ms. Padilla also drew the council's attention to a Save the Date reminder in the meeting folders for the Toll Bridge Small Business Program-Small Business Training Series "Cost Estimating, Bidding, and Cost Control" on 10/29/09 from 9am to 1pm . Ms. Padilla noted the cooperation between the Toll Bridge Small Business Program and the D4 Small Business Program in ensuring that contractors are receiving as much information and resources as they can. Being on the Pier, the Toll Bridge Small Business Program is receiving more drop-ins, which are being logged as of last month. Ms. LaCome asked if Ms. Padilla was tracking whether drop-ins had gotten any work as a result, and Ms. Padilla said that of last month's 8 drop-ins, 4 submitted a quote. She said she would report to the council whether these 4 were used. Ms. LaCome commended the Toll Bridge Small Business Program and observed that the process in place is working well. Ms. Padilla said that the contractors have gone above and beyond in demonstrating good faith effort to increase small business utilization. Regarding the MCM report, Mr. Guerrero asked how the Toll Bridge Small Business Program is able to identify DBEs, and Ms. Padilla said her group has funding to support this research. Mr. Guerrero said that "Cost Estimating, Bidding, and Cost Control" is one of the most important skills for a contractor to have.

D4 Small Business Update:

Mr. George Crosby announced that the last quarterly outreach for the year would be on November 19th from 10am to 1pm at 1515 Clay Street in Oakland. Ms. LaCome reminded the council that she had asked for a report from the Doyle Drive outreach contractor, and Mr. McElhinney introduced to the council guest speaker Mr. Paul Pendergast of Pendergast & Assoc. Mr. Pendergast noted the diversity in the Pendergast team, with 100% SB participation, 10% DVBE participation, 35% WBE participation, and 35% UDBE/DBE participation. Mr. Pendergast said that one of the goals is to bring Latino businesses on board. In response to Mr. Peter Ramirez's question, Mr. Pendergast said that this has not been possible so far because of the size of the contract. 80% of the Pendergast team is based in San Francisco. Mr. Pendergast commented on Padilla & Associates' enormous success on the Bay Bridge and complemented Ms. Padilla on her work Mr. Pendergast explained that his firm's approach will have four phases: contract analysis and opportunity detection, information acquisition and database management, outreach and education, and monitoring/ tracking/ reporting and technical assistance. Regarding Contract #1, of \$4.1M to date, 87% has been committed to SBEs. Mr. Pendergast commended Mr. Dennis Turchon for his hard work. The two SBEs are Hanford Applied Restoration & Conservation and The Professional Care Company. Mr. Pendergast observed that there was so far 0% DVBE participation, and he committed to Mr. Ramirez that his firm would work to increase this number. Ms. LaCome suggested that DBE and UDBE participation also be tracked even though it is not required, noting the commitment from District Director Sartipi that every effort would be made to utilize DBEs and UDBEs. Mr. Pendergast said that he would look into that and report back to the council. On Contract #2, of \$3.8M to date of a \$19M total award to Ghilotti Brothers, 24.14% has been committed to SBEs. The goals for this contract are 25% SBE and 5% DVBE. The SBE subcontractors are EnviroNova and Cal State Constructors. Mr. Derf Butler added that EnviroNova has teamed up with AFA, which is both DBE and DVBE certified. Regarding Contract #3 for \$83.8M, his firm facilitated the Mandatory Prime Contractor Pre-Bid Meeting with SB Contractors on August 26th. Pendergast & Assoc. sent 5,540 invitations, and there were 162 attendees, with 11 potential prime contractors and 100 potential subcontractors. 33% of attendees were self-identified DBEs. Regarding the SB Utilization Report prepared by SFCTA, Mr. Pendergast highlighted the subcontractors listed for PB Americas, Arup/PB Joint Venture, and Pendergast & Assoc. Mr. Pendergast said that his firm's intent is to keep drilling down to create small business opportunities throughout the course of these contracts. To facilitate this, his firm has developed a "Small Business/ DBE Database Requisition Form" for primes to request lists of SBs. In addition, his firm has set up 9 Plan Rooms/Builders Exchange Offices in order to facilitate access for SBs. In response to Mr. Finney's question, Mr. Pendergast said that SBs were being encouraged to sign up on the Office Engineer website to enable access to these documents electronically. Mr. Guerrero asked if the Doyle Drive

Project was both state- and federally-funded, and Mr. Finney said it was. In response to Mr. Guerrero's question about awarding contracts without DVBE participation, Mr. George Crosby said that these contracts were awarded before that change. Mr. Crosby added that Mr. Rick Land had addressed that question at the last Statewide SBC meeting. In response to Mr. Guerrero's next question, Mr. Finney responded that the Doyle Drive contracts were awarded as emergency contracts. Mr. Pendergast announced that "In Their Own Words" would be launched soon on the Doyle Drive website. This streaming video feature will show success stories of small businesses that have gotten work on the Doyle Drive contract. In addition, his firm is moving toward web-based, real time reporting. Ms. LaCome commended Mr. Pendergast on doing a great job in such a short time. Mr. McElhinney added that Mr. Sartipi and Mr. José Luis Moscovich, Executive Director of the SFCTA, are pleased with the job that Pendergast & Assoc. is doing. Ms. Marie DeLaParra commented that Mr. Pendergast has been very helpful to her company at the Doyle Drive Pre-Bid Conference and other workshops.

Proposed Service Contracts over \$5K

Ms. Augusta Solano referred to the "Proposed Service Contracts over \$5K (Maintenance, Administration, and Right of Way (dated 9/16/09))" to update the council. Ms. Solano updated the council that there were no new contracts this month.

Item #4: Review of Action Items

Mr. Brian White led a review of the action item carried over from the August 19, 2009 SBC meeting.

Action Item #1609 is Complete: *"Mr. Lau asked that Caltrans provide performance records to find out if work has been contracted out to DBEs for the design phase of Doyle Drive, specifically: 1) whether Local Assistance is addressing SFCTA's compliance with the DBE goals and 2) why the CM contract that was announced at SFTCA's outreach did not show up on Mr. Blampied's report."* Mr. Nigel Blampied referred to the report "SFCTA DBE Utilization Report for the Doyle Drive Project Update 4-13-09 SBC Action Item 1609" to respond to the action item. Mr. Blampied reported that on contract #99/00-07 the DBE achieved was 8.6%, and on contract #06/07-29 the DBE achieved was 12.7%. Mr. Blampied updated the council that the piece that was missing from the report was the actual commitment that each consultant had made in good faith: PB Americas, Inc. had committed 15%, and Arup/PB Americas had committed 11%. Mr. Blampied observed that Arup/PB Americas had exceeded its commitment and, with the efforts of Pendergast & Assoc., would surely continue to improve in the future. Mr. Lau thanked Mr. Blampied for providing this information. Mr. Lau said that on contract #99/00-07 PB Americas, Inc. has expended 98% of the funds but only has achieved 8.6% of its 15% DBE commitment, and he asked Local Assistance what can be done about this [Action Item #1809]. Mr. Lau said that it is important to send a message that when a commitment is made, it needs to be taken care of. Mr. Dan McElhinney said that he would work with Local Assistance and A&E to "do a hindsight" on this and see what can be learned, and Local Assistance can come and report to the council on this. Ms. LaCome asked if the council could receive the report "Caltrans District 4 Office of Local Assistance Project Status American Recovery and Reinvestment Act (ARRA) Local Streets and Roads System Preservation Projects Highway Strategic investments, Prop 1B Backfill, Smart Highways, Safety and Transportation Enhancements" monthly, and Mr. McElhinney said that he would work with Local Assistance to provide monthly reporting.

Action Item #1809 for **Mr. Lee Taubeneck**

Item #5: New Business

Ms. Carolyn Kubota of the Small Business Transportation Resource Center announced two new assistance programs offered by the DOT: a bonding assistance program and a short term lending program. The participating lender will be at the Statewide SBC meeting next week.

Item #6: Adjourn

Mr. McElhinney adjourned the September meeting at 2:20 pm and announced that the October D4 SBC meeting would be on Wednesday, October 21, 2009, at 1pm in the Executive Conference Room.

District 4 Small Business Council Action Items as of September 16, 2009:

No.	Item	Assignee	Date	Status
1809	Mr. Lau said that on contract #99/00-07 PB Americas, Inc. has expended 98% of the funds but only has achieved 8.6% of its 15% DBE commitment, and he asked Local Assistance what can be done about this.	Mr. Lee Taubeneck	9-16-09	New

District 4 Small Business Council Items Deferred to Statewide Council as of September 16, 2009:

No.	Item	Assignee	Date	Status	Comments
1706s	DDD Hess will examine existing web-based registration for contractors with Office of Civil Rights	Ms. LaCome	4/12/06	Ongoing	
3206s	Elevate the concerns of the District 4 Small Business council regarding Caltrans” plan to implement the new insurance limits on January 1, 2007.	Ms. LaCome	9/13/06	Ongoing	
1607s	Mr. Finney agreed to research whether supply line items might be separated out of Prime contracts.	Mr. Ramirez	3/14/07	Ongoing	
2008s	Reevaluation of the Good Faith Effort (GFE) Process for DBE and DVBE Outreach by HQ Civil Rights	Ms. LaCome	4-16-08	Ongoing	
2508s	Mr. Guerrero wanted to know how quickly newly certified small businesses--who submit their names “via the web”---get on D4 Maintenance’s Emergency Contact Information list	Mr. Guerrero	4-16-08	Ongoing	
2908s	Mr. Guerrero requested that that all of the action items deferred to Statewide SBC be followed up on and that the Statewide SBC report back to the D4 SBC as to how these action items have been dealt with or resolved.	Mr. Guerrero	4-16-08	Ongoing	
3408s	Via the Professional Services Committee, follow up with DPAC regarding outcome of the DPAC task force assigned to update the selection criteria for interview panel members for service contracts.	Mr. Lau	9-12-08	Ongoing	
1009s	Mr. Guerrero requested that the HQ Office of Civil Rights ensure that DVBE goals are included in all state-funded projects.	Mr. Guerrero	1-21-09	Ongoing	
1709s	Caltrans does not track DBE participation (only UDBE), at the end of the year it would report -0- participation. If you achieve -0- participation by race neutral means, and fail to meet the race neutral goal, you have to go to a race conscious goal. Is this correct?	Mr. Guerrero & Mr. Camacho	6-17-09	Ongoing	

“s” designates a Statewide SBC action item

District 4 Small Business Council Remaining 2009 Meetings

Title	Date & Time	Location
October Meeting, District 4 Small Business Council	Wednesday, Oct. 21, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland
November Meeting, District 4 Small Business Council	Wednesday, Nov. 18, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland
December Meeting, District 4 Small Business Council	Wednesday, Dec. 23, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland

[Schedule also available online at <http://www.dot.ca.gov/dist4/smallbusiness/sbc.htm>.]



D4 Small Business Council Meeting Minutes

DATE August 19, 2009

TIME: 1:00- 2:30pm

LOCATION: District 4, Executive Conference Room
111 Grand Ave., Oakland, CA

D4 CALTRANS STAFF PRESENT

Bijan Sartipi, District Director
Dan McElhinney, Chief DDD
Jim Richards, DDD, Environ Plan & Engin
Nigel Blampied, Div. of Prog/ Proj. Mgmt
Kim Byrne, Office of Business Mgmt
Barbara Condie, Div. of Construction
George Crosby, Small Business Program
Romy Fuentes, Consultant Services
Sylvia Fung, Local Assistance
Larry Jones, Div. of Design
Kim Le, Div. of Maintenance
Stanley Ng, Div.of Maintenance
Trinity Nguyen, Consultant Services
Patrick Pang, Trans. Plan/ Local Assistance
Jimmy Panmai, Local Assistance
Derek Pool, Toll Bridge Small Bus. Prog.
Augusta Solano, Small Business Program
Fernando Velez, Toll Bridge Small Bus. Prog.

HQ Agency Staff

Olivia Fonseca, DD,Civil Rights (via telephone)

COUNCIL MEMBERS PRESENT

Arvin Chaudhary, ACEC/ CELSOC
Paul Guerrero, CA Small Bus Entrepreneurs
Diana LaCome, National Concilio of America
Eddy Lau, AAAE
Leslie Sakai, AAAE

GUESTS

Winifred Au, AAAE
Raewyn Butcher, Ghiradelli and Associates
Pat Padilla, Padilla & Associates

Item #1: Introductions

District Director Bijan Sartipi welcomed everyone, and the meeting attendees introduced themselves.

Item #2: Approval of Minutes

May 20, 2009 Meeting Minutes:

Motion: Ms. Diana LaCome; Second: Mr. Paul Guerrero; Opposed: None; Approved: By consensus.

June 17, 2009 Meeting Minutes:

Motion: Mr. Eddy Lau; Second: Ms. LaCome; Opposed: None; Approved: By consensus.

Item #3: District 4 Updates

Construction Update:

Ms. Barbara Condie referred to the documents "Forecast of Upcoming Construction Projects in District 4 as of August 15, 2009" and "Awarded Projects in District 4 as of August 15, 2009" to update the council on this topic. Ms. Condie noted that a column had been added to the reports showing which projects were ARRA funded. Ms. LaCome asked whether it was true that an additional \$15M had been requested for the Bay Bridge project, and Mr. Sartipi responded that the project remains within budget. There had not been a cost increase as had been portrayed by the media. Referring to the section "Projects Bid Opened / Currently Awaiting Award," Mr. Guerrero asked why 0% achievement had been reported in the "UDBE Cert" and "DVBE Cert" columns, and why there was not a "DBE Cert" column. Mr. Sartipi observed that reported achievement used to be reflected in these columns, and Ms. Condie said she would look into this and report back to the council. Mr. Guerrero requested that a "DBE Cert" column be added to the report. Ms. Olivia Fonseca said that DBE information is listed in the database if DBEs are

used on the contract. Mr. Sartipi noted that some contracts will have this information and some will not. Ms. Condie said that she had been told that UDBE and DBE were interchangeable, and Ms. Fonseca clarified that UDBE achievement and DBE achievement are tracked in separate databases. Mr. Eddy Lau suggested that a separate column be added showing the DBEs submitted at time of bid, because this is the council's concern. After Civil Rights certification, these numbers could be moved into the "DBE Cert" column. Mr. Sartipi asked that Ms. Condie revise the reporting format accordingly. Ms. Condie said that she would do this if the submitted numbers were available in the database, but to her recollection, they were not. Mr. McElhinney asked Ms. Fonseca, in the case that this information was not in fact available, whether she could add a column. Ms. Fonseca replied that she did not have the resources to do this. Mr. Guerrero noted that DBEs cannot be combined with UDBEs when reporting achievement of UDBE goals, and Mr. Sartipi and Ms. Fonseca agreed. Mr. Sartipi said that it is because of limited resources that submitted numbers are not reflected in the database until they are verified. Ms. Diana LaCome asked Ms. Fonseca how much time it takes her staff to verify the submitted numbers, and Ms. Fonseca said that it takes 12 days. Referring to the "Projects Awarded (Since Forecast Spreadsheet dated 6/14/09)" section, Mr. Arvin Chaudhary drew the council's attention to EA #272021, noting that there was a 7% UDBE goal but only 0.8% attainment. He asked if there had been a good faith effort on the part of RGW Construction Inc, and Ms. Condie verified this. Referring to the "Awarded Projects in District 4 as of August 15, 2009" document, Mr. Lau noted that RJW Construction has a history of poor achievement. Mr. Lau reminded that council that he has been consistently arguing that Caltrans should look at past performance when granting good faith efforts. Mr. Sartipi responded that legally Caltrans cannot consider past performance when evaluating or granting good faith effort.

Maintenance Update:

Mr. Stanley Ng referred to the documents "District 4 Emergency Contracts Small Business Utilization FY 08/09 Report - Director's Orders Force Account (dated 6/30/09)" (as well as a corresponding list of primes, subs, and work description), "District Director's Orders Force Account FY 08/09 (dated 6/30/09)," "Director's Orders Informal Bid FY 08/09 (dated 6/30/09)," and Director's Orders Force Account FY 09/10 (dated 8/11/09)" to brief the council on this topic. Mr. Ng first briefed the council on FY 08/09 contracts. Regarding "Director's Orders Force Account FY 08/09," Mr. Ng reported that of \$32M, 19% went to SBEs, 4% went to DVBEs, and 77% went to Non-SBEs. Mr. Sartipi noted that before the award to Ghilotti Brothers, Caltrans had been doing well in small business utilization. While Caltrans will be working to improve the numbers, Mr. Sartipi explained that this report represents the worst-case scenario. Mr. Lau asked if the same Ghilotti Brothers was listed twice, and Mr. Ng confirmed this. Mr. Lau asked why the two Ghilotti contracts were not lumped together, and Mr. Ng replied that in this case it was more accurate to separate them. Mr. Sartipi explained that for the \$19M Ghilotti Brothers contract, Caltrans is going to track the subcontractors in order to show small business achievement within the larger contract. Mr. McElhinney highlighted that the Ghilotti Brothers contract is for the utility relocation on Doyle Drive, and the \$19M includes the engineering estimate and contingency amount. Ghilotti Brothers plans to start work next week, so there will be more information forthcoming. Mr. Guerrero asked if Yerba Buena and Bleyco are UDBEs or DBEs, and Mr. Ng said that he would research this and report back. In response to Mr. Guerrero's question, Mr. Sartipi said that bidders did in fact bid the \$19M contract. They bid the markup and mobilization, and when they tied, Caltrans used the "ping pong ball" selection process. If the Director's Force Account contracts are awarded at the Director's discretion, Mr. Guerrero asked why Caltrans would award a contract to Ghilotti Brothers when this contractor historically does not meet its goals. Mr. Sartipi replied that legally Caltrans cannot exclude a contractor based on its past performance. Mr. Lau added that a more fundamental question is by what process contractors were originally chosen to be in the pool of bidders. Referring to the corresponding list of primes, subs, and work description, Mr. Ng noted that a column had been added identifying the type of contract, whether emergency limited bid (ELB) or straight force account (FA). Mr. Guerrero noted that Cal Safety should be listed as a UDBE. Referring to the document "District 4 District Director's Orders Force Account FY08/09," of \$4M, 93% went to SBEs and 7% went to Non-SBEs. Referring to the "Director's Orders Informal Bid FY 08/09", of \$860,000, 69% went to SBEs and 31% went to Non-SBEs. Next, Mr. Ng briefed the council on FY 09/10 contracts. Regarding "Director's Orders Force Account FY 09/10," Mr. Ng reported that of \$6M, 55% went to SBEs, 8% went to Non-SBEs, and 37% was not yet awarded. Mr. Ng updated the council that SBE Valentine had

been awarded a \$350,000 contract. So far in FY 09/10, there have not yet been any District Director's Orders contracts awarded. There is one Upcoming Director's Order Informal Bid contract, EA #2S3704 for \$1.3M, which is scheduled to advertise on 8/20/09 and to open bidding on 9/1/09.

Design Update:

Mr. Larry Jones referred to the documents "Minor B Projects for Small Business, FY 08/09 Summary (dated 8/18/09)" and "Proposed Minor B Projects for Small Business, FY 09/10 (dated 8/18/09)" to brief the council on this topic. Regarding the FY 08/09 summary, Mr. Jones reported that 8 Minor B projects were delivered, all using small business. The engineer's estimate for the 8 was \$770,000 and bid amounts totaled \$628,100. He noted that awarded contractors are listed, as well. Mr. Sartipi highlighted that the Minor Bs were awarded 100% to small business. Mr. McElhinney observed that the report had been upgraded to show small business utilization statistics. Mr. Guerrero pointed out the wide difference in some cases between the most qualified bidder and second bidder. He asked if Caltrans monitors these contracts to ensure that the most qualified bidder is paying prevailing wage and insurance. Mr. Sartipi said that Caltrans does ask the contractor if the work can be performed at the price bid. Mr. Sartipi added that what is being seen is a lot more competition. For example, Mr. Sartipi said that he is monitoring a contract that came in 85% below engineer's estimate. In response to Mr. Chaudhary's question, Mr. Jones clarified that the top half of the report had not been modified since the June SBC meeting. Regarding the FY 09/10 summary, Mr. Jones noted that there are 4 projects in the design process, with the total engineer's estimate being \$435,000. Mr. Sartipi explained that the total was low because the Minor B budget had been cut.

A&E Update:

Mr. Romy Fuentes referred to the following reports to brief the council on this topic: "Recently Executed A&E Contracts (8/19/09)," "Recently Executed Non-A&E Contracts (8/19/09)," "Upcoming New A&E Contracts (8/19/09)," "SBE Status Quarterly Update (6/5/09)," "DVBE Status Quarterly Update (6/5/09)," and "DBE Status Quarterly Update (6/5/09)." On the quarterly reports, Mr. Fuentes noted the following: the overall average proposed % SBE is 31% and the overall % SBE achieved to date is 50%; the average proposed % DVBE is 4% and the overall % DVBE achieved to date is 5%; and the overall average proposed % DBE is 24% and the overall % DBE achieved to date is 28%. Regarding the SBE Status Quarterly Report, Mr. Lau noted that CH2MHILL and URS both had invoiced 0% SBE, CH2MHILL's contract being 98% completed and URS's contract being 62% completed. Mr. Fuentes replied that most of the work dedicated to SBEs did not materialize for these contracts. Ms. Trinity Nguyen explained that it is more accurate to consider the whole picture of a firm's invoiced SBE, DVBE, and DBE percentages. For example, in the case of CH2MHILL on contract #04A2600, 0% SBE was invoiced, but CH2MHILL balanced it out by invoicing 23% DVBE. Mr. Lau asked about the case of URS, and Ms. Nguyen replied that the work didn't materialize as anticipated. Ms. Nguyen said that they push contractors to split up the subcontracting work when it is feasible to do so. Mr. Chaudhary pointed out that the % SBE invoiced for Chaudhary and Associates should be 100%. [Ms. Fonseca left the teleconference.] In the section "Upcoming New A&E Contracts," Mr. Fuentes drew the council's attention to contract #s 04A3350 and 04A3357 which were currently being advertised, one for hydraulics and one for claims and scheduling for the SAS project. Regarding contract #s 04NEW304-09 and 04NEW305-09 for on-call environmental studies for North and South Bay counties, Mr. Fuentes explained that there has been ongoing discussion among D4 executive staff, management, and functional units about increasing DBE goals, as well as ways of splitting up these contracts. In response to Mr. Lau's question, Mr. Fuentes identified Mr. Sartipi, Mr. McElhinney, Mr. Jim Richards, and Ms. Nguyen as the decision-makers for unbundling the contracts. Ms. Nguyen emphasized that care must be taken in unbundling so that other problems are not created in the process. Mr. McElhinney explained that it is a priority for Caltrans to spread the work around, as well as look at the types of firms that are available to do the work. Mr. McElhinney projected that the process would be wrapped up within the week. Mr. Lau said that the small business council is in discussion with District 9 to unbundle their materials testing contract. He added that DPAC seems in favor of this in principle but functional units are resistant. Mr. Sartipi explained that in the case of District 4, the functional units are working with the executive staff and management to unbundle the contracts. Mr. Chaudhary asked for more details on the amount of contract #04-NEW320-09, and Ms. Nguyen explained that this is a \$13M, 5-year

contract for construction management support for the SAS. She noted that contract #04A3357 is a \$10M, 5-year contract for SAS claims and scheduling. Ms. Nguyen underscored that the 5-year contracts have higher contract amounts because of longer contract terms, as compared with the 3-year contracts. Mr. Chaudhary asked if there are current contracts for SAS inspection, and Ms. Nguyen drew the council's attention to contract #04A2540 (prime: Ghiradelli Associates) and 04A2871 (prime: TRS Consultants, Inc.) on the "SBE Status Quarterly Update (6/5/09)." Regarding contract #04A912 in the "Recently Executed A&E Contracts" section, Mr. Guerrero drew the council's attention to GEOCON's 21% RNDBE contract goal and asked what the attainment had been. Ms. Nguyen explained that the "Recently Executed A&E Contracts" section provides a snapshot of each contract's goals, and the "SBE/DVBE/DBE Status Quarterly Updates" provide information on goal attainment. Mr. Sartipi noted that in the case of GEOCON, the % DBE invoiced to date was 24%. Mr. Chaudhary asked if RNDBE would be tracked in subsequent quarterly reports, and Ms. Nguyen replied that it would.

Toll Bridge Program Update:

Mr. Derek Pool announced that Ms. Pat Padilla would be presenting a new attainment report for the YBI Detour. In addition, he introduced Mr. Fernando Velez, the senior transportation engineer who is responsible coordinating the Small Business Toll Bridge Program's field activities and is actively involved with the contractors in preparing the attainment report. On the subject of the attainment report, Mr. Pool underscored the challenges faced in collecting this information from the contractors, which they provide on a voluntary basis. Ms. Pat Padilla said that the intention of the attainment report, provided in response to requests by the D4 SBC, is to ensure that the commitments were being actualized and that there were payments against these commitments. She explained that the attainment report is a monitoring and communication tool. The contractor has committed to provide on a quarterly basis evidence of actual payments issued based on accounting records and cancelled checks. Ms. Padilla updated the council on SSD (YBI Detour) commitments and attainments by comparing 2 documents: "Prime CC Myers SB/DVBE/DBE Contractor Attainment Report for SSD (YBI Detour) Contract #04-0120R4 (through 3/31/09)" and "Prime CC Myers SFOBB SB/DVBE Contractor Commitment Report for YBI Detour Contract #04-0120R4 (8/3/09)." Ms. Padilla noted that the reported commitment value was higher than the attainment value (the eligible dollars paid this reporting period) for Bay Line Construction, Frank Medina, and Lane Safety Co, Inc. Considering the % project complete and other factors, Ms. Padilla said that the small business (SB) team will communicate with contractors about their attainment based on this report. Ms. Padilla said that this report also indicates whether these dollars have been verified by the SB team as paid. Ms. Padilla said that at this time only 1st tier subcontractors have been included, but she is working with contractors to provide lower tier information as requested by Mr. Guerrero. Ms. Padilla said that the SB team is also tracking local SB/DVBE payments. Mr. Chaudhary asked why the contract value was different between the commitment and attainment reports, and Ms. Padilla responded that the date on the attainment report was 3/31/09 but the date on the commitment report was 9/3/09. Mr. Sartipi added that the difference could also be attributed to change orders. On the attainment report, Mr. Guerrero pointed out that Thomas & Pratt Highway Specialty Services should also be listed as a DBE. Mr. Guerrero asked Ms. Padilla to ensure that Thomas & Pratt is paid for its services, as this firm has a history of not being paid promptly. Mr. Chaudhary asked if it is true that the SB team has verified that Thomas & Pratt has already been paid \$26,900 by CC Myers, and Ms. Padilla said that this was true. Ms. LaCome commented that the report was excellent. She asked if next quarter the attainment report would capture to June 30th, and Ms. Padilla confirmed this. Ms. LaCome asked about the differing Current Contract Values on the attainment and commitment reports, and Ms. Padilla reiterated that the two reports reflect two different snapshots in time. Referring to the report "Prime American Bridge/Fluor-JV (ABF) SFOBB SB/DVBE Contractor Commitment Report for SAS Contract #04-0120F4 (7/31/09)," Mr. Lau reminded Ms. Padilla that he had asked her to track Leland Saylor & Associates. Ms. Padilla said that SAS had not been as easy to track in terms of verifying contractor participation based on executed subcontracting agreements. Ms. Padilla said that the SB team had been trying to schedule with American Bridge/Fluor to do a physical visit and review the documents rather than request the documents so that it would be less taxing for the prime contractor. She reminded the council that cooperation on the part of the prime is voluntary. The prime has so far responded that it would fulfill its commitments. Mr. Lau said that the issue is DVBE participation credit is being collected for Leland Saylor, but Smith Emory was doing the bulk of the work.

In response to Mr. Sartipi's question, Mr. Lau clarified that Leland Saylor and Smith Emory were in a joint venture. Mr. Sartipi said that if it was a joint venture, it is a different entity. Ms. Padilla said that the joint venture would need to be certified in itself, but so far the prime is not representing Leland Saylor as a joint venture. Mr. Lau asked if it would be appropriate to find out how and why Civil Rights approved this DVBE, and Ms. LaCome said that it would be DGS that would have approved it. Mr. Sartipi asked if American Bridge/Fluor was taking credit for Leland Saylor's participation, and Ms. Padilla confirmed this. Ms. Padilla said that the SB team is arguing that it has some level of entitlement to verify contractor reports since the contractors' reported commitments are being publicly disseminated. Another report not discussed by Ms. Padilla but included in the August meeting folders was "Prime MCM Construction, Inc. SFOBB SB/DVBE Contractor Commitment Report for OTD1 Contract #04-0120L4 (8/3/09)."

Proposed Service Contracts over \$5K

Ms. Augusta Solano referred to the "Proposed Service Contracts over \$5K (Maintenance, Administration, and Right of Way (dated 8/17/09))" to update the council. Ms. Solano reported that there are 7 proposed contracts over \$5K. There are no contracts out to bid and no new contracts in the past 2 months. Ms. Solano updated the council that contract #04A3294 may go out to bid in September.

D4 Small Business Update:

Mr. George Crosby updated the council referring to the following document "Caltrans District 4 Office of Local Assistance Project Status American Recovery and Reinvestment Act (ARRA) Local Streets and Roads System Preservation Projects Highway Strategic investments, Prop 1B Backfill, Smart Highways, Safety and Transportation Enhancements (dated 8/13/09)." Referring to the table at the bottom of page 3, Mr. Crosby noted that for Local Streets and Roads, there were 134 total projects and 112 projects obligated. The total ARRA funding is \$145.4M and the total ARRA funding obligated is \$134M. The percent of projects obligated is 84% and the percent of funding obligated is 92%. Regarding Highway Strategic Investments, there were 6 total projects and 0 projects obligated. The total ARRA funding is \$19M and the total ARRA funding obligated is \$0. The percent of projects obligated is 0% and the percent of funding obligated is 0%. Regarding Transportation Enhancements, there were 11 total projects and 5 projects obligated. The total ARRA funding is \$9.6M and the total ARRA funding obligated is \$3.5M. The percent of projects obligated is 45% and the percent of funding obligated is 37%. Mr. Crosby noted that the grand totals are 151 total projects and 117 projects obligated. The grand total ARRA funding is \$174M and the grand total ARRA funding obligated is \$137.6M. The grand total percent of projects obligated is 77% and the grand total percent of funding obligated is 79%. Mr. Sartipi added that the items highlighted in green are "obligated." Mr. Patrick Pang added that not all "not obligated" items are highlighted yellow: the items highlighted in yellow are items that did not yet have a clearance as of August 13th. Ms. LaCome asked if Caltrans made its deadline for the commitment of these funds even though only 79% are showing as obligated. Mr. Sartipi responded that the requirement was that the State had to have at least 50% of its funds obligated within 120 days, and Caltrans met that requirement up front. Mr. Sartipi noted that Caltrans is leading the way among State Departments of Transportation in obligating funds. Mr. Sartipi praised Local Assistance for having done a yeoman's job in getting this work done. The next step is advertisement of contracts, so interested contractors should be monitoring city and county websites for this. In response to Mr. Lau's question about the amount of money going to DBEs, Mr. Sartipi explained that each city and county in the region has its own DBE program approved by Caltrans, and each city and county DBE program sets the goals for each contract while adhering to Caltrans' DBE guidelines. Mr. Sartipi said that Caltrans plans to continue to closely monitor the cities and counties in this. Ms. LaCome asked how the amount assigned to each county was decided. Mr. Sartipi replied that MTC worked with the individual counties and cities, and funding levels were assigned based on which cities and counties had projects ready to meet the deadline. Other considerations by MTC were miles of road, population, and other general percentages used to balance the funding levels. Mr. George Crosby updated the council on the D4 Small Business Program. Referring to a memorandum from Director Randall Iwasaki (dated 8/18/09), Mr. Crosby highlighted that in FY 08/09 of \$1.3B in State dollars available, 25.81% went to certified SBs and 4.01% went to certified DVBEs. This attainment represents an 8.7% increase for SBs and a 2.16% increase for DVBEs

over the previous year. Mr. Crosby drew the council's attention to a flyer in the meeting folders advertising California Construction Contracting Program seminars on August 26th and 27th on "Developing and Using Connections." He announced that on August 26th there would be a Mandatory Prime Contract Pre-Bid Meeting with Small Business Contractors (Doyle Drive Construction Contract #3), details about which could be found on a flyer in the meeting folder. Ms. LaCome asked to have the consultant for Doyle Drive report to the council in the same way that Padilla and Associates does for the Bay Bridge. Mr. Crosby said that the consultant is scheduled to report at the D4 SBC meeting monthly beginning next month. Also, Mr. Crosby said that on August 20th the D4 Small Business Program would be doing outreach at the CA Black Chamber of Commerce 14th Annual Ron Brown Economic Summit in San Jose. Regarding Doyle Drive, Mr. Lau asked Mr. Sartipi who was getting the subcontracting work, and Mr. Sartipi said that Ghilotti Brothers has committed to exceed Caltrans' statewide goals for small business utilization. Mr. Lau voiced a complaint about the procedure used to choose the pool of 10 or 12 firms from which Ghilotti Brothers was chosen as prime. Mr. Sartipi said that the pool of 10 or 12 firms was intentionally mixed, ranging from small to large, from DBEs to SBEs, from local to regional. While it was an emergency contract, Caltrans chose to allow 10 or 12 firms to compete for the work in a fair and transparent way. Mr. Sartipi added that it would have been illegal for Caltrans to use past performance or background to exclude or include these 10 or 12 firms. Mr. Lau said that out of these 10 or 12 firms, only 2 were from San Francisco; Mr. Lau contended that it would have been more appropriate to choose San Francisco firms for the pool of 10 or 12 firms and ultimately for the firm chosen as prime. Mr. Sartipi responded that awarding the Doyle Drive contract to a San Francisco local-based firm was not Caltrans' highest priority. Mr. Guerrero asked if Caltrans had pre-qualified the bidders, and Mr. Sartipi responded that the bidders were not pre-qualified. Instead, a list was compiled based on contractors' capability, capacity, and other factors.

Item #4: Review of Action Items

Mr. Crosby led a review of the action item carried over from the June 17, 2009 SBC meeting.

Action Item #1609 is Ongoing: *"Mr. Lau asked that Caltrans provide performance records to find out if work has been contracted out to DBEs for the design phase of Doyle Drive, specifically: 1) whether Local Assistance is addressing SFCTA's compliance with the DBE goals and 2) why the CM contract that was announced at SFTCA's outreach did not show up on Mr. Blampied's report."* Mr. Sartipi suggested tabling discussion of the action item until he had reviewed the report and discussed it with Mr. Blampied. Regarding **Statewide Action Item #1709b** (*"Caltrans does not track DBE participation (only UDBE), at the end of the year it would report -0- participation. If you achieve -0- participation by race neutral means, and fail to meet the race neutral goal, you have to go to a race conscious goal. Is this correct?"*), Mr. Crosby read into the minutes the following response from Ms. Olivia Fonseca: *"The answer is two-fold: 1) We need to collect and track RN goal attainment, that is, tracking Hispanic and Subcontinent Asian participation. 2) If the RN goal is not met, we can adjust the overall RN/RC goal by reducing the RN goal and increase the RC goal."*

Item #5: New Business

Mr. Sartipi said that the Statewide Small Business Council had requested the District 4 reports and asked that an electronic copy of the August D4 SBC folder be emailed to Bonnie DeWatney in advance of the next Statewide SBC meeting [NOTE: The email was sent on 8-27-09]. Ms. LaCome said that the D4 charts and reports are so popular with the Statewide council that everyone wants a copy. Ms. LaCome said that D4's reports are considered to be models for other districts and that other districts do not provide this level of quality or detail. Ms. LaCome said that other districts aspire to provide such reports but don't have the resources, and Mr. Sartipi said that D4 doesn't have the resources, either.

Item #6: Adjourn

Mr. Sartipi adjourned the August meeting at 3:20 pm and announced that the September D4 SBC meeting would be on Wednesday, September 16, 2009, at 1pm in the Executive Conference Room.

District 4 Small Business Council Action Items as of August 19, 2009:

No.	Item	Assignee	Date	Status
1609	Mr. Lau asked that Caltrans provide performance records to find out if work has been contracted out to DBEs for the design phase of Doyle Drive. On 4-15-09, Mr. Lau clarified that what he is asking is 1) whether Local Assistance is addressing SFCTA's compliance with the DBE goals and 2) why the CM contract that was announced at SFTCA's outreach did not show up on Mr. Blampied's report. On 8-19-09, Mr. Sartipi suggested tabling discussion of the action item until he had reviewed the report and discussed it with Mr. Blampied.	Mr. Nigel Blampied	3-18-09	Ongoing

District 4 Small Business Council Items Deferred to Statewide Council as of August 19, 2009:

No.	Item	Assignee	Date	Status	Comments
1706	DDD Hess will examine existing web-based registration for contractors with Office of Civil Rights	Ms. LaCome	4/12/06	Ongoing	
3206	Elevate the concerns of the District 4 Small Business council regarding Caltrans' plan to implement the new insurance limits on January 1, 2007.	Ms. LaCome	9/13/06	Ongoing	
1607	Mr. Finney agreed to research whether supply line items might be separated out of Prime contracts.	Mr. Ramirez	3/14/07	Ongoing	
2008	Reevaluation of the Good Faith Effort (GFE) Process for DBE and DVBE Outreach by HQ Civil Rights	Ms. LaCome	4-16-08	Ongoing	
2508b	Mr. Guerrero wanted to know how quickly newly certified small businesses--who submit their names "via the web"---get on D4 Maintenance's Emergency Contact Information list	Mr. Guerrero	4-16-08	Ongoing	
2908	Mr. Guerrero requested that that all of the action items deferred to Statewide SBC be followed up on and that the Statewide SBC report back to the D4 SBC as to how these action items have been dealt with or resolved.	Mr. Guerrero	4-16-08	Ongoing	
3408	Via the Professional Services Committee, follow up with DPAC regarding outcome of the DPAC task force assigned to update the selection criteria for interview panel members for service contracts.	Mr. Lau	9-12-08	Ongoing	
1009b	Mr. Guerrero requested that the HQ Office of Civil Rights ensure that DVBE goals are included in all state-funded projects.	Mr. Guerrero	1-21-09	Ongoing	
1709b	Caltrans does not track DBE participation (only UDBE), at the end of the year it would report -0- participation. If you achieve -0- participation by race neutral means, and fail to meet the race neutral goal, you have to go to a race conscious goal. Is this correct?	Mr. Guerrero & Mr. Camacho	6-17-09	Ongoing	

District 4 Small Business Council Remaining 2009 Meetings

Title	Date & Time	Location
September Meeting, District 4 Small Business Council	Wednesday, Sept. 16, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland
October Meeting, District 4 Small Business Council	Wednesday, Oct. 21, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland
November Meeting, District 4 Small Business Council	Wednesday, Nov. 18, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland
December Meeting, District 4 Small Business Council	Wednesday, Dec. 23, 2009 1:00 pm – 2:30 pm	Exec. Conference Room, District 4 Offices, Oakland

[Schedule also available online at <http://www.dot.ca.gov/dist4/smallbusiness/sbc.htm>.]

FORECAST OF UPCOMING CONSTRUCTION PROJECTS IN DISTRICT 4 AS OF October 17, 2009

EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	RTL	AD	BO				ARRA	UDBE GOALS	DVBE GOAL				COMMENTS
ADVERTISED AND UPCOMING PROJECTS																				
2A0501	SCI	152	Left Turn Pocket to be constructed with Hot Mix Asphalt	\$1,120,000.00	100			04/17/2009	08/24/2009	12/16/2009										
0120S1	SF	80	Construct bridges, roadway and install electrical system.	\$167,000,000.00	1320		Y	10/30/2008	08/11/2008	12/15/2009					8.0	5.0				
228591	CC	4	Highway and 1 bridge to be widened and 4 bridges to be constructed.	\$91,000,000.00	810	60		09/25/2009	10/26/2009	12/15/2009					7.0					
294921	Ala	24	Realign westbound Route 24 to northbound Route 13 off ramp.	\$3,900,000.00	240	120		08/20/2009	10/19/2009	12/01/2009				X	4.0					
151371	SCI	101, 237	Install CCTV cameras at various ramp metered locations.	\$1,530,000.00	125			05/31/2009	10/26/2009	12/01/2009					7.0					
294931	Ala	24	Widen roadway and install signal and lighting.	\$370,000.00	95			09/29/2009	10/19/2009	11/18/2009				X	4.0					
0060C1	CC,Sol	680,780	Perform Highway Planting and Install Irrigation Systems	\$1,510,000.00	1000	750		09/23/2009	10/19/2009	11/17/2009										
1E4201	SM	280,380	Treat bridge decks with methacrylate and replace joint seals.	\$340,000.00	45			09/16/2009	10/19/2009	11/17/2009										
3S7211	Sol	680	Replace culvert and construct headwalls and rock slope protection.	\$400,000.00	35			06/12/2009	09/14/2009	11/10/2009										
283811	Son	116	Realign roadway and construct retaining walls and drainage.	\$18,300,000.00	565	125		06/26/2009	09/08/2009	10/27/2009					6.0					
042241	SM,Ala	92	Replace and upgrade existing 12 kV electrical switchgear.	\$2,900,000.00	250			04/01/2009	05/11/2009	10/21/2009						1.0				
TOTAL				\$288,370,000																

EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	RTL	AD	BO	DAYS BID	LOW BID	LOW BIDDER	ARRA	UDBE GOALS	DVBE GOALS	UDBE CERT	DVBE CERT		COMMENTS
PROJECTS BID OPENED / CURRENTLY AWAITING AWARD																				
0A18U1	Son	101	Widen Hwy and Br, add HOV lanes, and install signals and lightings.	\$77,661,176	295		Y	02/05/09	06/15/09	10/14/09	295 Days	\$47,186,518.50	O C JONES & SONS INC			1.00				
0C9011	SCI	101	Cold plane asphalt concrete surfacing and hot mix asphalt surfacing.	\$29,138,865	73		Y	05/12/09	09/08/09	10/14/09	73 Days	\$23,919,530.00	GRANITE CONSTRUCTION COMPANY		7.00					
163731	SF	101.1	Reconstruct IC, construct viaduct, retaining walls, drainage	\$81,811,397	540			07/23/09	08/10/09	10/07/09		\$48,400,000.00	C C MYERS INC ALTERNATIVE A		7.00		7.10			
263721	CC	80	Widen freeway and bridge, Retaining wall and TOS - Construct EB HOVL	\$32,038,958	375	250	Y	08/13/09	08/03/09	10/06/09		\$22,411,490.80	GHILOTTI BROS INC							
4A1401	Mrn	580,101	Replace bridge, widen roadway, and construct retaining walls.	\$11,819,627	128			03/12/09	06/22/09	09/30/09	128 Days	\$9,350,537.20	GHILOTTI CONSTRUCTION COMPANY INC			1.00		1.10		
294911	CC,Ala	24	Construct a 4th Caldecott tunnel and building.	\$274,668,906	1105	875	Y	01/27/09	05/18/09	09/29/09	1,105 Days	\$214,806,175.00	TUTOR - SALIBA CORPORATION	X	3.00		2.90			
TOTAL				\$507,138,928							TOTAL	\$366,074,251.50								

EA	APPARENT LOW BIDDER	APPARENT LOW BIDDER'S LISTED SUBS AT TIME OF BID
0A18U1	O C JONES & SONS INC	R M HARRIS,CAMPENELLA ,CENTRAL FENCE,LINEAR OPTIONS,M F MAHER,MID STATE,MIKE BROWN ,PROTECH ,R M HARRIS VANGUARD,VANGUARD,WEST COAST BORING.
0C9011	GRANITE CONSTRUCTION COMPANY	A C DIKE CO ,APEX FENCE CO INC,C AND L TRUCKING ,COLUMBIA ELECTRIC ,KRC SAFETY CO INC,LINEAR OPTIONS INC STI TRUCKING,SF TRANSPORT,PROFESSIONAL CONSTRUCTION SERVICES.
163731	C C MYERS INC ALTERNATIVE A	ASC,BAY CITIES PAVING AND GRADING,BAY CITIES PAVING AND GRADING,BAY CITIES PAVING AND GRADING,BAY CITIES PAVING AND GRADING,BAY CITIES PAVING AND GRADING,C APARICIO,C APARICIO,CALCON PUMPING,COOPER MYERS,DILLARD ENVIRONMENTAL,DILLARD ENVIRONMENTAL,ED SAFETY,ED SAFETY,GERCO,HARRIS SALINAS,HARRIS SALINAS,INSPECTION SERVICES,JEFFCO PAINTING AND COATING,MALCOLM DRILLING.
263721	GHILOTTI BROS INC	BAYLINE CUTTING AND CORING,CRISP COMPANY,CRISP COMPANY,DRILLTECH ,FBD VANGUARD CONSTRUCTION,GREEN VISTA LANDSCAPE,MIDSTATE BARRIER,MIDSTATE BARRIER INC,MIDSTATE BARRIER INC ,R E SERRANO,RM HARRIS,RM HARRIS ,RM HARRIS ,RM HARRIS,STEINY AND COMPANY.
4A1401	GHILOTTI CONSTRUCTION COMPANY INC	A C DIKE COMPANY,A C L ALAMILLO,ALAMILLO,CENTRAL STRIPING SERVICE,CENTRAL STRIPING SERVICE,CON FAB,COOL ROOFING SYSTEMS,FARWEST SAFETY,FARWEST SAFETY,FREEDLUN HYDROSEEDING,HILLSIDE DRILLING,JOE HEIM JOHNSON WESTERN,MIDSTATE BARRIER,MIDSTATE BARRIER,MIKE BROWN ELECTRIC,NEARY LANDSCAPE,PISOR FENG
294911	TUTOR - SALIBA CORPORATION	WISKO AMERICA INC,D M S J AND ASSOCIATES,DESERT PLUMBING,DRILL TECH DRILLING AND SHORING INC,FOXFIRE CONSTRUCTORS,GORDON N BALL INC,HARRIS SALINAS REBAR INC,JOHNSON WESTERN GUNITE,MAUCK SHEET METAL,OLIVEIRA FENCE INC,R E SERRANO INC,ROADWAY ELECTRIC WORKS INCORPORATED,WILLIAMS MASONRY,CONSTRUC

EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	RTL	AD	BO	DAYS BID	AWARD AMOUNT	AWARDED TO	ARRA	UDBE GOALS	DVBE GOAL	UDBE CERT	DVBE CERT	AWARDED DATE	COMMENTS
PROJECTS AWARDED (SINCE FORECAST SPREADSHEET DATED September 12, 2009)																				
2S3701	Nap	128	Repair slide, reinforced embankment and improve drainage.	\$1,133,502	\$60.00			08/05/09	09/09/09	09/16/09		\$547,113.70	NORTH BAY CONSTRUCTION INC		7.00		0.00		09/30/09	
TOTAL				\$1,133,502							TOTAL	\$547,114								

AWARDED PROJECTS IN DISTRICT 4 TO October 17, 2009

AWARDED TO	EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	AD	BO	A+B BID	BID AMT OR "A" AMT	UDBE GOALS	DVBE GOALS	DBE CERT	DVBE CERT	AWARDED DATE
ABSL CONSTRUCTION	2L0701	SCI	101	Project will install a clarifier tank and correct drainage problems.	\$164,332.00	125			07/14/08	08/12/08		\$112,682.00					08/20/08
ABSL CONSTRUCTION				Total	\$164,332.00						Total	\$112,682.00					
A I S CONSTRUCTION COMPANY	3S6721	SM	84	Storm damage repair and place Anchored Wire Mesh at various locations.	\$450,886.00	50			06/15/09	07/01/09		\$348,583.55	7.00		12.80		07/20/09
A I S CONSTRUCTION COMPANY				Total	\$450,886.00						Total	\$348,583.55					
ALANIZ CONSTRUCTION INC	0E8301	SCI	35	Replace asphalt concrete surfacing.	\$527,000.00	45			04/21/08	05/14/08		\$321,800.00			5.00	12.40	05/29/08
ALANIZ CONSTRUCTION INC	4A1901	Ala	13	Remove and replace asphalt concrete surfacing.	\$812,220.00	30			04/21/08	05/28/08		\$600,649.00			5.00	17.20	06/11/08
ALANIZ CONSTRUCTION INC				Total	\$1,339,220.00						Total	\$922,449.00					
AMCO BUILDERS	3S6001	Nap	29	Remove slide material and repair slope.	\$97,468.00	15			08/11/08	09/09/08		\$64,353.79					09/24/08
AMCO BUILDERS				Total	\$97,468.00						Total	\$64,353.79					
AMERICAN CIVIL CONSTRUCTORS WEST COAST INC	0E5101	Sol	37	Install polyester concrete overlay and replace joint seal	\$1,760,774.00	60			04/14/08	05/14/08		\$1,236,000.00			5.00	0.19	05/27/08
AMERICAN CIVIL CONSTRUCTORS WEST COAST INC	0E7201	SCI	101	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$1,305,333.95	56			01/20/09	02/25/09		\$954,573.25			5.00	0.07	03/13/09
AMERICAN CIVIL CONSTRUCTORS WEST COAST INC	0E7701	Ala	880	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$3,034,634.00	107			11/17/08	01/06/09		\$2,192,319.60			5.00	0.04	01/14/09
AMERICAN CIVIL CONSTRUCTORS WEST COAST INC	1A2801	Sol	12	Treat bridge deck, clean joint seals, and clean lift cables.	\$811,144.50	50			07/16/07	08/14/07		\$886,959.33			3.00	0.60	08/31/07
AMERICAN CIVIL CONSTRUCTORS / TOP GRADE CONSTRUCTION J V	0060A1	CC,Sol	680,780	MODIFY EXISTING BENICIA-MARTINEZ BRIDGE AND APPROACHES.	\$56,605,452.00	340	Y		08/06/07	10/31/07	\$37,155,902 + 340 Days	\$37,155,902.00					11/21/07
AMERICAN CIVIL CONSTRUCTORS WEST COAST INC				Total	\$63,517,338.45						Total	\$42,425,754.18					
AMLAND CORP	448101	Ala	84	Install traffic signals.	\$630,000.00	90			07/07/08	08/05/08		\$530,205.00			5.00	5.00	10/02/08
AMLAND CORP	265501	Ala,CC	24	Upgrade existing AMFM rebroadcast system.	\$783,000.00	180			02/23/09	03/24/09		\$782,025.00			5.00	0.00	04/20/09
AMLAND CORP	0E7301	SCI	130,680	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$1,156,400.00	150			11/24/08	01/14/09		\$659,374.00			5.00	4.64	02/03/09
AMLAND CORP	0E4601	Ala	580	Non-Landscaped Weed Control	\$129,900.00	6			11/24/08	01/28/09		\$89,500.00			5.00	5.00	02/11/09
AMLAND CORP	3S7701	Son	116	Construct soil nail wall	\$833,857.00	95			07/21/08	08/19/08		\$750,395.00					08/26/08
AMLAND CORP	1E5801	SF,SM,SCI,Scr	VAR	Modify xenon changeable message sign to light emitting diode.	\$755,000.00	77			05/04/09	05/28/09		\$698,464.00			5.00	5.00	06/08/09
AMLAND	3S6501	SCI	680	Existing storm water damage to be repaired	\$153,095.00	30			07/16/07	08/14/07		\$148,338.00			3.00	3.00	08/28/07
AMLAND				Total	\$4,441,252.00						Total	\$3,658,301.00					
ANOZIRA INCORPORATED	4C3601	SCI	101	Replace concrete pavement.	\$638,423.00	40			04/06/09	05/06/09		\$495,584.00			5.00	5.80	05/21/09
ANOZIRA INCORPORATED				Total	\$3,666,675.00						Total	\$2,841,655.00					
APEX FENCE CO INC	0A9131	Son	12,37,116,121	Replace metal beam guard railing and terminal systems.	\$959,241.00	80			07/06/09	08/05/09		\$571,677.60	7.00		21.50		08/17/09
APEX FENCE CO INC				Total	\$959,241.00						Total	\$571,677.60					
ARBORWORKS INC	1A3921	SF	101,280	Highway planting restoration (remove and prune trees)	\$413,500.00	100			06/11/07	07/10/07		\$288,000.00			3.00	3.10	07/24/07
ARBORWORKS INC				Total	\$413,500.00						Total	\$288,000.00					
ARBORWELL	1A3931	SCI	85,280,680,880	Highway planting restoration (remove and prune trees)	\$320,500.00	75			06/11/07	07/10/07		\$175,204.84			3.00	3.70	07/18/07
ARBORWELL				Total	\$320,500.00						Total	\$175,204.84					
ARGONAUT CONSTRUCTORS	1E0601	Sol	12,128	Digouts	\$837,820.00	45			02/17/09	03/10/09		\$470,810.00			5.00	1.30	03/27/09
ARGONAUT CONSTRUCTORS				Total	\$837,820.00						Total	\$470,810.00					
BAY CITIES PAVING & GRADING INC	269601	CC	24	Rehabilitate existing roadway.	\$50,716,163.50	320	Y		11/27/06	03/07/07	\$34,630,397 + 320 Days	\$34,630,397.00					03/15/07
BAY CITIES PAVING & GRADING INC	1E5401	Sol	29	Cold plane pavement and place subbase hot mix asphalt (gap graded).	\$4,551,209.50	90			03/23/09	04/22/09		\$2,851,650.60			5.00	6.00	05/07/09
BAY CITIES PAVING & GRADING INC	4A5201	Ala	680	The roadway to be widened and the pavement to be rehabilitated.	\$47,008,527.90	177	Y		09/22/08	12/10/08	\$34,461,705.60 + 177 Days	\$34,461,705.60					04/17/09
BAY CITIES PAVING & GRADING INC	253791	Ala	680	Widening, ramp meters and roadway rehabilitation.	\$42,863,550.00	188	Y		06/30/08	08/19/08	\$34,135,789.10 + 188 Days	\$34,135,789.10			5.00	6.70	09/02/08
BAY CITIES PAVING & GRADING INC	3S6801	Sol	12	SLIP OUT REPAIR	\$189,845.00	25			11/05/07	12/05/07		\$116,086.05					12/17/07
BAY CITIES PAVING & GRADING INC				Total	\$145,329,295.90						Total	\$106,195,628.35					
BAY LINE CUTTING & CORING INC	2A6701	Ala	238,680	Treat bridge deck with methacrylate resin and replace joint seals	\$1,576,500.00	80			03/26/07	04/25/07		\$681,000.10			3.00	3.30	05/11/07
BAY LINE CUTTING & CORING INC	0E9301	Ala	580	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEAL	\$1,271,914.00	100			12/08/08	01/21/09		\$663,824.68			5.00	5.21	01/30/09
BAY LINE CUTTING & CORING INC	0E9101	Ala	80,84,92	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$667,492.00	60			12/15/08	01/21/09		\$366,090.80			5.00	5.27	02/03/09
BAY LINE CUTTING & CORING INC	0E5201	CC	4	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$134,895.25	48			11/24/08	01/13/09		\$138,999.00			5.00	5.50	02/10/09
BAY LINE CUTTING & CORING INC	0E5401	SM	280	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEAL	\$903,735.00	80			11/03/08	12/03/08		\$576,290.00			5.00	5.22	12/15/08
BAY LINE CUTTING & CORING INC	1E0401	SM	1	Repair concrete spalls and place polyester concrete on deck surface	\$625,267.00	52			10/20/08	11/19/08		\$465,625.80			5.00	6.27	12/10/08
BAY LINE CUTTING & CORING INC	2A7201	CC	680	Treat bridge deck with methacrylate resin and replace joint seals.	\$1,487,833.00	130			03/10/08	04/16/08		\$831,337.20			5.00	5.20	05/02/08
BAY LINE CUTTING & CORING INC				Total	\$6,667,626.25						Total	\$3,673,167.58					
BLEYCO INCORPORATED	010901	Ala,CC,Mn,SF,Sol,SM	37,80,84,92,580,680	Upgrade SCADA System.	\$4,050,710.00	400			10/09/07	12/04/07		\$5,333,954.00			3.00	3.00	01/30/08
BLEYCO INCORPORATED				Total	\$4,050,710.00						Total	\$5,333,954.00					
BRCO CONSTRUCTORS INC	0A9201	SM	280	Replace Safety Roadside Rest Area	\$4,075,815.00	500	250		05/26/09	07/07/09		\$2,705,000.00	5.00				07/23/09
BRCO CONSTRUCTORS INC				Total	\$4,075,815.00						Total	\$2,705,000.00					
BUGLER CONSTRUCTION	042251	SM,Ala	84	Existing Expansion Joint Panels to be replaced.	\$2,693,670.00	80	Y		11/24/08	12/17/08	\$2,655,470 + 80 Days	\$2,655,470.00			5.00	0.60	01/07/09
BUGLER CONSTRUCTION				Total	\$2,693,670.00						Total	\$2,655,470.00					
CALIFORNIA ENGINEERING CONTRACTORS INC	2A7301	Mn,Son	101,37	Treat bridge deck with methacrylate resin and repair joint seals.	\$2,688,086.15	175			03/10/08	04/09/08		\$1,607,913.46			5.00	0.02	04/28/08
CALIFORNIA ENGINEERING CONTRACTORS INC	177901	Ala	880	Overlay bridge deck, replace railing, and construct approach slabs.	\$6,496,306.00	80	Y		04/27/09	05/26/09	\$6,517,807 + 80 Days	\$6,517,807.00	4.00		0.30		06/15/09
CALIFORNIA ENGINEERING CONTRACTORS INC				Total	\$9,184,392.15						Total	\$8,125,726.46					
CALIFORNIA PAVEMENT MAINTENANCE COMPANY INC	1E1201	SCI	9	Resurface roadway with micro-surfacing.	\$809,251.00	45			04/20/09	05/19/09		\$712,192.80			5.00	0.90	06/02/09
CALIFORNIA PAVEMENT MAINTENANCE COMPANY INC				Total	\$809,251.00						Total	\$712,192.80					
C C MYERS INC	4A4101	Ala	580,880	Emergency Bridge Repair and Reconstruction	\$5,140,070.00	70			05/03/07	05/07/07		\$867,075.00					05/07/07
C C MYERS INC				Total	\$5,140,070.00						Total	\$867,075.00					
CENTRAL FENCE CO	0A8901	Nap	12,29,121,128,221	Metal beam guard railing to be reconstructed	\$2,670,000.00	120			12/04/06	01/03/07		\$1,699,385.00					01/11/07
CENTRAL FENCE CO	1A6501	Son	101	Construct Metal Beam Guard/Railing	\$2,391,116.00	120			04/01/08	04/30/08		\$1,813,633.50					05/15/08
CENTRAL FENCE CO	0A9111	Son	1,12,116	Replace metal beam guard railing and terminal systems.	\$1,670,410.00	80			07/06/09	07/29/09		\$957,495.00	8.00		12.20		08/17/09
CENTRAL FENCE CO				Total	\$6,731,526.00						Total	\$4,470,513.50					
CENTRAL VALLEY ENGINEERING & ASPHALT INC	3A8501	Son	12	Replace asphalt concrete surfacing.	\$802,770.00	30			04/14/08	05/13/08		\$563,675.80			5.00	2.00	05/29/08
CENTRAL VALLEY ENGINEERING & ASPHALT INC	1E1101	Sol	113	Cold plane Asphalt Concrete pavement and Hot Mix Asphalt surfacing.	\$550,280.00	40			04/28/08	05/30/08		\$508,943.10			5.00	1.12	06/16/08
CENTRAL VALLEY ENGINEERING & ASPHALT INC				Total	\$1,353,050.00												

AWARDED PROJECTS IN DISTRICT 4 TO October 17, 2009

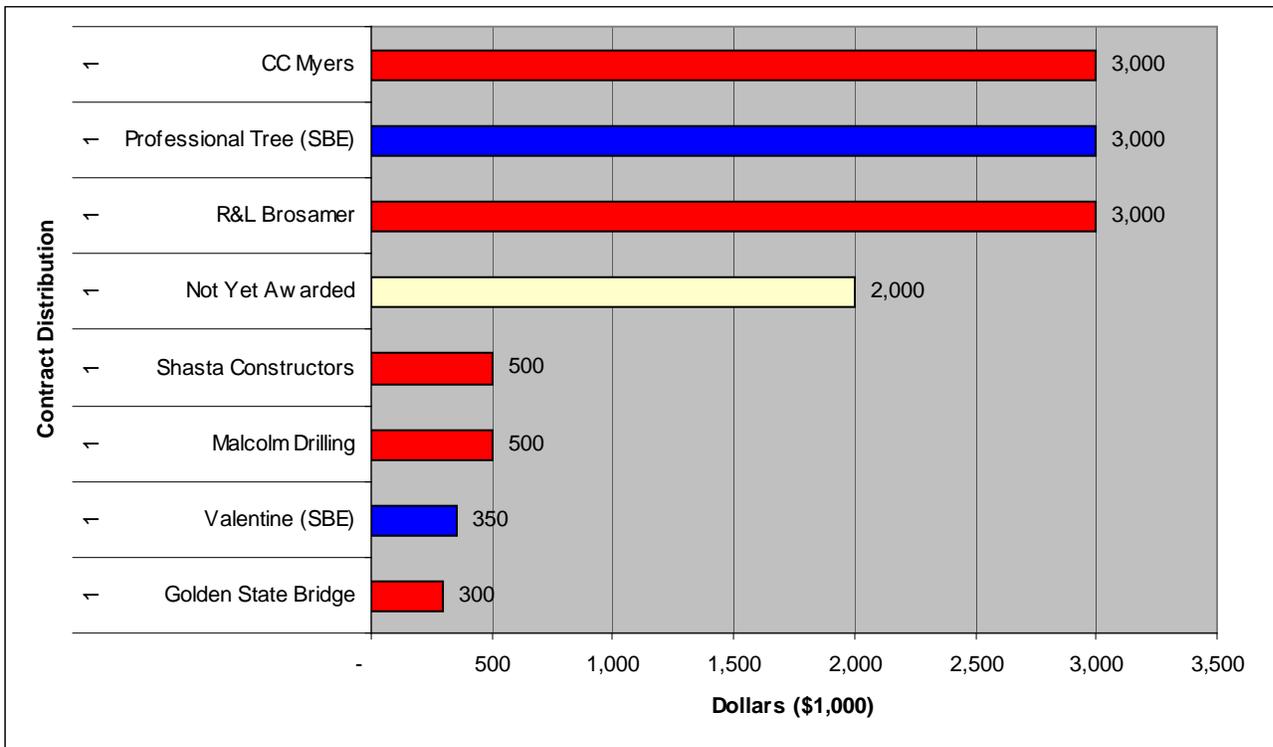
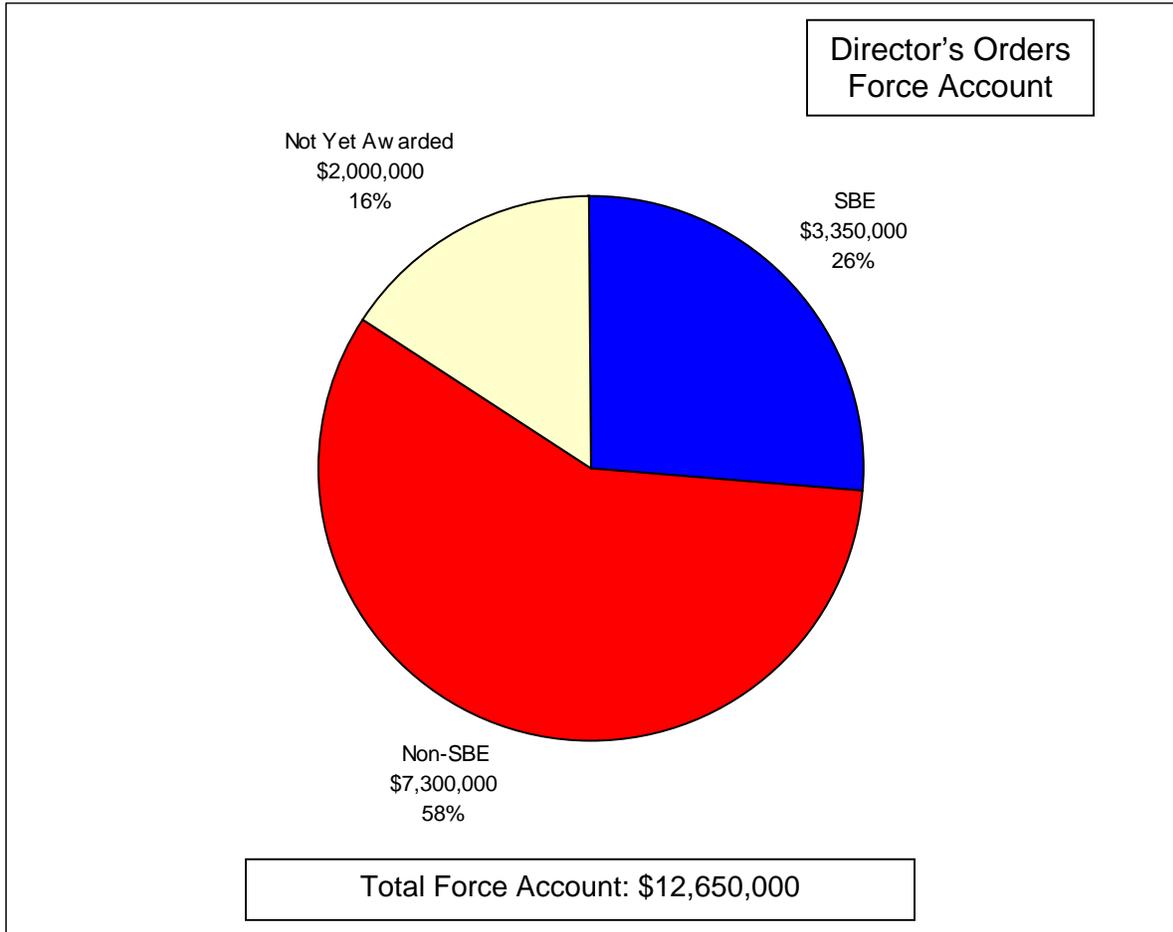
AWARDED TO	EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	AD	BO	A+B BID	BID AMT OR "A" AMT	UDBE GOALS	DVBE GOALS	DBE CERT	DVBE CERT	AWARDED DATE	
GALLAGHER & BURK INC	4A5801	Ala	24	Cold plane and place rubberized hot mix asphalt (gap graded) on ramps.	\$790,624.00	45			04/20/09	05/19/09		\$619,835.00					06/01/09	
GALLAGHER & BURK INC	1E3201	SM	101	Cold plane and place rubberized hot mix asphalt (gap graded).	\$2,806,440.00	80			05/26/09	06/24/09		\$2,295,770.00	4.00		4.30		07/20/09	
GALLAGHER & BURK INC					Total						\$3,597,064.00							
GEORGE BIANCHI CONSTRUCTION INC	449201	SM	1	Install Concrete Barrier (Type 732B)	\$480,835.60	60			05/14/07	06/12/07		\$496,702.41		3.00		3.00	06/22/07	
GEORGE BIANCHI CONSTRUCTION INC					Total						\$480,835.60							
G BORTOLOTTO & COMPANY	0E4101	SM	82	Replace Asphalt Concrete Surfacing	\$843,299.70	40			04/16/07	05/16/07		\$640,331.19		3.00		3.41	05/31/07	
G BORTOLOTTO & COMPANY	1E5101	SM	1	Cold plane existing pavement and place hot mix asphalt.	\$731,855.00	40			04/27/09	05/22/09		\$602,803.00		5.00		5.90	06/09/09	
G BORTOLOTTO & COMPANY					Total						\$1,575,154.70							
GHILOTTI BROS INC	444701	Sol	80	cold plane existing AC parking areas and resurface, landscaping	\$688,703.10	310	280		01/02/07	01/30/07		\$582,304.00		3.00		2.19	02/09/07	
GHILOTTI BROS INC	129651	Sol	101	Widen to 6 lanes and reconstruct Wilfred Avenue Interchange.	\$57,065,087.00	870	30		10/20/08	12/17/08		\$37,967,153.75		5.00		0.48	03/03/09	
GHILOTTI BROS INC	2409U1	Sol	80	Widen freeway and bridges, rehab pavement and upgrade median barrier	\$27,461,571.00	620	250		04/21/08	06/10/08		\$20,654,247.96					06/20/08	
GHILOTTI BROS INC	4C1521	Sol	80	Crack seal and overlay on the mainline with shoulder backing.	\$46,054,235.00	190		Y	01/22/08	03/11/08	\$34,105,422 + 190 Days	\$34,105,422.00					03/18/08	
GHILOTTI BROS INC	3S7801	Son	116	Construct shoulder backing and rock slope protection.	\$101,280.00	40			11/05/07	12/04/07		\$67,777.00					12/12/07	
GHILOTTI BROS INC	3A5201	CC	4	Repair slipout	\$484,500.00	60			07/09/07	08/08/07		\$262,867.80		3.00		3.00	08/20/07	
GHILOTTI BROS INC	226141	Mfn	101	WIDEN FREEWAY, RECONSTRUCT RAILROAD TRACK, CONSTRUCT BICYCLE TRAIL	\$48,370,074.90	515	250	Y	04/02/07	05/30/07	\$43,851,526.41 + 265 Days	\$43,851,526.41					07/17/07	
GHILOTTI BROS INC					Total						\$169,225,451.00							
GHILOTTI CONSTRUCTION COMPANY INC	0C7901	Sol	12	Place Asphalt Concrete Surfacing	\$8,520,000.00	95			03/19/07	04/17/07		\$4,772,973.50					04/24/07	
GHILOTTI CONSTRUCTION COMPANY INC	1E5601	Mfn	131	Overlay roadway with rubberized hot mix asphalt (gap graded).	\$1,951,415.00	60			03/02/09	04/01/09		\$1,363,838.00		5.00		3.40	04/20/09	
GHILOTTI CONSTRUCTION COMPANY INC	219861	Son	12	Construct left turn channelization and realign roadway.	\$3,222,295.00	480	250		09/08/08	10/15/08		\$2,141,143.50					11/26/08	
GHILOTTI CONSTRUCTION COMPANY INC	1E5501	SM	101	RHMA Overlay	\$3,524,227.00	80			01/12/09	02/11/09		\$2,774,093.50		5.00		0.10	03/05/09	
GHILOTTI CONSTRUCTION COMPANY INC	2A9901	SF	280	Grind existing pavement and hot mix asphalt surfacing.	\$4,277,215.00	120			04/07/08	05/14/08		\$3,094,849.20		5.00		2.08	06/03/08	
GHILOTTI CONSTRUCTION COMPANY INC	0A0401	Sol	12	A truck climbing lane to be constructed	\$8,616,413.00	130		Y	11/05/07	12/12/07	\$6,000,211 + 130 Days	\$6,000,211.00					12/20/07	
GHILOTTI CONSTRUCTION COMPANY INC	0C9601	SM	280	AC Pavement to be overlaid and PCC slab to be ground/replaced.	\$26,058,350.00	240			10/30/06	01/03/07		\$19,332,619.00					01/11/07	
GHILOTTI CONSTRUCTION COMPANY INC	290831	Ala	580	Widen freeway, rehab pavement, construct RW and bridge footings.	\$51,505,610.84	490			01/05/09	06/03/09		\$30,454,448.00	5.00		5.00		07/22/09	
GHILOTTI CONSTRUCTION COMPANY INC	1E5301	Son	12	Resurface AC pavement	\$3,260,000.00	90			01/20/09	02/18/09		\$2,552,691.00		5.00		0.18	04/02/09	
GHILOTTI CONSTRUCTION COMPANY INC					Total						\$110,935,525.84							
GORDON N BALL INC	2937U1	Mfn	101	Widen ramp with AC, AB, and AS, construct concrete retaining wall.	\$6,570,216.60	480	250		11/27/06	01/03/07		\$4,897,264.48					01/18/07	
GORDON N BALL INC	0A2401	SCI	101	Replace slow weigh-in-motion system.	\$1,117,133.00	165			04/28/08	05/30/08		\$839,808.00		5.00		6.20	06/17/08	
GORDON N BALL INC	3S8401	Son	1	Construct tie back wall and widen shoulder.	\$2,550,581.00	120			08/11/06	09/16/06		\$1,986,732.00					09/26/06	
GORDON N BALL INC	3S6301	SM	84	This project will construct a tieback retaining wall.	\$1,708,564.00	130			12/18/07	01/08/08		\$1,355,315.00					01/16/08	
GORDON N BALL INC	3S5611	Mfn	1	REPAIR DRAINAGE SYSTEM AND WIDENING SHOULDERS	\$296,070.00	60	40		08/08/09	07/15/09		\$260,840.00	5.00		0.80		08/04/09	
GORDON N BALL INC	2S2201	CC	24	Construct a soil nail wall to repair storm damage	\$826,827.00	110			07/16/07	08/08/07		\$738,098.00		3.00		1.90	09/19/07	
GORDON N BALL INC					Total						\$13,069,391.60							
GRANITE CONSTRUCTION COMPANY	4R7601	Ala	185	Replace Asphalt Concrete Surfacing	\$285,400.00	30			04/16/07	05/15/07		\$282,560.00		3.00		11.80	05/24/07	
GRANITE CONSTRUCTION COMPANY	3A5601	SCI	152	Install centerline rumble strip.	\$354,000.00	60	0		04/13/09	05/06/09		\$205,671.00		5.00		7.30	05/22/09	
GRANITE CONSTRUCTION COMPANY	1E3901	SCI	35	Overlay roadway with rubberized hot mix asphalt (gap graded).	\$1,224,837.00	40			05/26/09	06/23/09		\$1,045,045.00	4.00		7.80		07/16/09	
GRANITE CONSTRUCTION COMPANY	0E3101	SM	84	Bonded wearing course surfacing	\$2,512,730.00	80			01/16/07	02/14/07		\$2,844,844.00		3.00		3.19	02/27/07	
GRANITE CONSTRUCTION COMPANY					Total						\$4,376,967.00							
GOODWIN CONSTRUCTION INC	1A7601	Son	101	INSTALL CROSS CULVERTS AND DRAINAGE PIPES	\$327,501.50	60			02/13/07	03/13/07		\$358,300.00		3.00		3.00	03/23/07	
GOODWIN CONSTRUCTION INC	2S5401	SM	92	Mitigation and restoration for storm damage repair	\$363,030.00	190	125		05/21/07	06/13/07		\$461,640.00		3.00		4.40	06/29/07	
GOODWIN CONSTRUCTION INC					Total						\$690,531.50							
HARRIS ELECTRIC	3A1101	SM	101	Install standby generator.	\$213,799.00	90			04/06/09	05/06/09		\$144,378.53		5.00		5.20	05/20/09	
HARRIS ELECTRIC					Total						\$213,799.00							
H S R INC	1A8601	SCI	152	Construct concrete barrier and modify drainage facilities.	\$457,095.00	66			08/18/08	09/17/08		\$221,001.00					09/26/08	
H S R INC					Total						\$670,894.00							
J A GONSALVES & SON CONSTRUCTION INC	0E3601	Son	12	Replace Asphalt Concrete pavement and Microsurfacing	\$850,635.00	30			12/10/07	01/16/08		\$521,682.00		3.00		3.00	01/29/08	
J A GONSALVES & SON CONSTRUCTION INC	1E2201	Son	1	Replace asphalt concrete surfacing	\$417,430.00	45			05/26/09	06/23/09		\$369,030.00	4.00		4.00		07/20/09	
J A GONSALVES & SON CONSTRUCTION INC					Total						\$1,268,065.00							
J J NGUYEN INC	2707A1	Ala	13	Perform Highway Planting and Install Irrigation System	\$325,449.50	840	750		09/04/07	09/25/07		\$327,707.00						10/12/07
J J NGUYEN INC	2S6201	SM	1	Rock slope protection, timber check dam and erosion control.	\$280,710.00	315	250		04/27/09	05/22/09		\$164,911.00	4.00		99.10		06/15/09	
J J NGUYEN INC	235761	SM	101	Establish Existing Planting	\$168,500.00	500	500		10/29/07	12/05/07		\$130,480.00		3.00		1.1	12/21/07	
J J NGUYEN INC					Total						\$774,659.50							
JOHNSON MECHANICAL CONTRACTORS INC	1A1101	Sol	80	Install generator, upgrade weigh scales, & various electrical systems	\$767,629.00	85			07/16/07	08/14/07		\$439,060.00		3.00		2.60	08/27/07	
JOHNSON MECHANICAL CONTRACTORS INC					Total						\$767,629.00							
H S R INC	1A9901	SCI	880	Construct Portland Cement concrete lined ditch	\$923,580.00	80			11/20/06	02/28/07		\$797,590.00		3.00		2.00	03/22/07	
H S R INC					Total						\$923,580.00							
K L M CONSTRUCTION INC	0E0701	Son	12	Repair hinges and replace joint seals	\$1,195,000.00	105			04/02/07	05/23/07		\$942,545.00		3.00		3.60	06/01/07	
K L M CONSTRUCTION INC					Total						\$1,195,000.00							
KUDSK CONSTRUCTION INC	3A9701	Ala	580	Rebuild scale house.	\$1,083,575.00	150			05/26/09	06/24/09		\$698,170.00		5.00		0.00	07/09/09	
KUDSK CONSTRUCTION INC					Total						\$1,083,575.00							
LINEAR OPTIONS INC	0A7401	SCI	152	Install striping and centerline rumble strip	\$118,550.00	30			12/11/06	01/17/07		\$87,875.00		3.00		3.30	01/25/07	
LINEAR OPTIONS INC	1A0001	Son	12	RUMBLE STRIP	\$942,410.00	90			12/17/07	01/09/08		\$324,915.00					01/22/08	
LINEAR OPTIONS INC	1A7001	Ala	84	Install soft median barrier	\$640,000.00	50			05/29/07	06/27/07		\$345,715.00		3.00		3.48	07/06/07	
LINEAR OPTIONS INC					Total						\$1,700,960.00							
M B SERVICES	1E5901	Ala	580	Replace concrete pavement	\$630,810.00	70			02/23/09	03/24/09		\$392,888.00		5.00		5.45	04/07/09	
M B SERVICES	4C3701	Son	12	Cold plane asphalt concrete pavement and place HMA (A).	\$845,130.00	45			03/30/09	04/28/09		\$507,899.75		5.00		7.70	05/11/09	
M B SERVICES	1E1301	SF	35	Replace asphalt concrete surfacing.	\$853,940.00	40			03/30/09	04/29/09		\$645,623.00		5.00		6.00	05/14/09	
M B SERVICES	0G0801	Son,Sol	37	Replace asphalt concrete surfacing.	\$753,450.00	30			03/23/09	04/08/09		\$415,000.00		5.00		11.00	04/23/09	
M B SERVICES					Total						\$3,083,330.00							
M BUMGARNER INC	2A4601	Mfn	37	DOUBLE THRIE BEAM MEDIAN BARRIER TO BE INSTALLED	\$802,980.00	45			11/26/07	01/09/								

AWARDED PROJECTS IN DISTRICT 4 TO October 17, 2009

AWARDED TO	EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	AD	BO	A+B BID	BID AMT OR "A" AMT	U/D BE GOALS	DVBE GOALS	DBE CERT	DVBE CERT	AWARDED DATE
PAVE TECH INC	3A0301	Son	101	Grind and replace PCC pavement	\$5,627,000.00	120			03/24/08	04/22/08		\$3,862,209.00		5.00		5.50	05/06/08
PAVE - TECH INC	4A5311	Ala	580	Install wireless magnetometer vehicle detection station.	\$1,834,005.00	130			02/25/08	03/25/08		\$1,361,975.00		5.00		6.30	04/15/08
PAVE - TECH INC	0E8101	Sol	80, 505	Treat bridge deck, replace joint seals, and repair approach slabs.	\$1,217,651.00	129			03/10/08	04/09/08		\$731,318.00		5.00		5.40	04/22/08
PAVE TECH INC				Total	\$8,678,656.00							\$5,955,502.00					
PAVEX CONSTRUCTION DIVISION	4R7701	SM	1	Asphalt concrete surfacing	\$2,750,110	90			12/04/06	01/03/07		\$2,354,868.00		3.00		3.60	02/16/07
PAVEX CONSTRUCTION DIVISION	4A3501	SF	280	Widen ramp and remove concrete carrier (type K).	\$492,581	45			03/30/09	04/28/09		\$294,874.30		5.00		6.00	05/07/09
PAVEX CONSTRUCTION DIVISION	0E6801	SCI	130	Asphalt Concrete Surfacing	\$753,405	30			11/26/07	01/16/08		\$557,896.00		3.00		3.20	01/29/08
PAVEX CONSTRUCTION DIVISION	1A6901	Ala	84	OGAC OVERLAY, INSTALL RUMBLE STRIP AND CONCRETE MEDIAN BARRIER.	\$3,398,004	43			05/23/07	06/20/07	\$2,225,463.00 + 43 Days	\$2,225,463.00		3.00		3.10	06/27/07
PAVEX CONSTRUCTION DIVISION	444001	Ala	84	Existing highway to be widened.	\$6,717,129	335	250	Y	10/01/07	11/28/07	\$4,941,027.20 + 85 Days	\$4,941,027.20		3.00		3.10	12/20/07
PAVEX CONSTRUCTION DIVISION	0A0601	SM	280	Widen on-ramp and lengthen merge area	\$775,808	75			04/30/07	05/30/07		\$668,685.00		3.00		3.50	06/28/07
PAVEX CONSTRUCTION DIVISION	4R7701	SM	1	Asphalt concrete surfacing	\$2,750,110	90			12/04/06	01/03/07		\$2,354,868.00		3.00		3.60	02/16/07
PAVEX CONSTRUCTION DIVISION				Total	\$17,637,147.40							\$13,397,681.50					
PENHALL COMPANY	2A7701	SM	101	Treat bridge deck with methacrylate resin and replace joint seals	\$1,920,660	135			04/02/07	05/09/07		\$1,397,946.61		3.00		2.10	05/22/07
PENHALL COMPANY	0E5301	SM	380	Treat bridge deck with methacrylate resin and replace joint seals.	\$1,106,213	130			02/17/09	03/17/09		\$727,693.00		5.00		36.00	04/20/09
PENHALL COMPANY	0E9001	Sol,Nap	12,29,37,80,113,505,780	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEALS	\$494,950	70			01/12/09	02/10/09		\$345,546.50		5.00		1.40	02/27/09
PENHALL COMPANY	0E9501	Ala,SCI	13, 24, 280	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACW JOINT SEALS	\$1,582,420	110			01/20/09	02/18/09		\$802,065.42		5.00		1.50	03/04/09
PENHALL COMPANY	0E7901	Ala	580	TREAT BRIDGE DECK WITH METHACRYLATE, POLYESTER OVERLAY	\$1,414,919	160			01/12/09	02/11/09		\$870,725.50		5.00		1.30	02/27/09
PENHALL COMPANY	0E7601	SCI	Var	TREAT BRIDGE DECK WITH METHACRYLATE AND REPLACE JOINT SEAL	\$1,360,266	170			11/17/08	01/07/09		\$865,523.90		5.00		1.90	01/21/09
PENHALL COMPANY	0E5601	SCI	85,87,280	Replace joint seals assemblies & treat bridge deck with methacrylate.	\$2,612,710	181			04/07/08	05/13/08		\$1,744,074.70		5.00		1.08	05/27/08
PENHALL COMPANY	0E7501	SCI	280	Treat bridge deck with methacrylate and replace joint seals.	\$1,026,568	160			03/03/08	04/02/08		\$659,488.00		5.00		2.30	04/15/08
PENHALL COMPANY	0E1201	SCI	85,87	Replace joint seal assemblies.	\$650,283	60			03/17/08	04/16/08		\$365,432.00		5.00		0.46	05/01/08
PENHALL COMPANY	0E1001	CC	580	Replace joint seal assemblies	\$617,783	55			10/22/07	11/28/07		\$390,919.10		3.00		0.80	12/14/07
PENHALL COMPANY	2A7601	SM	92	Treat bridge deck with methacrylate resin and replace joint seals	\$2,043,988	129			05/07/07	06/06/07		\$1,152,800.64		3.00		0.30	06/20/07
PENHALL COMPANY	2A7001	Ala	24/980	Methacrylate Bridge decks and replacement joint seals	\$4,031,550	160			04/09/07	05/22/07		\$1,874,055.40		3.00		0.10	06/05/07
PENHALL COMPANY				Total	\$18,862,288							\$11,040,280.77					
PETERSON-CHASE GENERAL ENGINEERING CONSTRUCTION INC	0A9121	Son	101, 121, 128	Upgrade traffic barrier and guard rail end terminals	\$1,779,905	65		Y	06/29/09	07/28/09	\$1,362,415.83 + 65 Days	\$1,362,415.83	9.00			11.10	08/19/09
PETERSON-CHASE GENERAL ENGINEERING CONSTRUCTION INC				Total	\$1,779,905							\$1,362,415.83					
PRISM ENGINEERING INC	1A0501	SCI	82	Install traffic signal, remove some island to extend left turn lane.	\$352,700	80			05/21/07	06/12/07		\$331,500.00		3.00		2.00	08/15/07
PRISM ENGINEERING INC				Total	\$2,132,605							\$331,500.00					
PROFESSIONAL CONSTRUCTION SERVICES	1E1401	Sol	80	PCC pavement to be replaced with rapid strength concrete.	\$651,550	75			09/05/07	09/12/07		\$351,720.00					09/24/07
PROFESSIONAL CONSTRUCTION SERVICES	1E4401	Ala	580	REPLACE CONCRETE PAVEMENT	\$595,890	70			02/17/09	03/18/09		\$417,500.00		5.00		5.10	03/27/09
PROFESSIONAL CONSTRUCTION SERVICES	1A2401	Ala	980, 24	PAVE EXISTING PLANTING STRIP	\$398,901	30			12/15/08	01/21/09		\$319,855.90		5.00		5.00	02/11/09
PROFESSIONAL CONSTRUCTION SERVICES	2A8101	SCI	101	Replace cracked PCC pavement	\$820,860	30			01/22/07	02/21/07		\$640,232.50		3.00		3.11	03/06/07
PROFESSIONAL CONSTRUCTION SERVICES	0E2901	Ala	680	Replace concrete pavement (RSC)	\$584,000	60			02/05/07	03/07/07		\$488,165.00		3.00		3.00	03/20/07
PROFESSIONAL CONSTRUCTION SERVICES				Total	\$3,051,201							\$2,217,473.40					
REGE CONSTRUCTION INC	4A2701	Son	128	Remove and replace existing culverts.	\$220,293	50			03/30/09	04/29/09		\$89,075.00		5.00		10.40	05/14/09
REGE CONSTRUCTION INC				Total	\$220,293							\$89,075.00					
R G W CONSTRUCTION INC	0E4001	Ala	112	Replace asphalt concrete surfacing.	\$943,255	40			04/07/08	05/06/08		\$577,755.00		5.00		0.07	05/16/08
R G W CONSTRUCTION INC	0T1001	Sol	12	Rehabilitate roadway and widen roadway and shoulder.	\$38,461,032	420			10/06/08	12/09/08		\$20,406,397.95					12/17/08
RGW CONSTRUCTION INC	448801	SCI	152	Replace bridge, construct soil nail wall, drainage improvements	\$9,290,489	430			09/15/08	11/14/08		\$5,223,882.00					11/25/08
R G W CONSTRUCTION INC	1E0801	CC	4	Replace asphalt concrete surfacing.	\$715,760	40			04/21/08	05/20/08		\$482,294.00		5.00		0.00	06/03/08
R G W CONSTRUCTION INC	171331	Ala	84,580	Construct Isabel Ave#1-580 interchange.	\$46,596,172	350		Y	01/26/09	06/09/09	\$24,368,000.20 + 350 Days	\$24,368,000.20	7.00			1.70	07/23/09
R G W CONSTRUCTION INC	272021	SCI	280	Existing highway to be surfaced and bridge decks to be treated.	\$10,802,859	175	125	Y	04/27/09	06/02/09	\$7,575,513 + 50 Days	\$7,575,513.00	7.00			0.80	06/19/09
R G W CONSTRUCTION INC	174931	SCI	152	PLACE AC, CONSTRUCT TRUCK LANE, RETAINING WALL AND SOIL NAIL WALL.	\$10,264,802	150		Y	03/05/07	04/24/07	\$8,968,934 + 150 Days	\$8,968,934.00					06/07/07
R G W CONSTRUCTION INC				Total	\$117,074,389							\$67,602,776.15					
ROBERT J FRANK CONSTRUCTION INC	358001	Son	128	Existing slide to be repaired with rock slope protection	\$217,720	40			08/27/07	09/26/07		\$163,310.00		3.00		3.11	10/03/07
ROBERT J FRANK CONSTRUCTION INC	257001	Son	1	Slope excavation, install underdrain and cross culvert.	\$142,975	30			07/07/08	08/06/08		\$106,010.00		5.00		11.30	08/15/08
ROBERT J FRANK CONSTRUCTION INC				Total	\$360,695							\$269,320.00					
ROYAL ELECTRIC COMPANY	163611	Ala	260,580	Replace fluorescent lighting with high pressure sodium lights.	\$5,822,375	275			11/27/06	01/03/07		\$3,336,250.00					01/10/07
ROYAL ELECTRIC COMPANY	445401	Ala	260	Replace Existing 12 kV Switchgear and Transformers.	\$1,606,710	100			05/07/07	06/06/07		\$2,386,116.00		3.00		3.40	06/22/07
ROYAL ELECTRIC COMPANY				Total	\$7,429,085							\$5,722,366.00					
ROSENDIN ELECTRIC INC	4A5341	Sol,Nap	80	Install wireless magnetometer vehicle detection station.	\$2,752,895	150			02/25/08	04/08/08		\$1,414,217.00		5.00		8.30	04/23/08
ROSENDIN ELECTRIC INC				Total	\$2,752,895							\$1,414,217.00					
SGC CONSTRUCTION	4A4501	Sol	80	Replace weigh scales.	\$644,000	140			06/08/09	07/14/09		\$299,510.00		5.00		16.10	08/04/09
SGC CONSTRUCTION				Total	\$644,000							\$299,510.00					
SIERRA EQUIPMENT RENTAL INC	4A6101	Sol	80	REMOVE AND REPLACE EXISTING PCC PAVEMENT WITH ASPHALT CONCRETE.	\$1,640,420	60			09/18/07	09/25/07		\$864,078.50		3.00		3.00	10/04/07
SIERRA EQUIPMENT RENTAL INC				Total	\$1,640,420							\$864,078.50					
SITEWORKS CONSTRUCTION INC	3S5501	CC	80	Slide repair, install drain system and reconstruct embankment.	\$243,312	20			07/23/07	08/22/07		\$194,104.91					09/05/07
SITEWORKS CONSTRUCTION INC	251801	Ala	680	Storm damage repair.	\$800,000	60			04/21/08	05/21/08		\$161,368.98		5.00		5.80	06/10/08
SITEWORKS CONSTRUCTION INC	3S7001	Sol	80	Storm water damage to be repaired.	\$430,058	35			11/26/07	01/08/08		\$268,967.27					01/17/08
SITEWORKS CONSTRUCTION INC				Total	\$1,473,370							\$624,441.16					
SOIL ENGINEERING CONSTRUCTION INC	3S6601	SM	35	Repair drainage systems.	\$300,539	60			03/25/09	04/02/09		\$396,119.00					05/14/09
SOIL ENGINEERING CONSTRUCTION INC				Total	\$300,539							\$396,119.00					
STATEWIDE SAFETY	1A5001	SF,SM	35, 80, 92, 101, 280, 380	Upgrade Existing Attenuators	\$1,410,450	60			06/01/09	06/30/09		\$673,823.00	5.00			0.09	07/23/09
STATEWIDE SAFETY				Total	\$1,410,450							\$673,823.00					
STEINY AND COMPANY INC	4A5331	Son,Mm	101	Install wireless magnetometer vehicle detection station.	\$5,503,690	200			02/25/08	04/01/08		\$3,251,190.00		5.00		5.00	04/14/08
STEINY AND COMPANY INC	150471	SM	280	Install traffic management system elements.	\$1,600,000	250			07/27/09	08/26/09		\$918,172.40	4.00			4.50	09/08/09
STEINY AND COMPANY INC				Total	\$7,103,690												

AWARDED TO	EA	CO	RTE	WORK DESCRIPTION	ENGR'S EST	WD	PE	A+B	AD	BO	A+B BID	BID AMT OR "A" AMT	U/DBE GOALS	DVBE GOALS	DBE CERT	DVBE CERT	AWARDED DATE
KEY: NEXT PAGE																	
KEY:																	
EA	Expenditure Authorization (Project's Contract Number)																
RTE	State Route Project is Located On																
CO	County in Which Project is Located																
ENGRS EST	State's Engineer's Estimate of the Cost of Construction																
WD	The Number of Working Days for the Contract (Including Plant Establishment Days, if Applicable)																
PE	Number of Working Days for Plant Establishment																
A+B	A "Y" in this Column Indicates Project Working Days ("B" portion) Subject to Bidding (In Addition to Project Cost ("A" portion)). When Subject to Y Bidding, the "WD" Above are Maximum Number of Working Days Biddable																
AD	Date Project was Advertised																
BO	Scheduled Bid Opening Date																
A+B BID	The Low Bidder's "A" (Cost of Construction) and "B" Values (Number of Working Days - Primary Construction Only, Excluding Plant Establishment Days)																
*	* next to the number in the "DBE Cert" field designates that the number represents a submitted utilization under the race neutral program - not an actual commitment																
Bid AMT OR "A" AMT	Dollar Value of Low Bid; for Y Projects this is Only the "A" Portion (Cost of Construction) of the Y Bid																
DBE GOALS	Disadvantaged Business Enterprise Goals Set for Project Expressed as a Percentage of Bid Amount																
DVBE GOALS	Disabled Veteran Business Enterprise Goals Set for Project Expressed as a Percentage of Bid Amount																
DBE CERT %	Percentage of DBE GOALS Certified By Caltrans HQ Awards Unit to Be Credited to Low Bidder																
DVBE CERT %	Percentage of DVBE GOALS Certified By Caltrans HQ Awards Unit to Be Credited to Low Bidder																
AWARDED DATE	Date Project was Awarded to Low Bidder																

District 4 Emergency Contracts
 Small Business Utilization FY 09/10 Report
 (As of 10/14/09)
 Prepared by Division of Maintenance



FY 09/10 Director's Order Emergency Force Account

CO	RTE	PM	EA	Prime Contractor	Type	Subcontractors	Description of Work	Contract Value
1st Quarter								
SF	80	8.5	4S6804	CC Myers	FA	American Bridge Fluor JV Danny's Construction Stinger Welding	attach saddle to cracked eye-bar, SFOBB	\$ 3,000,000
SF	101 1	8.6/9.6 6.8/7.1	1637H4	Professional Tree Care (SBE)	ELB	Big Ed's Crane (DVBE) Mike O'Briens Hauling CMC Construction Thomas & Pratt (DVBE) Cook, Disharoon & Greathouse Hanford ARC (SBE) National Park Service	tree removal (bird nest mitigation), Doyle Drive, San Francisco	\$ 3,000,000
SCI	101	45.7	0G8204	R&L Brosamer	ELB	TBD	replace damaged concrete girders, Mathilda Avenue, Sunnyvale	\$ 3,000,000
SF	101 1	8.6/9.6 6.8/7.1	1637G4	Not Yet Awarded	ELB	TBD	building stabilization, Doyle Drive, San Francisco	\$ 2,000,000
SF	101	8.3/9.4	1637J4	Malcolm Drilling	ELB	Weber Electric	cement deep soil mixing and CIDH piles, Doyle Drive, San Francisco	\$ 500,000
Sol	37	7.4	0G7704	Valentine (SBE)	ELB	TBD	replace steel finger joint assembly, Napa River Bridge, Vallejo	\$ 350,000
Nap	29	7.0	0G7804	Golden State Bridge	ELB	Far West Safety (SBE) Kustum Steel (DBE) Professional Const Svcs (SBE/DBE)	replace steel finger joint assembly, Napa River Bridge, Napa	\$ 300,000
2nd Quarter								
Mrn	101	20.5	4S6904	Shasta Constructors	ELB	TBD	install drill and bond dowels at separated girders, Novato Creek, Novato	\$ 500,000

Contract Type:

FA - Force Account (No Bid)

ELB - Force Account (Bid Markups)

 Update since previous report

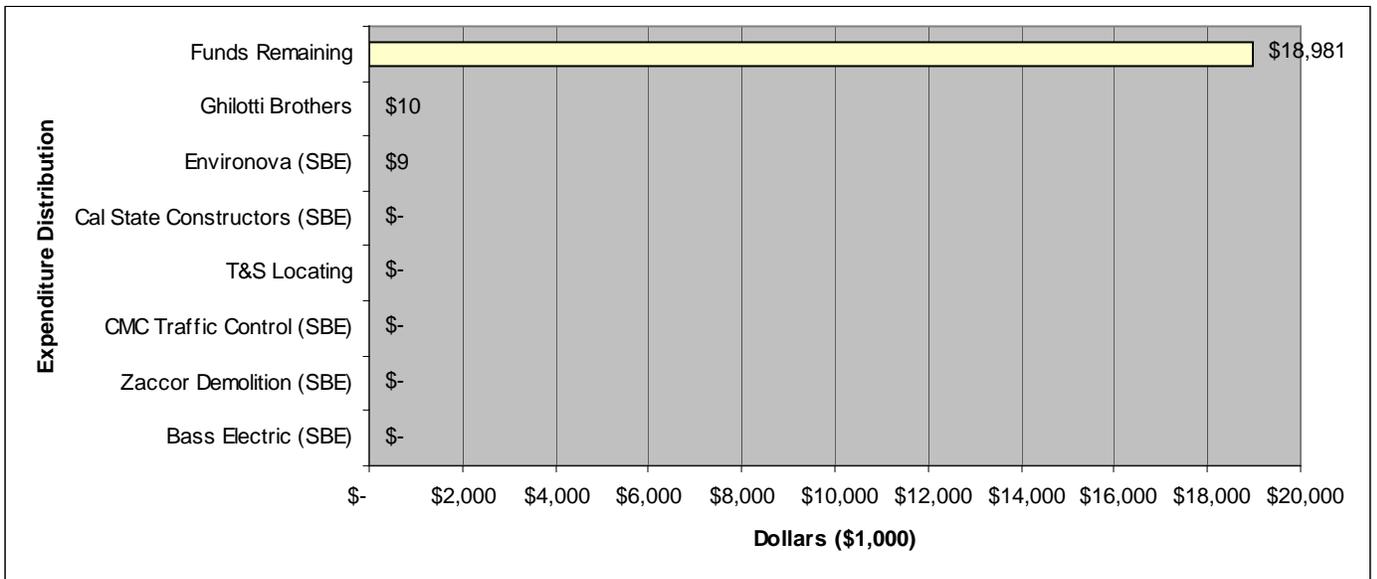
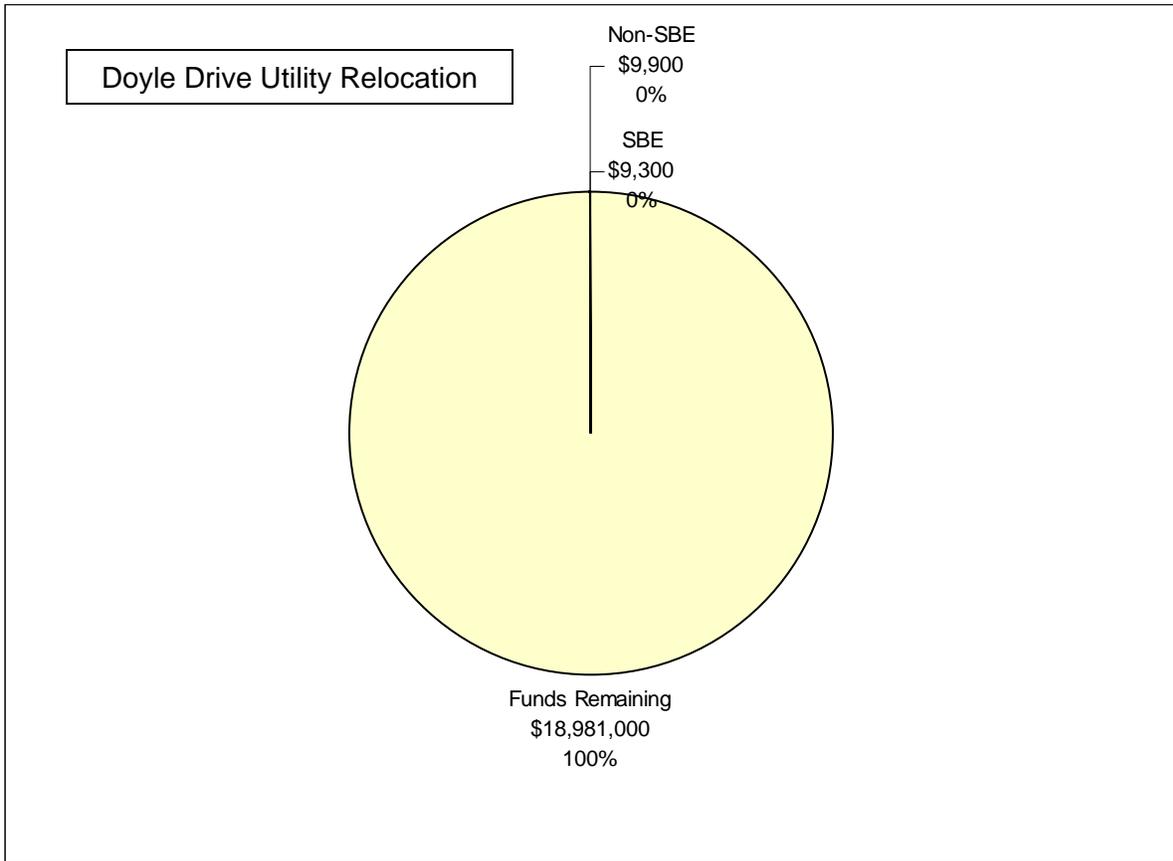
FY 09/10 District Director's Order
Force Account

CO	RTE	PM	EA	Contractor	Amount	Description of Work
CC	80	3.4	1F1605	Norcal (SBE)	\$120,000	install drainage, Clinton Avenue, Richmond

FY 09/10 Director's Order
Informal Bid

CO	RTE	PM	EA	Contractor	Amount	Description of Work
Nap	128	9.5	2S3704	North Bay	\$547,000	install RSP and replace culvert, Lake Henessey, near Saint Helena

District 4 Emergency Contracts
 Small Business Utilization FY 09/10 Report
 (As of 10/14/09)
 Prepared by Division of Maintenance





District 4 Consultant Services Unit

Recently Executed A&E Contracts

Contract No.	Contract Description	Contract Advertisement Status	Firm Selected	Recently Executed Contracts Month/Year	Contract Type	Contract Term (number of years)	Contract Amount	Contract Goal			
								SBE	RNDBE ¹	UDBE ²	DVBE
1	04A2938	Completed	Wreco (DBE) ³	26-Dec 2007	Environmental Engineering/Water Quality	3.0	\$5.0 M	NA	53%	NA	NA
2	04A2945	Completed	TRS ³ /CEL	24-Jan 2008	Materials Testing	3.0	\$5.0 M	NA	51%	NA	NA
3	04A2944	Completed	Signet	25-Feb 2008	Materials Testing	3.0	\$5.0 M	NA	14%	NA	NA
4	04A2968	Completed	HNTB ³	29-Feb 2008	Program/Project Management	7.0	\$ 25 M	50%	NA	NA	3%
5	04A2917	Completed	URS ³	30-Jun 2008	Construction Support	3.0	\$3.0 M	NA	18%	NA	NA
6	04A3144	Completed	Caltrop ³	26-Nov 2008	Source Inspection and Material Engineering Service	3.0	\$40 M	25%	NA	NA	5%
7	04A3157	Completed	Chaudhary & Associates (SBE)	12-Dec 2008	Land Surveying	2.5	\$2.7 M	25%	NA	NA	5%
8	04A3116	Completed	PB America ³	31-Dec 2008	Environmental Services	4.0	\$5.0 M	25%	NA	NA	5%
9	04A3161	Completed	DKS Associates (SBE)	28-Jan 2009	Traffic Operations	3.0	\$2.0 M	90%	0% ⁴	NA	NA
10	04A3237	Completed	Psomas	24-Jun 2009	Surveying	3.0	\$2.5 M	NA	0% ⁵	NA	5%
11	04A3324	Completed	Caltrop ³	29-Sep 2009	Program / Project Management	3.0	\$3.0 M	NA	6.75%	6.75%	NA

Recently Executed Non A&E Contracts

1	04A2854	Completed	Padilla & Associates (SBE) (DVBE)	1-Feb 2008	SBE Construction Coordination Outreach for Toll Bridge Projects	3.0	\$ 1.70 M	25%	NA	NA	3.5%
2	04-84423	Completed	Paul Pendergast & Associates (SBE)	11-Mar 2009	Calmentor Meeting Facilitation (CMAS)	3.0	\$ 237 K	100%	NA	NA	NA
3	04A3313	Completed	O'Rorke (SBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	\$ 201 K	100%	NA	NA	NA
4	04A3314	Completed	TMD Group (SBE) (DBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	\$ 250 K	100%	NA	NA	NA
5	04A3315	Completed	O'Rorke (SBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	\$ 205.8 K	100%	NA	NA	NA

Upcoming New A&E Contracts

Contract No.	Contract Description	Contract Advertisement Status ⁶	Top Ranked Consultant ⁷	Estimated Contract Execution Month/Year	Contract Type	Contract Term (number of years)	Contract Amount	Contract Goal			
								SBE	RNDBE	UDBE	DVBE
1	04A3350	Completed	Wreco (DBE) ³	Nov. 2009	Hydraulics	3.0	\$3.0 M	NA	25%	6.75%	NA
2	04A3357	Completed	CH2MHill ³	Nov. 2009	Claims and Scheduling	5.0	\$ 10 M	25%	NA	NA	10%
3	04A3373 (Formerly 04NEW320-08)	Completed	TBD	Jan. 2010	Construction Support	5.0	\$12.5 M	50%	NA	NA	5%
4	04A3374 (Formerly 04NEW304-08)	Completed	TBD	Jan. 2010	Environmental Support	3.0	\$ 5 M	NA	10.25%	6.75%	NA
5	04A3375 (Formerly 04NEW305-08)	Completed	TBD	Jan. 2010	Environmental Support	3.0	\$ 3 M	NA	10.25%	6.75%	NA
6	04A3376 (Formerly 04NEW306-08)	Completed	TBD	Jan. 2010	Environmental Support	3.0	\$ 4.5 M	NA	10.25%	6.75%	NA
7	04A3395 (Formerly 04NEW323-09)	Oct. 26-Nov. 25 (A)	TBD	Feb 2010	Construction Support	5.0	\$ 12.5 M	50%	NA	NA	5%
8	04NEW286-08	November 2009 (T)	TBD	Mar. 2010	Traffic Forecasting	3.0	\$0.75 M	NA	TBD	TBD	NA

Upcoming New Non-A&E Contracts

1	04NEW325-09	February 2010 (T)	TBD	Mar. 2010	Toll Bridge SB Administration	0.5	TBD ⁸	100%	NA	NA	NA
2	04NEW327-09	TBD	TBD	TBD	Toll Bridge SB Administration	3.0	TBD	25%	NA	NA	5%

Note: ¹ Race Neutral Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement
² Underutilized Disadvantaged Business Enterprise, minimum goal of 6.75%, effective September 2009 contract advertisement
³ Prime Consultant is a District 4 Calmentor Member
⁴ No DBE participation, however, the prime is SBE and has a 90 % contract participation.
⁵ No DBE participation under the race neutral program. However, DVBE participation is expected to be between 5 % to 10% before contract ends.
⁶ For upcoming contracts, visit <http://caltrans-opac.ca.gov/aefinfo.htm>
⁷ Top Ranked Firm is selected based on Qualification. Cost Negotiation to follow the interview selection.
⁸ Maximum Contract Amount for California Multiple Award Schedule (CMAS) is \$ 250,000

Legend: (A) Actual Dates
(T) Target Date
(TBD) To Be Determined

**SOUTH ACCESS TO THE GOLDEN GATE BRIDGE - DOYLE DRIVE
DOYLE DRIVE**

Contract #	Project #	Contract Name	Contractor Name (Prime in Bold)	Certification Status	Office Location	Funding Source	SBE Goal	DVBE Goal	LBE Goal	DBE Goal	UDBE Goal	Total Award	Total Award To Date	SBE	DVBE	LBE	DBE	UDBE	SBE % PTD	DVBE % PTD	LBE % PTD	DBE % PTD	UDBE % PTD
CT-04-1637F4	1.1	Seed Collection/ Propagation	Hanford Applied Restoration and Conservation	SBE	Sonoma	State	25.0% \$ 150,000.00	5.0% \$ 30,000.00	0.0% \$ -	0.0%	0.0%	\$ 600,000		\$ 600,000	\$ -	\$ -	\$ -	\$ -	100%	0%	0%	0%	0%
CT-04-1637H4	1.3	Bird Nest Mitigation/ Tree Removal				State	25.0% \$ 750,000.00	5.0% \$ 150,000.00	0.0% \$ -	0.0%	0.0%	\$ 3,000,000		\$ 3,000,000	\$ -	\$ -	\$ -	\$ -	93.77%	6%	0%	0%	0%
			The Professional Tree Care Co.	SBE	Berkeley							\$ 851,731	\$ 851,731	\$ -	\$ -	\$ -	\$ -		71%	0%	0%		
			Big Eds Crane Service	DVBE	El Granada							\$ 21,470	\$ -	\$ 21,470	\$ -	\$ -	\$ -		0%	2%	0%		
			Mike O'Briens Spec. Hauling	SBE								\$ 3,131	\$ 3,131	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			CMC Construction	SBE	Emeryville							\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -		4%	0%	0%		
			Thomas & Pratt	DVBE	Vallejo							\$ 51,876	\$ -	\$ 51,876	\$ -	\$ -	\$ -		0%	4%	0%		
			Cook, Disharoon & Greathouse	SBE	Oakland							\$ 20,877	\$ 20,877	\$ -	\$ -	\$ -	\$ -		2%	0%	0%		
			Hanford ARC	SBE	Sonoma							\$ 194,890	\$ 194,890	\$ -	\$ -	\$ -	\$ -		16%	0%	0%		
			National Park Service									\$ 1,100	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
CT-04-1637J4	1.4	Cement Deep Soil Mixing/CIDH Test Project	Malcolm Drilling Company		S.F.	State	25.0% \$ 125,000.00	5.0% \$ 25,000.00	0.0% \$ -	0.0%	0.0%	\$ 500,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	0%	0%	0%	0%
CT-04-1637Z4	2	Utilities Construction				State	25.0% \$ 950,000.00	5.0% \$ 190,000.00	0.0% \$ -	0.0%	0.0%	\$ 3,800,000							54%	0%	0%		
			Ghilotti Brothers Inc.		San Rafael							\$ 69,172	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			EnviroNova	SBE	S.F.		25.0%	5.0%	0.0%	0.0%	0.0%	\$ 9,360	\$ 9,360	\$ -	\$ -	\$ -	\$ -		6%	0%	0%		
			(Second Tier Sub AFA)	DVBE	Vacaville							\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			Cal State Constructors	SBE	S.F.							\$ 71,473	\$ 71,473	\$ -	\$ -	\$ -	\$ -		48%	0%	0%		
			Zacor Demolition	SBE	Oakland							\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			Bass Electric	SBE	SF							\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			T&S Locating				25.0%	5.0%	0.0%	0.0%	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
			CMC Traffic Control	SBE	S.F.		25.0%	5.0%	0.0%	0.0%	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		0%	0%	0%		
October, 2009	3					Federal/State/City	25.0%	5.0%			7.0%												

Doyle Drive Contract #	Total Award	Total Award To Date	Commitment to SBE/DVBE/DBE/LBE/UDBE	SBE Percent PTD	DVBE Percent PTD	LBE Percent PTD	DBE Percent PTD	UDBE Percent PTD	Total PTD	Total Paid During Period (XXXXXX)
1		\$ 4,100,000	\$ 3,600,000	27.3%	1.8%	0.0%	0.0%	0.0%	\$ 1,195,075	N/A
2	\$ 19,000,000.00	\$ 3,800,000	\$ 3,600,000	2.1%	0.0%	0.0%	0.0%	0.0%	\$ 150,006	N/A
3									\$ -	N/A



A Sneak Preview of Caltrans District 4 Construction Projects

Contractor Outreach for Prime Contractors, Sub-contractors, Small Businesses and DVBES

- General bid information will be provided on projects anticipated to be advertised in the next 4 months.
- There are approximately 44 projects with construction costs of nearly \$400 million.
- Don't miss this opportunity to make new contacts and get your questions answered!

Where: Elihu M. Harris State Building
1515 Clay St., Room 1, Oakland, CA 94607

Date: Thursday, November 19, 2009

Time: 10:00 am – 1:00 pm
(Pre-registration Preferred)

Please Pre-register by Monday, November 16, 2009

by fax to 510.286.7015

or website at http://www.dot.ca.gov/dist4/smallbusiness/registration_outreach_111909.htm
mail to Caltrans - District 4, Small Business Office, MS 12K, P.O. 23660, Oakland, CA 94623-0660

For questions contact: adriana_harris@dot.ca.gov

Name _____
Company _____
Address _____ City _____ State _____ Zip _____
Phone _____ Email _____
DGS Certification # _____ # of Attendees _____
Area of specialty _____



Caltrans Small Business Public Contact Hotline: 510.286.7096

BILL NUMBER: AB 31 ENROLLED
BILL TEXT

PASSED THE SENATE AUGUST 24, 2009
PASSED THE ASSEMBLY AUGUST 27, 2009
AMENDED IN SENATE JULY 16, 2009

INTRODUCED BY Assembly Member Price
(Coauthor: Senator Denham)

DECEMBER 1, 2008

An act to amend Section 14838.5 of, and to add Section 14841 to, the Government Code, and to amend Section 10105 of the Public Contract Code, relating to public contracts.

LEGISLATIVE COUNSEL'S DIGEST

AB 31, Price. Public contracts: Small Business Procurement and Contract Act.

(1) The Small Business Procurement and Contract Act permits a state agency to award a contract for goods, services, or information technology with a value of between \$5,000 and \$100,000 to a certified small business, including a microbusiness and a disabled veteran business enterprise, without complying with specified competitive bidding requirements.

This bill would increase the maximum amount of the contract from \$100,000 to \$250,000.

(2) Existing law encourages state agencies that enter into contracts to establish goals to facilitate the participation of small businesses and disabled veteran business enterprises.

This bill also would require the contractor, upon completion of a public contract for which a commitment to achieve small business or disabled veteran business enterprise participation goals was made, to report the actual percentage of small business and disabled veteran business enterprise participation that was achieved.

(3) The State Contract Act governs contracting between state agencies and private contractors, and sets forth requirements for the bidding, awarding, and overseeing of contracts for projects, which it defines to include the construction or other improvement to a state structure or building with a total cost threshold equal to \$100,000 for calendar year 1993, as adjusted every 2 years.

This bill would instead establish a 2010 cost threshold at \$250,000, which would be adjusted every 2 years.

THE PEOPLE OF THE STATE OF CALIFORNIA DO ENACT AS FOLLOWS:

SECTION 1. Section 14838.5 of the Government Code is amended to read:

14838.5. (a) Notwithstanding the advertising, bidding, and protest provisions of Chapter 6 (commencing with Section 14825) of this part and Chapter 2 (commencing with Section 10290) and Chapter 3 (commencing with Section 12100) of Part 2 of Division 2 of the Public Contract Code, a state agency may award a contract for the acquisition of goods, services, or information technology that has an estimated value of greater than five thousand dollars (\$5,000), but less than two hundred fifty thousand dollars (\$250,000), to a

DEPARTMENT OF TRANSPORTATION

OFFICE OF THE DIRECTOR
1120 N STREET, MS-49
SACRAMENTO, CA 95814-5680
PHONE (916) 654-5266
FAX (916) 654-6608
TTY 711



*Flex your power!
Be energy efficient!*

September 30, 2009

To: Transportation Construction Industry

On July 28, 2009, Governor Arnold Schwarzenegger signed Assembly Bill (AB) X4 21. This bill requires State departments to award a State-funded contract with a DVBE goal to the lowest responsible bidder meeting the DVBE contract goal. This bill eliminates the provision of making a good faith effort to meet the goal prior to bid submittal. In addition, this bill declared an urgency, and took effect immediately, upon Governor Schwarzenegger's signature. This bill did not amend existing law, which provides for an annual 3 percent Disabled Veteran Business Enterprise (DVBE) goal that all State departments shall make efforts to meet.

The Governor, the Legislature, and I take seriously the involvement of DVBEs in State-funded contracts. With the passage of ABX4 21, the California Department of Transportation (Caltrans) has taken the necessary steps to implement the new provision, including the following:

- Immediately removed reference to the DVBE good faith effort requirements.
- Increased the DVBE incentive provision from \$100,000 to \$250,000 for major State-funded construction contracts.
- Met with members of the construction and professional services organizations to inform them of the new provision and expressed my expectation to award the contract to the lowest responsible bidder who meets the established DVBE goal and/or by applying the DVBE incentive.
- Met with my executive management team to restate my commitment to meet the overall annual DVBE, small business, and Disadvantaged Business Enterprise goals.
- Established a DVBE goal of up to 5 percent on professional services and Minor B contracts.

Your involvement as prime bidders and consultants is important to Caltrans achieving the annual business goals. As you continue to pursue Caltrans work, we advise you to read the contract provisions for instructions on compliance with the new provision.

If you have additional questions, please contact Olivia Fonseca, Deputy Director, Civil Rights, at (916) 324-0449, or by e-mail sent to smallbusinessadvocate@dot.ca.gov.

Sincerely,

A handwritten signature in black ink that reads "Randell H. Iwasaki".

RANDELL H. IWASAKI
Director

c: Olivia Fonseca, Deputy Director, Civil Rights