District 4 Consultant Services Unit

Recently Executed A&E Contracts

	Contract No.	Contract Description	Contract Advertisement Status	Firm Selected	Recently Executed Contracts Month/Year	Contract Type	Contract Term (number of years)	Contract Amount	Contract Goal: SBE	Contract Goal: DBE: RNDBE[6]	Contract Goal: DBE: UDBE[7]	Contract Goal: DVBE
1	04A2902	Districtwide Undeground Storage Tank (UST) Site Investigation and Monitoring for Maintenance Stations	Completed	SHAW[3]	17-Oct 2007	Environmental Services	3.0	$ 2.0 M	25%	NA	NA	3%
2	04A2906	Districtwide Construction Environmental Support	Completed	Brown & Caldwell	17-Oct 2007	Construction Support	3.0	$3.0 M	NA	0%[5]	NA	NA
3	04A2912	Districtwide Hazardous Waste Site Investigation and Remediation Design Contract	Completed	Geocon	6-Nov 2007	Environmental Services	3.0	$ 3.0 M	NA	21%	NA	NA
4	04A2915	Districtwide Visual Impact, Environmental Design Services	Completed	PB Americas[3]	21-Nov 2007	Environmental Services	3.0	$1.0 M	NA	50%	NA	NA
5	04A2938	Districtwide Water Quality Technical Studies and Erosion/Water Pollution Control Plans	Completed	Wreco (DBE)[3]	26-Dec 2007	Environmental Engineering\Water Quality	3.0	$5.0 M	NA	53%	NA	NA
6	04A2945	On-Call for material sampling, testing and evaluation for South Bay Labs. Various EAs	Completed	TRS/CEL	24-Jan 2008	Materials Testing	3.0	$5.0 M	NA	51%	NA	NA
7	04A2944	On-Call for material sampling, testing and evaluation for North Bay Lab. Various EAs	Completed	Signet	25-Feb 2008	Materials Testing	3.0	$5.0 M	NA	14%	NA	NA
8	04A2968	On-Call Program/Project Management Support Services for Toll Bridge Program	Completed	HNTB[3]	29-Feb 2008	Program/Project Management	7.0	$ 25 M	50%	NA	NA	3%
9	04A2917	Districtwide Construction Claims	Completed	URS[3]	30-Jun 2008	Construction Support	3.0	$3.0 M	NA	18%	NA	NA
10	04A3144	On-Call Structural Material Source Inspection and Testing for Toll Bridge Projects	Completed	Caltrop[3]	26-Nov 2008	Source Inspection and Material Engineering Service	3.0	$40 M	25%	NA	NA	5%
11	04A3157	East Bay On-Call Land Surveying Contract	Completed	Chaudhary & Associates (SBE)	12-Dec 2008	Land Surveying	2.5	$2.7 M	25%	NA	NA	5%
12	04A3116	On-Call Environmental Monitoring and Mitigation Contract for the SFOBB Project (Formerly 04NEW293-08)	Completed	PB America[3]	31-Dec 2008	Environmental Services	4.0	$5.0 M	25%	NA	NA	5%
13	04A3161	On-Call Traffic Engineering, Operations Analysis, Transportation Management Plans. Various EAs.	Completed	DKS Associates (SBE)	28-Jan 2009	Traffic Operations	3.0	$2.0 M	90%	0%[5]	NA	NA
14	04A3237	Right of Way Engineering and Specialty Surveying	Completed	Psomas	24-Jun 2009	Surveying	3.0	$2.5 M	NA	0%[8]	NA	5%

Recently Executed Non A&E Contracts

1	04-83465	Provide Districtwide Meeting Facilitation Services (Procured through CMAS)	Completed	Paul Pendergast & Associates (SBE)	14-Nov 2007	Calmentor Meeting Facilitation (CMAS)	1.5	$129,000	100%	NA	NA	NA
2	04A2854	On-Call for Small Business Outreach, Construction Coordination, media outreach. EA 0120F3. (Formerly 04NEW224-07) Request for Proposal	Completed	Padilla & Associates (SBE) (DVBE)	1-Feb 2008	SBE Construction Coordination Outreach for Toll Bridge Projects	3.0	$ 1.7 M	25%	NA	NA	3.5%
3	04-84423	Provide Districtwide Meeting Facilitation Services (Procured through CMAS) to Replace 04-83465	Completed	Paul Pendergast & Associates (SBE)	11-Mar 2009	Calmentor Meeting Facilitation (CMAS)	3.0	$237,175	100%	NA	NA	NA
4	04A3313 Formerly 04NEW314-09	Emergency Contract for the San Francisco Bay Area Media Buy Out for the Bay Bridge Labor Day Closure	Completed	O'Rorke (SBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	$ 201.5 K	100%	NA	NA	NA
5	04A3314 (Formerly 04NEW315-09)	Emergency Contract for the Sacramento Metro Area Media Buy Out for the Bay Bridge Labor Day Closure	Completed	TMD Group (SBE) (DBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	$ 250 K	100%	NA	NA	NA
6	04A3315 (Formerly 04NEW316-09)	Emergency Contract for the Multi-Media (Statewide) Media Buy Out for the Bay Bridge Labor Day Closure	Completed	O'Rorke (SBE)	22-Jun 2009	Road Closure Media Advertisement	0.5	$ 205.8 K	100%	NA	NA	NA

Upcoming New A&E Contracts

	Contract No.	Contract Description	Contract Advertisement Status [2]	Top Ranked Consultant	Estimated Contract Execution Month/Year	Contract Type	Contract Term (number of years)	Contract Amount	Contract Goal: SBE	Contract Goal: DBE: RNDBE	Contract Goal: DBE: UDBE	Contract Goal: DVBE
1	04A3313 (Formerly 04NEW300-09)	Program / Project Management Support Services in District 4	Completed	TBD	[2] Oct. 2009	Program / Project Management	3.0	$3.0 M	NA	TBD[1]	TBD[1]	NA
2	04A3350 (Formerly 04NEW301-09)	Hydraulic Engineering	Aug 4- Sept 1, 2009 (A)	TBD	[2] Nov. 2009	Hydraulics	3.0	$3.0 M	NA	TBD[1]	TBD[1]	NA
3	04A3357 (Formerly 04NEW319-09)	Claims & Scheduling for SAS Project	Aug 14- Sept 14, 2009 (A)	TBD	[2] Nov. 2009	Claim and Scheduling	5.0	$ 10 M	25%	NA	NA	5%
4	04NEW286-08	On-Call Traffic Forecasts	Sept 2009 (T)	TBD	[2] Nov. 2009	Traffic Forecasting	3.0	$0.75 M	NA	TBD[1]	TBD[1]	NA
5	04NEW320-09	Construction Management Support	Sept 2009 (T)	TBD	TBD	Construction Support	5.0	$ 13 M	25%	NA	NA	5%
6	04NEW304-09	On-Call Environmental Studies for the North Bay Counties	October 2009 (T)	TBD	TBD	Environmental Support	3.0	$8.0 M	NA	TBD[1]	TBD[1]	NA
7	04NEW305-09	On-Call Environmental Studies for the South Bay Counties	October 2009 (T)	TBD	TBD	Environmental Support	3.0	$8.0 M	NA	TBD[1]	TBD[1]	NA

Note: [1] To Be Determined, minimum goal for RNDBE is 6.75% and UDBE is 6.75%
[2] For upcoming contracts, visit http://caltrans-opac.ca.gov/aeinfo.htm
[3] Prime Consultant is a District 4 Calmentor Member
[4] No DBE participation, however, SBE & DVBE subs are participating with 13% SBE and 9 % DVBE goals.
[5] No DBE participation, however, the prime is SBE and has a 90 % contract participation.
[6] Race Neutral Disadvantaged Business Enterprise
[7] Underutilized Disadvantaged Business Enterprise
[8] No DBE participation under the race neutral program. However, DVBE patricipation is expected to be between 5 % to 10% before contract ends.
(A) Actual Dates
(T) Target Date

CALTRANS DIST. 4 CONSULTANT SERVICES
SBE STATUS
QUARTERLY UPDATE

Contracts with Identifiable SBE Dollars **Update:** **06/05/09**

	Contract Number	Prime Consultant[1]	Type of Work	Contract Amount	SBE GOAL		Invoiced to Date			% Contract Completion	
					$	%	% SBE Invoiced	Total ($)	SBE($)	Time	$
Col 1	Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12
1	04A2540	GHIRARDELLI ASSOCIATES (SBE), (DBE)	CONST FIELD SUPPORT	$6,000,000	$1,500,000	25%	92%	$4,945,219	$4,563,446	98%	82%
2	04A2600	CH2MHILL	CONST CLAIMS SUPPORT	$3,000,000	$750,000	25%	0%	$1,499,894	$0	98%	50%
3	04A2835	PINNACLE ONE	CONST FIELD SUPPORT	$8,000,000	$2,000,000	25%	43%	$1,244,147	$536,939	24%	16%
4	04A2836	URS	CONST FIELD SUPPORT	$2,000,000	$500,000	25%	0%	$590,133	$0	62%	30%
5	04A2870	ATHALYE CONSULTING (DBE) (SBE)	CONST ADMIN SUPPORT	$5,000,000	$1,250,000	25%	94%	$1,444,581	$1,358,887	22%	29%
6	04A2871	TRS CONSULTANTS, INC. (DBE) (SBE)	CONST ADMIN SUPPORT	$5,000,000	$2,500,000	50%	90%	$1,745,725	$1,575,625	23%	35%
7	04A2872	CALTROP ENGINEERING CORPORATION	TOLL BRIDGE RISK MGMT	$3,300,000	$825,000	25%	1%	$1,085,285	$7,754	24%	33%
8	04A2893	CH2MHILL	CLAIMS & SCHEDULING	$7,000,000	$1,750,000	25%	6%	$842,805	$51,570	22%	12%
9	04A2902	SHAW	ENVIRONMENTAL SERVICES	$2,000,000	$500,000	25%	2%	$167,749	$2,945	55%	8%
10	04A2968	HNTB	PROGRAM/PROJECT MANAGEMENT	$24,443,940	$12,221,970	50%	39%	$5,328,301	$2,060,003	38%	22%
11	04A3116	PB AMERICA	ENVIRONMENTAL SERVICES	$5,000,000	$1,250,000	25%	20%	$356,385	$71,681	14%	7%
12	04A3144	CALTROP ENGINEERING CORPORATION	MATERIALS TESTING	$40,000,000	$10,000,000	25%	0%	$1,225,031	$0	17%	3%
13	04A3157	CHAUDHARY AND ASSOCIATES (SBE)	LAND SURVEYING	$2,700,000	$675,000	25%	0%	$166,823	$0	19%	6%
			TOTAL	$113,443,940	$35,721,970			$20,642,078	$10,228,850		

[1] (DBE) -Disadvantaged Business Enterprise

(DVBE)- Disabled Veteran Business Enterprise

(SBE)- Small Business Enterprise TBD- To Be Determined

Note: Contracts that are expired as of the reporting date above are excluded.

Overall Average Proposed % SBE Total (Col 6/Col 5) **31%**

Overall % SBE Achieved To Date Total (Col 10/Col 9) **50%**

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CALTRANS DIST. 4 CONSULTANT SERVICES
DBE STATUS
QUARTERLY UPDATE

Contracts with Identifiable DBE Dollars **Update:** **06/05/09**

	Contract Number	Prime Consultant[1]	Type of Work	Contract Amount	DBE GOAL		Invoiced to Date			% Contract Completion	
					$	%	% DBE Invoiced	Total ($)	DBE ($)	Time	$
Col 1	Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12
1	04A1601	HNTB	DESIGN	$16,000,000	$2,720,000	17%	14%	$11,268,254	$1,539,986	65%	70%
2	04A1718	TRS/LAN **	CONST INSPECTION	$3,900,000	$1,950,000	50%	97%	$3,533,536	$3,427,420	83%	91%
4	04A2311	PB AMERICAS	CONST FIELD SUPPORT	$3,000,000	$750,000	25%	0%	$374,761	$0	77%	12%
5	04A2312	URS CORPORATION	CONST. CLAIMS	$3,000,000	$750,000	25%	36%	$1,263,472	$449,850	78%	42%
6	04A2345	HNTB	PROGRAM MANAGEMENT	$9,100,000	$1,547,000	17%	32%	$9,017,863	$2,848,164	79%	99%
7	04A2497	KLEINFELDER	ENVIRONMENTAL	$500,000	$125,000	25%	19%	$168,634	$31,626	79%	34%
8	04A2705	* TOWILL	SURVEYING	$2,000,000	$400,000	20%	12%	$1,935,679	$230,314	81%	97%
9	04A2706	* TOWILL	SURVEYING	$2,500,000	$500,000	20%	1%	$2,322,674	$34,559	81%	93%
10	04A2707	* CHAUDHARY & ASSOCIATES (DBE)	SURVEYING	$2,000,000	$1,600,000	80%	100%	$228,864	$228,864	81%	11%
11	04A2708	* BKF	SURVEYING	$2,000,000	$340,000	17%	19%	$1,248,670	$235,157	81%	62%
12	04A2785	* CH2M HILL	ENVIRONMENTAL	$7,000,000	$700,000	10%	10%	$3,575,159	$341,175	66%	51%
13	04A2786	* URS	ENVIRONMENTAL	$7,000,000	$1,050,000	15%	21%	$3,528,384	$746,823	66%	50%
14	04A2808	* CH2M HILL	DESIGN	$4,000,000	$840,000	21%	36%	$567,221	$206,044	67%	14%
15	04A2809	* URS	DESIGN	$4,000,000	$680,000	17%	11%	$944,570	$108,425	67%	24%
16	04A2810	* PARSONS (Contr Amt = $4,000,000/$5,000,000?)	DESIGN	$4,000,000	$160,000	4%	15%	$397,241	$60,579	67%	10%
17	04A2814	*VALI COOPER	CONST SUPPORT	$8,000,000	$1,360,000	17%	28%	$2,381,169	$674,298	65%	30%
18	04A2815	* PB AMERICA'S, INC.	CONST SUPPORT	$5,000,000	$1,000,000	20%	17%	$742,552	$125,169	65%	15%
19	04A2816	* GHIRARDELLI ASSOCIATES (SBE) (DBE)	CONST SUPPORT	$3,000,000	$2,250,000	75%	86%	$1,455,470	$1,252,384	65%	49%
20	04A2837	* S&C (SBE)	CONST SUPPORT	$7,000,000	$2,100,000	30%	37%	$1,116,334	$417,374	65%	16%
21	04A2838	* HNTB	CONST SUPPORT	$7,000,000	$1,400,000	20%	18%	$753,026	$131,975	68%	11%
22	04A2839	* HATCH MOTT MCDONALD	CONST SUPPORT	$5,000,000	$750,000	15%	11%	$1,060,887	$112,454	64%	21%
23	04A2906	*BROWN & CALDWELL	CONST ENV SUPPORT	$3,000,000	$0	0%	20%	$761,508	$149,735	51%	25%
24	04A2912	*GEOCON, INC.	ENVIRONMENTAL	$3,000,000	$630,000	21%	24%	$285,957	$68,725	52%	10%
25	04A2915	* PB AMERICAS	ENVIRONMENTAL	$1,000,000	$500,000	50%	35%	$270,710	$93,923	50%	27%
26	04A2917	*URS CORPORATION	CONSTRUCTION CLAIMS	$3,000,000	$540,000	18%	12%	$54,920	$6,519	31%	2%
27	04A2938	* WRECO (DBE)	HYDRAULICS	$5,000,000	$2,650,000	53%	39%	$649,094	$253,905	48%	13%
28	04A2944	*SIGNET	MATERIALS TESTING	$4,700,000	$634,500	14%	0%	$451,711	$0	38%	10%
29	04A2945	* TRS (DBE, SBE)/CONSOLIDATED ENG LAB **	MATERIALS TESTING	$6,300,000	$3,213,000	51%	50%	$968,757	$484,379	49%	15%
			TOTAL	$131,000,000	$31,139,500			$51,327,077	$14,259,826		

[1] (DBE) -Disadvantaged Business Enterprise

(DVBE)- Disabled Veteran Business Enterprise

(SBE)- Small Business Enterprise

Note: Contracts marked with * were executed after 5/1/2006, hence their DBE goals are Availability Advisory Percentage (AAP).
Expired contracts as of reporting date above are not included.
Contracts with Double ** are Joint Ventures

Overall Average Proposed % DBE Total (Col 6/Col 5): 24%

Overall % DBE Achieved To Date Total (Col 10/Col 9): 28%

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CALTRANS DIST. 4 CONSULTANT SERVICES
DVBE STATUS UPDATE

Contracts with Identifiable DVBE Dollars **Update:** **6/5/2009**

	Contract Number	Prime Consultant[1]	Type of Work	Contract Amount	DVBE GOAL		Invoiced to Date			% Contract Completion	
					$	%	% DVBE Invoiced	Total ($)	DVBE($)	Time	$
Col 1	Col 2	Col 3	Col 4	Col 5	Col 6	Col 7	Col 8	Col 9	Col 10	Col 11	Col 12
1	04A2540	GHIRARDELLI ASSOCIATES (SBE), (DBE)	CONST FIELD SUPPORT	$6,000,000	$180,000	3%	8%	$4,945,219	$381,773	98%	82%
2	04A2600	CH2MHILL	CONST CLAIMS SUPPORT	$3,000,000	$300,000	10%	23%	$1,499,894	$339,272	98%	50%
3	04A2835	PINNACLE ONE	CONST FIELD SUPPORT	$8,000,000	$240,000	3%	0%	$1,244,147	$0	24%	16%
4	04A2836	URS	CONST FIELD SUPPORT	$2,000,000	$60,000	3%	0%	$590,133	$0	62%	30%
5	04A2870	ATHALYE CONSULTING (DBE) (SBE)	CONST ADMIN SUPPORT	$5,000,000	$150,000	3%	17%	$1,444,581	$244,313	22%	29%
6	04A2871	TRS CONSULTANTS, INC. (DBE) (SBE)	CONST ADMIN SUPPORT	$5,000,000	$150,000	3%	0%	$1,745,725	$0	22%	35%
7	04A2872	CALTROP ENGINEERING CORPORATION	TOLL BRIDGE RISK MGMT	$3,300,000	$99,000	3%	1%	$1,085,285	$7,754	56%	33%
8	04A2893	CH2MHILL	CLAIMS & SCHEDULING	$7,000,000	$210,000	3%	1%	$842,805	$5,243	22%	12%
9	04A2902	SHAW ENVIRONMENTAL, INC.	ENVIRONMENTAL SERVICES	$2,000,000	$60,000	3%	0%	$167,749	$0	55%	8%
10	04A2968	HNTB	PROGRAM/PROJECT MANAGEMENT	$24,443,940	$733,318	3%	0%	$5,328,301	$4,970	22%	22%
11	04A3116	PB AMERICA	ENVIRONMENTAL SERVICES	$5,000,000	$250,000	5%	1%	$356,385	$5,329	14%	7%
12	04A3144	CALTROP ENGINEERING CORPORATION	MATERIALS TESTING	$40,000,000	$2,000,000	5%	0%	$0	$0	17%	0%
13	04A3157	CHAUDHARY AND ASSOCIATES (SBE)	LAND SURVEYING	$2,700,000	$135,000	5%	0%	$166,823	$0	19%	6%
			TOTAL	$113,443,940	$4,567,318			$19,417,047	$988,654		

[1] (DBE) -Disadvantaged Business Enterprise

(DVBE)- Disabled Veteran Business Enterprise

(SBE)- Small Business Enterprise TBD- To Be Determined

Note: Contracts that are expired as of the reporting date above are excluded.

Average Proposed % DVBE Total (Col 6/ Col 5) **4%**

Overall % DVBE Achieved To Date Total (Col 10/Col 9) **5%**

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