

Transportation Enhancement (TE) Application (PSR Equivalent)

TE funds are federal funds and must follow federal funding guidelines and environmental (NEPA) processes.
All projects must have an approved eligible application prior to programming in the RTIP.

PART ONE: GENERAL PROJECT INFORMATION

_____ RTIP TE X ITIP TE Is the project within Caltrans Right of Way? Yes No .

Are you using Recovery Act TE funds? Yes No

Does this project partner with or commit to employ the services of a Community Conservation Corps or the California Conservation Corps? Yes No .

If you answered yes to the above question please list the contact information for the corps.

Corps Name: _____ Contact Name: _____ Phone number: _____

PROJECT TITLE: Highway 101 - Concrete Stain Architectural Treatment

IMPLEMENTING AGENCY Administrator/person with day-to-day responsibility for implementing project (Name, title, agency, address, phone, fax, email)

Rochelle Vierra
Caltrans, District 5
50 Higuera Street
San Luis Obispo, CA 93401
Phone (805) 549-3003, FAX (805) 549-3620

(Round dollars to nearest thousands)

TE FUNDS REQUESTED \$ 1,492,616

State Match (11.47%) \$ 193,384

Local Match (if Required) \$ 0

TOTAL TE PROJECT COST \$ 1,686,000

TE is a stand-alone project.

TE is part of a larger project.

Person who can answer questions about this application (Name, title, phone, fax, email)

Dennis Reeves
District Landscape Architect
Caltrans, District 5
Phone (805) 549-3509, FAX (805) 542-4746

PARTNER(S) (Name, title, agency, address, phone, fax)

N/A

IF TE IS AN ENHANCEMENT TO A LARGER PROJECT, DESCRIBE LARGER PROJECT (if larger project is programmed, provide PPNo, EA, Project Title; if not currently programmed, describe the project)

N/A

Total Project Cost \$ _____

PROJECT SCOPE OF PROPOSED TRANSPORTATION ENHANCEMENT ACTIVITIES
(Describe the project's location, limits of work, size, etc. *Not* the justification or benefits).

PROJECT LOCATION - In Monterey County on Highway 101 in and near Prunedale at various locations.

WORK DESCRIPTION - Stain existing concrete retaining walls, bridge abutment walls, and bridge barrier rails with stone architectural surface treatment to enhance the aesthetic appearance.

LIMITS OF WORK - 05-Mon-101-PM 91.2/99.2

SIZE - 79,600 square feet of staining to existing concrete retaining walls, bridge abutment walls, and bridge barrier rails with stone architectural surface treatment is as follows:

Location 1 - 05-Mon-101-PM R91.45/92.35
Route 101 MSE Retaining Wall (Br No. 44E0015)
Espinosa Rd UC (Br. No. 44-0282 R/L)
Sala Rd OC (Br. No. 44-0283)

Location 2 - 05-Mon-101-PM 93.90/94.55
Reese Circle Retaining Wall
Blackie Road Retaining Wall
Orchard Lane Retaining Wall
Prunedale South Retaining Wall (Br No. 44E0014)
Prunedale South OC (Br No. 44-0284)

Location 3 - 05-Mon-101-PM 95.4/95.7, 156-PM T4.8/T5.2
Prunedale UC (Br No. 44-0278)
S101-W156 Connector UC (Br No. 44-0277F)
Prunedale Retaining Wall (Br No. 44-RW3)
Prunedale OC (Br No. 44-0276Y)
Prunedale Retaining Wall (Br No. 44-RW2)
Prunedale Retaining Wall (Br No. 44-280M)

Location 4 - 05-Mon-101-PM 96.00/96.35
San Miguel Canyon Rd SB On-ramp Retaining Wall
San Miguel Canyon Rd Retaining Wall
San Miguel Canyon Rd Retaining Wall (Br No. 44E0012)
San Miguel Canyon Rd SB Off-ramp Retaining Wall (Br No. 44E0013)
San Miguel Canyon Rd OC (Br No. 44-0271)

Location 5 - 05-Mon-101-PM 98.05/98.80
Crazy Horse Canyon Rd Viaduct NB Off-ramp (Br No. 44-0285)
Crazy Horse Canyon Rd OC (Br No. 44-0286)
Crazy Horse Canyon Rd Viaduct (Br No. 44-0288)
Crazy Horse Canyon Rd Retaining Wall
Route 101 NB Retaining Wall

NEED AND PURPOSE (Describe how is project above and beyond a standard transportation project)

State Route 101 in Monterey County is a four-lane divided expressway and is the major and historic thoroughfare through the Central Coast areas of California. It is also the principle inter-city connection for numerous communities between Los Angeles and San Francisco. Over the past decade several safety and operational improvements from north Salinas through Prunedale have required the construction of bridges and retaining walls. To comply with the Environmental Documents for these projects architectural texture on bridge barrier rails, abutment walls, and retaining walls was required.

This TE application proposes to go above and beyond what was required in the Environmental Documents for these projects by staining the stone texture on the structures with masonry paint. The intent is to enhance the aesthetics of these structures by creating a more realistic stone appearance to blend the infrastructure with rural surroundings and increase the visual continuity through the corridor.

The Prunedale Improvement Project is the last project to be completed in this corridor which began construction in spring of 2011 and is scheduled to complete construction in spring 2014.

RELATIONSHIP (TE projects must have a relationship to surface transportation; describe relation to surface transportation)

This project relates to surface transportation by enhancing the aesthetics of highway infrastructure making it more attractive and visually cohesive with its setting.

CONFORMANCE (Describe conformance with Route Concept Report or Transportation Corridor Report and District System Management Plan - ITIP projects only)

This project is in conformance with the Route Concept Reports for State Route 101.

CONTEXT SENSITIVE SOLUTIONS (Describe how project reflects Director's policy - ITIP projects only)

This proposal addresses the Director's policy on Context Sensitive Solutions by balancing community and aesthetic values while having no negative effect on transportation safety, maintenance or performance goals. Concrete staining of the infrastructure will enhance the visual quality of the transportation corridor and create a cohesive setting within the Prunedale area by having all the different types of infrastructure match.

ALTERNATIVES CONSIDERED

No build alternative would leave the structural facilities as is, with no further aesthetic enhancement.

WHICH OF THE 12 TE CATEGORIES DOES THE PROJECT ENCOMPASS? (May be more than one.)
<http://www.dot.ca.gov/hq/TransEnhAct/TransEnact.htm>

1. Provision of facilities for pedestrians and bicycles
2. Provision of safety and educational activities for pedestrians and bicyclists.
3. Acquisition of scenic easements and scenic or historic sites (including historic battlefields).
4. Scenic or historic highway programs (including the provision of tourist and welcome center facilities).
5. Landscaping and other scenic beautification.
6. Historic preservation.
7. Rehabilitation and operation of historic transportation buildings, structures, or facilities (including historic railroad facilities and canals).
8. Preservation of abandoned railway corridors (including the conversion and use of the corridors for pedestrian or bicycle trails).
9. Inventory, control, and removal of outdoor advertising.
10. Archaeological planning and research.
11. Environmental mitigation
 - (i) To address water pollution due to highway runoff; or
 - (ii) Reduce vehicle-caused wildlife mortality while maintaining habitat connectivity.
12. Establishment of transportation museums.

PROJECT LOCATION MAPS (Provide Location Map of project in State/Region and Area Specific Map)



PART THREE: INFORMATION AND ASSURANCES

Please note the application must be signed by the TE project sponsor below for the project to be considered for funding. The information below is provided to notify all project sponsors of the criteria that shall be used in the selection of eligible TE projects.

For TE projects proposed for funding from American Recovery and Reinvestment Act of 2009

Assembly Bill X3-20 added Sections 2420-2423 to the Streets and Highways Code which requires that transportation projects proposed for transportation enhancement activities using federal funds provided specifically by the American Recovery and Reinvestment Act of 2009 be programmed and allocated based on the following priorities:

- (1) In programming and allocating these funds, the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall give priority to the sponsors of eligible projects that partner with, or commit to employ the services of, a Community Conservation Corps or the California Conservation Corps to construct or undertake the project, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.
- (2) After all eligible projects have been selected pursuant to paragraph (1), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall next give priority to projects that provide facilities for pedestrians and bicyclists, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.
- (3) After all eligible projects have been selected pursuant to paragraph (2), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies may fund any project eligible in accordance with paragraph (35) of subdivision (a) of Section 101 of Title 23 of the United States Code.

For projects proposed for funding with all federal TE funds

Senate Bill 286 (Chapter 373, Statutes of 2008) added Sections 2370-2374 to the Streets and Highways Code which requires the selection of all TE projects to be based on projects which partner with, or commit to employ the services of a Community Conservation Corps or the California Conservation Corps. The department, in consultation with Community Conservation Corps, the California Conservation Corps, the commission, regional transportation planning agencies, county transportation commissions or authorities, and congestion management agencies, developed the following criteria that give priority in the selection of TE projects. The information below is provided to project sponsors to assist them in understanding how projects will be selected. Regional transportation planning agencies, county transportation commissions or authorities, and congestion management agencies, when selecting candidates for transportation enhancement projects, shall utilize the selection criteria below.

The RTPAs are required to use the following criteria in prioritizing and selecting TE projects for programming in the Regional Transportation Improvement Programs (RTIP):

- (1) TE eligible projects whose sponsor is partnering with, or has agreed to employ the services of a Community Conservation Corps or the California Conservation Corps (collectively referred to as corps), shall be selected first for funding (the scope of the work performed by the corps will be identified in page 6 of the TE application);
- (2) After all TE eligible projects described in paragraph (1) have been selected for funding; the remaining eligible TE projects may be selected.

TE Project candidates that meet the following specific categories are exempt from the above selection criteria and may compete on an equal basis with all project candidates in category (1) above:

- (a) Projects that have been selected and programmed in a RTIP prior to June 25, 2009.
- (b) Projects for which no corps will partner with the sponsor or agree to provide services. A project sponsor can request this exemption only by certifying on the TE Application, with the concurrence of the California Conservation Corps and the California Association of Local Conservation Corps, which the sponsor notified both organizations about the available project, but that no corps in the state was prepared to serve as a partner or provide services.

The department, regional transportation planning agencies, county transportation commissions or authorities, or congestion management agencies shall be authorized to enter into cooperative agreements, grant agreements, or procurement contracts with Community Conservation Corps pursuant to the simplified contract requirements authorized by Section 18.36(j) of Title 49 of the Code of Federal Regulations in order to enable community conservation corps to utilize transportation enhancement project funds.

Section 2370(a) of the Streets and Highways Code is specific as to which organizations can be considered as a Community Conservation Corps or the California Conservation Corps. "Community Conservation Corps" shall have the same meaning as defined in Section 14507.5 of the Public Resources Code. Information regarding these organizations is available on the internet at:

<http://www.consrv.ca.gov/dor/grants/Pages/lccc.aspx>
<http://www.ccc.ca.gov/PARTNER/PARTNERS.HTM>
www.calcc.org

For the RTPA: Conservation Corps Partner Contact use only:

A corps can participate on the following items of work: _____

Name of corps: _____ and the contact for the corps is: _____
 _____ (Name)
 _____ (Phone number)

This project is exempt under category (b) above. This exemption allows the project to compete on an equal basis with all other project candidates in the region. Concurred in by:

California Conservation Corps contact (Print Name)	(Signature)	Date
California Association of Local Conservation Corps contact (Print Name)	(Signature)	Date

**RTPA Conservation Corps Partner Contacts
 For Transportation Enhancement Projects**

AGENCY	GCC Contact Title and Name	Phone Number	Email Address
California Conservation Corps	Regional Deputy for Region 2 Virginia Clark	916-341-3147	virginia.clark@ccc.ca.gov
California Association of Local Conservation Corps (representing the Community Conservation Corps)	Association Manager Scott Dosick	916-285-8743	manager@calcc.org

Project Implementing Agency possesses legal authority to nominate this transportation enhancement and to finance, acquire, and construct the proposed project; and by formal action (e.g., a resolution) the Implementing Agency's governing body authorizes the nomination of the transportation enhancement, including all understanding and assurances contained therein, and authorizes the person identified as the official representative of the Implementing Agency to act in connection with the nomination and to provide such additional information as may be required.

Project Implementing Agency will maintain and operate the property acquired, developed, rehabilitated, or restored for the life of the resultant facility (ies) or activity. With the approval of the California Department of Transportation, the Implementing Agency or its successors in interest in the property may transfer the responsibility to maintain and operate the property.

Project Implementing Agency will give the California Department of Transportation's representative access to and the right to examine all records, books, papers, or documents related to the transportation enhancement activity.

Project Implementing Agency will comply where applicable with provisions of the California Environmental Quality Act, the National Environmental Policy Act, the Americans with Disabilities Act, the Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation, CTC Guidelines, FHWA Transportation Enhancement Guidance and any other federal, state, and/or local laws, rules and/or regulations.

If TE funds or projects are used for other than the intended enhancement purposes as defined by federal or state regulations or guidelines, the implementing agency may be required to remit all state and federal enhancement funds back to the state. I certify that the information contained in this transportation enhancement activity application, including required attachments, is accurate and that I have read and understand the important information and agree to the assurances on this form.

Signed 
(TEA Administering Agency Representative)

Date 8/31/2011

Printed (Name and Title) RICHARD KRUMHOLZ, District Director

Administering Agency CALTRANS DISTRICT 5

For State Projects:

Upon receiving an eligibility determination, a Project Nomination Sheet must be submitted to the District for programming.

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 6/11)

General Instructions

<input checked="" type="checkbox"/> New Project		<input type="checkbox"/> Amendment (Existing Project)			Date:	08/29/11
District	EA	Project ID	PPNO	MPO ID	TCRP No.	
05	1A840	0512000027	2340			
County	Route/Corridor	PM Bk	PM Ahd	Project Sponsor/Lead Agency		
MON	101	R91.45	98.80			
				MPO	Element	
				AMBAG	Capital Outlay	
Project Mgr/Contact		Phone		E-mail Address		
Rochelle Vierra		805-549-3003		rochelle_vierra@dot.ca.gov		
Project Title						
Hwy 101 Concrete Stain Architectural Treatment						
Location, Project Limits, Description, Scope of Work, Legislative Description						
In Monterey County on Hwy 101 in and near Prunedale at various locations; Stain existing concrete retaining walls, bridge abutments walls, and bridge barrier rails with stone architectural surface treatment to enhance the aesthetic appearance.						
Component	Implementing Agency				Reimbursements	
PA&ED	Caltrans					
PS&E	Caltrans					
Right of Way	Caltrans					
Construction	Caltrans					
Legislative Districts						
Assembly:		Senate:				
Congressional:						
Purpose and Need						
To comply with the Environmental Document for each of the projects, architectural texture and integral color on concrete retaining walls, bridge barrier rails, and abutment walls were required. This project will enhance the aesthetics of highway infrastructure making it more attractive and visually cohesive with its setting. On Route 101 the staining will create a cohesive setting within the Prunedale area by having all the different types of infrastructure match.						
Project Benefits						
Propose to go beyond the Environmental Documents' requirements to minimize visual impact and enhance the aesthetics of these structures by creating a more realistic stone appearance to blend the infrastructure with rural surroundings and increase the visual continuity through the corridor.						
Project Milestone						Proposed
Project Study Report Approved						07/01/12
Begin Environmental (PA&ED) Phase						07/01/12
Circulate Draft Environmental Document				Document Type	CE/CE	
Draft Project Report						08/01/13
End Environmental Phase (PA&ED Milestone)						10/01/13
Begin Design (PS&E) Phase						10/01/13
End Design Phase (Ready to List for Advertisement Milestone)						04/04/15
Begin Right of Way Phase						10/01/13
End Right of Way Phase (Right of Way Certification Milestone)						01/03/15
Begin Construction Phase (Contract Award Milestone)						08/01/15
End Construction Phase (Construction Contract Acceptance Milestone)						07/09/16
Begin Closeout Phase						07/09/16
End Closeout Phase (Closeout Report)						07/01/17

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 6/11)

Date: 08/29/11

District	County	Route	EA	Project ID	PPNO	TCRP No.
05	MON	101	1A840	0512000027	2340	
Project Title: Hwy 101 Concrete Stain Architectural Treatment						

Proposed Total Project Cost									Notes
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	
E&P (PA&ED)		103						103	
PS&E			295					295	
R/W SUP (CT)			5					5	
CON SUP (CT)					274			274	
R/W									
CON					1,009			1,009	
TOTAL		103	300		1,283			1,686	

Fund No. 1:									Program Code
Proposed Funding									20.xx.025.700
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)		103						103	Caltrans
PS&E			295					295	New 2012 ITIP-TE project.
R/W SUP (CT)			5					5	
CON SUP (CT)					274			274	
R/W									
CON					1,009			1,009	
TOTAL		103	300		1,283			1,686	

Fund No. 2:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									

Fund No. 3:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 6/11)

Date: 08/29/11

District	County	Route	EA	Project ID	PPNO	TCRP No.
05	MON	101	1A840	0512000027	2340	
Project Title: Hwy 101 Concrete Stain Architectural Treatment						

Fund No. 4:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									

Fund No. 5:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									

Fund No. 6:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									

Fund No. 7:									Program Code
Proposed Funding									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
TOTAL									