

## Transportation Enhancement (TE) Application (PSR Equivalent)

**TE funds are federal funds and must follow federal funding guidelines and environmental (NEPA) processes.  
All projects must have an approved eligible application prior to programming in the RTIP.**

### PART ONE: GENERAL PROJECT INFORMATION

\_\_\_\_\_ RTIP TE    \_\_\_\_\_ ITIP TE    Is the project within Caltrans Right of Way? Yes  No .

Are you using Recovery Act TE funds? Yes  No

Does this project partner with or commit to employ the services of a Community Conservation Corps or the California Conservation Corps?    Yes  No .

If you answered yes to the above question please list the contact information for the corps.

Corps Name: \_\_\_\_\_ Contact Name: \_\_\_\_\_ Phone number: \_\_\_\_\_

PROJECT TITLE: District 5 Safety Roadside Rest Areas Shade Shelters and Transportation Art

<p>IMPLEMENTING AGENCY Administrator/person with day-to-day responsibility for implementing project (Name, title, agency, address, phone, fax, email)</p> <p>Rochelle Vierra Caltrans, District 5 50 Higuera Street San Luis Obispo, CA 93401 Phone (805) 549-3003, FAX (805) 549-3620</p>	<p>(Round dollars to nearest thousands)</p> <p>TE FUNDS REQUESTED            \$ <u>1,718,367</u></p> <p>State Match (11.47%)            \$ <u>222,633</u></p> <p>Local Match (if Required)        \$ <u>0</u></p> <p>TOTAL TE PROJECT COST        \$ <u>1,941,000</u></p> <p><input checked="" type="checkbox"/> TE is a stand-alone project. <input type="checkbox"/> TE is part of a larger project.</p>
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<p>Person who can answer questions about this application (Name, title, phone, fax, email)</p> <p>Dennis Reeves District Landscape Architect Caltrans, District 5 Phone (805) 549-3509, FAX (805) 542-4746</p>	<p>PARTNER(S) (Name, title, agency, address, phone, fax)</p> <p>N/A</p>
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IF TE IS AN ENHANCEMENT TO A LARGER PROJECT, DESCRIBE LARGER PROJECT (if larger project is programmed, provide PPNo, EA, Project Title; if not currently programmed, describe the project)

N/A

Total Project Cost \$ \_\_\_\_\_

PROJECT SCOPE OF PROPOSED TRANSPORTATION ENHANCEMENT ACTIVITIES  
(Describe the project's location, limits of work, size, etc. *Not* the justification or benefits).

- PROJECT LOCATION - In Monterey County on Highway 101 at the Camp Roberts SRRA; and in San Luis Obispo County on Highway 46 at the Shandon SRRA; and in Santa Barbara County at the Gaviota SRRA.
- WORK DESCRIPTION - Solicit proposals for and commission original artwork for the design and construction of custom carved and metal sculpture shade structures for picnic areas at 3 rest areas (5 locations) and for construction and installation of original artwork to be placed in architectural spaces designed specifically for future transportation artwork by recent SRRA rehabilitation projects. Additionally this project would create the framework for a revolving transportation art exhibit in the SRRA kiosks which would be facilitated in partnership with local county arts councils.
- LIMITS OF WORK - 05-Mon-101-PM R3.1 and PM R5.2  
05-Slo-46-PM 49.5  
05-SB-PM46.9 and PM51.1
- SIZE - Approximately 10,000 square feet of custom sculpted shade shelters; and approximately 18 various artworks suitable for outdoor installation.
- Location 1 - 05-Mon-101-PM R3.1  
Camp Roberts SRRA Southbound  
Artwork for shade shelters (with vine plantings); restroom entry signs, foyer tile murals and urn, tile mural in CHP nook, and kiosk framework and UV glass for revolving exhibit.
- Location 2 - 05-Mon-101-PM R5.2  
Camp Roberts SRRA Northbound  
Artwork for shade shelters (with vine plantings); restroom entry signs, foyer tile murals and urn, and kiosk framework and UV glass for revolving exhibit.
- Location 3 - 05-Slo-46-PM 49.5  
Shandon SRRA  
Artwork for shade shelters (with vine plantings); restroom exterior walls - sculpted metal trellises with accent lighting; landscape planter tile work, CHP building tile mural and flagpole, and kiosk framework and UV glass for revolving exhibit.
- Location 4 - 05-SB-101-PM 46.9  
Gaviota Northbound  
Artwork for shade shelter (with vine plantings), and sculpted entry way signs.
- Location 5 - 05-SB-101-PM 51.1  
Gaviota Northbound  
Artwork for shade shelter (with vine plantings), and sculpted entry way signs.

NEED AND PURPOSE (Describe how is project above and beyond a standard transportation project)

Three safety roadside rest areas in District 5 have all recently undergone a major rehabilitation of their facilities for ADA compliance. During design of those facilities certain places on the buildings and within the landscape were specifically detailed to accommodate future installation of transportation artwork to be commissioned specifically for each site. SRRA programming for these ADA rehabilitation projects as well as ARRA funding sources placed limitations on what could and could not be included in these rehabilitation projects. This project would design and construct aesthetic shade shelters which include custom designed metal work and other artistic elements to compliment the architecture of the new buildings and blend with the landscaping. The rest areas at Camp Roberts and Shandon are both in locations where the temperature can be well over 90 degrees throughout the summer which makes using the tables just about impossible in mid-day sun so shelters are needed. Some shade trees were planted with the rehabilitation projects but they are decades away from providing much needed relief from the heat. The Gaviota rest area also needs a shade shelter which would shade picnic tables as well as provide cover for vending machines which would be run by the Dept of Rehabilitation.

This TE application proposes to go above and beyond what was allowed and required in the original programming of the rest area rehabilitation projects and would complete many aesthetic features which had to be eliminated during Value Analysis as they were determined to be enhancements rather than elements critical to primary rest area functions and ADA compliance.

RELATIONSHIP (TE projects must have a relationship to surface transportation; describe relation to surface transportation)

This project relates to surface transportation by enhancing the aesthetics of highway roadside rest areas adding to their attractive qualities. Studies have shown that attractive stopping places are more well used and thus instrumental in helping to reduce the number of drowsy drivers on the highway.

CONFORMANCE (Describe conformance with Route Concept Report or Transportation Corridor Report and District System Management Plan - ITIP projects only)

This project is in conformance with the Route Concept Reports for State Route 101, and for State Route 46, a designated safety corridor.

CONTEXT SENSITIVE SOLUTIONS (Describe how project reflects Director's policy - ITIP projects only)

This proposal addresses the Director's policy on Context Sensitive Solutions by enhancing facility aesthetics specific to their geographical location and general community values, while increasing transportation safety goals. This project would also address the goals of the Memorandum of Understanding between Caltrans and the California Arts Council to support and promote public art in transportation. Themes depicted in the proposed tile murals would relate to history along the highway corridor for that local area.

ALTERNATIVES CONSIDERED

No build alternative would leave the structural facilities as is, with no further aesthetic enhancement.

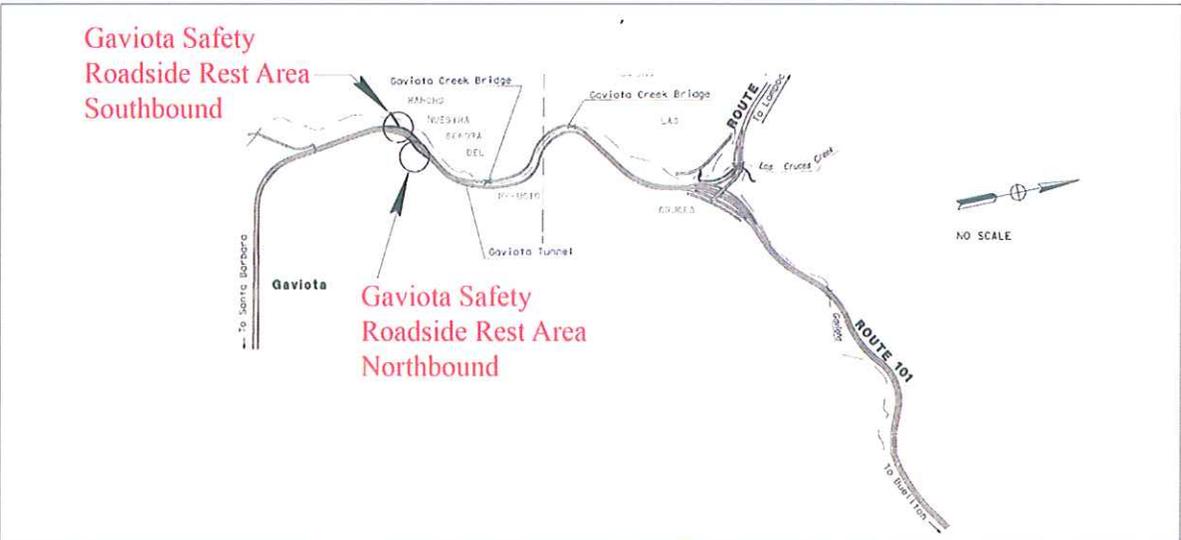
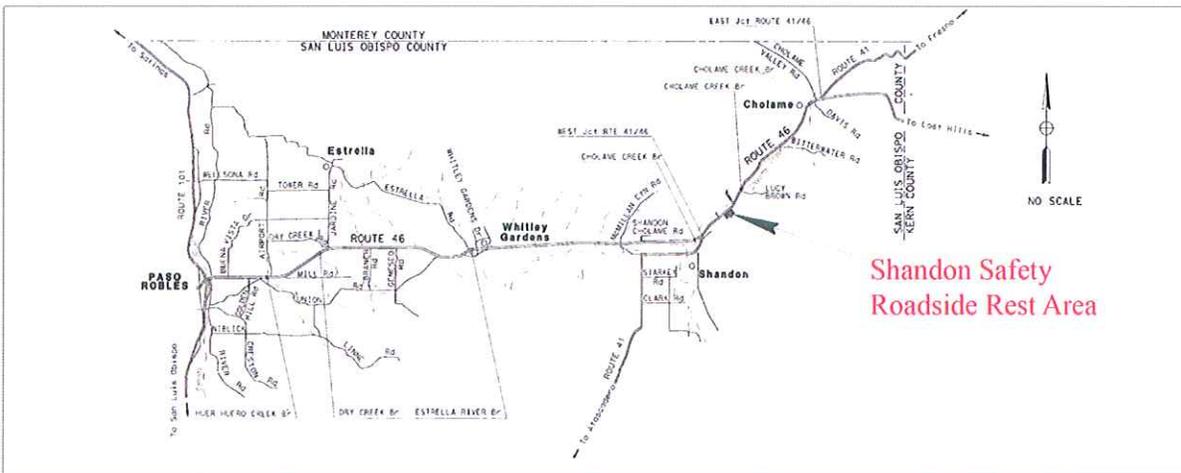
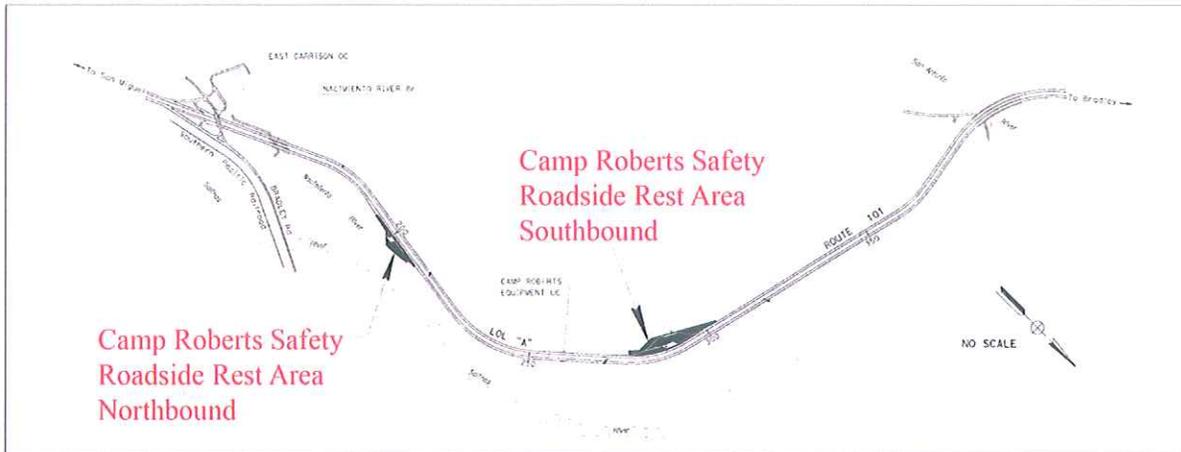
WHICH OF THE 12 TE CATEGORIES DOES THE PROJECT ENCOMPASS? (May be more than one.)

<http://www.dot.ca.gov/hq/TransEnhAct/TransEnact.htm>

1.  Provision of facilities for pedestrians and bicycles
2.  Provision of safety and educational activities for pedestrians and bicyclists.
3.  Acquisition of scenic easements and scenic or historic sites (including historic battlefields).
4.  Scenic or historic highway programs (including the provision of tourist and welcome center facilities).
5.  Landscaping and other scenic beautification.
6.  Historic preservation.
7.  Rehabilitation and operation of historic transportation buildings, structures, or facilities (including historic railroad facilities and canals).
8.  Preservation of abandoned railway corridors (including the conversion and use of the corridors for pedestrian or bicycle trails).
9.  Inventory, control, and removal of outdoor advertising.
10.  Archaeological planning and research.
11.  Environmental mitigation
  - (i) To address water pollution due to highway runoff; or
  - (ii) Reduce vehicle-caused wildlife mortality while maintaining habitat connectivity.
12.  Establishment of transportation museums.

PROJECT LOCATION MAPS (Provide Location Map of project in State/Region and Area Specific Map)





## PART TWO: FUNDING

Prepared by Dennis Reeves

Title: District Landscape Architect

Agency: Department of Transportation

Phone: (805) 549-3509

FAX: (805) 542-4746

**PROJECT COMPONENT COSTS** (round to nearest \$1,000s) – **ESCALATED FIGURES**

	RTIP	ITIP	OTHER
• E&P (PA&ED)	\$ _____	\$ <u>148,000</u>	\$ _____
• PS&E	\$ _____	\$ <u>389,000</u>	\$ _____
• Right of Way Capital	\$ _____	\$ <u>0</u>	\$ _____
• Right of Way Support*	\$ _____	\$ <u>5,000</u>	\$ _____
• Construction Support*	\$ _____	\$ <u>282,000</u>	\$ _____
• Construction Capital	\$ _____	\$ <u>1,117,000</u>	\$ _____

**TOTAL PROJECT COSTS** \$ 1,941,000

\*Right of way and construction support are for Caltrans implemented projects only

**PRELIMINARY ITEM ESTIMATE - CONSTRUCTION CONTRACT ITEMS – UNESCALATED FIGURES**

Item	Description	Unit	Quantity	Unit Price	Amount
1	WATER POLLUTION CONTROL	LS	1	\$5,000	\$5,000
2	CONSTRUCTION AREA SIGNS	LS	1	\$5,000	\$5,000
3	ARTISTIC SHADE SHELTERS	EA	5	\$100,000	\$500,000
4	ARKWORK FOR NICHES	EA	18	\$10,000	\$180,000
5	RE OFFICE	LS	1	\$10,000	\$10,000
SUB TOTAL					\$700,000

CONTINGENCY (25%+/-) \$175,000

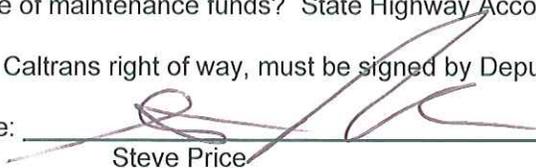
TOTAL CONSTRUCTION CONTRACT ITEMS \$875,000

**MAINTENANCE** (The enhancement must be maintained in a functional and operational manner as its intended purpose for the expected life cycle for the type of project. If it is not maintained in such a manner, reimbursement of all or a portion of the enhancement funds may be required).

Who will maintain? California Department of Transportation

What is the source of maintenance funds? State Highway Account

If project is within Caltrans right of way, must be signed by Deputy District Director, Maintenance

DDD Maintenance:  Date: 8.30.11  
Steve Price  
Division Chief of Traffic and Operations

## PART THREE: INFORMATION AND ASSURANCES

Please note the application must be signed by the TE project sponsor below for the project to be considered for funding. The information below is provided to notify all project sponsors of the criteria that shall be used in the selection of eligible TE projects.

### For TE projects proposed for funding from American Recovery and Reinvestment Act of 2009

Assembly Bill X3-20 added Sections 2420-2423 to the Streets and Highways Code which requires that transportation projects proposed for transportation enhancement activities using federal funds provided specifically by the American Recovery and Reinvestment Act of 2009 be programmed and allocated based on the following priorities:

- (1) In programming and allocating these funds, the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall give priority to the sponsors of eligible projects that partner with, or commit to employ the services of, a Community Conservation Corps or the California Conservation Corps to construct or undertake the project, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.
- (2) After all eligible projects have been selected pursuant to paragraph (1), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall next give priority to projects that provide facilities for pedestrians and bicyclists, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.
- (3) After all eligible projects have been selected pursuant to paragraph (2), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies may fund any project eligible in accordance with paragraph (35) of subdivision (a) of Section 101 of Title 23 of the United States Code.

### For projects proposed for funding with all federal TE funds

Senate Bill 286 (Chapter 373, Statutes of 2008) added Sections 2370-2374 to the Streets and Highways Code which requires the selection of all TE projects to be based on projects which partner with, or commit to employ the services of a Community Conservation Corps or the California Conservation Corps. The department, in consultation with Community Conservation Corps, the California Conservation Corps, the commission, regional transportation planning agencies, county transportation commissions or authorities, and congestion management agencies, developed the following criteria that give priority in the selection of TE projects. The information below is provided to project sponsors to assist them in understanding how projects will be selected. Regional transportation planning agencies, county transportation commissions or authorities, and congestion management agencies, when selecting candidates for transportation enhancement projects, shall utilize the selection criteria below.

The RTPAs are required to use the following criteria in prioritizing and selecting TE projects for programming in the Regional Transportation Improvement Programs (RTIP):

- (1) TE eligible projects whose sponsor is partnering with, or has agreed to employ the services of a Community Conservation Corps or the California Conservation Corps (collectively referred to as corps), shall be selected first for funding (the scope of the work performed by the corps will be identified in page 6 of the TE application);
- (2) After all TE eligible projects described in paragraph (1) have been selected for funding; the remaining eligible TE projects may be selected.

TE Project candidates that meet the following specific categories are exempt from the above selection criteria and may compete on an equal basis with all project candidates in category (1) above:

- (a) Projects that have been selected and programmed in a RTIP prior to June 25, 2009.
- (b) Projects for which no corps will partner with the sponsor or agree to provide services. A project sponsor can request this exemption only by certifying on the TE Application, with the concurrence of the California Conservation Corps and the California Association of Local Conservation Corps, which the sponsor notified both organizations about the available project, but that no corps in the state was prepared to serve as a partner or provide services.

The department, regional transportation planning agencies, county transportation commissions or authorities, or congestion management agencies shall be authorized to enter into cooperative agreements, grant agreements, or procurement contracts with Community Conservation Corps pursuant to the simplified contract requirements authorized by Section 18.36(j) of Title 49 of the Code of Federal Regulations in order to enable community conservation corps to utilize transportation enhancement project funds.

Section 2370(a) of the Streets and Highways Code is specific as to which organizations can be considered as a Community Conservation Corps or the California Conservation Corps. "Community Conservation Corps" shall have the same meaning as defined in Section 14507.5 of the Public Resources Code. Information regarding these organizations is available on the internet at:

<http://www.consrv.ca.gov/dor/grants/Pages/lccc.aspx>  
<http://www.ccc.ca.gov/PARTNER/PARTNERS.HTM>  
[www.calcc.org](http://www.calcc.org)

**For the RTPA: Conservation Corps Partner Contact use only:**

A corps can participate on the following items of work: \_\_\_\_\_

Name of corps: \_\_\_\_\_ and the contact for the corps is: \_\_\_\_\_  
 \_\_\_\_\_ (Name)  
 \_\_\_\_\_ (Phone number)

This project is exempt under category (b) above. This exemption allows the project to compete on an equal basis with all other project candidates in the region. Concurred in by:

California Conservation Corps contact (Print Name)	(Signature)	Date
California Association of Local Conservation Corps contact (Print Name)	(Signature)	Date

**RTPA Conservation Corps Partner Contacts  
 For Transportation Enhancement Projects**

AGENCY	CCC Contact Title and Name	Phone Number	Email Address
California Conservation Corps	Regional Deputy for Region 2 Virginia Clark	916-341-3147	virginia.clark@ccc.ca.gov
California Association of Local Conservation Corps (representing the Community Conservation Corps)	Association Manager Scott Dosick	916-285-8743	manager@calcc.org

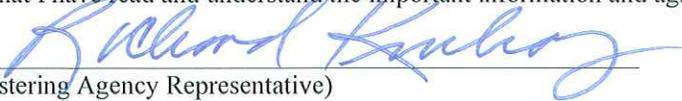
Project Implementing Agency possesses legal authority to nominate this transportation enhancement and to finance, acquire, and construct the proposed project; and by formal action (e.g., a resolution) the Implementing Agency's governing body authorizes the nomination of the transportation enhancement, including all understanding and assurances contained therein, and authorizes the person identified as the official representative of the Implementing Agency to act in connection with the nomination and to provide such additional information as may be required.

Project Implementing Agency will maintain and operate the property acquired, developed, rehabilitated, or restored for the life of the resultant facility (ies) or activity. With the approval of the California Department of Transportation, the Implementing Agency or its successors in interest in the property may transfer the responsibility to maintain and operate the property.

Project Implementing Agency will give the California Department of Transportation's representative access to and the right to examine all records, books, papers, or documents related to the transportation enhancement activity.

Project Implementing Agency will comply where applicable with provisions of the California Environmental Quality Act, the National Environmental Policy Act, the Americans with Disabilities Act, the Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation, CTC Guidelines, FHWA Transportation Enhancement Guidance and any other federal, state, and/or local laws, rules and/or regulations.

If TE funds or projects are used for other than the intended enhancement purposes as defined by federal or state regulations or guidelines, the implementing agency may be required to remit all state and federal enhancement funds back to the state. I certify that the information contained in this transportation enhancement activity application, including required attachments, is accurate and that I have read and understand the important information and agree to the assurances on this form.

Signed  Date 8/31/2011  
(TEA Administering Agency Representative)

Printed (Name and Title)            RICHARD KRUMHOLZ, District Director

Administering Agency            CALTRANS DISTRICT 5

For State Projects:

Upon receiving an eligibility determination, a Project Nomination Sheet must be submitted to the District for programming.

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 6/11)

General Instructions

<input checked="" type="checkbox"/> New Project		<input type="checkbox"/> Amendment (Existing Project)			<b>Date:</b>	08/29/11
<b>District</b>	<b>EA</b>	<b>Project ID</b>	<b>PPNO</b>	<b>MPO ID</b>	<b>TCRP No.</b>	
05	1A820	0512000025	2339			
<b>County</b>	<b>Route/Corridor</b>	<b>PM Bk</b>	<b>PM Ahd</b>	<b>Project Sponsor/Lead Agency</b>		
MON	101	R3.1	R5.2	Caltrans		
SLO	46	49.5		<b>MPO</b>	<b>Element</b>	
SB	101	46.9	51.1			
<b>Project Mgr/Contact</b>		<b>Phone</b>		<b>E-mail Address</b>		
Rochelle Vierra		805-549-3003		rochelle_vierra@dot.ca.gov		
<b>Project Title</b>						
District 5 Safety Roadside Rest Areas Shade and Shelters and Transportation Art						
<b>Location, Project Limits, Description, Scope of Work, Legislative Description</b>						
On Hwy 101, in Monterey Co at Camp Roberts SRRAs, in San Luis Obispo Co at Shandon SRAA, and in Santa Barbara Co at Gaviota SRRAs; commission original artwork for design and construction of carved and metal sculpture shade structures for picnic areas at 3 SRRAs and installation of original artwork in specifically designed architectural spaces.						
<b>Component</b>	<b>Implementing Agency</b>				<b>Reimbursements</b>	
PA&ED	Caltrans					
PS&E	Caltrans					
Right of Way	Caltrans					
Construction	Caltrans					
<b>Legislative Districts</b>						
<b>Assembly:</b>		<b>Senate:</b>				
Congressional:						
<b>Purpose and Need</b>						
Three SRRAs in D5 have all recently undergone major rehabilitation for ADA compliance. During design certain places on the buildings and within the landscape were specifically detailed to accommodate future transportation artwork. This project will design and construct aesthetic shade shelters that include custom designed metal work and other artistic elements to compliment the architecture of the new buildings and blend with landscaping. Additionally, this project would create the framework for a revolving transportation art exhibit in the SRRAs kiosks.						
<b>Project Benefits</b>						
The SRRAs at Camp Roberts and Shandon are in locations where temperatures reach well above 90 degrees in the summer. The shade shelters would provide needed shade to the picnic tables to provide relief from the heat. Also, Gaviota shade shelters would provide shade to picnic tables and provide cover for vending machines, run by Dept of Rehabilitation. Additionally, the revolving transportation art exhibit kiosks would be facilitated in partnership with local county arts councils.						
<b>Project Milestone</b>						<b>Proposed</b>
Project Study Report Approved						07/01/12
Begin Environmental (PA&ED) Phase						07/01/12
Circulate Draft Environmental Document				<b>Document Type</b>	N/A	
Draft Project Report						10/01/13
End Environmental Phase (PA&ED Milestone)						12/01/13
Begin Design (PS&E) Phase						12/01/13
End Design Phase (Ready to List for Advertisement Milestone)						08/01/15
Begin Right of Way Phase						12/01/13
End Right of Way Phase (Right of Way Certification Milestone)						04/01/15
Begin Construction Phase (Contract Award Milestone)						02/03/16
End Construction Phase (Construction Contract Acceptance Milestone)						03/01/17
Begin Closeout Phase						03/01/17
End Closeout Phase (Closeout Report)						07/01/18

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 6/11)

Date: 08/29/11

District	County	Route	EA	Project ID	PPNO	TCRP No.
05	MON SLO SB	101 46 101	1A820	0512000025	2339	
<b>Project Title:</b> District 5 Safety Roadside Rest Areas Shade and Shelters and Transportation Art						

Proposed Total Project Cost								Notes
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total
E&P (PA&ED)		148						148
PS&E			389					389
R/W SUP (CT)				5				5
CON SUP (CT)				282				282
R/W								
CON				1,117				1,117
<b>TOTAL</b>		<b>148</b>	<b>389</b>	<b>1,404</b>				<b>1,941</b>

<b>Fund No. 1:</b>	ITIP-TE								<b>Program Code</b>
<b>Proposed Funding</b>								20.xx.025.700	
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)		148						148	Caltrans New 2012 ITIP-TE project.
PS&E			389					389	
R/W SUP (CT)				5				5	
CON SUP (CT)				282				282	
R/W									
CON				1,117				1,117	
<b>TOTAL</b>		<b>148</b>	<b>389</b>	<b>1,404</b>				<b>1,941</b>	

<b>Fund No. 2:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									

<b>Fund No. 3:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 6/11)

Date: 08/29/11

District	County	Route	EA	Project ID	PPNO	TCRP No.
05	MON SLO SB	101 46 101	1A820	0512000025	2339	
<b>Project Title:</b> District 5 Safety Roadside Rest Areas Shade and Shelters and Transportation Art						

<b>Fund No. 4:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									

<b>Fund No. 5:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									

<b>Fund No. 6:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									

<b>Fund No. 7:</b>									<b>Program Code</b>
<b>Proposed Funding</b>									
Component	Prior	12/13	13/14	14/15	15/16	16/17	17/18+	Total	Funding Agency
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON									
<b>TOTAL</b>									