Sarco Creek Bridge Replacement Project

NAPA COUNTY, CALIFORNIA
DISTRICT 4 – NAP – 121 (PM 8.9/9.4)
2A3200
SCH #2012032073

Negative Declaration

Prepared by the
State of California Department of Transportation

Caltrans

June 2012
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Replacement of the Sarco Creek Bridge on Route 121 from Post Mile 8.9 to Post Mile 9.4 in Napa County

**Negative Declaration**

Submitted Pursuant to: (State) Division 13, California Public Resources Code

THE STATE OF CALIFORNIA
Department of Transportation

[Signature]
Hardeep S Takhar
Acting Deputy Director,
Division of Environmental Planning and Engineering
District 4
California Department of Transportation
CEQA Lead Agency

[Signature] 06-15-12
Date of Approval
NEGATIVE DECLARATION
Submitted Pursuant to: (State) Division 13, California Public Resources Code

Description
The California Department of Transportation (the Department) proposes to replace the Sarco Creek Bridge on Route 121 in Napa County.

Determination
An Initial Study has been prepared by the California Department of Transportation Department of Environmental Analysis, District 4. On the basis of this study it is determined that the proposed action will not have a significant effect upon the environment for the following reasons:

The project will have no effect on air quality; land use; community character and cohesion; consistency with state, regional, or local plans and programs; environmental justice communities; farmlands or timberlands; growth; mineral resources; noise; parks and recreational land; cultural resources.

In addition, the project will have no significant effect on biological resources, visual resources, geology, real property, utilities and emergency services, traffic and transportation, hydrology and floodplains, water quality, paleontology, or hazardous waste and materials.

Hardeep S Takhar
Acting Deputy Director,
Division of Environmental Planning & Engineering
District 4
California Department of Transportation
CEQA Lead Agency

Date of Approval
06-15-12
# Table of Contents

**Initial Study with Proposed Negative Declaration**

**Chapter 1 - Proposed Project**

1.1 Introduction .................................................................................. 1-1  
1.2 Purpose and Need ....................................................................... 1-4  
1.3 Project Description ..................................................................... 1-4  
1.4 Alternatives ............................................................................... 1-5  
1.5 Alternatives Considered but Eliminated from Further Discussion ............................................. 1-10  
1.6 Permits and Approvals Needed .................................................... 1-11  

**Chapter 2 - Affected Environment, Environmental Consequences, and Avoidance, Minimization, and/or Mitigation Measures**

2.1 RELOCATIONS AND REAL PROPERTY ACQUISITION ........................................... 2-3  
2.2 UTILITIES/EMERGENCY SERVICES ................................................................. 2-5  
2.3 TRAFFIC AND TRANSPORTATION/PEDESTRIAN AND BICYCLE FACILITIES 2-7  
2.4 VISUAL/AESTHETICS ........................................................................ 2-9  
2.5 CULTURAL RESOURCES ................................................................ 2-16  
2.6 HYDROLOGY AND FLOODPLAIN ............................................................... 2-20  
2.7 WATER QUALITY AND STORM WATER RUNOFF ...................................... 2-25  
2.8 GEOLOGY/SOILS/SEISMIC/TOPOGRAPHY ................................................. 2-33  
2.9 PALEONTOLOGY ............................................................................... 2-36  
2.10 HAZARDOUS WASTE/MATERIALS ........................................................... 2-40  
2.11 BIOLOGICAL ENVIRONMENT ................................................................. 2-44  
2.11.1 NATURAL COMMUNITIES ................................................................. 2-44  
2.11.2 WETLANDS AND OTHER WATERS ...................................................... 2-48  
2.11.3 ANIMAL SPECIES ........................................................................ 2-53  
2.11.4 THREATENED AND ENDANGERED SPECIES .................................. 2-57  
   
   *Central California Coastal Steelhead* ........................................................................... 2-58  
   *Central California Coastal Steelhead* ........................................................................... 2-60  
2.12 CONSTRUCTION IMPACTS ................................................................. 2-63  
2.13 CLIMATE CHANGE ........................................................................ 2-65  

**Chapter 3 - Comments and Coordination** .................................................. 3-1  

**Chapter 4 - List of Preparers** ..................................................................... 4-9  

**Chapter 5 - Distribution List** ..................................................................... 5-1  

**Appendix A - CEQA Checklist** .................................................................. 1  

**Appendix B - Title VI Policy Statement** ..................................................... 1
Appendix C - National Oceanic and Atmospheric Administration's National Marine Fisheries Service Letter of Concurrence
Appendix D - U. S. Fish & Wildlife Service Species List
Appendix E - California Natural Diversity Database (CNDDB)
Appendix F - Summary Floodplain Encroachment Report
Appendix G - Minimization and/or Mitigation Summary
Appendix H - List of Technical Studies

List of Figures
Figure 1-1  Project Vicinity ................................................................. 1-2
Figure 1-2  Project Location ................................................................. 1-3
Figure 2-1  Silverado Trail (Route 121) approaching Sarco Creek Bridge ........................................ 2-10
Figure 2-2  Silverado Trail (Route 121) approaching Sarco Creek Bridge ........................................ 2-10
Figure 2-3  Sarco Creek Below Existing Structure .................................................................................. 2-11
Figure 2-4  FEMA Map ............................................................................. 2-23
Figure 2-5  Geological Units within the Project Area ............................................................. 2-37
Figure 2-6  California Greenhouse Gas Forecast ........................................................................ 2-67
Figure 2-7  The Mobility Pyramid .......................................................................................... 2-70

List of Tables
Table 1-1  Permits and Approvals Needed ..................................................................................... 1-11
Table 2-1  Right-of-Way Requirements .................................................................................... 2-4
Table 2-2  Summary of Active Faults ....................................................................................... 2-34
Table 2-3  Trees to be Removed ............................................................................................ 2-45
Table 2-4  Summary of Aquatic Features .................................................................................. 2-50
Table 2-5  Climate Change/CO2 Reduction Strategies .............................................................. 2-72
Chapter 1 - Proposed Project

1.1 Introduction

The Department of Transportation (Caltrans) is the lead agency under the California Environmental Quality Act (CEQA). The Sarco Creek Bridge Replacement Project is located on State Route 121 (Silverado Trail) in the city of Napa and unincorporated Napa County between Hagen Road (postmile (PM) 8.9) and the Monticello Road/Trancas Street intersection (PM 9.4).

Caltrans will remove the existing 35.5-foot wide, 31-foot long, two-lane, two-span Sarco Creek Bridge (Bridge # 21-0008) and replace it with a 46-foot long, 44-foot wide, two-lane, single-span bridge. The bridge replacement will be completed as part of a bridge rehabilitation project that includes roadway widening, embankment work, and the construction of a fish passage downstream of the bridge. The roadway widening of the new structure deck width will provide two 12-foot wide lanes of traffic and two 8-foot wide shoulders, which is wider than the two 4-foot wide shoulders on the existing bridge. This widening will not increase roadway capacity.

Figures 1-1 and 1-2 show the project vicinity and location. The project was programmed in the 2008 State Highway Operation and Protection Program (SHOPP) and will be funded in the 2013/2014 SHOPP with a total estimated capital cost of $9.7 million.

Construction is scheduled to begin in 2015 and last up to three and a half years, depending on the construction method. Caltrans has determined that utility relocation work and project construction within the creek can only occur between June 1 and October 15 of any given year in order to avoid and minimize effects to aquatic species, unless an extension is granted from the appropriate resource agencies.
1.2 Purpose and Need

The purpose of this project is to address the structural deterioration of Sarco Creek Bridge.

The Sarco Creek Bridge was constructed in 1918 and widened in 1921 and again in 1974. Within the project limits, Route 121 is a two-lane conventional highway without access control that serves traffic between Lake Berryessa and the wineries and resorts in northern Napa Valley. In addition, commuters, local traffic, and trucks use this highway as an alternative to the local roadway, Soscol Avenue.

The Office of Structure Maintenance and Investigations (OSMI) is responsible for managing Caltrans highway structures. The OSMI’s Structure Replacement and Improvement Needs (STRAIN) report’s recommendation is for bridge replacement. The STRAIN contains recommended improvements to structures. The bridge has been identified as structurally deficit in this report.

Additionally, the bridge was classified as “scour critical” in the latest Bridge Inspection Report (Caltrans, 2010) that determined the need to replace the Sarco Creek Bridge, as it is structurally deficient. Scour is defined as the erosion of streambed or bank material due to flowing water; a bridge deemed “scour critical” has a foundation element that has been determined to be unstable for observed condition.

1.3 Project Description

Caltrans will replace the Sarco Creek Bridge (Bridge # 21-0008), which is located on Route 121 (Silverado Trail) between Hagen Road (PM 8.9) and the Monticello Road/Trancas Street intersection (PM 9.4). The bridge itself is located in unincorporated Napa County, but the proposed project limits extend into the City of Napa, adjacent to the Hagen Road intersection.

Caltrans will remove the existing 35.5-foot wide, 31-foot-long, two-lane, two-span Sarco Creek Bridge (Bridge # 21-0008) and replace it with a 46-foot-long, 44-foot-wide, two-lane, single-span bridge. The new structure is to include a pre-cast reinforced concrete slab deck approximately 2.2-feet thick. In addition the project includes embankment work and construction of a fish passage downstream of the bridge. The project also includes roadway widening (but no increase in roadway capacity) with the new structure deck width providing two 12-foot-wide lanes of traffic and two 8-foot-wide shoulders, which is wider than the two 4-foot-wide shoulders on the existing bridge. The new bridge and highway is to be realigned approximately
four feet to the east to minimize impacts to existing utilities on the west side of the bridge, including a sewer main, and a residential property. The top of the new bridge deck will be approximately 4 to 6 inches higher than the existing bridge deck to accommodate the minimum soffit clearance required for a 100-year storm event. The new bridge, like the existing bridge, will have one lane in each direction.

The purpose of this project is to correct the structural deterioration of the Sarco Creek Bridge. This bridge replacement project does not study, propose, include or address any improvements to highway capacity, highway operation deficiencies, transportation demand, system linkages or air quality.

1.4 Alternatives
The alternatives for this project are the Build Alternative and the No-Build Alternative.

*Build Alternative*

This project, the Build Alternative, will include the following construction elements:

- Relocate utilities one year prior to the beginning of bridge construction;
- Install construction-area signs and either temporary one-way traffic signals or a full traffic detour (depending on chosen construction option);
- Provide a temporary access ramp on the northeast corner of the bridge;
- Install a temporary water-diversion system;
- Clear and grub vegetation within the construction area;
- Construct a roughened-rock ramp and a weir to improve fish passage through the project area;
- Place temporary erosion control measures underneath the bridge during construction;
- Demolish the existing bridge;
- Construct a longer and wider single-span bridge;
- Construct retaining walls along the roadway;
- Widen and adjust the grade of the roadway to conform to the new bridge;
- Construct drainage systems;
- Install Metal Beam Guard Rail (MBGR); and
- Install permanent erosion control measures.

Details of these construction activities are further discussed below:

*Temporary Water Diversion System*

A temporary water-diversion system consisting of an upstream coffer dam and a polyvinyl chloride (PVC) water pipe will be in place throughout construction period. The coffer dam will be constructed across the creek with clean washed gravel wrapped in impermeable plastic sheeting. The PVC water conveyance pipe will be used for diverting the flow of in the creek. This diversion pipe will be protected by placing timber mats on top of temporary K-rail place along the edge of the creek bed under the existing bridge, covering the PVC pipe and the creek bed.

*Fish Passage*

Measures to provide for permanent fish passage will consist of the construction of a roughened-rock ramp and a permanent rock weir and backfilling the creek bed as necessary between the rock weir and existing sewer line concrete encasement with clean native bed materials. Prior to installation of the rock weir, the creek bed will be excavated approximately 3.5 feet deep. The area between the concrete-encased sewer line and the rock weir will be backfilled with native creek bed material creating a roughened-rock ramp at an 8% slope throughout the fish passage structure.

The rock weir will be constructed approximately 15 to 20 feet downstream of the existing sewer line and will have a maximum top width of 5 feet and a maximum bottom width of 17 feet. The weir will be constructed per Caltrans Standard Specifications. The weir rocks will be individually placed into the creek-bed in order to protect the underlying filter fabric.

Rock slope protection (RSP) material will be placed on the north bank of Sarco Creek from the east edge of the bridge to about 40 feet east of the bridge.
Temporary Construction Access and Staging Options

Construction machinery and equipment, including backhoes and dump trucks, are to enter and exit the creek channel bed on a temporary access ramp northeast of the bridge. This ramp will be approximately 133 feet long, 10 to 12 feet wide, and paved with a 6 inch layer of gravel. The slope of the access road will be approximately 12% and will require up to 7 feet of cut into the embankment. The temporary access ramp will be used for up to three seasons. Appropriate erosion control measures will be installed and implemented during winter work suspension periods.

The construction staging area will be located 750 feet south of the Sarco Creek Bridge in an empty lot. Gravel will be placed on top of filter fabric on the unpaved portion of the staging area.

The temporary access road and staging area will be restored to pre-construction conditions, to the maximum extent practicable, upon completion of construction.

Bridge Removal

The bridge deck will be saw cut and replaced in one or two stages, depending on construction option chosen. If in two stages, the east half of the bridge will be removed first and reconstructed followed by the removal and reconstruction of the west half. If in one stage, the entire bridge will be removed and reconstructed in one season.

Under either construction option, the abutments will be removed from the top down to the foundation. Sheet piles will be driven (by hammering) to protect any roadway structure debris from falling into the creek, which can result from the removal of the abutments. The spread-footing foundations will be completely removed and the center pier and its foundation will be removed manually using hand-operated jackhammers.

Bridge Construction

Pre-cast concrete slab sections will be used for construction of the bridge deck. The entire deck will be completed in one or two stages, depending on the construction option.

The replacement bridge will be longer than the existing bridge so all excavation work for the new abutment foundations will occur behind the existing abutments and outside of the creek channel. The excavation for the new abutments will proceed after the existing bridge’s abutments are removed. The depth of excavation for new
abutment foundations will be approximately 10 feet with shoring of new abutment excavations placed as needed. A 14-foot wide-spread footing foundation and wing walls are proposed to be used for the new bridge abutments.

A cast-in-place concrete approach slab will be constructed on each end of the bridge as a transition from the asphalt concrete roadway to the bridge. One hundred cubic yards of cement concrete will be used for construction of the approach slabs which will rest on an aggregate base.

During construction, temporary and permanent erosion controls and scouring protection measures will be implemented on the creek bed underneath the bridge, following Structures Hydraulics and Caltrans Erosion Control Standards. Additionally, if constructed in two stages, falsework will be erected within the creek channel during the first phase to support the west half of the bridge that will carry live traffic.

Roadway Widening

The roadway will be widened up to 44 feet in order to conform to the new bridge on the east and west sides of the center line. The new roadway will taper to 32 feet at a distance of approximately 500 feet north and 500 feet south of the new bridge. Existing asphalt concrete pavement within the roadway widening footprint will be demolished and replaced with an aggregate base and new asphalt concrete to conform to the new bridge. The roadway profile will be raised to conform to the new bridge using suitable material from project excavations as fill material. Any unused excavated materials will be disposed of properly in a certified landfill. Trees removed due to widening will be replaced on-site to the extent possible after the completion of roadway construction. Off-site planting locations would be sought to provide additional replacement tree planting areas if space is not adequate at the project site.

All existing metal beam guard rails (MBGRs) will be removed and replaced with new MBGRs. Reinforced cast-in-place retaining walls may be constructed at various locations along the roadway to retain the roadway embankment. The retaining walls will have spread-footing foundations and the maximum depth of excavation to place these foundations will be approximately 8 feet.
Utility Relocation and Storm Water Drainage Improvements

The overhead power and cable lines crossing Sarco Creek will be relocated within the project footprint along the west side of the roadway. Approximately 605 feet of overhead lines and three poles will be relocated.

The four-inch diameter, 200-foot long underground gas line (along the westside of the roadway) will be relocated to the east side of the bridge. The 14-inch diameter, 600-foot long waterline (on the eastside of the road), will be relocated, but remain to the east of Sarco Creek Bridge. The existing 370-foot long, 16-inch diameter section of the underground sewer line will be abandoned or removed and a new line with the same dimensions will be installed adjacent to the existing line on the west side of the bridge. One 15-foot deep sewer manhole will be abandoned or removed and three others will be relocated. The installation of the new sewer pipe will require a 7.5-foot wide by 15-foot deep trench. The electrical lines will be relocated adjacent to the current lines and occupy a similar footprint. All work within the creek will be restricted to the June 1 to October 15 work period, unless an extension is granted by the appropriate agencies. Utilities will be relocated one year prior to the beginning of bridge work and are discussed further in the Utilities/Emergency Services section of Chapter 2.

The existing storm water drainage system will be removed and replaced with a new system that will include at least three drainage inlets.

Erosion Control Measures

Temporary and permanent erosion control and scouring protection measures will be placed on the creek bed underneath the bridge following Caltrans Structures Hydraulics and Department Erosion Control Standards. The Best Management Practices (BMPs) for erosion control are discussed in the Water Quality section of Chapter 2.

Right of Way

Right-of-way will be required to accommodate the widening of the bridge and the realignment of about 1,148 feet of the roadway by approximately four feet to the east. These requirements include temporary construction easements and partial acquisition of properties on both sides of the roadway and are discussed in the Relocations and Real Property Acquisition section of Chapter 2. The proposed staging area is located on a proposed temporary construction easement at 1050 Hagen Road; a vacant lot located about 750 feet southeast of the Sarco Creek
Bridge. A temporary access ramp is proposed at the northeast corner of the bridge on a temporary construction easement.

Construction Scheduling and Staging Options

Option 1 – Partial Roadway Closure/ 2 Stages
This option will require partial closure of the bridge for two construction seasons from April to November. Temporary one-way traffic signal controls will be required for both stages. Stage 1 will involve installing one-way signal control on the west portion of the bridge while the east side is removed and reconstructed. Stage 2 will install the one-way signal on the east portion of the bridge while removing and reconstructing the west portion. The anticipated construction duration for this option is two years. In-creek work will only be allowed from June 1 to October 15 of any given year, unless an extension is granted from the appropriate agencies. An intermediate stage, between Stage 1 and Stage 2, will allow traffic to travel on both the newly constructed east and west side of the bridge for a portion of the winter season.

Option 2 – Full Roadway Closure/ 1 Stage
This option will require full closure of the bridge for one construction season, from April to December. In-creek work will only be allowed from June 1 to October 15 of any given year, unless an extension is granted from the appropriate agencies. This option will allow full construction access to the site while detouring traffic onto City streets. Signs denoting detours will be installed prior to construction. Traffic detours will be detailed in a Transportation Management Plan (TMP) and are discussed in the Traffic and Transportation/Pedestrian and Bicycle Facilities section of Chapter 2.

No-Build Alternative

The No-Build Alternative analyzes project conditions if the proposed improvements were not to be constructed. The Sarco Creek Bridge will continue to deteriorate under the No-Build Alternative, as its structural deficiencies will not be addressed. Presumably, the bridge condition will continue to deteriorate so that Caltrans will eventually close the bridge to traffic.

1.5 Alternatives Considered but Eliminated from Further Discussion

The Build Alternative and the No Build Alternative are the only alternatives for this project and no other alternatives were considered. Within the Build Alternative, three design variations were proposed to remove and replace the bridge. Two are discussed previously and still under consideration. The third variation proposed to
maintain two lanes of traffic throughout the construction period, but due to excessive encroachment to the creek south of the structure and to the properties east of the bridge, this variation was considered unviable and has been removed from further consideration.

1.6 Permits and Approvals Needed

The following permits, reviews, and approvals will be required for project construction:

<table>
<thead>
<tr>
<th>Agency</th>
<th>Permit/Approval</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Oceanic and Atmospheric Administration (NOAA)'s National Marine Fisheries Service</td>
<td>Section 7 Consultation for Threatened and Endangered Species Biological Opinion</td>
<td>NOAA Fisheries issued its Letter of Concurrence on October 24, 2011</td>
</tr>
<tr>
<td>United States Army of Engineers (USACE)</td>
<td>Section 404 Nationwide Permit for placement of fill in waters of the United States</td>
<td>Application pending (Design phase)</td>
</tr>
<tr>
<td>California Department of Fish and Game (CDFG)</td>
<td>1602 Lake and Streambed Alteration Agreement</td>
<td>Application pending (Design phase)</td>
</tr>
<tr>
<td>San Francisco Bay Regional Water Quality Control Board (RWQCB)</td>
<td>Section 401 Water Quality Certification</td>
<td>Application pending (Design phase)</td>
</tr>
</tbody>
</table>
Chapter 2 - Affected Environment, Environmental Consequences, and Avoidance, Minimization, and/or Mitigation Measures

The analyses discussed are based on supporting technical studies and other reference materials not attached to this document. They are available for examination and copying at the following address: California Department of Transportation, District 4, Office of Environmental Analysis, 111 Grand Avenue, Oakland California, 94623-0660.

As part of the scoping and environmental analysis conducted for the project, the following environmental issues were considered but no adverse impacts were identified. Consequently, there is no further discussion regarding these issues in this document.

• **Air Quality** – The project is exempt from the requirement of an air quality conformity determination. Neither an air quality technical study nor a mobile source air toxics analysis is required. This bridge replacement project does not propose to modify highway capacity, operation or accessibility. The Construction Impacts section of Chapter 2 includes a discussion of avoidance and minimization measures related to temporary air quality effects during construction.

• **Community Character and Cohesion** – The proposed project will not alter the character or cohesiveness of existing neighborhoods or communities.

• **Consistency with State, Regional and Local Plans and Programs** – The proposed project, under its purpose and need, is consistent with state, regional and local plans and programs, as well as transportation plans and programs. The Transportation 2035 Plan for the San Francisco Bay Area, adopted by the Metropolitan Transportation Commission in 2009, does not list any planned major highway improvements in the project vicinity. The project’s consistency with the Napa County General Plan is discussed in the Visual/Aesthetics section of this chapter. The project’s consistency with the Napa County Transportation & Planning Agency’s Draft Napa Countywide Bicycle Plan is discussed in the Traffic and Transportation/Pedestrian and Bicycle Facilities section of this chapter.

• **Environmental Justice** – There will be no impacts concentrated in any area of minority or low-income residents. The project will not cause adverse affects on any minority or low-income populations.
• **Existing and Future Land Use** – The project will not affect existing or future land uses. No acquisition of residential or commercial structures is anticipated, and the project will not alter community interaction patterns.

• **Farmlands and Timberlands** – farmlands or timberlands are within the project vicinity. Small portions of rural residential properties will be required for the project, but these properties do not meet the definitions of farmland per the California Department of Conservation's Office of Land Conservation and are not Williamson Act contracted lands.

• **Growth** – The project does not propose to modify highway capacity, operation or accessibility and has no potential to influence growth. Therefore, project related growth is not reasonably foreseeable.

• **Mineral Resources** – There are no known mining resources within the project vicinity.

• **Noise** – The project has no potential to increase noise and does not qualify as a Type I project under 23 CFR (Code of Federal Regulations) 772. The Construction Impacts section of Chapter 2 includes a discussion of avoidance and minimization measures related to temporary noise effects during construction.

• **Parks and Recreation** – No parks or recreational facilities are affected by the project.

• **Plant Species** – No special-status or sensitive plant species were observed within the project vicinity during the reconnaissance level or the focused botanical surveys.
2.1 RELOCATIONS AND REAL PROPERTY ACQUISITION

Regulatory Setting

Caltrans Relocation Assistance Program (RAP) is based on the Federal Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (as amended) and Title 49 Code of Federal Regulations (CFR) Part 24. The purpose of RAP is to ensure that persons displaced as a result of a transportation project are treated fairly, consistently, and equitably so that such persons will not suffer disproportionate injuries as a result of projects designed for the benefit of the public as a whole.

All relocation services and benefits are administered without regard to race, color, national origin, or sex in compliance with Title VI of the Civil Rights Act (42 USC 2000d, et seq.). Please see Appendix B for a copy of the Caltrans’s Title VI Policy Statement.

Affected Environment

The new bridge and highway will be realigned approximately 4 ft to the east to minimize effects to existing utilities on the west side of the bridge, including a sewer main. Therefore, the project will require the partial acquisition of properties to the east of the bridge. Temporary construction easements (TCEs) will also be necessary for access and staging. These right-of-way requirements may also be necessary for potential bioswales or forms of bioretention used to treat stormwater and convey stormwater away from the highway.

Environmental Consequences

Right-of-way requirements for the project are subject to change. The sizes and types of each requirement will be finalized by the design/right of way phase of the project. The table below shows the properties that are expected to be affected by the project:
### Table 2-1  Right-of-Way Requirements

<table>
<thead>
<tr>
<th>Napa County Assessor's Parcel Number</th>
<th>Address (Type of property)</th>
<th>Anticipated Type of Right of Way Required</th>
</tr>
</thead>
<tbody>
<tr>
<td>049-190-005</td>
<td>1916 Silverado Trail, Napa (single-family residential)</td>
<td>Temporary Construction Easement (TCE)</td>
</tr>
<tr>
<td>049-190-007</td>
<td>1920 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
<tr>
<td>049-190-015</td>
<td>1050 Hagen Road, Napa (church/worship facility)</td>
<td>TCE</td>
</tr>
<tr>
<td>049-190-006</td>
<td>1944 Silverado Trail, Napa (single-family residential)</td>
<td>Partial Acquisition, TCE</td>
</tr>
<tr>
<td>049-190-002</td>
<td>1950 Silverado Trail, Napa (single-family residential)</td>
<td>Partial Acquisition, TCE</td>
</tr>
<tr>
<td>049-190-001</td>
<td>1954 Silverado Trail, Napa (single-family residential)</td>
<td>Partial Acquisition, TCE</td>
</tr>
<tr>
<td>049-170-002</td>
<td>1968 Silverado Trail, Napa (single-family residential)</td>
<td>Partial Acquisition, TCE</td>
</tr>
<tr>
<td>049-170-001</td>
<td>1972 Silverado Trail, Napa (single-family residential)</td>
<td>Partial Acquisition, TCE</td>
</tr>
<tr>
<td>049-150-018</td>
<td>2000 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
<tr>
<td>049-150-017</td>
<td>2006 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
<tr>
<td>052-010-003</td>
<td>1971 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
<tr>
<td>052-010-016</td>
<td>1953 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
<tr>
<td>052-010-017</td>
<td>None identified (vacant parcel)</td>
<td>TCE</td>
</tr>
<tr>
<td>052-010-005</td>
<td>1945 Silverado Trail, Napa (single-family residential)</td>
<td>TCE</td>
</tr>
</tbody>
</table>

No owners, tenants, businesses or persons will be displaced by the project. None of the physical improvements (homes, church) to each of the above properties will be affected by the project.

Upon the appraisal and inspection of each proposed right-of-way acquisition by Caltrans at future meetings between the affected property owners and Caltrans Right-of-Way representatives, these owners, tenants, business or persons may qualify for relocation assistance benefits for the possible relocation of any personal property within required right-of-way areas encountered during inspection. No other RAP benefits or entitlements are anticipated.
Avoidance, Minimization and/or Mitigation Measures

No avoidance, minimization and/or mitigation measures are proposed.

2.2 UTILITIES/EMERGENCY SERVICES

Affected Environment

Overhead power and cable lines crossing Sarco Creek are within the project footprint along the west side of the roadway. An existing storm water drainage system within the project limits.

An underground gas line runs along the west side of the roadway is 4 inches in diameter and 200 feet long, a water line on the east side of the road is 14 inches in diameter and 600 feet long, and a 370-foot sewer line crosses Sarco Creek.

Environmental Consequences

The overhead power and cable lines crossing Sarco Creek will be relocated within the project footprint to the west side of the roadway. Approximately 605 feet of overhead lines will be relocated along with 3 poles. Proposed relocation areas and are within areas that are heavily disturbed by residential activities, previous road construction and existing utility installation.

The existing stormwater drainage system will be removed and replaced by a new system that will consist of at least three drainage inlets. The inlets will be precast cement concrete boxes with approximate dimensions of 4 feet wide by 6 feet long by 6 feet deep (maximum). The average depth of excavation to remove and relocate the drainage line will be 4 feet.

The underground sewer line crossing Sarco Creek will be relocated within the project footprint along the west side of the roadway. One 15-foot deep sewer manhole and a 370-foot long sewer line will be abandoned or removed. A new sewer line will consist of a pipe, 16 inches in diameter, 370 feet long, and three new manholes. The installation of a new pipe will require a 7.5-foot wide by 15-foot deep trench supported by temporary shoring. The excavation for the manholes will be 15 feet deep. The gas and water lines will also be relocated, but will remain in Sarco Creek.

All of the affected utilities are anticipated to be relocated one year prior to the beginning of construction.
This bridge replacement project, including utility relocation, will require either partial or full closure of the bridge for up to three construction seasons from April to November or December, depending on construction method chosen. Traffic detours will be discussed in a Transportation Management Plan (TMP) that is discussed in the Traffic and Transportation/Pedestrian and Bicycle Facilities section of this chapter. Signs denoting partial closure or detours will be installed prior to construction. Access to adjacent private properties will be maintained during construction.

Avoidance, Minimization, and/or Mitigation Measures

The Caltrans and/or its contractor will notify the local emergency service providers of its intent to close the highway and provide detour information in the TMP discussed in the next section. No other avoidance, minimization and/or mitigation measures are proposed.
2.3 TRAFFIC AND TRANSPORTATION/PEDESTRIAN AND BICYCLE FACILITIES

Regulatory Setting

Caltrans, as assigned by FHWA, directs that full consideration should be given to the safe accommodation of pedestrians and bicyclists during the development of federal-aid highway projects (see 23 CFR 652). It further directs that the special needs of the elderly and the disabled must be considered in all federal-aid projects that include pedestrian facilities. When current or anticipated pedestrian and/or bicycle traffic presents a potential conflict with motor vehicle traffic, every effort must be made to minimize the detrimental effects on all highway users who share the facility.

Caltrans is committed to carrying out the 1990 Americans with Disabilities Act (ADA) by building transportation facilities that provide equal access for all persons. The same degree of convenience, accessibility, and safety available to the general public will be provided to persons with disabilities.

Affected Environment

This bridge replacement project does not propose to modify highway capacity, operation or accessibility. The project, therefore, will not permanently affect traffic and transportation (i.e., levels of service, etc.).

Current pedestrian/bicycle access includes striped but unsigned bicycle/pedestrian paths on the shoulders of both sides of the roadway. The Napa County Transportation & Planning Agency’s Draft Napa Countywide Bicycle Plan Update Overview, released for public review in September 2011, identifies this segment of Route 121 (Silverado Trail) as a Primary Bikeway Network. The Primary Bikeway Network, as defined in this Draft Napa Countywide Bicycle Plan Update Overview, consists of a selection of existing and proposed Class I, Class II, and Class III bikeways that provide inter-city and inter-county routes along with connections to other transportation modes, major destinations, jobs, neighborhoods, recreation, and local bicycle networks. The Draft Napa Countywide Bicycle Plan Update Overview also identifies this segment of Route 121 (Silverado Trail) as a proposed Class II Bike Lane, defined as a portion of a roadway that has been designated by striping, signing, and pavement markings for the preferential or exclusive use of bicyclists.
Environmental Consequences

The project will temporarily affect pedestrian and bicycle access on the roadway by requiring either partial or full closure of the bridge for up to three construction seasons, approximately from April to December. The new bridge will provide adequate shoulders, increasing to 8 feet wide from the existing 4 foot wide shoulders, for bicyclists to cross Sarco Creek with motor vehicle traffic. The project benefits bicycle traffic, does not inhibit or otherwise prevent this segment of Route 121/Silverado Trail from becoming a Class II Bike Lane, and is therefore consistent with the Napa County Transportation & Planning Agency’s Draft Napa Countywide Bicycle Plan Update Overview.

Avoidance, Minimization and/or Mitigation Measures

A Transportation Management Plan (TMP) will be required for this project. The TMP is a plan that will be implemented during construction to minimize and prevent delay and inconvenience to the traveling public. The TMP will be developed and refined during the design phase, supported by traffic studies to evaluate traffic operations. The need for partial or full closure of the bridge will be identified. The TMP will include press releases to notify motorists, businesses, community groups, local entities, emergency service providers, and politicians of upcoming closures and detours. Various TMP elements such as portable Changeable Message Signs and California Highway Patrol Construction Zone Enhanced Enforcement Program (COZEEP) may be utilized to alleviate and minimize delay to the traveling public.
2.4 VISUAL/AESTHETICS

Regulatory Setting

The California Environmental Quality Act (CEQA) establishes that it is the policy of the state to take all action necessary to provide the people of the state “with...enjoyment of aesthetic, natural, scenic and historic environmental qualities.” (CA Public Resources Code Section 21001[b]).

Affected Environment

Caltrans completed a Visual Impact Assessment Technical Report in February 2011. This report is available for review upon request.

Project Setting

Route 121 is a two lane, rural conventional highway. The landscape along the highway is characterized by stands of mature trees and shrubby vegetation, gravel or grass. Non-native trees include seasonal flowering trees, walnut and acacia. Native trees include seasonal and evergreen oaks, bay and conifers. Roadside trees occur mostly in groups but also singly. The road is punctuated with driveways and fences. There are above ground utility poles and existing signs on both sides of the highway. The photographs below (Figures 2-1 thru 2-3) show the existing condition of the project setting.
Figure 2-1  Silverado Trail (Route 121) approaching Sarco Creek Bridge

Figure 2-2  Silverado Trail (Route 121) approaching Sarco Creek Bridge
Existing Visual Character of Project Vicinity

This is a semi-rural area. The densely vegetated Sarco Creek runs through the project site. Development surrounding both sides of the two-lane conventional highway includes agriculture, open space, a ranch and residential properties on large lots with widely spaced houses. The architectural style of the homes is eclectic. Residences on the east are set back from the road while those on the west side are closer to the roadway. Fences are visible adjacent to the roadside. They are made of a variety of materials: wood, wire and rock. On both sides of the bridge, the bridge rail is a plain concrete barrier with metal beam guard rail. A variety of mature trees and shrubs line the highway. Utility poles line the western roadside. The visual character is semi-rural, with vegetation changing with the seasons.

Currently, the level of vividness within the project area is moderate, defined as the inclusion of interesting but not dominant or exceptional characteristics. Predominately, the vegetation merges together into a large mass. The exception is two individual landscape features that seem especially memorable, both large deciduous oak trees. One is on the northeastern roadside, the other adjacent to the bridge on the northwestern side. The attractive semi-rural character of the landscape in general, with the highway lined with trees and shrubs, leaves a positive impression.
The intactness of the area is moderate. The Creek itself is surrounded by residential properties. Native vegetation is interspersed with non-native. There is a medium level of mixed development within the highway corridor including ranches, homes and agriculture. This development, combined with the presence of visually encroaching, human-made features such as the two-lane roadway, concrete bridge railings, metal beam guard rail, residences, driveways, mail boxes, fencing, utility poles and highway signage create a moderate level of intactness.

The unity of the highway corridor landscape is moderate. There is consistency in the level of development, which is medium, and type of development, which is semi-rural, but the overall appearance is still eclectic.

Based on these conditions, existing visual quality along Route 121 in the vicinity of the project is considered moderate.

**Viewer Sensitivity**

Viewers of the project site are motorists or cyclists on Route 121 including persons who live or work in the area, tourists visiting the scenic Napa wine country, or people traveling to nearby recreation destinations. Such viewer groups have a high sensitivity to the landscape within the highway corridor. These viewers will be exposed to any project-induce changes for a brief time, lasting only as long as it takes for persons to move through the bridge replacement project area.

**Environmental Consequences**

Once the bridge replacement project is completed, changes to the project setting will be evident. Changes will be due to the removal of trees along the roadway and at the bridge site, widening of the shoulders, earthwork, utility relocation, construction of the fish passage, and the presence of the new bridge as compared to the existing bridge.

The new bridge will contribute in a positive manner to the scenic quality of the immediate setting and enhance the visual character of the highway facility. The existing bridge railing consists of a smooth concrete barrier with no surface texture or pattern. Architectural treatment of the new bridge rail will consist of form lined rock texture and stain to match existing historical stacked rock bridge rails in the area. The visual quality of the new bridge will be superior to that of the existing bridge.

In many places along the highway, trees line the road and overhang the pavement of some degree. None of the trees that will be removed are visually unique or outstanding in terms of their size, form, age, species, location or arrangement, and,
therefore, they do not qualify as a scenic resource. However, two deciduous oak trees that will be removed are visually prominent and removal will create a negative effect. Trees in general enhance the scenic appeal of the highway corridor and the loss of approximately 30 trees at the bridge site will have a negative visual effect.

The impact of tree removal, however, will be considered 'less than significant' in regards to CEQA since tree replacement is proposed for the trees removed and there are many trees in the immediately adjacent areas that will be unaffected by the project. These trees will help retain the vegetated character of the area.

Earthwork and grading at the bridge site will be required to provide access for construction equipment to the creek channel and fish passage areas. Trees and other vegetation within these areas will be removed. A temporary construction access ramp will be created on the northeast side of the bridge. The access ramp areas will be restored to its preconstruction contour on completion of the new bridge. The upland and creek bank portions of the access area will be re-vegetated with native trees and shrubs. These types of fish passage projects typically maintain or enhance the visual character of a creek once their vegetation has been established.

The project will not have a substantial adverse effect on any scenic vistas, will not substantially damage scenic resources, will not substantially degrade the existing visual character or quality of the project area, and will not create a substantial new source of light or glare.

Route 121 is not a Designated State Scenic Highway but it is eligible for such designation. It is also identified in the Napa County General Plan as a Scenic Roadway that is subject to its Viewshed Protection Program, noted below.

The Community Character Element of the Napa County General Plan addresses Aesthetics, Arts and Culture, Views and Scenic Roadways. The following goals and policies contained in the plan are relevant to the proposed project.

Goal CC-1: Preserve, improve, and provide visual access to the beauty of Napa County.

Policy CC-8: Scenic roadways, which shall be subject to the Viewshed Protection Program are those shown in Figure CC-3, or designated by the Board of Supervisors in the future. (Route 121 is among the roadway shown in Figure CC-3.)

Policy CC-13: The County's roadway construction and maintenance standards and other practices shall be designed to enhance the attractiveness of all roadways in particular scenic roadways. New roadway construction or
expansion shall retain the current landscape characteristics of County-designated scenic roadways, including retention of existing to the extent feasible and required re-vegetation and re-contouring of disturbed areas. In addition, a program to replant trees and shrubbery should be implemented in cases where they are removed during new roadway alignment.

Policy 1.3.1 Oak Woodland – Grass and Hardwoods Habitat Conservation Policies: Where possible, encourage preservation of remaining native Valley and Live Oaks. Where preservation is not possible, encourage appropriate replacement. Provide appropriate replacement native or adaptive vegetation, when retention of existing vegetation is found to be infeasible.

The project will be consistent with this policy, through re-vegetation with oak and other native species within the highway foreground discussed in the minimization measures below.

Avoidance, Minimization, and/or Mitigation Measures

Minimization measures of project-related visual effects consist of adhering to the following design requirements in cooperation with Caltrans District Landscape Architect. The following specific minimization measures are proposed:

1. **Effect:** Temporary Construction Easements (TCEs) and Highway Widening

   **Minimization Measure:** Cut and fill slopes shall be contour graded and rounded so as to reflect the contours of adjacent, undisturbed topography to the extent feasible. Grading operations shall not result in angular landforms.

2. **Effect:** Bare soil areas due to construction.

   **Minimization Measure:** All exposed ground surfaces shall be hydro-seeded with appropriate plant species for erosion control purposes as early as possible, but no later than October 31.

   **Intended Result:** The hydro-seeded vegetative cover will reduce the degree of visual contrast of these areas. It is expected that indigenous shrubs and herbaceous plants occurring on adjacent, undisturbed slopes will colonize the newly seeded slopes. As these colonizing plants mature and increase in density, the visual contrast of the disturbed areas will continue to diminish. In time, vegetative cover patterns of areas disturbed during construction will match the adjacent, undisturbed areas.
3. **Effect:** Tree removal due to construction.

*Minimization Measure:* Oak trees having a diameter at breast height equal to or greater than 6 inches that are removed during project construction shall be replaced with the same species at a minimum ratio of 1:1 for each tree removed. A higher replacement ratio shall be provided if sufficient space is available. Similarly, other trees (non-oak species having a diameter at breast height equal to or greater than 6 inches) that are removed shall be replaced at a ratio of 1:1. All trees will be replaced on-site to the extent possible after the completion of roadway construction. Off-site planting locations will be sought to provide additional replacement tree planting areas if space is not adequate at the proposed project site.

4. **Effect:** Removal of existing bridge structure.

*Minimization Measure:* The new bridge railings shall have a finished pattern, surface texture and coloration that mimic the stone pattern, color and texture of other rock railings in the vicinity.
2.5 CULTURAL RESOURCES

Regulatory Setting

The term "cultural resources" as used in this document refers to all "built environment" resources (structures, bridges, railroads, water conveyance systems, etc.), culturally important resources, and archaeological resources (both prehistoric and historic), regardless of significance. Laws and regulations dealing with cultural resources include:

The National Historic Preservation Act of 1966, as amended, (NHPA) sets forth national policy and procedures regarding historic properties, defined as districts, sites, buildings, structures, and objects included in or eligible for the National Register of Historic Places. Section 106 of NHPA requires federal agencies to take into account the effects of their undertakings on such properties and to allow the Advisory Council on Historic Preservation the opportunity to comment on those undertakings, following regulations issued by the Advisory Council on Historic Preservation (36 CFR 800). On January 1, 2004, a Section 106 Programmatic Agreement (PA) between the Advisory Council, the Federal Highway Administration (FHWA), State Historic Preservation Officer (SHPO), and Caltrans went into effect for all projects, both state and local, with FHWA involvement. The PA implements the Advisory Council's regulations, 36 CFR 800, streamlining the Section 106 process and delegating certain responsibilities to Caltrans. The FHWA's responsibilities under the PA have been assigned to Caltrans as part of the Surface Transportation Project Delivery Pilot Program (23 CFR 327) (July 1, 2007).

Historical resources are considered under the California Environmental Quality Act (CEQA), as well as California Public Resources Code (PRC) Section 5024.1, which established the California Register of Historical Resources. PRC Section 5024 requires state agencies to identify and protect state-owned resources that meet National Register of Historic Places listing criteria. It further specifically requires Caltrans to inventory state-owned structures in its rights-of-way. Sections 5024(f) and 5024.5 require state agencies to provide notice to and consult with the State Historic Preservation Officer (SHPO) before altering, transferring, relocating, or demolishing state-owned historical resources that are listed on or are eligible for inclusion in the National Register or are registered or eligible for registration as California Historical Landmarks.

Affected Environment

Caltrans prepared and completed a Historical Property Survey Report (HPSR) with an attached Archaeological Survey Report (ASR) and Historic Resource Evaluation
Report (HRER) in July 2011. These documents are available for review upon request. Caltrans Office of Cultural Resources has completed this report to ensure that the project is carried out in a manner consistent with Caltrans responsibilities under the January 2004 Programmatic Agreement under the Federal Highway Administration, the Advisory Council on Historic Preservation, the California State Historic Preservation Officer, and the California Department of Transportation Regarding Compliance with Section 106 of the National Historic Preservation Act, as it Pertains to the Administration of the Federal-Aid Highway Program in California (PA) for compliance with Section 106 of the National Historic Preservation Act (NHPA).

Archaeology Studies

The Area of Potential Effects (APE) for archaeological studies was established in consultation with Caltrans staff and includes the maximum project footprint including: all areas of direct effect, areas of proposed right-of-way acquisition, temporary construction easements (parcel for staging area included), utility relocations and the fish passage elements up and downstream from the bridge.

No concerns regarding cultural resources have been brought forth as a result of ongoing consultation with various Native American tribes, groups and individuals, and with local historic societies.

A records search of the Northwest Information Center (NWIC) of the California Historical Resources Information System, Sonoma State University, reveals six previously recorded cultural resources on NWIC maps within half a mile of the project site, consisting of four prehistoric archaeological sites, a recorded building and the bridge. None of the archaeological sites or the recorded built-environment resource is within the project APE.

Two previous archaeological surveys, each of which covered 95% of the APE, did not identify any archaeological deposits. Another survey, which covered 5% of the APE, also yielded negative results. The current archaeological study that was conducted for the purposes of this undertaking did not identify any archaeological deposits within the APE.

If cultural materials are discovered during construction, all earth-moving activity within and around the immediate discovery area will be diverted until a qualified archaeologist could assess the nature and significance of the find. If human remains are discovered, State Health and Safety Code Section 7050.5 states that further disturbances and activities shall cease in any area or nearby area suspected to contain remains, and the County Coroner shall be contacted. Pursuant to Public
Resources Code Section 5097.98, if the remains are thought to be Native American, the coroner will notify the Native American Heritage Commission (NAHC) who will then notify the Most Likely Descendent (MLD). At this time, the person who discovered the remains will contact Lissa McKee, Office of Cultural Resource Studies, so that they may work with the MLD on the respectful treatment and disposition of the remains. Further provisions of PRC 5097.98 are to be followed as applicable.

Architectural History Studies

The APE for architectural history studies was established in consultation with Caltrans staff and includes the highway right of way and all parcels with frontage on the highway for the entire project length, including a total of sixteen parcels with four on the west side and twelve on the east side.

Existing historic property lists researched for this project include the National Register of Historic Places, California Register of Historical Resources, California Historical Landmarks and California Points of Historical Interest. There are no previously identified National Register listed or eligible properties, California Historical Landmarks, or California Points of Historical Interest within the APE of for this project.

The Sarco Creek Bridge (#21-0008) is a Category 5 structure in the Department Historic Highway Bridge Inventory and was determined ineligible for National Register listing in the Statewide Historic Bridge Inventory of 2006. Also ineligible for such listing are the properties located at 1944 Silverado Trail, 1945 Silverado Trail, 1950 Silverado Trail, 1953 Silverado Trail, 1954 Silverado Trail, 1968 Silverado Trail, 1971 Silverado Trail, 1972 Silverado Trail and 2000 Silverado Trail. Caltrans has determined that the residence located at 1000 Monticello Road is eligible since it meets National Register Criterion C, as an excellent example of Spanish Revival architecture. The remaining properties within the APE are exempt from evaluation in accordance with Attachment 4 of the Section 106 Programmatic Agreement (PA).

Environmental Consequences

Caltrans, in consultation with the State Historic Preservation Officer (SHPO), has determined that a Finding of No Historic Properties Affected according to the Section 106 PA is appropriate for this undertaking. The residence at 1000 Monticello Road will not be affected by this project because the project will not include alteration of the building or grounds on this property and there will be no right of way acquisition or temporary construction easement from this property.
The project will not affect or use any Section 4(f) historic resource since no such uses were identified within the project limits.

Avoidance, Minimization, and/or Mitigation Measures

No avoidance, minimization, and/or mitigation/compensation measures are proposed.
2.6 HYDROLOGY AND FLOODPLAIN

Regulatory Setting

Executive Order 11988 (Floodplain Management) directs all federal agencies to refrain from conducting, supporting, or allowing actions in floodplains unless it is the only practicable alternative. The Federal Highway Administration requirements for compliance are outlined in 23 CFR 650 Subpart A.

In order to comply, the following must be analyzed:

- The practicability of alternatives to any longitudinal encroachments
- Risks of the action
- Impacts on natural and beneficial floodplain values
- Support of incompatible floodplain development
- Measures to minimize floodplain impacts and to preserve/restore any beneficial floodplain values impacted by the project.

The base floodplain is defined as “the area subject to flooding by the flood or tide having a one percent chance of being exceeded in any given year.” An encroachment is defined as “an action within the limits of the base floodplain.”

Affected Environment

Caltrans completed a Location Hydraulics Study in December 2011; this section of the environmental document is based on this study. The Location Hydraulics Study is available for review upon request.

Project Setting

The average annual rainfall at the project site is close to 25 inches per the Caltrans District 4 Mean Annual Rainfall Chart. Sarco Creek begins at Mount George and flows generally to the southwest. Less than 0.1 miles downstream of the project, Sarco Creek flows into Milliken Creek which then flows approximately 0.6 miles before it merges with the Napa River. The Napa River eventually discharges to San Pablo Bay. The Sarco Creek watershed is approximately 8.4 square miles.

The base flood elevations in Sarco Creek at the proposed project site are controlled by the backwater from the Napa River and Milliken Creek.
As shown on the Federal Emergency Management Agency (FEMA) map (see Figure 2-4), the proposed project is located within the 100 year floodplain.

Environmental Consequences

A Summary Floodplain Encroachment Report was completed in December 2011 (see Appendix F).

The proposed roadway widening and the addition of the fish passage, including a roughened ramp and rock weirs, will result in minor fill placed within the floodplain.

The maximum increase in the roadway profile elevation will be about 0.4 feet in order to conform to the new bridge and roadway widening. The proposed bridge will be widened approximately 8.5 feet on the upstream side while the downstream edge will remain the same. The south abutment of the bridge will be moved approximately eight feet south and the north abutment will be moved approximately four feet north; this will increase the conveyance of the bridge. The lengthening of the bridge will result in minor excavation in the floodplain.

It is expected that the total fill and excavation from the project will balance out, resulting in no net impact.

Avoidance, Minimization, and/or Mitigation Measures

No avoidance, minimization, and/or mitigation/compensation measures are proposed.
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2.7 WATER QUALITY AND STORMWATER RUNOFF

Regulatory Setting

Federal Requirements: Clean Water Act

Federal Water Pollution Control Act and its many amendments, collectively known as the Clean Water Act (CWA), authorize standards and procedures to govern allowable discharges of water pollutants. The objective of the CWA, as stated in the Federal Water Pollution Control Act Amendments of 1972, is “to restore and maintain the chemical, physical, and biological integrity of the Nation’s waters.”

Important CWA sections include:

- Sections 303 and 304, which requires that states promulgate water-quality standards, criteria, and guidelines.

- Section 401, which requires that applicants for a federal license or permit obtain certification from the State before conducting any activity that may result in a discharge to Waters of the United States in order to ensure that the discharge will comply with the other provisions of the act. (This is frequently required in tandem with a Section 404 Water Quality Certification; see below.)

- Section 402, which establishes the National Pollutant Discharge Elimination System (NPDES) permit program to regulate point-source discharges of pollutants into waters of the United States. An NPDES permit sets specific discharge limits for point sources and establishes monitoring and reporting requirements, as well as special conditions. Section 402(p) requires permits for discharges of stormwater from industrial/construction activities and for municipal separate storm sewer systems (MS4s).

- Section 404 establishes a permit program for the discharge of dredge or fill material into waters of the United States.

Section 404 Permit Program

The 404 permit program for the discharge of dredge or fill material into waters of the United States, administered by the U.S. Army Corps of Engineers (USACE), distinguishes between general and standard project permits. General permits apply to projects of predefined types associated with minimal effects. Projects that do not meet the criteria for a Nationwide Permit may ordinarily be permitted under one of USACE’s standard permits.

There are two types of general permits: regional general permits issued for categories of activities in specific geographic area that cause only minimal individual and cumulative environmental impacts, and nationwide general permits issued to authorize a variety of predefined minor project activities that also have no more than minimal effects.
USACE standard permits comprise a prescribed sequence of avoidance, minimization, and compensation measures, and documentation requirements. They allow the discharge of dredged or fill material into specifically defined Waters of the United States only if there is no other least environmentally damaging practicable alternative (LEDPA) to the proposed discharge that would have lesser effects on waters of the U.S having a lesser adverse effect.

The USACE’s decision to approve standard permits is based on compliance with U.S. Environmental Protection Agency’s (EPA) section 404 (b)(1) guidelines (U.S. EPA CFR 40 Part 230), and a determination as to whether permit approval is in the public interest. The guidelines, developed by the EPA in conjunction with USACE, state that USACE may not issue a permit if there is any other significant adverse environmental consequence of the discharge. The guidelines also restrict permitting activities that violate water-quality or toxic-effluent standards, jeopardize the continued existence of listed species, violate marine-sanctuary protections, or cause “significant degradation” to Waters of the United States. In addition, every permit from the USACE, even if not subject to the Section 404(b)(1) Guidelines, must meet the requirements for general permits (see 33 CFR 320.4). A discussion of the LEDPA determination for the document is included in the Wetlands and Other Waters section.

Section 404 Water Quality Certification

The other sections of the CWA listed above, and the Porter-Cologne Act, California’s state water-quality act (see below), are implemented through the State Water Resources Control Board (SWRCB), which administers water rights, water-pollution control, and water-quality functions throughout California, and Regional Water Quality Control Boards (RWCQBs), which are responsible for protecting beneficial uses of water resources within their regional jurisdiction through planning, permitting, and enforcement.

Under the CWA, each state designates beneficial uses for each waterbody, and then sets criteria necessary to protect these uses. In California, the RWQCBs are responsible for maintaining for each region basin plans for water quality that establish local objectives and beneficial uses for each waterbody, based on federal standards. These plans are used as the basis for regulation and enforcement. Differing water-quality standards are developed for different water segments, and standards vary depending on the designated uses.

In addition, each state identifies waters failing to meet standards for specific pollutants. These waters are listed in accordance with CWA Section 303(d) (“303(d) listed”). If a state determines that waters are impaired for one or more constituents and the standards cannot be met through point source controls, the CWA requires the establishment of Total Maximum Daily Loads (TMDLs). TMDLs specify allowable pollutant loads from all point, non-point, and natural sources for a given watershed.
Under Section 401 of the CWA, any project requiring a federal license or permit that may result in a discharge to a water body must obtain a 401 Certification. This certifies that the project will be in compliance with state water-quality standards. The most common federal permits triggering 401 Certification are CWA Section 404 permits issued by USACE. The 401 permit certifications are obtained from the appropriate RWQCB, dependent on the project location, and are required before USACE issues a 404 permit.

National Pollution Discharge Elimination System (NPDES) Program

Municipal Separate Storm Sewer Systems

Section 402(p) of the CWA requires the issuance of NPDES permits for five categories of stormwater dischargers, including Municipal Separate Storm Sewer Systems (MS4s). The EPA defines an MS4 as any conveyance or system of conveyances (roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, human-made channels, and storm drains) owned or operated by a state, city, town, county, or other public body having jurisdiction over stormwater, that are designed or used for collecting or conveying stormwater. In California, the SWRCB or the RWQCB issues NPDES permits for five years, and permit requirements remain active until a new permit has been adopted.

The SWRCB has identified Caltrans as an owner/operator of an MS4. Caltrans’s MS4 permit covers all of Caltrans’s rights-of-way, properties, facilities, and activities in the state. The permit, under revision at this time, contains three basic requirements:

1. Caltrans must comply with the requirements of the Construction General Permit (see below);

2. Caltrans must implement a year-round program in all parts of the State for effective control of stormwater and non-stormwater discharges; and

3. Caltrans stormwater discharges must meet water-quality standards through implementation of permanent and temporary (construction) Best Management Practices (BMPs) and other measures.

In response, Caltrans developed the Statewide Stormwater Management Plan (SWMP) to address stormwater pollution controls related to planning, design, construction, and maintenance of highways throughout California. The SWMP assigns responsibilities within Caltrans for implementing stormwater management procedures and practices, training, public education and participation objectives, monitoring and research programs, program evaluation, and reporting. The SWMP describes the minimum procedures and practices Caltrans uses to reduce pollutants in stormwater and non-stormwater discharges. It outlines procedures and responsibilities for protecting water quality, including the selection and implementation of Best Management Practices (BMPs). Caltrans projects address
stormwater runoff by follow the guidelines and procedures outlined in the latest SWMP.

Part of and appended to the SWMP is the Stormwater Data Report (SWDR) and its associated checklists. The SWDR documents stormwater-associated design decisions made for project compliance with the MS4 NPDES permit. The preliminary information in the SWDR prepared during the Project Initiation Document (PID) phase will be reviewed, updated, confirmed, and if required, revised in the SWDR prepared for the later phases of the project. The information contained in the SWDR may be used to make more informed decisions regarding the selection of BMPs and/or recommended avoidance, minimization, or mitigation measures to address water-quality impacts.

Construction General Permit

The Caltrans Construction General Permit (Order No. 2009-009-DWQ), adopted on September 2, 2009, became effective on July 1, 2010. The permit regulates stormwater discharges from construction sites which result in a Disturbed Soil Area (DSA) of one acre or greater, and/or are smaller sites that are part of a larger common plan of development. All stormwater discharges associated with construction activity where clearing, grading, and excavation results in soil disturbance at least one acre in extent must comply with the provisions of the General Construction Permit. Construction activity that results in soil disturbances of less than one acre in extent is subject to this Construction General Permit if there is potential for significant water-quality impairment resulting from the activity, as determined by the RWQCB. Operators of regulated construction sites are required to develop stormwater pollution prevention plans; to implement sediment, erosion, and pollution prevention control measures; and to obtain coverage under the Construction General Permit.

The 2009 Construction General Permit separates projects into Risk Levels 1, 2, or 3. Risk levels are determined during the planning and design phases, and are based on the estimated potential for erosion and transport of sediment to receiving waters. Requirements apply according to the Risk Level determined. For example, a Risk Level 3 (highest risk) project would require stormwater runoff pH and turbidity monitoring, and aquatic biological assessments before and after construction during specified seasonal work windows. For all projects subject to the permit, applicants are required to develop and implement an effective Stormwater Pollution Prevention Plan (SWPPP). In accordance with Caltrans’s Standard Specifications, a Water Pollution Control Plan (WPCP) is necessary for projects with DSA less than one acre.

State Requirements: Waste Discharge Requirements

California’s Porter-Cologne Act, enacted in 1969, predates the CWA. It regulates discharges to waters of the State through the issuance of Waste Discharge Requirements (WDRs), and requires that a “Report of Waste Discharge” be submitted for any discharge of waste (liquid, solid, or gaseous) to land or surface
waters that may impair beneficial uses of surface and/or groundwater of the state. A WDR may be required even when the discharge is already permitted or exempt under the CWA, as both Porter-Cologne’s definition of Waters of the State and its definition of “waste” are broader than the corresponding definitions in the CWA.

In some cases, the RWQCB may have specific concerns with discharges associated with a project not covered by the 401 permit. As a result, the RWQCB may issue Waste Discharge Requirements (WDRs) under the State Water Code that define activities, such as the inclusion of specific features, effluent limitations, monitoring, and plan submittals that are to be implemented for protecting or benefiting water quality. WDRs can be issued to address both permanent and temporary discharges of a project.

Affected Environment

Caltrans completed a Water Quality Report for this project in May 2009. This report is available for review upon request. The San Francisco Bay Regional Water Quality Control Board (RWQCB, Region 2) is responsible for implementation of State and Federal water quality protection laws and regulations in the project vicinity.

Storm Water

The proposed project site is within the Napa River Hydrological Area, Hydrological Sub-Area (HSA) #206.50. Storm water from the project area drains into the surrounding Municipal Separate Storm Sewer System (MS4), and then into Sarco Creek, which is tributary to Napa River, and ultimately to San Pablo Bay.

Napa River and San Pablo Bay are on the EPA’s 303(d) List of Water Quality Limited Segments. Pollutants of concerns for Napa River are nutrients, pathogens, and sedimentation/siltation, and for San Pablo Bay, chlordane, DDT, diazanon, dieldrin, dioxin compounds, exotic species, furan compounds, mercury, nickel, PCBs, and selenium. The Region 2 RWQCB Basin Plan has also established beneficial uses for Napa River, which are: agricultural supply, municipal and domestic supply, cold and warm freshwater habitat, navigation, contact- and non-contact water recreation, wildlife habitat, and spawning, reproduction, and/or early development. Beneficial uses for San Pablo Bay are: industrial service supply, ocean, commercial, and sport fishing, shellfish harvesting, estuarine habitat, fish migration, preservation of rare and endangered species, fish spawning, wildlife habitat, contact and non-contact water recreation, and navigation.

Caltrans has performed many studies to monitor and characterize highway storm water runoff throughout the State. Commonly found pollutants are total suspended solids (TSS), nutrients, pesticides, metals (particulate and dissolved), pathogens,
litter, biochemical oxygen demand (BOD), total dissolved solids (TDS), zinc (total or dissolved), phosphorous, copper (total or dissolved), sediments, and general metals. Some sources of these pollutants are natural erosion, runoff from construction sites, tree leaves, surfactants and emulsifiers, droppings of wild and domestic animals, automotive exhausts, fertilizer runoff, combustion products from fossil fuels, corrosion of metals, paints and solder, and the wearing of break pads.

**Ground Water**

This proposed project is located in the Napa-Sonoma Valley Groundwater Basin, Napa Valley Sub-Basin. The existing beneficial uses of this ground water resource according to the Basin Plan include municipal, industrial process and service, and agricultural water supply.

**Environmental Consequences**

A 401 Water Quality Certification from the Region 2 RWQCB will be required since work will be performed within Waters of the State.

All work proposed for this project is expected to cause approximately 2.0 acres of disturbed soil area (DSA). The net additional impervious area and the total reworked area for the proposed project will be approximately 0.1 and 0.05 acres, respectively.

Grading and installation of new paving will change drainage patterns and increase the quantity of surface water run-off within the SR-121 right of way, both during construction and permanently.

Because the Napa River and San Pablo Bay will not be receiving any of the pollutants of concerns, no special regulatory requirements apply.

**Ground Water**

Ground water may be encountered during the structural excavation. Early discussion shall be initiated regarding the handling and disposal of this water during the design phase. Also, the ground water will be tested for potential contamination as a part of the Hazardous Waste Site Investigation. Proper handling and disposal of the ground water will be based on the levels of contaminants reported in a Site Investigation Report to be completed during the design phase of the project.
Avoidance, Minimization, and/or Mitigation Measures

According to Caltrans NPDES permit and the Construction General Permit, Best Management Practices (BMPs) will be incorporated to reduce the discharge of pollutants during construction as well as permanently to the Maximum Extent Practicable (MEP). These BMPs fall into four categories: temporary construction site BMPs, design pollution prevention BMPs, permanent treatment BMPs, and maintenance BMPs.

Construction Site BMPs

Construction Site BMPs are implemented during construction activities to limit or reduce potential pollutants at their source before they come in contact with storm water. Caltrans Construction Site BMPs are divided into six categories: temporary soil stabilization, temporary sediment control, wind erosion control, tracking control, non-storm water management, and waste management and materials pollution control. Some of the BMPs that may be utilized to prevent and minimize soil erosion and sediment discharges during construction are street sweeping and vacuuming, concrete waste management, stockpile management, and stabilized construction entrance/exit.

Given that the anticipated soil disturbance is one acre or more, a Stormwater Pollution Prevention Plan (SWPPP) will be developed during construction. This dynamic document addresses the deployment of various erosion and water pollution control measures that are required commensurate to changing construction activities.

Permanent Design Pollution Prevention BMPs

Design Pollution Prevention BMPs are permanent measures to improve storm water quality by reducing erosion, stabilizing disturbed soil areas, and maximizing vegetated surfaces after construction is completed. Erosion control measures will be provided on all disturbed areas to the extent feasible. These measures can utilize a combination of source and sediment control measures to prevent and minimize erosion from soil disturbed areas. Source controls can utilize erosion control netting in combination with hydroseeding. The biodegradable netting is effective in providing good initial mechanical protection while seed applied during the hydroseeding operation germinates and establishes itself. Other forms of source control such as tacked straw may also be used when applicable. Sediment controls such as biodegradable fiber rolls can be used to retain sediments and to help control runoff from disturbed slope areas. Outlet protection and velocity dissipation devices placed at the downstream end of culverts and channels are also Design Pollution Prevention BMPs that reduce runoff velocity and control erosion and scour. The
need for these devices in this project will also be further investigated during the design phase.

**Permanent Treatment BMPs**

Treatment BMPs are permanent devices and facilities that remove pollutants from storm water runoff prior to leaving Caltrans right-of-way and being discharged directly or indirectly to receiving waters. Approved Treatment BMPs are biofiltration swales, infiltration basins, detention basins, traction sand traps, dry weather flow diversions, media filters, gross solids removal devices (GSRDs), multi-chamber treatment trains, and wet basins.

Considering the scope of work, this project will be required to incorporate permanent Treatment BMPs.

**Maintenance BMPs**

Maintenance BMPs are water-quality controls used to reduce pollutant discharges during highway maintenance and activities conducted at maintenance facilities. Included in this category are litter pickup, street sweeping, and stenciling storm drain inlets.

Use of appropriate BMPs, quantities and their locations will be further investigated as the project develops and more detailed information is provided in the subsequent design phase.
2.8 GEOLOGY/SOILS/SEISMIC/TOPOGRAPHY

Regulatory Setting

For geologic and topographic features, the key federal law is the Historic Sites Act of 1935, which establishes a national registry of natural landmarks and protects "outstanding examples of major geological features." Topographic and geologic features are also protected under the California Environmental Quality Act.

This section also discusses geology, soils, and seismic concerns as they relate to public safety and project design. Earthquakes are prime considerations in the design and retrofit of structures. Caltrans Office of Earthquake Engineering is responsible for assessing the seismic hazard for Caltrans projects. The current policy is to use the anticipated Maximum Credible Earthquake (MCE), from young faults in and near California. The MCE is defined as the largest earthquake that can be expected to occur on a fault over a particular period of time.

Affected Environment

Caltrans completed a Preliminary Geotechnical Report for this project in August 2010. This report is available for review upon request.

The proposed project is located at the southeastern end of the Napa Valley, a long, narrow depression that runs northwest/southeast and is drained by the Napa River. The valley is bordered by the Sonoma Mountains to the west, Vaca Mountains to the east and San Pablo Bay to the south. The elevations at the northern and southern ends of the Sarco Creek Bridge are 26.3 feet and 27 feet, respectively. Sarco Creek, which flows east to west to the Napa River, is at an elevation of 11 feet. Sarco Creek originates several miles to the east on the northern flank of Mount George, elevation 1,877 feet.

The proposed project site is located within a seismically active region dominated by the northwest trending San Andreas Fault. Several other faults that parallel the San Andreas make up the larger San Andreas Fault system and separate the Pacific Plate on the west from the North American Plate to the east. The San Andreas Fault system can be thought of as a diffuse plate boundary at which strain is spread across a wide region. There are larger, well-known faults within the system that tend to be the most active. However, there are other unnamed faults that are not mapped that may produce moderate earthquakes.

Table 2-2 summarizes the known active faults in the region that have the potential to produce large earthquakes. Data are from the Caltrans's 2007 Seismic Hazard
Report. Maximum Credible Earthquakes are given in Mw (moment magnitude) and are a function of the length and width of a fault zone and not of recent or historical events.

<table>
<thead>
<tr>
<th>FAULT</th>
<th>Distance from project (miles)</th>
<th>Maximum Credible Earthquakes</th>
<th>Peak Ground Acceleration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Green Valley</td>
<td>4.4</td>
<td>7.5</td>
<td>0.5 g</td>
</tr>
<tr>
<td>West Napa</td>
<td>6.7</td>
<td>6.25</td>
<td>0.25 g</td>
</tr>
<tr>
<td>Rodger's Creek</td>
<td>14.1</td>
<td>7.0</td>
<td>0.2 g</td>
</tr>
<tr>
<td>San Andreas</td>
<td>34</td>
<td>8.0</td>
<td>0.2 g</td>
</tr>
</tbody>
</table>

Note: g refers to the acceleration due to Earth's gravity, equivalent to g-force

Within the proposed project limits, the subsurface is comprised of alluvial material derived from the adjacent Milliken and Sarco Creek drainages. These alluvial units include Pleistocene alluvium, Late Holocene stream terrace deposits, and Lake Holocene stream channel deposits. Foundation materials for the Sarco Creek Bridge consist of dense silty sand with gravel, hard silty clay and sense clayey silt.

Little evidence was found regarding groundwater elevations along the proposed project alignment. Geotechnical borings were drilled along the bank of Sarco Creek and, although groundwater was not measured, it was assumed to be the elevation of the creek within the immediate vicinity (elevation 11 feet). Groundwater flows from east to west within the proposed project limits, mirroring the stream flow. The proposed project will not affect the local groundwater regime.

The banks of Sarco Creek are heavily vegetated with trees and thick brush. Slopes along the creek are 1.5:1 (horizontal:vertical) and steeper. Steeper banks are found on the outside of meanders with gentle banks to the inside, as is typical of streams. This can be seen downstream of the bridge where the creek takes a sharp left and flows south.

**Environmental Consequences**

Potential seismic hazards in such an active region include primary surface rupture, a seismic fault creep and the secondary effects due to strong ground shaking. There are no active faults that cross the proposed project limits so fault rupture and fault creep are not considered to pose a hazard to the project. The potential for strong ground shaking in the project area during the life of the project is high and will affect both roadways and structures. Loose, saturated soils pose the greatest threat during episodes of strong shaking. The following lists possible hazards that may be caused by strong ground shaking and the probability of their occurrence within the project limits:
Densification and Settlement:

Densification of loose granular soils – The probability of densification of loose soils within the project limits is moderate to low. Any embankments or fill should be sufficiently compacted to lessen the risk of densification.

The proposed project will not increase the likelihood of settlement within the project limits. The current roadway configuration and the existing Sarco Creek Bridge have withstood moderate to strong ground shaking in the past and have not shown adverse affects. The likelihood of settlement in the future is low.

Liquefaction

Liquefaction potential, a phenomenon in which soils lose all shear strength and turn essentially to fluids, is considered low in the project area. Potentially liquefiable deposits are generally composed of clean sand with a high ration of void space. Subsurface sampling indicated dense silty clay, silty sand with gravel and hard silty clay. The subsurface conditions suggest a low potential for liquefaction.

In areas where cuts will be made to the outside bend of the channel, further erosion of the bank can be expected. Unwanted erosion will be minimized by the use of rock slope protection (RSP) at the toe of the new bank. Slope stability hazards are found along the steeper, outside bends of Sarco Creek. Where the project proposes to cut these banks, failures during high flow events are expected. There are no rock fall hazards within the project area.

Though Sarco Creek and the surrounding area are within a 100-year flood zone, the project will not increase the likelihood of damage from a flood event because the bridge conveyance will increase as a result of the proposed project.

The project proposes to excavate side slopes of Sarco Creek. Preliminary cross sections indicate side slopes to be cut at 1.5:1, similar to current conditions. Cuts will be minimal and no more than a few feet into the bank. The excavation as proposed poses little or no risk to the project.

Avoidance, Minimization, and/or Mitigation Measures

Rock slope protection (RSP) will be used to minimize erosion and slope stability hazards. The project area is likely to experience seismic activity in the future. No other avoidance, minimization and/or mitigation measures are proposed. BMPs for erosion and sediment control are noted in the Water Quality section of this chapter.
2.9 PALEONTOLOGY

Regulatory Setting

Paleontology is the study of life in past geologic time based on fossil plants and animals. A number of federal statutes specifically address paleontological resources, their treatment, and funding for mitigation as a part of federally authorized or funded projects. (e.g., Antiquities Act of 1906 [16 USC 431-433], Federal-Aid Highway Act of 1956 [23 USC 305], and the Omnibus Public Land Management Act of 2009 [16 USC 470aaa]). Under California law, paleontological resources are protected by the California Environmental Quality Act.

Affected Environment

According to the Preliminary Geotechnical Report (PGR) prepared in August 2010 and the Paleontological Identification Report (PIR) prepared in September 2011 (both reports are available for review upon request), the proposed project site is located on Quaternary sediments. No specific fossil bearing formations are located in the project vicinity. The alluvium that is present ranges from the Holocene (present-age) to late Pleistocene. The only fossils on record in Napa County that have been found in Quaternary strata were found 25 miles north of the project site.

Holocene Alluvium has been shown to contain vertebrate and invertebrate fossils of presently existing, modern species, which are generally not considered paleontologically important.

As part of the PIR preparation, a field survey was done in August 2011 of the paleontological study area (PSA), which includes the half mile project boundary along SR 121. No paleontological resources were observed.

A literature review and online fossil locality search were conducted for Napa County using the Berkeley Natural History Museum (BNHM) online database and the UC Paleontology Museum Database (UCMP). Sixty-one fossil localities were located using BNHM and 101 fossils were located using the UCMP database. Only the UCMP categorized the fossils by their time period and, of the 101 fossils located in Napa County, only 4 are from the Quaternary and one has unlisted age. These 4 fossils were eliminated from further examination due to their distance from the project site (25 miles). Therefore, the proposed project site has been classified as having a low potential for paleontological sensitivity.

All geological units within the project vicinity are indicated on Figure 2-5.
Environmental Consequences

Construction activities can impact paleontologically sensitive geologic units when vehicles or other work equipment impacts previously undisturbed sediments by excavating, grading, or crushing bedrock exposed in or underlying a project. This can result in adverse impacts to fossils by destroying them or otherwise altering them in such a way that their scientific value is lost.

The proposed project includes ground-disturbing activities. The average depth of planned excavation will be about 7.5 feet with the maximum depth being 15 feet for utility relocation activities. As the deepest excavation planned will still be in the undivided alluvium, the excavation will never go past the low paleontological sensitivity level. However, because the thickness of the overlying soils is unknown, the excavations could possibly cut into the potential fossil bearing strata.

Avoidance, Minimization, and/or Mitigation Measures

In general, avoidance and minimization measures are not feasible with regard to addressing impacts on paleontological resources. Geologic formations are usually extensive and project design cannot be adjusted sufficiently to effectively avoid or minimize paleontological impacts. As a result, mitigation is the approach generally taken to address these impacts.

The following mitigation measures are recommended and in accordance to Caltrans’ Standard Environmental Reference Guidelines (Caltrans, 2007):

- A Paleontological Evaluation Report (PER) should be prepared prior to construction to define actual locations where monitoring may be necessary based upon the project design. For budgeting, the PER will provide enough information about the level of effort needed.
- Based upon the findings from the PER, a Paleontological Mitigation Plan (PMP) may be recommended to define the specific mitigation measures and methods that will be implemented.
- These recommendations may include:
  - A qualified paleontologist could be present to consult with grading and excavation contractors at pre-grading meetings.
○ The Principal Paleontologist could also have an environmental meeting to train grading and excavation contractors in the identification of fossils.

○ If fossils are discovered, the paleontologist (or paleontological monitor) will be called to recover them. Construction work in these areas may need to be halted or diverted to allow recovery of fossil remains in a timely manner.

○ Fossil remains collected during the monitoring and salvage portion of the mitigation program will be cleaned, stabilized, sorted, and cataloged.

○ Prepared fossils, along with copies of all pertinent field notes, photos, and maps, will then be deposited in a scientific institution with paleontological collections.

○ A final report may be completed that outlines the results of the mitigation program.
2.10 HAZARDOUS WASTE/MATERIALS

Regulatory Setting

Hazardous materials and hazardous wastes are regulated by many state and federal laws. These include not only specific statutes governing hazardous waste, but also a variety of laws regulating air and water quality, human health and land use.

The primary federal laws regulating hazardous wastes/materials are the Resource Conservation and Recovery Act of 1976 (RCRA) and the Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA). The purpose of CERCLA, often referred to as Superfund, is to clean up contaminated sites so that public health and welfare are not compromised. RCRA provides for “cradle to grave” regulation of hazardous wastes. Other federal laws include:

- Community Environmental Response Facilitation Act (CERFA) of 1992
- Clean Water Act
- Clean Air Act
- Safe Drinking Water Act
- Occupational Safety and Health Act (OSHA)
- Atomic Energy Act
- Toxic Substances Control Act (TSCA)
- Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA)

In addition to the acts listed above, Executive Order 12088, Federal Compliance with Pollution Control, mandates that necessary actions be taken to prevent and control environmental pollution when federal activities or federal facilities are involved.

Hazardous waste in California is regulated primarily under the authority of the federal Resource and Conservation Act of 1976, and the California Health and Safety Code. Other California laws that affect hazardous waste are specific to handling, storage, transportation, disposal, treatment, reduction, cleanup and emergency planning.
Worker health and safety and public safety are key issues when dealing with hazardous materials that may affect human health and the environment. Proper disposal of hazardous material is vital if it is disturbed during project construction.

**Affected Environment**

An Initial Site Assessment (ISA) Checklist was completed for the project in March 2000 and is available for review upon request. Federal, State and local environmental and health regulatory agency records were consulted to identify any known hazardous waste sites within or near the project vicinity. Additionally, Caltrans conducted an environmental regulatory database search for this project in early 2009.

**Environmental Consequences**

Results from the ISA indicate no potential or known hazardous waste sites in the project vicinity. The possibility of lead-base paint contamination from routine maintenance (i.e., sandblasting) was considered on the steel bridge structure. However, observation from the site reconnaissance indicated that there are no remnants of lead-based paint or any other evidence that will otherwise indicate that this project is impacted with lead-base paint contamination. The ISA concludes that the project has no known or potential hazardous waste involvement.

Further database research confirms that the project is considered low risk with regard to potential hazardous material concerns. Aerially deposited lead (ADL) from past vehicle emissions may be present at the site, but likely not much above levels known to occur in the natural environment. Naturally occurring asbestos (NOA) is not anticipated to be present at this location. A site investigation may be warranted during the design phase of the project to verify these assessments.

**Ground Water**

Ground water may be encountered during the structural excavation. Early discussion shall be initiated regarding the handling and disposal of this water during the design phase. Ground-water will be tested for potential contamination as a part of the Hazardous Waste Site Investigation.

**Avoidance, Minimization, and/or Mitigation Measures**

Proper handling and disposal of the ground-water will be based on the levels of contaminants reported in a Site Investigation Report, during the design phase of the project.
The demolition of the existing steel bridge will require surveys for asbestos-containing materials and lead containing paint.

Depending upon the results of the aforementioned report and surveys, Caltrans will implement special material-handling plans that are consistent with state and federal environmental laws. These laws and the resulting environmental consequences are described as:

*Man-made Asbestos Containing Materials (ACM)*

Because ACM is a hazardous substance and a hazardous air pollutant, BAAQMD regulations require that Caltrans conduct a thorough survey prior to any demolition for the presence of ACM. The survey shall include sampling and the results of laboratory analysis of the asbestos content of all suspected ACM. If this asbestos survey finds ACM then other regulations become effective during the demolition of a bridge.

Title 8 of the California Code of Regulations (CCR) Section 1529, "Asbestos," regulates asbestos exposure in all construction work as defined by Section 1502 and includes demolition of structures. Section 1502 states that the construction safety orders establish minimum safety standards whenever employment exists in connection with removal or wrecking of any fixed structure or its parts.

The removal of asbestos-containing material, such as bridge-barrier-rail shims, falls under the 8 CCR Section 1529 definition of "Class II asbestos work." Class II asbestos work means activities involving the removal of asbestos-containing material (ACM) which is not thermal system insulation or surfacing material. Removal means all operations where ACM is taken out or stripped from structures or substrates, and includes demolition operations.

Title 8 of CCR Section 1529, "Asbestos," specifies the following best management practices for handling ACM during bridge removal or demolition operations.

1. The material shall be thoroughly wetted with amended water prior to and during its removal.

2. The material shall be removed in an intact state unless the employer demonstrates that the intact removal is not possible.

3. Cutting, abrading or breaking the material shall be prohibited unless the employer can demonstrate that methods less likely to result in asbestos fiber release are not feasible.
4. Asbestos-containing material removed, shall be immediately bagged or wrapped, or kept wetted until transferred to a closed receptacle, no later than the end of the work shift.

Caltrans' nonstandard special provision (NSSP) entitled "Removal of Asbestos Containing Materials - Bridges and Non-building Structures," will be used to address any ACM removal during the demolition of the bridge. This NSSP requires that all friable ACM be removed in a manner that conforms to OSHA work practice requirements. This NSSP also specifies that the contractor remove and handle all non-friable ACM to prevent breakage. The contractor must dispose of friable and non-friable waste containing asbestos at a disposal facility permitted to accept such material and that meets all the requirements specified by Federal, State, and Local regulations.

*Lead Based Paint*

The Construction Safety Orders found in Title 8 CCR Section 1532.1, "Lead" apply to all construction work where an employee may be occupationally exposed to lead. The term "construction work" includes the alteration, repair, demolition, and salvage of structures where lead or materials containing lead are present.

8 CCR Section 1532.1 requires that employers assure that no employee is exposed to lead at concentrations greater than 50 micrograms per cubic meter (50 μg/m³). Employers are also required to establish a written compliance program to ensure that employees are not exposed to lead.

Title 17 CCR Section 35022 states that any individual conducting lead activities, excluding lead hazard evaluation, shall use containment and shall ensure that the work area has no visible dust or debris following the completion of the project. Containment means a system, process, or barrier used to contain lead hazards inside a work area.

Caltrans' Engineering Service Center typically specifies that SSP 15-025, "Existing Paint Systems" be used to ensure that any work that disturbs existing paint on a structure is protective of human health and safety. SSP 15-025, or a similar NSSP, will require that the contractor prepare a lead compliance plan in accordance with the requirements within 8 CCR Section 1532.1, "Lead." These special provisions will also address the issue of containment and the proper disposal of demolition waste that contains lead.

No other avoidance, minimization and/or mitigation measures are proposed.
2.11 BIOLOGICAL ENVIRONMENT

2.1.1 NATURAL COMMUNITIES
This section of the document discusses natural communities of concern. The focus of this section is on biological communities, not individual plant or animal species. This section also includes information on wildlife corridors and habitat fragmentation. Wildlife corridors are areas of habitat used by wildlife for seasonal or daily migration. Habitat fragmentation involves the potential for dividing sensitive habitat and thereby lessening its biological value.

Habitat areas that have been designated as critical habitat under the Federal Endangered Species Act are discussed below in the Threatened and Endangered Species section. Wetlands and other waters are also discussed below in the Wetlands and Other Waters section.

Affected Environment

The Natural Environment Study (NES) was completed in October 2011 and is available for review upon request. The proposed project limits, which include Caltrans right-of-way and proposed right of way acquisitions including temporary construction easements (TCEs), cover approximately 4.2 acres. The project area consists of the project's permanent and temporary direct and indirect effect areas, including construction access, staging, and utility relocation areas. The Biological Study Area (BSA) of the project includes the project limits plus additional areas downstream of the bridge for a total of 6.46 acres.

The proposed project area is in a low-density residential area where the majority of the vegetation consists of non-native ornamental trees, shrubs, and herbaceous landscaped plants. Annual grasses and ruderal forbs are found along the road shoulder, and a riparian-forested area is found along Sarco Creek. Native trees in this area include California bay laurel (Umbel aria californica), valley oak (Quercus lobata), live oak (Quercus agrifolia), California redwood (Sequoia sempervirens), and California buckeye (Aesculus californica).

Environmental Consequences

Vegetation will be removed in locations where permanent structures will be placed (e.g., shoulder widening) and within the cut-and-fill line. Approximately thirty trees will be affected by the project. Seven riparian trees, including California bay laurel, oak, and California buckeye will be removed. Table 2-3 below lists trees that will be
affected by the project. More detailed information regarding the specific locations of these trees can be found in the NES.

### Table 2-3 Trees to be Removed

<table>
<thead>
<tr>
<th>dbh* (cm)</th>
<th>Species</th>
<th>Riparian</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
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</tr>
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<td>120</td>
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</tr>
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<td>94</td>
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</tr>
<tr>
<td>42</td>
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</tr>
<tr>
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<tr>
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</tr>
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</tr>
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</tr>
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</tr>
<tr>
<td>45</td>
<td>Coast Live Oak</td>
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</tr>
<tr>
<td>25</td>
<td>Buckeye</td>
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</tr>
<tr>
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</tr>
<tr>
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</tr>
<tr>
<td>255</td>
<td>Buckeye</td>
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</tr>
</tbody>
</table>

*dbh - diameter at breast height
**Boldface** type designates riparian trees

### Avoidance, Minimization, and/or Mitigation Measures

Vegetation will be cleared only when necessary and will be cut above soil level except in areas that will be excavated for roadway construction. All clearing and grubbing will be completed by using hand tools and small mechanical tools, or by using backhoes and excavators. This will allow plants that reproduce vegetatively to...
resprout after construction. Wherever feasible, all temporarily affected areas will be regraded to preconstruction contours, protected with erosion control measures, and revegetated after roadway construction is completed.

All clearing will be scheduled outside the bird-nesting season. If for any reason this schedule could not be met, surveys for nesting migratory birds will be conducted before clearing begins. All nest avoidance requirements of the Migratory Bird Treaty Act (MBTA) and California Department of Fish & Game (CDFG) code will be observed.

A Biological Monitor will be present onsite during vegetation removal to inspect for federally listed species and migratory birds, and to verify that all clearing is performed in accordance with the contract special provisions and permits.

Replacement trees will be planted at a ratio of 3:1 for native oak trees that have a diameter breast height (dbh) of greater than 4 inches and are within the riparian areas and within CDFG jurisdiction and a ratio of 1:1 for upland native oak trees. Non-native trees will be compensated at a ratio of 1:1. Trees will be planted on-site in the Project area to the maximum extent possible after the completion of construction. Potential offsite planting areas are being identified within the Napa Valley. Caltrans will complete the tree planting either through the purchase of credits or with off-site planting or conservatorship.

Onsite tree and shrub planting will occur as part of a separate landscaping project to follow the bridge/roadway construction project. The landscape planting could occur on and above the cut slope within the proposed right of way south of Route 121 and east of the bridge. However, some shrubs will be seeded or planted as erosion control measures during the construction season. Tree planting will be minimized on the cut slope and in the right of way west of the bridge to preserve the existing sloping meadow. A row of trees is proposed to be planted on the edge of the level pullout area east of Route 121 and west of the creek bank. Willow cuttings are proposed on the creek bank north of the bridge.

Caltrans will propose a 3-year plant establishment period with a 65 percent survival at the end of the third year.

All disturbed areas will be re-vegetated with appropriate native, non-invasive species or non-persistent hybrids that will serve to stabilize site conditions.

Filter fabric will line the access roads to protect existing vegetation and will be removed after each construction phase. The access ramp will be re-contoured to preconstruction conditions to the maximum extent practicable and within the same construction season.
General Avoidance and Minimization Measures

The following are general avoidance and minimization measures that pertain to all biological resources (including those that are discussed further in this chapter) within the entire project area. Caltrans will implement reasonable and prudent measures to minimize and avoid take of Central California Coastal (CCC) steelhead and avoid and minimize effects on waters of the State and waters of the United States. Caltrans has developed the design strategy such that permanent pile driving to reinforce the foundations of the bridge columns will occur only after dewatering the work area. This will eliminate the potential for adverse effects on steelhead and any other aquatic species from pile-driving actions.

These measures will be communicated to the contractor through the use of special provisions included in the contract bid solicitation package. The following measures have been incorporated into the design and construction sequencing as avoidance and minimization measures:

- The in-water work window will be restricted to June 1 thru October 15, unless an extension is granted from the appropriate agencies.

- Pile-driving within the water column will not be conducted. Pile driving will involve pre-drilling in the dry creek bed to a depth of approximately ten feet below surface, then driving piles.

- Permanent erosion control measures will be implemented upon completion of construction. Permanent erosion control measures may include, but are not limited to, soil stabilization measures, such as hydroseeding and coir netting, and will be applied to all disturbed areas.

- A Caltrans-approved biological monitor will conduct pre-construction surveys for federally and state listed species.

- A Caltrans-approved biological monitor will be present to monitor and inspect for listed species and migratory birds and nests during ground disturbance activities.

- Prior to start of construction activities, environmentally sensitive area (ESA) fencing will be installed and maintained along the project limit boundary. This fencing will be removed at the end of construction activities.

- Vegetation will be cleared only when necessary and will be cut above original ground level except in areas that will be excavated for permanent construction.

- Vegetation clearing will occur outside the bird nesting season (February 15 through August 15). If this schedule could not be met, surveys for nesting
migratory birds will be conducted before clearing begins. Nest avoidance requirements of the MBTA and CDFG code will be observed.

- All temporary disturbed areas will be restored to original grade and vegetated with appropriate native species to the maximum extent practicable.

- Caltrans standard temporary erosion control (TEC) measures will be implemented on all disturbed soil areas.

- All state and federal waters and wetlands will be protected from sediment and pollutant discharges using appropriate erosion control measures.

- The creek bed will be protected by placing timber mats on top of temporary railing placed along the edge of the creek bed under the existing bridge, covering the water diversion PVC pipe and the creek bed.

- Caltrans will submit a water diversion plan to the Regional Water Quality Control Board (RWQCB), CDFG, and National Marine Fisheries Service (NMFS) for review prior to construction. The approved temporary water diversion system will be utilized during construction to ensure that there is no water in the creek bed during in-stream construction activity.

- Caltrans will submit a fish removal and relocation plan to CDFG and NMFS for review and approval prior to the installation and operation of a water diversion system.

- Appropriate Best Management Practices (BMPs) will be implemented to avoid and minimize adverse effects from construction-derived erosion and sediment pollution.

- Permanent erosion control measures, (e.g., hydroseeding and coir netting) will be implemented along the banks; and

- Scouring protection will be installed on the creek channel bed beneath the bridge.

2.1.2 WETLANDS AND OTHER WATERS

Regulatory Setting

Wetlands and other waters are protected under a number of laws and regulations. At the federal level, the Federal Water Pollution Control Act, more commonly referred to as the Clean Water Act [CWA(33 USC 1344)] is the primary law
regulating wetlands and surface waters. The CWA regulates the discharge of dredged or fill material into waters of the United States (U.S.), including wetlands. Waters of the U.S. include navigable waters, interstate waters, territorial seas and other waters that may be used in interstate or foreign commerce. To classify wetlands for the purposes of the CWA, a three-parameter approach is used that includes the presence of hydrophytic (water-loving) vegetation, wetland hydrology, and hydric soils (soils formed during saturation/inundation). All three parameters must be present, under normal circumstances, for an area to be designated as a jurisdictional wetland under the CWA.

Section 404 of the CWA establishes a regulatory program that provides that discharge of dredged or fill material cannot be permitted if a practicable alternative exists that is less damaging to the aquatic environment or if the nation’s waters will be significantly degraded. The Section 404 permit program is run by the U.S. Army Corps of Engineers (USACE) with oversight by the United States Environmental Protection Agency (U.S. EPA).

USACE issues two types of 404 permits: Standard and General permits. Nationwide permits, a type of General permit, are issued to authorize a variety of minor project activities with no more than minimal effects. Ordinarily, projects that do not meet the criteria for a Nationwide Permit may be permitted under one of USACE’s Standard permits. For Standard permits, the USACE decision to approve is based on compliance with U.S. EPA’s Section 404(b)(1) Guidelines (U.S. EPA 40 CFR Part 230), and whether permit approval is in the public interest. The Section 404 (b)(1) Guidelines were developed by the U.S. EPA in conjunction with USACE, and allow the discharge of dredged or fill material into the aquatic system (waters of the U.S.) only if there is no practicable alternative that will have less adverse effects. The Guidelines state that USACE may not issue a permit if there is a least environmentally damaging practicable alternative (LEDPA) to the proposed discharge that will have lesser effects on waters of the U.S., and not have any other significant adverse environmental consequences.

The Executive Order for the Protection of Wetlands (E.O. 11990) also regulates the activities of federal agencies with regard to wetlands. Essentially, this executive order states that a federal agency, such as the Federal Highway Administration (FHWA) and/or Caltrans, as assigned, cannot undertake or provide assistance for new construction located in wetlands unless the head of the agency finds: 1) that there is no practicable alternative to the construction and 2) the proposed project includes all practicable measures to minimize harm.

At the state level, wetlands and waters are regulated primarily by the California Department of Fish and Game (CDFG), the State Water Resources Control Board
(SWRCB), and the Regional Water Quality Control Boards (RWQCB). In certain circumstances, the Coastal Commission (or Bay Conservation and Development Commission or Tahoe Regional Planning Agency) may also be involved. Sections 1600-1607 of the California Fish and Game Code require any agency that proposes a project that will substantially divert or obstruct the natural flow of or substantially change the bed or bank of a river, stream, or lake to notify CDFG before beginning construction. If CDFG determines that the project may substantially and adversely affect fish or wildlife resources, a Lake or Streambed Alteration Agreement will be required. CDFG jurisdictional limits are usually defined by the tops of the stream or lake banks, or the outer edge of riparian vegetation, whichever is wider. Wetlands under jurisdiction of the USACE may or may not be included in the area covered by a Streambed Alteration Agreement obtained from the CDFG.

The RWQCBs were established under the Porter-Cologne Water Quality Control Act to oversee water quality. The RWQCB also issues water quality certifications for impacts to wetlands and waters in compliance with Section 401 of the CWA. Please see the Water Quality section for additional details.

Affected Environment

The Natural Environment Study (NES) was completed in October 2011 and is available for review upon request. A Wetland Delineation Report was submitted on April 6, 2011 to the USACE, San Francisco District. A Jurisdictional Determination from the USACE was received in May 2011.

No wetlands were identified within the project area. However, portions of Sarco Creek, one drainage channel, and one drainage swale were identified as waters of the United States within the project area. The aquatic features determined during the wetlands delineation are described in Table 2-4 below.

<table>
<thead>
<tr>
<th>Water Feature</th>
<th>Acreage</th>
<th>Linear Feet</th>
<th>Potentially USACE Jurisdictional</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sarco Creek</td>
<td>0.640</td>
<td>807</td>
<td>Yes – tributary to the Napa River</td>
</tr>
<tr>
<td>Drainage 1</td>
<td>0.005</td>
<td>30</td>
<td>Yes – tributary to Sarco Creek</td>
</tr>
<tr>
<td>Drainage 2</td>
<td>0.040</td>
<td>251</td>
<td>No – upland swale with no apparent connection to Sarco Creek or other water feature in the vicinity</td>
</tr>
<tr>
<td>Total Potential USACE Jurisdictional Waters</td>
<td>0.645</td>
<td>837</td>
<td></td>
</tr>
</tbody>
</table>

Table 2-4    Summary of Aquatic Features
Because of the steep, nearly vertical banks of Sarco Creek within the proposed project area, only the upper banks of the creek were mapped. Top of bank was considered to be a close approximation of the extent of the ordinary high water (OHW) along this reach of Sarco Creek based on observations of scouring lines and debris lines, and as evidenced by the steep channel banks.

The portion of Sarco Creek within the project area (0.64 acres and 807 linear feet) supports a dense riparian canopy. Drainage 1 (0.005 acres and 30 linear feet) conveys runoff from Route 121 to Sarco Creek through a 24-inch-diameter culvert. The drainage channel generally ranges from 1 to 3 feet wide. Drainage 2 (0.04 acres and 251 linear feet) is along the southeast edge of an open lot within the project area. This feature is a weakly-expressed, narrow linear topographic feature that ranges from 1 to 4 feet wide. This feature conveys flows to the northeast into a small culvert near the corner of a parking lot.

Environmental Consequences

The proposed work areas within aquatic resources include: Sarco Creek channel bed and bank areas, including areas in which the fish passage structure and temporary water diversion system will be constructed, utility relocations and sewer manhole reconstruction, as well as areas where the existing bridge abutment will be demolished.

A typical temporary water diversion system consisting of an upstream cofferdam and a PVC water conveyance pipe will be in place during the entire in-water construction period (June 1 through October 15). The cofferdam will be constructed across the creek with clean washed gravel bags wrapped in impermeable plastic sheeting. The PVC water conveyance pipe will be used for diverting the flow in the creek. This diversion pipe will be protected by placing timber mats on top of temporary K-rails placed along the edge of the creek bed under the existing bridge, covering the PVC pipe and the creek bed. The actual plan for the temporary water diversion system will be presented by the contractor prior to start of construction for agency review and approval.

The existing 200-foot-long underground gas line (along the west side of the roadway) and the 600-foot-long water line (on the east side of the road) crossing Sarco Creek will be relocated, but both will remain in Sarco Creek. Additionally, the existing underground sewer line will be replaced. One 15-foot-deep sewer manhole will be removed, and a 370-foot-long sewer line will be abandoned or removed. A new sewer line will consist of a 16-inch-diameter, 370-foot-long pipe and 3 new manholes. The installation of the new pipe will require a 7.5-foot-wide by 15-foot-deep trench supported with temporary shoring. The excavation for the manholes will be 15 feet deep. The utility lines are anticipated to be installed adjacent to the
current lines and occupy a similar footprint. All in-water utility work and the implementation of a dewater plan will be restricted to the work period between June 1 and October 15, unless an extension is granted from the appropriate agencies.

The proposed new replacement bridge will be longer than the existing bridge; therefore, all excavation work for the new abutment foundations will be behind the existing abutments (and outside the creek channel). The excavation for the new abutments will proceed after removing the existing bridge’s abutments.

Permanent fish passage structures, consisting of a roughened-rock ramp, one permanent rock weir backfilling the creek bed, and clean native bed materials, will be placed into the creek channel during construction. Prior to installation of the rock weir, the creek bed will be excavated approximately 3.5 feet deep, and the area between the concrete encased sewer line and the rock weir will be backfilled with native creek bed material.

The rock weir will be constructed approximately 15 to 20 feet downstream of the existing sewer line and will have a maximum top width of 5 feet and a bottom width of approximately 17 feet. The weir will be constructed with 0.25-ton rocks (Caltrans Standard Specifications). The weir rocks will be individually placed into the creek bed to protect the underlying filter fabric that will be placed underneath all weir rocks, including footer rocks.

RSP material will be placed on the north bank and permanent erosion control measures will be installed on the south bank, upstream of the bridge.

During construction, both temporary and permanent erosion controls and scouring protection measures will be placed on the creek bed underneath the bridge in accordance with Structures Hydraulics and Department Erosion Control Standards.

**Avoidance, Minimization, and/or Mitigation Measures**

Caltrans proposes to remove the existing middle pier wall and replace the existing bridge with a single-span design, which will reduce the amount of fill into waters of the United States, and will restore and preserve the integrity of Sarco Creek. General avoidance and minimization measures are noted in the above Natural Communities section. In addition to those described in that section, the following measures will be implemented to avoid and minimize effects to waters of the United States.

- Work within the bed and bank of Sarco Creek will be restricted to the dry season between June 1 and October 15, unless an extension is granted from the appropriate agencies.
• Caltrans will require a storm water pollution prevention plan (SWPPP) before construction begins to avoid and minimize discharges to Sarco Creek and drainages that flow into it. The SWPPP will include specifications for the placement of erosion control devices and measures to reduce the introduction of pollutants from runoff and spills during construction.

• Temporary erosion control measures will be implemented in all disturbed areas. Permanent erosion control measures will be implemented upon completion of construction. Silt fencing or other erosion control measures will be installed to prevent sediment and pollutant discharges to state and federal waters and wetlands.

• Permanent erosion control measures to receive storm water discharges from the highway or other impervious surfaces will be incorporated to the maximum extent practicable.

• ESA fencing will be installed before the start of construction to protect special aquatic resources.

The proposed project will not affect wetlands. Effects to waters of the United States will be minimized to the greatest extent possible through implementation of Caltrans BMPs, working during the dry season (June 1 through October 15), and incorporating applicable water quality measures during the construction period. Clear spanning the creek and removing the old in-stream pier support will be self-minimizing by allowing greater conveyance. Caltrans will also be constructing the weir structure to improve fish passage, which is considered as on-site minimization.

2.1.3 ANIMAL SPECIES

Regulatory Setting

Many state and federal laws regulate impacts to wildlife. The US Fish and Wildlife Service (USFWS), the National Oceanic and Atmospheric Administration’s National Marine Fisheries Service (NOAA Fisheries Service) and the California Department of Fish and Game (CDFG) are responsible for implementing these laws. This section discusses potential impacts and permit requirements associated with animals not listed or proposed for listing under the state or federal Endangered Species Act. Species listed or proposed for listing as threatened or endangered are discussed in the Threatened and Endangered Species section below. All other special-status animal species are discussed here, including CDFG fully protected species and
species of special concern, and USFWS or NOAA Fisheries Service candidate species.

Federal laws and regulations pertaining to wildlife include the following:

- National Environmental Policy Act
- Migratory Bird Treaty Act
- Fish and Wildlife Coordination Act

State laws and regulations pertaining to wildlife include the following:

- California Environmental Quality Act
- Sections 1600 – 1603 of the Fish and Game Code
- Section 4150 and 4152 of the Fish and Game Code

Affected Environment

The Natural Environment Study (NES) was completed in October 2011 and is available for review upon request.

Western Pond Turtle

The western pond turtle (Actinemys [formerly Clemmys] marmorata marmorata) is a California species of special concern. Western pond turtles range throughout the state of California, from southern coastal California and the Central Valley, east to the Cascade Range and Sierra Nevada. The two subspecies, northwestern and southwestern, are believed to integrate over a broad range in the Central Valley.

This species occurs in a variety of permanent and intermittent aquatic habitats, such as ponds, marshes, rivers, streams, and ephemeral pools. Pond turtles require suitable basking and haul-out sites, such as emergent rocks or floating logs, which they use to regulate their temperature throughout the day. In addition to appropriate aquatic habitat, these turtles require an upland egg-laying site in the vicinity of the aquatic habitat, often within 656 feet. Nests are typically created in grassy, open fields with soils that are high in clay or silt fraction. Egg laying usually takes place between March and August.

A recent study has demonstrated that this species may spend the winter in an inactive state, on land or in the water, and in other cases may remain active and in the water throughout the year. Although the turtles may be active year-round along
the coast, at interior locations such as the Central Valley, pond turtles are more likely
to be active between April and October. Western pond turtles have been
documented hibernating up to 1,150 feet from a watercourse, immediately adjacent
to a watercourse, and underwater in mud. Upland hibernacula may include any type
of crack, hole, or object that a turtle seeking cover might squeeze into or burrow
underneath.

According to the CDFG’s California Natural Diversity Database (CNDDB), the
closest recorded occurrence of western pond turtle is about 1 mile from the project
area. No western pond turtles were observed during the reconnaissance-level
wildlife and habitat assessment; however, no protocol-level surveys were conducted
for western pond turtles.

*Migratory Birds*

Common migratory bird species found within the project area include red-tailed
hawks, Acorn and Nuttal’s woodpeckers, western blue bird, yellow-billed magpie,
Anna’s hummingbird, Lazuli bunting, and Cooper’s hawk.

The project area contains trees that could serve as potential nesting habitat for
species protected by the MBTA. Active and inactive nests were observed within the
project vicinity, both in the surrounding vegetation and under the bridge. An active
red-shouldered hawk (*Buteo linaetus*) nest with one chick was observed in a blue
gum eucalyptus (*Eucalyptus globulus*) tree approximately 200 feet north of the
bridge in 2009. Also, a black phoebe (*Sayornis nigricans*) nest was observed
underneath the Sarco Creek Bridge.

*Environmental Consequences*

*Western Pond Turtle*

Potential effects to western pond turtles may include loss of individuals during
grading and heavy equipment movement, potential loss of breeding habitat, and
temporary disturbance to dispersal habitat. In addition, there are potential effects
through increased sedimentation and due to construction activities and increased
hydrocarbon pollutants from roadside run-off due to increased impervious surface.
While there are potential effects associated with the project, these effects will be
reduced through the general avoidance and minimization measures described above
in the Natural Communities section. The proposed project is not expected to
increase light, noise, vibration, and visual disturbances within the project area.

Caltrans proposes to remove the existing middle pier wall and replace the existing
bridge with a single-span design. This new design will allow animals of all sizes to
pass more freely underneath the bridge. The proposed bridge design and fish passage improvements are intended to enhance the quality of the aquatic environment for all aquatic species including western pond turtles.

*Migratory Birds*

With the general avoidance and minimization measures described above in the Natural Communities section, there will be no effects to migratory birds.

**Avoidance, Minimization, and/or Mitigation Measures**

*Western Pond Turtle*

In addition to the general avoidance and minimization measures, the following avoidance measures will be implemented:

- Qualified biologists will conduct preconstruction surveys for western pond turtles.
- An onsite Biological Monitor will monitor activities that may affect sensitive biological resources, including western pond turtles.
- Any western pond turtles that are encountered during project activities will be relocated out of the project area.
- Water diversion structure will also act as an exclusion barrier within the bed and bank area of the creek.

Effects to western pond turtles can be avoided or minimized by implementing the measures previously described. Therefore, no compensatory mitigation is being proposed by Caltrans. If western pond turtles are found during preconstruction surveys, potential impacts will be minimized by relocating individual turtles to a location outside the project area.

Caltrans proposes to remove the existing middle pier wall and replace the existing bridge with a single-span design. This new design will allow animals of all sizes to pass more freely underneath the bridge. The proposed bridge design and fish passage improvements are intended to enhance the quality of the aquatic environment for all aquatic species, including western pond turtles.

*Migratory Birds*

Migratory birds may nest on the ground, on structures, or in trees, shrubs, or other vegetation within the project area. The following measures will be implemented to avoid or minimize impacts to nesting birds:
• A preconstruction bird nesting survey will be conducted to survey impacted trees and shrubs prior to the beginning of construction. Caltrans may remove unoccupied nests during the non-nesting period October 1 to February 14.

• Exclusion methods may be used to prevent birds from nesting and roosting within the project area. Such methods may include but are not limited to the use of small-mesh netting on the bridge structure, which will be installed prior to the nesting season.

• If occupied nests (i.e., nests with birds or eggs) are present within the project area, work within 50 feet of the nest of passerine species or 200 feet of raptor species will be avoided.

By implementing the general avoidance and minimization measures mentioned above, Caltrans does not propose any compensatory mitigation for migratory birds.

2.1.4 THREATENED AND ENDANGERED SPECIES

Regulatory Setting

The primary federal law protecting threatened and endangered species is the Federal Endangered Species Act (FESA): 16 USC Section 1531, et seq. See also 50 CFR Part 402. This act and subsequent amendments provide for the conservation of endangered and threatened species and the ecosystems upon which they depend. Under Section 7 of this act, federal agencies, such as the Federal Highway Administration (FHWA), are required to consult with the U.S. Fish and Wildlife Service (USFWS) and the National Oceanic and Atmospheric Administration's National Marine Fisheries Service (NOAA Fisheries Service) to ensure that they are not undertaking, funding, permitting or authorizing actions likely to jeopardize the continued existence of listed species or destroy or adversely modify designated critical habitat. Critical habitat is defined as geographic locations critical to the existence of a threatened or endangered species. The outcome of consultation under Section 7 is a Biological Opinion or an Incidental Take statement. Section 3 of FESA defines take as “harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect or any attempt at such conduct.”

California has enacted a similar law at the state level, the California Endangered Species Act (CESA), California Fish and Game Code, Section 2050, et seq. CESA emphasizes early consultation to avoid potential impacts to rare, endangered, and threatened species and to develop appropriate planning to offset project caused losses of listed species populations and their essential habitats. The California
Department of Fish and Game (CDFG) is the agency responsible for implementing CESA. Section 2081 of the Fish and Game Code prohibits "take" of any species determined to be an endangered species or a threatened species. Take is defined in Section 86 of the Fish and Game Code as "hunt, pursue, catch, capture, or kill, or attempt to hunt, pursue, catch, capture, or kill." CESA allows for take incidental to otherwise lawful development projects; for these actions an incidental take permit is issued by CDFG. For species listed under both FESA and CESA requiring a Biological Opinion under Section 7 of the FESA, CDFG may also authorize impacts to CESA species by issuing a Consistency Determination under Section 2080.1 of the California Fish and Game Code.

Another federal law, the Magnuson-Stevens Fishery Conservation and Management Act of 1976, was established to conserve and manage fishery resources found off the coast, as well as anadromous species and Continental Shelf fishery resources of the United States, by exercising (A) sovereign rights for the purposes of exploring, exploiting, conserving, and managing all fish within the exclusive economic zone established by Presidential Proclamation 5030, dated March 10, 1983, and (B) exclusive fishery management authority beyond the exclusive economic zone over such anadromous species, Continental Shelf fishery resources, and fishery resources in special areas.

**Affected Environment**

The Natural Environment Study (NES) was completed in October 2011 and is available for review upon request. Caltrans has initiated formal consultation under Section 7 of FESA with the National Oceanic and Atmospheric Administration's National Marine Fisheries Service (NMFS) since this project will have the potential to impact a federally listed species and/or destroy or adversely modify designated critical habitat. A Biological Assessment discussing effects on threatened Central California Coastal (CCC) steelhead and associated habitat that may be affected by the implementation of this project was submitted to the NMFS on April 25, 2011. Caltrans received a Letter of Concurrence that the project will not adversely affect CCC from the NMFS on October 24, 2011 and is located in Appendix C. Discussion of this species and potential project effects follows. No consultation with the CDFG under the CESA is required for this project.

**Central California Coastal Steelhead**

The Central California Coastal (CCC) distinct population segment (DPS) of steelhead was federally listed as threatened on January 5, 2006, for an effective date of February 6, 2006. This DPS includes all naturally spawned anadromous populations below natural and man-made impassable barriers in California streams.
from the Russian River (inclusive) to Aptos Creek (inclusive), and the drainages of San Francisco Bay, San Pablo Bay, and Suisun Bay eastward to Chipps Island at the confluence of the Sacramento River with the San Joaquin River.

Habitat requirements for steelhead include cool, clean flowing water with sufficient dissolved oxygen and minimal turbidity for successful incubation and rearing. Steelhead juveniles require cool stream water temperatures year-round because the species does not emigrate from its natal stream until its second year of life. Adult CCC steelhead typically enter fresh water in December through March, with a peak in January through February. Adult spawning generally occurs from December through April, depending on the local population. Most steelhead live in the ocean for 1 to 3 years before returning to spawn.

The current number of steelhead natal to the Napa River and Sarco Creek is unknown. The most recent status review concluded that CCC steelhead remain likely to become endangered in the foreseeable future.

No species-specific presence/absence survey for this species was conducted. Based on observed habitat conditions, Sarco Creek within the project area likely provides migration and dispersal habitat suitable for adult and juvenile steelhead, in addition to juvenile rearing habitat (Koehler and Edwards 2009). These habitats are likely suitable for steelhead only during late fall through early spring (mid-October through mid-April) when stream flows and water temperature conditions allow occupation by this species.

From known life history characteristics, steelhead are inferred to occupy Sarco Creek at least on a seasonal basis in the project area. Due to seasonal conditions, including low stream flow and water temperatures, during the proposed project in-water work window (June 1 through October 15), significant numbers of adult steelhead are unlikely to be present in the project area. Should the construction work period needed for installing the bridge and fish passage structures extend into November, adult steelhead could begin to immigrate to the watershed and through the project area. Similarly, due to the natural habitat conditions during the proposed work window, the likelihood that significant numbers of juvenile steelhead will be present in the project area is low.

Critical habitat for CCC steelhead was designated on September 2, 2005 and became effective on January 2, 2006. Napa County is included in this designated Critical Habitat. Within Napa County, Critical Habitat includes the San Pablo Hydrologic Unit and Napa River Hydrologic Sub-Area with the longitudinal extent in Sarco Creek, extending from its confluence with Miliken Creek to longitude 38.3567 North, latitude -122.2071 West (70FR52488). The lateral extent of designated critical habitat is defined as the width of the stream channel defined by the ordinary
high-water line as designated by the USACE (70FR52488). The designated Critical Habitat for CCC steelhead in Sarco Creek includes the project area. The following primary constituent elements of critical habitat for CCC steelhead that are located within the project-affected area are:

- Freshwater rearing sites
- Freshwater migration corridors

**Environmental Consequences**

*Central California Coastal Steelhead*

The effects to the CCC steelhead habitat are neither adverse nor significant, and are determined to be 5,488 square feet (0.126 acres) of permanent effects to rearing and migration habitat, 1,431 square feet (0.033 acres) of temporary effects to rearing and migration habitat, 2,584 square feet (0.059 acres) of permanent effects to shaded riparian habitat, and 1,636 square feet (0.038) acres of temporary effects to shaded riparian habitat. The Letter of Concurrence received from the NMFS as a result of Section 7 consultation on October 24, 2011 concurs with Caltrans determination that the project is "not likely to adversely affect threatened CCC steelhead."

Direct effects are anticipated within the project footprint only during the dewatering of work areas. To ensure safe removal and relocation of fish within the wetted areas, it will be necessary to capture and handle steelhead should they be present in residual pool areas during dewatering. Handling steelhead during capture and relocation will constitute "harassment" under Section 7 of the FESA, resulting in “take” of the species. However, implementation of a NMFS/CDFG-approved dewatering and fish capture/relocation plan will result in minimizing mortality and injury to steelhead. Should CCC steelhead be present during the dewatering process, the Caltrans will re-initiate consultation with the NMFS to obtain the appropriate take authorization.

The removal of the middle weir of the existing Sarco Creek Bridge and the addition of the fish passage structure will result in beneficial effects to the habitat.

Effects to steelhead habitat will result from the installation of the bridge abutments, fish passage structure and relocation/installation of underground utilities crossing. The riparian corridor will be impacted by the removal of a small number of riparian trees and shrubs resulting in the loss of shade, a potential increase in summer stream temperatures and a potential decrease in detritus associated with the canopy cover. This will be a temporal effect due to restoration or re-vegetation after the completion of bridge construction. Rearing habitat for juvenile steelhead and fish
migration habitat for adult and juvenile steelhead will be temporarily lost during dewatering during construction.

Additional indirect effects of the proposed project include removal and replacement of natural bottom substrates resulting in a temporary loss of a small volume of juvenile rearing habitat caused by installation of a fish passage weir. Construction of the fish passage elements will ultimately result in long-term rearing habitat enhancement for juveniles, and the creation of the fish passage structure will improve passage and dispersal conditions for adult and juvenile steelhead.

The construction of the larger single-span bridge deck will result in an increase in shaded riparian area, offsetting the loss of canopy cover and regulation of water temperatures. Overall, the project will result in enhanced habitat conditions and in long-term beneficial effects to CCC steelhead.

Dewatering during construction will have a temporary effect on CCC steelhead critical habitat by the short-term alteration of the streambed and channel. However, the construction will result in long-term, rearing habitat enhancements and will offset the short-term losses of steelhead Critical Habitat. Caltrans anticipates the project will result in an enhancement and benefit CCC steelhead Critical Habitat.

Avoidance, Minimization, and/or Mitigation Measures

Central California Coast Steelhead

In-water work will be restricted to a seasonal window from June 1 to October 15, unless an extension is granted from the appropriate agencies. Furthermore, the design strategy is such that permanent pile driving to reinforce the foundations of the bridge columns within the water column will not be necessary. This will eliminate the potential for adverse effects of acoustic shock on steelhead from pile-driving actions in Sarco Creek. In addition, habitat quality will be improved through the removal of structural supports and footings from the stream channel and installation of a step weir to improve fish passage and stream structure.

Caltrans will implement several measures to avoid and minimize adverse environmental effects during construction. The following minimization measures are proposed:

- A biological monitor will be present to monitor and inspect for state and federally listed species and migratory birds during site preparation and construction.

- Caltrans standard temporary erosion control measures will be implemented in all areas of disturbed soil.
- All state and federal waters will be protected from sediment and pollutant discharges using appropriate techniques.

- Precast concrete will be used for the construction of the bridge deck, which will eliminate the need to erect falsework below top of bank.

- The creek bed will be protected by placing timber mats on top of temporary railing placed along the edge of the creek bed under the existing bridge, covering the diversion PVC pipe and the creek bed.

- Caltrans will submit a water diversion plan to the RWQCB, CDFG, and NMFS for review prior to construction.

- Should it be necessary (water is present in Sarco Creek Project within the action area), the Department will submit a fish removal and relocation plan to CDFG and NMFS for review and approval prior to the installation and operation of a water diversion system.

- The approved temporary water diversion system will be utilized during construction to ensure that there is no water in the creek bed during in-stream construction activity.

- Appropriate BMPs will be implemented to avoid and minimize adverse effects from construction-derived erosion and sediment pollution.

- Permanent erosion control measures (e.g., hydro seeding and coir netting) will be implemented along the banks; and

- Both temporary and permanent erosion control BMPs and scouring protection will be installed on the creek channel bed beneath the bridge during construction.

Caltrans does not propose compensatory mitigation for CCC steelhead. The replacement of the existing structure with a single-span bridge, removal of the in-stream support structure and implementation of fish passage enhancements, along with the proposed avoidance and minimization measures, will provide an overall net-benefit to CCC steelhead habitat and to the species within Sarco Creek.
2.12 CONSTRUCTION IMPACTS

Construction Scheduling/Transportation Management Plan

Because the project is located in a residential area, all construction activities are expected to be performed during daytime hours. Depending on construction method chosen, the proposed project will either require one-way traffic control measures at the bridge or full closure of the bridge for up to three construction seasons, from April to November/December. Signs denoting detours will be installed prior to construction. Traffic detours will be discussed in a Transportation Management Plan that is discussed in the Traffic and Transportation/Pedestrian and Bicycle Facilities section of this chapter.

Air Quality

Trucks and construction equipment emit hydrocarbons, oxides of nitrogen, carbon monoxide and particulates. Most pollution will consist of wind-blown dust generated by excavation, grading, hauling and various other activities. The effects from these activities will vary from day to day as construction progresses. The Special Provisions and Standard Specifications will include requirements to minimize or eliminate dust during construction through the application of water or dust palliatives.

Noise

During construction of the project, noise from construction activities may intermittently dominate the noise environment in the immediate area of construction. Construction noise is regulated by Caltrans Standard Specifications Section 7-1.01I, “Sound Control Requirements,” which states that noise levels generated during construction shall comply with applicable local, state, and federal regulations, and that all equipment shall be fitted with adequate mufflers according to the manufacturers’ specifications.

No adverse or significant noise impacts from construction are anticipated because construction will be conducted in accordance with Caltrans Standard Specifications Section 7-1.01I and applicable local noise standards and ordinances. Construction noise will be short-term and intermittent. Further, implementing the following measures will minimize the temporary noise impacts from construction:

- All equipment will have sound-control devices that are no less effective than those provided on the original equipment. No equipment will have an unmuffled exhaust.
As directed by Caltrans, the contractor will implement appropriate additional noise minimization measures, including changing the location of stationary construction equipment, turning off idling equipment, avoiding construction activities during the night and weekends, notifying adjacent residents in advance of construction work, and installing acoustic barriers around stationary construction noise sources.
2.13 CLIMATE CHANGE

Climate change refers to long-term changes in temperature, precipitation, wind patterns, and other elements of the earth's climate system. An ever-increasing body of scientific research attributes these climatological changes to greenhouse gases (GHGs), particularly those generated from the production and use of fossil fuels.

While climate change has been a concern for several decades, the establishment of the Intergovernmental Panel on Climate Change (IPCC) by the United Nations and World Meteorological Organization's in 1988, has led to increased efforts devoted to greenhouse gas (GHG) emissions reduction and climate change research and policy. These efforts are primarily concerned with the emissions of GHGs related to human activity that include carbon dioxide (CO₂), methane, nitrous oxide, tetrafluoromethane, hexafluoroethane, sulfur hexafluoride, HFC-23 (fluoroform), HFC-134a (s, s, s, 2-tetrafluoroethane), and HFC-152a (difluoroethane).

There are typically two terms used when discussing the impacts of climate change. "Greenhouse Gas (GHG) Mitigation" is a term for reducing GHG emissions in order to reduce or "mitigate" the impacts of climate change. "Adaptation" refers to the effort of planning for and adapting to impacts due to climate change (such as adjusting transportation design standards to withstand more intense storms and higher sea levels)\(^1\).

Transportation sources (passenger cars, light duty trucks, other trucks, buses and motorcycles) in the state of California make up the largest source (second is electricity generation) of greenhouse gas emitting sources. Conversely, the main source of GHG emissions in the United States (U.S.) is electricity generation followed by transportation. The dominant GHG emitted is CO₂, mostly from fossil fuel combustion.

There are four primary strategies for reducing GHG emissions from transportation sources: 1) improve system and operation efficiencies, 2) reduce growth of vehicle miles traveled (VMT) 3) transition to lower GHG fuels and 4) improve vehicle technologies. To be most effective all four should be pursued collectively. The following regulatory setting section outlines state and federal efforts to comprehensively reduce GHG emissions from transportation sources.

\(^1\) [http://climatechange.transportation.org/ghg_mitigation/](http://climatechange.transportation.org/ghg_mitigation/)
Regulatory Setting

State
With the passage of several pieces of legislation including State Senate and Assembly Bills and Executive Orders, California launched an innovative and proactive approach to dealing with greenhouse gas emissions and climate change at the state level.

Assembly Bill 1493 (AB 1493), Pavley. Vehicular Emissions: Greenhouse Gases (AB 1493), 2002: requires the California Air Resources Board (ARB) to develop and implement regulations to reduce automobile and light truck greenhouse gas emissions. These stricter emissions standards were designed to apply to automobiles and light trucks beginning with the 2009-model year. In June 2009, the U.S. Environmental Protection Agency (U.S. EPA) Administrator granted a Clean Air Act waiver of preemption to California. This waiver allowed California to implement its own GHG emission standards for motor vehicles beginning with model year 2009. California agencies will be working with Federal agencies to conduct joint rulemaking to reduce GHG emissions for passenger cars model years 2017-2025.

Executive Order S-3-05: (signed on June 1, 2005, by Governor Arnold Schwarzenegger) the goal of this Executive Order is to reduce California’s GHG emissions to: 1) 2000 levels by 2010, 2) 1990 levels by the 2020 and 3) 80 percent below the 1990 levels by the year 2050. In 2006, this goal was further reinforced with the passage of Assembly Bill 32.

AB32 (AB 32), the Global Warming Solutions Act of 2006: AB 32 sets the same overall GHG emissions reduction goals as outlined in Executive Order S-3-05, while further mandating that CARB create a plan, which includes market mechanisms, and implement rules to achieve “real, quantifiable, cost-effective reductions of greenhouse gases.” Executive Order S-20-06 further directs state agencies to begin implementing AB 32, including the recommendations made by the State’s Climate Action Team.

Executive Order S-01-07: Governor Schwarzenegger set forth the low carbon fuel standard for California. Under this Executive Order, the carbon intensity of California’s transportation fuels is to be reduced by at least ten percent by 2020.

Senate Bill 97 (Chapter 185, 2007): required the Governor’s Office of Planning and Research (OPR) to develop recommended amendments to the State CEQA Guidelines for addressing greenhouse gas emissions. The Amendments became effective on March 18, 2010.
Project Analysis

An individual project does not generate enough GHG emissions to significantly influence global climate change. Rather, global climate change is a cumulative impact. This means that a project may participate in a potential impact through its incremental contribution combined with the contributions of all other sources of GHG. In assessing cumulative impacts, it must be determined if a project’s incremental effect is "cumulatively considerable." See California Environmental Quality Act (CEQA) Guidelines sections 15064(h)(1) and 15130. To make this determination the incremental impacts of the project must be compared with the effects of past, current, and probable future projects. To gather sufficient information on a global scale of all past, current, and future projects in order to make this determination is a difficult if not impossible task.

The AB 32 Scoping Plan contains the main strategies California will use to reduce GHG. As part of its supporting documentation for the Draft Scoping Plan, ARB released the GHG inventory for California (Forecast last updated: 28 October 2010). The forecast is an estimate of the emissions expected to occur in the year 2020 if none of the foreseeable measures included in the Scoping Plan were implemented. The base year used for forecasting emissions is the average of statewide emissions in the GHG inventory for 2006, 2007, and 2008.

Figure 2-6  California Greenhouse Gas Forecast

![California Greenhouse Gas Emissions Forecast](Image)

Source: [http://www.arb.ca.gov/cc/inventory/data/forecast.htm](http://www.arb.ca.gov/cc/inventory/data/forecast.htm)

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2 This approach is supported by the AEP: *Recommendations by the Association of Environmental Professionals on How to Analyze GHG Emissions and Global Climate Change in CEQA Documents* (March 5, 2007), as well as the SCAQMD (Chapter 6: The CEQA Guide, April 2011) and the US Forest Service (Climate Change Considerations in Project Level NEPA Analysis, July 13, 2009).
Caltrans and its parent agency, the Business, Transportation, and Housing Agency, have taken an active role in addressing GHG emission reduction and climate change. Recognizing that 98 percent of California's GHG emissions are from the burning of fossil fuels and 40 percent of all human made GHG emissions are from transportation, Caltrans has created and is implementing the Climate Action Program at Caltrans that was published in December 2006 (see Climate Action Program at Caltrans (December 2006)).

The purpose of this project is to improve safety for the traveling public and improve structural integrity by replacing the Sarco Creek Bridge. Construction GHG emissions are unavoidable but the project as proposed will not increase or change long-term traffic volumes and is not expected to cause an overall increase in operational GHG emissions.

**Construction Emissions**

GHG emissions for transportation projects can be divided into those produced during construction and those produced during operations. Construction GHG emissions include emissions produced as a result of material processing, emissions produced by onsite construction equipment, and emissions arising from traffic delays due to construction. These emissions will be produced at different levels throughout the construction phase; their frequency and occurrence can be reduced through innovations in plans and specifications and by implementing better traffic management during construction phases.

In addition, with innovations such as longer pavement lives, improved traffic management plans, and changes in materials, the GHG emissions produced during construction can be mitigated to some degree by longer intervals between maintenance and rehabilitation events.

Measures integrated into the project which help limit/minimize construction-related GHG emissions include reducing traffic delays by developing a Transportation Management Plan (TMP) during the PS&E phase.

A TMP is a method for minimizing traffic delay and collisions related to Caltrans-approved activities by the effective application of traditional traffic handling practices and an innovative combination of public and motorist information, demand management, incident management, system management, construction strategies, alternate routes and other strategies. All TMPs share the common goal of relieving congestion during a project period by managing traffic flow and balancing traffic

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3 Caltrans Climate Action Program is located at the following web address: http://www.dot.ca.gov/hq/tpp/offices/ogm/key_reports_files/State_Wide_Strategy/Caltrans_Climate_Action_Program.pdf
demand with highway capacity through the project area, or by using an entire corridor.

Caltrans policy states: "The Department minimizes motorist delays when implementing projects or performing other activities on the state highway system. This is accomplished without compromising public or worker safety, or the quality of the work being performed."

A TMP implements a variety of strategies, which may include these actions:

- A public awareness campaign.
- A public outreach program.
- Changeable message signs.
- Construction area signs.
- Signs provided at decision points for all routes.
- Advance notification signs before construction.
- Planned lane closure website.
- Caltrans Highway Information Network.
- Construction Zone Enhanced Enforcement Program (COZEEP).
- Lane and ramp closure charts (provided at PS&E).
- Reduced lane widths are acceptable if they are at least 11 feet wide.
- If the contractor chooses to accomplish work that requires an alternative route the contractor must develop a plan and have it approved by the Caltrans Resident Engineer.

**CEQA Conclusion**

While construction will result in a slight increase in greenhouse gas emissions during construction, Caltrans expects that there will be no operational increase in GHG emissions associated with this proposed project. However, it is Caltrans' determination that in the absence of further regulatory or scientific information related to greenhouse gas emissions and California Environmental Quality Act significance, it is too speculative to make a determination on the project's direct
impact and its contribution on the cumulative scale to climate change. Nonetheless, Caltrans is taking further measures to help reduce energy consumption and greenhouse gas emissions. These measures are outlined in the following section.

**Greenhouse Gas Reduction Strategies**

**AB 32 Compliance**

Caltrans continues to be actively involved on the Governor's Climate Action Team as ARB works to implement the Executive Orders S-3-05 and S-01-07 and help achieve the targets set forth in AB 32. Many of the strategies Caltrans is using to help meet the targets in AB 32 come from the California Strategic Growth Plan, which is updated each year. Former Governor Arnold Schwarzenegger's Strategic Growth Plan calls for a $222 billion infrastructure improvement program to fortify the state's transportation system, education, housing, and waterways, including $100.7 billion in transportation funding during the next decade. The Strategic Growth Plan targets a significant decrease in traffic congestion below today's level and a corresponding reduction in GHG emissions. The Strategic Growth Plan proposes to do this while accommodating growth in population and the economy. A suite of investment options has been created that combined together are expected to reduce congestion. The Strategic Growth Plan relies on a complete systems approach to attain CO₂ reduction goals: system monitoring and evaluation, maintenance and preservation, smart land use and demand management, and operational improvements as depicted above in Figure 2-7, The Mobility Pyramid.

Caltrans is supporting efforts to reduce vehicle miles traveled by planning and implementing smart land use strategies: job/housing proximity, developing transit-oriented communities, and high density housing along transit corridors. Caltrans is working closely with local jurisdictions on planning activities; however, it does not have local land use planning authority. Caltrans is also supporting efforts to improve the energy efficiency of the transportation sector by increasing vehicle fuel economy in new cars, light and heavy-duty trucks and is doing this by supporting on-going
research efforts at universities, by supporting legislative efforts to increase fuel economy, and by its participation on the Climate Action Team. It is important to note, however, that the control of the fuel economy standards is held by U.S. EPA and ARB. Lastly, the use of alternative fuels is also being considered; Caltrans is participating in funding for alternative fuel research at UC Davis.

Table 2-5 summarizes Caltrans’ and statewide efforts that are being implemented in order to reduce GHG emissions. More detailed information about each strategy is included in the Climate Action Program at Caltrans (December 2006).

To the extent that it is applicable or feasible for the project and through coordination with the project development team, measures will also be included in the project to reduce the GHG emissions and potential climate change impacts from the project are to be determined.
<table>
<thead>
<tr>
<th>Strategy</th>
<th>Program</th>
<th>Partnership</th>
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<td>State ITS; Congestion Management Plan</td>
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<td>Department of General Services</td>
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Adaptation Strategies

"Adaptation strategies" refer to how the Department and others can plan for the effects of climate change on the state's transportation infrastructure and strengthen or protect the facilities from damage. Climate change is expected to produce increased variability in precipitation, rising temperatures, rising sea levels, storm surges and intensity, and the frequency and intensity of wildfires. These changes may affect the transportation infrastructure in various ways, such as damaging roadbeds by longer periods of intense heat; increasing storm damage from flooding and erosion; and inundation from rising sea levels. These effects will vary by location and may, in the most extreme cases, require that a facility be relocated or redesigned. There may also be economic and strategic ramifications as a result of these types of impacts to the transportation infrastructure.

Climate change adaption must also involve the natural environment as well. Efforts are underway on a statewide-level to develop strategies to cope with impacts to habitat and biodiversity through planning and conservation. The results of these efforts will help California agencies plan and implement mitigation strategies for programs and projects.

On November 14, 2008, Governor Schwarzenegger signed Executive Order S-13-08 which directed a number of state agencies to address California's vulnerability to sea level rise caused by climate change. This Executive Order set in motion several agencies and actions to address the concern of sea level rise.

The California Natural Resources Agency (Resources Agency) was directed to coordinate with local, regional, state and federal public and private entities to develop. *The California Climate Adaptation Strategy* (Dec 2009), which summarizes the best known science on climate change impacts to California, assesses California's vulnerability to the identified impacts, and then outlines solutions that can be implemented within and across state agencies to promote resiliency.

The strategy outline is in direct response to Executive Order S-13-08 that specifically asked the Resources Agency to identify how state agencies can respond to rising temperatures, changing precipitation patterns, sea level rise, and extreme natural events. Numerous other state agencies were involved in the creation of the Adaptation Strategy document, including Environmental Protection; Business, Transportation and Housing; Health and Human Services; and the Department of Agriculture. The document is broken down into strategies for different sectors that

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Sarco Creek Bridge Replacement, EA 2A320

2-73
include: Public Health; Biodiversity and Habitat; Ocean and Coastal Resources; Water Management; Agriculture; Forestry; and Transportation and Energy Infrastructure. As data continues to be developed and collected, the state’s adaptation strategy will be updated to reflect current findings.

Resources Agency was also directed to request the National Academy of Science to prepare a Sea Level Rise Assessment Report by December 2010\(^5\) to advise how California should plan for future sea level rise. The report is to include:

- relative sea level rise projections for California, Oregon and Washington taking into account coastal erosion rates, tidal impacts, El Niño and La Niña events, storm surge and land subsidence rates;
- the range of uncertainty in selected sea level rise projections;
- a synthesis of existing information on projected sea level rise impacts to state infrastructure (such as roads, public facilities and beaches), natural areas, and coastal and marine ecosystems;
- A discussion of future research needs regarding sea level rise.

Prior to the release of the final Sea Level Rise Assessment Report, all state agencies that are planning to construct projects in areas vulnerable to future sea level rise were directed to consider a range of sea level rise scenarios for the years 2050 and 2100 in order to assess project vulnerability and, to the extent feasible, reduce expected risks and increase resiliency to sea level rise. Sea level rise estimates should also be used in conjunction with information regarding local uplift and subsidence, coastal erosion rates, predicted higher high water levels, storm surge and storm wave data.

Until the final report from the National Academy of Sciences is released, interim guidance has been released by The Coastal Ocean Climate Action Team (CO-CAT) as well as Caltrans as a method to initiate action and discussion of potential risks to the states infrastructure due to projected sea level rise.

All projects that have filed a Notice of Preparation, and/or are programmed for construction funding from 2008 through 2013, or are routine maintenance projects as of the date of Executive Order S-13-08 may, but are not required to, consider these planning guidelines. This project was programmed for construction in 2008.

Furthermore Executive Order S-13-08 directed the Business, Transportation, and Housing Agency to prepare a report to assess vulnerability of transportation systems

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\(^5\) The Sea Level Rise Assessment report is currently due to be completed in 2012 and will include information for Oregon and Washington State as well as California.
to sea level affecting safety, maintenance and operational improvements of the system and economy of the state. Caltrans continues to work on assessing the transportation system vulnerability to climate change, including the effect of sea level rise.

Currently, Caltrans is working to assess which transportation facilities are at greatest risk from climate change effects. However, without statewide planning scenarios for relative sea level rise and other climate change impacts, the change, if any, has not been determined or been made to its design standards for its transportation facilities. Once statewide planning scenarios become available, Caltrans will be able review its current design standards to determine what changes, if any, may be warranted in order to protect the transportation system from sea level rise.

Climate change adaptation for transportation infrastructure involves long-term planning and risk management to address vulnerabilities in the transportation system from increased precipitation and flooding; the increased frequency and intensity of storms and wildfires; rising temperatures; and rising sea levels. Caltrans is an active participant in the efforts being conducted in response to Executive Order S-13-08 and is mobilizing to be able to respond to the National Academy of Science report on Sea Level Rise Assessment which is due to be released in 2012.
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Chapter 3 - Comments and Coordination

Early and continuing coordination with the general public and appropriate public agencies is an essential part of the environmental process. It helps planners determine the necessary scope of environmental documentation, the level of analysis required, and to identify potential impacts and mitigation measures and related environmental requirements. Agency consultation and public participation for this project have been accomplished through a variety of formal and informal methods, including project development team meetings and interagency coordination meetings. This chapter summarizes the results of Caltrans efforts to fully identify, address and resolve project-related issues through early and continuing coordination.

The Department has held and continues to hold near monthly project development team (PDT) meetings since at least 2008 when the project was programmed. There is no known opposition to the project.

Coordination with National Oceanic and Atmospheric Administration’s National Marine Fisheries Service (NOAA Fisheries)

December 8, 2010: Rachel Cotroneo, Department District 4 Associate Planner-Biologist, met with Joe Heublein, Fishery Biologist in the National Marine Fisheries Service (NMFS) Santa Rosa office at the Sarco Creek Project site on December 8, 2010. On December 9, 2010, Ms. Cotroneo summarized the topics discussed at that field meeting in an email to Mr. Heublein (R. Cotroneo, pers. comm. 2010).

January 18, 2011: Rachel Cotroneo spoke to Melissa Escaron, CDFG Staff Environmental Scientist, on January 18, 2011, confirming CDFG’s willingness to accept the National Oceanic and Atmospheric Administration (NOAA) recommended in-water work window of April 15 through October 15 (R. Cotroneo, pers. comm. 2011).

March 24, 2011: Project development team meeting with various Department team members and Joe Heublein of NMFS. Joe Heublein expressed concerns with the fish passage design and suggested an approach using one weir downstream of the bridge site to accommodate fish passage. He indicated that he would like to see a design that would not need an access road. Other topics discussed were; construction schedule and a June 1 start date for in-creek work.

March 25, 2011: E-mail from Joe Heublein of NMFS to Rachel Cotroneo of Caltrans. The e-mail contained NMFS-approved examples of a project description, dewatering plan and fish relocation. Joe mentioned in the e-mail that he would get back to Rachel about the June 1 start date within the week.

April 7, 2011: Telephone conversation between Joe Heublein of NMFS and Rachel Cotroneo of Caltrans. Joe expressed his desire to see a design for fish passage using one weir without an access road. He also mentioned that he would like to see a Determination of “Not Likely to Adversely Affect” with 2 years of monitoring to ensure that no fish will be present during the proposed months of
construction. He mentioned that he would like to speak with Tim Hamaker of CH2M HILL regarding hydrograph, surface flow, and juvenile rearing at Sarco Creek Bridge.

April 7, 2011: Telephone conversation between Joe Heublein of NMFS and Tim Hamaker of CH2M HILL. The hydrology of Sarco Creek, juvenile steelhead rearing conditions, and other topics were discussed. Joe continued to express concern for a multiple-weir fish passage design requiring a large access road, removal of riparian vegetation, and multiple years of in-channel construction. Joe stated that NMFS is not overly concerned about anadromous fish passage at the Sarco Creek Project site because adult fish are passing currently, and he is more concerned about construction impacts. His desire will be for a modest improvement in passage for steelhead (e.g., “notching” the concretee-ncased pipeline) as a tradeoff of much lesser impacts to riparian foliage as an alternative.

April 25, 2011: A Biological Assessment for the threatened CCC steelhead and associated habitat that may be affected by the project was submitted to NOAA Fisheries for formal consultation under Section 7 of the Federal Endangered Species Act.

August 2011: Site visit with Joe Heublein of NMFS regarding in-water work for Sarco Creek.

October 24, 2011: Caltrans (to Jeff Jensen) received the Letter of Concurrence from NOAA Fisheries (Appendix C).
PUBLIC NOTICE

Notice of Intent to Adopt
A Negative Declaration & Announcement
Of Public Hearing

WHAT'S BEING PLANNED
Caltrans (California Department of Transportation) is proposing to replace the Sarco Creek bridge on State Route (SR) 121 (Silverado Trail) in Napa County between Hagen Road and Trancas Street.

WHY THIS AD
Caltrans has studied the effects this project may have on the environment. Our study shows it will not significantly affect the quality of the environment. The report that explains why it is called an Initial Study with Proposed Negative Declaration (ND). This notice is to tell you of the preparation of the Proposed Negative Declaration and Initial Study and of its availability for you to read. An open house map display meeting will be held to give you the opportunity to view and discuss features of the proposed project.

WHAT'S AVAILABLE
The Initial Study with Proposed Negative Declaration and other project information are available for review and copying at the Caltrans District 4 Office at 111 Grand Avenue, Oakland, CA 94610 on weekdays from 8:00am to 4:30pm (please schedule a time in advance, see contacts below). The Proposed Negative Declaration and Initial Study is also available at the Napa County City Library (580 Coombs Street, Napa, CA) and online at http://www.dot.ca.gov/d4/ndoc.html.

WHERE YOU COME IN
Do you have any comments about processing the project with a Negative Declaration and the Initial Study? Do you disagree with the findings of our study as set forth in the Proposed Negative Declaration? Would you care to make any other comments on the project? Please submit your comments in writing no later than April 30, 2012 to Caltrans: Yolanda Rivas, Branch Chief Attention: Kara Boulter Caltrans, District 4 Mail Stop RN 111 Grand Avenue Oakland, CA 94612 Or email Kara Boulter at kara_boulter@dot.ca.gov

If there are no major comments, Caltrans will proceed with the project's design.

WHEN AND WHERE
An open house map display meeting will be held Tuesday, April 17, 2012, from 5:00 to 8:00 pm at the Napa County City Library located at 580 Coombs Street, Napa, CA.

CONTACT
For more information about this study please contact the Project Manager, Ahmad Rahimin, at (510) 622-0174 or Public Information Branch Chief, Robert Haus at (510) 286-5576.

Individuals who require special accommodations (American Sign Language interpreter, accessible seating, documentation in alternate formats, etc.) are requested to contact the District 4 Design Division (or Public Affairs Office) at (510) 286-4444. TDD users may contact the California Relay Service TDD line at 1-800-735-2929 or Voice Line at 1-800-735-2922.

Sarco Creek Bridge Replacement, EA 2A320 3-3
PUBLIC MEETING  
SARCO CREEK BRIDGE REPLACEMENT PROJECT  
NAPA PUBLIC LIBRARY  
TUESDAY, APRIL 17, 2012 – 5:00 PM

<table>
<thead>
<tr>
<th>Name</th>
<th>Title/Position</th>
<th>Address</th>
<th>Organization/Agency</th>
<th>Email</th>
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</thead>
<tbody>
<tr>
<td>Mit Catle</td>
<td></td>
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</tr>
<tr>
<td>Cynthia Caruso</td>
<td></td>
<td>1920 Silverado Trl</td>
<td><a href="mailto:CynthiaCaruso@rocketmail.com">CynthiaCaruso@rocketmail.com</a></td>
<td></td>
</tr>
<tr>
<td>Anthony Caruso</td>
<td></td>
<td>1920 Silverado</td>
<td><a href="mailto:ACARUSOSFHAEST@YAHOO.COM">ACARUSOSFHAEST@YAHOO.COM</a></td>
<td></td>
</tr>
<tr>
<td>Rain Place</td>
<td></td>
<td>2650 Patricia Dr</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ken D. Green</td>
<td></td>
<td>4474 Silverado Jr</td>
<td><a href="mailto:Plokk@usa.com">Plokk@usa.com</a></td>
<td></td>
</tr>
</tbody>
</table>
Comments Received by Mr. and Mrs. Anthony and Cynthia Caruso

**COMMENT CARD**

**Name (Please Print):** ANTHONY CARUSO

**Address (Home):** 1920 SILVERADO TRL city NAPA state CA zip code 94558

**Authorized Representative (Name of organization or agency):**

**Address (Business):**

**Comments:** ACARUSO.FHALEST@YAHOO.COM

---

**COMMENT CARD**

**Name (Please Print):** CYNTHIA CARUSO

**Address (Home):** 1920 SILVERADO TRL city NAPA state CA zip code 94559

**Authorized Representative (Name of organization or agency):**

**Address (Business):**

**Comments:** Full Closure Option would be my vote as I live on Silverado Trail and it would affect my commute to and from work.

---
May 15, 2012

Mr. and Mrs. Anthony and Cynthia Caruso
1920 Silverado Trail
Napa, CA 94559

Dear Mr. and Mrs. Caruso,

Thank you for your comments regarding the Sarco Creek Bridge Replacement Project Initial Study (IS) with Proposed Negative Declaration (ND).

Caltrans has proposed two construction options: A Partial Roadway Closure to be completed in 2 stages, or a Full Roadway Closure to be completed in 1 stage.

Your comment supporting the Full Roadway Closure is noted and we appreciate your bringing your concerns to our attention. In addition, the email address that was provided has been recorded and will be placed on the distribution list for you to receive the final document.

If you have any further comments or questions regarding the environmental process please contact me at (510) 286-6216 or Leahnora Romaya at (510) 286-6303.

Sincerely,

Yolanda Rivas
Branch Chief
District 4 Office of Environmental Analysis

"Caltrans improves mobility across California"
Comment Received by Mr. Rein Plakk

**COMMENT CARD**

**Name** (Please Print) REIN PLAKK

**Address** (Home) 2554 Patricia Dr. city NAPA state CA zip code 94558

**Authorized Representative** (Name of organization or agency) 

**Address** (Business) 

**Comments:** WOULD BE INTERESTED HAVING THE CENTER LINE OF RECORD ESTABLISHED AS WELL AS THE CURRENT PUBLIC UTILITY EASEMENTS.

For more comments use reverse side.

Sarco Creek Bridge Replacement, EA 2A320
May 15, 2012

Mr. Rein Plakk
2556 Patricia Drive
Napa, CA 94558

Dear Mr. Plakk,

Thank you for your comments regarding the Sarco Creek Bridge Replacement Project Initial Study (IS) with Proposed Negative Declaration (ND).

Once the environmental phase is completed, the Right-of-Way and Design activities will begin and all Right-of-Way needs will be determined. Subsequently, you will be contacted by our Right-of-Way Department.

If you have any further comments or questions regarding the environmental process please contact me at (510) 286-6216 or Leahnora Romaya at (510) 286-6303.

Sincerely,

Yolanda Rivas
Yolanda Rivas, Branch Chief
District 4 Office of Environmental Analysis
Chapter 4 - List of Preparers

Office of Environmental Analysis
Yolanda Rivas, Branch Chief
Karin Bouler, Associate Environmental Planner
Thomas Rosevear, Associate Environmental Planner
Leahnora Romaya, Environmental Planner

Office of Natural Sciences and Permits
Christopher States, Branch Chief
Dianne Joy Hughey, Environmental Planner
Rachel Cotroneo, Associate Environmental Planner
James Coniglio, Environmental Planner

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Lissa McKee, Archaeology Branch Chief
Elizabeth Greene, Architectural History Branch Chief
Andrew Hope, Associate Environmental Planner
Leslie Smirnoff, Environmental Planner

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Susan Lindsay, Branch Chief
Marty Hogan, Landscape Associate
Martha Lee McCardy, Landscape Associate

Office of Environmental Engineering
Chris Wilson, Hazardous Waste Branch Chief
Glenn Kinoshita, Air & Noise Senior Transportation Engineer

Office of Design – North Counties
Manny Caluya, Project Engineer
Farhad Mostaghim, Design Engineer

Office of Project Management North
Ahmad Rahimi, Project Manager

Office of Water Quality Program
Mostafa Mo Faghihi, Transportation Engineer

Office of Design and Technical Services (Hydraulics)
Kathleen Reilly, Branch Chief

Office of Geotechnical Design – West
Grant Wilcox, Senior Engineering Geologist
Matthew Gaffney, Engineering Geologist
Chris Risden, Engineering Geologist

Sarco Creek Bridge Replacement, EA 2A320
Chapter 5 - Distribution List

The Honorable Barbara Boxer
United States Senate
1700 Montgomery Street, Suite 240
San Francisco, CA 94111

The Honorable Dianne Feinstein
United States Senate
One Post Street, Suite 2450
San Francisco, CA 94104

The Honorable Mike Thompson
United States Congress
1040 Main Street, Suite 101
Napa, CA 94559

The Honorable Noreen Evans
California State Assembly
1040 Main Street, Suite 205
Napa, CA 94559-2605

The Honorable Michael Allen
California State Assembly
1040 Main Street, Suite 205
Napa, CA 94559

Diane Dillon, Chair
Napa County Board of Supervisors
1195 Third Street, Suite 310
Napa, CA 94559-0660

The Honorable Jill Techel
Mayor, City of Napa
PO Box 660
Napa, CA 94559-0660

National Marine Fisheries Services
Attn: PRD Division
777 Sonoma Avenue, Room 325
Santa Rosa, CA 95404

U.S. Army Corps of Engineers, San Francisco District
ATTN: CESPN-COR
1455 Market Street
San Francisco, CA 94103-1398

James Earp, Commission Chair
California Transportation Commission
1120 N Street, Room 2221 (MS-52)
Sacramento, CA 95814

State Clearinghouse
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Sacramento, CA 95814

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State Lands Commission
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Milford Wayne Donaldson, FAIA
State Historic Preservation Officer
California Department of Parks and Recreation
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Sacramento, CA 94296-0001

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California Department of Conservation
Division of Land Resource Management
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Larry Myers, Executive Secretary
Native American Heritage Commission
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1001 I Street
P. O. Box 2815
Sacramento, CA 95812-2815

Director
California Department of Toxic Substances Control
PO Box 806
Sacramento, CA 95812-0806

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Sacramento, CA 95812
California Highway Patrol
Golden Gate Division
9775 Golden Gate Drive
Napa, CA 94559-9601

Federal Emergency Management Agency
California Governor’s Office of Emergency Services
P.O. Box 419047
Rancho Cordova, CA 95741-9047

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San Francisco Bay Region
1515 Clay Street, Suite 1400
Oakland, CA 94612

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Napa, CA 94559

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Napa, CA 94559-2912

Ezra Rapport, Executive Director
Association of Bay Area Governments
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Oakland, CA 94609

Napa Chamber of Commerce
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Napa, CA 94559

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Napa, CA 94559

Nancy Levenberg
Napa County Landmarks
1219 First Street
Napa, CA 94559

Nancy Levenberg
Napa County Landmarks
1219 First Street
Napa, CA 94559

Nancy Levenberg
Napa County Landmarks
1219 First Street
Napa, CA 94559

Rick Marshall, Deputy Director

Napa County Public Works Department
1195 Third Street, Suite 201
Napa CA 94559

Napa Main Library
580 Coombs Street
Napa, CA 94559

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California Department of Forestry and Fire Protection
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Email: pete.munoz@fire.ca.gov

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Community Relations Manager
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Email: asteinhauer@napavintners.com

Creekside Community Church
1050 Hagen Road
Napa, CA 94558

Resident
1916 Silverado Trail
Napa, CA 94558

Resident
1920 Silverado Trail
Napa, CA 94558

Sarco Creek Bridge Replacement, EA 2A320
Resident  
1944 Silverado Trail  
Napa, CA 94558

Resident  
1945 Silverado Trail  
Napa, CA 94558

Resident  
1950 Silverado Trail  
Napa, CA 94558

Resident  
1954 Silverado Trail  
Napa, CA 94558

Resident  
1968 Silverado Trail  
Napa, CA 94558

Resident  
1972 Silverado Trail  
Napa, CA 94558

Resident  
2000 Silverado Trail  
Napa, CA 94558

Resident  
2006 Silverado Trail  
Napa, CA 94558

Resident  
1971 Silverado Trail  
Napa, CA 94558

Resident  
1953 Silverado Trail  
Napa, CA 94558
Appendix A - CEQA Checklist

CEQA Environmental Checklist
04-NAP-121  8.9/9.4  2A320
Dist.-Co.-Rte.  P.M/P.M.  E.A.

This checklist identifies physical, biological, social and economic factors that might be affected by the proposed project. In many cases, background studies performed in connection with the projects indicate no impacts. A NO IMPACT answer in the last column reflects this determination. Where there is a need for clarifying discussion, the discussion is included either following the applicable section of the checklist or is within the body of the environmental document itself. The words "significant" and "significance" used throughout the following checklist are related to CEQA, not NEPA, impacts. The questions in this form are intended to encourage the thoughtful assessment of impacts and do not represent thresholds of significance.

<table>
<thead>
<tr>
<th></th>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
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</thead>
<tbody>
<tr>
<td>I. AESTHETICS: Will the project:</td>
<td></td>
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<tr>
<td>a) Have a substantial adverse effect on a scenic vista</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>b) Substantially damage scenic resources, including, but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>c) Substantially degrade the existing visual character or quality of the site and its surroundings?</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>d) Create a new source of substantial light or glare which will adversely affect day or nighttime views in the area?</td>
<td>☐</td>
<td>☐</td>
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</table>

II. AGRICULTURE AND FOREST RESOURCES: In determining whether impacts to agricultural resources are significant environmental effects, lead agencies may refer to the California Agricultural Land Evaluation and Site Assessment Model (1997) prepared by the California Dept. of Conservation as an optional model to use in assessing impacts on agriculture and farmland. In determining whether impacts to forest resources, including timberland, are significant environmental effects, lead agencies may refer to information compiled by the California Department of Forestry and Fire Protection regarding the state's inventory of forest land, including the Forest and Range Assessment Project and the Forest Legacy Assessment Project, and the forest carbon measurement methodology provided in Forest Protocols adopted by the California Air Resources Board. Will the project:

a) Convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to non-agricultural use? ☐ ☐ ☐ ☒

b) Conflict with existing zoning for agricultural use, or a Williamson Act contract? ☐ ☐ ☐ ☒
<table>
<thead>
<tr>
<th>c) Conflict with existing zoning for, or cause rezoning of, forest land (as defined in Public Resources Code section 12220(g)), timberland (as defined by Public Resources Code section 4526), or timberland zoned Timberland Production (as defined by Government Code section 51104(g))?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>d) Result in the loss of forest land or conversion of forest land to non-forest use?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>e) Involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
</tbody>
</table>

**III. AIR QUALITY:** Where available, the significance criteria established by the applicable air quality management or air pollution control district may be relied upon to make the following determinations. Will the project:

<table>
<thead>
<tr>
<th>a) Conflict with or obstruct implementation of the applicable air quality plan?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>b) Violate any air quality standard or contribute substantially to an existing or projected air quality violation?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>c) Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard (including releasing emissions which exceed quantitative thresholds for ozone precursors)?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>d) Expose sensitive receptors to substantial pollutant concentrations?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>e) Create objectionable odors affecting a substantial number of people?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
</tbody>
</table>

**IV. BIOLOGICAL RESOURCES:** Will the project:

<table>
<thead>
<tr>
<th>a) Have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Game or U.S. Fish and Wildlife Service?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Potentially Significant Impact □</td>
</tr>
<tr>
<td>b) Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Game or US Fish and Wildlife Service?</td>
</tr>
<tr>
<td>Potentially Significant Impact □</td>
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<tr>
<td>Potentially Significant Impact</td>
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<tr>
<td>--------------------------------</td>
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<tr>
<td>c) Have a substantial adverse effect on federally protected wetlands as defined by Section 404 of the Clean Water Act (including, but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption, or other means?</td>
</tr>
<tr>
<td>d) Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites?</td>
</tr>
<tr>
<td>e) Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance?</td>
</tr>
<tr>
<td>f) Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan?</td>
</tr>
</tbody>
</table>

**V. CULTURAL RESOURCES:** Will the project:

| ☐ | ☐ | ☒ | ☐ |
| a) Cause a substantial adverse change in the significance of a historical resource as defined in §15064.5? | ☐ | ☐ | ☒ | ☐ |
| b) Cause a substantial adverse change in the significance of an archaeological resource pursuant to §15064.5? | ☐ | ☐ | ☒ | ☐ |
| c) Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature? | ☐ | ☐ | ☒ | ☐ |
| d) Disturb any human remains, including those interred outside of formal cemeteries? | ☐ | ☐ | ☒ | ☐ |

**VI. GEOLOGY AND SOILS:** Will the project:

<p>| ☐ | ☐ | ☒ | ☐ |
| a) Expose people or structures to potential substantial adverse effects, including the risk of loss, injury, or death involving: | ☐ | ☐ | ☒ | ☐ |
| i) Rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42? | ☐ | ☐ | ☒ | ☐ |
| ii) Strong seismic ground shaking? | ☐ | ☐ | ☒ | ☐ |
| iii) Seismic-related ground failure, including liquefaction? | ☐ | ☐ | ☒ | ☐ |</p>
<table>
<thead>
<tr>
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<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
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<tbody>
<tr>
<td>b) Result in substantial soil erosion or the loss of topsoil?</td>
<td>![ ]</td>
<td>![ ]</td>
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</tr>
<tr>
<td>c) Be located on a geologic unit or soil that is unstable, or that will become unstable as a result of the project, and potentially result in on- or off-site landslide, lateral spreading, subsidence, liquefaction or collapse?</td>
<td>![ ]</td>
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<tr>
<td>d) Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial risks to life or property?</td>
<td>![ ]</td>
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<td>e) Have soils incapable of adequately supporting the use of septic tanks or alternative waste water disposal systems where sewers are not available for the disposal of waste water?</td>
<td>![ ]</td>
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**VII. GREENHOUSE GAS EMISSIONS:** Will the project:

a) Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment?

b) Conflict with an applicable plan, policy or regulation adopted for the purpose of reducing the emissions of greenhouse gases?

An assessment of the greenhouse gas emissions and climate change is included in the body of the environmental document. While Caltrans has included this good faith effort in order to provide the public and decision-makers as much information as possible about the project, it is Caltrans determination that in the absence of further regulatory or scientific information related to GHG emissions and CEQA significance, it is too speculative to make a significance determination regarding the project's direct and indirect impact with respect to climate change. Caltrans does remain firmly committed to implementing measures to help reduce the potential effects of the project. These measures are outlined in the body of the environmental document.

**VIII. HAZARDS AND HAZARDOUS MATERIALS:** Will the project:

a) Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials?

b) Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment?

[Space for input]
d) Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, will it create a significant hazard to the public or the environment?

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e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, will the project result in a safety hazard for people residing or working in the project area?

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f) For a project within the vicinity of a private airstrip, will the project result in a safety hazard for people residing or working in the project area?

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g) Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan?

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h) Expose people or structures to a significant risk of loss, injury or death involving wildland fires, including where wildlands are adjacent to urbanized areas or where residences are intermixed with wildlands?

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IX. HYDROLOGY AND WATER QUALITY: Will the project:

a) Violate any water quality standards or waste discharge requirements?

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<th>Potentially Significant Impact</th>
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</table>

b) Substantially deplete groundwater supplies or interfere substantially with groundwater recharge such that there will be a net deficit in aquifer volume or a lowering of the local groundwater table level (e.g., the production rate of pre-existing nearby wells will drop to a level which will not support existing land uses or planned uses for which permits have been granted)?

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c) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, in a manner which will result in substantial erosion or siltation on- or off-site?

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<th>Potentially Significant Impact</th>
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d) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, or substantially increase the rate or amount of surface runoff in a manner which will result in flooding on- or off-site?

<table>
<thead>
<tr>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
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</table>

e) Create or contribute runoff water which will exceed the capacity of existing or planned storm water drainage systems or provide substantial additional sources of polluted runoff?

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<tr>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
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f) Otherwise substantially degrade water quality?

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<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
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</table>
g) Place housing within a 100-year flood hazard area as mapped on a federal Flood Hazard Boundary or Flood Insurance Rate Map or other flood hazard delineation map?

h) Place within a 100-year flood hazard area structures which will impede or redirect flood flows?

i) Expose people or structures to a significant risk of loss, injury or death involving flooding, including flooding as a result of the failure of a levee or dam?

j) Inundation by seiche, tsunami, or mudflow

X. LAND USE AND PLANNING: Will the project:

a) Physically divide an established community?

b) Conflict with any applicable land use plan, policy, or regulation of an agency with jurisdiction over the project (including, but not limited to the general plan, specific plan, local coastal program, or zoning ordinance) adopted for the purpose of avoiding or mitigating an environmental effect?

c) Conflict with any applicable habitat conservation plan or natural community conservation plan?

XI. MINERAL RESOURCES: Will the project:

a) Result in the loss of availability of a known mineral resource that will be of value to the region and the residents of the state?

b) Result in the loss of availability of a locally-important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan?

XII. NOISE: Will the project result in:

a) Exposure of persons to or generation of noise levels in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies?

b) Exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels?

c) A substantial permanent increase in ambient noise levels in the project vicinity above levels existing without the project?
<table>
<thead>
<tr>
<th></th>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>d) A substantial temporary or periodic increase in ambient noise levels in the project vicinity above levels existing without the project?</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☒</td>
</tr>
<tr>
<td>e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, will the project expose people residing or working in the project area to excessive noise levels?</td>
<td>☐</td>
<td>☐</td>
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<tr>
<td>) For a project within the vicinity of a private airstrip, will the project expose people residing or working in the project area to excessive noise levels?</td>
<td>☐</td>
<td>☐</td>
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<td>☒</td>
</tr>
</tbody>
</table>

**XIII. POPULATION AND HOUSING:** Will the project:

a) Induce substantial population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure)? | ☐                             | ☐                                     | ☐                         | ☒         |

b) Displace substantial numbers of existing housing, necessitating the construction of replacement housing elsewhere? | ☐                             | ☐                                     | ☐                         | ☒         |

c) Displace substantial numbers of people, necessitating the construction of replacement housing elsewhere? | ☐                             | ☐                                     | ☐                         | ☒         |

**XIV. PUBLIC SERVICES:**

a) Will the project result in substantial adverse physical impacts associated with the provision of new or physically altered governmental facilities, need for new or physically altered governmental facilities, the construction of which could cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives for any of the public services:

Fire protection? | ☐                             | ☐                                     | ☐                         | ☒         |

Police protection? | ☐                             | ☐                                     | ☐                         | ☒         |

Schools? | ☐                             | ☐                                     | ☐                         | ☒         |

Parks? | ☐                             | ☐                                     | ☐                         | ☒         |

Other public facilities? | ☐                             | ☐                                     | ☐                         | ☒         |
<table>
<thead>
<tr>
<th>XV. RECREATION:</th>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
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</thead>
<tbody>
<tr>
<td>a) Will the project increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility will occur or be accelerated?</td>
<td>☑️</td>
<td>☐️</td>
<td>☐️</td>
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<tr>
<td>b) Does the project include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment?</td>
<td>☐️</td>
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<td>☐️</td>
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<tr>
<th>XVI. TRANSPORTATION/TRAFFIC: Will the project:</th>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Conflict with an applicable plan, ordinance or policy establishing measures of effectiveness for the performance of the circulation system, taking into account all modes of transportation including mass transit and non-motorized travel and relevant components of the circulation system, including but not limited to intersections, streets, highways and freeways, pedestrian and bicycle paths, and mass transit?</td>
<td>☑️</td>
<td>☐️</td>
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<tr>
<td>b) Conflict with an applicable congestion management program, including, but not limited to level of service standards and travel demand measures, or other standards established by the county congestion management agency for designated roads or highways?</td>
<td>☑️</td>
<td>☐️</td>
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<tr>
<td>c) Result in a change in air traffic patterns, including either an increase in traffic levels or a change in location that results in substantial safety risks?</td>
<td>☑️</td>
<td>☐️</td>
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<tr>
<td>d) Substantially increase hazards due to a design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment)?</td>
<td>☑️</td>
<td>☐️</td>
<td>☐️</td>
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<tr>
<td>e) Result in inadequate emergency access?</td>
<td>☑️</td>
<td>☐️</td>
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<tr>
<td>f) Conflict with adopted policies, plans or programs regarding public transit, bicycle, or pedestrian facilities, or otherwise decrease the performance or safety of such facilities?</td>
<td>☑️</td>
<td>☐️</td>
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<tr>
<th>XVII. UTILITIES AND SERVICE SYSTEMS: Will the project:</th>
<th>Potentially Significant Impact</th>
<th>Less Than Significant with Mitigation</th>
<th>Less Than Significant Impact</th>
<th>No Impact</th>
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<tbody>
<tr>
<td>a) Exceed wastewater treatment requirements of the applicable Regional Water Quality Control Board?</td>
<td>☑️</td>
<td>☐️</td>
<td>☐️</td>
<td>☐️</td>
</tr>
<tr>
<td>b) Require or result in the construction of new water or wastewater treatment facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?</td>
<td>☑️</td>
<td>☐️</td>
<td>☐️</td>
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</tr>
<tr>
<td>c) Require or result in the construction of new storm water drainage facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?</td>
<td>☒</td>
<td>☒</td>
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<td>☐</td>
</tr>
<tr>
<td>d) Have sufficient water supplies available to serve the project from existing entitlements and resources, or are new or expanded entitlements needed?</td>
<td>☒</td>
<td>☒</td>
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</tr>
<tr>
<td>e) Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project's projected demand in addition to the provider's existing commitments?</td>
<td>☒</td>
<td>☒</td>
<td>☒</td>
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<tr>
<td>f) Be served by a landfill with sufficient permitted capacity to accommodate the project's solid waste disposal needs?</td>
<td>☒</td>
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<tr>
<td>g) Comply with federal, state, and local statutes and regulations related to solid waste?</td>
<td>☒</td>
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**XVIII. MANDATORY FINDINGS OF SIGNIFICANCE**

| a) Does the project have the potential to degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, substantially reduce the number or restrict the range of a rare or endangered plant or animal or eliminate important examples of the major periods of California history or prehistory? | ☒ | ☒ | ☒ | ☐ |
| b) Does the project have impacts that are individually limited, but cumulatively considerable? ("Cumulatively considerable" means that the incremental effects of a project are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects)? | ☒ | ☒ | ☒ | ☐ |
| c) Does the project have environmental effects which will cause substantial adverse effects on human beings, either directly or indirectly? | ☒ | ☒ | ☒ | ☐ |
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Appendix B - Title VI Policy Statement

July 20, 2010

TITLE VI
POLICY STATEMENT

The California Department of Transportation, under Title VI of the Civil Rights Act of 1964 and related statutes, ensures that no person in the State of California shall, on the grounds of race, color, national origin, sex, disability, or age, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity it administers.

For information or guidance on how to file a complaint based on the grounds of race, color, national origin, sex, disability, or age, please visit the following web page: http://www.dot.ca.gov/hq/bep/title_vi/t6_violated.htm.

Additionally, if you need this information in an alternate format, such as in Braille or in a language other than English, please contact Charles Wahnon, Manager, Title VI and Americans with Disabilities Act Program, California Department of Transportation, 1823 14th Street, MS-79, Sacramento, CA 95811. Phone: (916) 324-1353 or toll free 1-866-810-6346 (voice), TTY 711, fax (916) 324-1869, or via email: charles_wahnon@dot.ca.gov.

CINDY MAXIM
Director

"Caltrans: Improves mobility across California"
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Appendix C - National Oceanic and Atmospheric Administration's National Marine Fisheries Service Letter of Concurrence

Jeffrey Jensen, Chief  
Office of Biological Sciences and Permits  
California Department of Transportation, District 4  
101 Grand Avenue  
Oakland, California 94612

Dear Mr. Jensen:

Thank you for your letter of April 25, 2011, requesting initiation of consultation with NOAA’s National Marine Fisheries Service (NMFS) pursuant to section 7 of the Endangered Species Act (ESA) of 1973, as amended (16 U.S.C. 1531 et seq.). Effective July 1, 2007, the Federal Highway Administration (FHWA) assigned, and the California Department of Transportation (Caltrans) has assumed all responsibilities for consultation and approval on most highway projects in California. Therefore, Caltrans is now considered the federal action agency for ESA consultations with NMFS for federally funded projects. This letter also serves as consultation under the authority of, and in accordance with, the provisions of the Fish and Wildlife Coordination Act of 1934 (FWCA), as amended. These consultations pertain to Caltrans’ proposed State Route 121 Sarco Creek Bridge Replacement Project located in Napa County, California.

The Sarco Creek Bridge is located north of the City of Napa at post mile (PM) 9.3 on State Route (SR) 121, in Napa County, California. The existing Sarco Creek Bridge is 31 feet (ft) long by 35.5 ft wide and was constructed in 1918. The two bridge deck spans are supported by a single pier with its foundation located within Sarco Creek. Proposed construction involves removing the existing bridge, constructing a larger free-spanning bridge (44 ft long and 43.5 ft wide), and improving a partial fish barrier below the bridge. Sarco Creek originates in the foothills northeast of the City of Napa (approximately 2.5 miles northeast of the project site); the confluence of Sarco Creek and Miliken Creek (a tributary to the Napa River) is approximately 500 ft downstream of the project site. A sewer line concrete encasement immediately downstream of the bridge creates a backwater, and a small stagnant pool persists below the Sarco Creek Bridge during summer months. Typically, surface flow is absent by early summer (June 1) and, due in part to this lack of flow, water quality is poor in the vicinity of the Sarco Creek Bridge.
A maximum of three summer work seasons (July 1st and October 15th) in the dewatered channel below the Sarco Creek Bridge will be required to replace the existing bridge. A temporary water diversion system consisting of an upstream coffer dam and a PVC water conveyance pipe will be in place during all in-channel summer construction seasons. The coffer dam will be constructed across the creek with clean washed gravel bags wrapped in impermeable plastic sheeting. The PVC water conveyance pipe will be used for diverting any flow that is present in the creek. This diversion pipe will be protected by placing timber mats on top of temporary K-rail placed along the edge of the creek bed under the existing bridge, covering and protecting the PVC pipe and the creek bed. Any standing water that is encounter below the bridge during construction will be removed and disposed of off-site or used on-site for dust control measures. Utility relocation activities below Sarco Creek Bridge are proposed to commence in the first summer. Activities associated with bridge construction are anticipated to begin the following summer, and require two seasons of construction within the dewatered channel. Bridge construction work will include removing and replacing the east half of the bridge, widening the northbound lane to conform to the new bridge, replacing the remaining west part of the bridge, constructing the fish passage structure, and implementing permanent erosion control measures. Fish passage improvement will consist of constructing a roughened rock ramp and, if necessary, one rock weir downstream of the existing sewer line concrete encasement. The roughened rock ramp will be covered with clean native bed materials. The site of the construction access road, located on the northeastern bank of Sarco Creek at the bridge, is encroached by suburban development. This bank is over-steepened and vegetated primarily with blackberry. Therefore, there is no room to restore this bank to a stable slope with native materials following bridge replacement, and permanent erosion control measures will be necessary. This involves placing one-to-two-ton rocks covering the width and height of the access road and native soil and plantings that fill the voids between the rocks. The rock slope protection will be keyed-in at the bottom of the slope and match the existing slope conforms.

The Sarco Creek Bridge Replacement Project will involve the following measures to minimize disturbance of the channel and riparian corridor: 1) construction of only one access road on the northeast corner of the bridge; 2) construction of new abutment foundations behind the existing abutments (and outside of the creek channel); 3) conducting in-channel construction only in dewatered areas during periods of little or no surface flow; 4) construction of proposed roughened ramp and rock weir from the bank and/or deck of the bridge utilizing a crane and only small construction machinery within the dewatered channel (e.g., Bobcat®); and 5) implementation of appropriate best management practices (BMPs) during all in-channel construction work to mitigate for erosion and water pollution from soils and sediments.

Caltrans has determined that the potential impacts related to the State Route 121 Sarco Creek Bridge Replacement Project are not likely to adversely affect listed species or designated critical habitat, and has asked NMFS for concurrence with this determination.

**Endangered Species Act**

In its April 25, 2011, letter Caltrans asked for concurrence with a finding that the project is not likely to adversely affect California Central Coast (CCC) steelhead (*Oncorhynchus mykiss*). Available information indicates the following listed species (Distinct Population Segments [DPS]) or designated critical habitat may occur in the project area:
Central California Coast steelhead (*O. mykiss*) DPS

Threatened (71 FR 834; January 5, 2006)

Critical Habitat (70 FR 52488; September 2, 2005).

The life history of steelhead is summarized in Busby *et al.* (1996). Juvenile CCC steelhead stranding occurred in spring of 2005 and 2006 in Sarco Creek below the Vichy Avenue crossing (approximately 1.25 miles upstream of the project site) (Koechler and Edwards, 2009). The presence of stranded juvenile steelhead indicates successful spawning can occur during some years in the upper accessible reaches of Sarco Creek. No salmonids or suitable rearing habitat, however, were observed in accessible reaches of Sarco Creek during July 2007 due to lack of water or poor water quality (Koechler and Edwards, 2009). Furthermore, no surface flow was present in the isolated pool below the Sarco Creek Bridge on June 2, 2011, and habitat appeared to be unsuitable for salmonid rearing (J. Heublein, personal observation). Therefore, surface flows cease and water quality is poor in accessible reaches of Sarco Creek during late spring and summer months in even extremely wet years (2011); and listed salmonids are not likely to be present in the waters of Sarco Creek adjacent to the project site during the proposed in-water construction season (July-October).

Sarco Creek is designated critical habitat for CCC steelhead. Surface flow is typically limited to the winter and early spring within the action area; and water quality and aquatic habitat is poor during summer months due to low groundwater elevations. A limited amount of spawning substrate is present within the action area, but successful summer rearing is unlikely due to poor aquatic conditions. Therefore, the action area within Sarco Creek provides primarily migration and dispersal habitat for adult and juvenile steelhead, and juvenile rearing habitat when surface flow is present. Proposed bridge construction activities involve a minimal amount of riparian disturbance, removal of instream structures (the existing center bridge pier), and enhancement of fish passage at the project site. Therefore, activities associated with the Sarco Creek Bridge replacement could constitute a long-term benefit to the available habitat in Sarco Creek.

Based on the best available information, NMFS concurs with Caltran’s determination that threatened CCC steelhead are not likely to be adversely affected by the State Route 121 Sarco Creek Bridge Replacement Project. This concludes informal consultation in accordance with 50 CFR 402.13(a) for the proposed State Route 121 Sarco Creek Bridge Replacement Project, Napa County, California. However, further consultation may be required if: (1) new information becomes available indicating that listed species or critical habitat may be affected by the project in a manner or to an extent not previously considered; (2) current project plans change in a manner that causes an effect to listed species or critical habitat in a manner not previously considered; or (3) a new species is listed or critical habitat designated that may be affected by the action.

**Fish and Wildlife Coordination Act**

The purpose of the FWCA is to ensure that wildlife conservation receives equal consideration, and is coordinated with other aspects of water resources development [16 U.S.C. 661]. The FWCA establishes a consultation requirement for Federal departments and agencies that undertake any action that proposes to modify any stream or other body of water for any purpose,
including navigation and drainage [16 U.S.C. 662(a)]. Consistent with this consultation requirement, NMFS provides recommendations and comments to Federal action agencies for the purpose of conserving fish and wildlife resources. NMFS has no further comments to provide.

Please contact Mr. Joseph Heublein at (707) 575-1251, or via e-mail at joe.heublein@noaa.gov should you have any questions.

Sincerely,

Rodney R. Meln尼斯
Regional Administrator

Enclosures

cc: Chris States, Caltrans District 4, Oakland
Dianne Joy Hughry, Caltrans District 4, Oakland
Suzanne Gilmore, CDFG, Yountville
Copy to file 151422-SWR-2011-SR00371

Literature Cited


United States Department of the Interior

FISH AND WILDLIFE SERVICE

Sacramento Fish and Wildlife Office
2800 Cottage Way, Room W-2605
Sacramento, California 95825

May 24, 2012

Document Number: 120524035056

Caltrans
111 Grand Avenue
Oakland, California 94612

Subject: Species List for Species List for Sarco Creek Bridge Replacement

Dear: Interested party

We are sending this official species list in response to your May 24, 2012 request for information about endangered and threatened species. The list covers the California counties and/or U.S. Geological Survey 7½ minute quad or quads you requested.

Our database was developed primarily to assist Federal agencies that are consulting with us. Therefore, our lists include all of the sensitive species that have been found in a certain area and also ones that may be affected by projects in the area. For example, a fish may be on the list for a quad if it lives somewhere downstream from that quad. Birds are included even if they only migrate through an area. In other words, we include all of the species we want people to consider when they do something that affects the environment.

Please read Important Information About Your Species List (below). It explains how we made the list and describes your responsibilities under the Endangered Species Act.

Our database is constantly updated as species are proposed, listed and delisted. If you address proposed and candidate species in your planning, this should not be a problem. However, we recommend that you get an updated list every 90 days. That would be August 22, 2012.

Please contact us if your project may affect endangered or threatened species or if you have any questions about the attached list or your responsibilities under the Endangered Species Act. A list of Endangered Species Program contacts can be found here.

Endangered Species Division

www.fws.gov/sacramento/ES_Species/Lists/es_species_lists_auto-letter.cfm
These buttons will not appear on your list.

Revise Selection

Print this page

Print species list before going on to letter.

Make Official Letter

U.S. Fish & Wildlife Service
Sacramento Fish & Wildlife Office

Federal Endangered and Threatened Species that Occur in or may be Affected by Projects in the Counties and/or U.S.G.S. 7 1/2 Minute Quads you requested

Document Number: 120524035056

Database Last Updated: September 18, 2011

Quad Lists

Listed Species

Invertebrates

- Branchinecta conservatio
  - Conservancy fairy shrimp (E)

- Branchinecta lynchi
  - Critical habitat, vernal pool fairy shrimp (X)
  - vernal pool fairy shrimp (T)

- Desmocerus californicus dimorphus
  - valley elderberry longhorn beetle (T)

- Speyeria callippe callippe
  - callippe silverspot butterfly (E)

- Speyeria zerene myrtleae
  - Myrtle’s silverspot butterfly (E)

- Syncaris pacifica
  - California freshwater shrimp (E)

www.fws.gov/sacramento/ES_SpeciesLists/es_species_lists.cfm
Fish

- Acipenser medirostris
  - green sturgeon (T) (NMFS)

- Eucyclogobius newberryi
  - tidewater goby (E)

- Hypomesus transpacificus
  - delta smelt (T)

- Oncorhynchus kisutch
  - coho salmon - central CA coast (E) (NMFS)

- Oncorhynchus mykiss
  - Central California Coastal steelhead (T) (NMFS)
  - Central Valley steelhead (T) (NMFS)
  - Critical habitat, Central California coastal steelhead (X) (NMFS)

- Oncorhynchus tsawytscha
  - Central Valley spring-run chinook salmon (T) (NMFS)
  - Critical habitat, winter-run chinook salmon (X) (NMFS)
  - winter-run chinook salmon, Sacramento River (E) (NMFS)

Amphibians

- Ambystoma californiense
  - California tiger salamander, central population (T)

- Rana draytonii
  - California red-legged frog (T)
  - Critical habitat, California red-legged frog (X)

Reptiles

- Thamnophis gigas
  - giant garter snake (T)

Birds

- Charadrius alexandrinus nivosus
  - western snowy plover (T)

- Pelecanus occidentalis californicus
  - California brown pelican (E)

- Railus longirostris obsoletus
  - California clapper rail (E)

www.fws.gov/sacramento/ES_SpeciesLists/es_species_lists.cfm
• *Sternula antillarum* (=*Sterna, =*albifrons) browni  
  o California least tern (E)

• *Strix occidentalis caurina*  
  o northern spotted owl (T)

**Mammals**

• *Reithrodonotomys raviventris*  
  o salt marsh harvest mouse (E)

**Plants**

• *Astragalus clarinus*  
  o Clara Hunt's milk-vetch (E)

• *Blennosperma bakeri*  
  o Baker's stickyseed [=Sonoma Sunshine] (E)

• *Castilleja affinis* ssp. *neglecta*  
  o Tiburon paintbrush (E)

• *Cordylanthus mollis* ssp. *mollis*  
  o soft bird's-beak (E)

• *Lasthenia conjugens*  
  o Contra Costa goldfields (E)  
  o Critical habitat, Contra Costa goldfields (X)

• *Limnanthes vinculans*  
  o Sebastopol meadowfoam (E)

• *Navarretia leucocephala* ssp. *pauciflora*  
  o few-flowered navarretia (E)

• *Sidalcea keckii*  
  o Keck's checker-mallow (=checkerbloom) (E)

• *Trifolium amoenum*  
  o showy Indian clover (E)

**Proposed Species**

**Plants**

• *Cordylanthus mollis* ssp. *mollis*  
  o Critical habitat, soft bird's-beak (PX)
Quads Containing Listed, Proposed or Candidate Species:

CORDELIA (482B)
CUTTINGS WHARF (483A)
SEARS POINT (483B)
CAPELL VALLEY (499B)
MT. GEORGE (499C)
YOUNTVILLE (500A)
RUTHERFORD (500B)
SONOMA (500C)
NAPA (500D)

County Lists

No county species lists requested.

Key:

- (E) Endangered - Listed as being in danger of extinction.
- (T) Threatened - Listed as likely to become endangered within the foreseeable future.
- (P) Proposed - Officially proposed in the Federal Register for listing as endangered or threatened.
- (NMFS) Species under the Jurisdiction of the National Oceanic & Atmospheric Administration Fisheries Service. Consult with them directly about these species.
- Critical Habitat - Area essential to the conservation of a species.
- (PX) Proposed Critical Habitat - The species is already listed. Critical habitat is being proposed for it.
- (C) Candidate - Candidate to become a proposed species.
- (V) Vacated by a court order. Not currently in effect. Being reviewed by the Service.
- (X) Critical Habitat designated for this species

Important Information About Your Species List

How We Make Species Lists

We store information about endangered and threatened species lists by U.S. Geological Survey 7½ minute quads. The United States is divided into these quads, which are about the size of San Francisco.

The animals on your species list are ones that occur within, or may be affected by projects within, the quads covered by the list.

www.fws.gov/sacramento/E9_SpeciesLists/89_species_lists.cfm
Fish and other aquatic species appear on your list if they are in the same watershed as your quad or if water use in your quad might affect them.

- Amphibians will be on the list for a quad or county if pesticides applied in that area may be carried to their habitat by air currents.
- Birds are shown regardless of whether they are resident or migratory. Relevant birds on the county list should be considered regardless of whether they appear on a quad list.

Plants

Any plants on your list are ones that have actually been observed in the area covered by the list. Plants may exist in an area without ever having been detected there. You can find out what's in the surrounding quads through the California Native Plant Society's online Inventory of Rare and Endangered Plants.

Surveying

Some of the species on your list may not be affected by your project. A trained biologist and/or botanist, familiar with the habitat requirements of the species on your list, should determine whether they or habitats suitable for them may be affected by your project. We recommend that your surveys include any proposed and candidate species on your list.

See our Protocol and Recovery Permits pages.

For plant surveys, we recommend using the Guidelines for Conducting and Reporting Botanical Inventories. The results of your surveys should be published in any environmental documents prepared for your project.

Your Responsibilities Under the Endangered Species Act

All animals identified as listed above are fully protected under the Endangered Species Act of 1973, as amended. Section 9 of the Act and its implementing regulations prohibit the take of a federally listed wildlife species. Take is defined by the Act as "to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect" any such animal.

Take may include significant habitat modification or degradation where it actually kills or injures wildlife by significantly impairing essential behavioral patterns, including breeding, feeding, or shelter (50 CFR §17.3).

Take incidental to an otherwise lawful activity may be authorized by one of two procedures:

- If a Federal agency is involved with the permitting, funding, or carrying out of a project that may result in take, then that agency must engage in a formal consultation with the Service.
- During formal consultation, the Federal agency, the applicant and the Service work together to avoid or minimize the impact on listed species and their habitat. Such consultation would result in a biological opinion by the Service addressing the anticipated effect of the project on listed and proposed species. The opinion may authorize a limited level of incidental take.
- If no Federal agency is involved with the project, and federally listed species may be taken as part of the project, then you, the applicant, should apply for an incidental take permit. The Service may issue such a permit if you submit a satisfactory conservation plan for the species that would be affected by your project.
- Should your survey determine that federally listed or proposed species occur in the area and are likely to be
affected by the project, we recommend that you work with this office and the California Department of Fish and Game to develop a plan that minimizes the project’s direct and indirect impacts to listed species and compensates for project-related loss of habitat. You should include the plan in any environmental documents you file.

**Critical Habitat**

When a species is listed as endangered or threatened, areas of habitat considered essential to its conservation may be designated as critical habitat. These areas may require special management considerations or protection. They provide needed space for growth and normal behavior; food, water, air, light, other nutritional or physiological requirements; cover or shelter; and sites for breeding, reproduction, rearing of offspring, germination or seed dispersal.

Although critical habitat may be designated on private or State lands, activities on these lands are not restricted unless there is Federal involvement in the activities or direct harm to listed wildlife.

If any species has proposed or designated critical habitat within a quad, there will be a separate line for this on the species list. Boundary descriptions of the critical habitat may be found in the Federal Register. The information is also reprinted in the Code of Federal Regulations (50 CFR 17.95). See our [Map Room](#) page.

**Candidate Species**

We recommend that you address impacts to candidate species. We put plants and animals on our candidate list when we have enough scientific information to eventually propose them for listing as threatened or endangered. By considering these species early in your planning process you may be able to avoid the problems that could develop if one of these candidates was listed before the end of your project.

**Species of Concern**

The Sacramento Fish & Wildlife Office no longer maintains a list of species of concern. However, various other agencies and organizations maintain lists of at-risk species. These lists provide essential information for land management planning and conservation efforts. [More info](#)

**Wetlands**

If your project will impact wetlands, riparian habitat, or other jurisdictional waters as defined by section 404 of the Clean Water Act and/or section 10 of the Rivers and Harbors Act, you will need to obtain a permit from the U.S. Army Corps of Engineers. Impacts to wetland habitats require site specific mitigation and monitoring. For questions regarding wetlands, please contact Mark Littlefield of this office at (916) 414-6520.

**Updates**

Our database is constantly updated as species are proposed, listed and delisted. If you address proposed and candidate species in your planning, this should not be a problem. However, we recommend that you get an updated list every 90 days. That would be August 22, 2012.
<table>
<thead>
<tr>
<th>Scientific Name/Common Name</th>
<th>Element Code</th>
<th>Federal Status</th>
<th>State Status</th>
<th>GRank</th>
<th>SRank</th>
<th>CDFG or CNPS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Astragalus claranus</td>
<td>PDBADP240</td>
<td>Endangered</td>
<td>Threatened</td>
<td>G1</td>
<td>S1</td>
<td>1B.1</td>
</tr>
<tr>
<td>2 Biondopera bakeri</td>
<td>PDAS1AD10</td>
<td>Endangered</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>1B.1</td>
</tr>
<tr>
<td>3 Branchinecta lynchi</td>
<td>ICBRA0303</td>
<td>Threatened</td>
<td>G3</td>
<td></td>
<td>S2</td>
<td>03</td>
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<tr>
<td>4 Buboe owensoni</td>
<td>ABNKC19070</td>
<td>Threatened</td>
<td>G5</td>
<td></td>
<td>S2</td>
<td>G5</td>
</tr>
<tr>
<td>5 Castilleja affinis sp. neglecta</td>
<td>PDSCRD0613</td>
<td>Endangered</td>
<td>Threatened</td>
<td>G4GST1</td>
<td>S1.2</td>
<td>1B.2</td>
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<tr>
<td>6 Charadrius alexandrinus nivosus</td>
<td>ABNNB03033</td>
<td>Threatened</td>
<td>G4T3</td>
<td>S2</td>
<td>SC</td>
<td>G4T3</td>
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<tr>
<td>7 Chloroppyton moilie sp. moilie</td>
<td>PDSCRG0027</td>
<td>Endangered</td>
<td>Rare</td>
<td>G2T1</td>
<td>S1</td>
<td>1B.2</td>
</tr>
<tr>
<td>8 Deamococcus californicus dimorphus</td>
<td>RICOL45011</td>
<td>Threatened</td>
<td>G3T2</td>
<td>S2</td>
<td></td>
<td>G3T2</td>
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<tr>
<td>9 Halaeusus leucoceratus</td>
<td>ABNKC10010</td>
<td>Delisted</td>
<td>Endangered</td>
<td>G5</td>
<td>S2</td>
<td>G5</td>
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<tr>
<td>10 Hypomeuxus transapiculca</td>
<td>AFCHB51040</td>
<td>Threatened</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>G1</td>
</tr>
<tr>
<td>11 Lashtena conchuga</td>
<td>PDASLD30</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>S1</td>
<td>1B.1</td>
</tr>
<tr>
<td>12 Laferaria jamacensi sp. coturnicuus</td>
<td>ABNME03041</td>
<td>Threatened</td>
<td>G4T1</td>
<td>S1</td>
<td></td>
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<tr>
<td>13 Lilaepa linae sp. linae sp. linae</td>
<td>PDAPR19030</td>
<td>Rare</td>
<td>G2</td>
<td>S2</td>
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<tr>
<td>14 Limnanthes vituliana</td>
<td>PDUM2095</td>
<td>Endangered</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>1B.1</td>
</tr>
<tr>
<td>15 Navaforata leucoceratus sp. pauciflora</td>
<td>PDPLM000E4</td>
<td>Endangered</td>
<td>Threatened</td>
<td>G4T1</td>
<td>S1</td>
<td>1B.1</td>
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<tr>
<td>16 Oncorhyncus mykias iridus steelhead</td>
<td>AFCHB2091G</td>
<td>Threatened</td>
<td>GST2Q</td>
<td>S2</td>
<td></td>
<td>GST2Q</td>
</tr>
<tr>
<td>17 Oligodon clapper sp. clapper</td>
<td>ABNME05016</td>
<td>Endangered</td>
<td>Endangered</td>
<td>GST1</td>
<td>S1</td>
<td>GST1</td>
</tr>
<tr>
<td>18 Oligodon draytoni</td>
<td>AAAH1022</td>
<td>Threatened</td>
<td>G4T2T3</td>
<td>S223</td>
<td>SC</td>
<td>G4T2T3</td>
</tr>
<tr>
<td>19 Ralstonia ralsoni</td>
<td>AMAFF2040</td>
<td>Endangered</td>
<td>G1G2</td>
<td>S1</td>
<td>02</td>
<td></td>
</tr>
<tr>
<td>20 Sidalicea keelii</td>
<td>PDMAL11000</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>1B.1</td>
<td>G1</td>
</tr>
<tr>
<td>21 Sporobolus myrtacea</td>
<td>ILEPE5069</td>
<td>Endangered</td>
<td>GST1</td>
<td>S1</td>
<td></td>
<td>GST1</td>
</tr>
<tr>
<td>22 Strychneum pacifica</td>
<td>ICNL27010</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td></td>
<td>G1</td>
</tr>
<tr>
<td>23 Trifolium amoenum</td>
<td>PDFAB40040</td>
<td>Endangered</td>
<td>G1</td>
<td>S1</td>
<td>1B.1</td>
<td>G1</td>
</tr>
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</table>

Government Version – Dated January 01, 2012 - Biogeographic Data Branch
Report Printed on Tuesday, January 17, 2012

Information Expires 07/01/2012
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Appendix F - Summary Floodplain Encroachment Report

SUMMARY FLOODPLAIN ENCROACHMENT REPORT*

Dist. 04  Co. Nap Rte. 121 P.M. 8.9.9.4

Project No.: 04 0000 0817 Bridge No. 21-08

Limits: The Sarco Creek Bridge Replacement Project is located on State Route 121 in the city of Napa between Hagen Road and Monticello Road.

Floodplain Description: The project site is located within a FEMA defined 100 year floodplain. See FEMA map no. 06055C0509F dated September 29, 2010.

1. Is the proposed action a longitudinal encroachment of the base floodplain? No Yes
   X
2. Are the risks associated with the implementation of the proposed action significant? Yes
   X
3. Will the proposed action support probable incompatible floodplain development? X
4. Are there any significant impacts on natural and beneficial floodplain values? Yes
   X
5. Routine construction procedures are required to minimize impacts on the floodplain. Are there any special mitigation measures necessary to minimize impacts or restore and preserve natural and beneficial floodplain values? If yes, explain. Yes
   X
6. Does the proposed action constitute a significant floodplain encroachment as defined in 23 CFR, Section 650.105(q). X
7. Are Location Hydraulic Studies that document the above answers on file? If not explain. Yes

PREPARED BY:

Signature - Dist. Hydraulic Engineer

Signature - Dist. Environmental Branch Chief

Signature - Dist. Project Engineer

12/13/11  12/19/11  1/5/12  Date  Date  Date

* Same as Figure 804.7B Floodplain Evaluation Report Summary located in Chapter 804 of the Highway Design Manual
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<table>
<thead>
<tr>
<th>ENVIRONMENTAL CONSEQUENCES</th>
<th>TIMING/PHASE</th>
<th>RESPONSIBLE AGENCY</th>
<th>AVOIDANCE, MINIMIZATION, AND MITIGATION MEASURES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Traffic and Transportation/Pedestrian and Bicycle Facilities</td>
<td>Design/Construction</td>
<td>Caltrans and/or Contractor</td>
<td>A Transportation Management Plan (TMP) will be required. The need for a full or partial closure of the bridge will be identified in the TMP. It would also include press releases to notify motorist, businesses, community groups, local entities, emergency services providers, and politicians of upcoming closures and detours. Various TMP elements such as portable Changeable Message Signs and California Highway Patrol Construction Zone Enhanced Enforcement Program (COZEEP) may be utilized to alleviate and minimize delay to the traveling public.</td>
</tr>
<tr>
<td>Utilities/Emergency Services</td>
<td>Construction</td>
<td>Caltrans and/or Contractor</td>
<td>The Department will notify the local emergency service providers of its intent will close the highway and provide detour information in the TMP.</td>
</tr>
<tr>
<td>The overhead power and cable lines crossing Sarco Creek will be relocated within the project footprint along the west side of the roadway.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The existing storm water drainage system will be removed and replaced by a new system that consists of at least three drainage inlets.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The underground sewer line crossing Sarco Creek will be relocated within the project footprint along the west side of the roadway.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The water and gas lines will be relocated but remain in Sarco Creek.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Visual/Aesthetics</td>
<td>Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Oak trees having a diameter at breast height equal to or greater than 6 inches that are removed during project construction shall be replaced with the same species at a minimum ratio of 1:1 for each tree removed. A higher replacement ratio shall be provided if sufficient space is available. Similarly, other trees (non-oak species having a diameter at breast height equal to or greater than 6 inches) that are removed shall be replaced at a ratio of 1:1. All trees would be replaced on-site to the extent possible after the completion of roadway construction. Off-site planting locations would be sought to provide additional replacement tree planting</td>
</tr>
<tr>
<td>Tree removal along the roadway at bridge site.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ENVIRONMENTAL CONSEQUENCES</td>
<td>TIMING/PHASE</td>
<td>RESPONSIBLE AGENCY</td>
<td>AVOIDANCE, MINIMIZATION, AND MITIGATION MEASURES</td>
</tr>
<tr>
<td>------------------------------------------------------------------------------------------</td>
<td>------------------</td>
<td>-------------------------------------------------------------------------------------</td>
<td>------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Temporary Construction Easements (TCEs) and Highway Widening.</td>
<td>Design/Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Cut and fill slopes shall be contour graded and rounded so as to reflect the contours of adjacent, undisturbed topography to the extent feasible. Grading operations shall not result in angular landforms.</td>
</tr>
<tr>
<td>Bare soil areas due to construction.</td>
<td>Construction</td>
<td>Caltrans and/or Contractor</td>
<td>All exposed ground surfaces shall be hydro-seeded with appropriate plant species for erosion control purposes as early as possible, but no later than October 31.</td>
</tr>
<tr>
<td>Removal of existing bridge structure.</td>
<td>Construction</td>
<td>Caltrans and/or Contractor</td>
<td>The new bridge railings shall have a finished pattern, surface texture and coloration that mimic the stone pattern, color and texture of the other rock railings in the vicinity.</td>
</tr>
<tr>
<td><strong>Water Quality</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Temporary and/or permanent discharges of storm water from industrial/construction and municipal storm water systems impacts of the project.</td>
<td>Design</td>
<td>San Francisco Bay Regional Water Quality Control Board (RWQCB)</td>
<td>A 401 Water Quality Certification may be required since work will be performed within Waters of the State. Waste Discharge Requirements (WDRs) will define activities that will address the discharges of the project.</td>
</tr>
<tr>
<td>Impact to wetlands and other Waters of the U.S.</td>
<td>Design</td>
<td>United States Army of Engineers (USACE)</td>
<td>A Section 404 Nationwide Permit may be required for placement of fill in the Waters of the United States.</td>
</tr>
<tr>
<td>Diversion of Sarco Creek</td>
<td>Design/Construction</td>
<td>California Department of Fish and Game (CDFG)</td>
<td>1602 Lake and Streambed Alteration Agreement may be required</td>
</tr>
<tr>
<td>Impacts to potential storm water from construction activities.</td>
<td>Design/Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Caltrans will incorporate Best Management Practices (BMPS) to reduce the discharge of pollutants during construction as well as permanently to the Maximum Extent Practicable. A Stormwater Pollution Prevention Plan (SWPPP) will be developed during construction to include: Construction BMPS, Permanent Design Pollution BMPs, Permanent Treatment BMPs, and Maintenance BMPs.</td>
</tr>
<tr>
<td><strong>Geology/Soils/Seismic/Topography</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Erosion impacts and slope stability hazards.</td>
<td>Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Rock slope protection (RSP) will be used to minimize erosion and slope stability hazards.</td>
</tr>
<tr>
<td><strong>Paleontology</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Construction activities include ground-disturbing activities including planned excavation.</td>
<td>Design</td>
<td>Caltrans and/or Contractor</td>
<td>In general, avoidance and minimization measures are not feasible with regard to addressing impacts on paleontological resources. Impacts will be addressed with a Paleontological Evaluation Report (PER) upon project design.</td>
</tr>
<tr>
<td>ENVIRONMENTAL CONSEQUENCES</td>
<td>TIMING/PHASE</td>
<td>RESPONSIBLE AGENCY</td>
<td>AVOIDANCE, MINIMIZATION, AND MITIGATION MEASURES</td>
</tr>
<tr>
<td>----------------------------------------------------------------</td>
<td>----------------</td>
<td>---------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Hazardous Waste/Materials</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ground water exposed during construction activities</td>
<td>Design/Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Proper handling and disposal of the ground water would be based on the levels of contaminants reported in a Site Investigation Report, to be completed during the design phase of the project. If water quality goals are exceeded, alternative methods of handling contaminated water or containment and treatment of contaminates would be implemented. If necessary, 303(d) TMDLs or other regulatory mechanisms would be explored and implemented.</td>
</tr>
<tr>
<td>Impacts from demolition of existing steel bridge</td>
<td>Design/Construction</td>
<td>Caltrans and/or Contractor</td>
<td>Surveys would be required for asbestos-containing materials and lead containing paint. Depending on the results of the aforementioned report and surveys, Caltrans would implement special material-handling plans that are consistent with state law Title 8 CCR Sections 1529/1529.1, Title 17 CCR Section 35022 and federal BAAQMD regulations. Caltrans' Engineering Service Center typically specifies that SSP 15-025 be used.</td>
</tr>
<tr>
<td>Biological Resources</td>
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<tr>
<td>Wetlands and Other Waters</td>
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</tr>
<tr>
<td>Impact to wetlands and other Waters of the U.S.</td>
<td>Design</td>
<td>Caltrans/USACE</td>
<td>A Section 404 Nationwide Permit may be required for placement of fill in the Waters of the United States. Construction of facilities would be required.</td>
</tr>
<tr>
<td>Creation of fish passage</td>
<td>Design /Construction</td>
<td>CDFG</td>
<td>1602 Lake and Streambed Alteration Agreement may be required. Construction of weir structure and ESA fencing to be installed.</td>
</tr>
<tr>
<td>Natural Communities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vegetation will be removed in locations where permanent structures would be placed (e.g. shoulder widening) and within the cut-and-fill line.</td>
<td>Construction</td>
<td>CDFG</td>
<td>Vegetation will be cleared only when necessary and would be cut above soil level except in areas that would be excavated for roadway construction. Wherever feasible, all temporarily affected areas will be regarded to preconstruction contours, protected with erosion control measures, and revegetated after roadway construction is completed. All clearing will be scheduled outside the bird-nesting season. All nest avoidance requirements of the Migratory Bird Treaty Act (MBTA) and California Department of Fish &amp; Game (CDFG) code will be observed. Replacement trees will be planted at a ratio of 3:1 for native oak trees that have a diameter breast height (dbh) of greater than 4 inches and are within the riparian areas and within CDFG jurisdiction and a ratio of 1:1 for upland native oak trees. Non-native trees would be compensated at a ratio of 1:1. All disturbed areas will be re-vegetated with appropriate native, non-invasive species or non-persistent hybrids that would serve to stabilize site conditions.</td>
</tr>
<tr>
<td>CONDITIONS</td>
<td>AVOIDANCE, MINIMIZATION, AND MITIGATION MEASURES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------</td>
<td>------------------------------------------------</td>
<td></td>
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<tr>
<td></td>
<td>The Department will adhere to the primary federal laws, the Federal Endangered Species Act (ESA), 16 USC Sections 1531/7 et seq., and at the state level the California Endangered Species Act and the California Fish and Game Code. Section 2088.01, et seq., in addition the Department will also comply with the California Fish and Game Code.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Measures will be communicated to the contractor through the use of special provisions included in the contract bid solicitation.</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>A biological monitor will be present to monitor and inspect for state and federally listed species and migratory birds and aquatic species during site preparation and construction. Environmentally sensitive area (ESA) fencing will be installed and maintained along the project limit boundary and will be removed at the end of construction activities.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>In-water work window would be restricted to June 1 thru October 15, unless an extension is granted from the appropriate agencies.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pile driving within the water column will not be conducted. Pile driving would involve pre-drilling in the dry creek bed to a depth of approximately ten feet below surfaces, then driving piles.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Permanent erosion measures will be set in place.</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>All temporary disturbed areas will be restored to original grade and vegetated with appropriate native species to the maximum extent practicable.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The creek bed will be protected by placing timber mats on top of temporary railing placed along the edge of the creek bed, covering the water diversion PVC pipe and the original bed.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Caltrans will submit a water diversion plan to the appropriate agencies for review prior to construction.</td>
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<table>
<thead>
<tr>
<th>ENVIRONMENTAL CONSEQUENCES</th>
<th>GENERAL IMPACTS TO ALL BIOLOGICAL RESOURCES</th>
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</thead>
<tbody>
<tr>
<td>General Biological Impacts</td>
<td>Impact to the federally and state listed endangered species, migratory birds, and other aquatic species during construction activities.</td>
</tr>
<tr>
<td>General Impacts to all biological resources</td>
<td>General Impacts to all biological resources.</td>
</tr>
<tr>
<td>ENVIRONMENTAL CONSEQUENCES</td>
<td>TIMING/PHASE</td>
</tr>
<tr>
<td>-----------------------------------------------------------------</td>
<td>------------------</td>
</tr>
<tr>
<td>Animal Species</td>
<td></td>
</tr>
</tbody>
</table>
| Impact to western pond turtle (Actinemys [formerly Clemmys] marmorata marmorata) may include loss of individuals during grading and heavy equipment movement, potential loss of breeding habitat, and temporary disturbance to dispersal habitat. In addition there are potential effects through increased sedimentation and due to increased hydrocarbon pollutants from roadside run-off due to increased impervious surface. | Design/Construction | Caltrans/Contractor/NOAA A/USFWS/CDFG | Construction (temporary) impacts will be kept to a minimum:  
Preconstruction surveys for western pond turtles.  
Onsite Biological Monitor will monitor activities that may affect sensitive biological resources, including western pond turtles.  
Relocation of any western pond turtle encountered during project activities.  
Water diversion structure to act as an exclusion barrier within the bed and bank of the creek. |
| Impacts to migratory bird species (red-tailed hawks, Acorn and Nuttal's woodpeckers, western blue bird, yellow-billed magpie, Anna's hummingbird, Lazuli bunting, and Cooper's hawk) due to construction activities. | Design/Construction | Caltrans/Contractor/NOAA A/USFWS/CDFG | A preconstruction bird nesting survey will be conducted to survey impacted trees and shrubs.  
Caltrans may remove unoccupied nests during the non-nesting period October 1 to February 14.  
Exclusion methods may be used to prevent birds from nesting and roosting within the project area.  
If occupied nests (i.e., nests with birds or eggs) are present within the project area, work within 50 feet of the next of passerine species or 200 feet of raptor species will be avoided. |
of the bridge in 2009. A black phoebe (Sayornis nigricans) nest was observed beneath the Sarco Creek Bridge.

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<th>ENVIRONMENTAL CONSEQUENCES</th>
<th>TIMING PHASE</th>
<th>RESPONSIBLE AGENCY</th>
<th>AVOIDANCE, MINIMIZATION, AND MITIGATION MEASURES</th>
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<tr>
<td>Threatened and Endangered Species</td>
<td>Design/Construction</td>
<td>Caltrans/ Contractor/NOAA A/USFWS/ CDFG</td>
<td>A biological monitor will be present to monitor and inspect for state and federally listed species during site preparation and construction. Caltrans's standard temporary erosion control measures will be implemented in all areas of disturbed soil. All state and federal waters will be protected from sediment and pollutant dischargers using appropriate techniques. Precast concrete will be used for the construction of the bridge deck, which will eliminate the need to erect falsework below top of bank. The creek bed will be protected by placing timber mats on top of temporary railing placed along the edge of the creek bed under the existing bridge, covering the diversion PVC pipe and the creek bed. Caltrans would submit a water diversion plan to the RWQCB, CDFG, and NMFS for review prior to construction. Should it be necessary (water is present in Sarco Creek Project within the action area), Caltrans would submit a fish removal and relocation plan to CDFG and NMFS for review and approval prior to the installation and operation of a water diversion system. The approved temporary water diversion system will be utilized during construction to ensure that there is no water in the creek bed during in-stream construction activity. Appropriate BMPs would be implemented to avoid and minimize adverse effects from construction-derived erosion and sediment pollution. Permanent erosion control measures (e.g., hydoseeding and coir</td>
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netting) would be implemented along banks; and both temporary and permanent erosion control BMPs and scouring protection would be installed on the creek channel bed beneath the bridge during construction.

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<tr>
<td>Construction Impacts</td>
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<td>Air Quality</td>
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<td>Emitting wind-blown dust generated by excavation, grading, hauling and various other activities.</td>
<td>Construction</td>
<td>Caltrans/Contractor</td>
<td>The Special Provisions and Standard Specifications would include requirements to minimize or eliminated dust through the application of water or dust palliatives.</td>
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<td>Noise</td>
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<tr>
<td>Temporary noise impacts from construction.</td>
<td>Construction</td>
<td>Caltrans/Contractor</td>
<td>All equipment will have sound-control devices that are no less effective that those provided on the original equipment. No equipment would have unmuffled exhaust. Caltrans will direct the contractor to implement appropriate additional noise minimization measures, including changing the location of stationary construction equipment, turning off idling equipment, avoiding construction activities during the nights and weekends, notifying adjacent residents in advance of construction work, and installing barriers around stationary construction noise sources.</td>
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</tbody>
</table>
Appendix H - List of Technical Studies

Biological Assessment (BA), April 2011
Natural Environment Study (NES), October 2011
Historic Property Survey Report (HPSR), July 2011
Hazardous Waste Initial Site Assessment (ISA), March 2000
Location Hydraulics Study (LHS), December 2011
Paleontological Identification Report (PIR), September 2011
Preliminary Geotechnical Report (PGR), August 2010
Water Quality Report (WQR), May 2009
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