

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 19-20, 2016

Reference No.: 3.3
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang, Chief
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING JUNE 30, 2016**

SUMMARY:

As of June 30, 2016, approximately \$764 million, or 46 percent, of the \$1.7 billion allocated by the California Transportation Commission (Commission) for Fiscal Year 2016 has been sub-allocated to 919 local projects. The majority of the sub-allocations (approximately \$547 million) are for 582 projects in the following three categories:

- National Highway Performance Program (NHPP) and Off-System Bridge – 251 projects, \$227 million
- Regional Surface Transportation Program – 150 projects, \$202 million
- Congestion Mitigation and Air Quality Program – 181 projects, \$117 million

The remaining \$218 million was sub-allocated for 337 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending June 30, 2016
Fiscal Year 2015-16
(Dollars in Thousands)

Reference No.: 3.3
October 19-20, 2016
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		474,906	474,906		202,280	202,280		272,626	272,626	43%	150
Surface Transportation Program State Match and Exchange	57,849		57,849	56,690		56,690 *	1,159		1,159	98%	91
Congestion Mitigation & Air Quality Program		459,508	459,508		117,450	117,450		342,058	342,058	26%	181
Freeway Service Patrol	25,479		25,479	25,439		25,439 *	40		40	100%	13
High Priority Projects/Demonstration Projects/Emergency Relief		257,876	257,876		53,521	53,521 *		204,355	204,355	21%	55
Miscellaneous	3,250		3,250	286		286 *	2,964	-	2,964	9%	2
Bridge Programs											
Bridge Inspection	735		735	126		126 *	609	0	609	17%	1
National Highway Performance Program (NHPP) & Off-System Bridge ²		303,252	303,252		227,268	227,268		75,984	75,984	75%	251
Rail Programs											
Railroad Grade Crossing Maintenance	3,765		3,765	0		0 *	3,765		3,765	0%	0
Railroad Grade Separation	15,000		15,000	14,959		14,959 *	41		41	100%	3
Safety Programs											
Highway Safety Improvement Program ³		61,997	61,997		66,615	66,615 *		(4,618)	(4,618)	107%	172
Systemic Safety Analysis Report Program	10,000		10,000	0		0 *	10,000		10,000	0%	0
Total Local Assistance Subvention Funds	116,078	1,557,539	1,673,617	97,500	667,134	764,634	18,578	890,405	908,983	46%	919

Notes

Allocations for state funds reflect the June 2016 Commission meeting vote, Item 2.5h, Resolution FM-15-04.

Allocations for federal funds reflect the June 2016 Commission meeting vote, Item 2.5h, Resolution FM-15-04.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations are from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Book Item Reference 3.3 at the October 2016 Commission meeting.

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.

² NHPP consists of on-system bridges (about \$228 million) and off-system bridges (about \$75 million).

³ Sub-allocations for HSIP includes funding for the federal Railroad Grade Crossing Protection program.