

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 29-30, 2016

Reference No.: 3.3
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang, Chief
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING MARCH 31, 2016**

SUMMARY:

As of March 31, 2016, about \$274 million, or 31 percent, of the \$895 million allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2016 has been sub-allocated to 351 local projects. The majority of the sub-allocations (approximately \$210 million) are for 225 projects in the following three categories:

- Regional Surface Transportation Program – 63 projects, \$112 million
- Congestion Mitigation & Air Quality Program – 77 projects, \$51 million
- National Highway Performance Program (NHPP) & RSTP Bridge – 85 projects, \$47 million

The remaining \$64 million was sub-allocated for 126 projects in other categories (as referenced with an asterisk on the attachment).

The Highway Safety Improvement Program expenditure category has exceeded its current sub-allocation by more than 10 percent as a result of strong program delivery. If the Commission approves the California Department of Transportation's (Department's) concurrent request to allocate the remaining \$778,769,000 in federal funds for the local assistance lump sum allocation, this expenditure category will no longer exceed 10 percent of its sub-allocation. The concurrent request for the remaining \$778,769,000 in federal funds is under Resolution FM-15-04 on this month's Commission agenda.

BACKGROUND:

The Department's Division of Local Assistance administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending March 31, 2016
(Dollars in Thousands)

Reference No.: 3.3
June 29-30, 2016
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		237,454	237,454		112,085	112,085		125,369	125,369	47%	63
Surface Transportation Program State Match and Exchange	57,849		57,849	0		0 *	57,849		57,849	0%	0
Congestion Mitigation & Air Quality Program		229,754	229,754		50,826	50,826		178,928	178,928	22%	77
Freeway Service Patrol	25,479		25,479	25,439		25,439 *	40		40	100%	13
High Priority Projects/Demonstration Projects/Emergency Relief		128,938	128,938		4,662	4,662 *		124,276	124,276	4%	18
Miscellaneous	3,250		3,250	184		184 *	3,066	-	3,066	6%	2
Bridge Programs											
Bridge Inspection	735		735	126		126 *	609	0	609	17%	1
National Highway Performance Program (NHPP) & RSTP Bridge ²		151,626	151,626		46,979	46,979		104,647	104,647	31%	85
Rail Programs											
Railroad Grade Crossing Maintenance	3,765		3,765	0		0 *	3,765		3,765	0%	0
Railroad Grade Separation	15,000		15,000	0		0 *	15,000		15,000	0%	0
Safety Programs											
Highway Safety Improvement Program		30,998	30,998		34,164	34,164		(3,166)	(3,166)	110%	92
Systemic Safety Analysis Report Program	10,000		10,000	0		0 *	10,000		10,000	0%	0
Total Local Assistance Subvention Funds	116,078	778,770	894,848	25,749	248,716	274,465	90,329	530,054	620,383	31%	351

Notes

Allocations for state funds reflect the October 2015 Commission meeting vote, Item 2.5i, Resolution FM-15-02.

Allocations for federal funds reflect the October 2015 Commission meeting vote, Item 2.5h, Resolution FM-15-01.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations are from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Reference 3.10.

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.

² NHPP consists of on-system bridges (about \$114 million) while RSTP bridge projects consist of off-system bridge (about \$38 million).