#

APPENDIX GG – Project Data Checklists

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APPENDIX GG – Project Data Checklists

1. Resident Engineer File Checklist

**Landscape Architecture**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Irrigation products |  |  |
| 2. Water company service contracts |  |  |
| 3. Source of special plants |  |  |
| 4. Quantity calculation sheet (not a summary) |  |  |
| 5. Utility plans and correspondence |  |  |
| 6. Design Intent Statement |  |  |
| 7. Pressure calculation data |  |  |

**Environmental**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Environmental document (FEIS/FEIR or negative declaration/FONSI or categorical exemption/categorical exclusion) |  |  |
| 2. Backup reports(noise, cultural resources, etcetera) |  |  |
| 3. Associated permits, licenses, agreements, and certifications; including biological opinions, if applicable |  |  |
| 4. Environmental commitment record |  |  |
| 5. Pertinent correspondence |  |  |

**Materials**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| \*1. Materials report |  |  |
|  2. Other pertinent reports |  |  |

\* If the materials report covers more than one construction contract, copies should be forwarded to the construction unit with the contract. Construction will re-use these copies for successive jobs.

**Project Development**

**√ LIST**

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Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

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| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| \*1. Project approval document and/or supplemental reports (if prepared by design) |  |  |
|  2. Preliminary plans |  |  |
|  3. Cross-sections (include drainage profile) |  |  |
|  4. PS&E submittal |  |  |
|  5. Modified drainage report (if not included in PS&E submittal) |  |  |
|  6. Detailed analysis of contract quantities |  |  |
|  7. Dummy correspondence and dummy review correspondence |  |  |
|  8. Correspondence and comments peculiar to the project (if not in PS&E submittal) |  |  |
|  9. EDP data:a. Grid-grade sheetb. Terrain notesc. Roadbed notesd. Earthwork quantity sheete. Earthwork detail sheet |  |  |

\* If the project report covers more than one construction contract, copies should be forwarded to the construction unit with the first contract. Construction will re-use these copies for successive jobs.

**Project Development**

**√ LIST**

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| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 10. Working drawings (if available to facilitate construction not in plans)a. Drawing of complete interchange where stage construction is involvedb. Contour mapsc. Edge of pavement profilesd. Gridse. Superelevationsf. Coordinates |  |  |
| 11. Approved (vellum) striping diagram (and four prints) |  |  |
| 12. Monumentation data (approved or agreed layout for job monuments) |  |  |
| 13. Cost estimate, breakdown of lump-sum items if not included in analysis of quantities (structure quantities)a. Other - (itemize)b. Summary of pending items - (itemize) |  |  |
| 14. Work on contract for other agency (city, county, etcetera)a. Description of work to be done for other agencyb. Name and address of other agency involvedc. Person to be notified when work is done |  |  |
| 15. Names of individuals to contact in various public agencies |  |  |
| 16. Presidents of interested associations, with their address and phone numbers |  |  |

**Project Development**

**√ LIST**

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| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 17. Names of other interested individuals—particularly those who have followed the design and may be critical of the State's highway program |  |  |
| 18. Public meeting reports and/or CTC hearing reports |  |  |
| 19. Copy of reduced as-builts |  |  |
| 20. Risk register and Risk Register Certification Form |  |  |

**Cooperative Agreement**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Cooperative Agreements with other agencies |  |  |
| 1. Cooperative agreements with other agencies |  |  |

**Hydraulics**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Cooperative Agreements with other agencies |  |  |
| 1. Drainage report (if not included in the PS&E submittal furnished by design unit) |  |  |

**Maintenance**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Cooperative Agreements with other agencies |  |  |
| 1. Active encroachment permits (excluding those issued in response to a utility Notice to Relocate) |  |  |

**Traffic**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Cooperative Agreements with other agencies |  |  |
| 1. Letter—disposition of salvaged equipment |  |  |
| 2. Letter—acceptance of work for other agencies |  |  |
| 3. Other pertinent information, letters of request, or complaints from cities, counties, or the general public—Caltrans’ response—the concurrence of the other entities |  |  |

**Right of Way**

**√ LIST**

Date Requested Date of Reply

Co. Rte.PM EA

Limits

 *Signature of respondent*

|  |  |  |
| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
|  1. Right-of-way clearance lettera. Status of land acquisitionb. Building obstructions(1) Removal dates (by right-of-way)(2) Availability dates (to highway construction)c. Certification of right-of-way(1) Advertisement of project and/or(2) Award of contract |  |  |
|  2. Final certification of right-of-way for award of contract (if required) |  |  |
|  3. Complete list of parcels for project (includes status of parcel acquisition and notation as to contractual obligations, if any) |  |  |
|  4. Contractual obligations (by parcel) |  |  |
|  5. Borrow agreements |  |  |
|  6. Disposal agreements |  |  |
|  7. Right of entry |  |  |
| \*8. Service contracts |  |  |
|  9. Other - (itemize) |  |  |

\* Refers to work performed in right-of-way during construction contract period by other than highway contractor and/or when resident engineer will perform inspection for right-of-way unit.

**Right of Way Engineering**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

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| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Key map to define the hard copy number and record map number |  |  |
| 2. Print of hard copy |  |  |
| 3. Reverse chronoflexes of either:a. Record maps, with title blocked out (if record maps are prepared from the appraisal maps), orb. Skeleton of the record maps (if record maps are prepared independently from the appraisal maps) |  |  |

**Utilities**

**√ LIST**

Date Requested Date of Reply

Co. Rte. PM EA

Limits

 *Signature of respondent*

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| --- | --- | --- |
| ITEMS REQUIRED | DATE PROVIDED | REMARKS |
| 1. Utility relocation plansa. telephoneb. gasc. electricald. watere. fire alarmf. sewers (if not by contract) |  |  |
| 2. Utility relocation noticesa. telephoneb. gasc. electricald. watere. fire alarmf. sewers (if not by State contract) |  |  |
| 3. Railroads\* a. construction maintenance agreementb. letter of transmittal\* c. service contractsd. letter of transmittal |  |  |

\* Copy of authorizing PUC order in right-of-way engineering files.